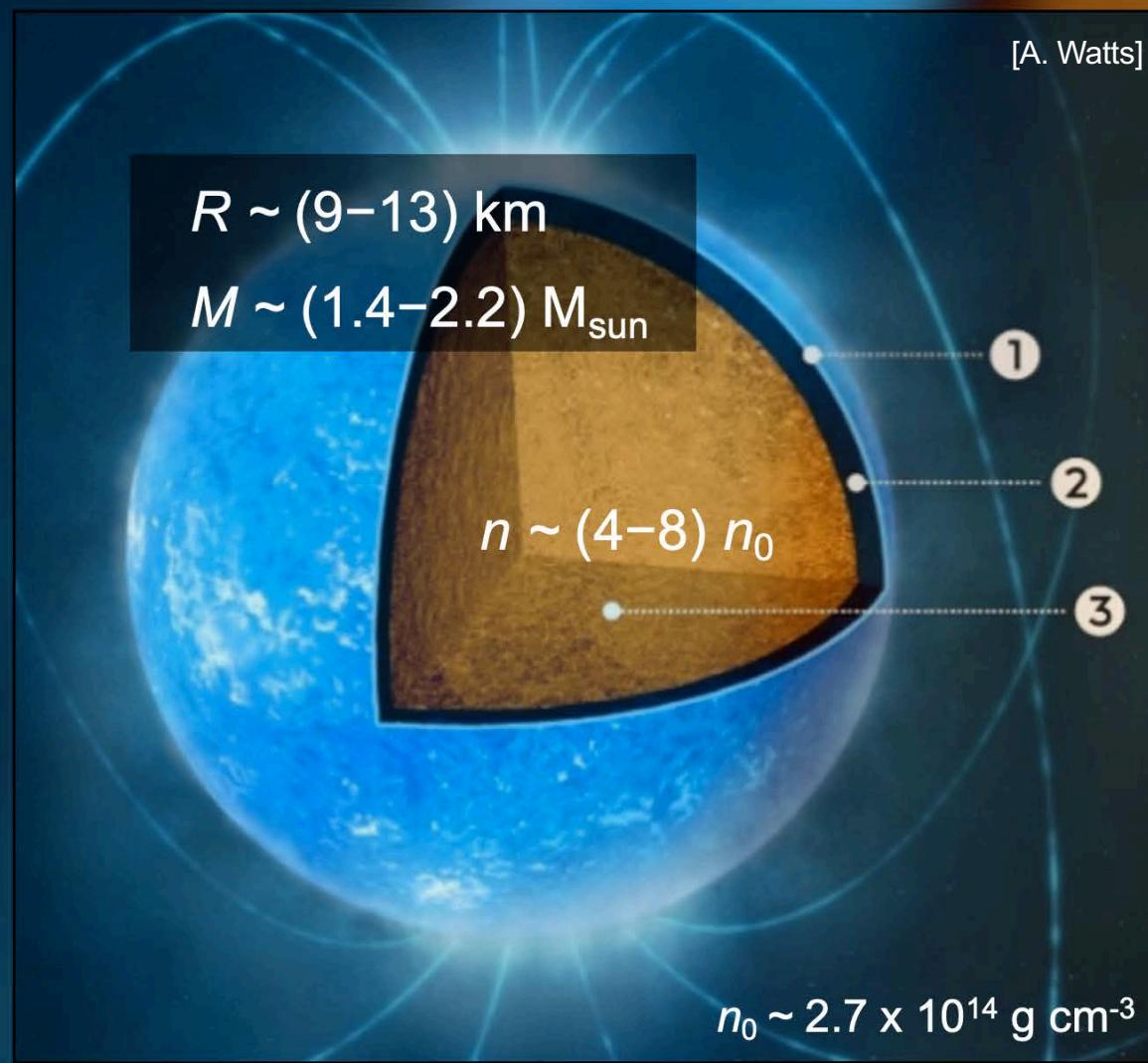


From chiral interactions to neutron stars and why EFT truncation errors matter

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Christian Drischler

April 6, 2021 | Physics Colloquium | Texas A&M University



Keywords:

- + Chiral EFT + MBPT
- + infinite nuclear matter
- + Bayesian UQ
- + symmetry energy
- + nuclear saturation
- + N^3LO NN + 3N forces
- + ...

