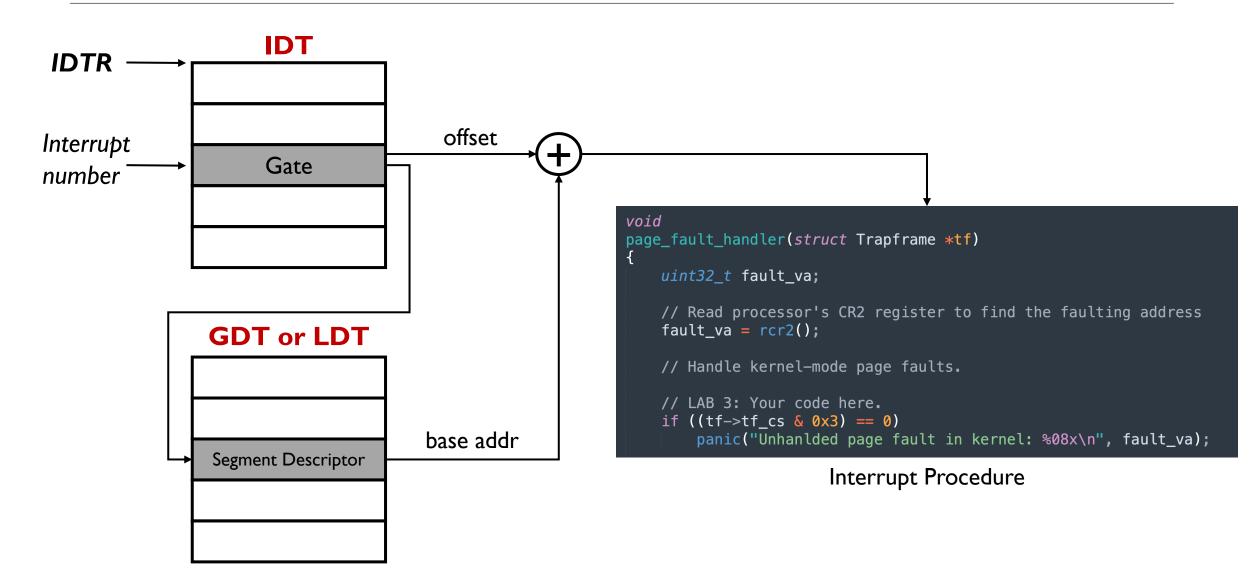
Operating Systems Lecture 4

OS Interfaces and Syscalls

Prof. Mengwei Xu

Recap of Last Course





Recap of Last Course



- Interrupt stack (中断栈) is a special stack in kernel memory that saves the interrupt process status.
 - Empty when there is no interrupt (running in user space)
 - Why not directly use the user-space stack?
- Disable interrupts and enable interrupts are two privileged instructions
 - Maskable interrupts (可屏蔽中断): all software interrupts, all system calls, and partial hardware exceptions
 - Non-maskable interrupts (NMI,不可屏蔽中断): partial hardware exceptions
 - Specified by eflags registers

Recap of Last Course

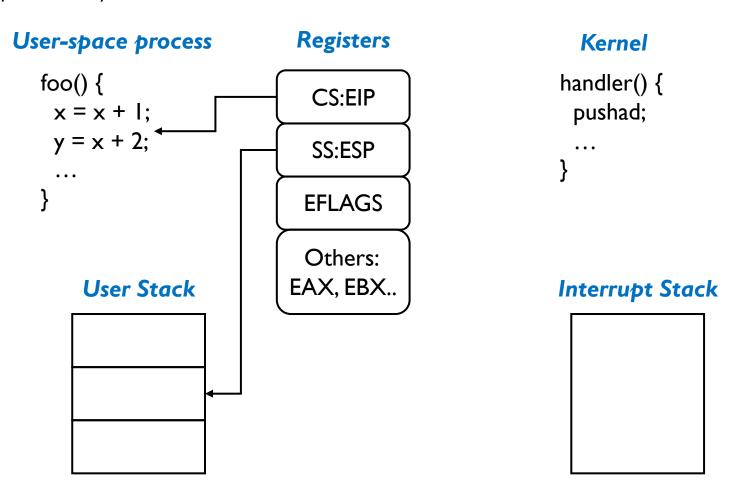


- User-to-Kernel Mode Switch
 - Exception
 - Interrupts
 - Syscalls
- Kernel-to-User Mode Switch
 - New process
 - Resume after an interrupt/exception/syscall
 - Switch to a different process
 - ☐ After a timer interrupt
 - User-level upcall



• When an interrupt/exception/syscall occurs, the hardware will:

- I. Mask interrupts
- 2. Save the special register values to other temporary registers
- 3. Switch onto the kernel interrupt stack
- 4. Push the three key values onto the new stack
- 5. Optionally save an error code
- 6. Invoke the interrupt handler

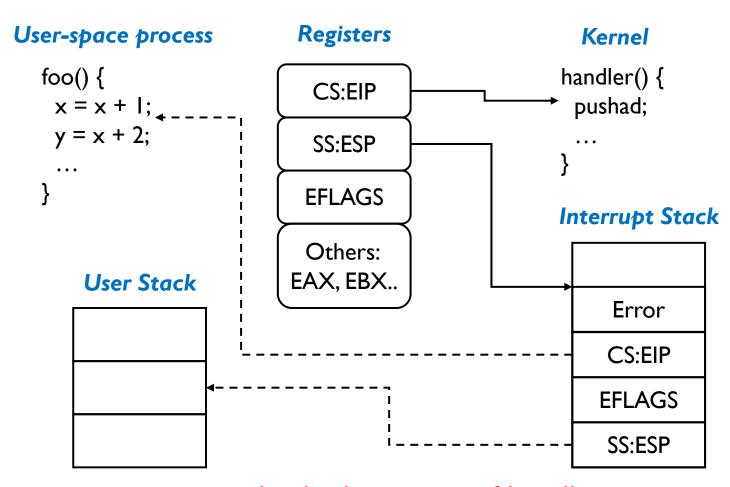


Before interrupt



• When an interrupt/exception/syscall occurs, the hardware will:

- I. Mask interrupts
- 2. Save the special register values to other temporary registers
- 3. Switch onto the kernel interrupt stack
- 4. Push the three key values onto the new stack
- 5. Optionally save an error code
- 6. Invoke the interrupt handler

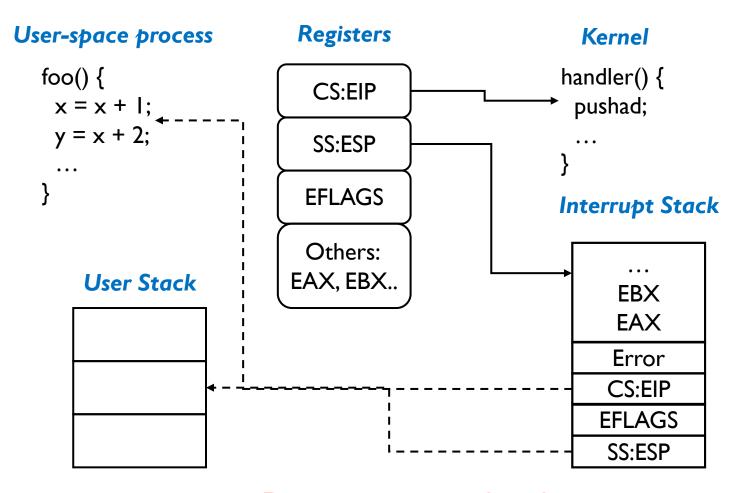


At the beginning of handler



• When an interrupt/exception/syscall occurs, the OS will:

- I. Save the rest of the interrupted process's state
 - pusha/pushad
- 2. Executes the handler
- 3. Resume the interrupted process
 - popa/popad + pop error code
- 4. Resume the interrupted process
 - iret



During interrupt handler



- Who modifies the CPL (2 bits in CS)? Instructions like:
 - int/SYSCALL
 - iret



- Who modifies the CPL (2 bits in CS)? Instructions like:
 - int/SYSCALL
 - iret

```
1 #include <stdio.h>
 3 int main() {
       /* Define message and file descriptor */
 5
       const char msg[] = "Hello, Kernel!\n";
       int fd = 1; // STDOUT
       /* Inline assembly to trap into kernel */
       __asm__volatile (
           "movl $4, %%eax;"
                                   /* syscall number for sys_write */
10
11
           "movl %0, %ebx;"
                                      /* file descriptor */
12
           "movl %1, %%ecx;"
                                      /* pointer to message */
13
           "movl %2, %edx;"
                                     /* message length */
14
           "int $0x80;"
                                      /* software interrupt */
15
                                     /* no output */
           : "g"(fd), "g"(msg), "g"(sizeof(msg) - 1)
16
           : "eax", "ebx", "ecx", "edx"
17
18
       );
19
20
       return 0;
```

Goals for Today



- OS Programming Interface
- Case Study: Process Management
- Case Study: Input/Output
- System Calls Design

OS Functions to Apps



- Process management
- Input/output
- Thread management
- Memory management
- File systems and storage
- Networking
- Graphics and window management
- Authentication and security





