

Self Organizing Maps

Unsupervised Learning

In **unsupervised competitive learning** the neurons take part in some competition for each input. The winner of the competition and sometimes some other neurons are allowed to change their weights

- In **simple competitive learning** only the winner is allowed to learn (change its weight).
- In **self-organizing maps** other neurons in the neighborhood of the winner may also learn.

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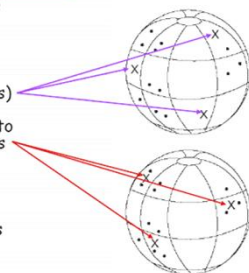
Competitive Neural Networks

- Competitive networks
 - cluster
 - encode
 - classify
- data by identifying
 - vectors which logically belong to the same category
 - vectors that share similar properties
- Competitive learning algorithms use competition between lateral neurons in a layer (via lateral interconnections) to provide selectivity (or localization) of the learning process

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Example of CL

- Three clusters of vectors (denoted by solid dots) distributed on the unit sphere
- Initially randomized codebook vectors (crosses) move under influence of a competitive learning rule to approximate the centroids of the clusters
- Competitive learning schemes use codebook vectors to approximate centroids of data clusters



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Principle of Competitive Learning

- Given a sequence of stochastic vectors $X_k \in \mathbb{R}^n$ drawn from a possibly unknown distribution, each pattern X_k is compared with a set of initially randomized weight vectors $W_j \in \mathbb{R}^n$ and the vector W_j which best matches X_k is to be updated to match X_k more closely

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Inner Product vs Euclidean Distance Based Competition

- Inner Product

$$y_j = \max_j \{X_k^T W_j\}$$

- Euclidean Distance Based Competition

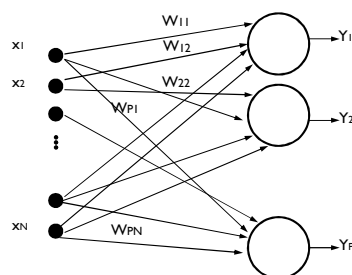
$$\|X_k - W_j\| = \min_j \{\|X_k - W_j\|\}$$

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Simple Competitive Learning

N inputs units
P output neurons
P x N weights

$$Y_i = 1 \text{ or } 0$$



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Learning

- Starting with small random weights, at each step:
- a new input vector is presented to the network
- all fields are calculated to find a winner
- W_{i^*} is updated to be closer to the input
- Using **standard competitive learning equ.**

$$\Delta W_{i^*j} = \eta(X_j - W_{i^*j})$$

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Example

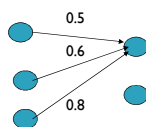
An SOM network with three inputs and two cluster units is to be trained using the four training vectors:

$[0.8 \ 0.7 \ 0.4]$, $[0.6 \ 0.9 \ 0.9]$, $[0.3 \ 0.4 \ 0.1]$, $[0.1 \ 0.1 \ 0.2]$ and

initial weights

$$\begin{bmatrix} 0.5 & 0.4 \\ 0.6 & 0.2 \\ 0.8 & 0.5 \end{bmatrix}$$

weights to the first cluster unit



The initial radius is 0 and the learning rate η is 0.5. Calculate the weight changes during the first cycle through the data, taking the training vectors in the given order.

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Solution

The Euclidian distance of the input vector 1 to cluster unit 1 is:

$$d_1 = (0.5 - 0.8)^2 + (0.6 - 0.7)^2 + (0.8 - 0.4)^2 = 0.26$$

The Euclidian distance of the input vector 1 to cluster unit 2 is:

$$d_2 = (0.4 - 0.8)^2 + (0.2 - 0.7)^2 + (0.5 - 0.4)^2 = 0.42$$

Input vector 1 is closest to cluster unit 1 so **update weights to cluster unit 1**:

$$w_{ij}(n+1) = w_{ij}(n) + 0.5[x_i - w_{ij}(n)]$$

$$0.65 = 0.5 + 0.5(0.8 - 0.5)$$

$$0.65 = 0.6 + 0.5(0.7 - 0.6)$$

$$0.6 = 0.8 + 0.5(0.4 - 0.8)$$

$$\begin{bmatrix} 0.65 & 0.4 \\ 0.65 & 0.2 \\ 0.60 & 0.5 \end{bmatrix}$$

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Solution

The Euclidian distance of the input vector 2 to cluster unit 1 is:

