

Static analysis

Software Analysis

Topic 5

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Today's menu

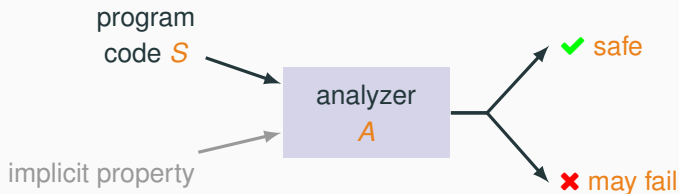
Data-flow analysis

Abstract interpretation

Type systems

Static analysis in practice

Static analysis: the very idea



Static analysis:

- analyzes **real** program **code**
- each analyzer targets a fixed set of **hard-coded properties** (compromise on **flexibility**)
- the output reports safe/unsafe for each **program location** individually
- is completely **automatic**
- is **sound** but incomplete

Static analysis: checked properties

The **properties** that are checked by static analysis are often general **safety properties** – stating the **absence of errors** of a certain kind:

- integer variables do not **overflow**
- there are no **type errors**
- there are no **null-pointer** dereferencing
- there are no **out-of-bound** array accesses
- there are no **race conditions**

Static analysis: this lecture

Static analysis is a **vast field** that has developed many techniques. Every software analysis technique that is **static** can be seen as a form of **static analysis** – although it may not be called that way.

Other names for the whole field are **program analysis** (which is often implicitly static by default) or **software analysis**.

Static analysis: this lecture

Static analysis is a **vast field** that has developed many techniques. Every software analysis technique that is **static** can be seen as a form of **static analysis** – although it may not be called that way.

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In this lecture we have a look at three **classic** static analysis techniques:

data-flow analysis approximates the behavior of programs on their control-flow graph

abstract interpretation is a general framework to define and check the correctness of static analyses

type systems are a widely used form of static analysis to reason about the values expressions may have at runtime

Using static analysis

Static analysis has numerous **applications**:

avoiding bugs/verification: checking the absence of **erroneous behavior** such as overflows, division by zero, and out-of-bound array access

security: checking the enforcement of **security properties** such as non-interference

compiler optimization: improving the **efficiency** of programs at compile time based on the static information about their behavior

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*The most important thing I have done as a programmer in recent years is to **aggressively pursue static code analysis**.*

John Carmack



Static vs. dynamic

Static:

- at **compile** time – before execution
- related to a program's **code**, or to any other (formal) **model** of the **software**
- **without executing** the software
- on **generic inputs**

Dynamic:

- at **run** time – during execution
- related to a program's **behavior**
- **while executing** the software
- on **specific inputs**

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“**Software analysis**” denotes **techniques, methods, and tools** useful to **establish** that some **software behaves** according to some **properties**.

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“**Software analysis**” denotes **techniques, methods, and tools** useful to **establish** that some **software behaves** according to some **properties**.

Therefore, **static analysis** infers properties of the **dynamic** behavior of programs without explicitly running them.

Static analysis: precision and expressiveness

Software analyses that target **undecidable properties** cannot be both sound and complete.

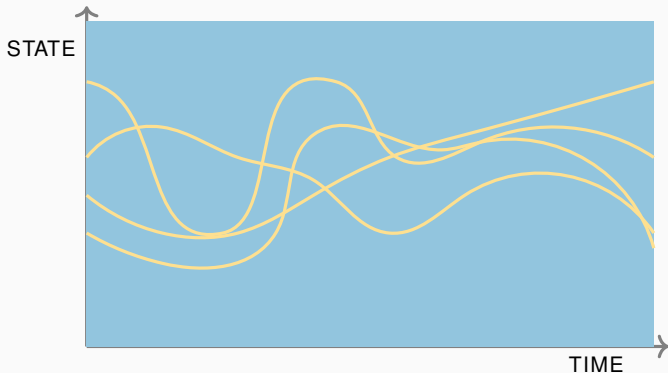
There is also a trade-off between **soundness**, **expressiveness**, and **automation**.

Static analysis:

- achieves soundness but gives up completeness – that is static analysis is **imprecise**
- targets fixed properties of certain kinds (such as control-flow properties) – thus giving up expressiveness while keeping automation

Program behavior

The (generic) behavior of a program consists of all its possible **executions** as sequences of **states**:



Each **line** is a different execution.

Program behavior: example

```
assume  $1 \leq x \leq 3$ 
```

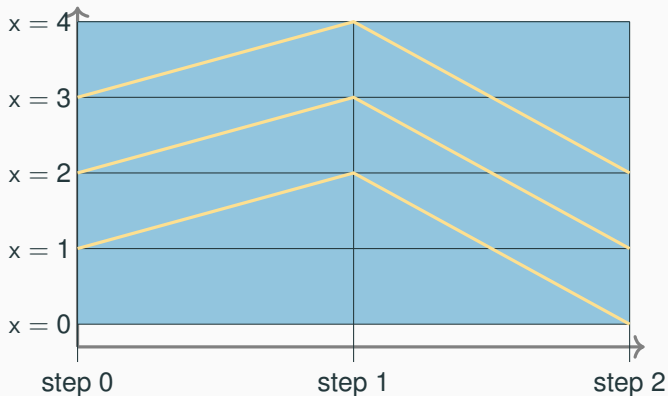
```
// step 0
```

```
x := x + 1
```

```
// step 1
```

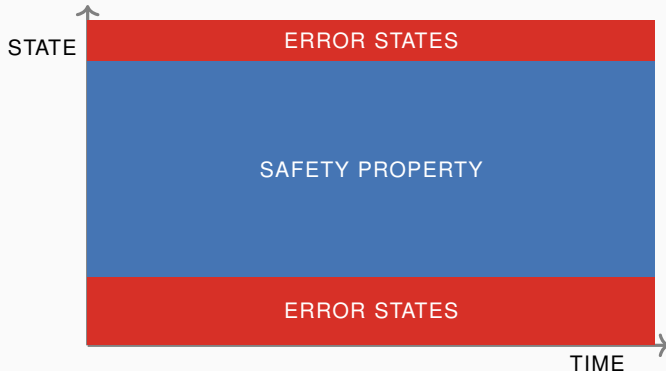
```
x := x - 2
```

```
// step 2
```



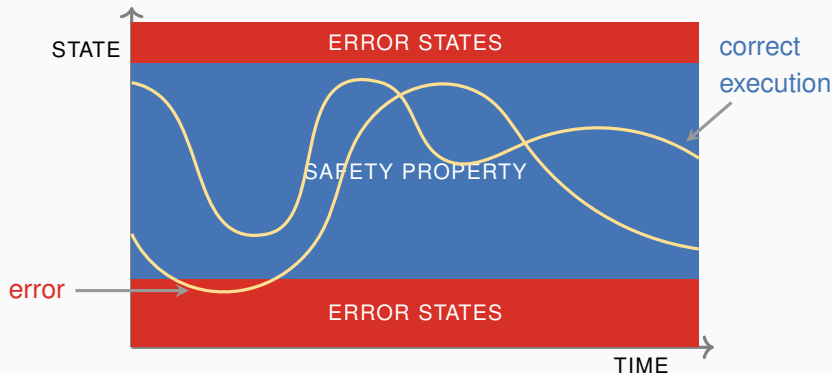
Safety properties and error states

A **safety property** is a set of **program states** that characterize **correct** executions. Its complement is the set of **error states**.



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An execution is **correct** (safe) iff it **never** enters an **error** state.

Safety properties and error states: example

```
assume  $1 \leq x \leq 3$ 
```

```
// step 0
```

```
x := x + 1
```

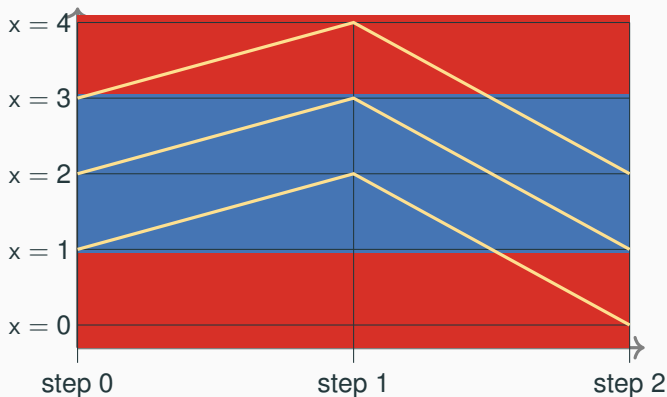
```
// step 1
```

```
x := x - 2
```

```
// step 2
```

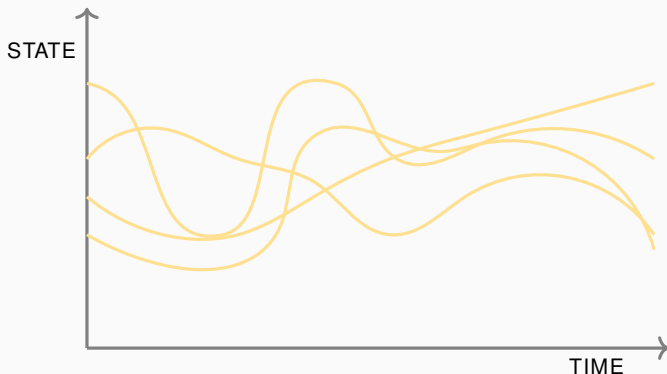
Safety property: $1 \leq x \leq 3$

Error states: $x < 1 \vee x > 3$



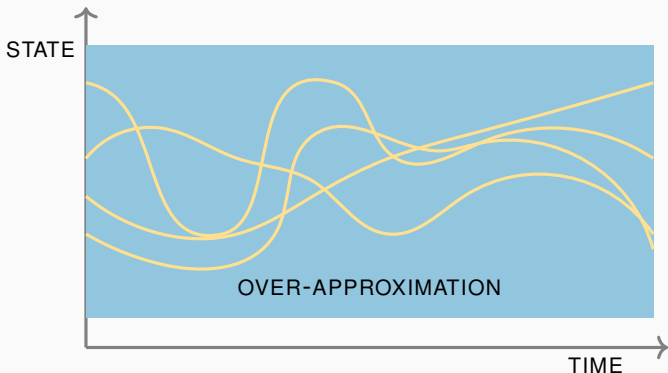
Approximations

An **abstraction** is an **approximation** of the behavior – typically in the form of a set of **reachable states** – which is **easier** to analyze than the concrete behavior.



Approximations

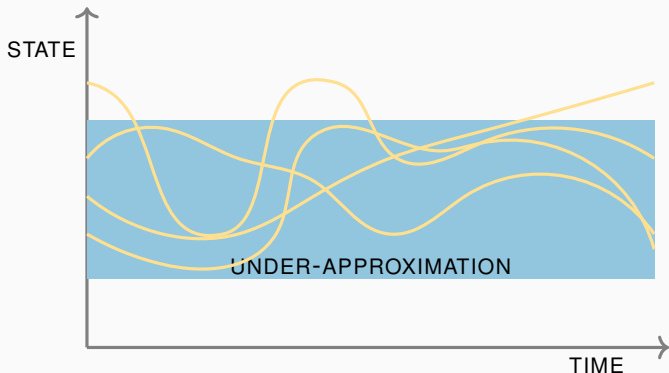
An **abstraction** is an **approximation** of the behavior – typically in the form of a set of **reachable states** – which is **easier** to analyze than the concrete behavior.



An **over-approximation** is a **superset** of all possible executions: it includes all concrete executions but may also include executions that are not feasible.

Approximations

An **abstraction** is an **approximation** of the behavior – typically in the form of a set of **reachable states** – which is **easier** to analyze than the concrete behavior.



An **under-approximation** is a **subset** of all possible executions: it includes no executions that are unfeasible, but may not include all concrete executions.

Under-approximation: example

assume $1 \leq x \leq 3$

// step 0

$x := x + 1$

// step 1

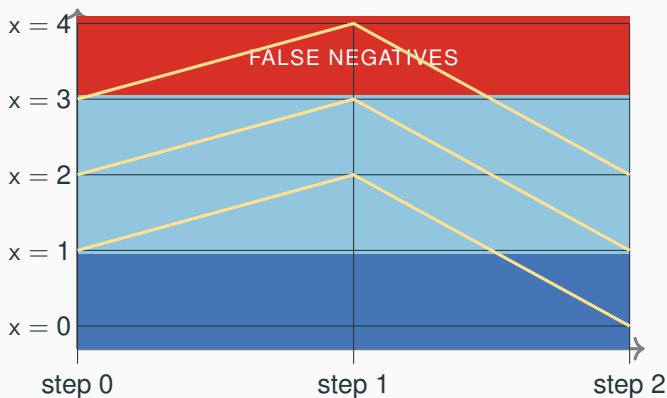
$x := x - 2$

// step 2

Safety property: $x \leq 3$

Error states: $x > 3$

Under-approximation: $1 \leq x \leq 3$



Over-approximation: example

assume $1 \leq x \leq 2$

// step 0

$x := x + 1$

// step 1

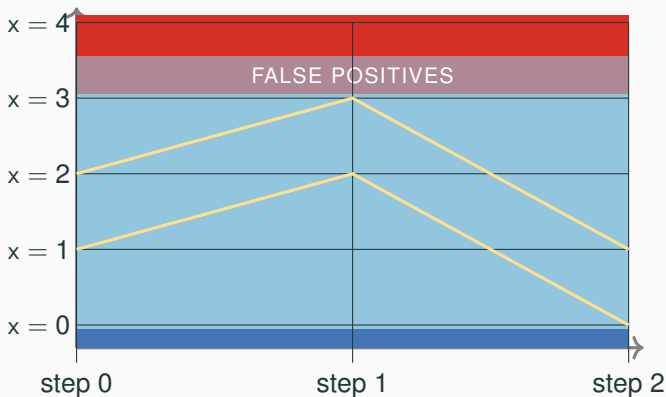
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Safety property: $x \leq 3$

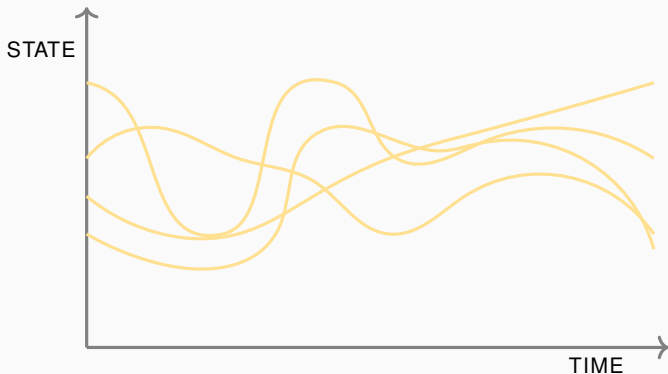
Error states: $x > 3$

Over-approximation: $0 \leq x \leq 3.5$



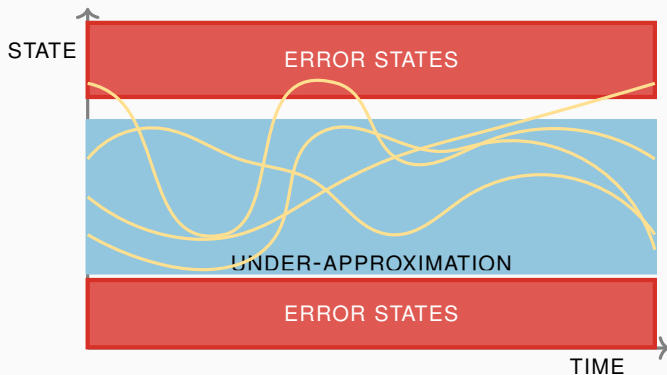
Soundness and precision

Static analysis is based on **over-approximations** to be **sound** – possibly sacrificing **precision** (completeness).



Soundness and precision

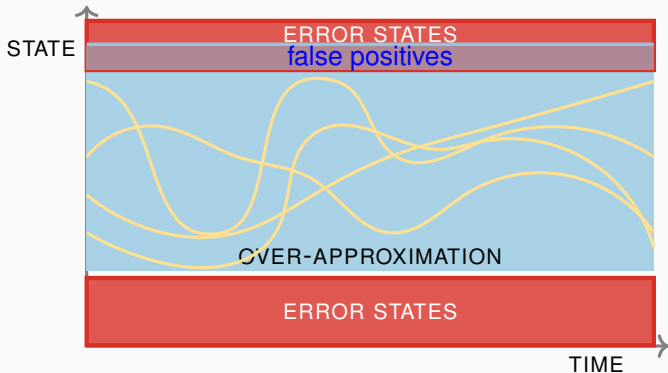
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An analysis based on **under-approximations** is **unsound**: it may miss **errors** (generate false negatives).

Soundness and precision

Static analysis is based on **over-approximations** to be **sound** – possibly sacrificing **precision** (completeness).



An analysis based on **over-approximations** is **imprecise**: it may report **spurious** errors (generate false positives).

Precision vs. efficiency

When designing a static analysis, **precision** is often traded-off against **efficiency**:

- perfect precision is often impossible due to **undecidability**
- even for decidable problems, high precision may still be too **computationally expensive**
- low precision leads to many **false positives**, which users have to identified as such **manually**

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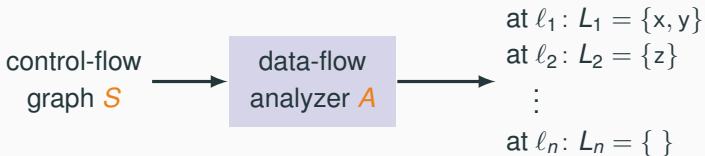
Designing a static analysis requires to **balance** precision and **efficiency** in a way that is **practical**.

Data-flow analysis

What is a data-flow analysis

A **data-flow analysis** is a kind of static analysis that:

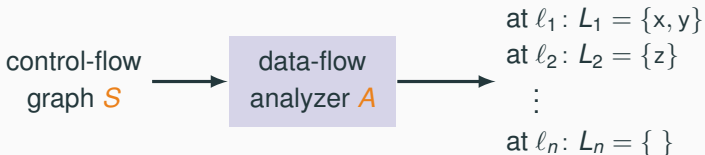
- works on the **control-flow graph** of the input program
- derives information about the **data flow**: what **values** are **read** (used) and **written** (defined) at specific **program points**



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The **property** under analysis is derivable from the analysis's output.

Example: **live variables analysis**.

output: for each program point which variables are **live** – will be read before being overwritten

property: is variable v live at ℓ_k ? Check if $v \in L_k$

Data-flow analysis

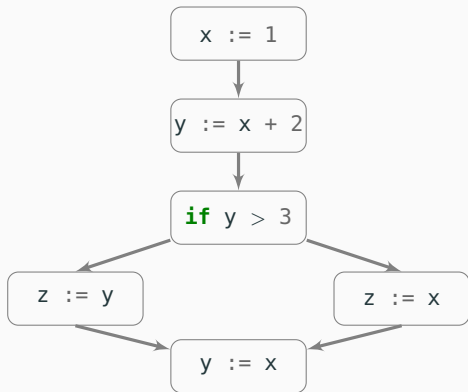
Control-flow graphs

Control-flow graphs

The **control-flow graph (CFG)** of a program is a directed graph representing possible **execution paths**:

- each statement corresponds to a node in the graph
- edges connect nodes of consecutive statements

```
x := 1
y := x + 2
if y > 3
  z := y
else
  z := x
y := x
```



Control-flow graphs of Helium programs

We define the **control-flow graphs** of **Helium** programs – ignoring **declarations** since they do not affect the program state which is what static analysis targets.

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Each **atomic statement** corresponds to a single CFG node.

skip

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$v_1, \dots, v_n := E_1, \dots, E_n$

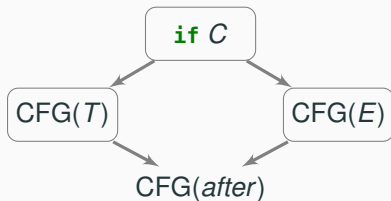
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Control-flow graphs of Helium programs

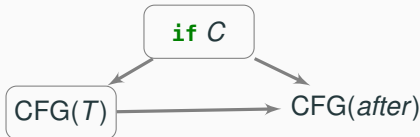
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Each **conditional** statement introduces a branch.

if C T **else** E ; $after$



if C T ; $after$

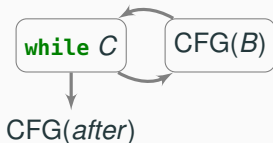


Control-flow graphs of Helium programs

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Each **loop** statement introduces a branch and a loop.

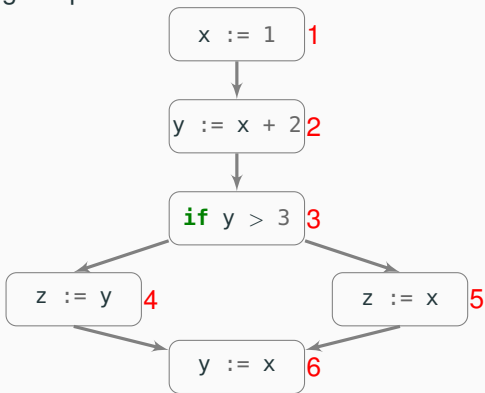
while C B ; *after*



Labels

We **label** statements (and expressions of conditionals and loops) to be able to refer to specific program points.

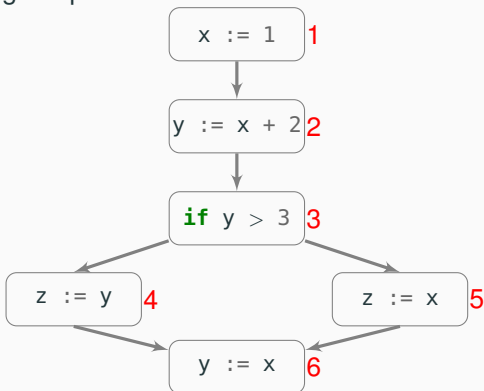
```
{ x := 1 }1  
{ y := x + 2 }2  
if ( y > 3 )3  
  { z := y }4  
else  
  { z := x }5  
{ y := x }6
```



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elementary block: a labeled node in the CFG (also: program **point**)

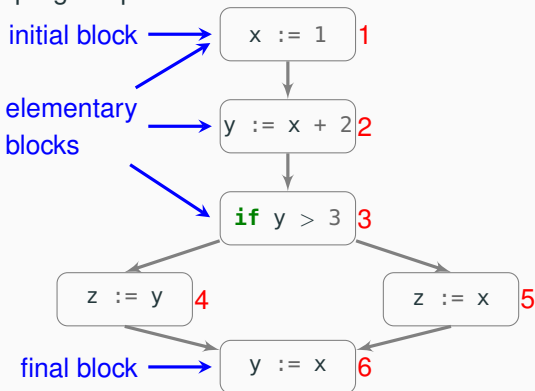
initial block: block where execution begins

final block: block after which execution terminates

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Data-flow analysis

Live variables analysis

Live variables

A variable v is **live** at the exit from a block if there is some path (on the CFG) from the block to a **use** of v that does not **redefine** v .

↑
read v

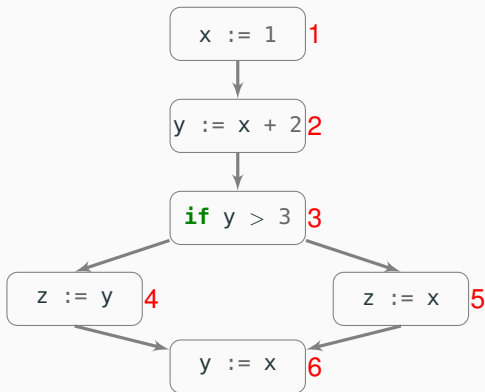
↑
write v

Live variables

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↑
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↑
write v



Examples:

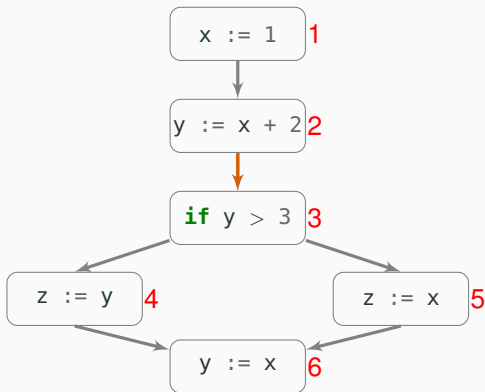
- y at 2:
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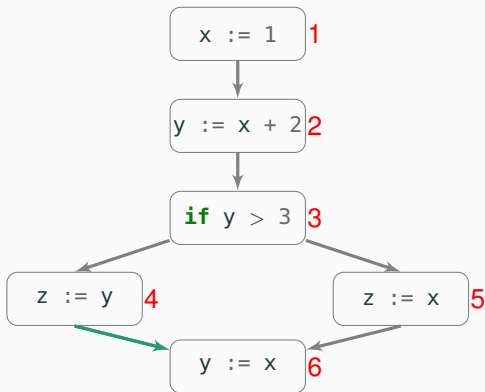
- y at 2: **live**
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↑
read v

↑
write v



Examples:

- `y` at 2: **live**
- `z` at 4: **not live**

Live variables analysis

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Live variables analysis: for each program point, determine which **variables may** be **live** at the point.

↑
after the point/
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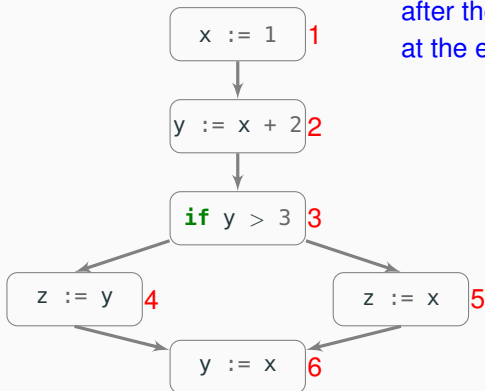
Live variables analysis's
output:

$LV(1) = \{\dots\}$

$LV(2) = \{y, \dots\}$

\vdots

$LV(6) = \{\}$



Live variables analysis

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Live variables analysis: for each program point, determine which **variables may** be **live** at the point.

over-approximation  **after the point/
at the exit from the block** 

A **may analysis** is an over-approximation:
 $LV(k)$ is a **superset** of the **live** variables at k .

- if $x \in LV(k)$ x may or **may not** be live at k (for example because it is live along certain paths but not live along others)
- if $y \notin LV(k)$ y is **definitely** not live at k

The analysis has to be sound, and then as precise as possible given the information available in the CFG.

Live variables analysis: applications

A variable v is **live** at the exit from a block if there is some path (on the CFG) from the block to a **use** of v that does not **redefine** v .

If a variable v is **not live** after it is **defined** in an assignment, the assignment is **useless** and can be **removed** without changing program behavior.

Dead assignment elimination: any block k such that:

1. k is an **assignment** to variable v
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can be **eliminated** without affecting program behavior.

