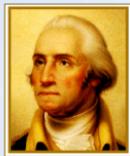


Compton Scattering: How to Optimise Experiments?



THE GEORGE
WASHINGTON
UNIVERSITY
WASHINGTON DC

H. W. Grießhammer

Institute for Nuclear Studies
The George Washington University, DC, USA



- 1 How To Spend Your Time & Money Wisely?
- 2 Two-Photon Response Explores System Dynamics
- 3 A Plethora of Observables To Determine 6 Parameters $\alpha_{E1}, \beta_{M1}, \gamma_1$
- 4 How To Spend Your Time & Money Wisely?



How do constituents of the nucleon react to external fields?

How to reliably extract proton, neutron, spin polarisabilities?

How to plan effective experiments & test theory?

Polarisabilities & Bayes in χ EFT for lattice-QCD: hg/JMcG/DRP *Eur. Phys. J.* **A52** (2016) 139

Comprehensive proton/neutron observables: hg/JMcG/DRP *Eur. Phys. J.* **A54** (2018) 37

3 He $\mathcal{O}(\delta^3)$: Margaryan/Strandberg/hg/JMgG/DRP/Shukla: *Eur. Phys. J.* **A54** (2018) 125



1. How To Spend Your Time & Money Wisely?

Optimise suite of future measurements! – Sequence may depend on future results.

Goals: improve/validate existing data; test theoretical descriptions; extract parameters.

Money & time & workforce & reputation \Rightarrow Careful planning needs to integrate

theory \oplus experimental facts \oplus likeliness of success

Given Effective Field Theory: predictions of finite accuracy; also validate prior parameter determinations.

Given prior data: noisy, $\lesssim 100$ points with varying degrees of quality & reliability. \Rightarrow Curate!

3-10% point-to-point (statistical, Gaußian) error

3-10% correlated (systematic, non-Gaußian) error (beam flux,...) differ between sets ("floating norms").

Often under-/over-estimated. \Rightarrow May have to reconstruct/validate likely correlated error...

Need to find "Sweet-Spot", given constraints & tensions:

Detector location (walls), difficulty of observables, parameter combinations "known" with varying confidence, ...

High energy: high count rates \Rightarrow short runs, high statistics — theory less accurate

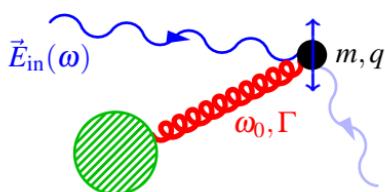
Low energy: low count rates \Rightarrow long runs for adequate statistics — theory more accurate

Desired Outcome: "Optimal Impact Machine" (generally accepted/well-defined/reproducible/canned) for sequence of experiments with high(est) impact: Figures of Merit, validations of theory/data,...

2. Two-Photon Response Explores System Dynamics

(a) Polarisabilities: Stiffness of Charged Constituents in El.- Mag. Fields

Example: induced electric dipole radiation from harmonically bound charge, damping Γ Lorentz/Drude 1900/1905



$$\vec{d}_{\text{ind}}(\omega) = \underbrace{\frac{q^2}{m} \frac{1}{\omega_0^2 - \omega^2 - i\Gamma\omega}}_{=: 4\pi\alpha_{E1}(\omega)} \vec{E}_{\text{in}}(\omega)$$

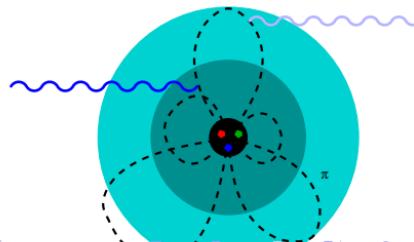
"displaced volume" [10⁻⁴ fm³]

electric scalar dipole polarisability

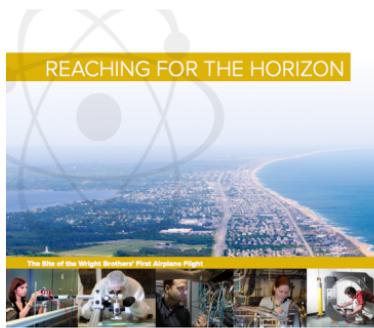
$$2\pi \left[\underbrace{\alpha_{E1} \vec{E}^2 + \beta_{M1} \vec{B}^2}_{\text{electric, magnetic scalar dipole}} + \underbrace{\gamma_{E1E1} \vec{\sigma} \cdot (\vec{E} \times \dot{\vec{E}}) + \gamma_{M1M1} \vec{\sigma} \cdot (\vec{B} \times \dot{\vec{B}}) + 2\gamma_{M1E2} \sigma^i B^j E_{ij} + 2\gamma_{E1M2} \sigma^i E^j B_{ij}}_{\text{spin-dependent dipole response of nucleon-spin constituents}} + \dots \right]$$

Dis-entangle *interaction scales, symmetries & mechanisms* with & among constituents.

Fundamental hadron properties, like charge, mass, mag. moment, $\langle r_N^2 \rangle$... PDG



(b) A Word from Our Sponsors: The US Long Range Plan



The 2015 LONG RANGE PLAN for NUCLEAR SCIENCE



The special status of pions and kaons in QCD and their marked impact on the long-distance structure of hadrons can be systematically encoded in an effective theory, applicable to processes at low energy. This effective theory, as well as emerging LQCD calculations, can provide benchmark predictions for so-called polarizabilities that parameterize the deformation of hadrons due to electromagnetic fields, spin fields, or even internal color fields. Great progress has been made in determining the electric and magnetic polarizabilities. Within the next few years, data are expected from the High Intensity Gamma-ray Source (HIGS) facility that will allow accurate extraction of proton-neutron differences and spin polarizabilities. JLab also explores aspects

[US NSAC LRP 2015 p. 14]

H1γS (DOE): a central goal; > 3000 hrs committed at 60 – 100 MeV

proton doubly & beam pol. (E-06-09/10)

deuteron beam pol. (E-18-09, running)

³He unpol & doubly pol. (E-07-10, E-08-16)

⁴He unpol

⁶Li unpol. (E-15-11, first!)

A2 @ MAMI (DFG: 5-year SFB): running, data cooking and planned

proton 100 – 400 MeV: beam & target pol. deuteron, ^3He , ^4He unpol., beam & target pol.

MAXlab: data cooking

deuteron 100 – 160 MeV: unpol.

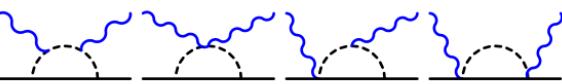
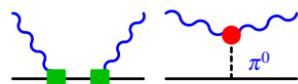
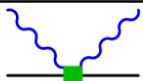
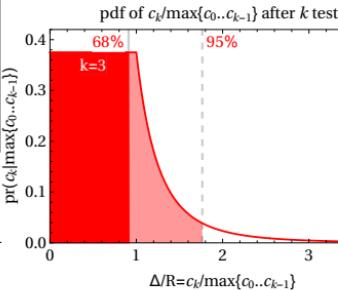
(c) All 1N Contributions to N⁴LO

Effective Field THEORY: Finite accuracy with “known” residual theory uncertainties: non-Gaussian pdfs.

Low numerical cost, but $\alpha_{E1}, \beta_{M1}, \gamma_i$ are shadowed by larger effects, dependence largely linear.

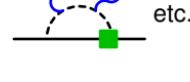
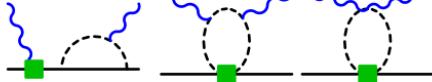
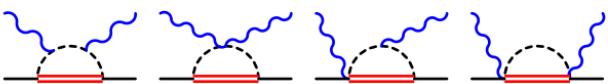
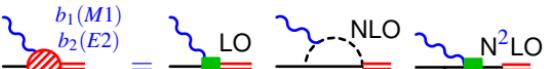
Unified Amplitude: accuracy decreases with ω :

in low régime $\omega \lesssim m_\pi$ at least N⁴LO ($e^2 \delta^4$): accuracy $\delta^5 \lesssim 2\%$;
 or in high régime $\omega \sim M_\Delta - M_N$ at least NLO ($e^2 \delta^0$): accuracy $\delta^2 \lesssim 20\%$.