$$d_1 = (0.65 - 0.6)^2 + (0.65 - 0.9)^2 + (0.6 - 0.9)^2 = 0.155$$

The Euclidian distance of the input vector 2 to cluster unit 2 is:

$$d_2 = (0.4 - 0.6)^2 + (0.2 - 0.9)^2 + (0.5 - 0.9)^2 = 0.69$$

Input vector 2 is closest to cluster unit 1 so **update weights to cluster unit 1 again**:

$$w_{ij}(n+1) = w_{ij}(n) + 0.5[x_i - w_{ij}(n)]$$

$$0.625 = 0.65 + 0.5(0.6 - 0.65)$$

$$0.775 = 0.65 + 0.5(0.9 - 0.65)$$

$$0.750 = 0.60 + 0.5(0.9 - 0.60)$$

$$\begin{bmatrix} 0.625 & 0.4 \\ 0.775 & 0.2 \\ 0.750 & 0.5 \end{bmatrix}$$

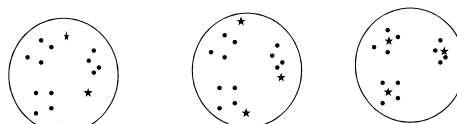
Repeat the same update procedure for input vector 3 and 4 also.

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Result

- Each output unit moves to the center of mass of a cluster of input vectors →

clustering



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Self Organized Map (SOM)

- ▶ The **self-organizing map (SOM)** is a method for **unsupervised learning**, based on a grid of **artificial neurons** whose weights are adapted to match input vectors in a training set.
- ▶ It was first described by the **Finnish** professor **Teuvo Kohonen** and is thus sometimes referred to as a **Kohonen map**.
- ▶ SOM is one of the most popular neural computation methods in use, and several thousand scientific articles have been written about it. SOM is especially good at producing **visualizations** of high-dimensional data.

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Self Organizing Maps (SOM)

- SOM is an **unsupervised neural network** technique that approximates an unlimited number of input data by a finite set of models arranged in a grid, where neighbor nodes correspond to more similar models.
- The models are produced by a learning algorithm that automatically orders them on the two-dimensional grid along with their mutual similarity.

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Features Of SOM ?

- Unsupervised Learning
- Clustering
- Classification
- Monitoring
- Data Visualization
- Potential for combination between SOM and other neural network (MLP-RBF)

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SOM: interpretation

- **Each SOM neuron** can be seen as representing a **cluster** containing all the input examples which are mapped to that neuron.
- For a given input, the **output of SOM** is the **neuron** with weight vector most similar (with respect to Euclidean distance) to that input.

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Simple Model

- ▶ Network has inputs and outputs
- ▶ There is **no feedback** from the environment → **no supervision**
- ▶ The network updates the weights following some learning rule, and finds patterns, features or categories within the inputs presented to the network

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Self Organizing Networks

- Discover **significant patterns or features** in the input data
- Discovery is done **without a teacher**
- Synaptic weights are changed according to **local rules**
- The changes affect a neuron's immediate environment until **a final configuration** develops

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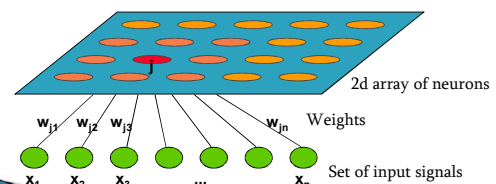
Network Architecture

- Two layers of units
 - Input: n units (length of training vectors)
 - Output: m units (number of categories)
- Input units fully connected with weights to output units
- Intralayer (lateral) connections
 - Within output layer
 - Defined according to some topology
 - Not weights, but used in algorithm for updating weights

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SOM - Architecture

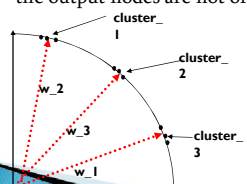
- Lattice of neurons ('nodes') accept and respond to set of input signals
- Responses compared; 'winning' neuron selected from lattice
- Selected neuron activated **together with 'neighbourhood' neurons**
- Adaptive process changes weights to more closely inputs



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Self-Organizing Maps (SOM)

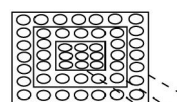
- Competitive learning (Kohonen 1982) is a special case of SOM (Kohonen 1989)
- In competitive learning,
 - the network is trained to organize input vector space into subspaces/classes/clusters
 - each output node corresponds to one class
 - the output nodes are not ordered: **random map**



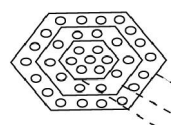
- The topological order of the three clusters is 1, 2, 3
- The order of their maps at output nodes are 2, 3, 1
- The map does not preserve the topological order of the training vectors

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Measuring distances between nodes



(a)



(b)

- Distances between output neurons will be used in the learning process.

