

# Assignment 1

**Due Friday 26 January 2024, at the start of class (revised)**

This Assignment is based primarily on the “Definitions and facts” handout, but also on sections 2.1 and 2.2 of our textbook

D. Borthwick (2020). *Spectral Theory: Basic Concepts and Applications*, Springer

DO THE FOLLOWING EXERCISES.

**P1.** Suppose  $(V, \|\cdot\|)$  is a normed vector space. Let  $Y \subset V$  be any finite set of points. Show that  $Y$  is closed.

**P2.** Let  $(V, \|\cdot\|)$  be a normed vector space. Let  $S = \{x \in V : \|x\| = 1\}$ . Recalling that  $B_1$  is the open ball of radius one, show  $S$  is exactly the boundary set of  $B_1$ :  $\partial B_1 = S$ .

**P3.** Let  $X_k$  be the set of RNA sequences of length  $k$ . All you need to know about such things is that an RNA sequence has one character from  $\{A, C, G, U\}$  in each location. That is, an element of  $X_k$  is a string of  $k$  letters, each of which is  $A, C, G, U$ . Let  $\delta(a, b) = 0$  if  $a = b$  and  $\delta(a, b) = 1$  if  $a \neq b$ . For  $x, y \in X_k$  let

$$d(x, y) = \sum_{j=1}^k \delta(x_j, y_j)$$

where  $x_j$  is the  $j$ th letter in the RNA sequence  $x$ .

**(a)** Show rigorously that  $(X_k, d)$  is a metric space. That is, show  $d(x, y)$  computes a valid distance between any two RNA sequences of the same length.

**(b)** Can  $(X_k, d)$  be regarded as a subset of a real normed vector space  $(V, \|\cdot\|)$ ? Argue, at least informally, that this is true. You will need to construct  $V$  and sketch the embedding. Formally, one says that there is an *isometric* embedding  $X_k \hookrightarrow V$ . (Hint. I used  $\|\cdot\|_\infty$  on each letter.)

**P4.** Show that a convergent sequence in a metric space cannot converge to two different limits.

**P5. (a)** Is  $f(x) = \frac{1}{\sqrt{x}}$  in  $L^1(0, 1)$ ? In  $L^2(0, 1)$ ?

**(b)** Let  $s \geq 0$  and define  $g_s(x) = \frac{1}{x^s}$ . For  $1 \leq p \leq \infty$ , determine exactly which spaces  $L^p(0, 1)$  contain  $g_s$ .

**P6.** The definition of the spaces  $\ell^p = \ell^p(\mathbb{N})$  in section 2.2 of the textbook is too terse. This problem at least includes a clear definition for  $p = \infty$ . Make sure to observe that infinite sequences are the same as functions from  $\mathbb{N}$  to  $\mathbb{C}$ .

The normed vector space  $(\ell^\infty, \|\cdot\|_\infty)$  is the set of infinite sequences  $a = (a_k) = (a_1, a_2, a_3, \dots)$  with complex entries ( $a_k \in \mathbb{C}$ ) which are bounded, that is, so that there is  $M > 0$  so that  $|a_k| < M$  for all  $k \in \mathbb{N}$ . The norm is the supremum of the absolute values of the entries:

$$\|a\|_\infty = \sup_{k=1,2,\dots} |a_k|.$$

- (a) Show that  $(\ell^\infty, \|\cdot\|_\infty)$  is a Banach space.
- (b) Consider the set of complex-valued sequences which have a limit of zero:

$$Y = \{(a_k) : a_k \rightarrow 0\}.$$

Show that  $Y \subset \ell^\infty$ .

- (c) Show that  $(Y, \|\cdot\|_\infty)$  is a Banach space. (*Hint.* You may do this directly or use part (a). For less than obvious reasons, this subset  $Y$  is often instead called  $c_0$ .)

**P7.** For any set  $X$  and measure  $\mu$ , the normed vector space  $L^1(X, d\mu)$  is defined in section 2.2 of our textbook. For such a space the Minkowski (triangle) inequality (2.5) can be proved directly, without reference to Appendix A.2. Do so.