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{ x := 4 }1
```

```
{ x := 7 }2
```

```
if z > y
```

```
  y := x
```

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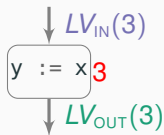
```
{ x := 4 }1  
{ x := 7 }2  
if z > y  
  y := x
```

x not live here:
the assignment is useless

x may be live here:
the assignment is possibly useful

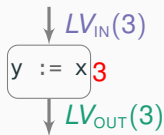
Live variables analysis: idea

Record the **possibly live** variables at the **entry** and **exit** of every elementary block.



Live variables analysis: idea

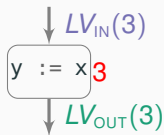
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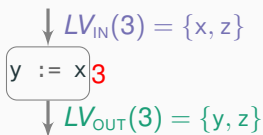
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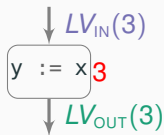


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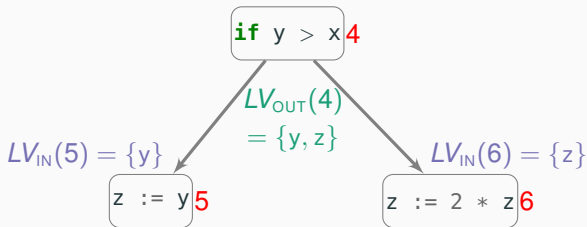
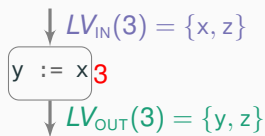


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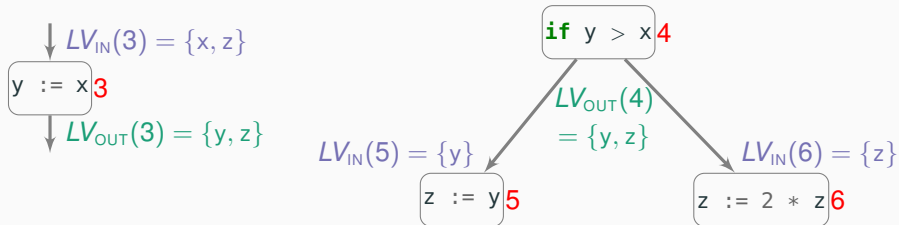


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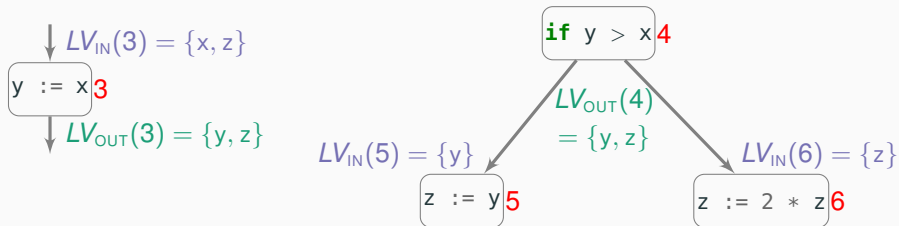


Work **backward** from the **exit** block to the entry block.

$$LV_{IN}(k) = (LV_{OUT}(k) \setminus \text{"assigned at } k") \cup \text{"used at } k"$$
$$LV_{OUT}(k) = \bigcup_{h \text{ direct successor of } k} LV_{IN}(h)$$

Live variables analysis: idea

For each **block**, relate LV_{IN} to LV_{OUT} .

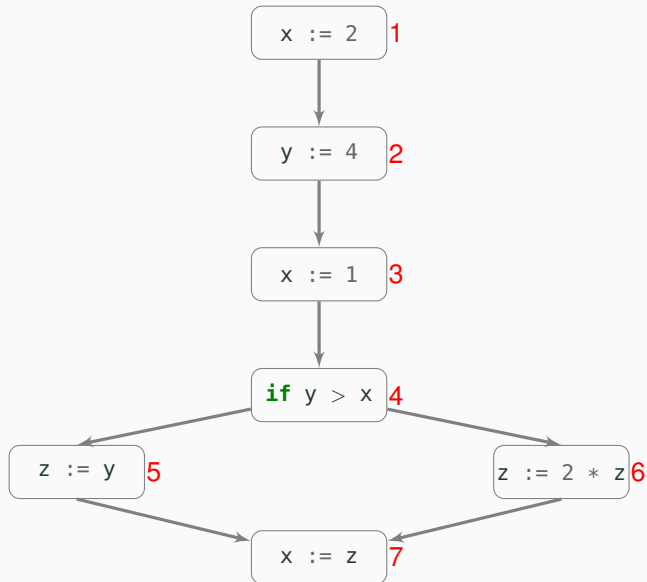


Work **backward** from the **exit** block to the entry block.

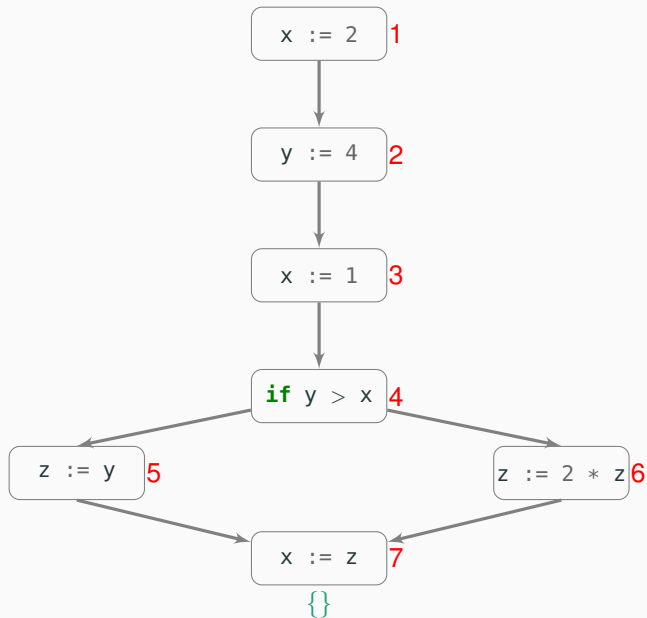
$$LV_{IN}(k) = (LV_{OUT}(k) \setminus \text{"assigned at } k") \cup \text{"used at } k"$$
$$LV_{OUT}(k) = \bigcup_{h \text{ direct successor of } k} LV_{IN}(h)$$

The analysis's final output is: $LV(1) = LV_{OUT}(1), \dots, LV(n) = LV_{OUT}(n)$

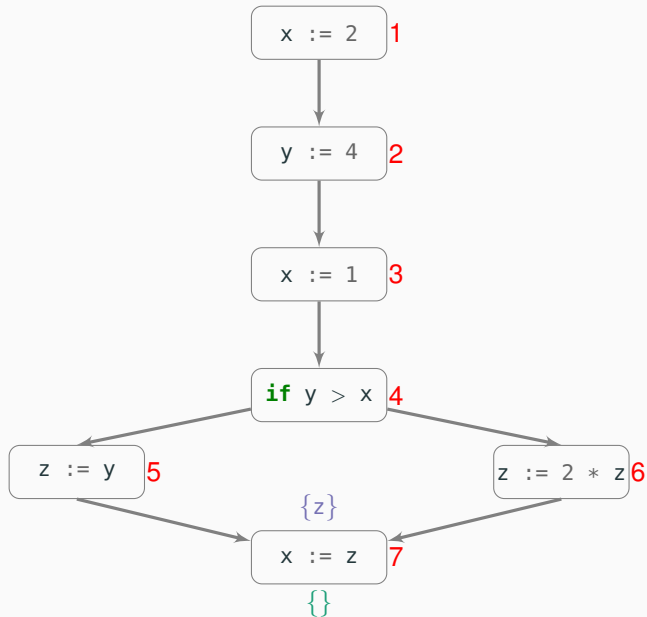
Live variables analysis: example



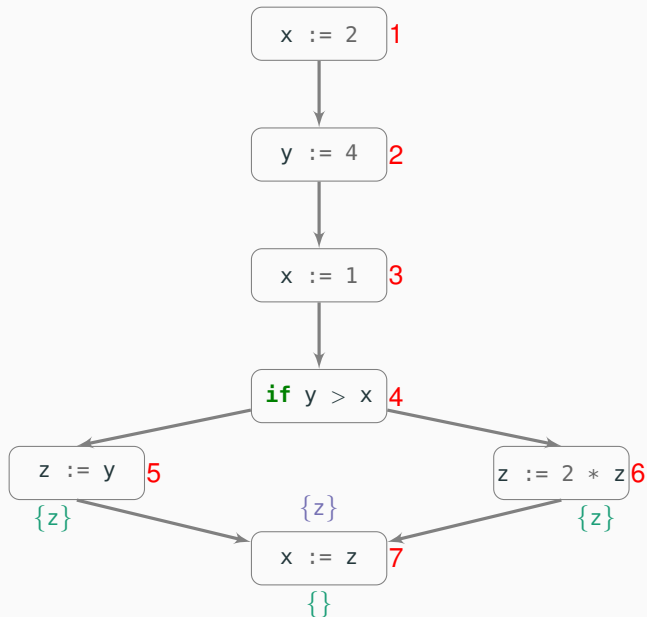
Live variables analysis: example



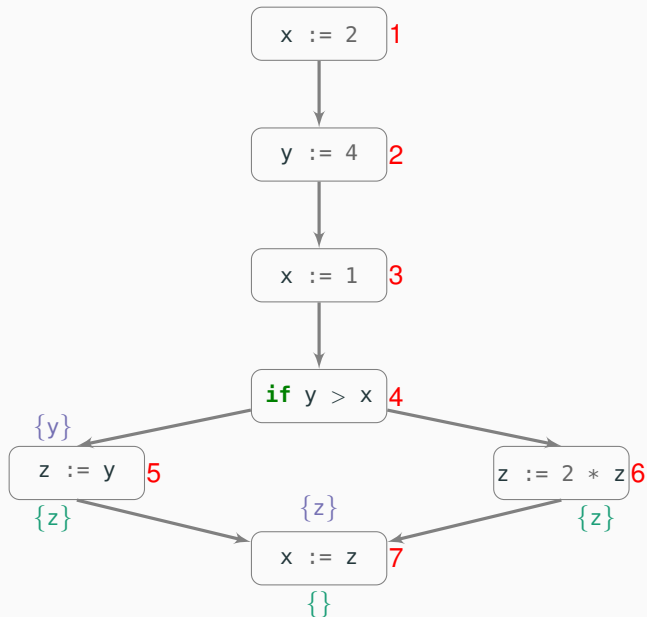
Live variables analysis: example



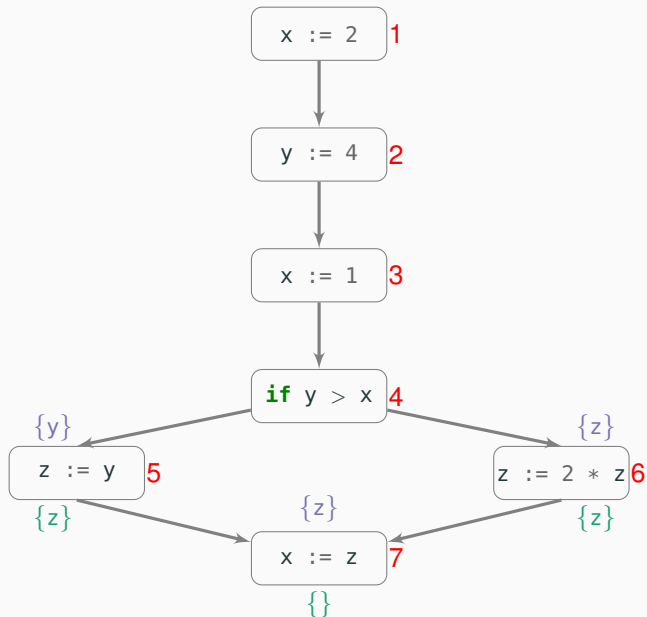
Live variables analysis: example



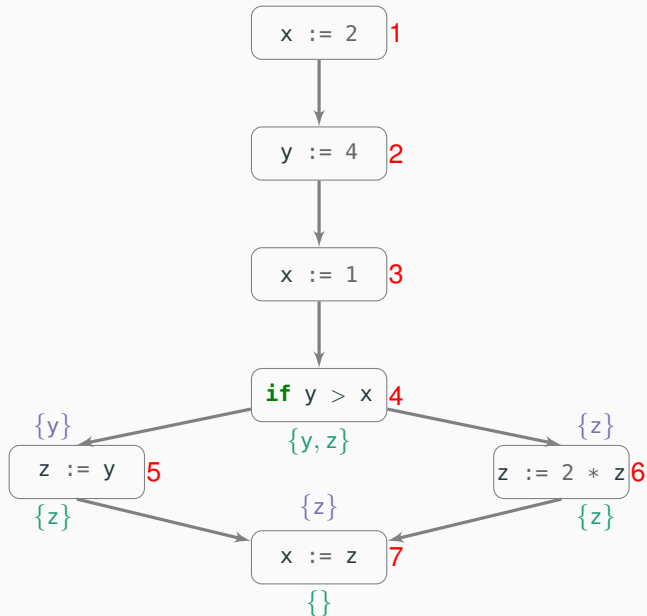
Live variables analysis: example



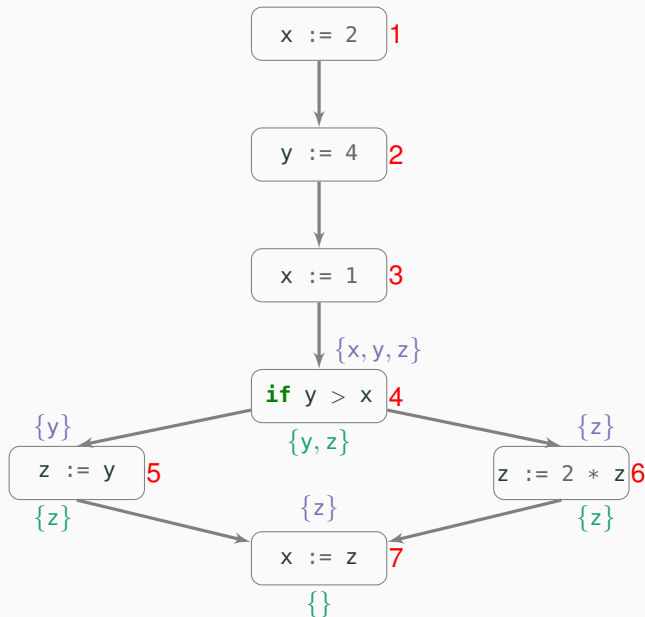
Live variables analysis: example



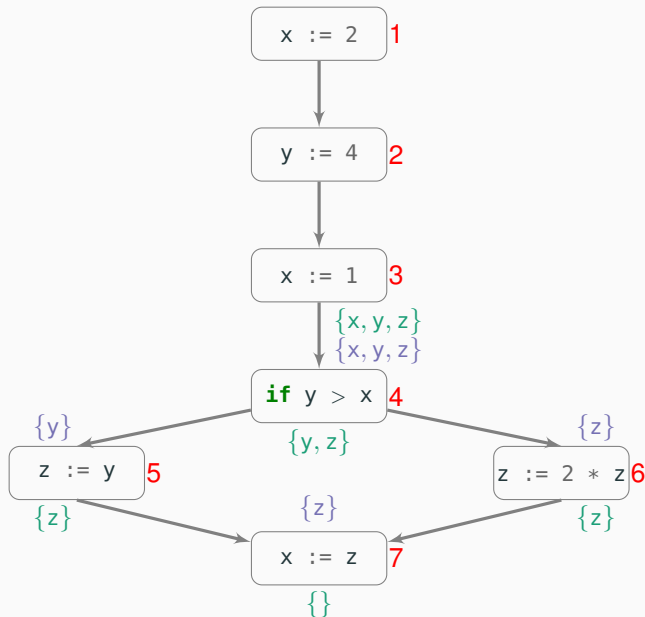
Live variables analysis: example



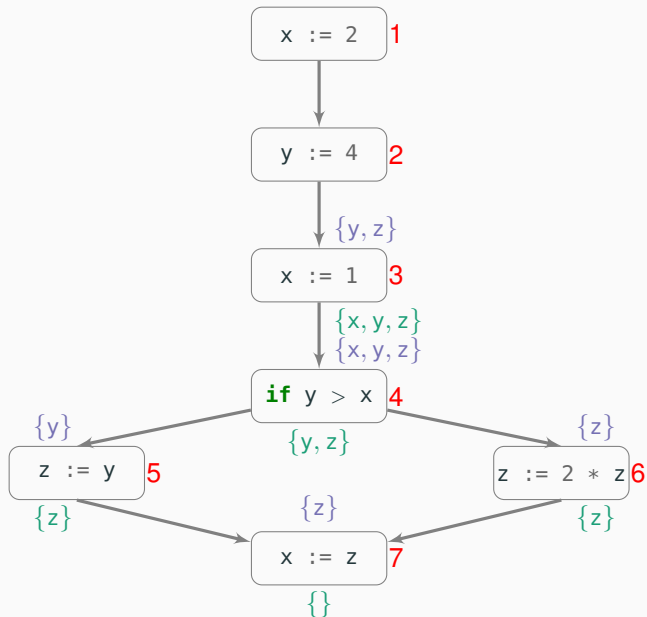
Live variables analysis: example



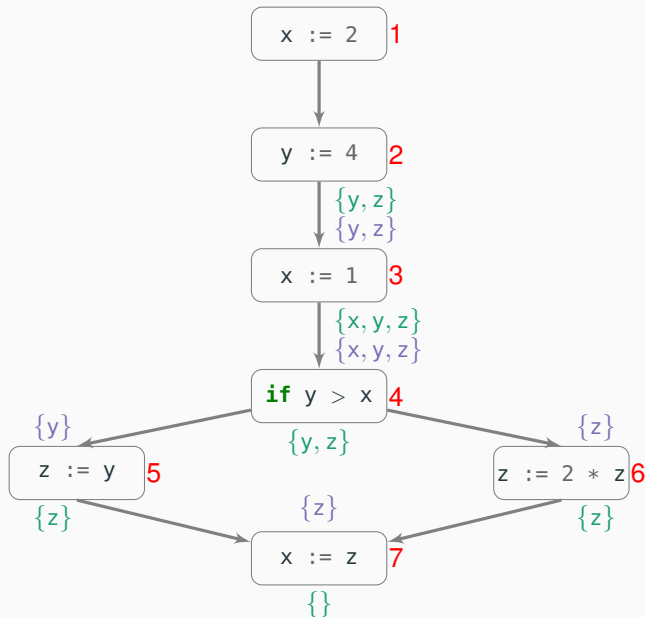
Live variables analysis: example



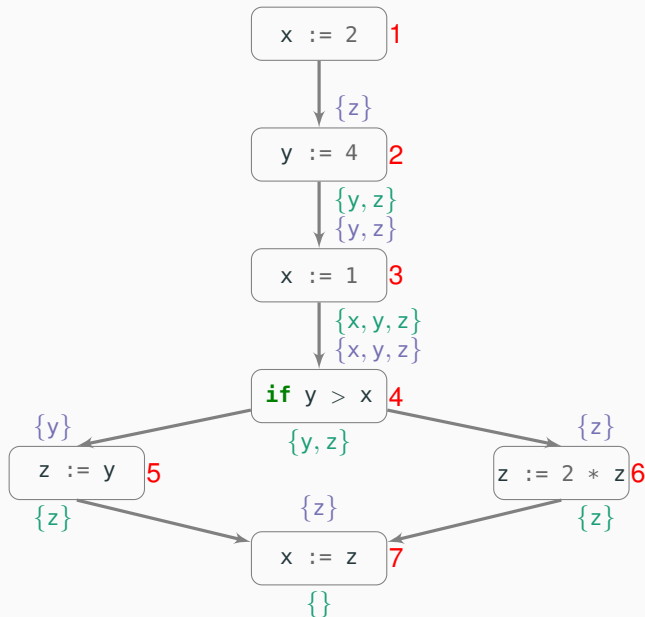
Live variables analysis: example



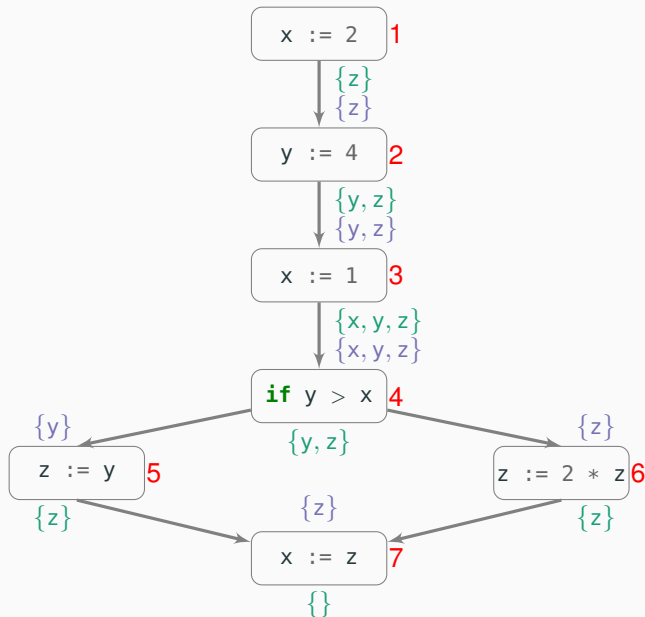
Live variables analysis: example



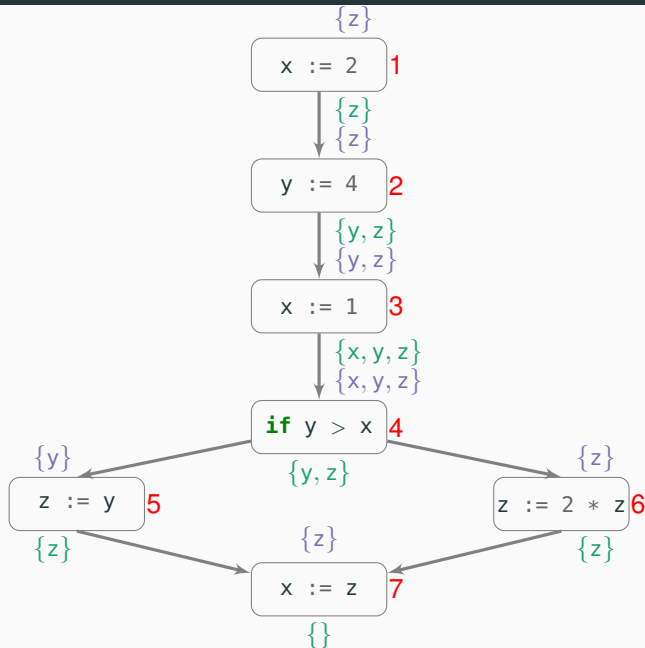
Live variables analysis: example



Live variables analysis: example

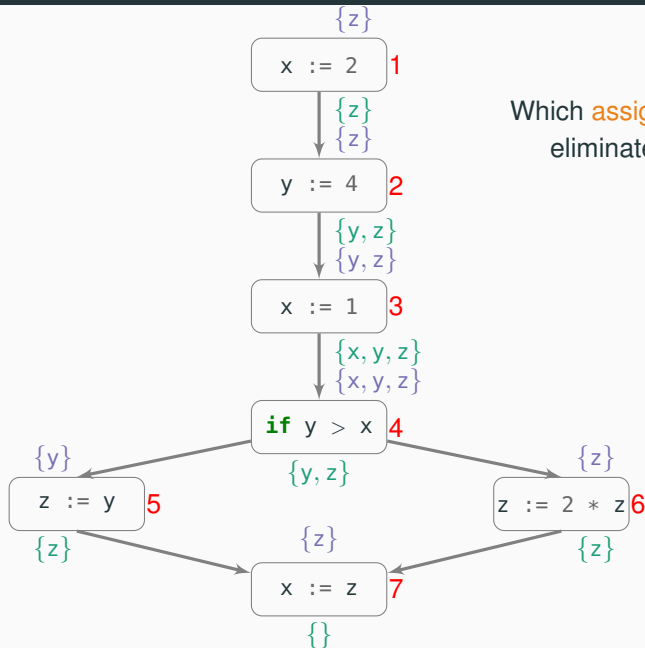


Live variables analysis: example

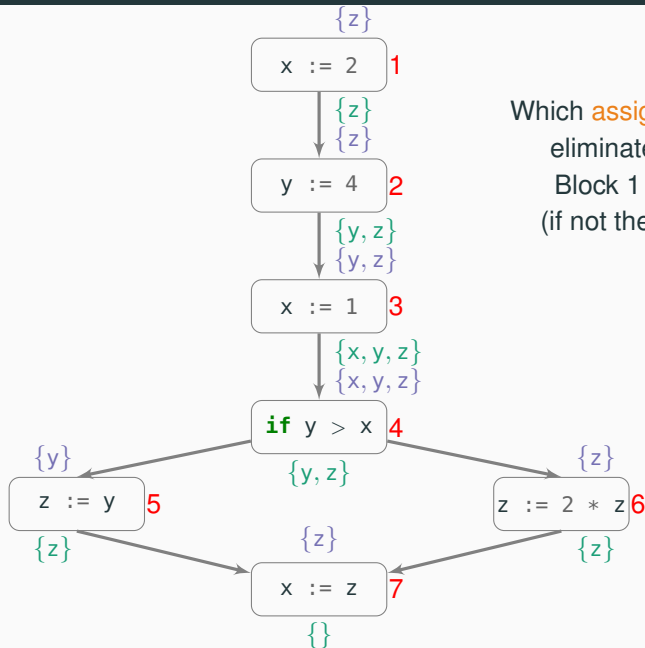


Live variables analysis: example

Which **assignments** can be eliminated as **dead**?



Live variables analysis: example



Which **assignments** can be eliminated as **dead**?

Block 1 and block 7
(if not the final output)

Formalizing data-flow analyses

We **formalize** the idea of live variables analysis as an **equation system**:

- $LV_{IN}(k)$ and $LV_{OUT}(k)$ are **variables**
- the **equations** formalize the relations:

$$\begin{aligned} LV_{IN}(k) &= (LV_{OUT}(k) \setminus \text{“assigned at } k\text{”}) \cup \text{“used at } k\text{”} \\ LV_{OUT}(k) &= \bigcup_{h \text{ direct successor of } k} LV_{IN}(h) \end{aligned}$$

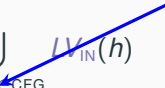
for every possible **block type** (assignment or branch condition)

The **analysis** result is the **solution** of the equation system, which can be computed using standard algorithms.

Data-flow equations

For every block k :

for every node h that follows k in the CFG
(i.e., h is a direct successor of k)

$$LV_{OUT}(k) = \bigcup_{(k \rightarrow h) \in CFG} LV_{IN}(h)$$


$$LV_{IN}(k) = (LV_{OUT}(k) \setminus \text{kill}_{LV}(k)) \cup \text{gen}_{LV}(k)$$

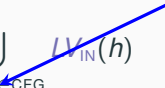

variables assigned at k

variables used at k

Data-flow equations

For every block k :

for every node h that follows k in the CFG
(i.e., h is a direct successor of k)

$$LV_{OUT}(k) = \bigcup_{(k \rightarrow h) \in CFG} LV_{IN}(h)$$


$$LV_{IN}(k) = (LV_{OUT}(k) \setminus kill_{LV}(k)) \cup gen_{LV}(k)$$


variables assigned at k

variables used at k

If f is a final node, it has no successors, and hence $LV_{OUT}(f) = \{\}$.

Data-flow equations

For every block k :

for every node h that follows k in the CFG
(i.e., h is a direct successor of k)

$$LV_{OUT}(k) = \bigcup_{(k \rightarrow h) \in CFG} LV_{IN}(h)$$

$$LV_{IN}(k) = (LV_{OUT}(k) \setminus \text{kill}_{LV}(k)) \cup \text{gen}_{LV}(k)$$

variables assigned at k

variables used at k

If f is a final node, it has no successors, and hence $LV_{OUT}(f) = \{\}$.

We define kill_{LV} and gen_{LV} for every block type:

$$\text{kill}_{LV}(\text{skip}) = \{\}$$

$$\text{gen}_{LV}(\text{skip}) = \{\}$$

$$\text{kill}_{LV}(v := E) = \{v\}$$

$$\text{gen}_{LV}(v := E) = \{x \mid x \text{ is a (free) variable in } E\}$$

$$\text{kill}_{LV}(\text{if/while } C) = \{\}$$

$$\text{gen}_{LV}(\text{if/while } C) = \{x \mid x \text{ is a (free) variable in } C\}$$

Equation system for live variables analysis: example

$$LV_{OUT}(1) = LV_{IN}(2)$$

$$LV_{OUT}(2) = LV_{IN}(3)$$

$$LV_{OUT}(3) = LV_{IN}(4)$$

$$LV_{OUT}(4) = LV_{IN}(5) \cup LV_{IN}(6)$$

$$LV_{OUT}(5) = LV_{IN}(7)$$

$$LV_{OUT}(6) = LV_{IN}(7)$$

$$LV_{OUT}(7) = \{\}$$

$$LV_{IN}(1) = LV_{OUT}(1) \setminus \{x\}$$

$$LV_{IN}(2) = LV_{OUT}(2) \setminus \{y\}$$

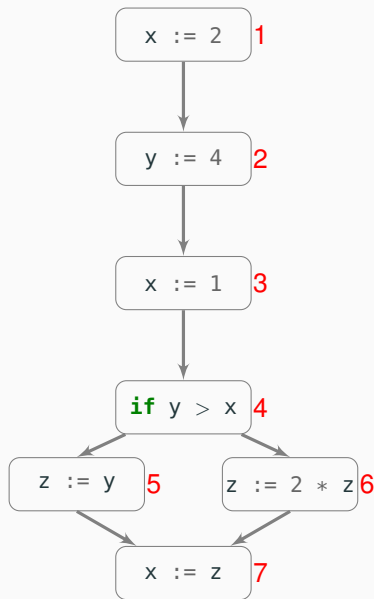
$$LV_{IN}(3) = LV_{OUT}(3) \setminus \{x\}$$

$$LV_{IN}(4) = LV_{OUT}(4) \cup \{x, y\}$$

$$LV_{IN}(5) = (LV_{OUT}(5) \setminus \{z\}) \cup \{y\}$$

$$LV_{IN}(6) = (LV_{OUT}(6) \setminus \{z\}) \cup \{z\}$$

$$LV_{IN}(7) = (LV_{OUT}(7) \setminus \{x\}) \cup \{z\}$$



Data-flow analysis

Equation solving

Vector equations

$$LV_{OUT}(1) = LV_{IN}(2)$$

$$LV_{OUT}(2) = LV_{IN}(3)$$

$$LV_{OUT}(3) = LV_{IN}(4)$$

$$LV_{OUT}(4) = LV_{IN}(5) \cup LV_{IN}(6)$$

$$LV_{OUT}(5) = LV_{IN}(7)$$

$$LV_{OUT}(6) = LV_{IN}(7)$$

$$LV_{OUT}(7) = \{\}$$

$$LV_{IN}(1) = LV_{OUT}(1) \setminus \{x\}$$

$$LV_{IN}(2) = LV_{OUT}(2) \setminus \{y\}$$

$$LV_{IN}(3) = LV_{OUT}(3) \setminus \{x\}$$

$$LV_{IN}(4) = LV_{OUT}(4) \cup \{x, y\}$$

$$LV_{IN}(5) = (LV_{OUT}(5) \setminus \{z\}) \cup \{y\}$$

$$LV_{IN}(6) = (LV_{OUT}(6) \setminus \{z\}) \cup \{z\}$$

$$LV_{IN}(7) = (LV_{OUT}(7) \setminus \{x\}) \cup \{z\}$$

Vector equations

$$LV_{OUT}(1) = LV_{IN}(2)$$

$$LV_{OUT}(2) = LV_{IN}(3)$$

$$LV_{OUT}(3) = LV_{IN}(4)$$

$$LV_{OUT}(4) = LV_{IN}(5) \cup LV_{IN}(6)$$

$$LV_{OUT}(5) = LV_{IN}(7)$$

$$LV_{OUT}(6) = LV_{IN}(7)$$

$$LV_{OUT}(7) = \{\}$$

$$LV_{IN}(1) = LV_{OUT}(1) \setminus \{x\}$$

$$LV_{IN}(2) = LV_{OUT}(2) \setminus \{y\}$$

$$LV_{IN}(3) = LV_{OUT}(3) \setminus \{x\}$$

$$LV_{IN}(4) = LV_{OUT}(4) \cup \{x, y\}$$

$$LV_{IN}(5) = (LV_{OUT}(5) \setminus \{z\}) \cup \{y\}$$

$$LV_{IN}(6) = (LV_{OUT}(6) \setminus \{z\}) \cup \{z\}$$

$$LV_{IN}(7) = (LV_{OUT}(7) \setminus \{x\}) \cup \{z\}$$

The equations over variables $LV_{OUT}(1), LV_{OUT}(2), \dots, LV_{IN}(7)$ are formally equivalent to equations over **set** variables X_1, \dots, X_{14} .

Vector equations

$$LV_{OUT}(1) = LV_{IN}(2)$$

$$LV_{OUT}(2) = LV_{IN}(3)$$

$$LV_{OUT}(3) = LV_{IN}(4)$$

$$LV_{OUT}(4) = LV_{IN}(5) \cup LV_{IN}(6)$$

$$LV_{OUT}(5) = LV_{IN}(7)$$

$$LV_{OUT}(6) = LV_{IN}(7)$$

$$LV_{OUT}(7) = \{\}$$

$$LV_{IN}(1) = LV_{OUT}(1) \setminus \{x\}$$

$$LV_{IN}(2) = LV_{OUT}(2) \setminus \{y\}$$

$$LV_{IN}(3) = LV_{OUT}(3) \setminus \{x\}$$

$$LV_{IN}(4) = LV_{OUT}(4) \cup \{x, y\}$$

$$LV_{IN}(5) = (LV_{OUT}(5) \setminus \{z\}) \cup \{y\}$$

$$LV_{IN}(6) = (LV_{OUT}(6) \setminus \{z\}) \cup \{z\}$$

$$LV_{IN}(7) = (LV_{OUT}(7) \setminus \{x\}) \cup \{z\}$$

$$X_1 = LV_{IN}(2)$$

$$X_2 = LV_{IN}(3)$$

$$X_3 = LV_{IN}(4)$$

$$X_4 = LV_{IN}(5) \cup LV_{IN}(6)$$

$$X_5 = LV_{IN}(7)$$

$$X_6 = LV_{IN}(7)$$

$$X_7 = \{\}$$

$$X_8 = LV_{OUT}(1) \setminus \{x\}$$

$$X_9 = LV_{OUT}(2) \setminus \{y\}$$

$$X_{10} = LV_{OUT}(3) \setminus \{x\}$$

$$X_{11} = LV_{OUT}(4) \cup \{x, y\}$$

$$X_{12} = (LV_{OUT}(5) \setminus \{z\}) \cup \{y\}$$

$$X_{13} = (LV_{OUT}(6) \setminus \{z\}) \cup \{z\}$$

$$X_{14} = (LV_{OUT}(7) \setminus \{x\}) \cup \{z\}$$

Vector equations

$$LV_{OUT}(1) = LV_{IN}(2)$$

$$LV_{OUT}(2) = LV_{IN}(3)$$

$$LV_{OUT}(3) = LV_{IN}(4)$$

$$LV_{OUT}(4) = LV_{IN}(5) \cup LV_{IN}(6)$$

$$LV_{OUT}(5) = LV_{IN}(7)$$

$$LV_{OUT}(6) = LV_{IN}(7)$$

$$LV_{OUT}(7) = \{\}$$

$$X_1 = X_9$$

$$X_2 = X_{10}$$

$$X_3 = X_{11}$$

$$X_4 = X_{12} \cup X_{13}$$

$$X_5 = X_{14}$$

$$X_6 = X_{14}$$

$$X_7 = \{\}$$

$$LV_{IN}(1) = LV_{OUT}(1) \setminus \{x\}$$

$$LV_{IN}(2) = LV_{OUT}(2) \setminus \{y\}$$

$$LV_{IN}(3) = LV_{OUT}(3) \setminus \{x\}$$

$$LV_{IN}(4) = LV_{OUT}(4) \cup \{x, y\}$$

$$LV_{IN}(5) = (LV_{OUT}(5) \setminus \{z\}) \cup \{y\}$$

$$LV_{IN}(6) = (LV_{OUT}(6) \setminus \{z\}) \cup \{z\}$$

$$LV_{IN}(7) = (LV_{OUT}(7) \setminus \{x\}) \cup \{z\}$$

$$X_8 = X_1 \setminus \{x\}$$

$$X_9 = X_2 \setminus \{y\}$$

$$X_{10} = X_3 \setminus \{x\}$$

$$X_{11} = X_4 \cup \{x, y\}$$

$$X_{12} = (X_5 \setminus \{z\}) \cup \{y\}$$

$$X_{13} = (X_6 \setminus \{z\}) \cup \{z\}$$

$$X_{14} = (X_7 \setminus \{x\}) \cup \{z\}$$

Vector equations

$$LV_{OUT}(1) = LV_{IN}(2)$$

$$LV_{OUT}(2) = LV_{IN}(3)$$

$$LV_{OUT}(3) = LV_{IN}(4)$$

$$LV_{OUT}(4) = LV_{IN}(5) \cup LV_{IN}(6)$$

$$LV_{OUT}(5) = LV_{IN}(7)$$

$$LV_{OUT}(6) = LV_{IN}(7)$$

$$LV_{OUT}(7) = \{\}$$

$$X_1 = F_1(X_1, \dots, X_{14})$$

$$X_2 = F_2(X_1, \dots, X_{14})$$

$$X_3 = F_3(X_1, \dots, X_{14})$$

$$X_4 = F_4(X_1, \dots, X_{14})$$

$$X_5 = F_5(X_1, \dots, X_{14})$$

$$X_6 = F_6(X_1, \dots, X_{14})$$

$$X_7 = F_7(X_1, \dots, X_{14})$$

$$X_8 = F_8(X_1, \dots, X_{14})$$

$$X_9 = F_9(X_1, \dots, X_{14})$$

$$X_{10} = F_{10}(X_1, \dots, X_{14})$$

$$X_{11} = F_{11}(X_1, \dots, X_{14})$$

$$X_{12} = F_{12}(X_1, \dots, X_{14})$$

$$X_{13} = F_{13}(X_1, \dots, X_{14})$$

$$X_{14} = F_{14}(X_1, \dots, X_{14})$$

$$LV_{IN}(1) = LV_{OUT}(1) \setminus \{x\}$$

$$LV_{IN}(2) = LV_{OUT}(2) \setminus \{y\}$$

$$LV_{IN}(3) = LV_{OUT}(3) \setminus \{x\}$$

$$LV_{IN}(4) = LV_{OUT}(4) \cup \{x, y\}$$

$$LV_{IN}(5) = (LV_{OUT}(5) \setminus \{z\}) \cup \{y\}$$

$$LV_{IN}(6) = (LV_{OUT}(6) \setminus \{z\}) \cup \{z\}$$

$$LV_{IN}(7) = (LV_{OUT}(7) \setminus \{x\}) \cup \{z\}$$

Vector equations

$$LV_{OUT}(1) = LV_{IN}(2)$$

$$LV_{OUT}(2) = LV_{IN}(3)$$

$$LV_{OUT}(3) = LV_{IN}(4)$$

$$LV_{OUT}(4) = LV_{IN}(5) \cup LV_{IN}(6)$$

$$LV_{OUT}(5) = LV_{IN}(7)$$

$$LV_{OUT}(6) = LV_{IN}(7)$$

$$LV_{OUT}(7) = \{\}$$

$$LV_{IN}(1) = LV_{OUT}(1) \setminus \{x\}$$

$$LV_{IN}(2) = LV_{OUT}(2) \setminus \{y\}$$

$$LV_{IN}(3) = LV_{OUT}(3) \setminus \{x\}$$

$$LV_{IN}(4) = LV_{OUT}(4) \cup \{x, y\}$$

$$LV_{IN}(5) = (LV_{OUT}(5) \setminus \{z\}) \cup \{y\}$$

$$LV_{IN}(6) = (LV_{OUT}(6) \setminus \{z\}) \cup \{z\}$$

$$LV_{IN}(7) = (LV_{OUT}(7) \setminus \{x\}) \cup \{z\}$$

$$\vec{X} = F(\vec{X})$$

- $\vec{X} = X_1, \dots, X_{14}$ is a **vector** of variables
- F is a **vector function** whose components are F_1, \dots, F_{14}

Least solutions

$$X_1 = X_9$$

$$X_2 = X_{10}$$

$$X_3 = X_{11}$$

$$X_4 = X_{12} \cup X_{13}$$

$$X_5 = X_{14}$$

$$X_6 = X_{14}$$

$$X_7 = \{\}$$

$$X_8 = X_1 \setminus \{x\}$$

$$X_9 = X_2 \setminus \{y\}$$

$$X_{10} = X_3 \setminus \{x\}$$

$$X_{11} = X_4 \cup \{x, y\}$$

$$X_{12} = (X_5 \setminus \{z\}) \cup \{y\}$$

$$X_{13} = (X_6 \setminus \{z\}) \cup \{z\}$$

$$X_{14} = (X_7 \setminus \{x\}) \cup \{z\}$$

We already know a **solution** to this set of equations:

$$X_7 = \{\}$$

$$X_8, X_1, X_9, X_{13}, X_5, X_6, X_{14} = \{z\}$$

$$X_{12} = \{y\}$$

$$X_2, X_{10}, X_4 = \{y, z\}$$

$$X_3, X_{11} = \{x, y, z\}$$

Least solutions

$$X_1 = X_9$$

$$X_2 = X_{10}$$

$$X_3 = X_{11}$$

$$X_4 = X_{12} \cup X_{13}$$

$$X_5 = X_{14}$$

$$X_6 = X_{14}$$

$$X_7 = \{\}$$

$$X_8 = X_1 \setminus \{x\}$$

$$X_9 = X_2 \setminus \{y\}$$

$$X_{10} = X_3 \setminus \{x\}$$

$$X_{11} = X_4 \cup \{x, y\}$$

$$X_{12} = (X_5 \setminus \{z\}) \cup \{y\}$$

$$X_{13} = (X_6 \setminus \{z\}) \cup \{z\}$$

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We already know a **solution** to this set of equations:

$$X_7 = \{\}$$

$$X_8, X_1, X_9, X_{13}, X_5, X_6, X_{14} = \{z\}$$

$$X_{12} = \{y\}$$

$$X_2, X_{10}, X_4 = \{y, z\}$$

$$X_3, X_{11} = \{x, y, z\}$$

In this particular case, the equation system admits only this solution.

However, in more general cases, there may be **more than one solution**.

Intuitively, we want the **least** solution – that is the solution with the **smallest sets** – because it corresponds to a **more precise** (less conservative) analysis.

Partially ordered sets

By introducing an **ordering of sets** we can order solutions to data-flow equations from **small to large** – that is from more to less precise.

A **partial ordering** is a relation \sqsubseteq that is:

reflexive: $\forall d \bullet (d \sqsubseteq d)$

transitive: $\forall c, d, e \bullet (c \sqsubseteq d \wedge d \sqsubseteq e \implies c \sqsubseteq e)$

anti-symmetric: $\forall c, d \bullet (c \sqsubseteq d \wedge d \sqsubseteq c \implies c = d)$

A **partially ordered set (poset)** $\langle D, \sqsubseteq \rangle$ is a set D whose elements are partially ordered according to \sqsubseteq .

Some familiar examples of posets:

$\langle \mathbb{R}, \leq \rangle$ the real numbers with the usual order

$\langle \mathbb{N}, \leq \rangle$ the natural numbers (nonnegative integers) with the usual order

$\langle \wp(S), \subseteq \rangle$ the power set $\wp(S)$ of S with the subset order

Fixed points

$X_1 =$
 $X_2 =$
 $X_3 =$
 $X_4 =$
 $X_5 =$
 $X_6 =$
 $X_7 =$
 $X_8 =$
 $X_9 =$
 $X_{10} =$
 $X_{11} =$
 $X_{12} =$
 $X_{13} =$
 $X_{14} =$

Each variable X_1, \dots, X_{13} ranges over the poset $\langle \wp(\{x, y, z\}), \subseteq \rangle$.

Vector variable \vec{X} also ranges over the poset $\langle \wp(\{x, y, z\})^{14}, \sqsubseteq \rangle$, where:

$$\vec{X} \sqsubseteq \vec{Y} \quad \text{iff} \quad \forall k (X_k \subseteq Y_k)$$

We can find a solution as follows:

1. start with the least element of the poset:
 $\vec{X}^0 = \{\} \times \dots \times \{\}$
2. apply F to the current vector \vec{X}^k :
 $\vec{X}^{k+1} = F(\vec{X}^k) = F^{k+1}(\vec{X}^0)$
3. stop when $\vec{X}^{k+1} = \vec{X}^k$

A value \vec{X}^k such that $F(\vec{X}^k) = \vec{X}^k$ is called a **fixed point** of F .

Fixed points

\vec{X}^0

$X_1 = \{\}$
 $X_2 = \{\}$
 $X_3 = \{\}$
 $X_4 = \{\}$
 $X_5 = \{\}$
 $X_6 = \{\}$
 $X_7 = \{\}$
 $X_8 = \{\}$
 $X_9 = \{\}$
 $X_{10} = \{\}$
 $X_{11} = \{\}$
 $X_{12} = \{\}$
 $X_{13} = \{\}$
 $X_{14} = \{\}$

Each variable X_1, \dots, X_{13} ranges over the poset $\langle \wp(\{x, y, z\}), \subseteq \rangle$.

Vector variable \vec{X} also ranges over the poset $\langle \wp(\{x, y, z\})^{14}, \sqsubseteq \rangle$, where:

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2. apply F to the current vector \vec{X}^k :
 $\vec{X}^{k+1} = F(\vec{X}^k) = F^{k+1}(\vec{X}^0)$
3. stop when $\vec{X}^{k+1} = \vec{X}^k$

A value \vec{X}^k such that $F(\vec{X}^k) = \vec{X}^k$ is called a **fixed point** of F .

Fixed points

$F(\vec{X}^0)$

$$\begin{aligned}X_1 &= \{\} \\X_2 &= \{\} \\X_3 &= \{\} \\X_4 &= \{\} \cup \{\} \\X_5 &= \{\} \\X_6 &= \{\} \\X_7 &= \{\} \\X_8 &= \{\} \setminus \{x\} \\X_9 &= \{\} \setminus \{y\} \\X_{10} &= \{\} \setminus \{x\} \\X_{11} &= \{\} \cup \{x, y\} \\X_{12} &= (\{\} \setminus \{z\}) \cup \{y\} \\X_{13} &= (\{\} \setminus \{z\}) \cup \{z\} \\X_{14} &= (\{\} \setminus \{x\}) \cup \{z\}\end{aligned}$$

Each variable X_1, \dots, X_{13} ranges over the poset $\langle \wp(\{x, y, z\}), \subseteq \rangle$.

Vector variable \vec{X} also ranges over the poset $\langle \wp(\{x, y, z\})^{14}, \sqsubseteq \rangle$, where:

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We can find a solution as follows:

1. start with the least element of the poset:
 $\vec{X}^0 = \{\} \times \dots \times \{\}$
2. apply F to the current vector \vec{X}^k :
 $\vec{X}^{k+1} = F(\vec{X}^k) = F^{k+1}(\vec{X}^0)$
3. stop when $\vec{X}^{k+1} = \vec{X}^k$

A value \vec{X}^k such that $F(\vec{X}^k) = \vec{X}^k$ is called a **fixed point** of F .

Fixed points

\vec{X}^1

$X_1 = \{\}$
 $X_2 = \{\}$
 $X_3 = \{\}$
 $X_4 = \{\}$
 $X_5 = \{\}$
 $X_6 = \{\}$
 $X_7 = \{\}$
 $X_8 = \{\}$
 $X_9 = \{\}$
 $X_{10} = \{\}$
 $X_{11} = \{x, y\}$
 $X_{12} = \{y\}$
 $X_{13} = \{z\}$
 $X_{14} = \{z\}$

Each variable X_1, \dots, X_{13} ranges over the poset $\langle \wp(\{x, y, z\}), \subseteq \rangle$.

Vector variable \vec{X} also ranges over the poset $\langle \wp(\{x, y, z\})^{14}, \sqsubseteq \rangle$, where:

$$\vec{X} \sqsubseteq \vec{Y} \quad \text{iff} \quad \forall k (X_k \subseteq Y_k)$$

We can find a solution as follows:

1. start with the least element of the poset:
 $\vec{X}^0 = \{\} \times \dots \times \{\}$
2. apply F to the current vector \vec{X}^k :
 $\vec{X}^{k+1} = F(\vec{X}^k) = F^{k+1}(\vec{X}^0)$
3. stop when $\vec{X}^{k+1} = \vec{X}^k$

A value \vec{X}^k such that $F(\vec{X}^k) = \vec{X}^k$ is called a **fixed point** of F .

Fixed points

$F(\vec{X}^1)$

$X_1 =$	$\{\}$
$X_2 =$	$\{\}$
$X_3 =$	$\{x, y\}$
$X_4 =$	$\{y\} \cup \{z\}$
$X_5 =$	$\{z\}$
$X_6 =$	$\{z\}$
$X_7 =$	$\{\}$
$X_8 =$	$\{\} \setminus \{x\}$
$X_9 =$	$\{\} \setminus \{y\}$
$X_{10} =$	$\{\} \setminus \{x\}$
$X_{11} =$	$\{\} \cup \{x, y\}$
$X_{12} =$	$(\{\} \setminus \{z\}) \cup \{y\}$
$X_{13} =$	$(\{\} \setminus \{z\}) \cup \{z\}$
$X_{14} =$	$(\{\} \setminus \{x\}) \cup \{z\}$

Each variable X_1, \dots, X_{13} ranges over the poset $\langle \wp(\{x, y, z\}), \subseteq \rangle$.

Vector variable \vec{X} also ranges over the poset $\langle \wp(\{x, y, z\})^{14}, \sqsubseteq \rangle$, where:

$$\vec{X} \sqsubseteq \vec{Y} \quad \text{iff} \quad \forall k (X_k \subseteq Y_k)$$

We can find a solution as follows:

1. start with the least element of the poset:
 $\vec{X}^0 = \{\} \times \dots \times \{\}$