covariant

with **vertex**
corrections



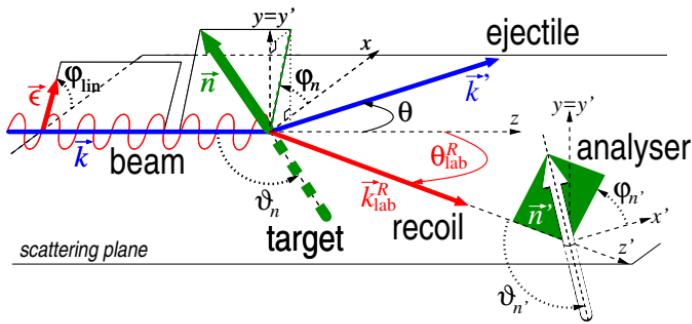
3. A Plethora of Observables To Determine 6 Parameters $\alpha_{E1}, \beta_{M1}, \gamma_1$

Arbitrary cross section given by linear combination of independent observables parameterising set-ups with Unpolarised/linear/circular beam on scalar/vector/tensor target/recoil:

Proton/³He (spin- $\frac{1}{2}$): 7 Asymmetries:

1 beam, 1 target, 2 circpol. double, 3 linpol. double

5 Polarisation Transfers: 2 circpol. beam on pol. recoil, 3 linpol. beam on pol. recoil



$$\begin{aligned}
& \frac{d\sigma}{d\Omega} \Big|_{\text{unpol}} \times \left[1 + \Sigma^{\text{lin}}(\omega, \theta) P_{\text{lin}}^{(\gamma)} \cos 2\phi_{\text{lin}} \right. \\
& + \sum_{\substack{J=1, \dots, 2S \\ 0 \leq M \leq J}} T_{JM}(\omega, \theta) P_J^{(\text{d})} d_{M0}^J(\theta) \cos[M\phi - \frac{\pi}{2} \delta_{J,\text{odd}}] \\
& + \sum_{\substack{J=1, \dots, 2S \\ 0 \leq M \leq J}} T_{JM}^{\text{circ}}(\omega, \theta) P_{\text{circ}}^{(\gamma)} P_J^{(\text{d})} d_{M0}^J(\theta) \sin[M\phi + \frac{\pi}{2} \delta_{J,\text{odd}}] \\
& \left. + \sum_{\substack{J=1, \dots, 2S \\ -J \leq M \leq J}} T_{JM}^{\text{lin}}(\omega, \theta) P_{\text{lin}}^{(\gamma)} P_J^{(\text{d})} d_{M0}^J(\theta) \cos[M\phi - 2\phi_{\text{lin}} - \frac{\pi}{2} \delta_{J,\text{odd}}] \right]
\end{aligned}$$

6 p & n polarisabilities + constraints on $\alpha_{E1} + \beta_{M1}, \gamma_0, \dots$; experiment: detector settings, feasibilities,...

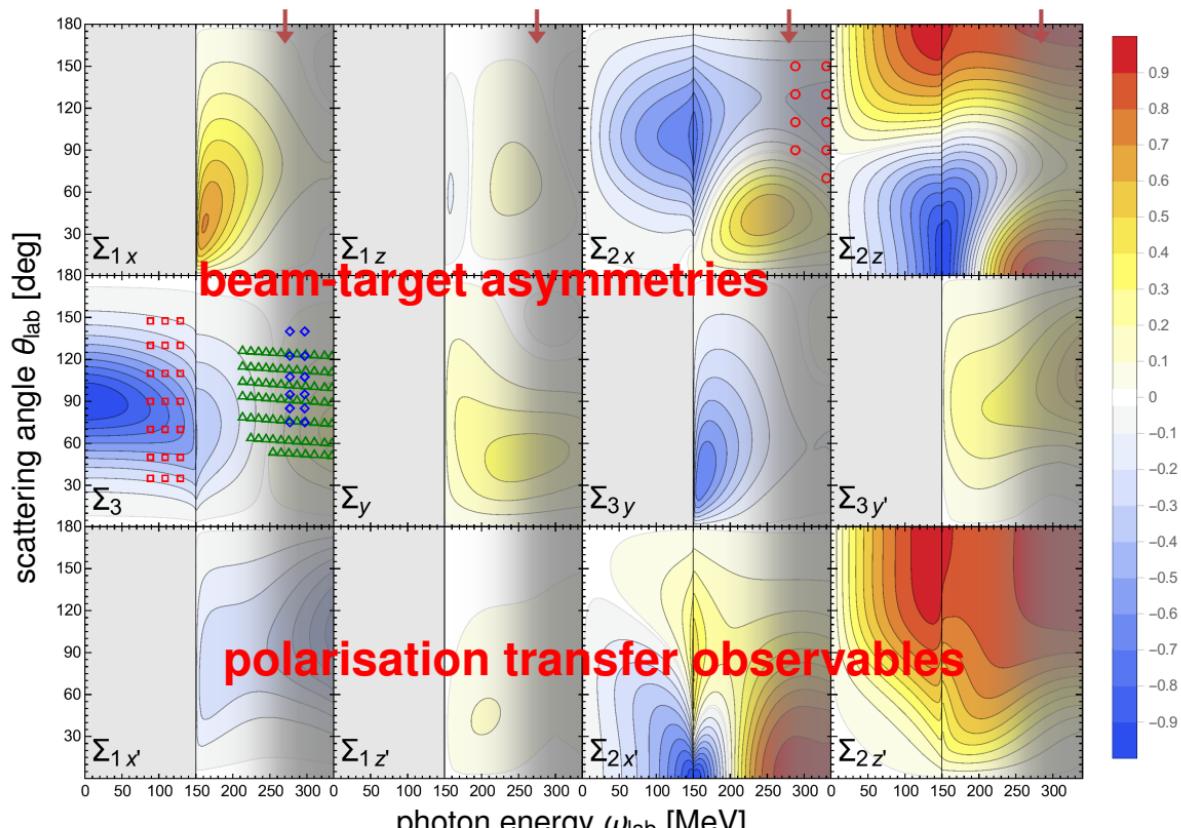
“At present, single and double polarised data is sorely missing.” Theory letter [arXiv:1409.1512]

No single measurement to provide definitive answers: multi-parameter extractions, systematics, validation.

→ Experiment & Theory must collaborate to identify *observables with biggest impact*.

(a) The 12 Proton Observables: Not Lots Of Data, and Wrong Region

Fading Colours for $\omega \gtrsim 250$ MeV indicate breakdown of χ EFT expansion.

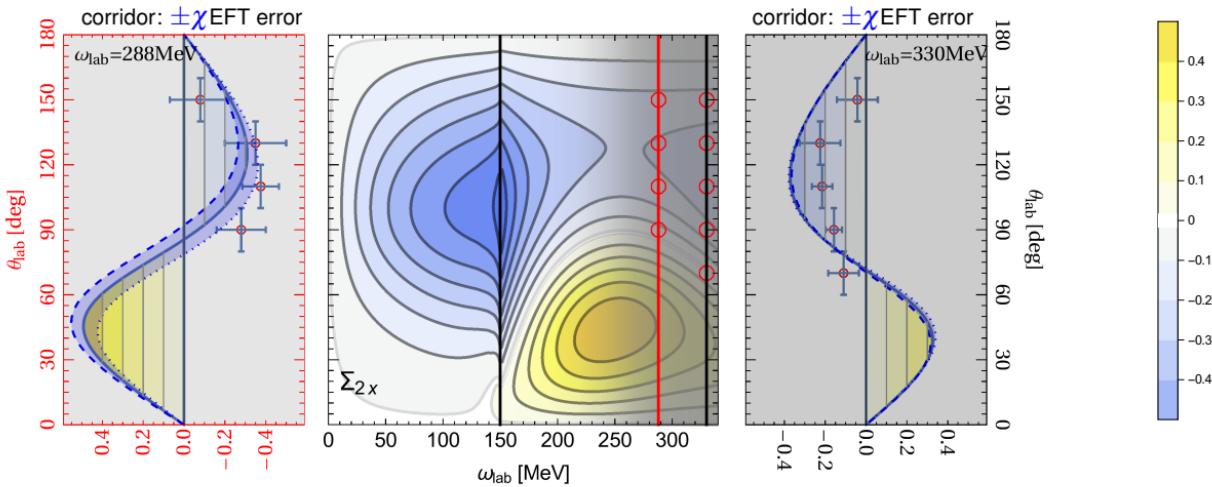


(b) Proton Spin Polarisabilities from Polarised Photons

$\mathcal{O}(e^2 \delta^3)$: hg/Hildebrandt/... 2003
 $\mathcal{O}(e^2 \delta^4)$: hg/McG/Ph 1511.0952&1711.11546
 exp MAMI: Martel/... PRL 2014: Collicott/... t.b.a

Incoming γ circularly polarised, sum over final states. N -spin in (\vec{k}, \vec{k}') -plane, perpendicular to \vec{k} :

$$\Sigma_{2x} : \quad \text{Diagram showing a wave vector } \vec{k} \text{ with an angle } \theta \text{ from the horizontal, and a polarization vector } \vec{\sigma} \text{ perpendicular to } \vec{k}. \quad \text{vs}$$