From chiral interactions to neutron stars and why EFT truncation errors matter

Multimessenger astronomy

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ligo.caltech.edu



Binary neutron star merger
GW170817

- + Virgo
- + GEO600
- + KAGRA
- + ...

What is the secondary object
in GW190425 and GW190814



$$R_{1.4} \lesssim 13.6 \text{ km}$$
$$M_{\max} \lesssim 2.3 M_{\odot}$$

e.g., see:

Margalit, Metzger, APJ 850, 19
Rezzolla *et. al.*, APJ 852, L25
De *et al.*, PRL 121, 091102
Lim and Holt, EPJ A 55, 209
Capano *et al.*, NA 4, 625
Al-Mamun *et al.*, PRL 126, 061101
...

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Recent simultaneous M – R measurement

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NASA

$$R = 12.71^{+1.14}_{-1.19} \text{ km}$$

$$M = 1.34^{+0.15}_{-0.16} M_{\odot}$$

Riley *et al.*, APJL 887, L21

$$R = 13.02^{+1.24}_{-1.19} \text{ km}$$

$$M = 1.34^{+0.15}_{-0.14} M_{\odot}$$

Miller *et al.*, APJL 887, L24

PSR J0030+0451

NICER

- + STROBE-X
- + eXTP
- + ...

precise mass measurements

$$M_{\max} \gtrsim 2 M_{\odot}$$

Demorest *et al.*, Nature 467, 1081
Antoniadis *et al.*, Science 340, 6131
Cromartie *et al.*, NA 4, 72

see also:

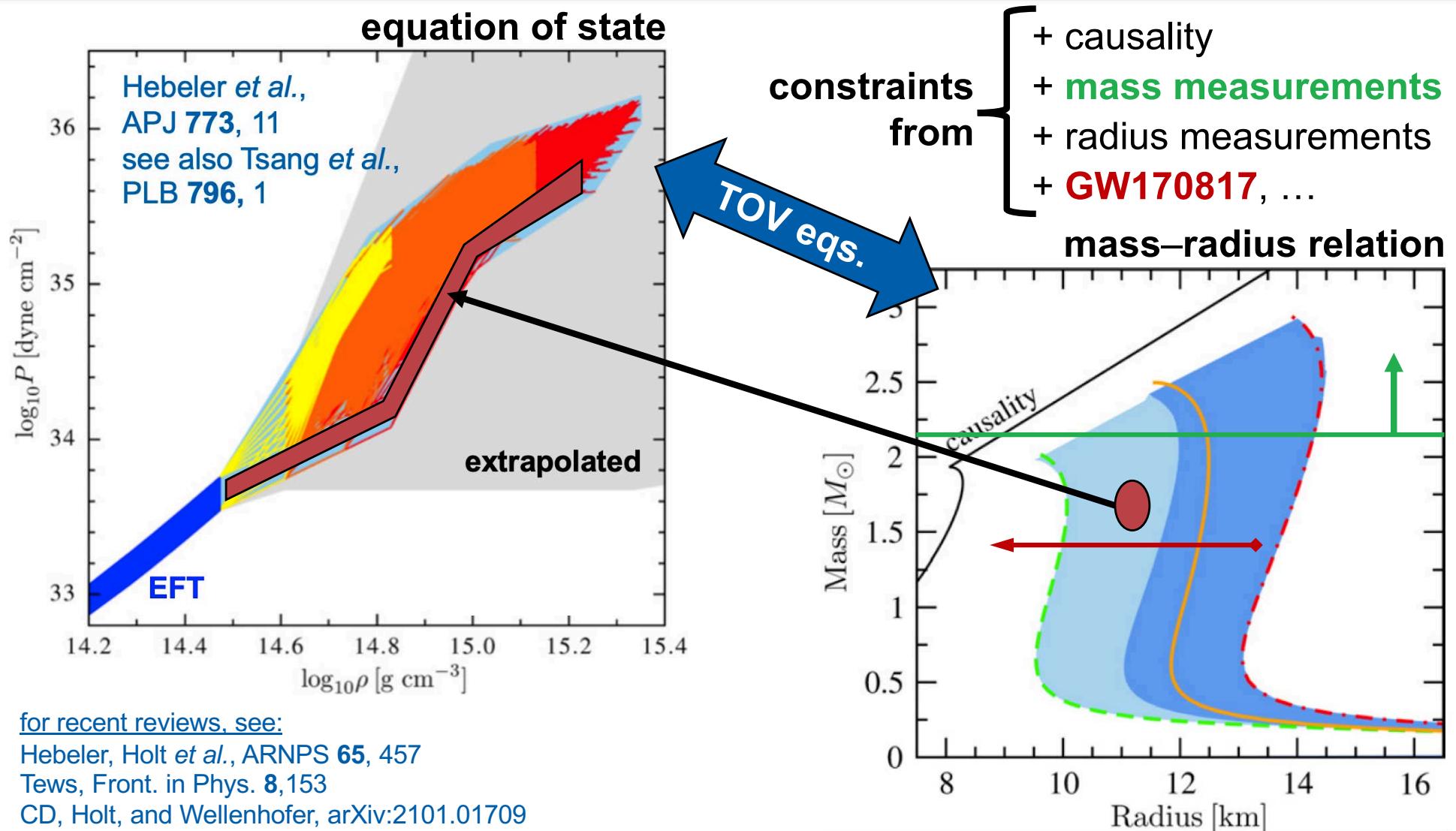
Raaijmakers *et al.*, APJL 887, L22
Bogdanov *et al.*, APJL 887, L25