2. apply F to the current vector \vec{X}^k :
 $\vec{X}^{k+1} = F(\vec{X}^k) = F^{k+1}(\vec{X}^0)$
3. stop when $\vec{X}^{k+1} = \vec{X}^k$

A value \vec{X}^k such that $F(\vec{X}^k) = \vec{X}^k$ is called a **fixed point** of F .

Fixed points

\vec{X}^2

$X_1 =$	$\{\}$
$X_2 =$	$\{\}$
$X_3 =$	$\{x, y\}$
$X_4 =$	$\{y, z\}$
$X_5 =$	$\{z\}$
$X_6 =$	$\{z\}$
$X_7 =$	$\{\}$
$X_8 =$	$\{\}$
$X_9 =$	$\{\}$
$X_{10} =$	$\{\}$
$X_{11} =$	$\{x, y\}$
$X_{12} =$	$\{y\}$
$X_{13} =$	$\{z\}$
$X_{14} =$	$\{z\}$

Each variable X_1, \dots, X_{13} ranges over the poset $\langle \wp(\{x, y, z\}), \subseteq \rangle$.

Vector variable \vec{X} also ranges over the poset $\langle \wp(\{x, y, z\})^{14}, \sqsubseteq \rangle$, where:

$$\vec{X} \sqsubseteq \vec{Y} \quad \text{iff} \quad \forall k (X_k \subseteq Y_k)$$

We can find a solution as follows:

1. start with the least element of the poset:
 $\vec{X}^0 = \{\} \times \dots \times \{\}$
2. apply F to the current vector \vec{X}^k :
 $\vec{X}^{k+1} = F(\vec{X}^k) = F^{k+1}(\vec{X}^0)$
3. stop when $\vec{X}^{k+1} = \vec{X}^k$

A value \vec{X}^k such that $F(\vec{X}^k) = \vec{X}^k$ is called a **fixed point** of F .

Fixed points

$F(\vec{X}^2)$

$$\begin{aligned}X_1 &= \{\} \\X_2 &= \{\} \\X_3 &= \{x, y\} \\X_4 &= \{y\} \cup \{z\} \\X_5 &= \{z\} \\X_6 &= \{z\} \\X_7 &= \{\} \\X_8 &= \{\} \setminus \{x\} \\X_9 &= \{\} \setminus \{y\} \\X_{10} &= \{x, y\} \setminus \{x\} \\X_{11} &= \{y, z\} \cup \{x, y\} \\X_{12} &= (\{z\} \setminus \{z\}) \cup \{y\} \\X_{13} &= (\{z\} \setminus \{z\}) \cup \{z\} \\X_{14} &= (\{\} \setminus \{x\}) \cup \{z\}\end{aligned}$$

Each variable X_1, \dots, X_{13} ranges over the poset $\langle \wp(\{x, y, z\}), \subseteq \rangle$.

Vector variable \vec{X} also ranges over the poset $\langle \wp(\{x, y, z\})^{14}, \sqsubseteq \rangle$, where:

$$\vec{X} \sqsubseteq \vec{Y} \quad \text{iff} \quad \forall k (X_k \subseteq Y_k)$$

We can find a solution as follows:

1. start with the least element of the poset:
 $\vec{X}^0 = \{\} \times \dots \times \{\}$
2. apply F to the current vector \vec{X}^k :
 $\vec{X}^{k+1} = F(\vec{X}^k) = F^{k+1}(\vec{X}^0)$
3. stop when $\vec{X}^{k+1} = \vec{X}^k$

A value \vec{X}^k such that $F(\vec{X}^k) = \vec{X}^k$ is called a **fixed point** of F .

Fixed points

\vec{X}^3

$X_1 =$	$\{\}$
$X_2 =$	$\{\}$
$X_3 =$	$\{x, y\}$
$X_4 =$	$\{y, z\}$
$X_5 =$	$\{z\}$
$X_6 =$	$\{z\}$
$X_7 =$	$\{\}$
$X_8 =$	$\{\}$
$X_9 =$	$\{\}$
$X_{10} =$	$\{y\}$
$X_{11} =$	$\{x, y, z\}$
$X_{12} =$	$\{y\}$
$X_{13} =$	$\{z\}$
$X_{14} =$	$\{z\}$

Each variable X_1, \dots, X_{13} ranges over the poset $\langle \wp(\{x, y, z\}), \subseteq \rangle$.

Vector variable \vec{X} also ranges over the poset $\langle \wp(\{x, y, z\})^{14}, \sqsubseteq \rangle$, where:

$$\vec{X} \sqsubseteq \vec{Y} \quad \text{iff} \quad \forall k (X_k \subseteq Y_k)$$

We can find a solution as follows:

1. start with the least element of the poset:
 $\vec{X}^0 = \{\} \times \dots \times \{\}$
2. apply F to the current vector \vec{X}^k :
 $\vec{X}^{k+1} = F(\vec{X}^k) = F^{k+1}(\vec{X}^0)$
3. stop when $\vec{X}^{k+1} = \vec{X}^k$

A value \vec{X}^k such that $F(\vec{X}^k) = \vec{X}^k$ is called a **fixed point** of F .

Fixed points

$F(\vec{X}^3)$

$$\begin{aligned}X_1 &= \{\} \\X_2 &= \{y\} \\X_3 &= \{x, y, z\} \\X_4 &= \{y\} \cup \{z\} \\X_5 &= \{z\} \\X_6 &= \{z\} \\X_7 &= \{\} \\X_8 &= \{\} \setminus \{x\} \\X_9 &= \{\} \setminus \{y\} \\X_{10} &= \{x, y\} \setminus \{x\} \\X_{11} &= \{y, z\} \cup \{x, y\} \\X_{12} &= (\{z\} \setminus \{z\}) \cup \{y\} \\X_{13} &= (\{z\} \setminus \{z\}) \cup \{z\} \\X_{14} &= (\{\} \setminus \{x\}) \cup \{z\}\end{aligned}$$

Each variable X_1, \dots, X_{13} ranges over the poset $\langle \wp(\{x, y, z\}), \subseteq \rangle$.

Vector variable \vec{X} also ranges over the poset $\langle \wp(\{x, y, z\})^{14}, \sqsubseteq \rangle$, where:

$$\vec{X} \sqsubseteq \vec{Y} \quad \text{iff} \quad \forall k (X_k \subseteq Y_k)$$

We can find a solution as follows:

1. start with the least element of the poset:
 $\vec{X}^0 = \{\} \times \dots \times \{\}$
2. apply F to the current vector \vec{X}^k :
 $\vec{X}^{k+1} = F(\vec{X}^k) = F^{k+1}(\vec{X}^0)$
3. stop when $\vec{X}^{k+1} = \vec{X}^k$

A value \vec{X}^k such that $F(\vec{X}^k) = \vec{X}^k$ is called a **fixed point** of F .

Fixed points

\vec{X}^4

$X_1 =$	$\{\}$
$X_2 =$	$\{y\}$
$X_3 =$	$\{x, y, z\}$
$X_4 =$	$\{y, z\}$
$X_5 =$	$\{z\}$
$X_6 =$	$\{z\}$
$X_7 =$	$\{\}$
$X_8 =$	$\{\}$
$X_9 =$	$\{\}$
$X_{10} =$	$\{y\}$
$X_{11} =$	$\{x, y, z\}$
$X_{12} =$	$\{y\}$
$X_{13} =$	$\{z\}$
$X_{14} =$	$\{z\}$

Each variable X_1, \dots, X_{13} ranges over the poset $\langle \wp(\{x, y, z\}), \subseteq \rangle$.

Vector variable \vec{X} also ranges over the poset $\langle \wp(\{x, y, z\})^{14}, \sqsubseteq \rangle$, where:

$$\vec{X} \sqsubseteq \vec{Y} \quad \text{iff} \quad \forall k (X_k \subseteq Y_k)$$

We can find a solution as follows:

1. start with the least element of the poset:
 $\vec{X}^0 = \{\} \times \dots \times \{\}$
2. apply F to the current vector \vec{X}^k :
 $\vec{X}^{k+1} = F(\vec{X}^k) = F^{k+1}(\vec{X}^0)$
3. stop when $\vec{X}^{k+1} = \vec{X}^k$

A value \vec{X}^k such that $F(\vec{X}^k) = \vec{X}^k$ is called a **fixed point** of F .

Fixed points

$F(\vec{X}^4)$

$$\begin{aligned}X_1 &= \{\} \\X_2 &= \{y\} \\X_3 &= \{x, y, z\} \\X_4 &= \{y\} \cup \{z\} \\X_5 &= \{z\} \\X_6 &= \{z\} \\X_7 &= \{\} \\X_8 &= \{\} \setminus \{x\} \\X_9 &= \{y\} \setminus \{y\} \\X_{10} &= \{x, y, z\} \setminus \{x\} \\X_{11} &= \{y, z\} \cup \{x, y\} \\X_{12} &= (\{z\} \setminus \{z\}) \cup \{y\} \\X_{13} &= (\{z\} \setminus \{z\}) \cup \{z\} \\X_{14} &= (\{\} \setminus \{x\}) \cup \{z\}\end{aligned}$$

Each variable X_1, \dots, X_{13} ranges over the poset $\langle \wp(\{x, y, z\}), \subseteq \rangle$.

Vector variable \vec{X} also ranges over the poset $\langle \wp(\{x, y, z\})^{14}, \sqsubseteq \rangle$, where:

$$\vec{X} \sqsubseteq \vec{Y} \quad \text{iff} \quad \forall k (X_k \subseteq Y_k)$$

We can find a solution as follows:

1. start with the least element of the poset:
 $\vec{X}^0 = \{\} \times \dots \times \{\}$
2. apply F to the current vector \vec{X}^k :
 $\vec{X}^{k+1} = F(\vec{X}^k) = F^{k+1}(\vec{X}^0)$
3. stop when $\vec{X}^{k+1} = \vec{X}^k$

A value \vec{X}^k such that $F(\vec{X}^k) = \vec{X}^k$ is called a **fixed point** of F .

Fixed points

\vec{X}^5

$X_1 =$	$\{\}$
$X_2 =$	$\{y\}$
$X_3 =$	$\{x, y, z\}$
$X_4 =$	$\{y, z\}$
$X_5 =$	$\{z\}$
$X_6 =$	$\{z\}$
$X_7 =$	$\{\}$
$X_8 =$	$\{\}$
$X_9 =$	$\{\}$
$X_{10} =$	$\{y, z\}$
$X_{11} =$	$\{x, y, z\}$
$X_{12} =$	$\{y\}$
$X_{13} =$	$\{z\}$
$X_{14} =$	$\{z\}$

Each variable X_1, \dots, X_{13} ranges over the poset $\langle \wp(\{x, y, z\}), \subseteq \rangle$.

Vector variable \vec{X} also ranges over the poset $\langle \wp(\{x, y, z\})^{14}, \sqsubseteq \rangle$, where:

$$\vec{X} \sqsubseteq \vec{Y} \quad \text{iff} \quad \forall k (X_k \subseteq Y_k)$$

We can find a solution as follows:

1. start with the least element of the poset:
 $\vec{X}^0 = \{\} \times \dots \times \{\}$
2. apply F to the current vector \vec{X}^k :
 $\vec{X}^{k+1} = F(\vec{X}^k) = F^{k+1}(\vec{X}^0)$
3. stop when $\vec{X}^{k+1} = \vec{X}^k$

A value \vec{X}^k such that $F(\vec{X}^k) = \vec{X}^k$ is called a **fixed point** of F .

Fixed points

$F(\vec{X}^5)$

$$\begin{aligned}X_1 &= \{\} \\X_2 &= \{y, z\} \\X_3 &= \{x, y, z\} \\X_4 &= \{y\} \cup \{z\} \\X_5 &= \{z\} \\X_6 &= \{z\} \\X_7 &= \{\} \\X_8 &= \{\} \setminus \{x\} \\X_9 &= \{y\} \setminus \{y\} \\X_{10} &= \{x, y, z\} \setminus \{x\} \\X_{11} &= \{y, z\} \cup \{x, y\} \\X_{12} &= (\{z\} \setminus \{z\}) \cup \{y\} \\X_{13} &= (\{z\} \setminus \{z\}) \cup \{z\} \\X_{14} &= (\{\} \setminus \{x\}) \cup \{z\}\end{aligned}$$

Each variable X_1, \dots, X_{13} ranges over the poset $\langle \wp(\{x, y, z\}), \subseteq \rangle$.

Vector variable \vec{X} also ranges over the poset $\langle \wp(\{x, y, z\})^{14}, \sqsubseteq \rangle$, where:

$$\vec{X} \sqsubseteq \vec{Y} \quad \text{iff} \quad \forall k (X_k \subseteq Y_k)$$

We can find a solution as follows:

1. start with the least element of the poset:
 $\vec{X}^0 = \{\} \times \dots \times \{\}$
2. apply F to the current vector \vec{X}^k :
 $\vec{X}^{k+1} = F(\vec{X}^k) = F^{k+1}(\vec{X}^0)$
3. stop when $\vec{X}^{k+1} = \vec{X}^k$

A value \vec{X}^k such that $F(\vec{X}^k) = \vec{X}^k$ is called a **fixed point** of F .

Fixed points

\vec{X}^6

$X_1 =$	$\{\}$
$X_2 =$	$\{y, z\}$
$X_3 =$	$\{x, y, z\}$
$X_4 =$	$\{y, z\}$
$X_5 =$	$\{z\}$
$X_6 =$	$\{z\}$
$X_7 =$	$\{\}$
$X_8 =$	$\{\}$
$X_9 =$	$\{\}$
$X_{10} =$	$\{y, z\}$
$X_{11} =$	$\{x, y, z\}$
$X_{12} =$	$\{y\}$
$X_{13} =$	$\{z\}$
$X_{14} =$	$\{z\}$

Each variable X_1, \dots, X_{13} ranges over the poset $\langle \wp(\{x, y, z\}), \subseteq \rangle$.

Vector variable \vec{X} also ranges over the poset $\langle \wp(\{x, y, z\})^{14}, \sqsubseteq \rangle$, where:

$$\vec{X} \sqsubseteq \vec{Y} \quad \text{iff} \quad \forall k (X_k \subseteq Y_k)$$

We can find a solution as follows:

1. start with the least element of the poset:
 $\vec{X}^0 = \{\} \times \dots \times \{\}$
2. apply F to the current vector \vec{X}^k :
 $\vec{X}^{k+1} = F(\vec{X}^k) = F^{k+1}(\vec{X}^0)$
3. stop when $\vec{X}^{k+1} = \vec{X}^k$

A value \vec{X}^k such that $F(\vec{X}^k) = \vec{X}^k$ is called a **fixed point** of F .

Fixed points

$F(\vec{X}^6)$

$$\begin{aligned}X_1 &= \{\} \\X_2 &= \{y, z\} \\X_3 &= \{x, y, z\} \\X_4 &= \{y\} \cup \{z\} \\X_5 &= \{z\} \\X_6 &= \{z\} \\X_7 &= \{\} \\X_8 &= \{\} \setminus \{x\} \\X_9 &= \{y, z\} \setminus \{y\} \\X_{10} &= \{x, y, z\} \setminus \{x\} \\X_{11} &= \{y, z\} \cup \{x, y\} \\X_{12} &= (\{z\} \setminus \{z\}) \cup \{y\} \\X_{13} &= (\{z\} \setminus \{z\}) \cup \{z\} \\X_{14} &= (\{\} \setminus \{x\}) \cup \{z\}\end{aligned}$$

Each variable X_1, \dots, X_{13} ranges over the poset $\langle \wp(\{x, y, z\}), \subseteq \rangle$.

Vector variable \vec{X} also ranges over the poset $\langle \wp(\{x, y, z\})^{14}, \sqsubseteq \rangle$, where:

$$\vec{X} \sqsubseteq \vec{Y} \quad \text{iff} \quad \forall k (X_k \subseteq Y_k)$$

We can find a solution as follows:

1. start with the least element of the poset:
 $\vec{X}^0 = \{\} \times \dots \times \{\}$
2. apply F to the current vector \vec{X}^k :
 $\vec{X}^{k+1} = F(\vec{X}^k) = F^{k+1}(\vec{X}^0)$
3. stop when $\vec{X}^{k+1} = \vec{X}^k$

A value \vec{X}^k such that $F(\vec{X}^k) = \vec{X}^k$ is called a **fixed point** of F .

Fixed points

\vec{X}^7

$X_1 =$	$\{\}$
$X_2 =$	$\{y, z\}$
$X_3 =$	$\{x, y, z\}$
$X_4 =$	$\{y, z\}$
$X_5 =$	$\{z\}$
$X_6 =$	$\{z\}$
$X_7 =$	$\{\}$
$X_8 =$	$\{\}$
$X_9 =$	$\{z\}$
$X_{10} =$	$\{y, z\}$
$X_{11} =$	$\{x, y, z\}$
$X_{12} =$	$\{y\}$
$X_{13} =$	$\{z\}$
$X_{14} =$	$\{z\}$

Each variable X_1, \dots, X_{13} ranges over the poset $\langle \wp(\{x, y, z\}), \subseteq \rangle$.

Vector variable \vec{X} also ranges over the poset $\langle \wp(\{x, y, z\})^{14}, \sqsubseteq \rangle$, where:

$$\vec{X} \sqsubseteq \vec{Y} \quad \text{iff} \quad \forall k (X_k \subseteq Y_k)$$

We can find a solution as follows:

1. start with the least element of the poset:
 $\vec{X}^0 = \{\} \times \dots \times \{\}$
2. apply F to the current vector \vec{X}^k :
 $\vec{X}^{k+1} = F(\vec{X}^k) = F^{k+1}(\vec{X}^0)$
3. stop when $\vec{X}^{k+1} = \vec{X}^k$

A value \vec{X}^k such that $F(\vec{X}^k) = \vec{X}^k$ is called a **fixed point** of F .

Fixed points

$F(\vec{X}^7)$

$$\begin{aligned}X_1 &= \{z\} \\X_2 &= \{y, z\} \\X_3 &= \{x, y, z\} \\X_4 &= \{y\} \cup \{z\} \\X_5 &= \{z\} \\X_6 &= \{z\} \\X_7 &= \{\} \\X_8 &= \{\} \setminus \{x\} \\X_9 &= \{y, z\} \setminus \{y\} \\X_{10} &= \{x, y, z\} \setminus \{x\} \\X_{11} &= \{y, z\} \cup \{x, y\} \\X_{12} &= (\{z\} \setminus \{z\}) \cup \{y\} \\X_{13} &= (\{z\} \setminus \{z\}) \cup \{z\} \\X_{14} &= (\{\} \setminus \{x\}) \cup \{z\}\end{aligned}$$

Each variable X_1, \dots, X_{13} ranges over the poset $\langle \wp(\{x, y, z\}), \subseteq \rangle$.

Vector variable \vec{X} also ranges over the poset $\langle \wp(\{x, y, z\})^{14}, \sqsubseteq \rangle$, where:

$$\vec{X} \sqsubseteq \vec{Y} \quad \text{iff} \quad \forall k (X_k \subseteq Y_k)$$

We can find a solution as follows:

1. start with the least element of the poset:
 $\vec{X}^0 = \{\} \times \dots \times \{\}$
2. apply F to the current vector \vec{X}^k :
 $\vec{X}^{k+1} = F(\vec{X}^k) = F^{k+1}(\vec{X}^0)$
3. stop when $\vec{X}^{k+1} = \vec{X}^k$

A value \vec{X}^k such that $F(\vec{X}^k) = \vec{X}^k$ is called a **fixed point** of F .

Fixed points

\vec{X}^8

$$X_1 = \{z\}$$

$$X_2 = \{y, z\}$$

$$X_3 = \{x, y, z\}$$

$$X_4 = \{y, z\}$$

$$X_5 = \{z\}$$

$$X_6 = \{z\}$$

$$X_7 = \{\}$$

$$X_8 = \{\}$$

$$X_9 = \{z\}$$

$$X_{10} = \{y, z\}$$

$$X_{11} = \{x, y, z\}$$

$$X_{12} = \{y\}$$

$$X_{13} = \{z\}$$

$$X_{14} = \{z\}$$

Each variable X_1, \dots, X_{13} ranges over the poset $\langle \wp(\{x, y, z\}), \subseteq \rangle$.

Vector variable \vec{X} also ranges over the poset $\langle \wp(\{x, y, z\})^{14}, \sqsubseteq \rangle$, where:

$$\vec{X} \sqsubseteq \vec{Y} \quad \text{iff} \quad \forall k (X_k \subseteq Y_k)$$

We can find a solution as follows:

1. start with the least element of the poset:
 $\vec{X}^0 = \{\} \times \dots \times \{\}$
2. apply F to the current vector \vec{X}^k :
 $\vec{X}^{k+1} = F(\vec{X}^k) = F^{k+1}(\vec{X}^0)$
3. stop when $\vec{X}^{k+1} = \vec{X}^k$

A value \vec{X}^k such that $F(\vec{X}^k) = \vec{X}^k$ is called a **fixed point** of F .

Fixed points

$F(\vec{X}^8)$

$$\begin{aligned}X_1 &= \{z\} \\X_2 &= \{y, z\} \\X_3 &= \{x, y, z\} \\X_4 &= \{y\} \cup \{z\} \\X_5 &= \{z\} \\X_6 &= \{z\} \\X_7 &= \{\} \\X_8 &= \{z\} \setminus \{x\} \\X_9 &= \{y, z\} \setminus \{y\} \\X_{10} &= \{x, y, z\} \setminus \{x\} \\X_{11} &= \{y, z\} \cup \{x, y\} \\X_{12} &= (\{z\} \setminus \{z\}) \cup \{y\} \\X_{13} &= (\{z\} \setminus \{z\}) \cup \{z\} \\X_{14} &= (\{\} \setminus \{x\}) \cup \{z\}\end{aligned}$$

Each variable X_1, \dots, X_{13} ranges over the poset $\langle \wp(\{x, y, z\}), \subseteq \rangle$.

Vector variable \vec{X} also ranges over the poset $\langle \wp(\{x, y, z\})^{14}, \sqsubseteq \rangle$, where:

$$\vec{X} \sqsubseteq \vec{Y} \quad \text{iff} \quad \forall k (X_k \subseteq Y_k)$$

We can find a solution as follows:

1. start with the least element of the poset:
 $\vec{X}^0 = \{\} \times \dots \times \{\}$
2. apply F to the current vector \vec{X}^k :
 $\vec{X}^{k+1} = F(\vec{X}^k) = F^{k+1}(\vec{X}^0)$
3. stop when $\vec{X}^{k+1} = \vec{X}^k$

A value \vec{X}^k such that $F(\vec{X}^k) = \vec{X}^k$ is called a **fixed point** of F .

Fixed points

\vec{X}^9

$$X_1 = \{z\}$$

$$X_2 = \{y, z\}$$

$$X_3 = \{x, y, z\}$$

$$X_4 = \{y, z\}$$

$$X_5 = \{z\}$$

$$X_6 = \{z\}$$

$$X_7 = \{\}$$

$$X_8 = \{z\}$$

$$X_9 = \{z\}$$

$$X_{10} = \{y, z\}$$

$$X_{11} = \{x, y, z\}$$

$$X_{12} = \{y\}$$

$$X_{13} = \{z\}$$

$$X_{14} = \{z\}$$

Each variable X_1, \dots, X_{13} ranges over the **poset** $\langle \wp(\{x, y, z\}), \subseteq \rangle$.

Vector variable \vec{X} also ranges over the **poset** $\langle \wp(\{x, y, z\})^{14}, \sqsubseteq \rangle$, where:

$$\vec{X} \sqsubseteq \vec{Y} \quad \text{iff} \quad \forall k (X_k \subseteq Y_k)$$

We can find a **solution** as follows:

1. start with the **least element** of the poset:
 $\vec{X}^0 = \{\} \times \dots \times \{\}$
2. apply F to the current vector \vec{X}^k :
 $\vec{X}^{k+1} = F(\vec{X}^k) = F^{k+1}(\vec{X}^0)$
3. stop when $\vec{X}^{k+1} = \vec{X}^k$

A value \vec{X}^k such that $F(\vec{X}^k) = \vec{X}^k$ is called a **fixed point** of F .

Fixed points

$F(\vec{X}^9)$

$$\begin{aligned}X_1 &= \{z\} \\X_2 &= \{y, z\} \\X_3 &= \{x, y, z\} \\X_4 &= \{y\} \cup \{z\} \\X_5 &= \{z\} \\X_6 &= \{z\} \\X_7 &= \{\} \\X_8 &= \{z\} \setminus \{x\} \\X_9 &= \{y, z\} \setminus \{y\} \\X_{10} &= \{x, y, z\} \setminus \{x\} \\X_{11} &= \{y, z\} \cup \{x, y\} \\X_{12} &= (\{z\} \setminus \{z\}) \cup \{y\} \\X_{13} &= (\{z\} \setminus \{z\}) \cup \{z\} \\X_{14} &= (\{\} \setminus \{x\}) \cup \{z\}\end{aligned}$$

Each variable X_1, \dots, X_{13} ranges over the **poset** $\langle \wp(\{x, y, z\}), \subseteq \rangle$.

Vector variable \vec{X} also ranges over the **poset** $\langle \wp(\{x, y, z\})^{14}, \sqsubseteq \rangle$, where:

$$\vec{X} \sqsubseteq \vec{Y} \quad \text{iff} \quad \forall k (X_k \subseteq Y_k)$$

We can find a **solution** as follows:

1. start with the **least element** of the poset:
 $\vec{X}^0 = \{\} \times \dots \times \{\}$
2. apply F to the current vector \vec{X}^k :
 $\vec{X}^{k+1} = F(\vec{X}^k) = F^{k+1}(\vec{X}^0)$
3. stop when $\vec{X}^{k+1} = \vec{X}^k$

A value \vec{X}^k such that $F(\vec{X}^k) = \vec{X}^k$ is called a **fixed point** of F .

Fixed points

\vec{X}^{10}

$$X_1 = \{z\}$$

$$X_2 = \{y, z\}$$

$$X_3 = \{x, y, z\}$$

$$X_4 = \{y, z\}$$

$$X_5 = \{z\}$$

$$X_6 = \{z\}$$

$$X_7 = \{\}$$

$$X_8 = \{z\}$$

$$X_9 = \{z\}$$

$$X_{10} = \{y, z\}$$

$$X_{11} = \{x, y, z\}$$

$$X_{12} = \{y\}$$

$$X_{13} = \{z\}$$

$$X_{14} = \{z\}$$

Each variable X_1, \dots, X_{13} ranges over the poset $\langle \wp(\{x, y, z\}), \subseteq \rangle$.

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Least fixed points and monotonicity

We can find a **solution** of the data-flow equations as follows:

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- Does the algorithm above always **terminate**?
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F is a **monotonic** function: $\vec{X} \sqsubseteq \vec{Y}$ implies $F(\vec{X}) \sqsubseteq F(\vec{Y})$

Therefore, by induction on k : $F^k(\vec{X}^0) \sqsubseteq F^{k+1}(\vec{X}^0)$.

Since the poset $\wp(\{x, y, z\})$ ¹⁴ is **finite**, F must have a **fixed point**: it cannot keep on generating new values (finite domain), and it cannot “jump up and down” (monotonicity).

Finally, the fixed point computed from the least element \vec{X}^0 has to be the **least** fixed point (again thanks to **monotonicity**).

Naive fixed point algorithm

The algorithm that iterates F until it finds a fixed point is guaranteed to terminate but may be **inefficient**, as it **propagates** only a few updates in each iteration.