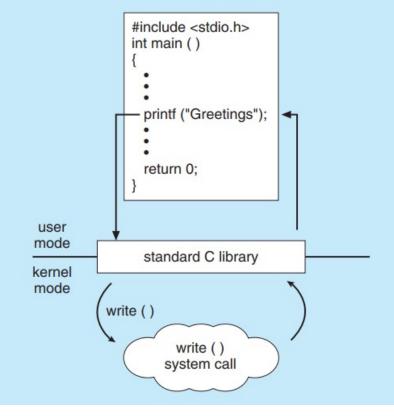
	Windows	Unix
Process Control	<pre>CreateProcess() ExitProcess() WaitForSingleObject()</pre>	<pre>fork() exit() wait()</pre>
File Manipulation	<pre>CreateFile() ReadFile() WriteFile() CloseHandle()</pre>	<pre>open() read() write() close()</pre>
Device Manipulation	<pre>SetConsoleMode() ReadConsole() WriteConsole()</pre>	ioctl() read() write()
Information Maintenance	<pre>GetCurrentProcessID() SetTimer() Sleep()</pre>	<pre>getpid() alarm() sleep()</pre>
Communication	<pre>CreatePipe() CreateFileMapping() MapViewOfFile()</pre>	<pre>pipe() shm_open() mmap()</pre>
Protection	<pre>SetFileSecurity() InitlializeSecurityDescriptor() SetSecurityDescriptorGroup()</pre>	<pre>chmod() umask() chown()</pre>



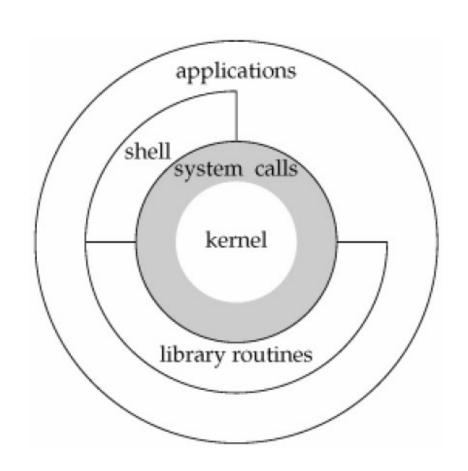
- Portable Operating System Interface (POSIX)
 - A standard for UNIX OSes, especially its system calls
- libc: overview of standard C libraries
 - POSIX APIs like getpid() + standard C functions like strcpy()
 - Apps do not directly invoke syscalls
 - glibc: GNU C library
- If a software is written with only dependency to libc, it has good <u>portability</u> across OSes/hardware

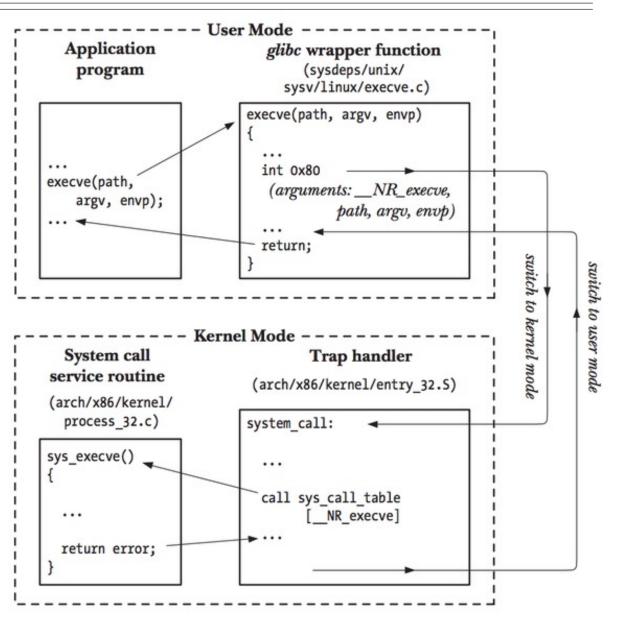
EXAMPLE OF STANDARD C LIBRARY

The standard C library provides a portion of the system-call interface for many versions of UNIX and Linux. As an example, let's assume a C program invokes the printf() statement. The C library intercepts this call and invokes the necessary system call (or calls) in the operating system—in this instance, the write() system call. The C library takes the value returned by write() and passes it back to the user program. This is shown below:











POSIX-certified [edit]

Current versions of the following operating systems have been certified to conform to one or more of the various POSIX standards. This means that they passed the automated conformance tests^[17] and their certification has not expired and the operating system has not been discontinued.^{[18][19]}

AIX^[20]

• INTEGRITY^[22]

OpenServer^[25]