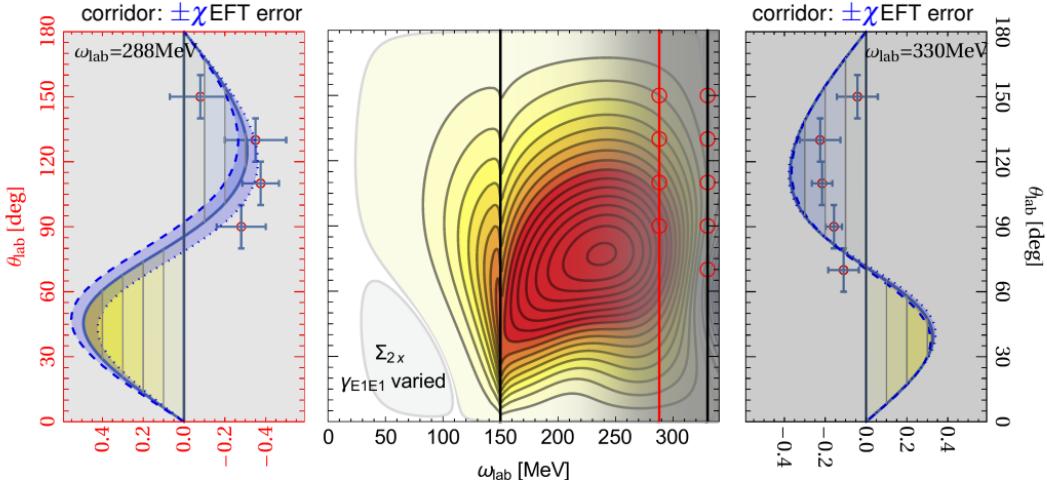
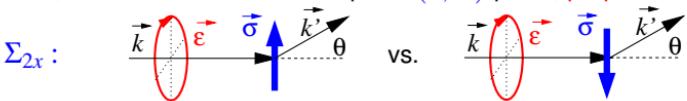
$\mathcal{O}(e^2 \delta^4)$ χ EFT prediction hg/McGovern/Phillips 2014 vs. MAMI extraction Martel/... 2014

static [10^{-4} fm 4]	γ_{E1E1}	γ_{M1M1}	γ_{E1M2}	γ_{M1E2}
MAMI 2014 proton	-3.5 ± 1.2	3.2 ± 0.9	-0.7 ± 1.2	2.0 ± 0.3
χ EFT proton predicted	$-1.1 \pm 1.9_{\text{th}}$	$2.2 \pm 0.5_{\text{stat}} \pm 0.6_{\text{th}}$	$-0.4 \pm 0.6_{\text{th}}$	$1.9 \pm 0.5_{\text{th}}$

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Theory: uncertainty on magnitude \neq uncertainty on sensitivity.

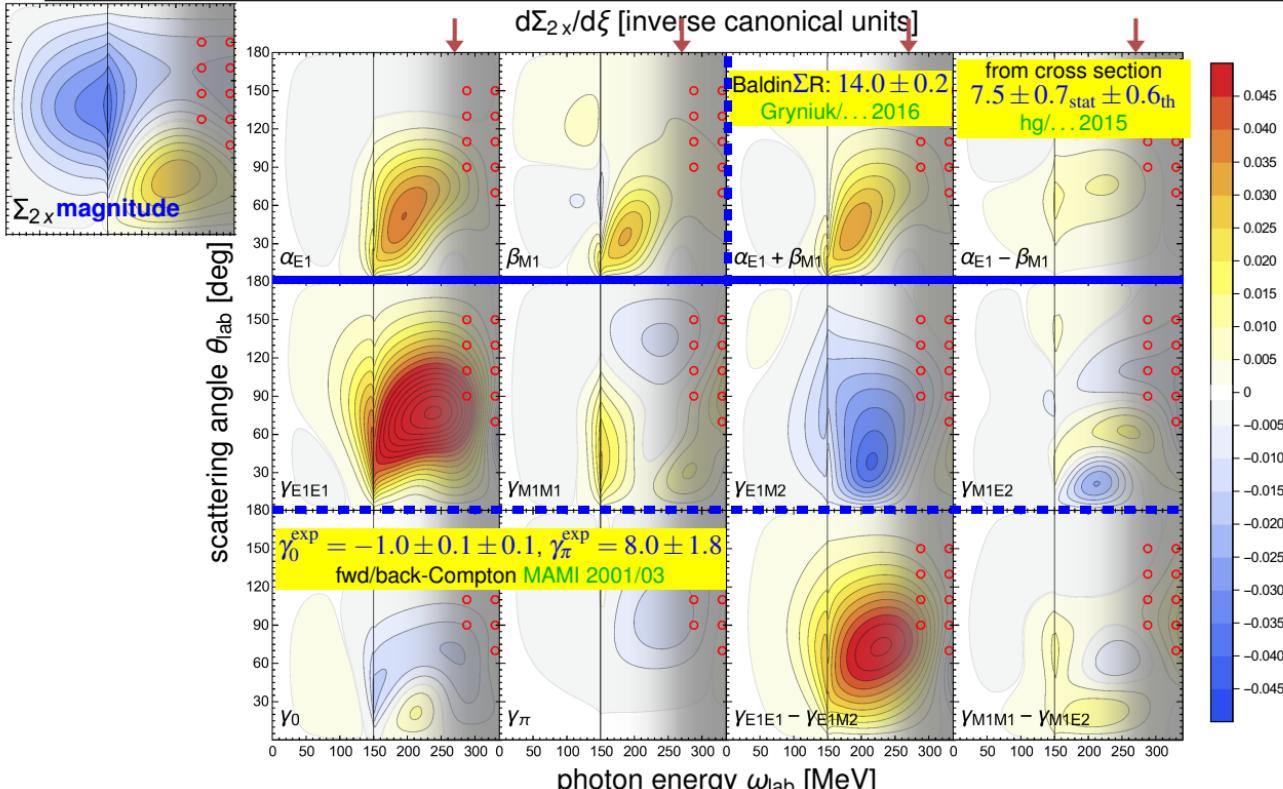
Experiment: magnitudes determine beamtime – sensitivities & efficiencies determine success.

(c) Proton: Sensitivity of Σ_{2x} (Circpol Beam on Linpol_x Target)

JMcG/hg/DRP
1711.11546

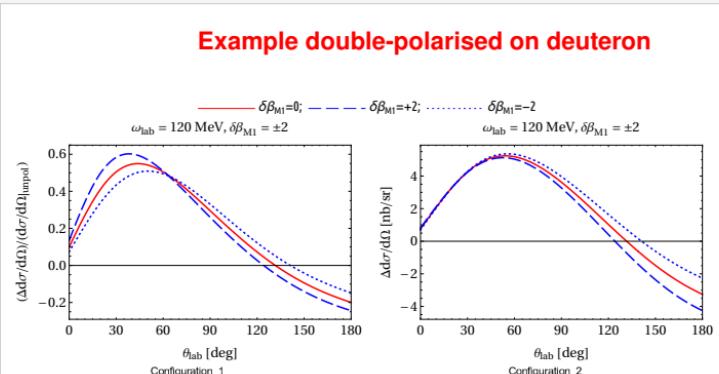
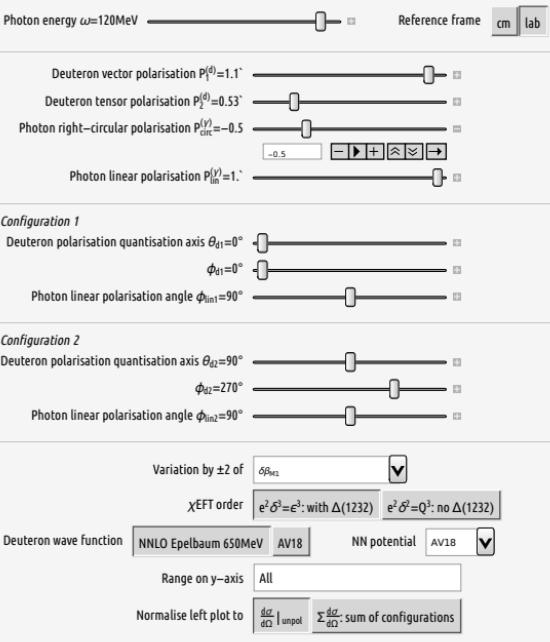
Fading Colours for $\omega \gtrsim 250$ MeV: breakdown of χ EFT expansion \Rightarrow prefer data below.