```
// naive fixed point algorithm  
 $\vec{X} := \{\} \times \dots \times \{\}$   
while  $F(\vec{X}) \neq \vec{X}$   
     $\vec{X} := F(\vec{X})$ 
```

In our running example, the naive fixed point algorithm takes 10 iterations, which correspond to evaluating $140 = 10 \cdot 14$ equations.

Chaotic iteration

More efficient algorithms avoid recomputing all flow equations, while only propagating those that change. For example the **chaotic iteration** algorithm propagates one random component that changes in each iteration.

```
// chaotic iteration algorithm
```

```
 $X_1, \dots, X_n := \{\}, \dots, \{\}$ 
```

```
while  $F_k(X_k) \neq X_k$  for any  $k$ 
```

```
     $X_k := F_k(X_k)$ 
```

In our running example, the chaotic algorithm takes 15–19 iterations, each evaluating one equation; the exact number depends on the random order in which elements are computed.

Worklist algorithm

A more efficient algorithm uses a **worklist**: a stack of **edges** in the CFG that should be processed.

- For each edge $\langle \text{from}, \text{to} \rangle$ in the worklist, compute the **data-flow equation** for $LV_{\text{IN}}(\text{to})$. If it is **not** a fixed point:
 - Update $LV_{\text{IN}}(\text{to}) = LV_{\text{OUT}}(\text{from})$ to the new value
 - Add all edges that lead to from to the top of the worklist, so that predecessors of from will be processed next

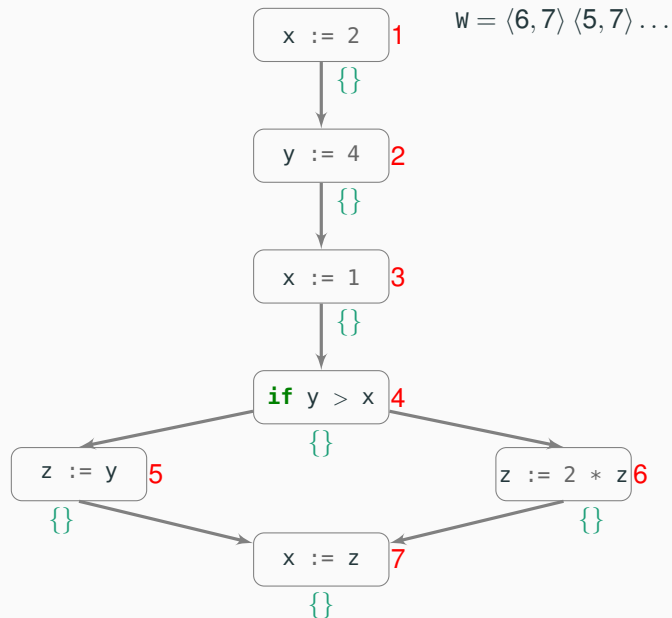
If an edge $\langle \ell, \ell' \rangle$ is in the worklist, it means that the result at block ℓ' has **changed** and must be **propagated backward** to its predecessors by computing the data-flow equation for block ℓ' .

Worklist algorithm

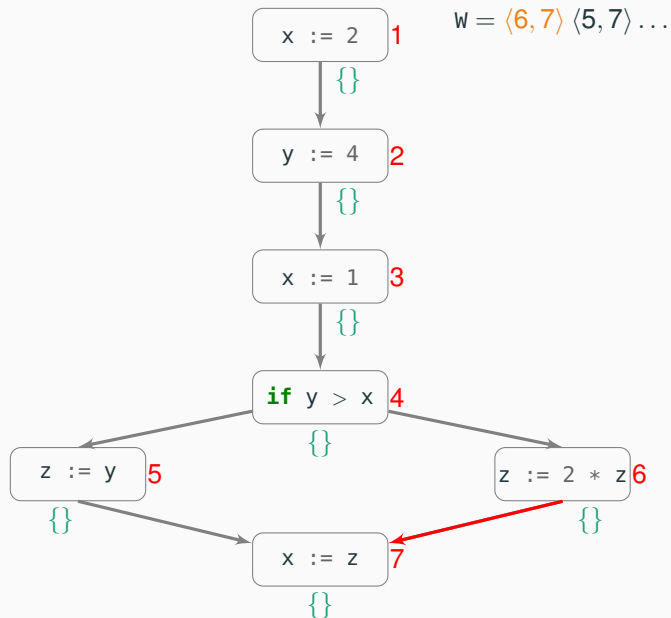
```
// worklist algorithm
 $LV_{OUT}(1), \dots, LV_{OUT}(n) := \{\}, \dots, \{\}$ 
// the worklist initially includes all edges in the CFG
 $W := edges(CFG)$ 
while  $W.length > 0$ 
     $\langle from, to \rangle := W.pop()$  // remove top edge in worklist
    update equation for  $LV_{IN}(to)$ 
    if  $(LV_{OUT}(to) \setminus kill_{LV}(to)) \cup gen_{LV}(to) \not\subseteq LV_{OUT}(from)$ 
        // update OUT of 'from'
         $LV_{OUT}(from) := LV_{OUT}(from) \cup (LV_{OUT}(to) \setminus kill_{LV}(to)) \cup gen_{LV}(to)$ 
         $LV_{IN}(to) := LV_{OUT}(from)$  // IN of successor
        // add predecessors of 'from' to worklist
        for  $\langle before, from \rangle \in edges(CFG)$   $W.push(\langle before, from \rangle)$ 
// finally, update the IN of initial nodes
for  $i \in initial(CFG)$   $LV_{IN}(i) := (LV_{OUT}(i) \setminus kill_{LV}(i)) \cup gen_{LV}(i)$ 
```

In our running example, the worklist algorithm takes 15 iterations, each evaluating one equation.

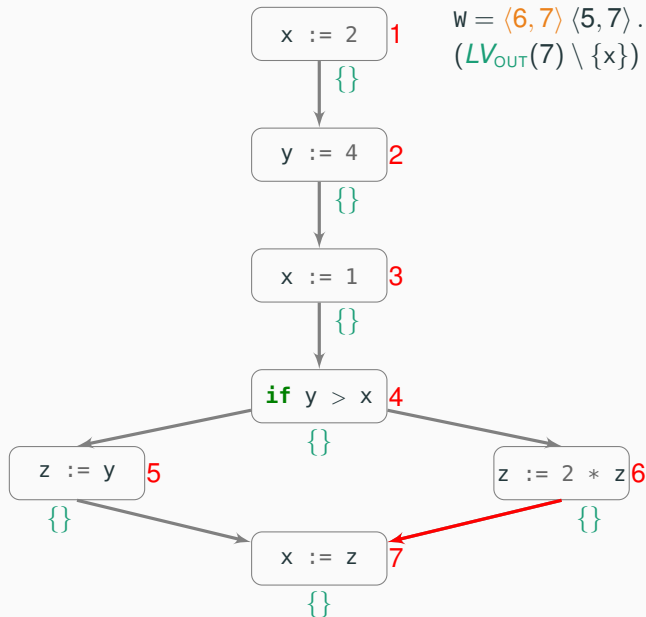
Worklist algorithm: example



Worklist algorithm: example



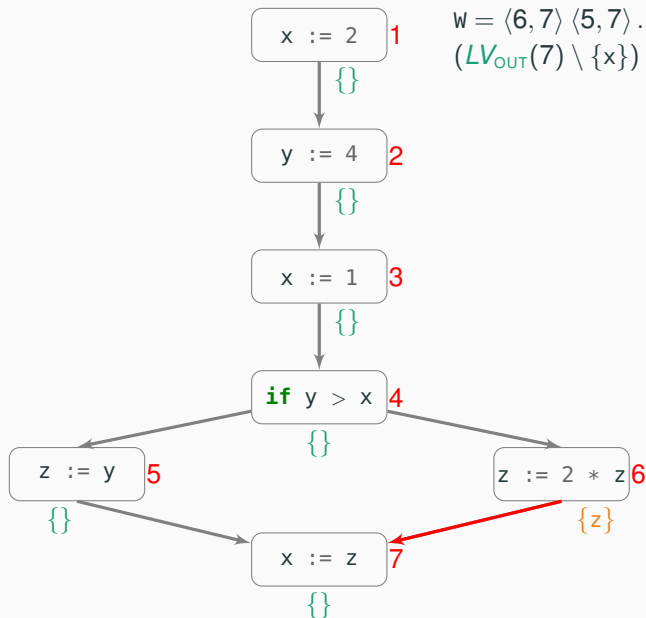
Worklist algorithm: example



$W = \langle 6, 7 \rangle \langle 5, 7 \rangle \dots$

$(LV_{OUT}(7) \setminus \{x\}) \cup \{z\} \not\subseteq LV_{OUT}(6)$

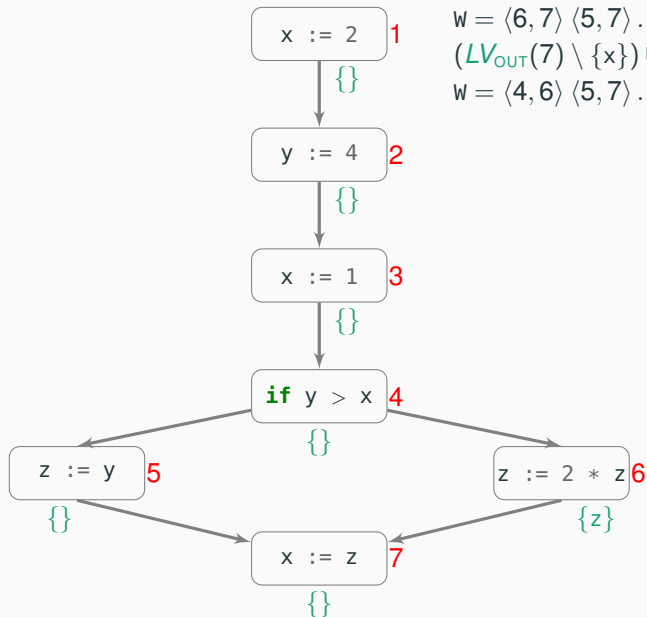
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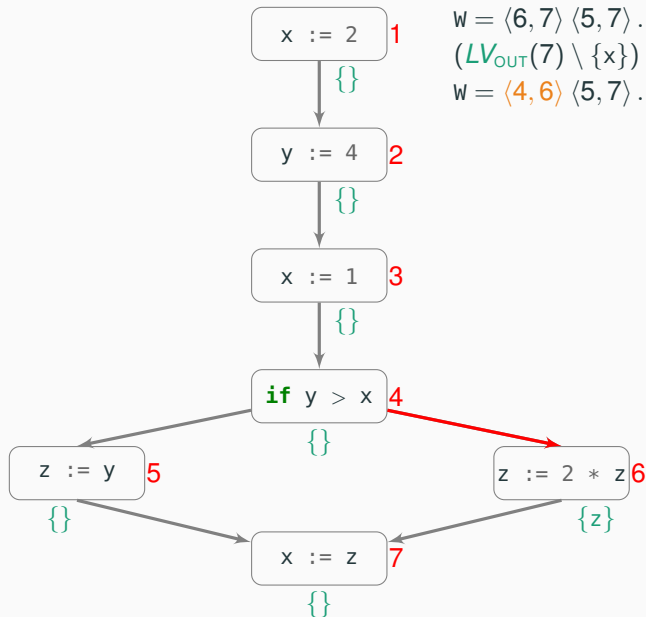


$w = \langle 6, 7 \rangle \langle 5, 7 \rangle \dots$

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$w = \langle 4, 6 \rangle \langle 5, 7 \rangle \dots$

Worklist algorithm: example

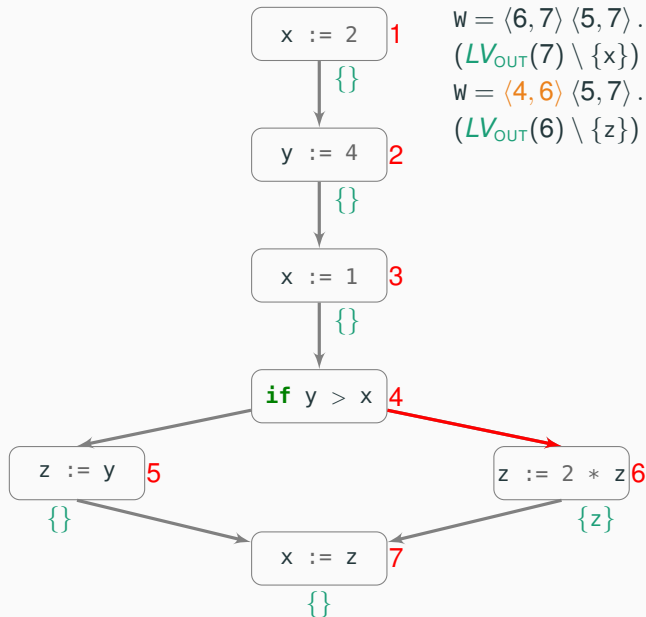


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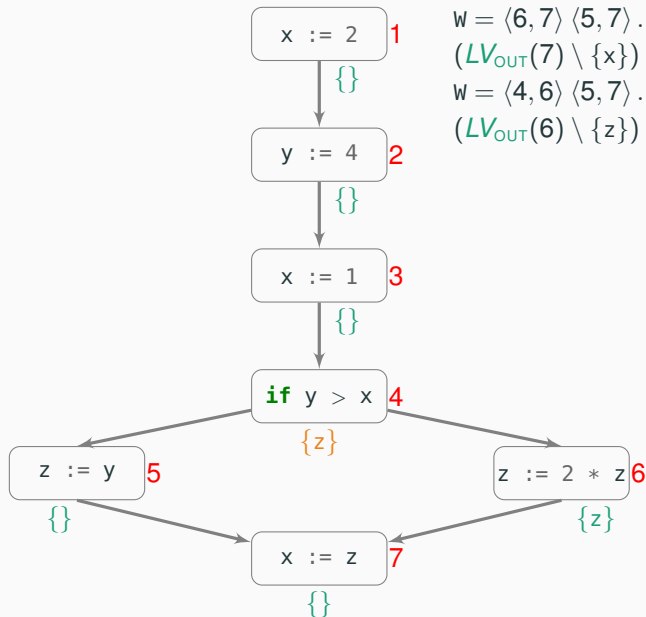
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$w = \langle 4, 6 \rangle \langle 5, 7 \rangle \dots$

$(LV_{OUT}(6) \setminus \{z\}) \cup \{z\} \not\subseteq LV_{OUT}(4)$

Worklist algorithm: example



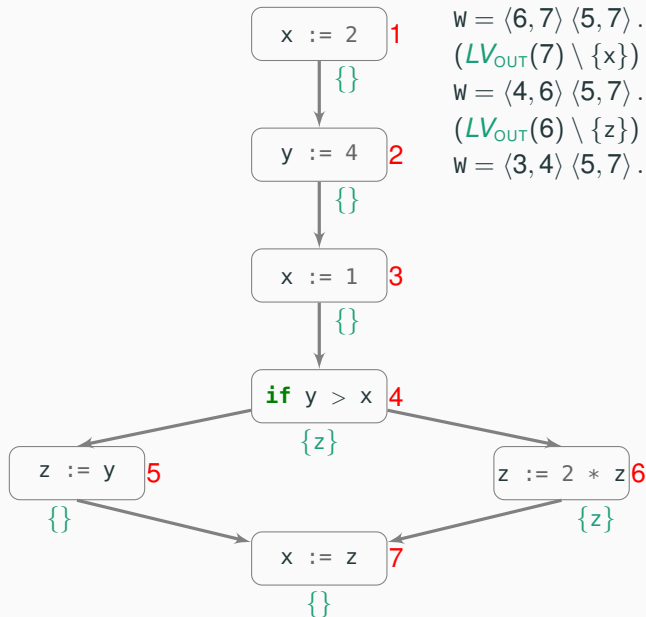
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Worklist algorithm: example



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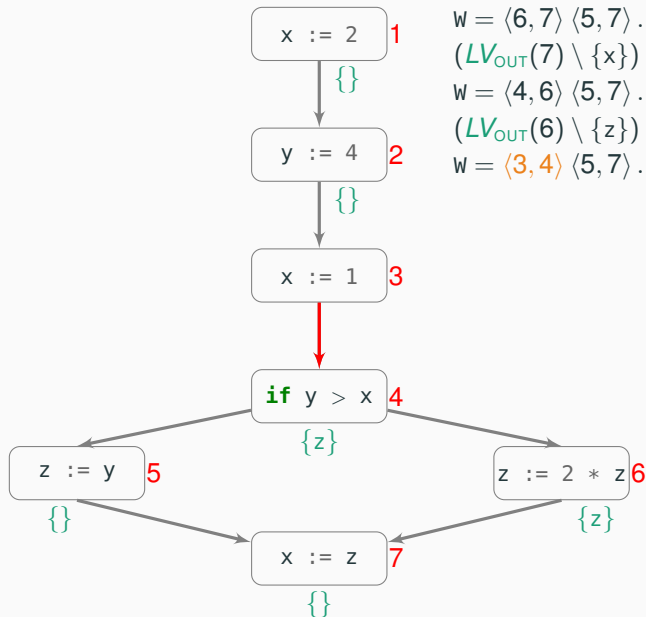
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$(LV_{OUT}(6) \setminus \{z\}) \cup \{z\} \not\subseteq LV_{OUT}(4)$

$w = \langle 3, 4 \rangle \langle 5, 7 \rangle \dots$

Worklist algorithm: example



$w = \langle 6, 7 \rangle \langle 5, 7 \rangle \dots$

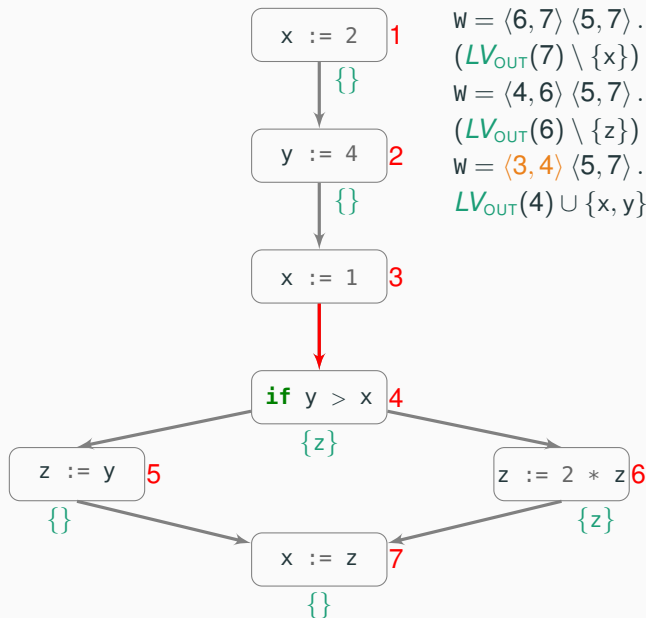
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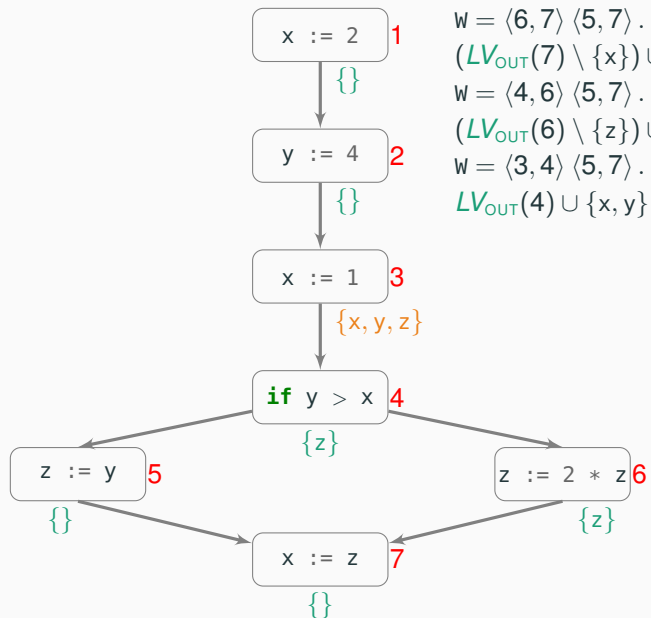
$W = \langle 4, 6 \rangle \langle 5, 7 \rangle \dots$

$(LV_{OUT}(6) \setminus \{z\}) \cup \{z\} \not\subseteq LV_{OUT}(4)$

$W = \langle 3, 4 \rangle \langle 5, 7 \rangle \dots$

$LV_{OUT}(4) \cup \{x, y\} \not\subseteq LV_{OUT}(3)$

Worklist algorithm: example



$W = \langle 6, 7 \rangle \langle 5, 7 \rangle \dots$

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Data-flow analysis

Existence of solutions

Existence of solutions

Computing a data-flow analysis boils down to finding a **least (smallest) fixed point** of the vector equation:

$$\vec{X} = F(\vec{X})$$

- $\vec{X} = X_1, \dots, X_n$ is a **vector** of variables, each over domain $D = \wp(\mathcal{V})$, where \mathcal{V} is the set of **program variables**
- F is a **vector function** whose components are F_1, \dots, F_n

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What **properties** of F and D **guarantee** that the data-flow equations have a **least fixed point**?

Complete lattices

A **complete lattice** is a poset $\langle D, \sqsubseteq \rangle$ such that
every subset $S \subseteq D$ of D has:

- a **least upper bound** (also: **lub**, **join**, or **supremum**) $\sqcup S$
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- Element $u \in D$ is an **upper bound** of set $S \subseteq D$
if $s \sqsubseteq u$ for all $s \in S$
 - The **least upper bound** $\sqcup S$ of a set $S \subseteq D$ is
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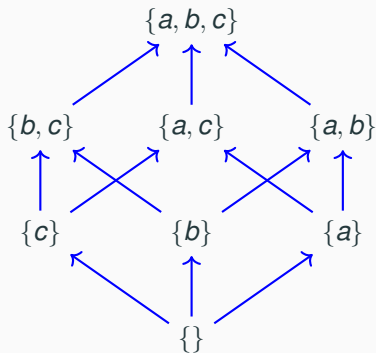
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Every complete lattice is **not empty**, and has
a **least** element \perp (**bottom**) and a **greatest** element \top (**top**).

Complete lattice: example

The **powerset** ordered with respect to the subset \subseteq relation is a **complete lattice**.

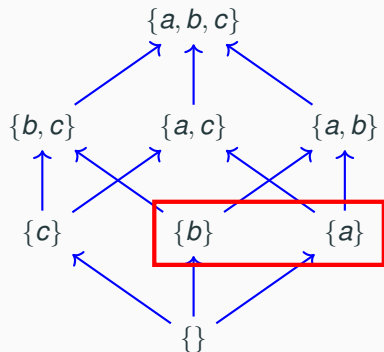
For example $\wp(\{a, b, c\})$:



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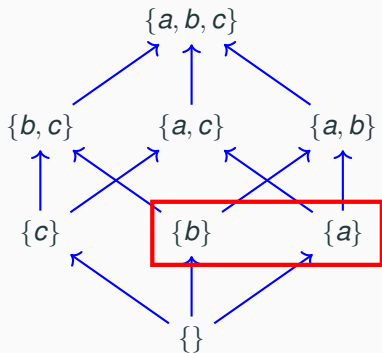
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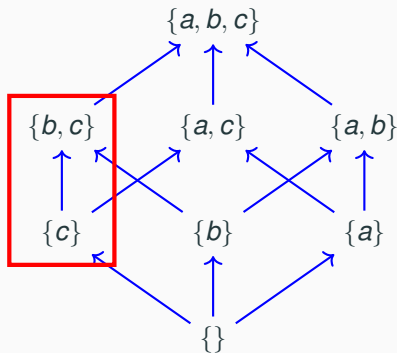
The upper bounds of $S = \{\{a\}, \{b\}\} \subseteq D = \wp(\{a, b, c\})$ are $\{a, b\}$ and $\{a, b, c\}$.

The only lower bound of $S = \{\{a\}, \{b\}\} \subseteq D = \wp(\{a, b, c\})$ is $\{\}$.

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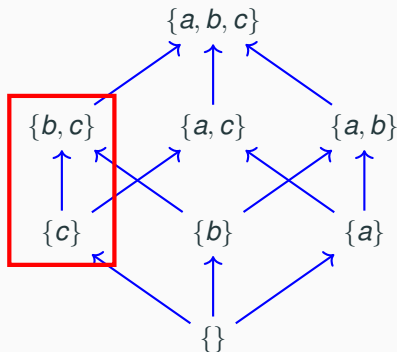
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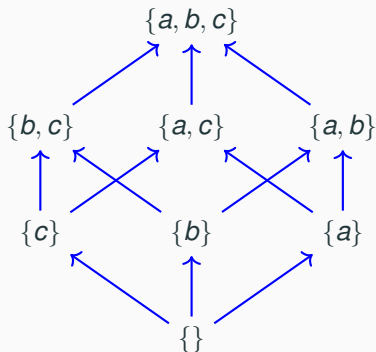
The only **upper bound** of $S = \{\{c\}, \{b, c\}\} \subseteq D = \wp(\{a, b, c\})$ is $\{a, b, c\}$.

The **lower bounds** of $S = \{\{c\}, \{b, c\}\} \subseteq D = \wp(\{a, b, c\})$ are $\{c\}$ and $\{\}$.

Complete lattice: example

The **powerset** ordered with respect to the subset \subseteq relation is a **complete lattice**.

For example $\wp(\{a, b, c\})$:



The **bottom** (least element) is $\{\}$.

The **top** (greatest element) is $\{a, b, c\}$.

Tarski's fixed point theorem

A function $F: D \rightarrow D$ is **monotonic** over poset $\langle D, \sqsubseteq \rangle$ if,
for all $x, y \in D$, $x \sqsubseteq y$ implies $F(x) \sqsubseteq F(y)$

Intuitively: monotonic means that it **respects the order** relation.

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Tarski's fixed point theorem: let $F: D \rightarrow D$ be a monotonic function over complete lattice $\langle D, \sqsubseteq \rangle$. The set of all **fixed points** of F is also a **complete lattice** with respect to \sqsubseteq .

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Implications:

- F has **at least one** fixed point
(because complete lattices cannot be empty)
- F has **least** and **greatest** fixed points
(because its fixed points are a complete lattice)

Tarski's fixed point theorem

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It is Tarski who stated the result in its most general form, [but] some time earlier, Knaster and Tarski established the result for [a] special case.

Knaster-Tarski theorem on Wikipedia



Alfred Tarski

Applying Tarski's fixed point theorem

To **apply Tarski's theorem** to a data-flow analysis – guaranteeing the existence of a fixed point, which can then be found by iteration – we need to show:

monotonicity: the data-flow vector equation F is monotonic

complete lattice: the analysis domain D is a complete lattice

Applying Tarski's fixed point theorem

To prove that F is monotonic, we just prove that each **component function** F_k is monotonic.

Equations of this form:

$$LV_{OUT}(k) = \bigcup_{(k \rightarrow h) \in CFG} LV_{IN}(h)$$

$$LV_{IN}(k) = (LV_{OUT}(k) \setminus kill_{LV}(k)) \cup gen_{LV}(k)$$

are monotonic because \setminus and \cup are themselves monotonic.

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$$\begin{aligned} LV_{\text{OUT}}(k) &= \bigcup_{(k \rightarrow h) \in \text{CFG}} LV_{\text{IN}}(h) \\ LV_{\text{IN}}(k) &= (LV_{\text{OUT}}(k) \setminus \text{kill}_{LV}(k)) \cup \text{gen}_{LV}(k) \end{aligned}$$

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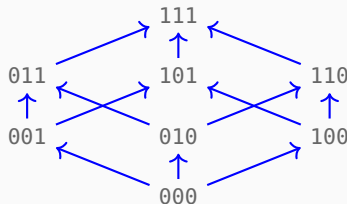
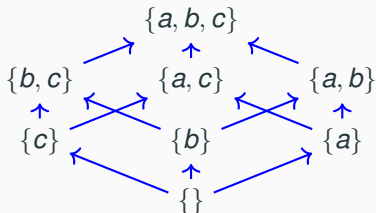
Whenever each variable's domain D_k is a **powerset** – typically the powerset $\wp(\mathcal{V})$ of the program variables – it is a complete lattice with respect to the subset relation \subseteq .

Then, the overall domain $D = D_1 \times \dots \times D_n$ is also a **complete lattice** with respect to \sqsubseteq defined as $X \sqsubseteq Y$ iff $\forall k (X_k \subseteq Y_k)$.

Bit vectors

Elements of powerset $\wp(S)$ of a finite set S can be efficiently represented using **bit vectors**:

- the length of the bit vector is $|S| = n$
- an element $s \in \wp(S)$ is uniquely represented by the bit string b_1, \dots, b_n where $b_k = 1$ iff the k th element of S belongs to s



Join and meet operations are then **bitwise logic** operations:

$$\sqcup \{\{a\}, \{b\}\} = \{a, b\}$$

$$\sqcap \{\{a\}, \{b\}\} = \{\}$$

$$100 \vee 010 = 110$$

$$100 \wedge 010 = 000$$

Data-flow analysis

Reaching definitions analysis

Reaching definitions

A **definition** (v, k) is an assignment to variable v at block k .

A definition (v, k) **reaches** block r if there is **some** path (on the CFG) from k to r that does **not redefine** v

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Examples: which definitions reach (the entry of) block 5?

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Examples: which definitions reach (the entry of) block 5?

- in the first loop iteration: $(x, 1)$ and $(y, 4)$
- in the following iterations: $(x, 5)$ and $(y, 4)$

Reaching definitions analysis

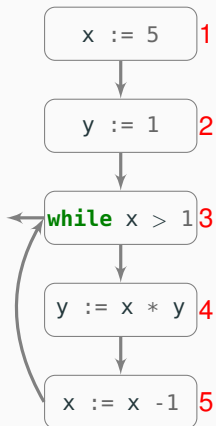
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Reaching definitions analysis: for each program point, determine which **definitions may reach** the point.

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Reaching definitions analysis's **output**:

$$\vdots$$
$$RD_{\text{IN}}(5) = RD_{\text{OUT}}(4) = \{(x, 1), (x, 5), (y, 4)\}$$

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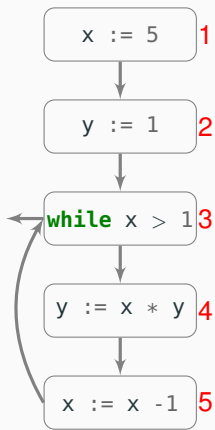
A **may analysis** is an over-approximation:

$RD(k)$ is a **superset** of the **reaching definitions** at k .

- if $(x, \ell) \in RD_{IN}(k)$, the definition of x at ℓ may or **may not** reach k (for example because it may be overwritten along certain paths but not along others)
- if $(x, \ell) \notin RD_{IN}(k)$, the definition of x at ℓ has **definitely not** reached k

Reaching definitions analysis: idea and example

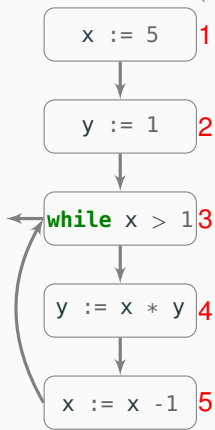
Working **forward**, record the **reaching definitions** at the **entry** and **exit** of every elementary block.



Reaching definitions analysis: idea and example

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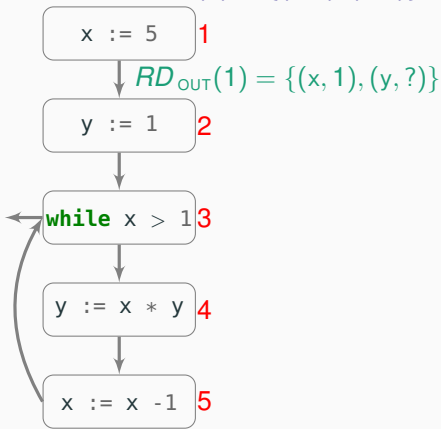


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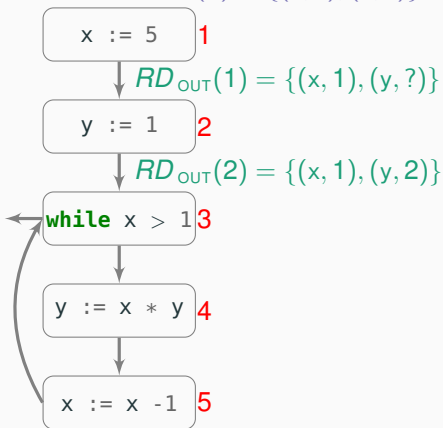


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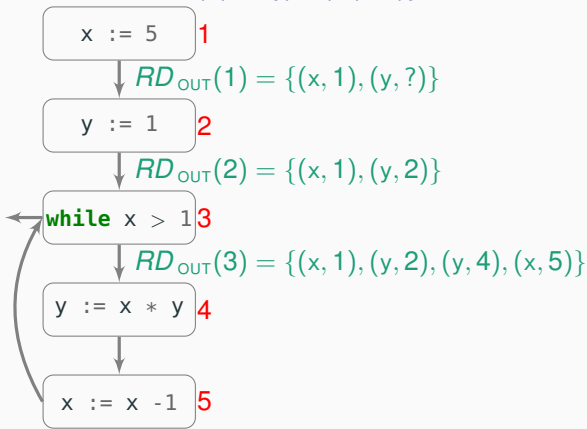


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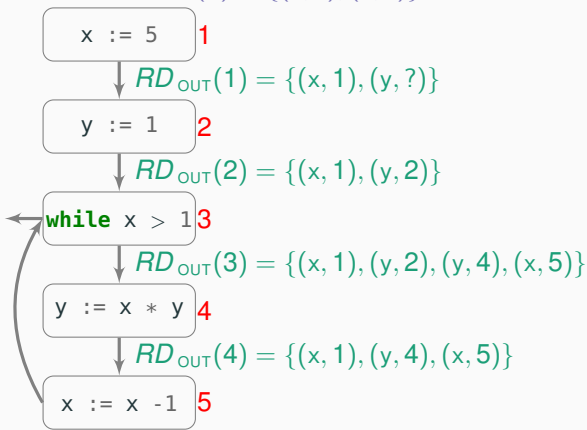


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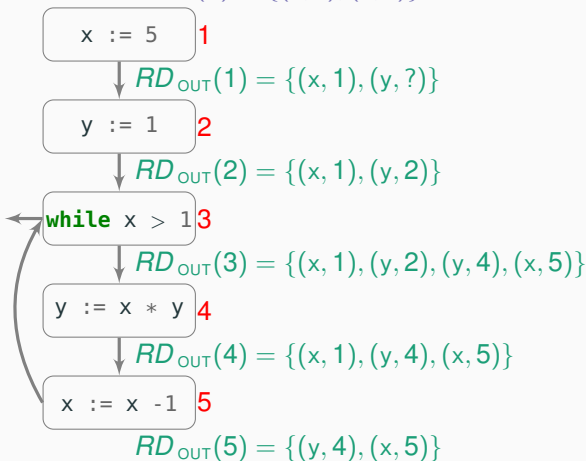


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Data-flow equations

We **formalize** the reaching definitions analysis similarly to the live variables analysis but working **forward**.

For every block k :

$$RD_{IN}(k) = \bigcup_{(h \rightarrow k) \in CFG} RD_{OUT}(h)$$


$$RD_{OUT}(k) = (RD_{IN}(k) \setminus kill_{RD}(k)) \cup gen_{RD}(k)$$


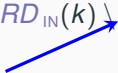
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for every node h that precedes k in the CFG
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other definitions of the
same variables redefined at k

variables defined at k

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We define $kill_{RD}$ and gen_{RD} for every block type:

$$\begin{array}{ll} kill_{RD}(\mathbf{skip}) = \{\} & gen_{RD}(\mathbf{skip}) = \{\} \\ kill_{RD}(v := E) = \{(v, p) \mid \text{for all } p\} & gen_{RD}(\{v := E\}^k) = \{(v, k)\} \\ kill_{RD}(\mathbf{if/while } C) = \{\} & gen_{RD}(\mathbf{if/while } C) = \{\} \end{array}$$

↑
program points or ?

Use-Definition and Definition-Use chains

The information about which statements produce values and which use them is useful for many program optimizations. A reaching definitions analysis has this information, which can be displayed directly as **links** between statements.

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Use-definition chains (**UD chains**): link from each **use** of a variable to all assignments that may reach it.

Example: UD chain for **x** at point **6**.

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UD chains: definition

location of implicit initialization

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$$UD(v, k) = \{q \mid \{v := E\}^q \text{ and } clear(v, q, k)\} \cup \{? \mid clear(v, ?, k)\}$$

UD chains: all $p \rightarrow q$ such that p uses some x and $q \in UD(x, p)$

Predicate $clear(x, p, q)$ holds iff there is a definition-clear path from p to q : a path such that no block strictly between p and q redefines x .

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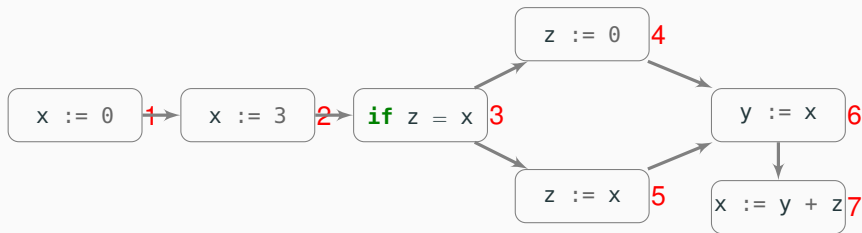
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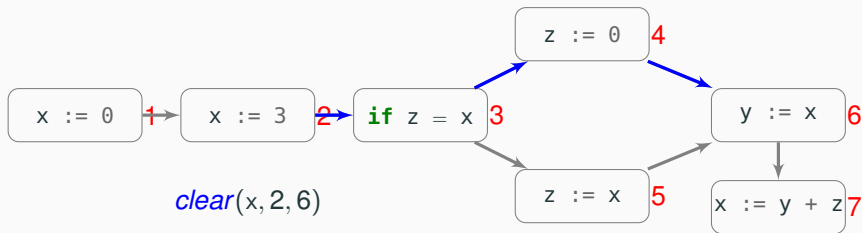
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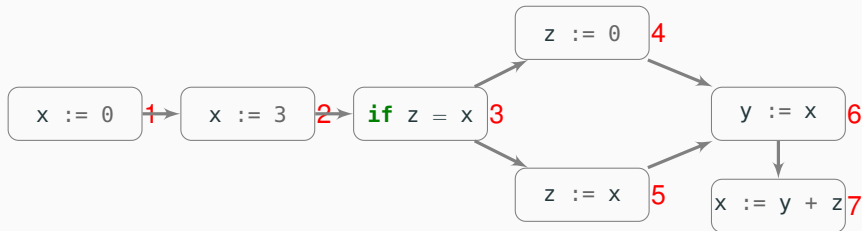
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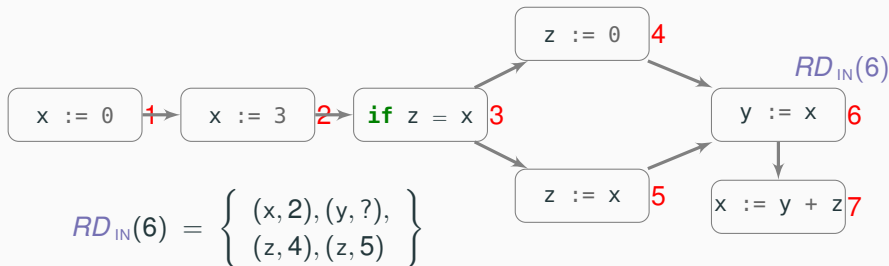
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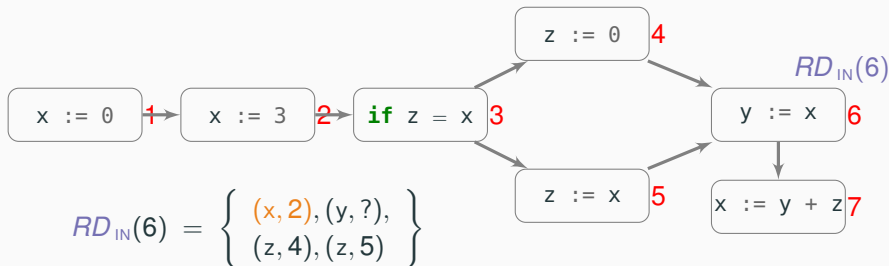
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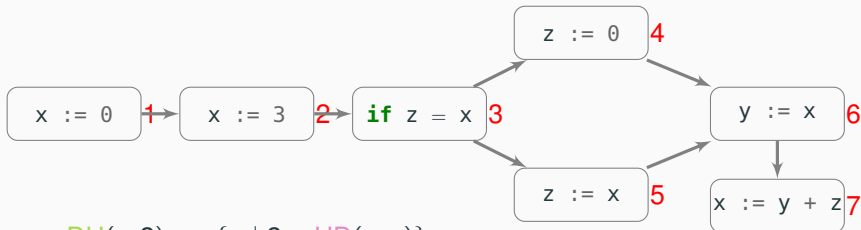
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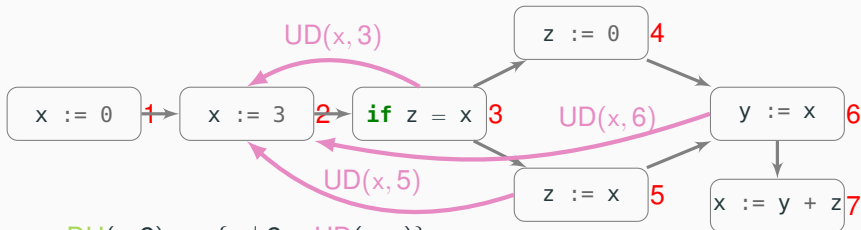
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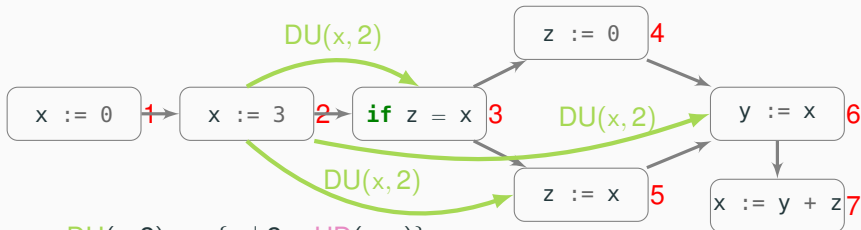
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Data-flow analysis

Slicing

Program slicing: the idea

What statements potentially affect the value of `sum` printed at line 8?