VxWorks^[22]

HP-UX^[21]

 macOS (since 10.5 Leopard)^{[23][24]} UnixWare^[26]

z/OS^[20]

Formerly POSIX-certified [edit]

Some versions of the following operating systems had been certified to conform to one or more of the various POSIX standards. This means that they passed the automated conformance tests. The certification has expired and some of the operating systems have been discontinued.^[18]

EulerOS (exp. 2022)^[27]

• IRIX (defunct 2006)[29]

QNX Neutrino^[31]

Tru64 (defunct 2010)^[33]

- Inspur K-UX (exp. 2019)[28]
- OS/390 (defunct 2004)^[30]
- Solaris (exp. 2019)^[32]

Mostly POSIX-compliant [edit]

The following are not certified as POSIX compliant yet comply in large part:

- Android (Available through Android NDK) [citation needed]
- BeOS (and subsequently Haiku)
- Contiki
- Darwin (core of macOS and iOS)
- DragonFly BSD
- FreeBSD^[34]
- illumos
- Linux (most distributions)
- LynxOS
- MINIX (now MINIX3)

- MPE/iX^[35]
- NetBSD
- Nucleus RTOS
- NuttX
- OpenBSD
- OpenSolaris^[36]
- PikeOS RTOS for embedded systems with optional PSE51 and PSE52 partitions; see partition (mainframe)
- PX5 RTOS^[37]
- Redox

- RTEMS POSIX API support designed to IEEE Std. 1003.13-2003 PSE52
- SerenityOS
- Stratus OpenVOS^[38]
- SkyOS
- Syllable
- ULTRIX^[39]
- VSTa
- VMware ESXi
- Xenix
- Zephyr^[40]

https://en.wikipedia.org/wiki/POSIX



```
1 #include <stdio.h>
2 int main() {
3    printf("Hello, World!\n");
4    return 0;
5 }
```

✓ Linux, MacOS, Windows

```
1 #include <stdio.h>
2 #include <unistd.h>
3 #include <sys/types.h>
4 #include <sys/syscall.h>
5
6 int main() {
7     pid_t tid = syscall(SYS_gettid);
8     printf("Thread ID: %d\n", tid);
9     return 0;
10 }
```

```
Linux
X MacOS, Windows
• gettid: Linux-specific function
```

```
#include <stdio.h>
 2 #include <unistd.h>
   #include <sys/types.h>
 5 int main() {
       pid_t pid = fork();
       if (pid == 0) {
           printf("I am the child process.\n");
       } else if (pid > 0) {
9 •
           printf("I am the parent process.\n");
10
11.
       } else {
12
           printf("Fork failed.\n");
13
14
       return 0;
15 }
```

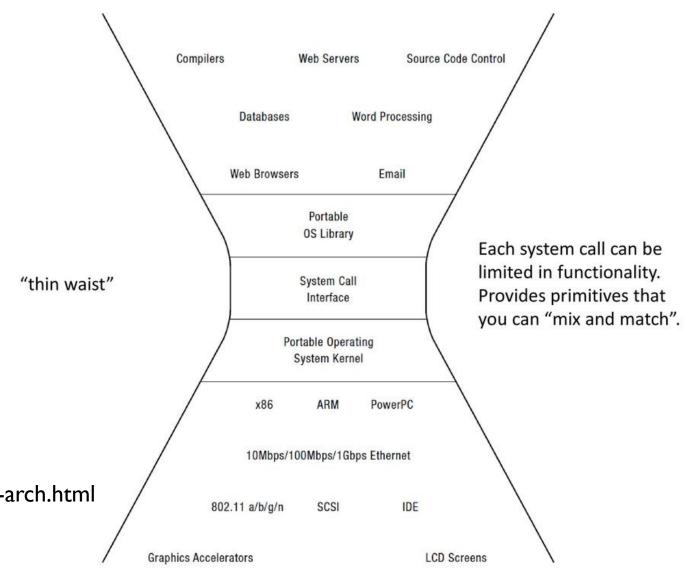
```
Linux, MacOSWindowsUnistd.h: Unix standard header
```

This is for compilation level. What if they are already compiled..?