- It may be based upon:
 - Rectangular lattice
 - Hexagonal lattice

- Let $d(i,j)$ be the distance between the output nodes i,j
- $d(i,j) = 1$ if node j is in the first outer rectangle/hexagon of node i
- $d(i,j) = 2$ if node j is in the second outer rectangle/hexagon of node i
- And so on...

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More about SOM learning

- Upon repeated presentations of the training examples, the weight vectors of the neurons tend to follow the distribution of the examples.
- This results in a topological ordering of the neurons, where neurons adjacent to each other tend to have similar weight vectors.
- The input space of patterns is mapped onto a discrete output space of neurons.

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SOM – Learning Algorithm

- Randomly initialise all weights
- Select input vector $\mathbf{x} = [x_1, x_2, x_3, \dots, x_n]$ from training set
- Compare \mathbf{x} with weights \mathbf{w}_j for each neuron j to

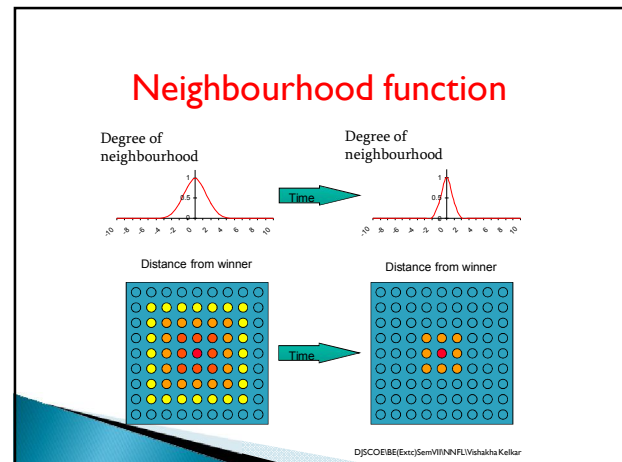
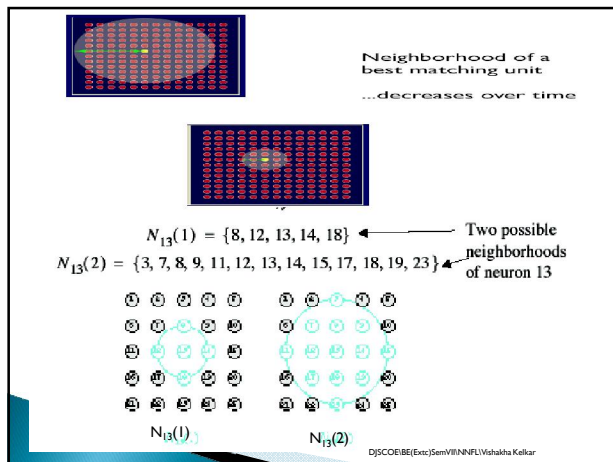
$$d_j = \sum_i (w_{ij} - x_i)^2$$
- determine winner
find unit j with the minimum distance
- Update winner so that it becomes more like \mathbf{x} , together with the winner also update winner's *neighbours* within the radius

$$w_{ij}(n+1) = w_{ij}(n) + \eta(n)[x_i - w_{ij}(n)]$$
- Adjust parameters: learning rate & 'neighbourhood function'
- Repeat from (2) until ...

Note that: Learning rate generally decreases with time:

$$0 < \eta(n) \leq \eta(n-1) \leq 1$$

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UPDATE RULE

$$w_j(n+1) = w_j(n) + \eta(n) h_{ij(x)}(n) (x - w_j(n))$$

exponential decay update of the learning rate:

$$\eta(n) = \eta_0 \exp\left(-\frac{n}{T_2}\right)$$

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Two-phases learning approach

- Self-organizing or ordering phase. The learning rate and spread of the Gaussian neighborhood function are adapted during the execution of SOM, using for instance the exponential decay update rule.
- Convergence phase. The learning rate and Gaussian spread have small fixed values during the execution of SOM.