```
1 sum := 0
2 prod := 1
3 k := 0
4 while k < y
5     sum := sum + x
6     prod := prod * x
7     k := k + 1
8 print(sum)
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```

The program that only includes the statements that affect `sum` at 8 is called a **program slice**.

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Program slicing

The **program slice** of program P according to **slicing criterion** ℓ (where ℓ is a location in P) is a subset of all statements in P that **may affect** the values of variables **at** ℓ

If we only observe variables at location ℓ , we **cannot distinguish** a run of P from a run of its slice according to ℓ .

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Applications of program slicing

Several program analyses and optimizations are based on **slicing**:

debugging: by using the location of **failure** as slicing criterion, programmers can winnow statements where the **error** originates from the others

testing: slicing a failing test is a way of **shrinking** its size without losing its failure-triggering capability

parallelization: statements in **separate** slices can be executed in **parallel** without running into race conditions

Different approaches to slicing

Slicing can be done **statically** or **dynamically**.

Static slicing is based on **general dependencies** between statements, and hence it does not depend on particular inputs.

Dynamic slicing is based on the dependencies between statements that occur with specific **inputs**, and hence it is in general more **precise** (**smaller** slices).

Slicing can work **forward** or **backward**.

Backward slicing: given a statement ℓ , find which other (previous) statements affect ℓ .

Forward slicing: given a statement ℓ , find which other (following) statements are affected by ℓ .

Program slicing: rigorous definition

The **backward program slice** of program P according to **slicing criterion** ℓ is a program S with the following properties:

- S is obtained by **deleting** zero or more **statements** from P
- if P halts on some input X , then the **values** of variables **at** ℓ are the same in $P(X)$ and in $S(X)$ **every time** execution reaches ℓ

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To construct S we analyze **any possible dependencies** between ℓ and other statements:

data dependencies: corresponding to reaching definitions of variables used at ℓ

control-flow dependencies: corresponding to branching statements that may determine if execution reaches ℓ

Data dependence graph

The **data dependence** graph captures **definition-usage dependencies** between any pairs of nodes a and b in the CFG:

$$a \longrightarrow b \quad \text{iff} \quad b \in \text{DU}(v, a)$$

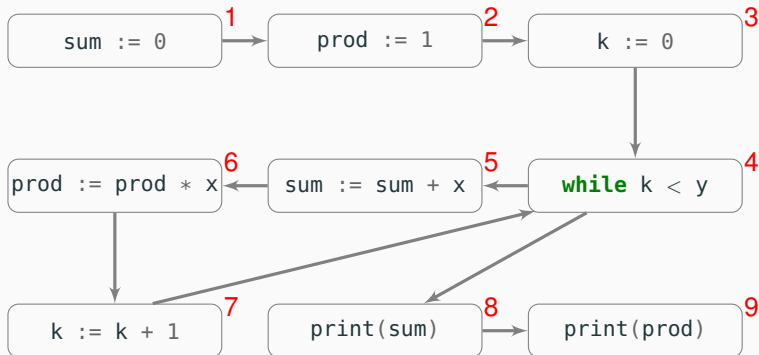
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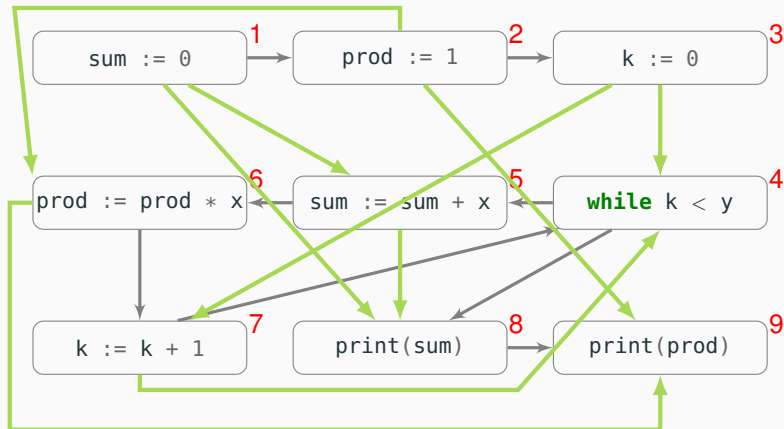


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Control dependence graph

The **control dependence** graph captures dependencies between **branching statements** and statements that may or may not execute according to which branch was taken.

$a \cdots \blacktriangleright b$ iff block a is a **branch**
 and
 branch a 's **outcome** determines whether b **executes**

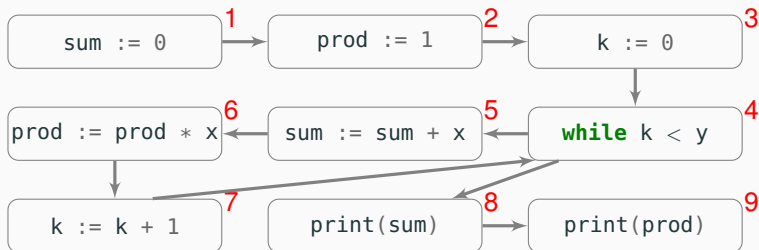
(We also add a special **ENTRY** node on which all statements **not within** a control structure depend.)

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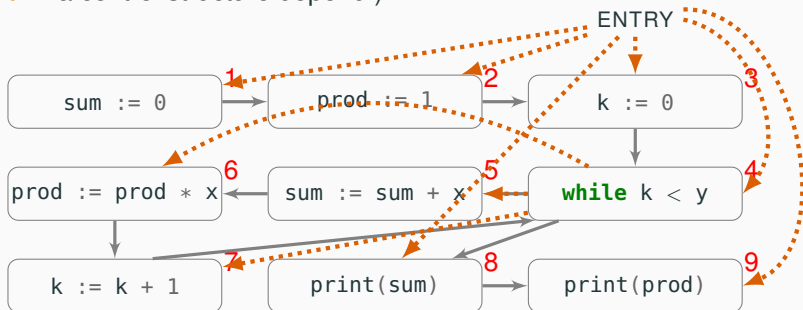


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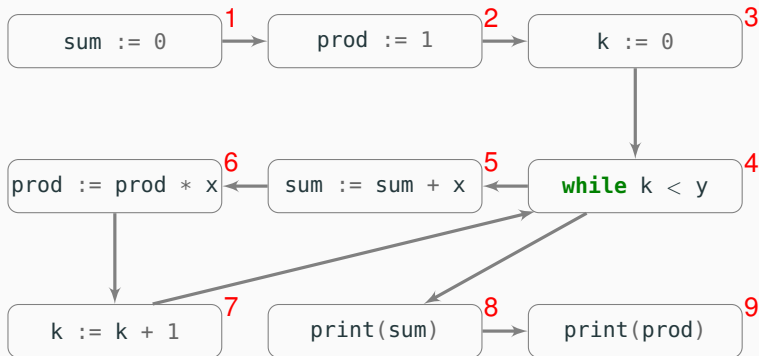


Program dependence graph

The **program dependence** graph (**PDG**) combines the **data** dependence and **control** dependence graphs.

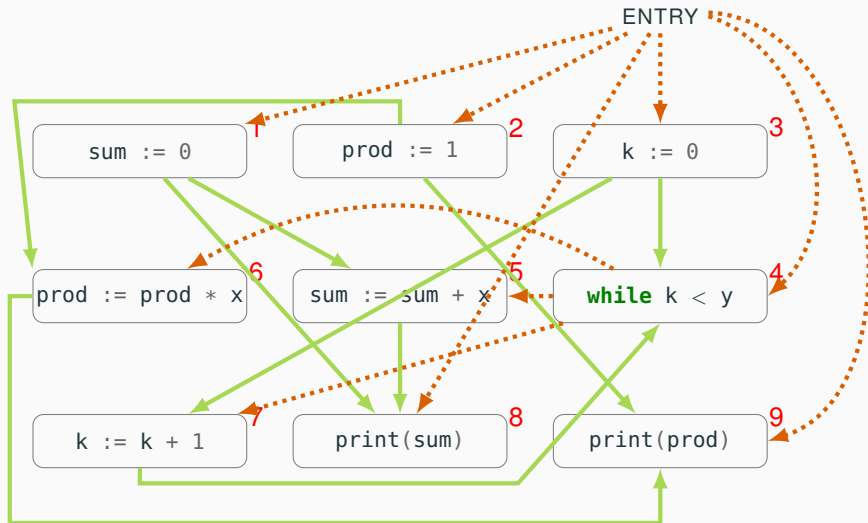
Program dependence graph

The **program dependence graph** (PDG) combines the **data** dependence and **control** dependence graphs.



Program dependence graph

The **program dependence graph** (PDG) combines the **data** dependence and **control** dependence graphs.



Slicing using the PDG

To build a **backward slice** S using the PDG:

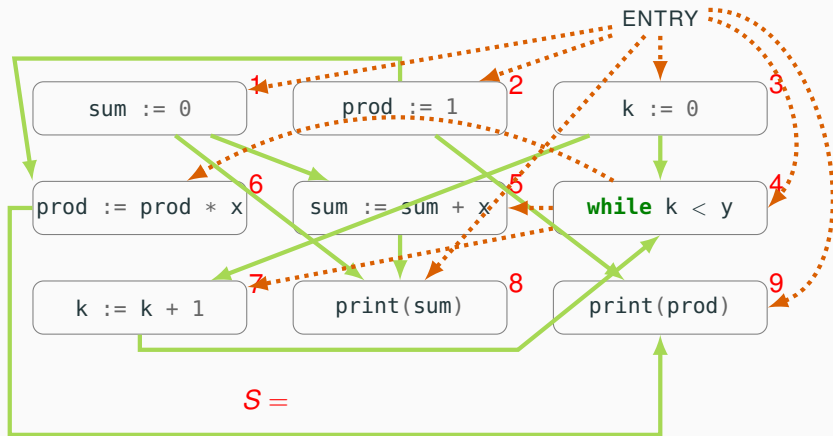
1. initially: $S = \{\ell\}$, where ℓ is the **slicing criterion**
2. add to S all nodes on which nodes in S **transitively depend** (data or control dependencies)

This corresponds to all nodes s such that $\ell \leftarrow^+ s$, where \leftarrow^+ is the transitive closure of the **inverse edge** relation \leftarrow in the PDG.

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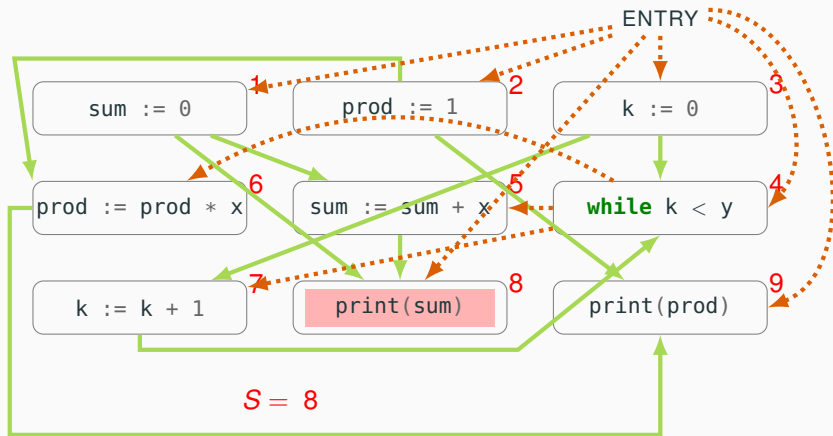
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Slicing using the PDG

To build a **backward slice** S using the PDG:

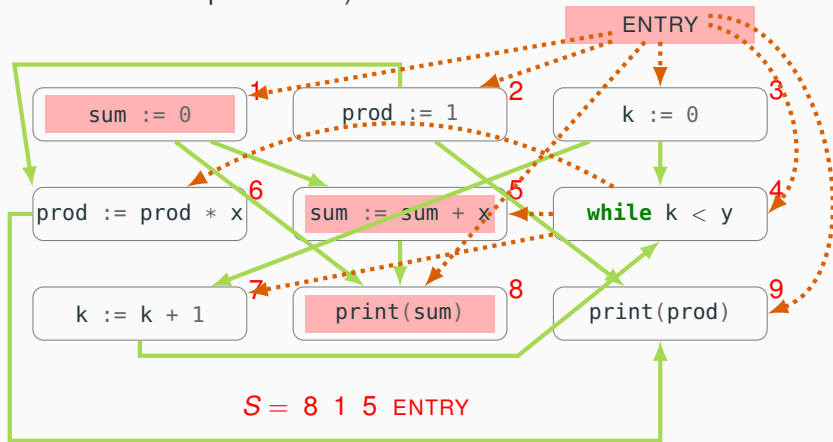
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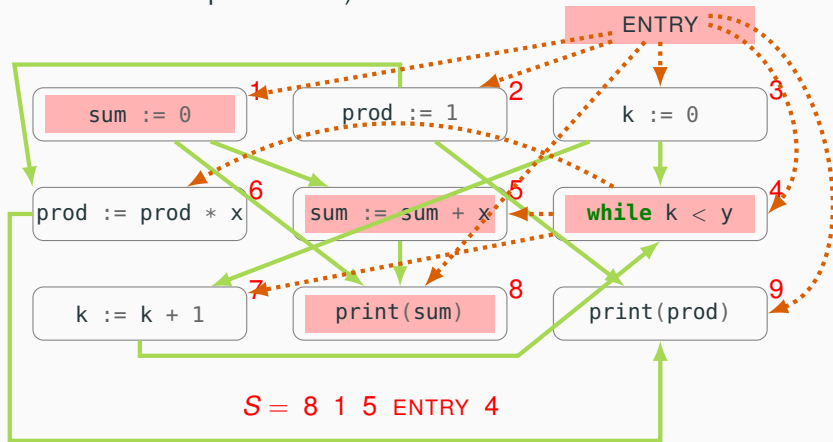
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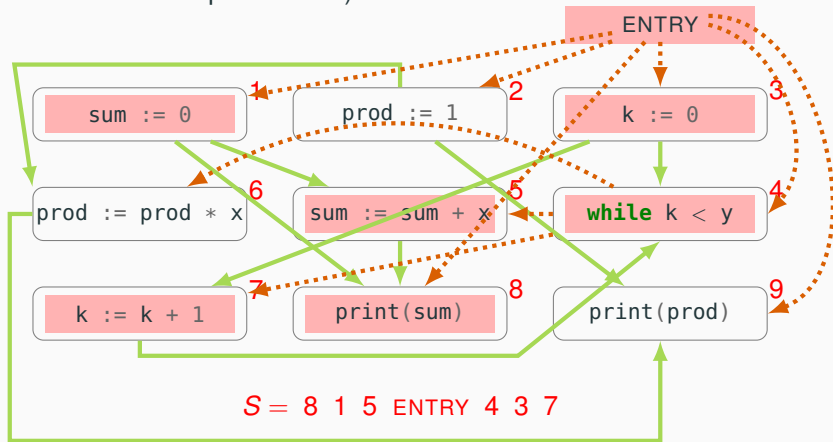
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Data-flow analysis

**Static analysis tools example:
Frama-C**

A mini demo of Frama-C

Let's perform some static analyses of the following example (already used to demonstrate slicing):

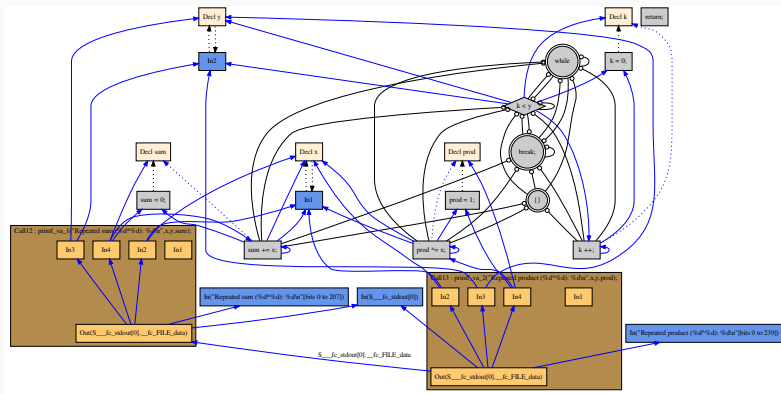
```
// print x*y and x^y
void sum_prod(int x, int y)
{
    int sum, prod, k;
    sum = 0;
    prod = 1;
    k = 0;
    while (k < y) {
        sum = sum + x;
        prod = prod * x;
        k = k + 1;
    }
    printf("Repeated sum (%d*%d): %d\n", x, y, sum);
    printf("Repeated product (%d^%d): %d\n", x, y, prod);
}
```

The simplest way to use Frama-C is through its **GUI**: `frama-c-gui` (open a new project, and load the source file).

Frama-C: Program dependence graph

```
> frama-c -pdg -pdg-dot="pdg" example.c
```

```
# generate PDG and store it as DOT file 'pdg.sum_prod.dot'
```



Frama-C: Program dependence graph

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# generate PDG and store it as DOT file 'pdg.sum_prod.dot'
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In the **program dependence graph** generated by Frama-C:

- Blue arrows go from a variable's usage to its reaching definitions
- Edges with an empty circle as arrowhead go from a statement to its control dependences
- Nodes `while` and `break` denote the loop's entry and exit points

Frama-C: Slicing

Slice using, as **slicing criterion**, variable `sum` at the exit of `sum_prod`:

```
> frama-c -main="sum_prod" -lib-entry -slice-value="sum" example.c \  
-then-on 'Slicing export' -print -ocode sum_exit_slice.c
```

```
void sum_prod(int x, int y)  
{  
    int sum;  
    int k;  
    sum = 0;  
    k = 0;  
    while (k < y) {  
        sum += x;  
        k ++;  
    }  
    return;  
}
```

Frama-C: Slicing

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Another way of specifying the **slicing criterion** is adding:

```
/* slice pragma stmt; */
```

before the statement representing the slicing criterion. Then, call the analysis with `-slice-pragma="sum_prod"` instead of `-slice-value`.

Frama-C: Value analysis

An analysis of the **range of values** that variables may take, and the possible **overflows** that may result:

```
> frama-c -eva example.c
```

```
[eva:alarm] example.c:11: Warning:
```

```
  signed overflow. assert sum + x <= 2147483647;
```

```
[eva:alarm] example.c:12: Warning:
```

```
  signed overflow. assert prod * x <= 2147483647;
```

```
[eva] ===== VALUES COMPUTED =====
```

```
[eva:final-states] Values at end of function sum_prod:
```

```
  sum in [0..2147483646]
```

```
  prod in [1..2147483647]
```

```
  k in [0..2147483647]
```

Data-flow analysis

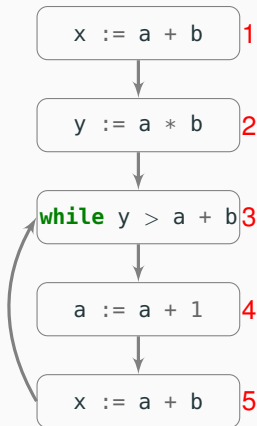
Available expressions analysis

Available expressions

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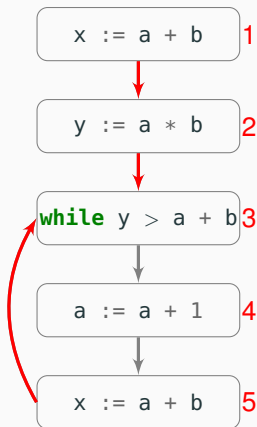


Expressions: $a + b$, $a * b$, $a + 1$.

Which of these expressions are **available** at (the entry of) 3?

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Available expressions analysis: for each program point, determine which **expressions must** be **available** at the point.

↑
before the point/
at the entry of the block

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Available expressions analysis's **output**:

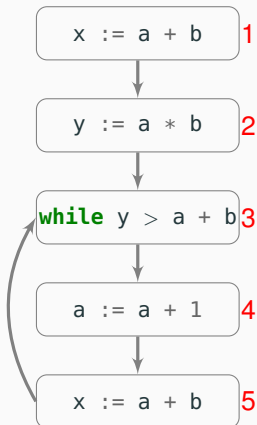
$$AE(1) = \{\}$$

$$AE(2) = \{a + b, a, b\}$$

$$AE(3) = \{a + b, a, b\}$$

$$AE(4) = \{a + b, a, b\}$$

$$AE(5) = \{b\}$$




Available expressions analysis

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Available expressions analysis: for each program point, determine which **expressions must** be **available** at the point.



under-approximation



before the point/
at the entry of the block

A **must analysis** is an under-approximation:
 $AE(k)$ is a **subset** of the **available** expressions at k .

- if $E \in AE(k)$, E is **definitely** available at k
- if $E \notin AE(k)$, E may or **may not** be available at k (for example because it is available along certain paths but not along others)

The analysis has to be sound, and then as precise as possible given the information available in the CFG.

Available expressions analysis: applications

An **expression** E is **available** at block k
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If an expression E is **available** it needs not be **recomputed**; thus, we can **save** the value in its first computation in each path, and then **read** the saved value instead of computing it again. This improves performance the more computing E is **expensive**.