The Design Considerations



- Flexibility
- Safety
- Reliability
- Performance



https://www.oilshell.org/blog/2022/03/backlog-arch.html

Goals for Today



- OS Programming Interface
- Case Study: Process Management
- Case Study: Input/Output
- System Calls Design

The Need for Multi-process



• Early motivation: allow developers to write their own shell command line interpreters

shell script

cc –c sourcefile I.c

cc –c sourcefile l.c

In —o program sourcefile I.o sourcefile 2.o

Process in Windows



Boolean CreateProcess(char *prog, char *args)

- Create and initialize the process control block (PCB) in kernel
- Create and initialize a new memory address space
- Load the program prog into the address space
- Copy arguments args into memory in the address space
- Initialize the hardware context to start execution at "start"
- Inform the scheduler that the new process is ready to run
- In reality, it's a bit more complex
 - The parent process (父进程) may specify the child process's (子进程) privileges, where it sends its input and output, what it should store its files, what to use as a scheduling priority, etc.

https://docs.microsoft.com/en-us/windows/win32/api/processthreadsapi/nf-processthreadsapi-createprocessa



- fork() and exec(): the Unix way to create new processes
 - Perhaps one of the most controversial design in Unix

```
# include <unistd.h>
pid_t fork(void);
```

fork(): create a complete copy of the parent process, except the return value:

- 0 for child process
- The PID of child process for the parent process

```
SYNOPSIS
     #include <unistd.h>
     int execve(const char *pathname, char *const arqv[],
             char *const envp[]);
exec(): load and execute a
program from disk
Note: exec() does not create a
new process!
```



What actually have fork() and exec() done

fork()

- I. Create and initialize PCB
- 2. Create a new address space
- Copy the entire memory contents from parent process to the child
- 4. Inherit the execution content of the parent (e.g., open files)
- 5. Inform the scheduler that new process is ready to run

exec(char *prog, char *args)

- I. Load the program prog into the current address space
- 2. Copy arguments args into memory in the address space
- 3. Initialize the hardware context to start execution at "start"



A typical example of how fork() and exec() are used

• The memory contents of the child process are copied twice, would that be a waste?



- exec() is not always necessary
 - Opens a new page in Google Chrome



- wait(pid): wait for the child process to finish execution
- signal: terminate, stop, resume a process

Some Simple fork() Quizzes



```
1. How many "OS" printed?

int main() {
  fork();
  fork();
  fork();
  printf("OS ");
  return 0;
}
```

```
2. How many "OS" printed?
int main() {
  if (fork() || fork())
    fork();
  printf("OS ");
  return 0;
}
```

```
3. What is the output
A. I am child, I am parent
B. I am parent, I am child
C. Both are possible
int main() {
  int pid = fork();
  if (pid == 0) {
    printf("I am child, ");
  } else {
    printf("I am parent, ");
    return 0;
```

```
4. What are the possible
output
int main() {
  for (int i = 0; i < 3;
i += 1) {
    pid t p = fork();
    if (p == 0) {
       i += 1;
    printf("%d", i);
  return 0;
```

Some Simple fork() Quizzes



```
3. What is the output
A. I am child, I am parent
B. I am parent, I am child
C. Both are possible
int main() {
  int pid = fork();
  if (pid == 0) {
    printf("I am child, ");
  } else {
    printf("I am parent, ");
    return 0;
```

```
4. What are the possible
output
int main() {
  for (int i = 0; i < 3;
i += 1) {
    pid_t p = fork();
    if (p == 0) {
       i += 1;
    printf("%d", i);
  return 0;
```

Goals for Today



- OS Programming Interface
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- Case Study: Input/Output
- System Calls Design



- Computer systems have very diverse I/O devices
 - Keyboard: individual characters
 - Disk: fixed-sized chunks
 - Network: stream of variable sized packets
 - Mouse: single events
- Having an interface for each device means the OS interface needs to expand whenever a new device is added..

- Unix has one interface for all of them!
 - "Everything is a file" open, read, write, close

File Descriptor in Unix



• File Descriptor (fd): a number (int) that uniquely identifies an open file in a computer's operating system. It describes a data resource, and how

that resource may be accessed.

Each process has its own file descriptor table

- A file can be opened multiple times and therefore associated with many file descriptors
- More in filesystem courses

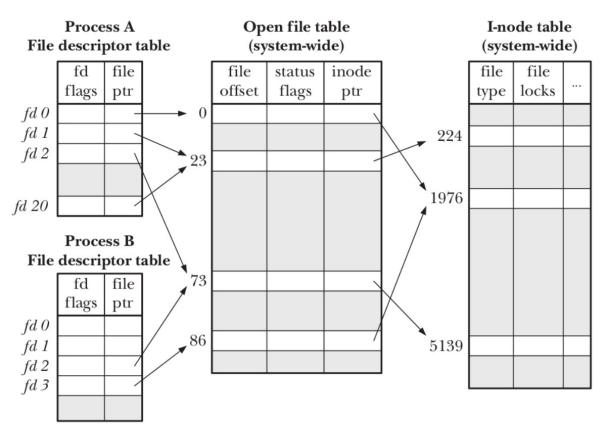


Figure 5-2: Relationship between file descriptors, open file descriptions, and i-nodes

File Descriptor in Unix



• File Descriptor (fd): a number (int) that uniquely identifies an open file in a computer's operating system. It describes a data resource, and how that resource may be accessed.