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Ordering Phase

- ▶ Self organizing or ordering phase:
 - Topological ordering of weight vectors.
 - May take 1000 or more iterations of SOM algorithm.
- ▶ Important choice of the parameter values. For instance
 - $\eta(n)$: $\eta_0 = 0.1$ $T_2 = 1000$
 \Rightarrow decrease gradually $\eta(n) \geq 0.01$
 - $h_{ji}(x)(n)$:
- ▶ With this parameter setting initially the neighborhood of the winning neuron includes almost all neurons in the network, then it shrinks slowly with time.

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Convergence Phase

- ▶ Convergence phase:
 - Fine tune the weight vectors.
 - Must be at least 500 times the number of neurons in the network \Rightarrow thousands or tens of thousands of iterations.
- ▶ Choice of parameter values:
 - $\eta(n)$ maintained on the order of 0.01.
 - Neighborhood function such that the neighbor of the winning neuron contains only the nearest neighbors. It eventually reduces to one or zero neighboring neurons.

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Illustration of learning for Kohonen maps

Inputs: coordinates (x,y) of points drawn from a square
 Display neuron j at position x_j, y_j where its s_j is maximum

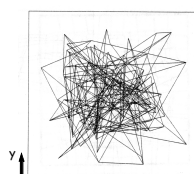
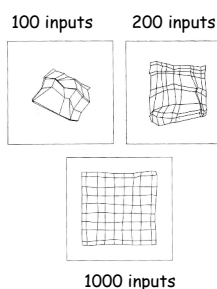
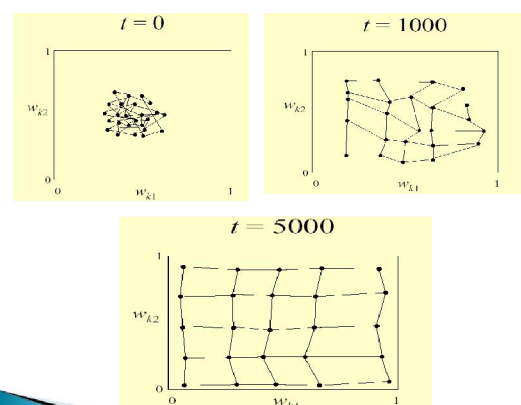


Fig. 5.5 — Répartition initiale des coefficients



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Examples

- A simple example of competitive learning
 - 6 vectors of dimension 3 in 3 classes, node ordering: B – A – C
 - $i_1 = (1.1, 1.7, 1.8)$ $i_2 = (0, 0, 0)$
 - $i_3 = (0, 0.5, 1.5)$ $i_4 = (1, 0, 0)$
 - $i_5 = (0.5, 0.5, 0.5)$ $i_6 = (1, 1, 1)$
 - Initialization: $\eta = 0.5$, weight matrix: $W(0) = \begin{bmatrix} w_A : 0.2 & 0.7 & 0.3 \\ w_B : 0.1 & 0.1 & 0.9 \\ w_C : 1 & 1 & 1 \end{bmatrix}$
 - $D(t) = 1$ for the first epoch, = 0 afterwards
 - Training with $i_1 = (1.1, 1.7, 1.8)$
determine winner: squared Euclidean distance between i_1 and w_j
 $d_{A,1}^2 = (1.1 - 0.2)^2 + (1.7 - 0.7)^2 + (1.8 - 0.3)^2 = 4.1$
 $d_{B,1}^2 = 4.4$, $d_{C,1}^2 = 1.1$
 - C wins, since $D(t) = 1$, weights of node C and its neighbor A are updated, but not w_B

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Examples

$$W(0) = \begin{bmatrix} w_A : 0.2 & 0.7 & 0.3 \\ w_B : 0.1 & 0.1 & 0.9 \\ w_C : 1 & 1 & 1 \end{bmatrix} \quad W(1) = \begin{bmatrix} w_A : 0.65 & 1.2 & 1.05 \\ w_B : 0.1 & 0.1 & 0.9 \\ w_C : 1.05 & 1.35 & 1.4 \end{bmatrix}$$

$$W(15) = \begin{bmatrix} w_A : 0.83 & 0.77 & 0.81 \\ w_B : 0.47 & 0.23 & 0.30 \\ w_C : 0.61 & 0.95 & 1.34 \end{bmatrix}$$