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```
1  x := f(a, b)
2  y := a * b
3  while y > f(a, b)
4      a := a + 1
5      x := f(a, b)
```

Available expressions analysis: applications

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1	$x := f(a, b)$	$fab := f(a, b) ; x := fab$
2	$y := a * b$	$y := a * b$
3	while $y > f(a, b)$	while $y > fab$
4	$a := a + 1$	$a := a + 1$
5	$x := f(a, b)$	$fab := f(a, b) ; x := fab$

Expression $f(a, b)$ is **available at 3**. Hence, we can **save** its value in a fresh variable **fab** and read it at 3. Assuming the computation of f is expensive, this avoids repeating it when not necessary. Typically f has to be side-effect free for this optimization to be safe.

Formalizing available expressions analysis

We **formalize** the idea of available expressions analysis as an **equation system**:

- $AE_{IN}(k)$ and $AE_{OUT}(k)$ are **variables** over domain $\wp(\mathcal{E})$, where \mathcal{E} is the set of all program expressions
- the **equations** formalize the relations:

$$AE_{IN}(k) = \bigcap_{h \text{ direct predecessor of } k} AE_{OUT}(h)$$

$$AE_{OUT}(k) = (AE_{IN}(k) \setminus \text{“changed at } k\text{”}) \cup \text{“not changed at } k\text{”}$$

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must analysis: available along **all** paths

The **analysis** result is the **greatest solution** of the equation system – greatest so that the under-approximation is as **precise** as possible.

Data-flow equations

For every block k :

for every node h that precedes k in the CFG
(i.e., h is a direct predecessor of k)


$$AE_{IN}(k) = \bigcap_{(h \rightarrow k) \in \text{CFG}} AE_{OUT}(h)$$


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all expressions containing v

We define kill_{AE} and gen_{AE} for every block type:

all subexpressions of E
not containing v

$$\text{kill}_{AE}(\text{skip}) = \{\}$$

$$\text{gen}_{AE}(\text{skip}) = \{\}$$

$$\text{kill}_{AE}(v := E) = \{e \mid v \in e\}$$

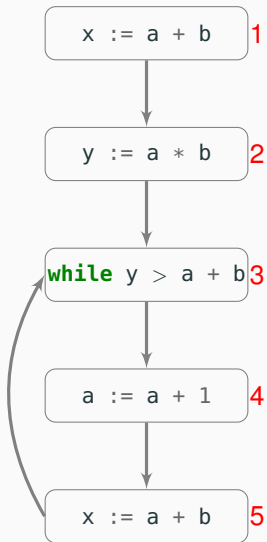
$$\text{gen}_{AE}(v := E) = \{e \mid e \in E \text{ and } v \notin e\}$$

$$\text{kill}_{AE}(\text{if/while } C) = \{\}$$

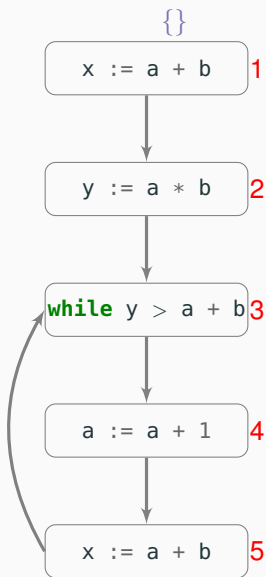
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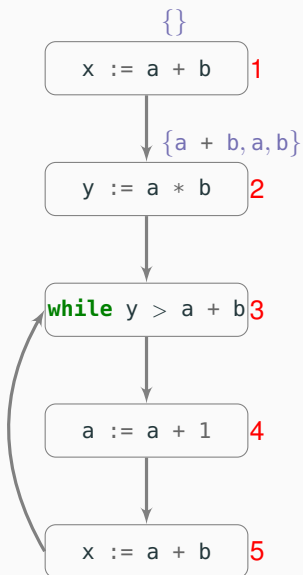
Available expressions analysis: example



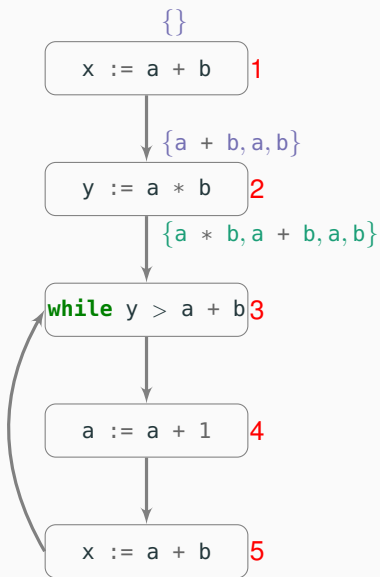
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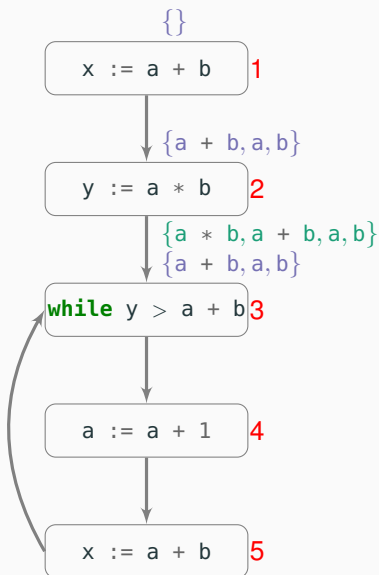
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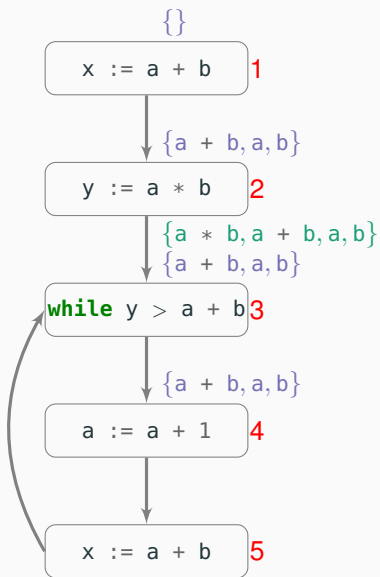
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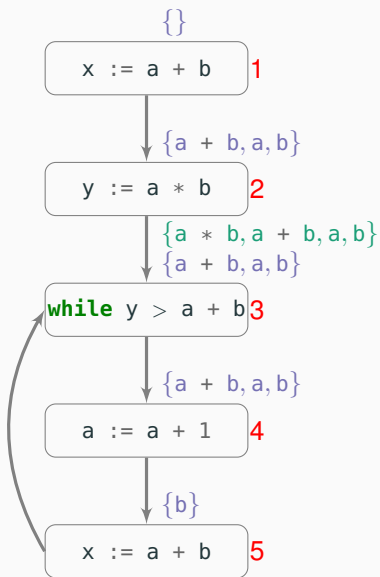
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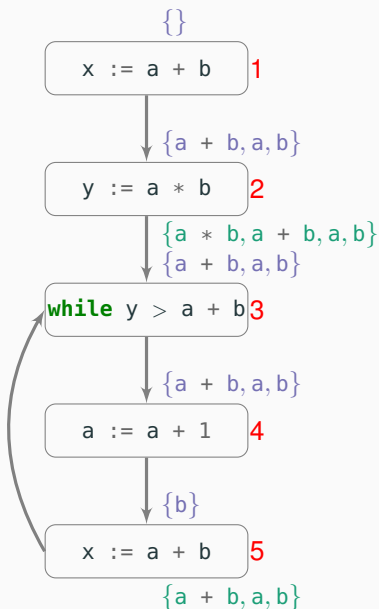
Available expressions analysis: example



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Available expressions analysis: example



May vs. must analyses

May analyses (such as LV) and must analyses (such as AE) are **dual**. Accordingly, the notions of **soundness** and **precision** are formulated in a way that matches the way the analysis's results are used.

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MAY ANALYSIS

MUST ANALYSIS

analysis approximates property P

analysis output **MAY**

analysis output: **MUST**

example: $P =$ **live** variables

example $P =$ **available** expressions

property P is an **error** property

property P is a **correctness** property

if v is **not live**, then I can eliminate
an assignment

if E is **available**, then I can elimi-
nate an evaluation

over-approximation: $P \subseteq \text{MAY}$

under-approximation: $\text{MUST} \subseteq P$

sound: $x \notin \text{MAY} \implies x \notin P$

sound: $x \in \text{MUST} \implies x \in P$

imprecise: $x \in \text{MAY} \not\Rightarrow x \in P$

imprecise: $x \notin \text{MUST} \not\Rightarrow x \notin P$

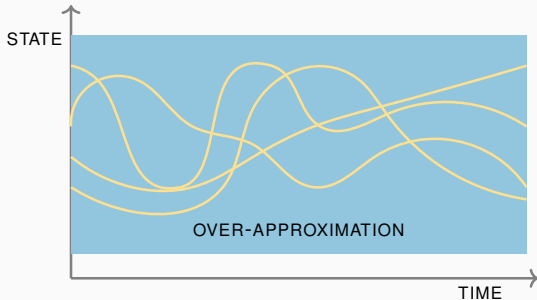
most precise: **least** fixed point

most precise: **greatest** fixed point

Abstract interpretation

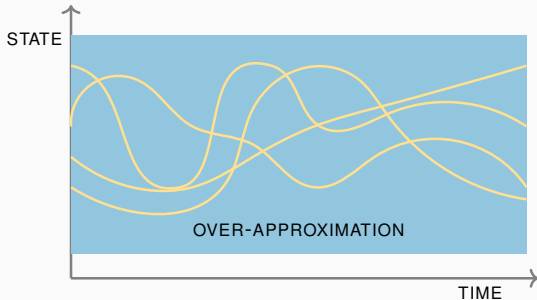
One framework to rule them all

The basic idea behind the data-flow **analyses** we have seen – as well as many other kinds of static analysis – is to **abstract** computations by keeping track of **partial, simpler** information – such as the variables that may be live.



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A crucial concern is **correctness**: how to ensure that a particular analysis is **sound**.

Abstract interpretation provides a **general framework** to construct **program analyses** and to establish their correctness.

Cousot & Cousot

Abstract interpretation was invented by Patrick and Radhia Cousot in a seminal POPL paper published in 1977.

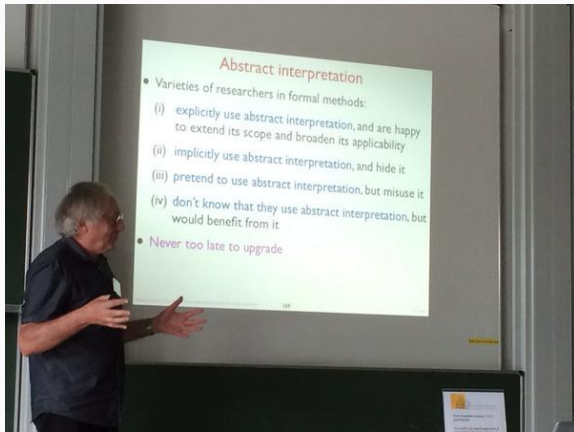
ABSTRACT INTERPRETATION : A UNIFIED LATTICE MODEL FOR STATIC ANALYSIS
OF PROGRAMS BY CONSTRUCTION OR APPROXIMATION OF FIXPOINTS

Patrick Cousot^{*} and Radhia Cousot^{**}

Laboratoire d'Informatique, U.S.M.G., BP. 53
38041 Grenoble cedex, France



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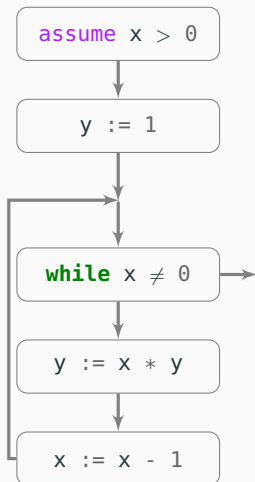


Abstract interpretation

Concrete and abstract computations

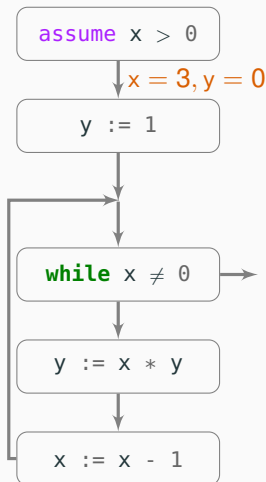
Concrete computations

A program defines a set of possible computations as sequences of **states** over a **concrete domain** according to its **concrete semantics** – for example, the programming language's operational semantics.



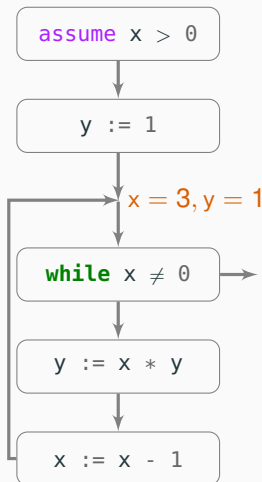
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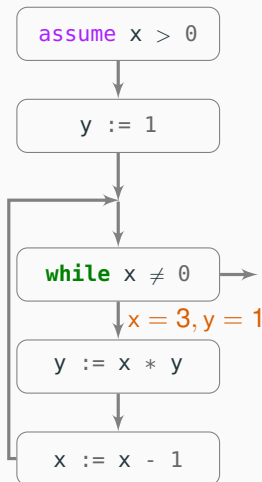
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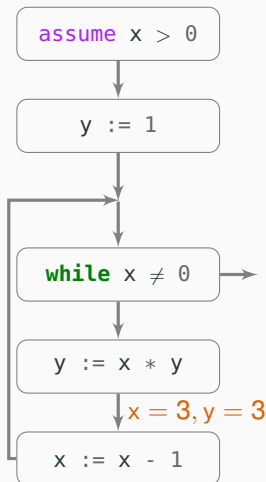
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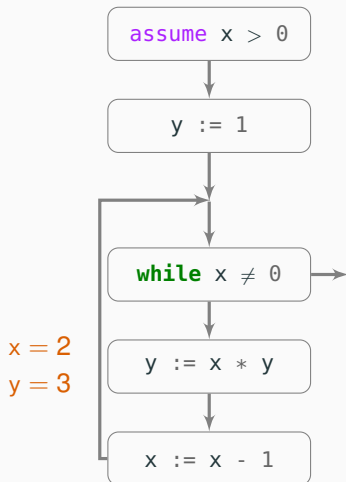
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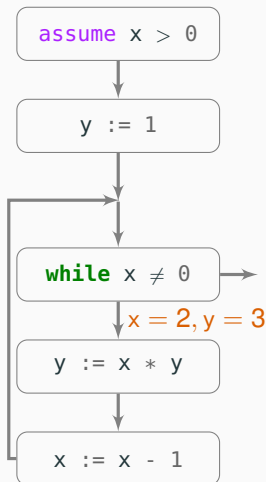
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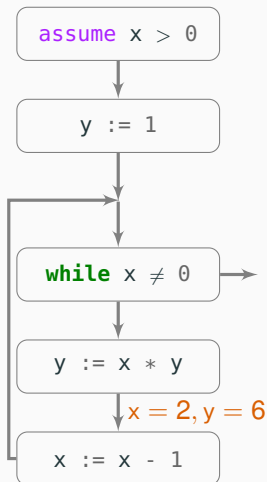
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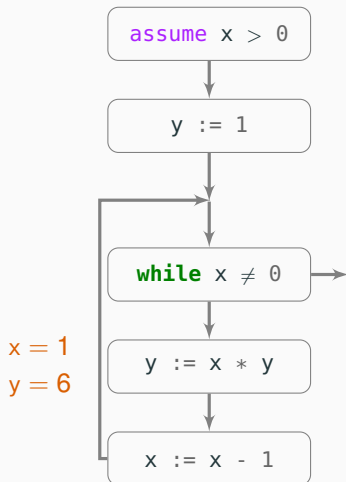
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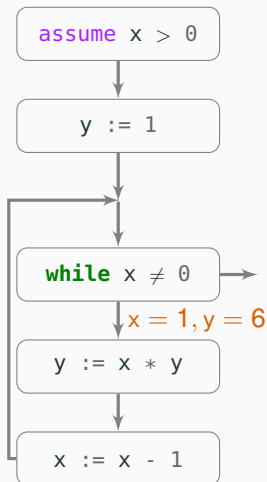
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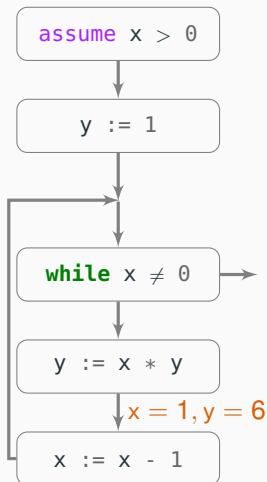
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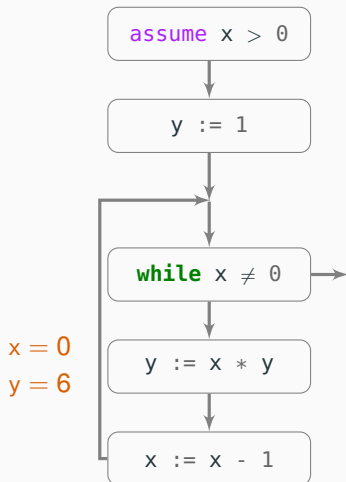
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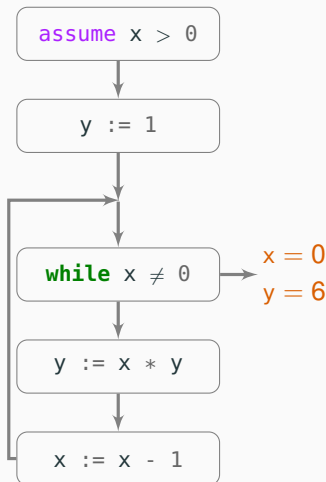
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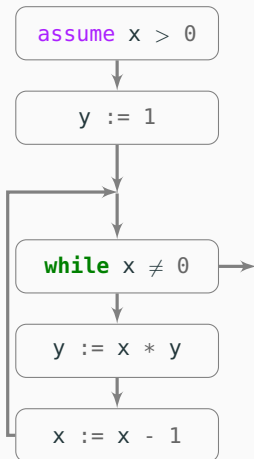
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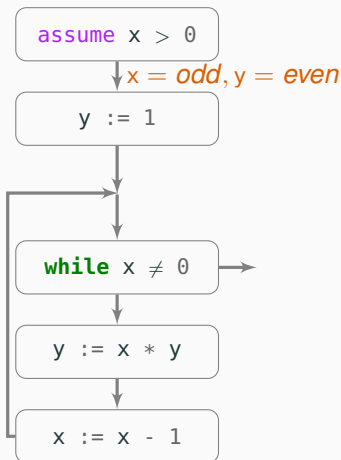
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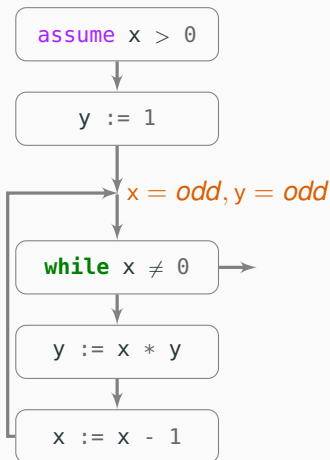
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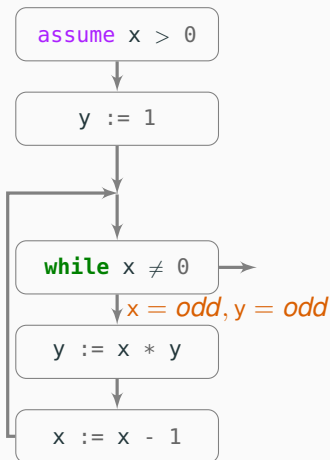
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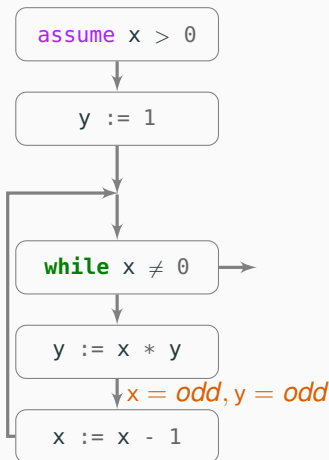
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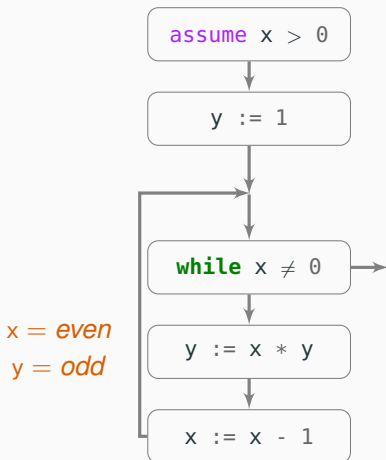
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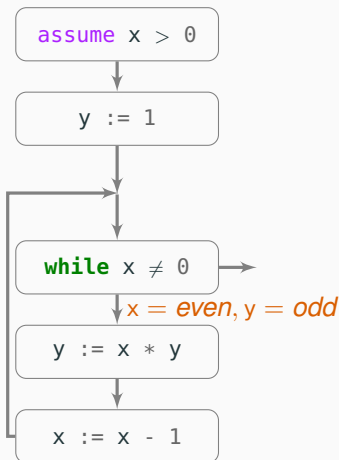
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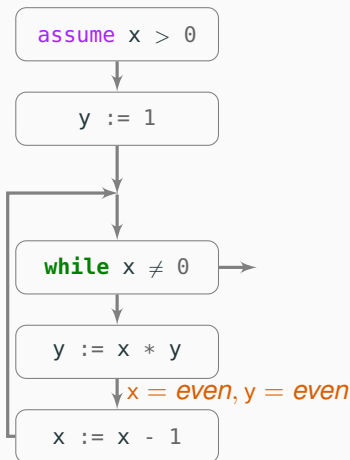
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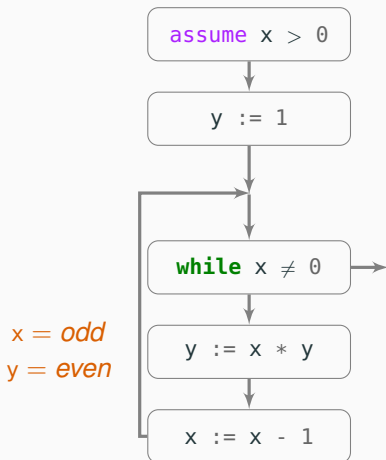
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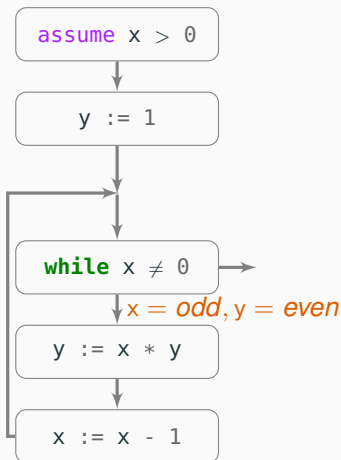
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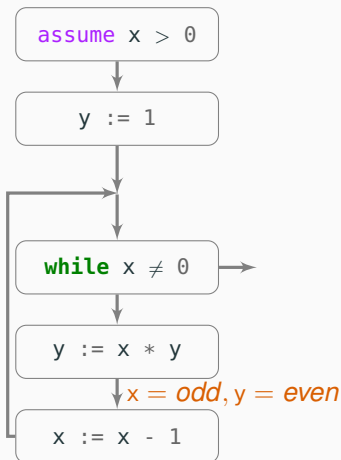
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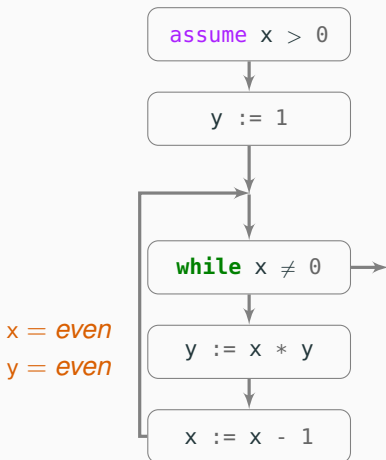
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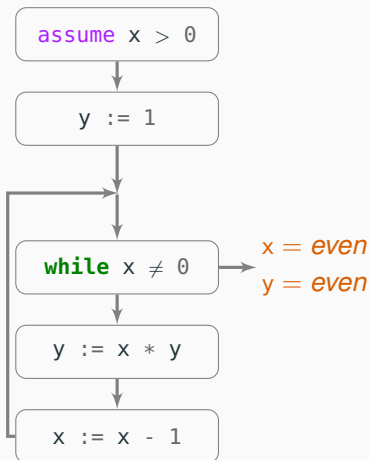
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Abstract interpretation: main idea

Abstract interpretation is a **framework** for constructing abstract semantics and proving that they are **sound** with respect to the concrete semantics.

Contrast this to the a posteriori approach of data-flow analysis: first define an analysis, then prove that it is correct.

Abstract interpretation: main idea

As in the data-flow analyses, computations are captured by the **possible values** of variables at each program point.

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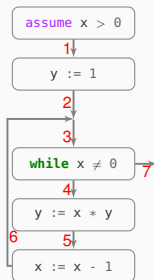
Concrete state domain:

$State: Vars \rightarrow \mathbb{Z}$

Concrete semantics:

set of possible concrete states at every program point

$C: Labels \rightarrow \wp(State)$



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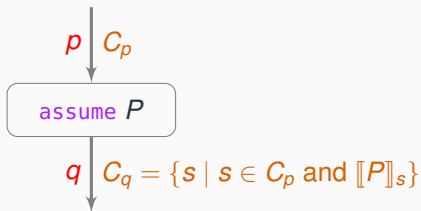
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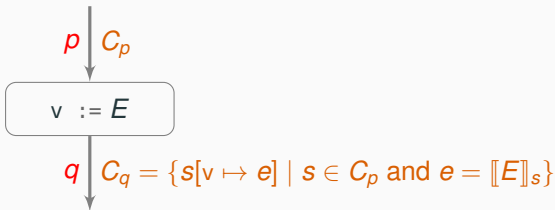


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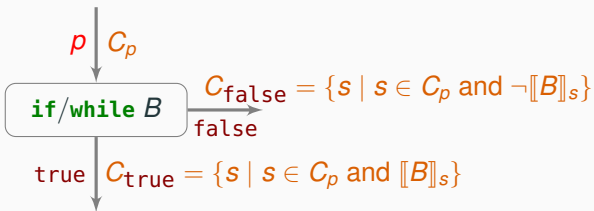


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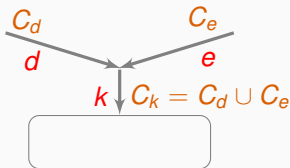


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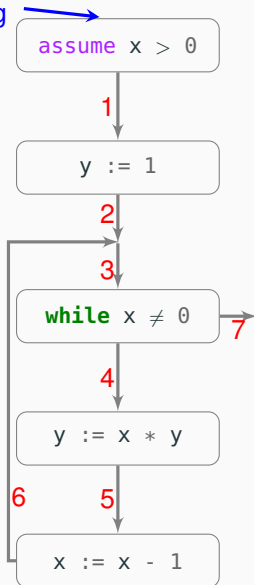
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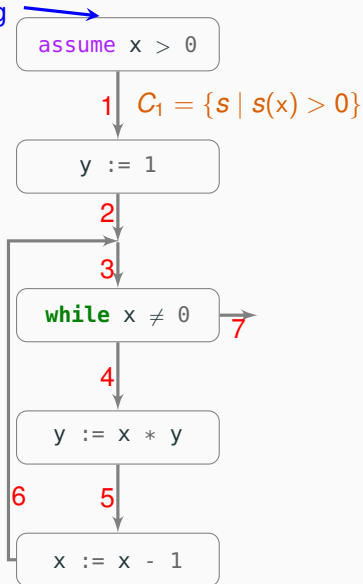
Collecting semantics: example

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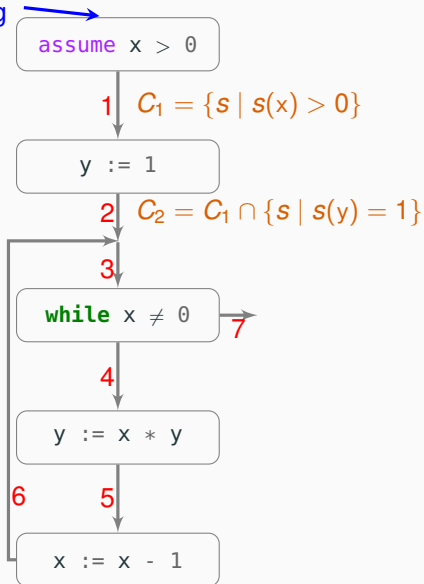
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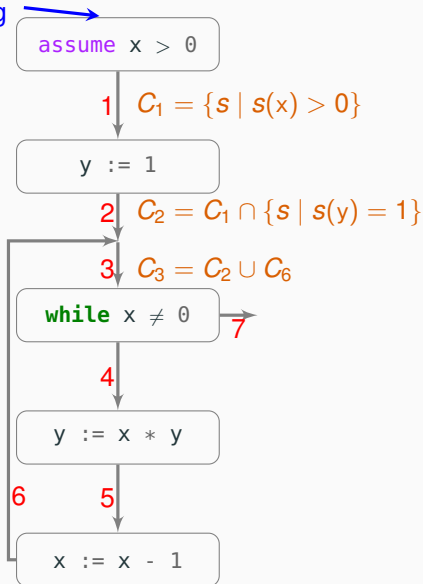
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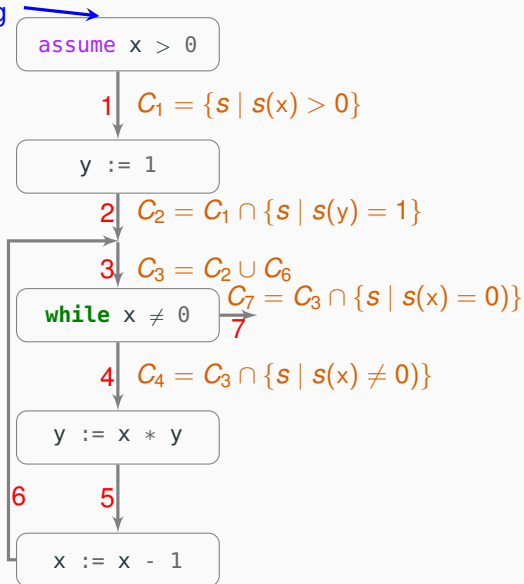
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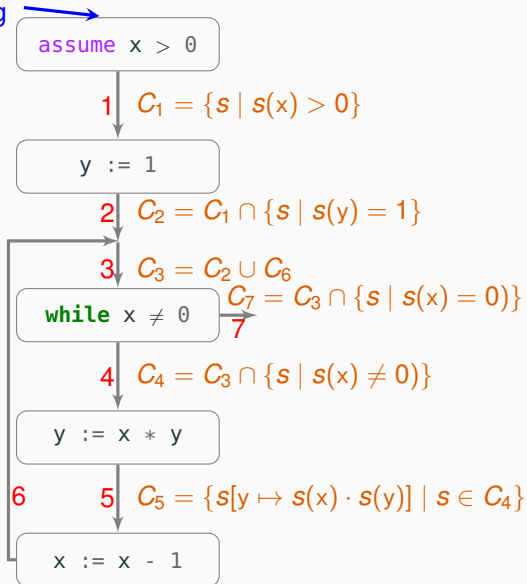
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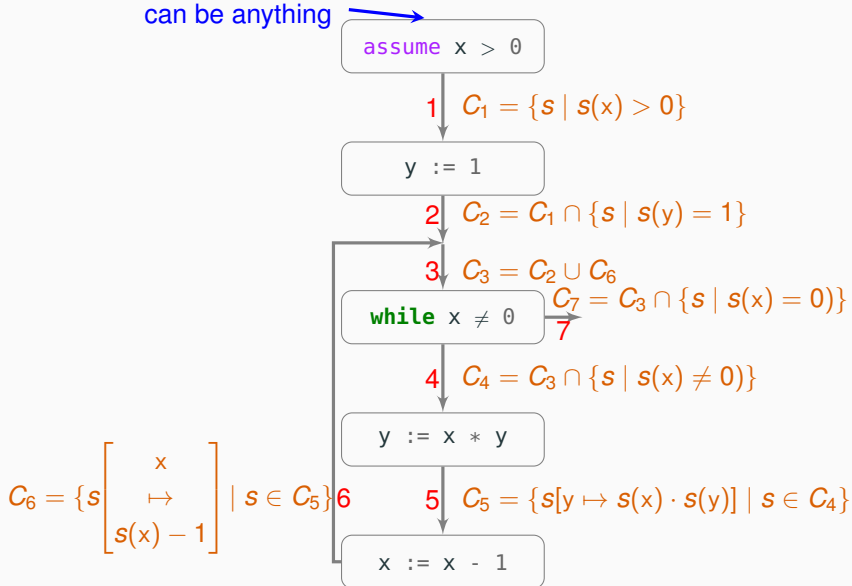
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Collecting semantics: equation solving

The collecting semantics gives a **set of equations** that look a lot like data-flow equations – except for minor details such as that we have labels on edges instead of entry and exit of blocks.

$$C_1 = \{s \mid s(x) > 0\}$$

$$C_2 = C_1 \cap \{s \mid s(y) = 1\}$$

$$C_3 = C_2 \cup C_6$$

$$C_4 = C_3 \cap \{s \mid s(x) \neq 0\}$$

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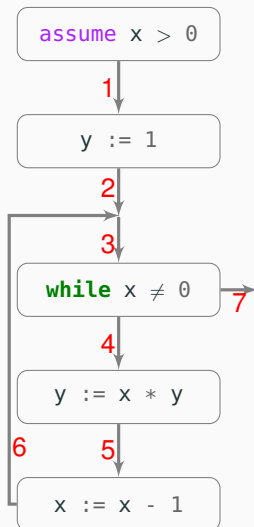
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We can **compute the concrete semantics** by evaluating the equations starting from $\{\} \times \cdots \times \{\}$ until we reach a fixed point.

Fixed point concrete computation: example



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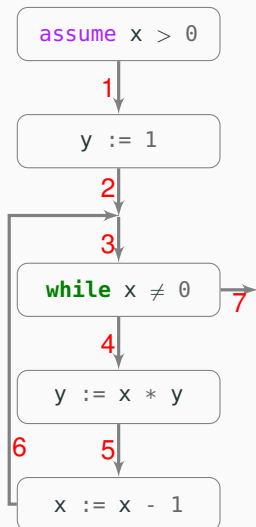
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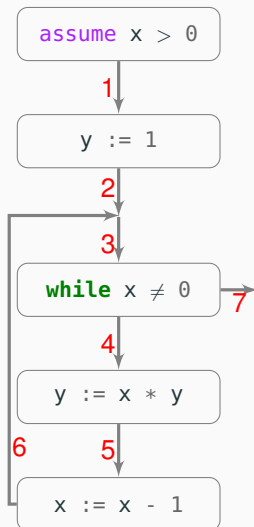
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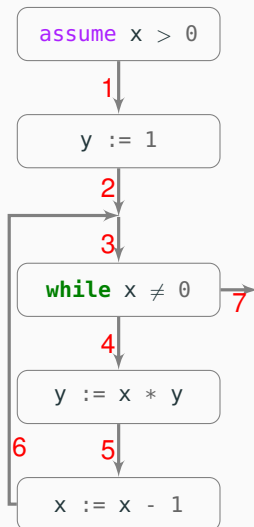
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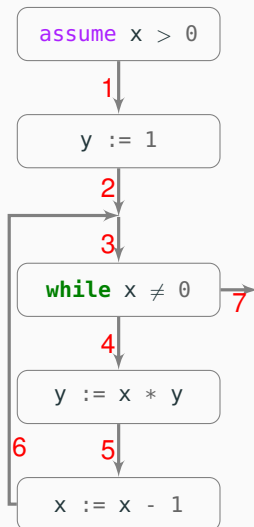
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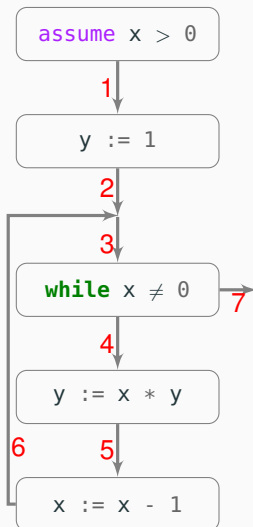
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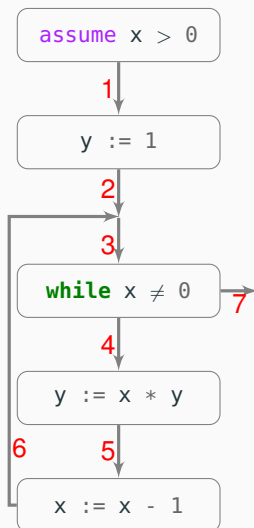
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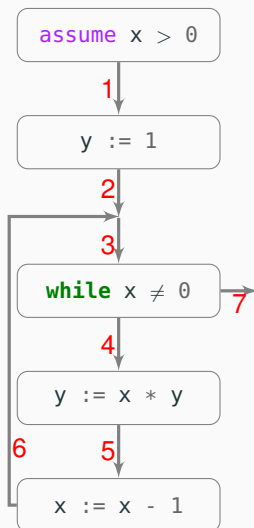
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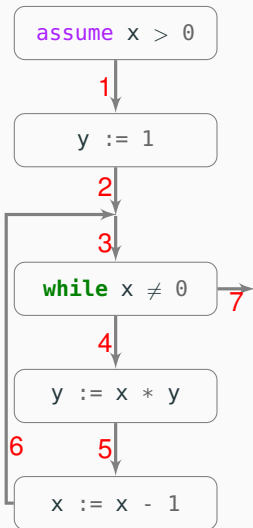
$$C_4 = C_3 \cap \{s \mid s(x) \neq 0\}$$

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Fixed point concrete computation: example



$$C_1 = \{x = m, y = n \mid m > 0\}$$

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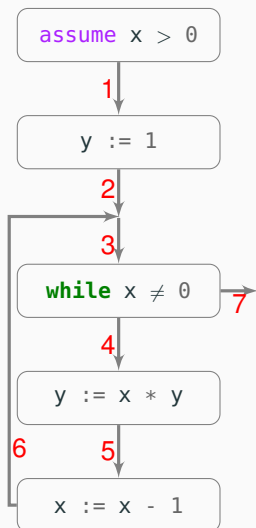
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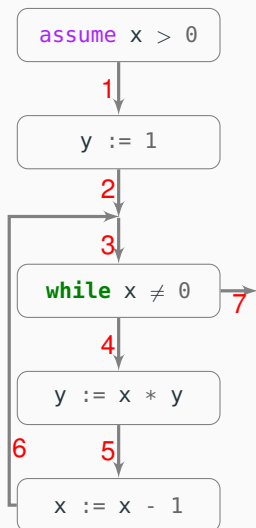
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and so on...

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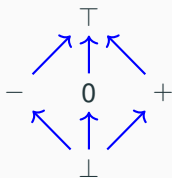
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Sign semantics

The **sign semantics** A is an **abstract** semantics that only keeps track of the **sign** of integer variables, giving the set of possible abstract states at every edge label:

$$A: \text{Labels} \rightarrow \wp(\underbrace{\text{Vars} \rightarrow \text{Sign}}_{\text{AbstractState}})$$

Domain **Sign** = $\{\top, +, 0, -, \perp\}$ is a finite set ordered according to \leq :



\top represents **all** integers

$+$ represents all **positive** integers

0 represents the singleton $\{0\}$

$-$ represents all **negative** integers

\top represents the **empty** set

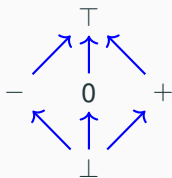
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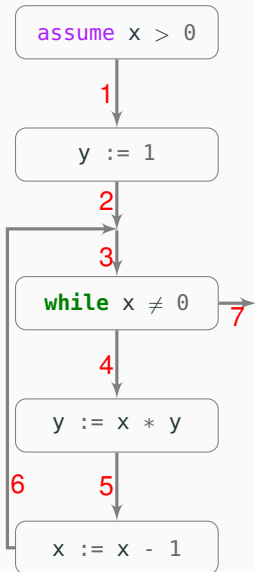
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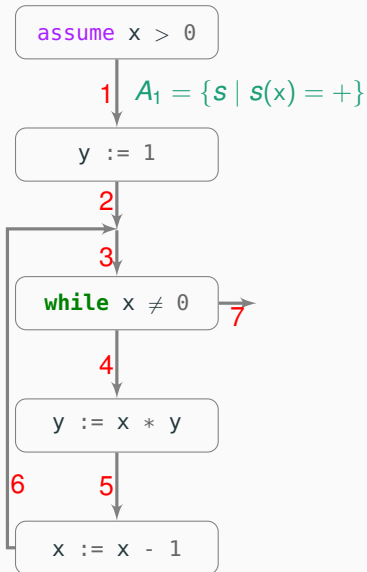
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The poset $\langle \text{Sign}, \leq \rangle$ is a **complete lattice**.

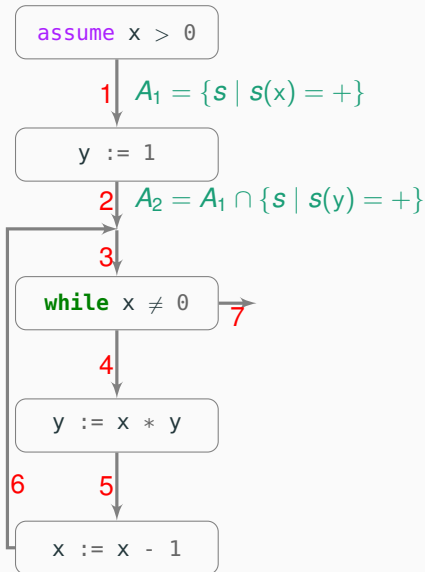
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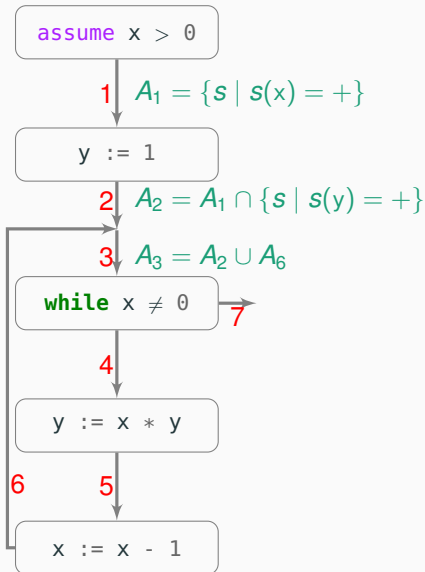
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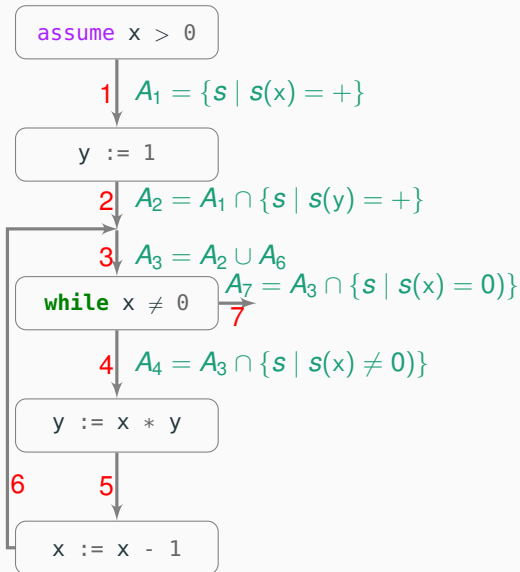
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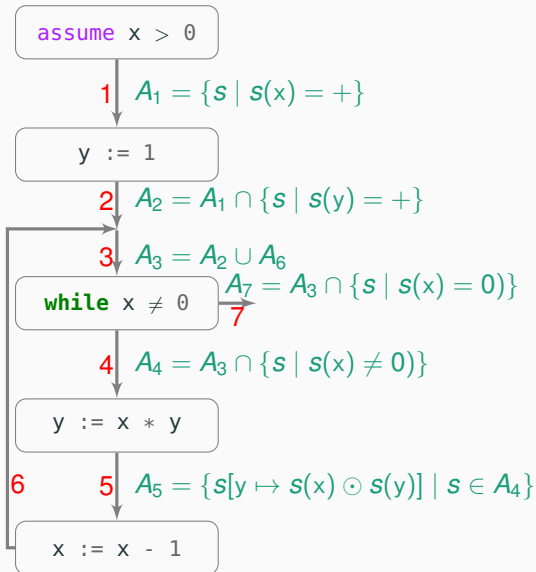
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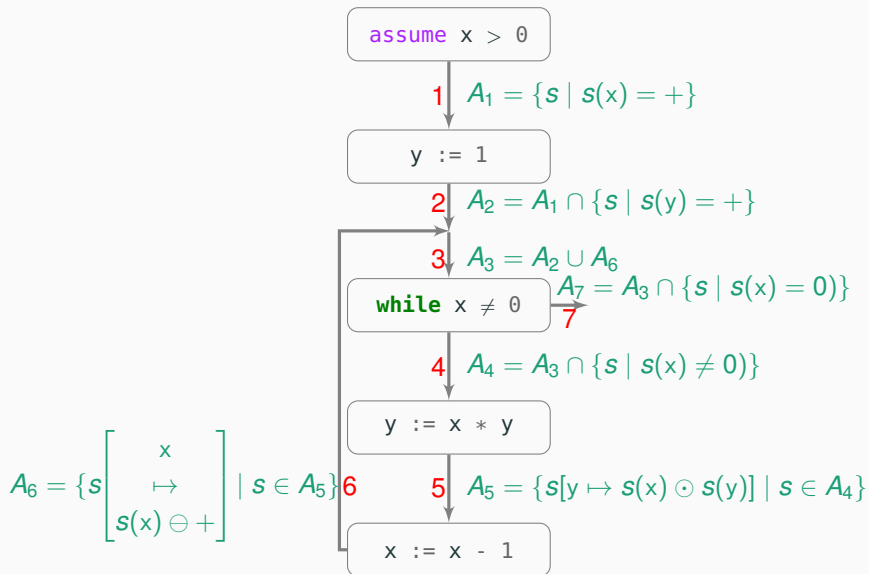
Sign semantics: example



Sign semantics: example



Sign semantics: example



Sign semantics: equation solving

The sign semantics gives a **set of equations** that are structurally identical to those of the collecting semantics – except that variables range over *Sign* in the sign semantics, and hence we need to express **arithmetic** operations \cdot and $-$ as operations \odot and \ominus over the **abstract** domain.

$$A_1 = \{s \mid s(x) = +\}$$

$$A_2 = A_1 \cap \{s \mid s(y) = +\}$$

$$A_3 = A_2 \cup A_6$$

$$A_4 = A_3 \cap \{s \mid s(x) \neq 0\}$$

$$A_5 = \{s[y \mapsto s(x) \odot s(y)] \mid s \in A_4\}$$

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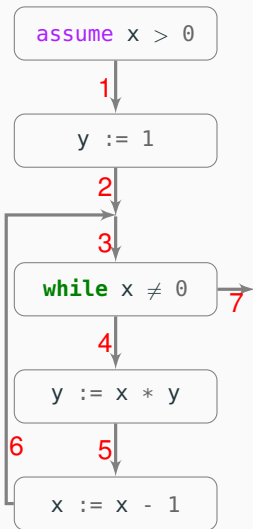
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Since these equations still satisfy the conditions of Tarski's **fixed point** theorem, we can **compute the abstract semantics** by evaluating the equations starting from $\{\} \times \cdots \times \{\}$ until we reach a fixed point.

Fixed point abstract computation: example



$A_1 =$

$A_2 =$

$A_3 =$

$A_4 =$

$A_5 =$

$A_6 =$

$A_7 =$

$$A_1 = \{s \mid s(x) = +\}$$

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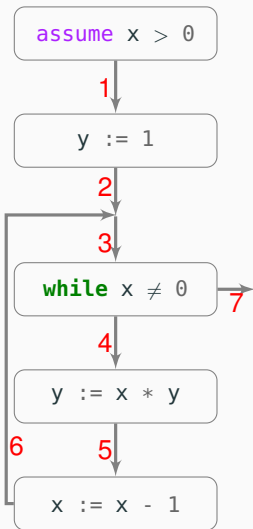
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Fixed point abstract computation: example



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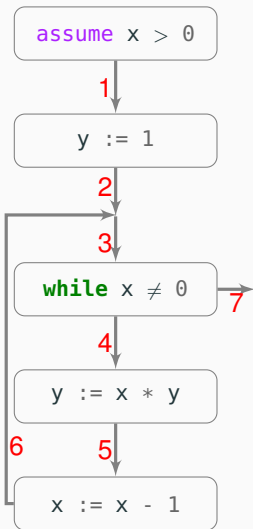
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Fixed point abstract computation: example



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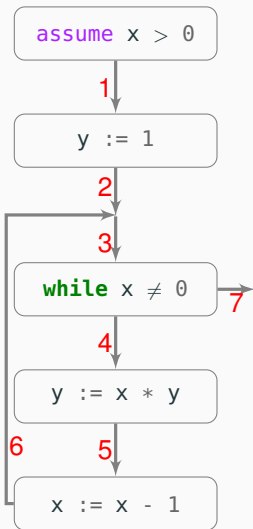
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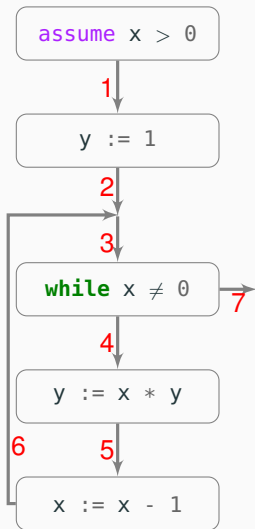
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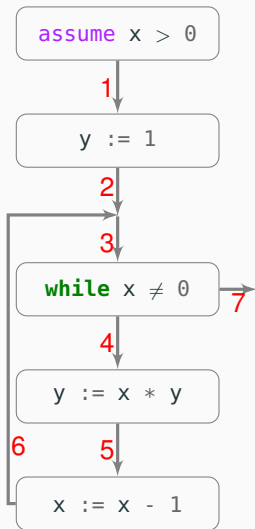
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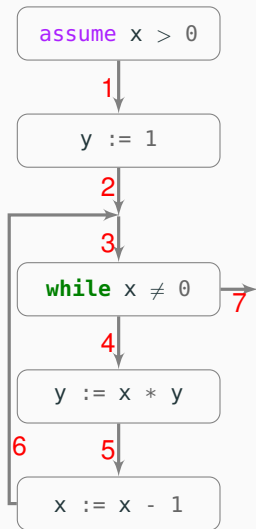
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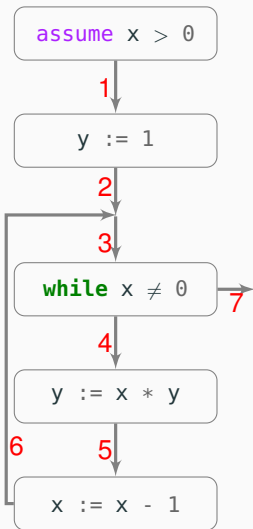
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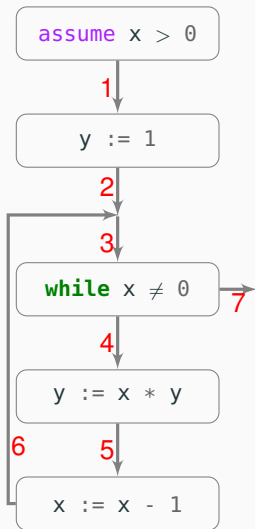
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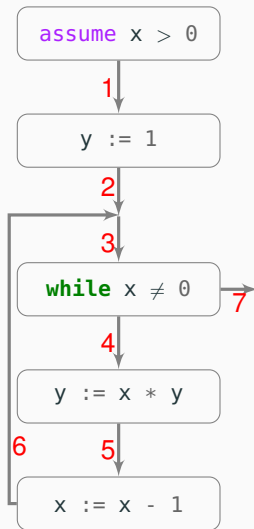
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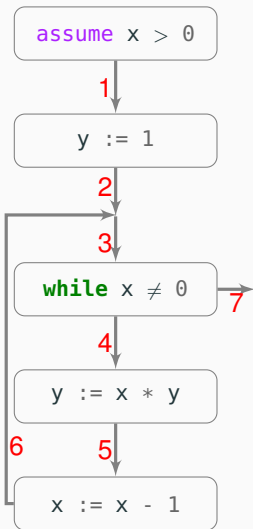
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Abstract interpretation

Correctness

Very simple integer expressions

To illustrate in detail how abstract interpretation supports reasoning about the **correctness** (**soundness**) of abstract computations, let us focus on a simple example: **integer expressions**.

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Syntax of **very simple** integer expressions VE :

$$VE \ni n \qquad \text{for } n \in \mathbb{Z}$$

$$VE \ni e_1 \times e_2 \qquad \text{for } e_1, e_2 \in VE$$

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 only operation: product

Let us define a **concrete** semantics (to evaluate the **integer** value of any expression) and an **abstract** semantics (to evaluate the **sign** of any expression).

Expressions: concrete semantics

The concrete semantics C assigns integer values to expressions:

$$C: VE \rightarrow State \quad \text{where } State = \mathbb{Z}$$

Expressions: concrete semantics

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The definition of C is straightforward.

$$\begin{aligned} C[n] &= n \\ C[e_1 \times e_2] &= C[e_1] \cdot C[e_2] \end{aligned}$$

For notational clarity, we will use square brackets to define the evaluation of semantics.

Expressions: abstract semantics

The abstract semantics A assigns to expressions values in the sign domain:

$$A: VE \rightarrow AbstractState \quad \text{where } AbstractState = Sign$$

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$$A[n] = \text{sign}(n) \quad \text{where } \text{sign}(n) = \begin{cases} + & n > 0 \\ 0 & n = 0 \\ - & n < 0 \end{cases}$$

$$A[e_1 \times e_2] = A[e_1] \otimes A[e_2]$$

is based on properties of how product and sign are related.

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\otimes	-	0	+
-	+	0	-
0	0	0	0
+	-	0	+

Expressions: abstract semantics

The **abstract semantics** A assigns to expressions values in the **sign domain**:

$$A: VE \rightarrow AbstractState \quad \text{where } AbstractState = Sign$$

The definition of A :

$$A[n] = \text{sign}(n) \quad \text{where } \text{sign}(n) = \begin{cases} + & n > 0 \\ 0 & n = 0 \\ - & n < 0 \end{cases}$$

$$A[e_1 \times e_2] = A[e_1] \otimes A[e_2]$$

is based on properties of how product and sign are related.

\otimes	-	0	+
-	+	0	-
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For example: $A[-3 \times 2 \times -5] =$

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For very simple integer expressions γ identifies subsets of \mathbb{Z} :

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Basic soundness condition

We have two semantics and the concretization function:

$$C: VE \rightarrow State$$

$$A: VE \rightarrow AbstractState$$

$$\gamma: AbstractState \rightarrow \wp(State)$$

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Soundness requires that the concrete semantics is compatible with the abstract semantics. Formally, $C[e]$ should give **one of the possible** concretizations of $A[e]$ – as in an over-approximation.

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$$A[-3 \times 2 \times -5] = +$$

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$$\{n \in \mathbb{Z} \mid n > 0\} = \gamma(+)$$

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Simple integer expressions

To see a more interesting example, let's add the sum as possible operation between integers:


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Syntax of **simple** integer expressions SE :

$SE \ni n$ for $n \in \mathbb{Z}$

$SE \ni e_1 \times e_2, e_1 + e_2, -e_1$ for $e_1, e_2 \in VE$

 unary minus

Once again, let us define a **concrete** semantics and an **abstract** semantics.

Expressions: concrete semantics

The concrete semantics C assigns integer values to expressions:

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The definition of C is straightforward.

$$C[n] = n$$

$$C[e_1 \times e_2] = C[e_1] \cdot C[e_2]$$

$$C[e_1 + e_2] = C[e_1] + C[e_2]$$

$$C[-e] = -C[e]$$

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\otimes	-	0	+
-	+	0	-
0	0	0	0
+	-	0	+

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-	+	0	-
0	0	0	0
+	-	0	+

\ominus	
-	+
0	0
+	-

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\otimes	-	0	+
-	+	0	-
0	0	0	0
+	-	0	+

\ominus	
-	+
0	0
+	-

\oplus	-	0	+
-	-	-	?
0	-	0	+
+	?	+	+

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-	+	0	-
0	0	0	0
+	-	0	+

\ominus	
-	+
0	0
+	-

\oplus	-	0	+
-	-	-	?
0	-	0	+
+	?	+	+

The abstract domain $\{+, 0, 0\}$ is **not closed** under the **interpretation of addition** \oplus .

Extending the abstract domain

To ensure that the abstract domain is closed under \oplus we include value \top (top), corresponding to “any value”. When the abstract value is \top it means that we have **no information** about the sign.

\oplus	−	0	+
−	−	−	\top
0	−	0	+
+	\top	+	+

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\oplus	-	0	+
-	-	-	\top
0	-	0	+
+	\top	+	+

To have a **complete lattice**, let's also add to the abstract domain value \perp (bottom), corresponding to “no value” (the empty set).

A: $SE \rightarrow AbstractState$ where $AbstractState = Sign = \{-, 0, +, \top, \perp\}$

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-	+	0	-	\top
0	0	0	0	0
+	-	0	+	\top
\top	\top	0	\top	\top

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-	+	0	-	\top
0	0	0	0	0
+	-	0	+	\top
\top	\top	0	\top	\top

\ominus	
-	+
0	0
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0	0	0	0	0
+	-	0	+	\top
\top	\top	0	\top	\top

\ominus	
-	+
0	0
+	-
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\oplus	-	0	+	\top
-	-	-	\top	\top
0	-	0	+	\top
+	\top	+	+	\top
\top	\top	\top	\top	\top

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+	\top	+	+

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A: $SE \rightarrow AbstractState$ where $AbstractState = Sign = \{-, 0, +, \top, \perp\}$

\otimes	-	0	+	\top
-	+	0	-	\top
0	0	0	0	0
+	-	0	+	\top
\top	\top	0	\top	\top

\ominus	
-	+
0	0
+	-
\top	\top

The definition of abstract operations \otimes , \ominus , and \oplus applied to \perp does not matter, since this value will never appear in a specific abstract computation.

Concretization function for *Sign*

We extend the concretization function γ to *Sign*

$$\gamma: \text{AbstractState} \rightarrow \wp(\text{State}) \quad \text{that is: } \text{Sign} \rightarrow \wp(\mathbb{Z})$$