Is —I /proc/[pid]/fd

```
[root@server-11-170 sentinel]# ls -l /proc/96104/fd
total 0
-wx----. 1 root root 64 Nov 30 00:05 0 -> /dev/null
-wx----. 1 root root 64 Nov 30 00:05 1 -> /etc/redis/cluster/nohup.out
lrwx-----. 1 root root 64 Nov 30 00:05 11 -> socket:[1003412]
lrwx----. 1 root root 64 Nov 30 00:05 13 -> socket:[1003419]
lrwx----. 1 root root 64 Nov 30 00:05 14 -> socket:[1003420]
.rwx-----. 1            root root 64 Nov 30 00:05            18 -> socket:[1001452]
-wx----. 1 root root 64 Nov 24 03:20 2 -> /etc/redis/cluster/nohup.out
l-wx-----. 1 root root 64 Nov 30 00:05 29 -> /root/appendonly-7005.aof
lr-x----. 1 root root 64 Nov 30 00:05 3 -> pipe:[1002939]
-wx-----. 1 root root 64 Nov 30 00:05 4 -> pipe:[1002939]
lrwx-----. 1 root root 64 Nov 30 00:05 5 -> anon_inode:[eventpoll]
.-wx-----. 1 root root 64 Nov 30 00:05 8 -> /root/nodes-7005.conf
.rwx-----. 1 root root 64 Nov 30 00:05 9 -> socket:[1003700]
```

File Descriptor in Unix



- Internally, it has everything about an opened file
 - Where it resides
 - Its status
 - How to access it

- ..

```
AndroidBlog 🛅 bupt 🛅 course 🛅 funding 🛅 research 🛅 writing 🛅 doc
939
     struct file {
940
             union {
941
                     struct llist_node
                                            f_llist;
                    struct rcu_head
                                            f rcuhead;
943
                    unsigned int
                                            f_iocb_flags;
945
             };
             struct path
                                    f_path;
                                    *f_inode;
                                                    /* cached value */
947
             struct inode
             const struct file_operations
948
949
              * Protects f ep, f flags.
              * Must not be taken from IRQ context.
             spinlock_t
                                    f_lock;
             atomic_long_t
                                     f_count;
             unsigned int
                                    f_flags;
                                     f_mode;
             fmode_t
             struct mutex
                                    f_pos_lock;
             loff_t
                                    f_pos;
                                    f_owner;
             struct fown_struct
             const struct cred
                                    *f_cred;
             struct file_ra_state
                                    f_ra;
             u64
                                     f_version;
     #ifdef CONFIG_SECURITY
             void
                                    *f_security;
     #endif
             /* needed for tty driver, and maybe others */
             void
                                     *private_data;
```



- A uniform interface for all I/O
 - Uniformity: open, close, read, and write

```
#include <fcntl.h>
int open(const char *pathname, int flags);
int open(const char *pathname, int flags, mode_t mode);

return value: file descriptor or error code (-1)
pathname: could be a file ("/data/readme.txt") or a
device ("/dev/zero")
```

```
#include <fcntl.h>
int close(int fd);

return value: 0 (success) or -1 (error)
Note: if fd is the last file descriptor referring to the underlying open file description, the resources associated with the open file description are freed.
```



- A uniform interface for all I/O
 - Uniformity: open, close, read, and write

```
#include <fcntl.h>
ssize_t read(int fd, void *buf, size_t count);

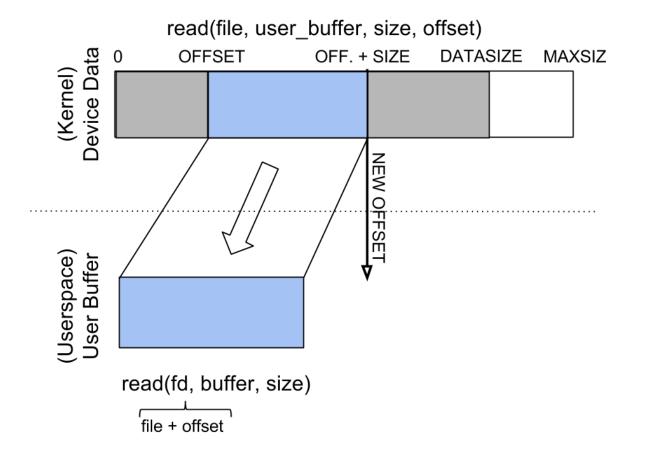
It will read up to count bytes from file descriptor fd into the buffer starting at buf.
return value: the number of bytes read or error (-1)
```

```
#include <fcntl.h>
ssize_t write(int fd, const void *buf, size_t count);

It will write up to count bytes from the buffer starting at buf to the file referred to by the file descriptor fd. return value: the number of bytes written or -1 (error)
```