6 parameters, constraints on $\alpha_{E1} \pm \beta_{M1}$, γ_0 , $\gamma_\pi, \dots \Rightarrow$ Sensitivities to linear combinations – validation!



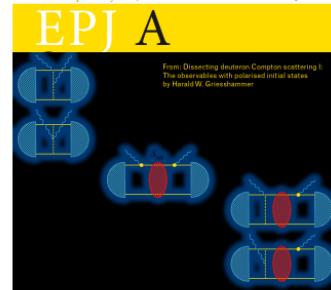
(d) Inverting the Question: One Polarisability per Experiment?

Instead of optimising a set of observables to a linear combination of polarisabilities, optimise 9 continuous parameters (kinematics & polarisations) to one polarisability!?



Configuration 2
The European Physical Journal

Volume 48 • Number 8 • August • 2011



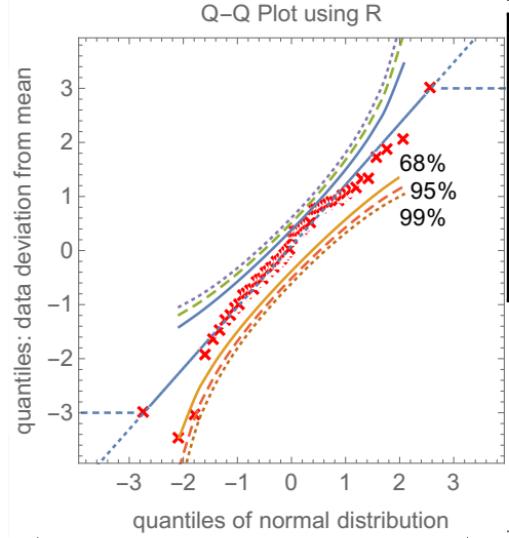
hg EPJA49 (2013) 100

Europhysics News HIGHLIGHT May 2013
errata A53 (2017) 113, A54 (2018) 57

(e) Curating: The Good, The Bad, and The Ugly Data

Illinois 1994 ●, Lund 2003 ▲, Saskatoon 2000 ♦, Lund 2014 ×

— $N\pi + \Delta + \text{stat. error}$, Baldin constrained

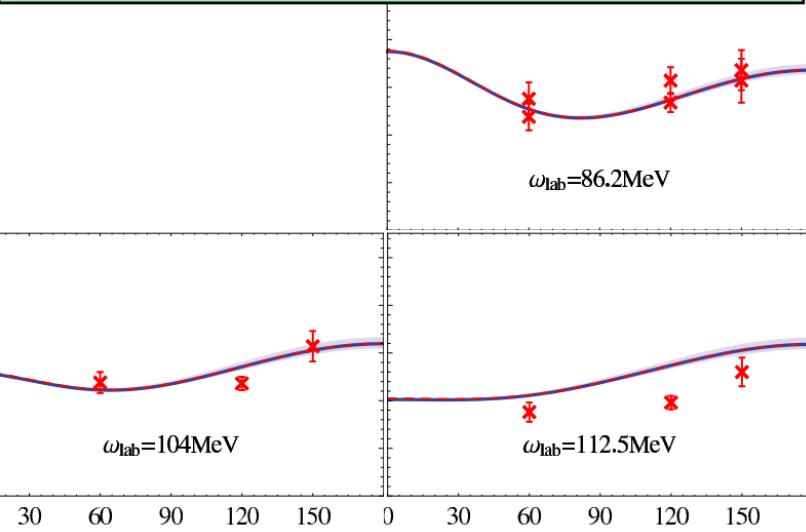


How to *reproducibly* prune database with *minimal* theory bias?

Tension to data cluster in kinematic proximity (“Majority Rules”), but not when isolated (“Could be Physics”)?

What about “creeping”: data consistent in one region,
increasingly inconsistent in another?

Traditional methods like Q-Q seem blind and compare to theory.



4. How To Spend Your Time & Money Wisely?

Optimise suite of future measurements! – Sequence may depend on future results.

Goals: improve/validate existing data; test theoretical descriptions; extract parameters.

Money & time & workforce & reputation \Rightarrow Careful planning needs to integrate

theory \oplus experimental facts \oplus likeliness of success

Given Effective Field Theory: predictions of finite accuracy; also validate prior parameter determinations.

Given prior data: noisy, $\lesssim 100$ points with varying degrees of quality & reliability. \Rightarrow Curate!

3-10% point-to-point (statistical, Gaußian) error

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Often under-/over-estimated. \Rightarrow May have to reconstruct/validate likely correlated error...

Need to find "Sweet-Spot", given constraints & tensions:

Detector location (walls), difficulty of observables, parameter combinations "known" with varying confidence, ...

High energy: high count rates \Rightarrow short runs, high statistics — theory less accurate

Low energy: low count rates \Rightarrow long runs for adequate statistics — theory more accurate

Desired Outcome: "Optimal Impact Machine" (generally accepted/well-defined/reproducible/canned) for sequence of experiments with high(est) impact: Figures of Merit, validations of theory/data,...



The efficient person gets the job
done right. The effective person
gets the right job done.



B. The Promise of Reliable Error Bars

(a) (Dis)Agreement Significant Only When All Error Sources Explored

Editorial PRA 83
(2011) 040001

PHYSICAL REVIEW A 83, 040001 (2011)

Editorial: Uncertainty Estimates

The purpose of this Editorial is to discuss the importance of including uncertainty estimates in papers involving theoretical calculations of physical quantities.

It is **not unusual for manuscripts on theoretical work to be submitted without uncertainty estimates** for numerical results. In contrast, papers presenting the results of laboratory measurements would usually not be considered **acceptable** for publication.

The question is to what extent can the same high standards be applied to papers reporting the results of theoretical calculations. It is all too often the case that the numerical results are presented without uncertainty estimates. **Authors sometimes say that it is difficult to arrive at error estimates. Should this be considered an adequate reason for omitting them?** In order to answer this question, we need to consider the goals and objectives of the theoretical (or computational) work being done. Theoretical papers physical effects not included in the calculation from the beginning, such as electron correlation and relativistic corrections. It is of course never possible to state precisely what the error is without in fact doing a larger calculation and obtaining the higher accuracy. However, the same is true for the uncertainties in experimental data. **The aim is to estimate the uncertainty, not to state the exact amount of the error or provide a rigorous bound.**

Theoretical uncertainty: Truncation of Physics

Does Nuclear Structure emerge from **QCD**?
Beyond-Standard-Model Physics from Supernovae?

EFT claim: systematic in Q =
$$\frac{\text{typ. low scale } p_{\text{typ}}}{\text{typ. high scale } \Lambda_{\text{EFT}}}$$

Religion

Science: Degree of Belief
Thou Shalt Believe!

Conjecture  **Evidence**

Scientific Method: Quantitative results with corridor of theoretical uncertainties for falsifiable predictions.

Need procedure which is established, economical, reproducible: room to argue about “error on the error”.

“Double-Blind” Theory Errors: Assess with pretense of no/very limited data.

(b) What Does “Conservative” Theory Uncertainty Mean?

$$\chi_{\text{EFT}} \alpha_{E1}^{(p)} - \beta_{M1}^{(p)} [10^{-4} \text{ fm}^3]: 7.5 \pm \text{???}_{\text{th}} = 11.2_{\text{LO}} - 3.6_{\text{NLO}} - 0.1_{\text{N}^2\text{LO}} \pm \text{???}_{\text{th}}$$

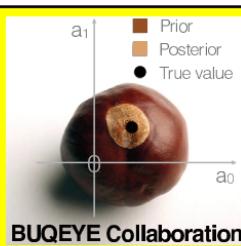
Observable as series:

$$\mathcal{O} = c_0 + c_1 \delta^1 + c_2 \delta^2 + \text{unknown} \times \delta^3$$

Assuming $\delta \geq 0.4$:

$$11.2 - 9.1\delta^1 - 0.6\delta^2 + \text{unknown} \times \delta^3$$

→ Estimate next term “*most conservatively*” as $| \text{unknown } c_3 | \leq \max\{|c_0|, |c_1|, |c_2|\}$.



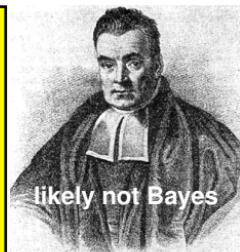
Call upon the Reverend Bayes for probabilistic interpretation!

see e.g. **BUQEYE collaboration** Furnstahl/Phillips/... 1506.01343+more

New information increases level of confidence.