For simple integer expressions γ identifies subsets of \mathbb{Z} :

$$\gamma(s) = \begin{cases} \{n \in \mathbb{Z} \mid n > 0\} & s = + \\ \{0\} & s = 0 \\ \{n \in \mathbb{Z} \mid n < 0\} & s = - \\ \mathbb{Z} & s = \top \\ \{\} & s = \perp \end{cases}$$

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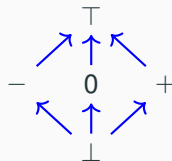
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We can see that $\langle \text{Sign}, \leq \rangle$ is a partial order induced by

$$a \leq b \quad \text{iff} \quad \gamma(a) \subseteq \gamma(b)$$



Abstract interpretation: the framework

To define a static analysis in the framework of **abstract interpretation**, we start from the **concrete domain** C :

1. Define an **abstract domain** A as a poset $\langle A, \sqsubseteq \rangle$ that must be a **complete lattice**
2. Define a **representation function** $\beta: C \rightarrow A$ that maps each concrete value to its “best” abstract value
3. The **concretization function** $\gamma: A \rightarrow \wp(C)$ can then be defined as

$$\gamma(a) = \{c \in C \mid \beta(c) \sqsubseteq a\}$$

4. The **abstraction function** $\alpha: \wp(C) \rightarrow A$ can then be defined as

$$\alpha(C) = \bigsqcup \{\beta(c) \mid c \in C\}$$

Abstract interpretation of simple integer expressions

Concrete domain

Abstract domain

Representation function

$$C = \mathbb{Z}$$

$$\langle A, \sqsubseteq \rangle = \langle \textit{Sign}, \leq \rangle$$

$$\beta = \text{sign}$$

Abstract interpretation of simple integer expressions

Concrete domain

Abstract domain

Representation function

$$\mathcal{C} = \mathbb{Z}$$

$$\langle \mathcal{A}, \sqsubseteq \rangle = \langle \text{Sign}, \leq \rangle$$

$$\beta = \text{sign}$$

Concretization function:

$$\gamma(a) = \{c \in \mathbb{Z} \mid \text{sign}(c) \leq a\} = \begin{cases} \{c \in \mathbb{Z} \mid c > 0\} & a = + \\ \{0\} & a = 0 \\ \{c \in \mathbb{Z} \mid c < 0\} & a = - \\ \mathbb{Z} & a = \top \\ \{\} & a = \perp \end{cases}$$

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Abstraction function ($\mathcal{C} \subseteq \mathcal{C}$):

$$\alpha(\mathcal{C}) = \bigsqcup \{\beta(c) \mid c \in \mathcal{C}\} = \begin{cases} + & \mathcal{C} = \{1, 2, 3\} \\ \top & \mathcal{C} = \{0, 1, 2\} \\ \top & \mathcal{C} = \{-1, 1\} \\ \dots & \end{cases}$$

Galois connections

The **concretization** and **abstraction** functions have the following properties by construction:

monotonicity α and γ are **monotonic** functions

Galois connection: α and γ satisfy

$$\begin{array}{ll} C \subseteq \gamma(\alpha(C)) & \text{for all } C \in \wp(\textcolor{brown}{C}) \\ a \sqsupseteq \alpha(\gamma(a)) & \text{for all } a \in \textcolor{teal}{A} \end{array}$$

Under these conditions, α and γ over their respective domains are said to form a **Galois connection**.

Galois connection: γ and α map between posets $\textcolor{brown}{C}$ and $\textcolor{teal}{A}$ in a way that γ and α are “almost inverses” of each other.

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Galois connection: γ and α map between posets C and A in a way that γ and α are “almost inverses” of each other.

Galois connections capture the notion of **correctness**: the abstraction $\alpha(C)$ is a **superset** (over-approximation) of the concrete semantics.

Galois connections

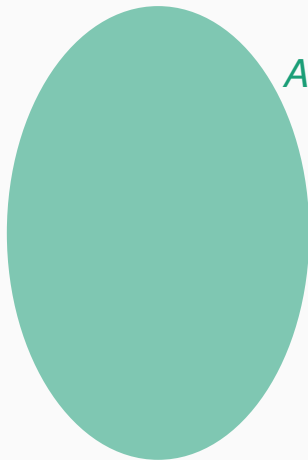
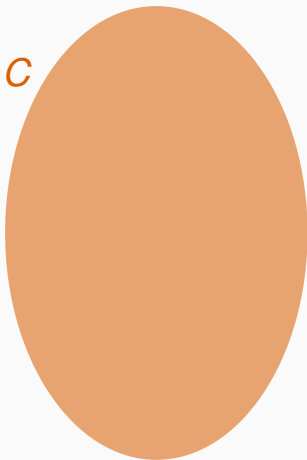
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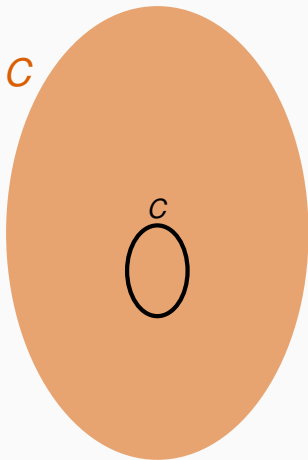
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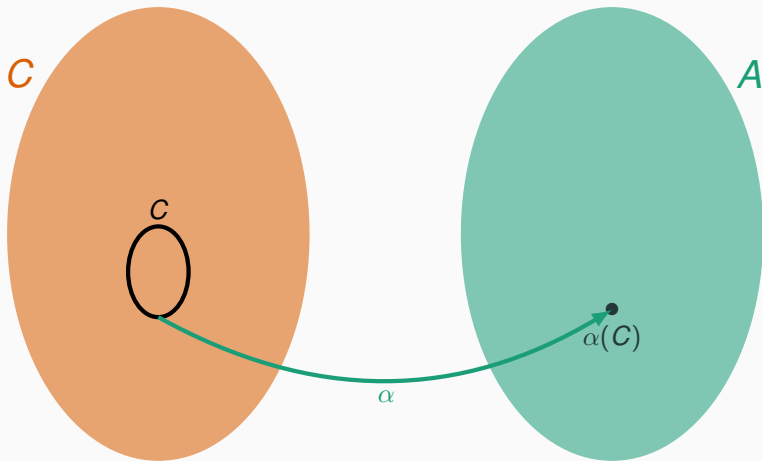
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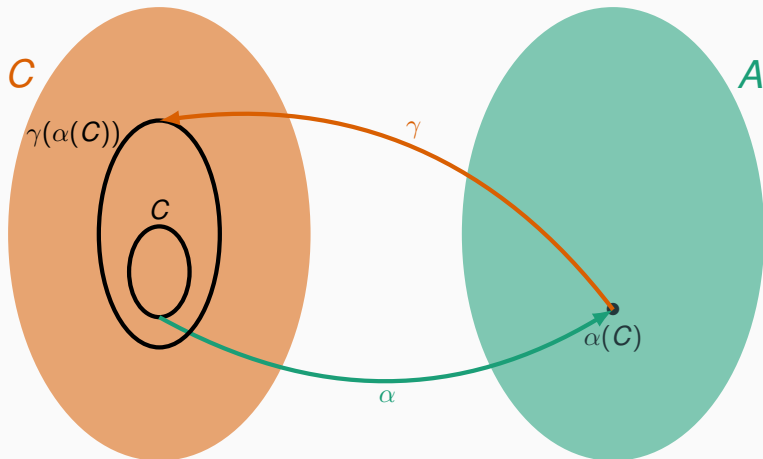
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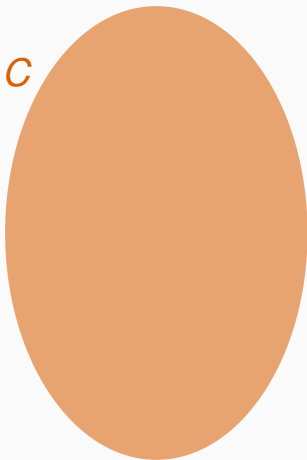
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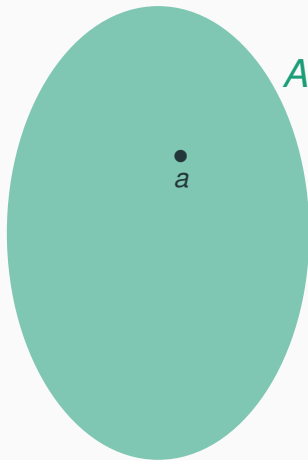


Galois connections

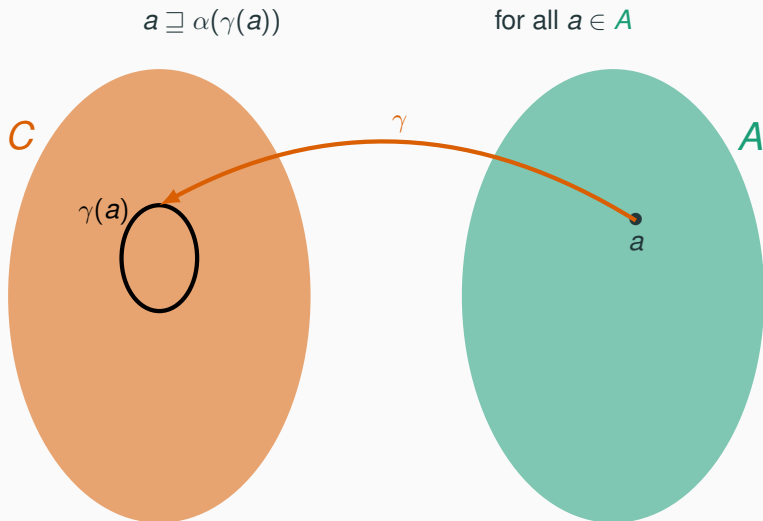
$$a \sqsupseteq \alpha(\gamma(a))$$



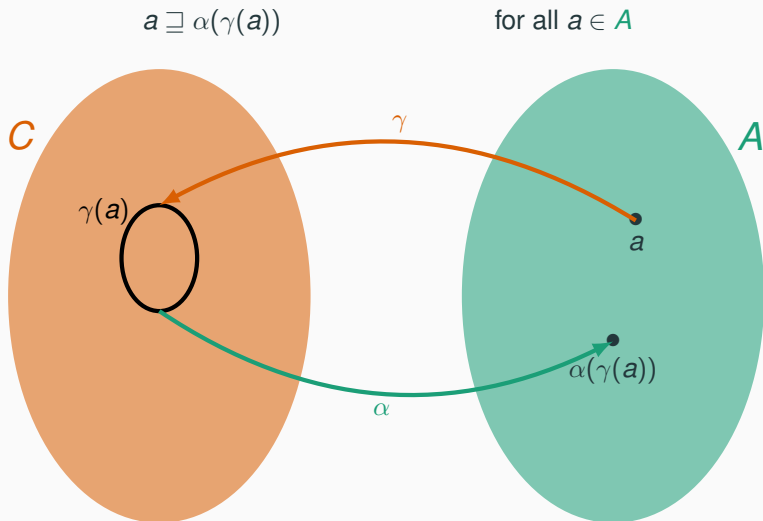
for all $a \in A$



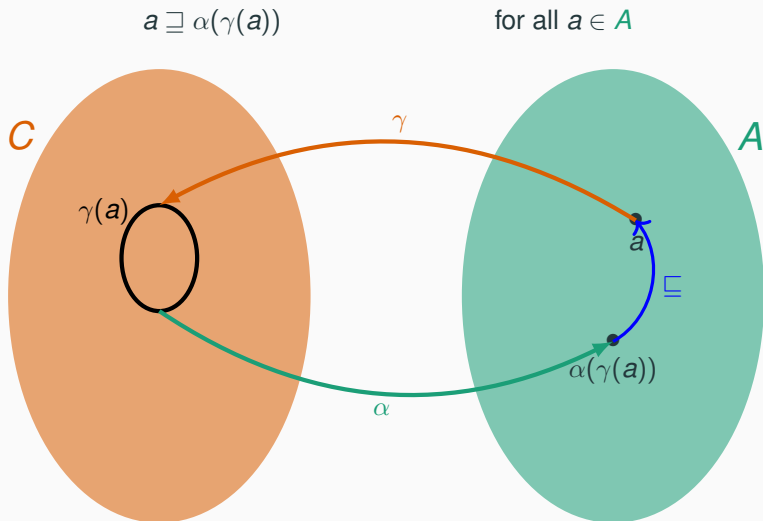
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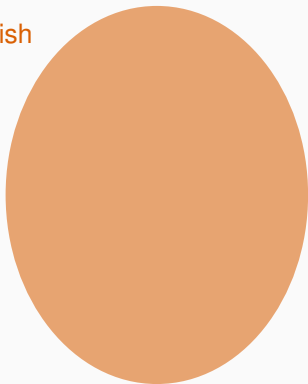


Dictionaries

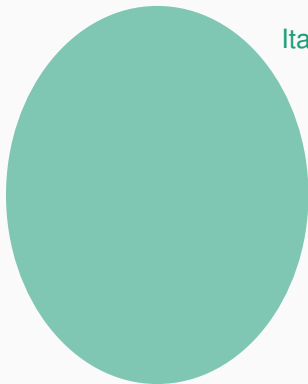
Bilingual dictionaries behave somewhat like Galois connections:

$$e \in \text{english}(\text{italian}(\{e\})) \quad \text{for all } e \in \text{English}$$

English



Italian



The analogy is not perfect though: *italian* gives in general more than one translation of each word. See also [Bertrand Meyer's blog](#).

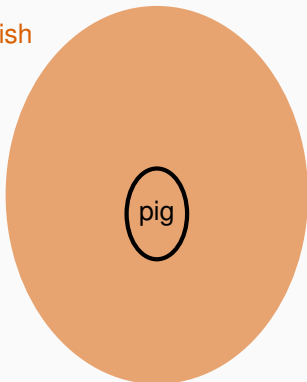
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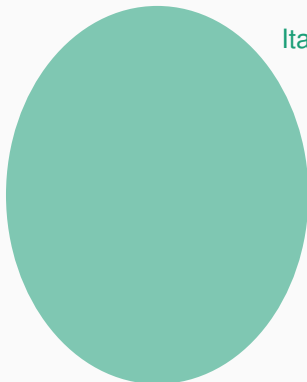
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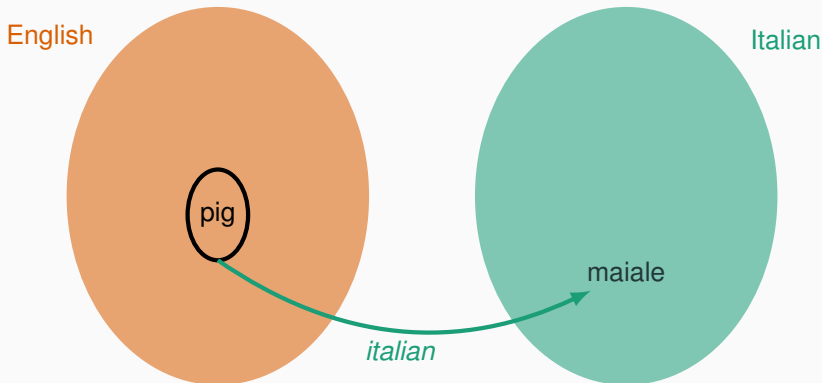


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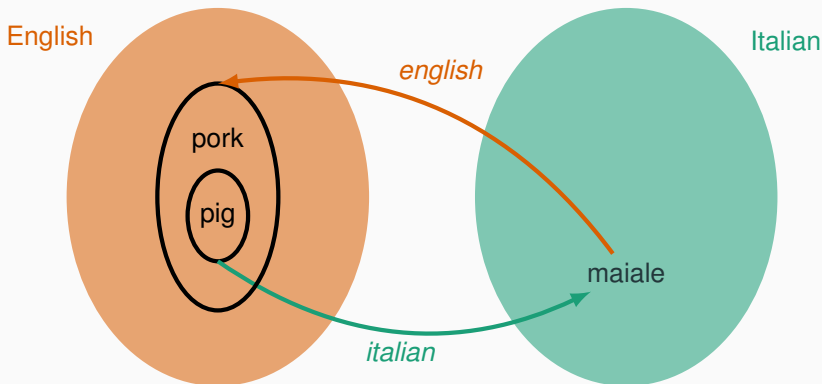


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$$\begin{array}{ll} C \subseteq \gamma(\alpha(C)) & \text{for all } C \in \wp(C) \\ a \sqsupseteq \alpha(\gamma(a)) & \text{for all } a \in A \end{array}$$

When the following **stronger** property holds:

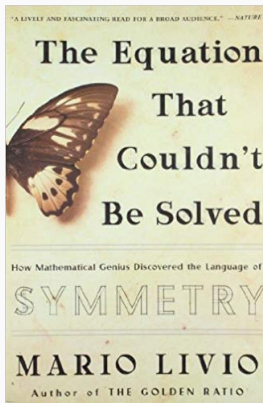
$$a = \alpha(\gamma(a)) \quad \text{for all } a \in A$$

we have a **Galois insertion**: the abstraction is defined in a way that there is no “redundancy” in A to describe C .

Évariste Galois



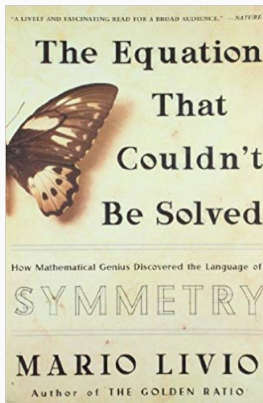
Évariste Galois



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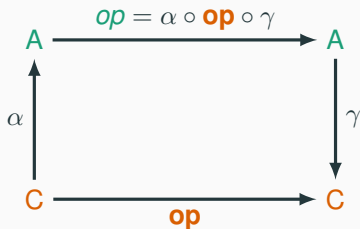


Évariste Galois
(1811–1832)



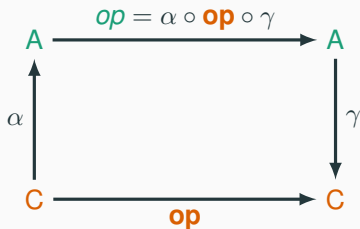
Induced operations

Once we have α and γ that form a Galois connection, we can **induce** abstract operations from concrete operations.



Induced operations

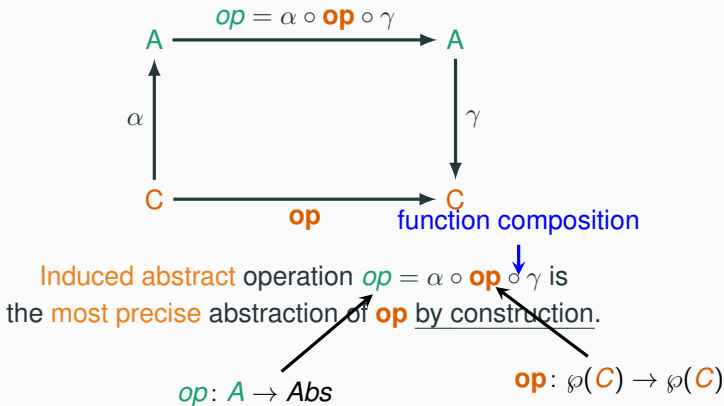
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Induced abstract operation $op = \alpha \circ \mathbf{op} \circ \gamma$ is the **most precise** abstraction of \mathbf{op} by construction.

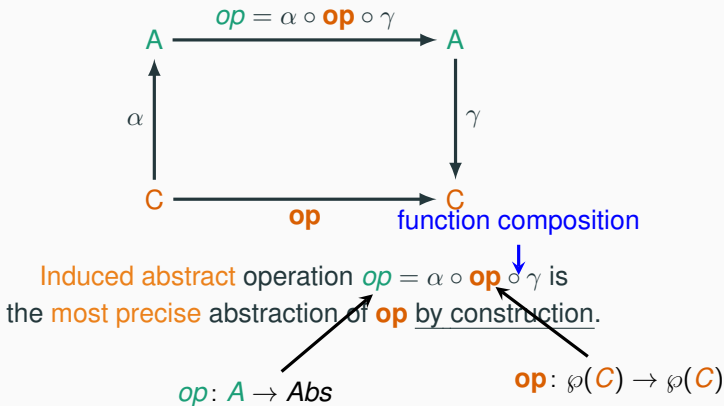
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If the induced op is not **computable**, we can use any approximation op^\sharp such that $op(a) \sqsubseteq op^\sharp(a)$ for all $a \in A$.

Induced operations: example

In our running example of simple expressions, we can induce \oplus from $+$ and γ , α – which in turn have been built from β .

First we express the concrete operation $+$ as an operation on sets of integers:

$$\begin{aligned} + &: \wp(\mathbb{Z}) \rightarrow \wp(\mathbb{Z}) \rightarrow \wp(\mathbb{Z}) \\ +(N_1, N_2) &= \{n_1 + n_2 \mid n_1 \in N_1, n_2 \in N_2\} \end{aligned}$$

Then we induce the abstract operation:

$$\begin{aligned} \oplus &: \textit{Sign} \rightarrow \textit{Sign} \rightarrow \textit{Sign} \\ \oplus(s_1, s_2) &= \alpha(+(\gamma(s_1), \gamma(s_2))) \end{aligned}$$

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For example:

$$\begin{aligned} + \oplus - &= \alpha(\gamma(+) + \gamma(-)) = \alpha(\{n > 0\} + \{n < 0\}) = \alpha(\mathbb{Z}) = \top \\ - \oplus 0 &= \alpha(\gamma(-) + \gamma(0)) = \alpha(\{n < 0\} + \{0\}) = \alpha(\{n < 0\}) = - \end{aligned}$$

Abstract interpretation

Widening

Range analysis

Let us look at a more informative abstract domain for integer variables: the **interval domain**.
empty interval

$$Interval = \{[]\} \cup \{[m, n] \mid m, n \in \mathbb{Z} \cup \{+\infty, -\infty\} \text{ and } m \leq n\}$$


Every element of *Interval* identifies a subset of \mathbb{Z} .

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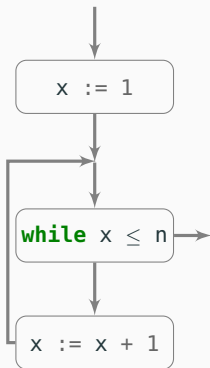
Every element of *Interval* identifies a subset of \mathbb{Z} .

We see that $\langle Interval, \sqsubseteq \rangle$ is a **complete lattice**:

- \sqsubseteq is the subset relation \subseteq between sets of integers
- \top is $[-\infty, +\infty] = \mathbb{Z}$
- \perp is $[] = \{\}$

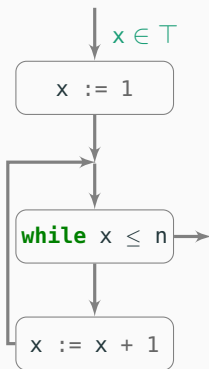
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Let us try to do an **abstract computation** over *Interval* of a simple program.



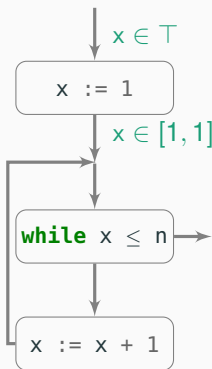
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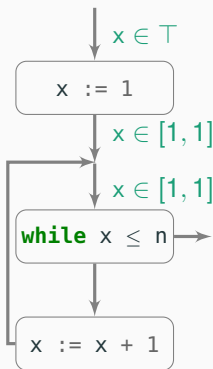
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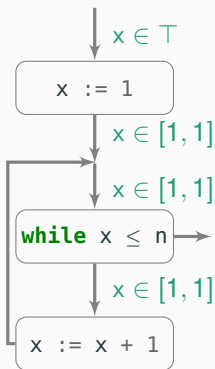
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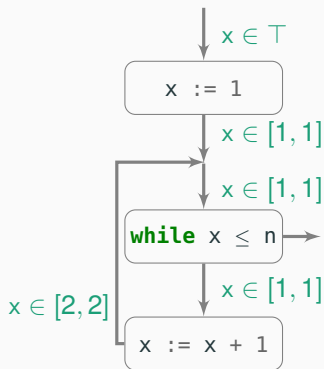
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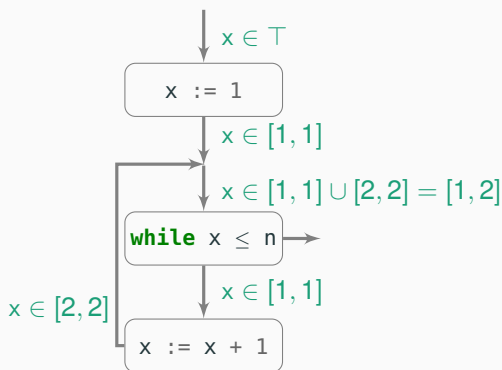
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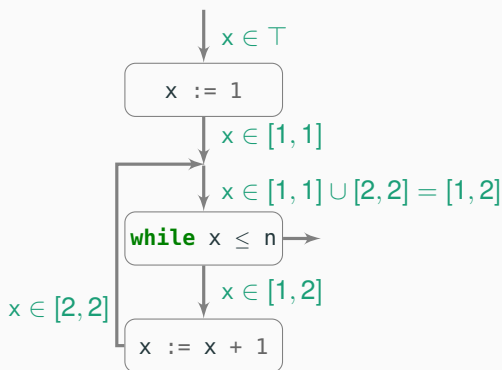
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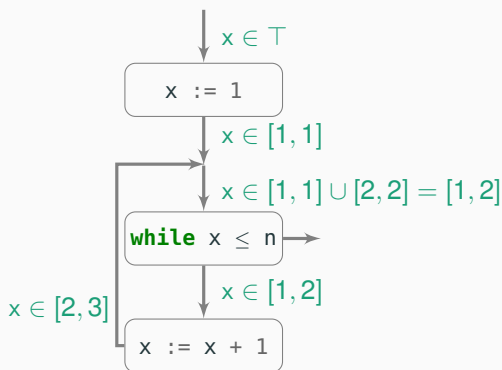
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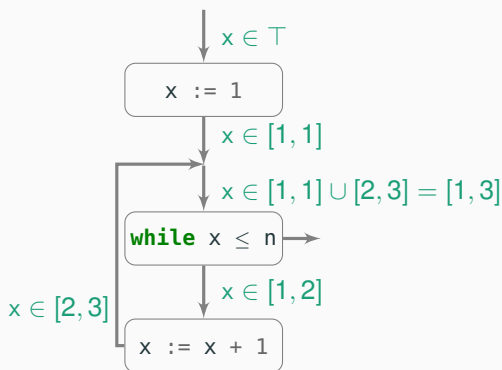
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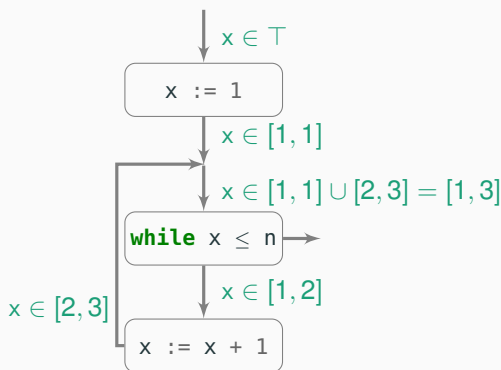
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Problem: the abstract state of x at loop entry does not converge:

$$[1, 1] \rightsquigarrow [1, 2] \rightsquigarrow [1, 3] \rightsquigarrow \dots$$

The analysis does **not terminate** – or, if it has access to static information about n , is not faster than executing the concrete computation.

Ascending chain conditions

The interval domain $\langle Interval, \subseteq \rangle$ is a complete lattice, and the data-flow equations are monotonic. Therefore, there exists a **fixed point**. The problem is that the fixed point is **not computable** by repeated evaluation from the least element!

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A stronger condition on the abstract domain that guarantees that the fixed point is always computable is the ascending chain condition.

A complete lattice $\langle D, \sqsubseteq \rangle$ satisfies the **ascending chain condition** if, for every ascending sequence (chain) $a_1 \sqsubseteq a_2 \sqsubseteq a_3 \sqsubseteq \dots$, there exists n such that $a_n = a_{n+1} = \dots$.

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In other words, the ascending chain condition requires that every sequence of abstract values eventually **stabilizes**.

Finite domains obviously satisfy the ascending chain condition.

Forcing termination

The **interval domain** does not satisfy the ascending chain condition.
To **terminate**, we must avoid getting stuck in the infinite chain:

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One **trick** is to forcefully terminate the chain by **jumping** to a larger value at some point:

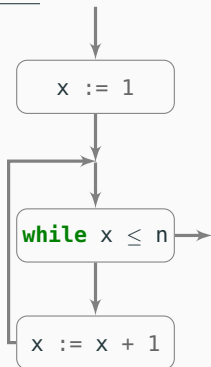
$$[1, 1] \rightsquigarrow [1, 2] \rightsquigarrow \dots \rightsquigarrow [1, \infty]$$

To forcefully terminate the abstract computation we can **replace the join** operator with a **widening operator** ∇ :

EXACT COMPUTATION	FORCED TERMINATION
$[1, 1] \sqcup [2, 2] = [1, 2]$	$[1, 1] \nabla [2, 2] = [1, +\infty]$

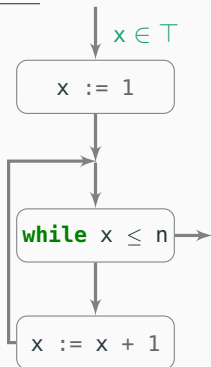
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Using widening the **abstract computation** over *Interval* converges quickly but is less precise.



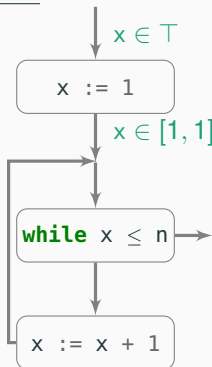
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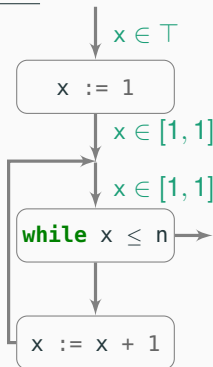
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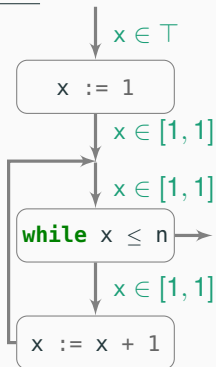
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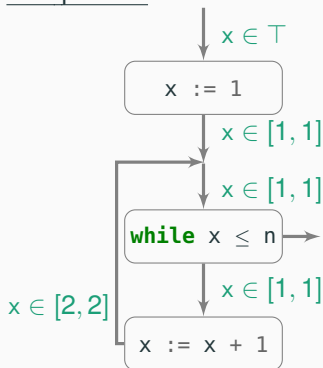
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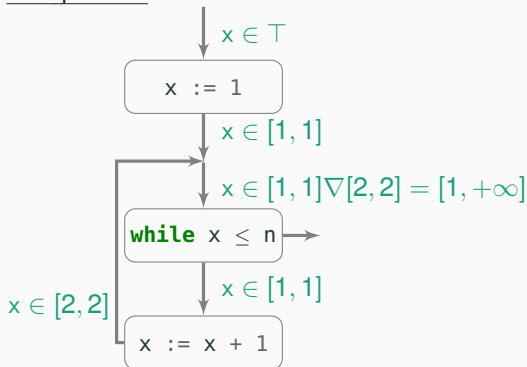
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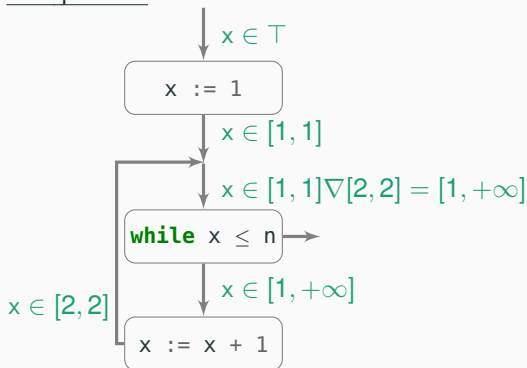
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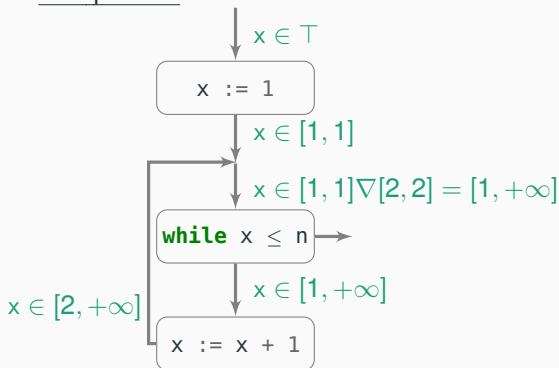
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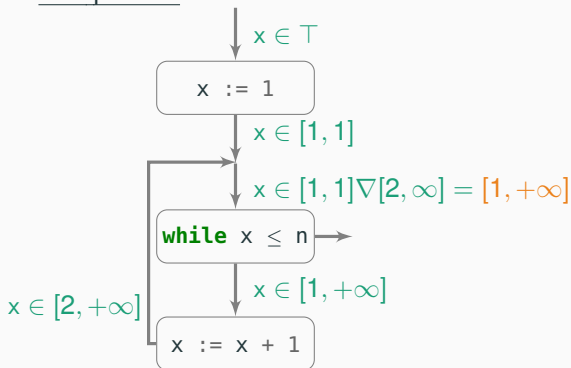
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Widening

A **widening** $\nabla: D \times D \rightarrow D$ on a poset $\langle D, \sqsubseteq \rangle$
is a function with the properties:

upper bound: for $d_1, d_2 \in D$, $d_1 \sqsubseteq d_1 \nabla d_2$ and $d_2 \sqsubseteq d_1 \nabla d_2$

ascending chain: for all ascending chains $d_1 \sqsubseteq d_2 \sqsubseteq d_3 \sqsubseteq \dots$, the
derived ascending chain $w_1 \sqsubseteq w_2 \sqsubseteq w_3 \sqsubseteq \dots$

$$w_k = \begin{cases} d_1 & k = 1 \\ w_{k-1} \nabla d_k & k > 1 \end{cases}$$

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Using **widening**, we ensure that the abstract computation terminates – or we speed up a terminating but slow computation.

Speed is traded-off against **precision**: using widening we get to a fixed point but it may not be the **least** fixed point but only an upper bound on the least fixed point.

Abstract interpretation in practice

This was just a **brief overview** of abstract interpretation.

The abstract interpretation framework includes a **vast** body of research, and various techniques to support the **construction** of **correct** static analyses.

Defining a new analysis is still far from trivial, but the tools of abstract interpretation help us ensuring its correctness **a priori** – as opposed to defining an analysis first, and then checking its correctness as an afterthought.

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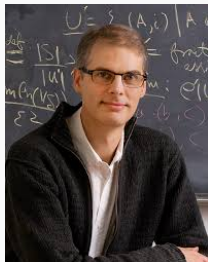
See chapter 12 of Bradley and Manna's “The calculus of computation” for an original presentation of the concepts of abstract interpretation using the notation and terminology of Hoare logic.

Type systems

What are type systems?

A type system is a tractable syntactic method for proving the absence of certain program behaviors by classifying phrases according to the kinds of values they compute.

Benjamin Pierce

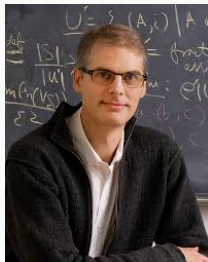


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Well typed programs

A **type system** consists of **rules** to check an arbitrary program term.

A program that can be checked successfully using a type system's rules is called **well typed** (**typable**).

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Well-typed programs cannot “go wrong”

Robin Milner, 1978



In other words, a type system's rules **soundly check** that each type is used according to the **operations and values** that it permits.

Type systems

Well typedness

Expression language E

To illustrate type systems we initially focus on a very **simple language** E of conditional, relational, and integer arithmetic expressions:

constants conditional expression

$E ::= C \mid E + E \mid E \leq E \mid \text{if } E \text{ then } E \text{ else } E$

$C ::= \text{true} \mid \text{false} \mid n$ for $n \in \mathbb{Z}$

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Even though the language is very simple, note that it can express **all Boolean combinations** of integer comparison expressions:

$$\neg A \triangleq \text{if } A \text{ then false else true}$$
$$A \wedge B \triangleq \text{if } A \text{ then (if } B \text{ then true else false) else false}$$
$$A = B \triangleq A \leq B \wedge B \leq A$$
$$A < B \triangleq \neg(B \leq A)$$

Expression language E : semantics

The **semantics** $\llbracket \cdot \rrbracket : E \rightarrow \mathbb{Z} \cup \mathbb{B}$ of language E is a set of rules to evaluate expressions.

$$\frac{n \in \mathbb{Z}}{\llbracket n \rrbracket = n}$$

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These rules are **partial** because they are not applicable to every expression E . For example $\llbracket \text{true} + 4 \rrbracket$ is undefined: if we apply the rules we **get stuck** at some point.

Expression language E : type system

Types provide a way to check whether an expression can be **successfully** evaluated **without actually evaluating it**. To this end, we need to distinguish between two kinds of values – two **types** integer and Boolean.

$$T ::= \text{Integer} \mid \text{Boolean}$$

For an expression E and a type T , $E : T$ denotes that E has type T :
 E certainly evaluates to a value of type T .

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A **type system** is a collection of **typing rules** to determine the type of an arbitrary expression:

$$\begin{array}{c} \frac{}{n : \text{Integer}} \qquad \frac{}{\text{true} : \text{Boolean}} \qquad \frac{}{\text{false} : \text{Boolean}} \\[1em] \frac{E_1 : \text{Integer} \quad E_2 : \text{Integer}}{E_1 + E_2 : \text{Integer}} \qquad \frac{E_1 : \text{Integer} \quad E_2 : \text{Integer}}{E_1 \leq E_2 : \text{Boolean}} \\[1em] \frac{E_1 : \text{Boolean} \quad E_2 : T \quad E_3 : T}{\text{if } E_1 \text{ then } E_2 \text{ else } E_3 : T} \end{array}$$

Well typedness

An expression E is **well typed** (**typable**) if we can **infer** that $E : T$, for some type T , using the type system's rules.

well typed: $3 + 4 + 7 + 0$

not well typed: $\text{true} + 4$

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SOUNDNESS

if E is **well typed**,
then the evaluation
of E **cannot go**
wrong

example: $3 + 4 + 7 + 0$

INCOMPLETENESS

if E is **not well typed**, the evaluation of E **may** still
be successful

false positive: **if** true **then** 3 **else** ($\text{true} + 4$)

Well-typed programs don't get stuck

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To ensure that a well-typed program does not **get stuck**, a type system has to satisfy two fundamental properties that, together, ensure **safety**:

progress: if E is well-typed, then either E is a value or we can take **one step** of evaluation

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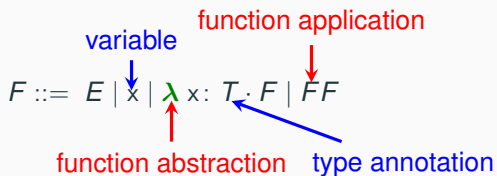
We can prove **by structural induction** that the simple type system for E is **safe**.

Type systems

Type checking

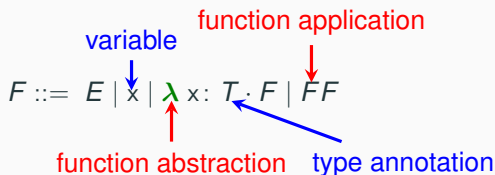
Lambda language F

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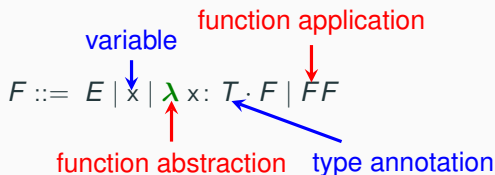


Function abstraction $\lambda x: T. F$ defines an expression F as an anonymous function of its argument x , which has to be **annotated** with its type T . In addition to the integer and Boolean types, now we also have a **function type** $T \rightarrow T$ from any type to any type:

$$T ::= \text{Integer} \mid \text{Boolean} \mid T \rightarrow T$$

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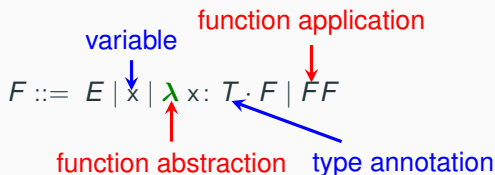
Examples:

$(\lambda x: \text{Integer} . (x + x)) \ 4$ evaluates to

$((\lambda f: \text{Integer} \rightarrow \text{Integer} . \lambda x: \text{Integer} . f \ x) (\lambda y: \text{Integer} . (y + 1))) \ 3$
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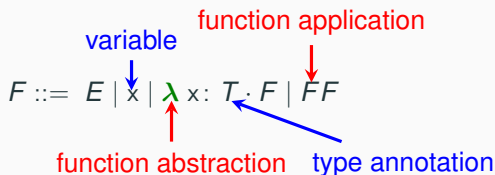
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Lambda language F : semantics

The **semantics** of language F extends E 's with a rule to handle **lambda expressions**:

$$\overline{\llbracket (\lambda x: T \cdot E_1) E_2 \rrbracket} = \llbracket E_1[x \mapsto E_2] \rrbracket$$

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For example:

$$\begin{aligned} & \llbracket ((\lambda f: \text{Integer} \rightarrow \text{Integer} \cdot \lambda x: \text{Integer} \cdot f\ x) (\lambda y: \text{Integer} \cdot (y + 1)))\ 3 \rrbracket \\ &= \llbracket (\lambda x: \text{Integer} \cdot (\lambda y: \text{Integer} \cdot (y + 1))\ x)\ 3 \rrbracket \\ &= \llbracket (\lambda y: \text{Integer} \cdot (y + 1))\ 3 \rrbracket \\ &= \llbracket 3 + 1 \rrbracket = 4 \end{aligned}$$

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The semantics of F is partial, which implies that:

- we can evaluate abstractions only when they are applied
- we can evaluate variables only when they appear inside lambda expressions

A type system can enforce these rules by means of additional **typing rules**.

Lambda language F : type system

Function abstractions (which can be nested) introduce **assumptions** about the type of their arguments using **type annotations**. To keep track of these assumptions, the type system's rules now use an **environment** Γ , which is a mapping from variables to their types.

$\Gamma \vdash F : T$ “ F has type T under environment Γ ”

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With this new notation in place, the **type system** for F adds the rules:

$$\frac{\Gamma(x) = T}{\Gamma \vdash x : T} \quad \frac{\Gamma \cup [x \mapsto T_1] \vdash F : T_2}{\Gamma \vdash \lambda x : T_1 . F : T_1 \rightarrow T_2} \quad \frac{\Gamma \vdash F_1 : T_1 \rightarrow T_2 \quad \Gamma \vdash F_2 : T_1}{\Gamma \vdash F_1 F_2 : T_2}$$

For simplicity, we assume that variables are uniquely named throughout a whole expression.

Inverted rules

We can **invert** every rule of the type system, since they each refer to syntactically distinct terms. Some examples of inverted rules:

RULE	INVERSION
$\frac{}{\Gamma \vdash \text{true} : \text{Boolean}}$	if $\Gamma \vdash \text{true} : T$ then $T = \text{Boolean}$
$\frac{\Gamma \vdash F_1 : \text{Boolean} \quad \Gamma \vdash F_2, F_3 : T}{\Gamma \vdash \text{if } F_1 \text{ then } F_2 \text{ else } F_3 : T}$	if $\Gamma \vdash \text{if } F_1 \text{ then } F_2 \text{ else } F_3 : T$ then $\Gamma \vdash F_1 : \text{Boolean}$ and $\Gamma \vdash F_2, F_3 : T$
$\frac{\Gamma \cup [x \mapsto T_1] \vdash F : T_2}{\Gamma \vdash \lambda x : T_1 . F : T_1 \rightarrow T_2}$	if $\Gamma \vdash \lambda x : T_1 . F : T$ then $T = T_1 \rightarrow T_2$ for some T_2 such that $\Gamma \cup [x \mapsto T_1] \vdash F : T_2$
$\frac{\Gamma \vdash F_1 : T_1 \rightarrow T_2 \quad \Gamma \vdash F_2 : T_1}{\Gamma \vdash F_1 F_2 : T_2}$	if $\Gamma \vdash F_1 F_2 : T$ then there is some type T_1 such that $\Gamma \vdash F_1 : T_1 \rightarrow T$ and $\Gamma \vdash F_2 : T_1$

Type checking

Inverted rules lead to a recursive **type checking** algorithm.