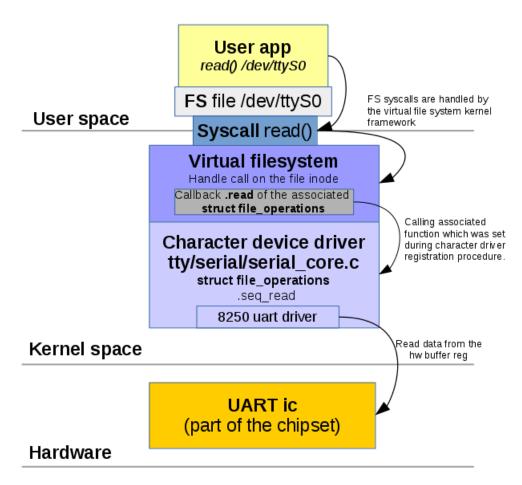


- A uniform interface for all I/O
 - Uniformity: open, close, read, and write





- A uniform interface for all I/O
 - Uniformity: open, close, read, and write



```
struct file operations {
  struct module *owner;
 loff t (*llseek) (struct file *, loff t, int);
  ssize t (*read) (struct file *, char user *, size t, loff t *);
 ssize t (*write) (struct file *, const char user *, size t, loff t *);
 ssize t (*read iter) (struct kiocb *, struct iov iter *);
  ssize t (*write iter) (struct kiocb *, struct iov iter *);
  int (*iopoll) (struct kiocb *kiocb, bool spin);
 int (*iterate) (struct file *, struct dir context *);
 int (*iterate shared) (struct file *, struct dir context *);
  poll t (*poll) (struct file *, struct poll table struct *);
 long (*unlocked ioctl) (struct file *, unsigned int, unsigned long);
 long (*compat ioctl) (struct file *, unsigned int, unsigned long);
 int (*mmap) (struct file *, struct vm area struct *);
 unsigned long mmap supported flags;
 int (*open) (struct inode *, struct file *);
 int (*flush) (struct file *, fl owner t id);
 int (*release) (struct inode *, struct file *);
 int (*fsync) (struct file *, loff t, loff t, int datasync);
  int (*fasync) (int, struct file *, int);
  int (*lock) (struct file *, int, struct file lock *);
  ssize t (*sendpage) (struct file *, struct page *, int, size t, loff t *,
 unsigned long (*get unmapped area) (struct file *, unsigned long, unsigned
 int (*check flags)(int);
 int (*flock) (struct file *, int, struct file lock *);
 ssize t (*splice write) (struct pipe inode info *, struct file *, loff t *,
 ssize t (*splice read) (struct file *, loff t *, struct pipe inode info *,
 int (*setlease)(struct file *, long, struct file lock **, void **);
 long (*fallocate) (struct file *file, int mode, loff t offset,
       loff t len);
 void (*show fdinfo)(struct seq file *m, struct file *f);
#ifndef CONFIG MMU
  unsigned (*mmap capabilities) (struct file *);
#endif
  ssize t (*copy file range) (struct file *, loff t, struct file *,
      loff t, size t, unsigned int);
 loff t (*remap file range) (struct file *file in, loff t pos in,
          struct file *file out, loff t pos out,
          loff t len, unsigned int remap flags);
  int (*fadvise) (struct file *, loff t, loff t, int);
 randomize layout;
```



- A uniform interface for all I/O
 - Uniformity: open, close, read, and write
 - Open before use
 - ☐ OS can check permission and do bookkeeping



- A uniform interface for all I/O
 - Uniformity: open, close, read, and write
 - Open before use
 - Byte-oriented
 - ☐ Even if blocks are transferred, addressing is in bytes