...

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Direct correspondence: M – R relation and EOS



for recent reviews, see:

Hebeler, Holt *et al.*, ARNPS 65, 457

Tews, Front. in Phys. 8, 153

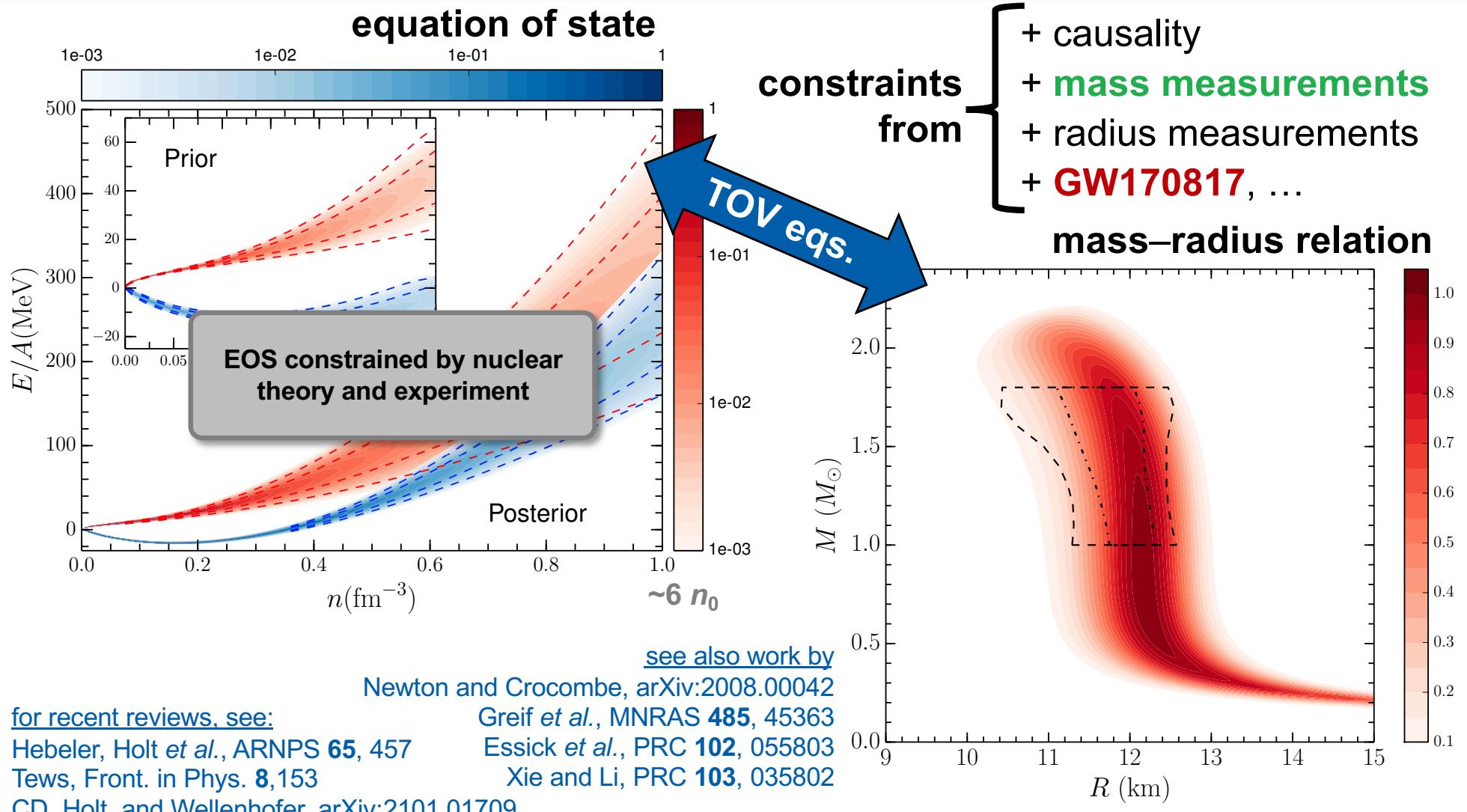
CD, Holt, and Wellenhofer, arXiv:2101.01709