- Observations:
 - Distance between weights of non-neighboring nodes (B, C) increase
 - Input vectors switch allegiance between nodes, especially in the early stage of training

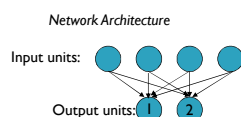
	$W(0)$	$W(15)$
$ w_A - w_B $	0.85	1.28
$ w_B - w_C $	1.28	1.75
$ w_C - w_A $	1.22	0.80

t	A	B	C
1 – 6	(3,5)	(2,4)	(1,6)
7 – 12	(6)	(2,4,5)	(1,3)
13 – 16	(6)	(2,4,5)	(1,3)

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Another Self-Organizing Map (SOM) Example

- From Fausett (1994)
- $n = 4$, $m = 2$
 - More typical of SOM application
 - Smaller number of units in output than in input; *dimensionality reduction*
- Training samples
 - $i_1: (1, 1, 0, 0)$
 - $i_2: (0, 0, 0, 1)$
 - $i_3: (1, 0, 0, 0)$
 - $i_4: (0, 0, 1, 1)$



What should we expect as outputs?

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What are the Euclidean Distances Between the Data Samples?

- Training samples
 - $i_1: (1, 1, 0, 0)$
 - $i_2: (0, 0, 0, 1)$
 - $i_3: (1, 0, 0, 0)$
 - $i_4: (0, 0, 1, 1)$

	i_1	i_2	i_3	i_4
i_1	0			
i_2		0		
i_3			0	
i_4				0

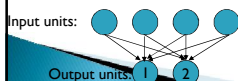
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Euclidean Distances Between Data Samples

Training samples

i1: (1, 1, 0, 0)
i2: (0, 0, 0, 1)
i3: (1, 0, 0, 0)
i4: (0, 0, 1, 1)

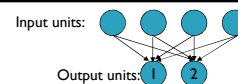
	i1	i2	i3	i4
i1	0			
i2	3	0		
i3	1	2	0	
i4	4	1	3	0



What might we expect from the SOM?

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Example Details



Training samples

i1: (1, 1, 0, 0)
i2: (0, 0, 0, 1)
i3: (1, 0, 0, 0)
i4: (0, 0, 1, 1)

With only 2 outputs, neighborhood = 0

- Only update weights associated with winning output unit (cluster) at each iteration

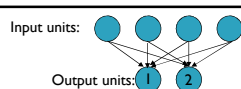
Learning rate

$\eta(t) = 0.6; 1 \leq t \leq 4$
 $\eta(t) = 0.5 \eta(1); 5 \leq t \leq 8$
 $\eta(t) = 0.5 \eta(5); 9 \leq t \leq 12$
etc.

Problem: Calculate the weight updates for the first four steps

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Example Details



Initial Weights

$$\begin{cases} \text{Unit 1: } \begin{bmatrix} .2 & .6 & .5 & .9 \end{bmatrix} \\ \text{Unit 2: } \begin{bmatrix} .8 & .4 & .7 & .3 \end{bmatrix} \end{cases}$$

$$d^2 = (\text{Euclidean distance})^2 = \sum_{k=1}^n (i_{i,k} - w_{j,k}(t))^2$$

$$\text{Weight update: } w_j(t+1) = w_j(t) + \eta(t)(i_t - w_j(t))$$

Problem: Calculate the weight updates for the first four steps

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First Weight Update

i1: (1, 1, 0, 0)
i2: (0, 0, 0, 1)
i3: (1, 0, 0, 0)
i4: (0, 0, 1, 1)