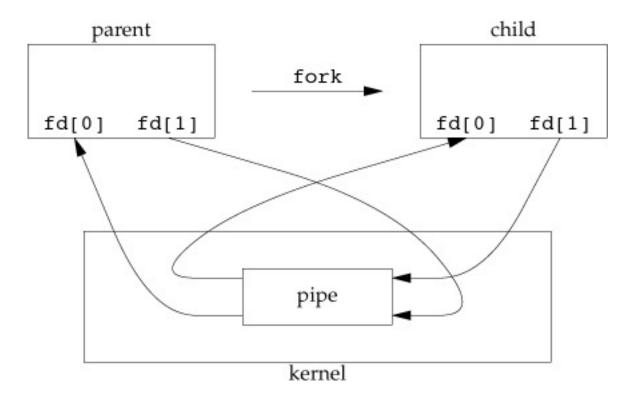
- A uniform interface for all I/O
 - Uniformity: open, close, read, and write
 - Open before use
 - Byte-oriented
 - Kernel-buffered reads/writes
 - ☐ Streaming and block devices looks the same
 - ☐ Read blocks process, yielding processor to other task
 - ☐ Write does not block (even if it's faster than device receiving data)



- A uniform interface for all I/O
 - Uniformity: open, close, read, and write
 - Open before use
 - Byte-oriented
 - Kernel-buffered reads/writes
 - Explicit close
 - ☐ Garbage collection of unused kernel data structures



- Extending the interface to inter-process communication
 - Pipes: a kernel buffer with two file descriptors (reading and writing)
 - Replace file descriptor for the child process
 - ☐ Often used in shells
 - Wait for multiple reads



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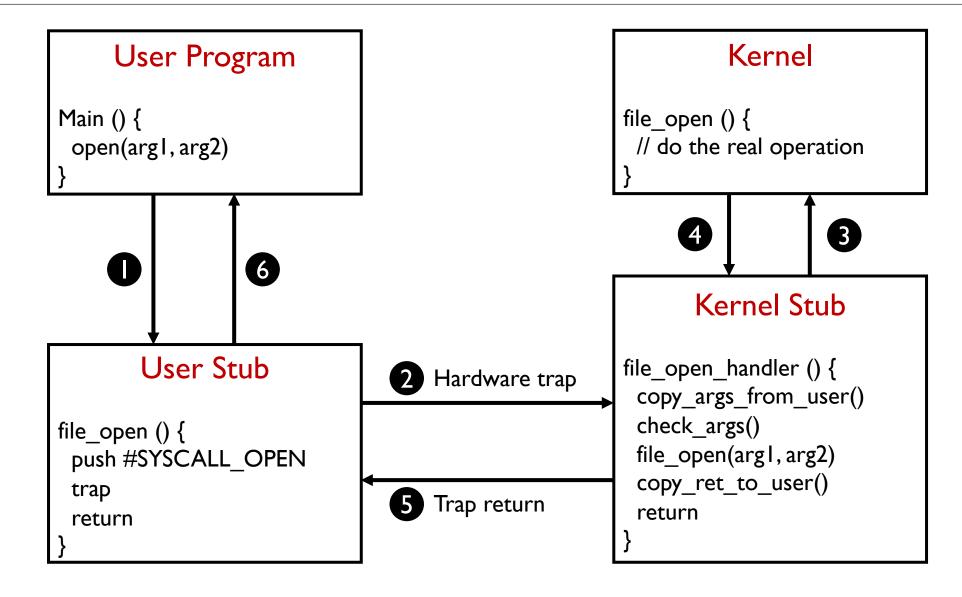
System Calls



- An illusion that kernel is simply a set of library routines
 - Actually, it's not.. They are not even in the same context!
 - Names, arguments, return values

- A key challenge: protection from user-space errors
 - What are to be checked?







In x86:

```
User Stub

file_open () {
  push #SYSCALL_OPEN
  trap
  return
}
```

The **int** instruction:

- Saves the program counter, stack pointer, and eflags on the kernel stack
- Jumps to the system call handler through interrupt vector table
- The kernel handler examines the TrapCode and calls the correct stub



https://developer.ibm.com/articles/l-kernel-memory-access/

- Can kernel directly access the parameters without copying?
- Why parameters must be copied from user memory to kernel memory?

 Can we check parameters before copying them to kernel memory?

Kernel Stub

```
file_open_handler () {
  copy_args_from_user()
  check_args()
  file_open(arg1, arg2)
  copy_ret_to_user()
  return
}
```



https://developer.ibm.com/articles/l-kernel-memory-access/

- Can kernel directly access the parameters without copying?
 - Yes in most OSes, because kernel and user share memory space
- Why parameters must be copied from user memory to kernel memory?
 - Original parameters are stored in user memory stack
 - copy_from_user and copy_to_usr
- Can we check parameters before copying them to kernel memory?
 - time of check vs. time of use (TOCTOU) attack

Kernel Stub

```
file_open_handler () {
  copy_args_from_user()
  check_args()
  file_open(arg1, arg2)
  copy_ret_to_user()
  return
}
```