⇒ Smaller corrections, more reliable uncertainties.

Clearly state your premises/assumptions – including naturalness.



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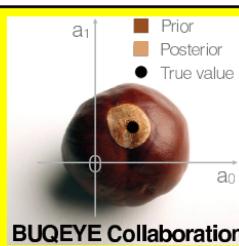
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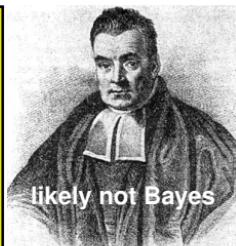
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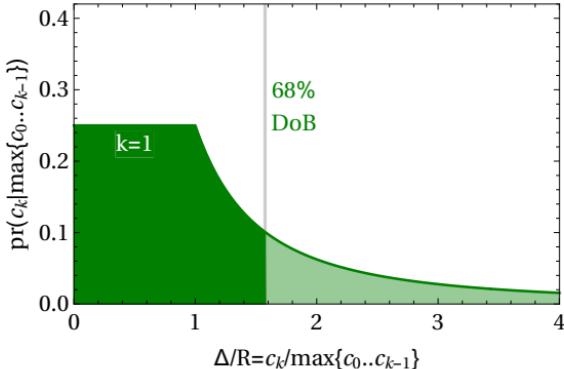
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likely not Bayes

pdf of $c_k/\max\{c_0..c_{k-1}\}$ after k tests



Priors: all c_n “equally likely”, “any upper bound” \bar{c} .

order	DOB in $\pm R$	$\sigma: 68\%$	$\Delta(95\%)$
LO	$\frac{1}{2} = 50\%$	$1.6 R$	$11R = 7\sigma$
Gauß	68.27%	$1.0 R$	2.0σ

Posterior pdf *not Gauß'ian*: Plateau & power-law tail.

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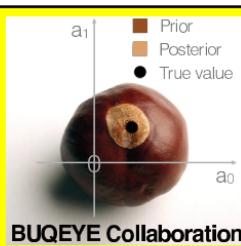
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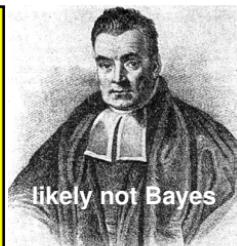
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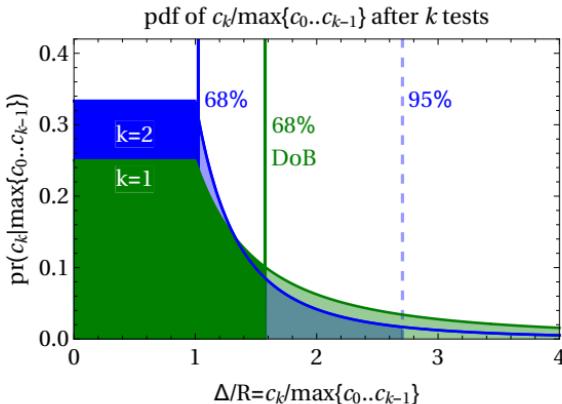
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likely not Bayes



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LO	$\frac{1}{2} = 50\%$	$1.6 R$	$11R = 7\sigma$
NLO	$\frac{2}{3} = 66.7\%$	$1.0 R$	$2.7R = 2.6\sigma$
Gauß	68.27%	$1.0 R$	2.0σ

Posterior pdf *not Gauß'ian*: Plateau & power-law tail.

(b) What Does “Conservative” Theory Uncertainty Mean?

$$\chi^{\text{EFT}} \alpha_{E1}^{(p)} - \beta_{M1}^{(p)} [10^{-4} \text{ fm}^3]: 7.5 \pm 0.6_{\text{th}} = 11.2_{\text{LO}} - 3.6_{\text{NLO}} - 0.1_{\text{N}_2^2 \text{LO}} \pm 0.6_{\text{th}}$$

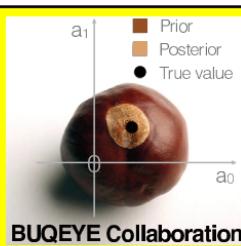
Observable as series:

$$\mathcal{O} = c_0 + c_1 \delta^1 + c_2 \delta^2 + \text{unknown} \times \delta^3$$

Assuming $\delta \simeq 0.4$:

$$11.2 \quad -9.1\delta^1 \quad \mathbf{-0.6\delta^2} \quad \pm(11.2 \times \delta^3 \approx 0.7??)$$

→ Estimate next term “*most conservatively*” as $| \text{unknown } c_3 | \leq \max\{|c_0|, |c_1|, |c_2|\}$.



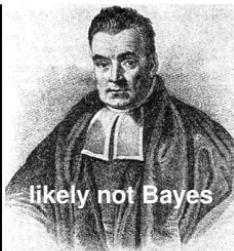
Call upon the Reverend Bayes for probabilistic interpretation!

see e.g. **BUQEYE collaboration** Furnstahl/Phillips/... 1506.01343+more

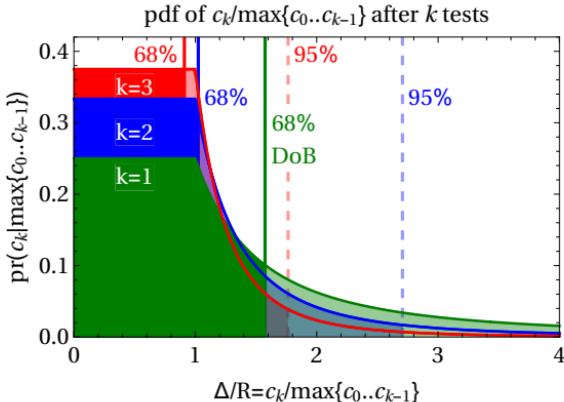
New information increases level of confidence

⇒ Smaller corrections, more reliable uncertainties.

Clearly state your premises/assumptions – including *naturalness*:



– likely not Bayes



Priors: all c_n “equally likely”, “any upper bound” \bar{c} .

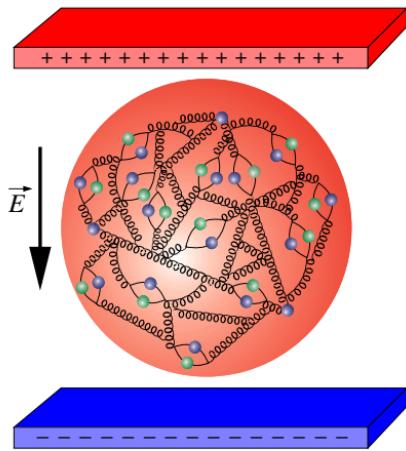
order	DOB in $\pm R$	$\sigma: 68\%$	$\Delta(95\%)$
LO	$\frac{1}{2} = 50\%$	$1.6 R$	$11R = 7\sigma$
NLO	$\frac{2}{3} = 66.7\%$	$1.0 R$	$2.7R = 2.6\sigma$
N^2LO	$\frac{3}{4} = 75\%$	$0.9 R$	$1.8R = 1.9\sigma$
k	$\frac{k}{k+1}$	$0.68 \frac{k+1}{k} R (k \geq 2)$	
Gauß	68.27%	$1.0 R$	2.0σ

Posterior pdf *not Gauß'ian*: Plateau & power-law tail.

Towards comparable uncertainties in experiment, χ EFT and lattice QCD.

χ EFT: reliable error estimate for $\frac{m_\pi}{\Lambda_\chi}$ extrapolation.

\Rightarrow *Fading corridors beyond ~ 250 MeV.*

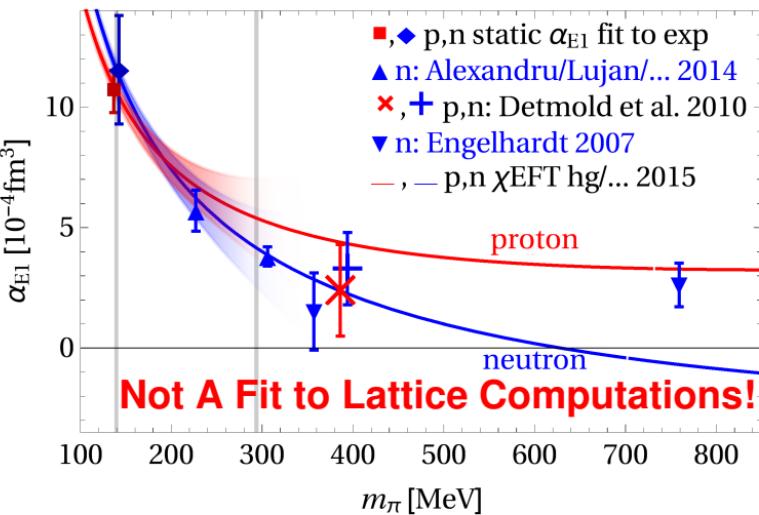


Ongoing: charged sea, $m_\pi \searrow 200$ MeV,
larger volumes, more statistics,...