Training sample: i1

Unit 1 weights

$$d^2 = (.2-1)^2 + (.6-1)^2 + (.5-0)^2 + (.9-0)^2 = 1.86$$

Unit 2 weights

$$d^2 = (.8-1)^2 + (.4-1)^2 + (.7-0)^2 + (.3-0)^2 = .98$$

Unit 2 wins

Weights on winning unit are updated

$$\text{new-unit2-weights} = [.8 \ .4 \ .7 \ .3] + 0.6([1 \ 1 \ 0 \ 0] - [.8 \ .4 \ .7 \ .3]) =$$

$$[.92 \ .76 \ .28 \ .12]$$

Giving an updated weight matrix:

$$\begin{matrix} \text{Unit 1: } & \begin{bmatrix} .2 & .6 & .5 & .9 \end{bmatrix} \\ \text{Unit 2: } & \begin{bmatrix} .92 & .76 & .28 & .12 \end{bmatrix} \end{matrix}$$

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Second Weight Update

i1: (1, 1, 0, 0)
i2: (0, 0, 0, 1)
i3: (1, 0, 0, 0)
i4: (0, 0, 1, 1)

Training sample: i2

Unit 1: $\begin{bmatrix} .2 & .6 & .5 & .9 \end{bmatrix}$
Unit 2: $\begin{bmatrix} .92 & .76 & .28 & .12 \end{bmatrix}$

- Unit 1 weights
 - $d^2 = (.2-0)^2 + (.6-0)^2 + (.5-0)^2 + (.9-1)^2 = .66$
- Unit 2 weights
 - $d^2 = (.92-0)^2 + (.76-0)^2 + (.28-0)^2 + (.12-1)^2 = 2.28$
- Unit 1 wins
- Weights on winning unit are updated

$$\text{new-unit1-weights} = [.2 \ .6 \ .5 \ .9] + 0.6[(0 \ 0 \ 0 \ 1) - [.2 \ .6 \ .5 \ .9]] = [.08 \ .24 \ .20 \ .96]$$

- Giving an updated weight matrix:

Unit 1: $\begin{bmatrix} .08 & .24 & .20 & .96 \end{bmatrix}$
Unit 2: $\begin{bmatrix} .92 & .76 & .28 & .12 \end{bmatrix}$

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Third Weight Update

i1: (1, 1, 0, 0)
i2: (0, 0, 0, 1)
i3: (1, 0, 0, 0)
i4: (0, 0, 1, 1)

Training sample: i3

Unit 1: $\begin{bmatrix} .08 & .24 & .20 & .96 \end{bmatrix}$
Unit 2: $\begin{bmatrix} .92 & .76 & .28 & .12 \end{bmatrix}$

- Unit 1 weights
 - $d^2 = (.08-1)^2 + (.24-0)^2 + (.2-0)^2 + (.96-0)^2 = 1.87$
- Unit 2 weights
 - $d^2 = (.92-1)^2 + (.76-0)^2 + (.28-0)^2 + (.12-0)^2 = 0.68$
- Unit 2 wins
- Weights on winning unit are updated

$$\text{new-unit2-weights} = [.92 \ .76 \ .28 \ .12] + 0.6[(1 \ 0 \ 0 \ 0) - [.92 \ .76 \ .28 \ .12]] = [.97 \ .30 \ .11 \ .05]$$

- Giving an updated weight matrix:

Unit 1: $\begin{bmatrix} .08 & .24 & .20 & .96 \end{bmatrix}$
Unit 2: $\begin{bmatrix} .97 & .30 & .11 & .05 \end{bmatrix}$

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Fourth Weight Update

i1: (1, 1, 0, 0)
i2: (0, 0, 0, 1)
i3: (1, 0, 0, 0)
i4: (0, 0, 1, 1)

Training sample: i4

Unit 1: $\begin{bmatrix} .08 & .24 & .20 & .96 \end{bmatrix}$
Unit 2: $\begin{bmatrix} .97 & .30 & .11 & .05 \end{bmatrix}$

- Unit 1 weights
 - $d^2 = (.08-0)^2 + (.24-0)^2 + (.2-1)^2 + (.96-1)^2 = .71$
- Unit 2 weights
 - $d^2 = (.97-0)^2 + (.30-0)^2 + (.11-1)^2 + (.05-1)^2 = 2.74$
- Unit 1 wins
- Weights on winning unit are updated

$$\text{new-unit1-weights} = [.08 \ .24 \ .20 \ .96] + 0.6[(0 \ 0 \ 1 \ 1) - [.08 \ .24 \ .20 \ .96]] = [.03 \ .10 \ .68 \ .98]$$

- Giving an updated weight matrix:

Unit 1: $\begin{bmatrix} .03 & .10 & .68 & .98 \end{bmatrix}$
Unit 2: $\begin{bmatrix} .97 & .30 & .11 & .05 \end{bmatrix}$

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Applying the SOM Algorithm

Data sample utilized

time (t)	1	2	3	4	D(t)	$\eta(t)$
1	Unit 2				0	0.6
2		Unit 1			0	0.6
3			Unit 2		0	0.6
4				Unit 1	0	0.6

'winning' output unit

After many iterations (epochs) through the data set:

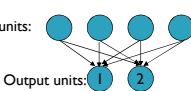
Unit 1: $\begin{bmatrix} 0 & 0 & .5 & 1.0 \end{bmatrix}$
Unit 2: $\begin{bmatrix} 1.0 & .5 & 0 & 0 \end{bmatrix}$

Did we get the clustering that we expected?

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Training samples

- i1: (1, 1, 0, 0)
- i2: (0, 0, 0, 1)
- i3: (1, 0, 0, 0)
- i4: (0, 0, 1, 1)

Input units: 

Output units: 1 2

Weights

Unit 1: $\begin{bmatrix} 0 & 0 & .5 & 1.0 \end{bmatrix}$

Unit 2: $\begin{bmatrix} 1.0 & .5 & 0 & 0 \end{bmatrix}$

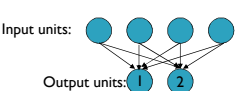
What clusters do the data samples fall into?

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Solution

Training samples

- i1: (1, 1, 0, 0)
- i2: (0, 0, 0, 1)
- i3: (1, 0, 0, 0)
- i4: (0, 0, 1, 1)

Input units: 

Output units: 1 2

Weights

Unit 1: $\begin{bmatrix} 0 & 0 & .5 & 1.0 \end{bmatrix}$

Unit 2: $\begin{bmatrix} 1.0 & .5 & 0 & 0 \end{bmatrix}$

► Sample: i1

- Distance from unit 1 weights
 - $(1-0)^2 + (1-0)^2 + (0-.5)^2 + (0-1.0)^2 = 1+1+.25+1=3.25$
- Distance from unit 2 weights
 - $(1-1)^2 + (1-.5)^2 + (0-0)^2 + (0-0)^2 = 0+.25+0+0=.25$ (winner)

► Sample: i2

- Distance from unit 1 weights
 - $(0-0)^2 + (0-0)^2 + (0-.5)^2 + (1-1.0)^2 = 0+0+.25+0$ (winner)
- Distance from unit 2 weights
 - $(0-1)^2 + (0-.5)^2 + (0-0)^2 + (1-0)^2 = 1+.25+0+1=2.25$

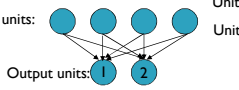
$d^2 = (\text{Euclidean distance})^2 = \sum_{k=1}^n (i_{i,k} - w_{j,k}(t))^2$

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Solution

Training samples

- i1: (1, 1, 0, 0)
- i2: (0, 0, 0, 1)
- i3: (1, 0, 0, 0)
- i4: (0, 0, 1, 1)

Input units: 

Output units: 1 2

Weights

Unit 1: $\begin{bmatrix} 0 & 0 & .5 & 1.0 \end{bmatrix}$

Unit 2: $\begin{bmatrix} 1.0 & .5 & 0 & 0 \end{bmatrix}$

► Sample: i3

- Distance from unit 1 weights
 - $(1-0)^2 + (0-0)^2 + (0-.5)^2 + (0-1.0)^2 = 1+0+.25+1=2.25$
- Distance from unit 2 weights
 - $(1-1)^2 + (0-.5)^2 + (0-0)^2 + (0-0)^2 = 0+.25+0+0=.25$ (winner)

► Sample: i4

- Distance from unit 1 weights
 - $(0-0)^2 + (0-0)^2 + (1-.5)^2 + (1-1.0)^2 = 0+0+.25+0$ (winner)
- Distance from unit 2 weights
 - $(0-1)^2 + (0-.5)^2 + (1-0)^2 + (1-0)^2 = 1+.25+1+1=3.25$

$d^2 = (\text{Euclidean distance})^2 = \sum_{k=1}^n (i_{i,k} - w_{j,k}(t))^2$

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