```
typeOf :: Environment -> F -> T
typeOf g fexp = case fexp of
  "true"   -> Boolean
  "if" f1 "then" f2 "else" f3 -> if (typeOf g f1) == Boolean
                                && (typeOf g f2) == (typeOf g f3)
                                then (typeOf g f2)
                                else error
  "lambda" x ":" t1 "." f      -> let t2 = typeOf (g + (x, t1)) f in
                                t1 -> t2
  f1 f2                        -> let t1          = typeOf g f2
                                (t1 -> t2) = typeOf g f1 in
                                t2
  -- more rules...
```

An expression f is **well typed** iff `typeOf [] f` returns **without errors**.

Type checking

Inverted rules lead to a recursive **type checking** algorithm.

More idiomatically using Haskell's **Maybe** monad:

```
typeOf :: Environment -> F -> Maybe T
typeOf g fexp = case fexp of
  "true" -> return Boolean
  "if" f1 "then" f2 "else" f3 -> do
    t1 <- typeOf g f1
    t2 <- typeOf g f2
    t3 <- typeOf g f3
    if t1 == Boolean && t2 == t3 then return t2 else Nothing
  "lambda" x ":" t1 "." f -> do
    t2 <- typeOf (g + (x, t1)) f
    return (t1 -> t2)
  f1 f2 -> do
    t1 <- typeOf g f2
    t <- typeOf g f1
    case t of
      (t1 -> t2) -> return t2
      otherwise -> Nothing
  -- more rules...
wellTyped :: F -> Bool
wellTyped f = case typeOf [] f of
  Just _ -> True
  Nothing -> False
```


Type annotations

The **type annotations** used in lambda abstractions are **only** used for **typing**:

- the **semantics** ignores them
- **inconsistent** annotations will make **typechecking fail** (spuriously, if the system is correct)

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`(λ x: Integer . if x then 0 else 1) true`
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Annotations are a **trade-off** between automation and **expressiveness** (flexibility):

- Users of the type system provide these annotations to **support** more expressive **type checking rules**
- The type checker is completely **automatic** given the **annotations**

Besides, explicit annotations are also a useful form of **documentation**.

Type reconstruction

An alternative to typing annotations is **type reconstruction**: the type checker tries to **guess** suitable types that make type checking pass.

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An approach to type reconstruction is **constraint-based typing**:

- typing **constraints** are equations between type expressions involving **type variables**
- typing rules **generate constraints** instead of directly checking them
- an expression is well typed iff the corresponding typing constraints have a **solution** – providing an **instantiation** of type variables

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$\Gamma \vdash F : T \mid C$ F has type T under Γ whenever **constraints** C are satisfied

Constraint-based type rules

Here are some **examples** of constraint-based type rules for F .

We use lowercase letters to denote (fresh) type variables, which we implicitly assume are always fresh to lighten the notation.

Values do not introduce any constraints:

$$\overline{\Gamma \vdash \text{true} : \text{Boolean} \mid \{\}} \quad$$

$$\overline{\Gamma \vdash n : \text{Integer} \mid \{\}}$$

Constraint-based type rules

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Conditional expressions introduce constraints about the type t_1 of the condition, and about the two branches' types t_2, t_3 which have to be equal:

$$\frac{\Gamma \vdash F_1 : t_1 \mid C_1 \quad \Gamma \vdash F_2 : t_2 \mid C_2 \quad \Gamma \vdash F_3 : t_3 \mid C_3 \quad C = C_1 \cup C_2 \cup C_3 \cup \{t_1 = \text{Boolean}, t_2 = t_3\}}{\Gamma \vdash \text{if } F_1 \text{ then } F_2 \text{ else } F_3 : t_2 \mid C}$$

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Function applications introduce constraints about how the types of the applied abstraction, argument, and result are related:

$$\frac{\Gamma \vdash F_1 : t_1 \mid C_1 \quad \Gamma \vdash F_2 : t_2 \mid C_2 \quad C = C_1 \cup C_2 \cup \{t_1 = t_2 \rightarrow t\}}{\Gamma \vdash F_1 F_2 : t \mid C}$$

Constraint-based type rules

Here are some **examples** of constraint-based type rules for F .

We use lowercase letters to denote (fresh) type variables, which we implicitly assume are always fresh to lighten the notation.

Function abstractions, now **without** type annotations, introduce a fresh type variable t_1 , which will be constrained by function applications:

$$\frac{\Gamma \cup [x \mapsto t_1] \vdash F : t_2 \mid C}{\Gamma \vdash \lambda x . F : t_1 \rightarrow t_2 \mid C}$$

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$$x : t_x$$
$$0 : t_0$$
$$1 : t_1$$
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with constraints:

$$C = \{t_x = \text{Boolean}, t_0 = \text{Integer}, t_1 = \text{Integer}, t_0 = t_1\}$$

which is clearly **satisfiable**.

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which is **unsatisfiable**.

Unification

The **constraints** generated by type reconstruction are **equations** with **uninterpreted symbols** (the type variables).

Such equations can be solved using the **unification** algorithm, which is very efficient (runs in **linear time**).

Type systems

More expressive type systems

Effect systems

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An **effect system** piggybacks a standard type system to keep track of **additional information** about a program's behavior.

Suppose evaluating an expression may the **side effects** of modifying the value stored in some global variable. To analyze the side effects of evaluating a given expression:

- add the **annotated** function type $T_1 \xrightarrow{\mu} T_2$: the type of a function from T_1 to T_2 which, when evaluated, **may modify** variables in μ
- use type judgments

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After building an effect system with suitable rules, **type reconstruction** algorithms can be modified so that they also compute the **side effects** of each expression in a program.

Dependent types

Another extension of type systems are **dependent types** – types whose definition depends on some values.

(regular) type: lists of integers

dependent type: **sorted** lists of integers

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Type checking programs using expressive dependent type annotations (with dependency constraints using an expressive logic) may be very **complex** or even **undecidable** – since it is essentially equivalent to deciding the validity of complex logic formulas.

For example, **Coq** is an interactive theorem prover whose logic is a functional language with very expressive dependent types.

Curry-Howard correspondence

The connection between **constructive logic** and **types** is deep as summarized by the **Curry-Howard correspondence** (also called isomorphism). The intuition is that a constructive proof of a proposition is isomorphic to the typechecking of a term.

LOGIC	TYPES
propositions	types
implication $P \implies Q$	function type $P \rightarrow Q$
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Haskell Brooks Curry, after whom programming languages **Haskell**, **Brook**, and **Curry** are named

Static analysis in practice

Sound vs. soundy

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VIEWPOINT

In Defense of Soundiness: A Manifesto

By Benjamin Livshits, Manu Sridharan, Yannis Smaragdakis, Ondřej Lhoták, J. Nelson Amaral, Bor-Yuh Evan Chang, Samuel Z. Guyer, Uday P. Khedker, Anders Møller, Dimitrios Vardoulakis
Communications of the ACM, February 2015, Vol. 58 No. 2, Pages 44-46
10.1145/2644805

*We are not aware of a single **realistic** whole-program analysis tool that does not **purposely** make **unsound** choices. The reasons for such choices are engineering **compromises** [soundness vs. efficiency or precision **trade off**].*

*Most common language features are **over**-approximated. Some **specific** language features are **under**-approximated.*

*We introduce the term **soundy** for such analyses.*

Whole-program analyses

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procedure set_zero(x: ref Integer): { [x] := 0 }
```

Which variables are **modified** by the call `set_zero(y)`?

We need to know all variables `y` may be **aliased** to. For this, we need to know all **program executions** that may **reach the call** – knowing the callee and the caller in isolation is not enough.

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Alternatives approaches:

annotations: users provide **frame specifications** of each procedure – losing **automation**

coarse approximation: assume that **every global variable** may be modified by the call – losing **precision**

Modular analyses

Some static analysis are naturally **modular**: they model each **procedure** or module separately, and have a way of **combining** each module's analysis results with the others'.

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procedure unknown(in: Integer): (out: Boolean)
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Is the call `b := unknown(c)` **well typed**?

We only need to know the **type** of `b` and `c`, and the **signature** of `unknown`. Knowing the callee and the caller in isolation is enough.

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Type systems are naturally modular because they **summarize** each program feature through its **type**.

Challenge: external code

Static whole-program analysis requires access to all **source code** that is **executed**. This may not be available:

- if we call a **pre-compiled** library
- if we use features that wrap **native code** calls

```
public class HelloJNI {  
    // Load native library hello.dll (Windows) or libhello.so (*nix)  
    static { System.loadLibrary("hello"); }  
    private native void sayHello(); // declare native method  
    public static void main(String[] args)  
    { new HelloJNI().sayHello(); } // call native method  
}
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```

How to handle external code:

- **unsound** approximation: `sayHello()` does nothing
- **imprecise** approximation: `sayHello()` may modify anything
- **annotations**: users annotate `sayHello()` with relevant information

Practical solutions typically **combine** these three approaches.

Challenge: reflection

Reflection provides capabilities to modify a program at runtime. Reflection is particularly powerful in dynamic languages such as Python and Javascript.

`eval(s)`

This call executes the source code provided in (string) variable `s` as if it was declared at the call site.

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How to handle reflection:

- **unsound** approximation: `eval` does nothing
- **imprecise** approximation: `eval` may modify anything
- **string** abstraction: the static analysis tries to keep track of the content of string variables, and uses this information to analyze `eval`'s effects with some precision

Challenge: reflection

Reflection provides capabilities to modify a program at runtime. Reflection is particularly powerful in dynamic languages such as Python and Javascript.

`eval(s)`

This call executes the source code provided in (string) variable `s` as if it was declared at the call site.

How to handle reflection:

- **unsound** approximation: `eval` does nothing
- **imprecise** approximation: `eval` may modify anything
- **string** abstraction: the static analysis tries to keep track of the content of string variables, and uses this information to analyze `eval`'s effects with some precision

The Eval that Men Do

A Large-scale Study of the Use of Eval in JavaScript Applications

Richards, Hammer, Burg, and Vitek: ECOOP 2011

Static analysis and deductive verification

Some of the **challenges** of static analysis (modularity and tricky language features) are challenges of **deductive verification too!**

Static analysis and deductive verification tend to target different trade-offs:

- static analyses target **scalability** and **automation** (that is, not user annotations)
- deductive verification uses **modularity** and assumes expert users who can supply **complex annotations**

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Deductive verification may use some static analysis to lessen the **annotation** burden (for example, simple loop invariants) or to simplify what is to be **proved** (for example, assuming programs are well-typed).

Notable static analysis tools

- Astrée** checks embedded C programs (no dynamic memory allocation or recursion) for absence of **runtime errors** such as undefined behavior
- CCC** (Code Contracts Static Checker), formerly known as Clousot, is an **abstract interpreter** for .NET programs checking the absence of common runtime errors – relying on pre-/postconditions to achieve **modularity**
- Frama-C** is an **extensible** analyzer for C programs, which supports a variety of common **static** analyses (reaching definitions, slicing, . . .) as well as deductive verification and dynamic analyses through dedicated plug-ins
- Infer** is static analyzer for C/C++/Objective C and Java code based on **separation-logic** abstractions of memory usage
- Scan** by Coverity is a multi-language analyzer that can detect memory errors, concurrency issues, and incorrect API usage – one of the first static analyzers that was widely **applicable** with good **precision**

the **Checker Framework** extends Java's type system with **custom type** annotations that can be used to establish absence of bugs such as null-pointer dereferencing or string safety vulnerabilities

Type checking tools

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In addition, every **compiler** (framework) includes type checkers and modules that perform static analyses enabling compiler **optimizations**.

Frameworks such as **LLVM** export **APIs** to perform and use static analyses in derived applications.

Summary

Static analysis: techniques

Static analysis is a large family of techniques for automatically establishing that a program is free from certain pre-defined erroneous behavior.

Static analysis **techniques** are normally based on **over-approximating** behavior at every program point.

soundness/completeness: **sound** and imprecise (finding a reasonable **trade-off** between number of spurious warnings and soundness)

complexity: efficient algorithms that **scale up** to large programs

automation: fully automated (“**push button**”)

expressiveness: limited to **fixed properties** like “absence of **common errors**”

Static analysis: tools and practice

Static analysis **tools** range from the components in a compiler framework that support optimizations, to type checkers, to analyzers that detect possible runtime errors (such as undefined behavior and memory problems). Overall, static analysis is used extensively in **software technology**.

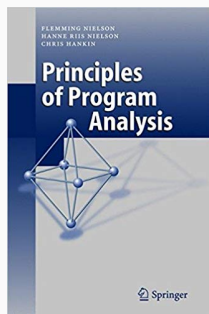
Besides its usage for compiler construction, **case studies** of static analysis include the safety verification of large embedded programs – such as Astrée’s verification of the absence of runtime errors in Airbus control software (> 130’000 lines of C code).

Main outstanding **challenges**:

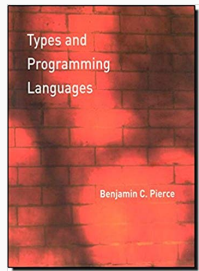
- supporting program **features** such as reflection and native calls without losing too much **soundness or precision**
- increasing **flexibility** and **extensibility** of frameworks to support checking new properties
- integrating **additional information** (such as specific assumptions or input constraints) when useful

Credits and further reading

This class's presentations of **data-flow analysis** and **abstract interpretation** was based on material by Sebastian **Nanz** (for the Software Verification course given at ETH Zurich in 2009–2015), which in turn was based on chapters in Principles of Program Analysis.

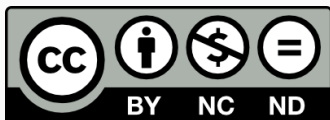


This class's presentations of **type systems** was adapted from Types and Programming Languages.



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