Active lattice groups:

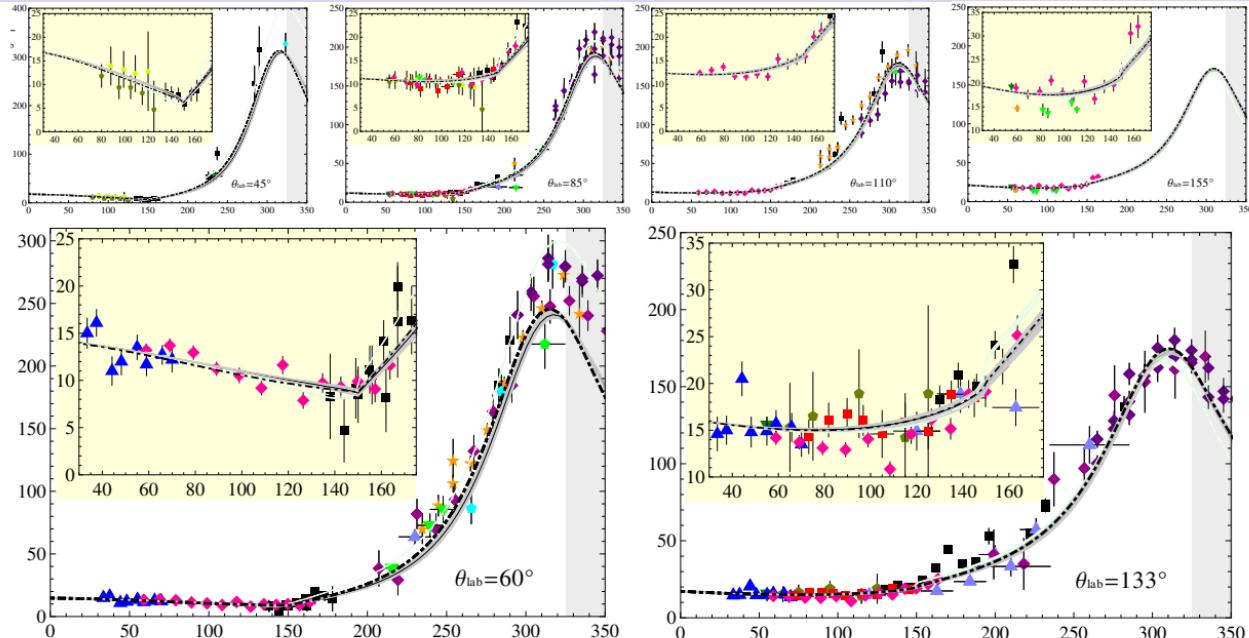
Alexandru/Lee/... 2005-;
Engelhardt/LHPC 2006-;
EMC/NPLQCD 2006-, 2015-;
Leinweber/Primer/Hall/... 2013-

Example: static electric polarisability α_{E1}



(d) Nucleon Polarisaabilities from a Consistent Database

McGovern/Phillips/hg 2013
database: +Feldman PPNP 2012



Noisy database, partially conflicting \rightarrow reproducible trimming necessary.

Fit focuses on different Physics in different regions:

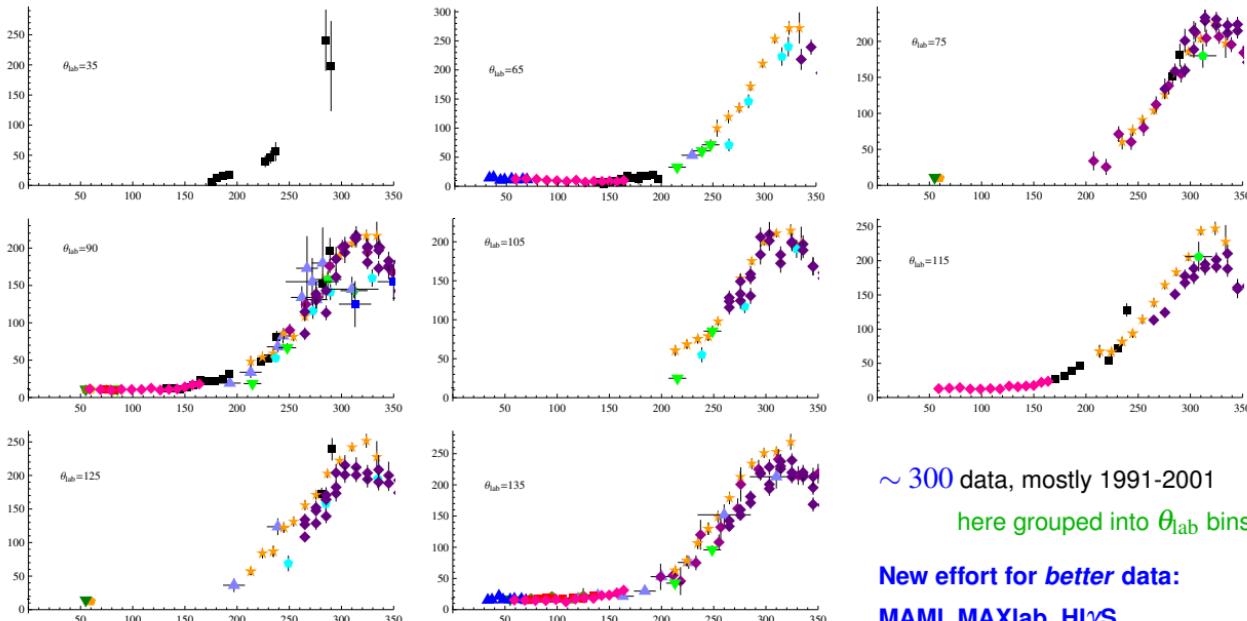
$> 200 \text{ MeV: } \Delta(1232)$ fit $b_1 = 3.61 \pm 0.02 \iff < 170 \text{ MeV: polarisabilities}$

(e) Creating a Consistent Proton Compton Database

hg/McGovern/Phillips/Feldman PPNP 2012

Legend:

- Chicago 58 (orange star)
- MIT 59 (yellow star)
- Moscow 60 (green triangle)
- Illinois 60, 67 (blue triangle)
- Cornell 61 (green circle)
- Tokyo 64 (blue square)
- Moscow 66 (green triangle)
- MIT 67 (green star)
- Moscow 74 (green triangle)
- Bonn 76 (light blue circle)
- Illinois 91 (blue triangle)
- Mainz 92, 96, 99, 02 (purple diamond)
- SAL 93 (black square)
- SAL 95 (red square)
- Mainz 01 (pink diamond)
- Mainz 01 (purple diamond)
- Brookhaven 01 (LEGS) (yellow star)



~ 300 data, mostly 1991-2001
here grouped into θ_{lab} bins

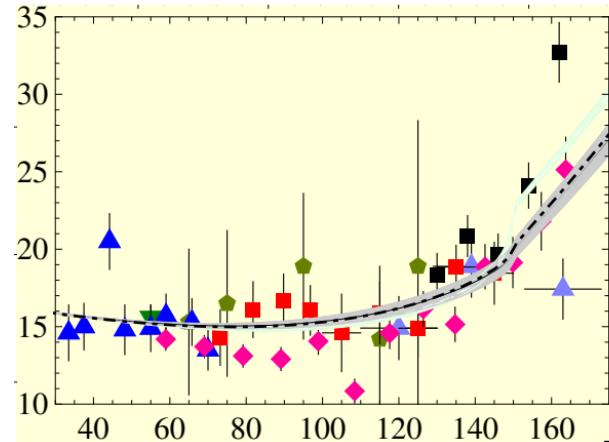
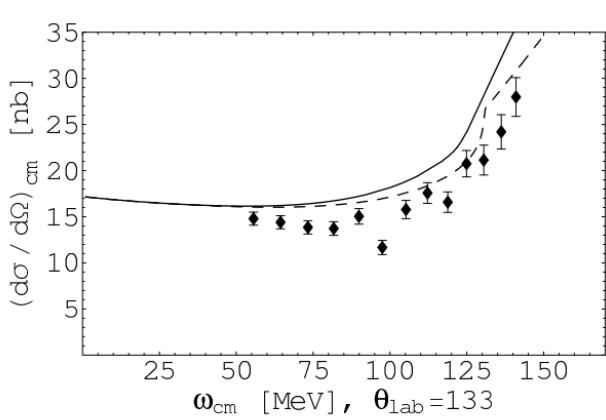
New effort for better data:
MAMI, MAXlab, HIγS,...

Quoted stat+sys too small for quoted fluctuations; tensions MAMI vs. LEGS; etc. $\Rightarrow \frac{\chi^2}{\text{d.o.f.}} \approx 1$ needs pruning.

Not more, but more reliable data needed for unpolarised proton.

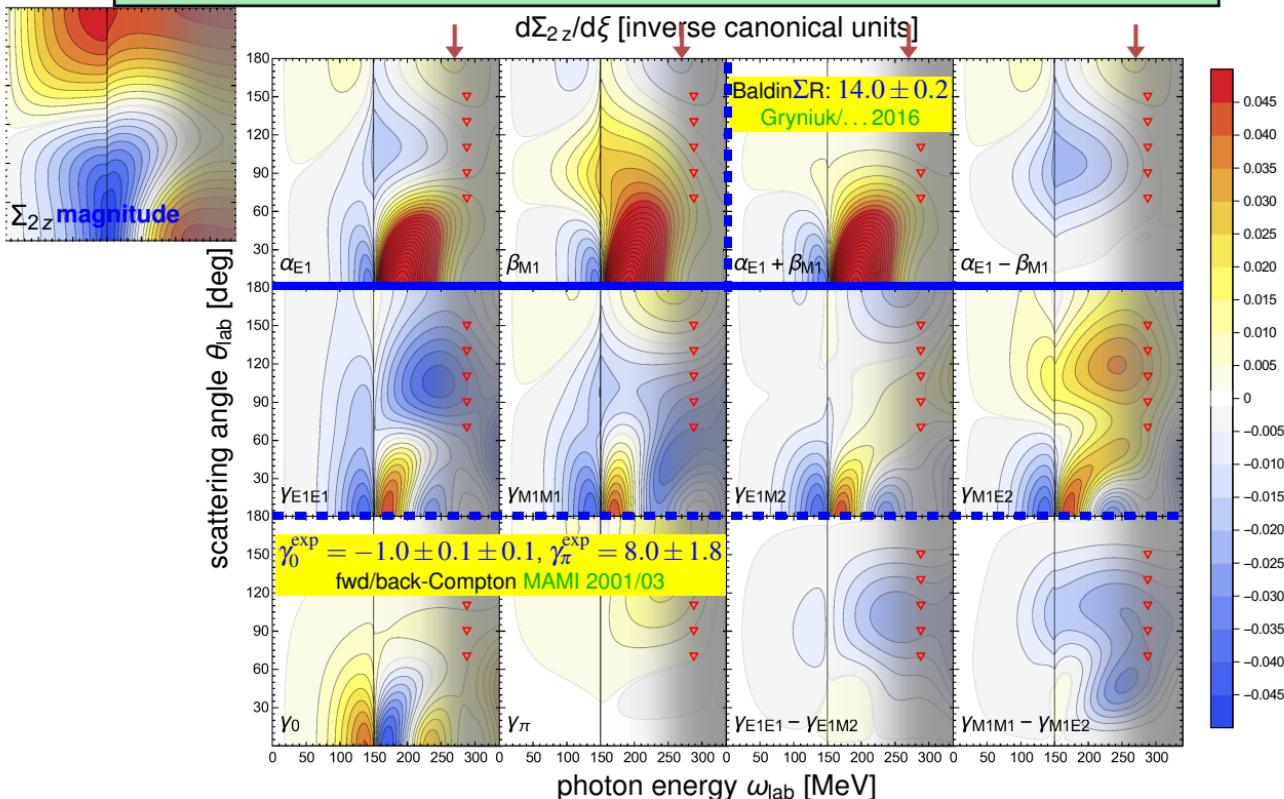
(f) The Good, The Bad and the Ugly Data

One MAMI dataset seems not to fit into the picture. Whose problem?



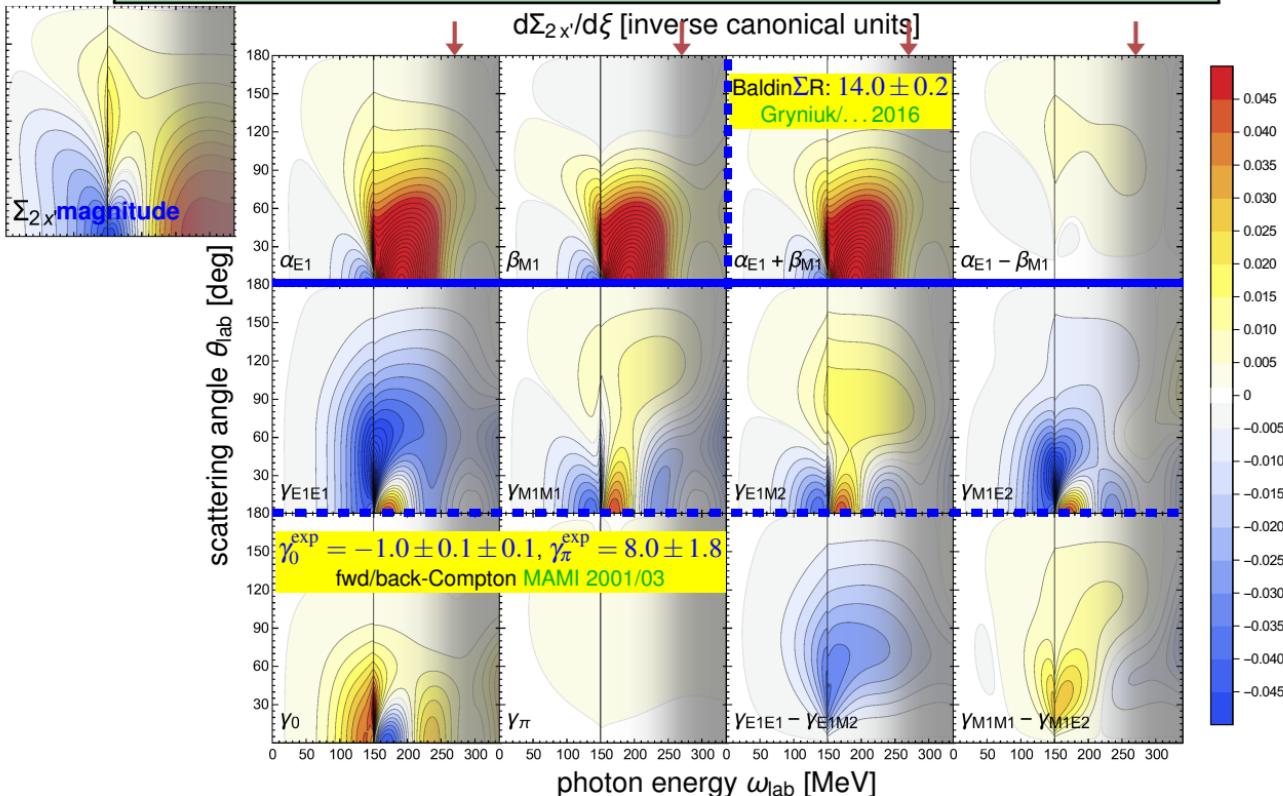
(g) Proton: Sensitivity of Σ_{2z} (Circpol Beam on Linpol_z Target)

Fading Colours for $\omega \gtrsim 250$ MeV: breakdown of χ EFT expansion \Rightarrow prefer data below. 6 parameters, constraints on $\alpha_{F1} + \beta_{M1}, \gamma_0, \gamma_\pi, \dots \Rightarrow$ Sensitivities to linear combinations.



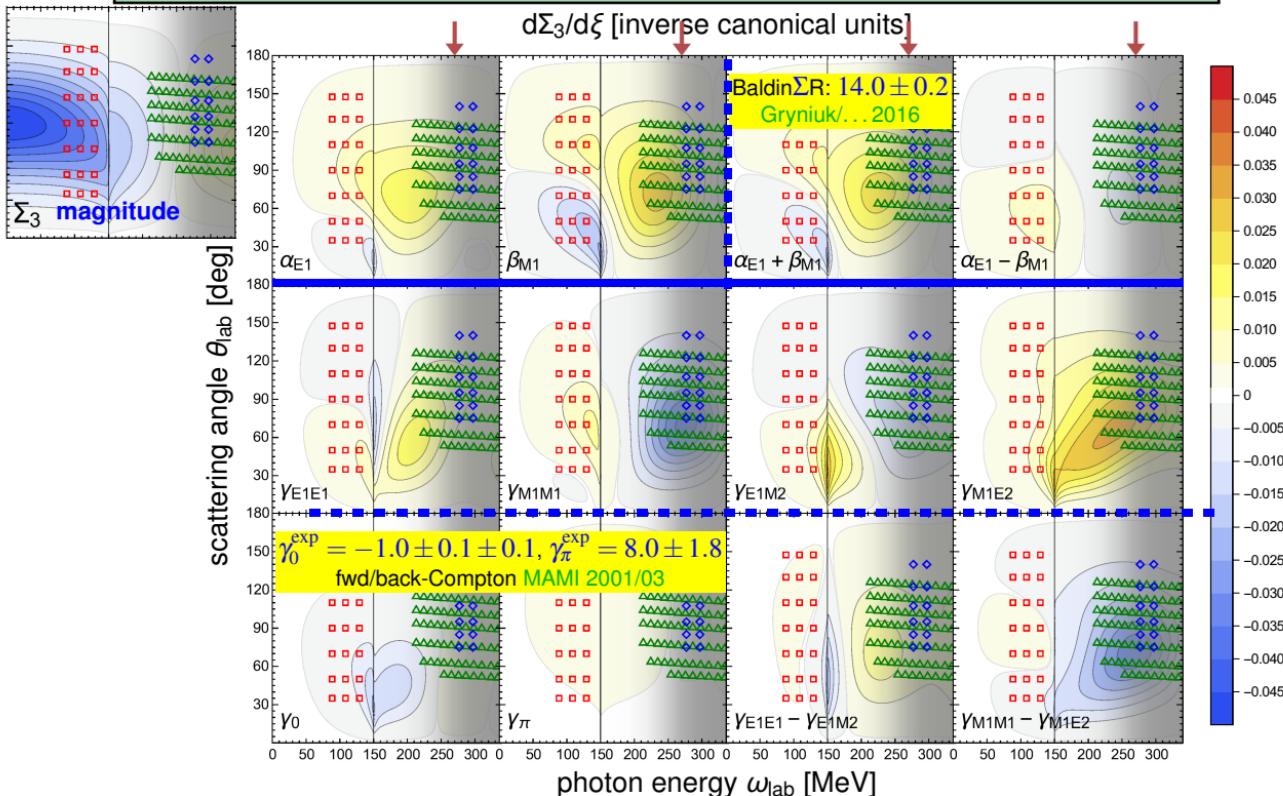
Fading Colours for $\omega \gtrsim 250$ MeV: breakdown of EFT expansion \Rightarrow prefer data below.

6 parameters, constraints on $\alpha_{E1} + \beta_{M1}, \gamma_0, \gamma_\pi, \dots \implies$ *Sensitivities to linear combinations.*



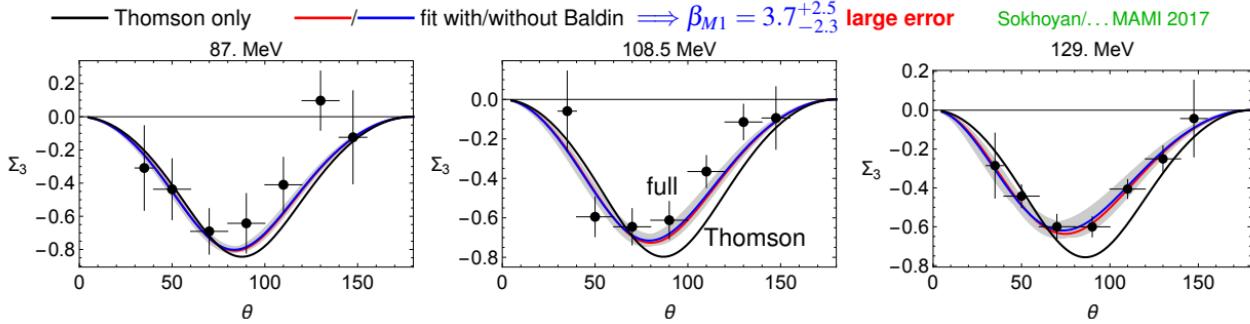
Fading Colours for $\omega \gtrsim 250$ MeV: breakdown of EFT expansion \Rightarrow prefer data below.

6 parameters, constraints on $\alpha_{F1} + \beta_{M1}$, γ_0 , $\gamma_\pi, \dots \implies$ Sensitivities to linear combinations.



Σ_3 for $\omega \lesssim 200$ MeV dominated by Thomson. \Rightarrow Theory check; polarisabilities need high accuracy.

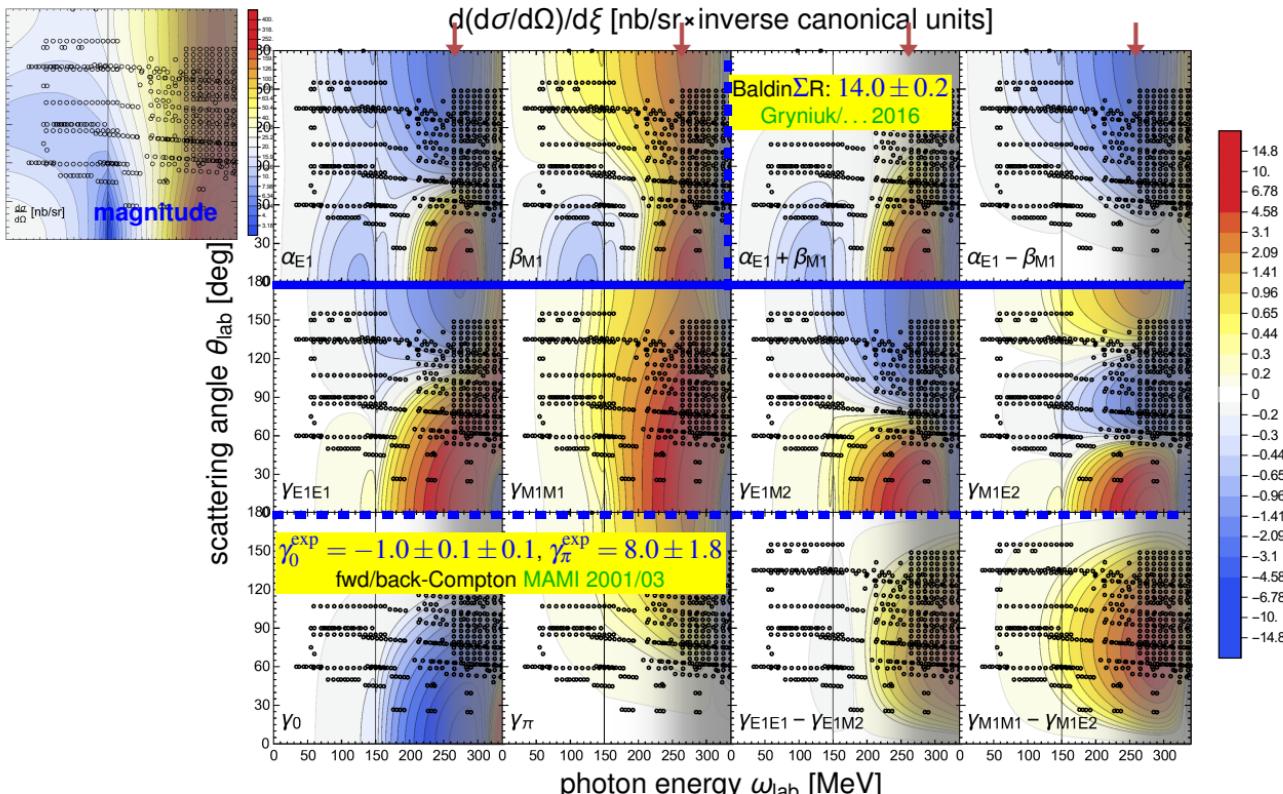
Rule of Thumb: Thomson dominates large asymmetries. Polarisabilities dominate small ones.



(j) Proton: Sensitivity of Unpolarised Cross Section

Fading Colours for $\omega \gtrsim 250$ MeV: breakdown of EFT expansion \Rightarrow prefer data below.

6 parameters, constraints on $\alpha_{F1} + \beta_{M1}, \gamma_0, \gamma_{\pi}, \dots \implies$ *Sensitivities to linear combinations.*



(j) Proton: Sensitivity of Unpolarised Cross Section

Fading Colours for $\omega \gtrsim 250$ MeV: breakdown of χ EFT expansion \implies prefer data below.

6 parameters, constraints on $\alpha_{E1} + \beta_{M1}$, γ_0 , $\gamma_\pi, \dots \implies$ *Sensitivities to linear combinations.*