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Bayesian modeling of the EOS

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Lim and Holt, PRL 121, 062701

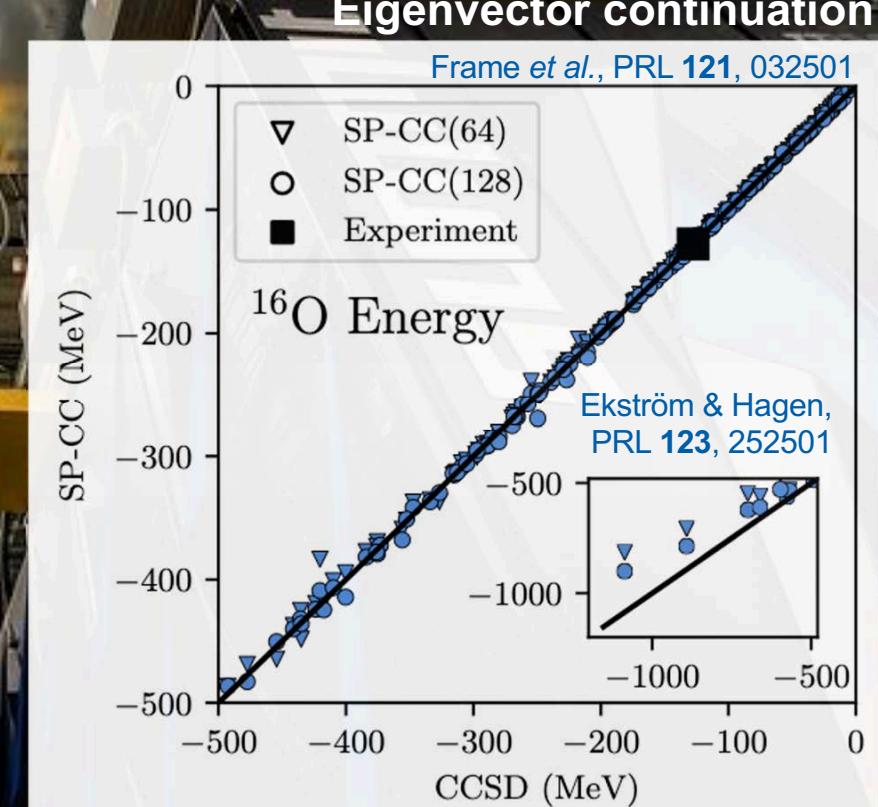
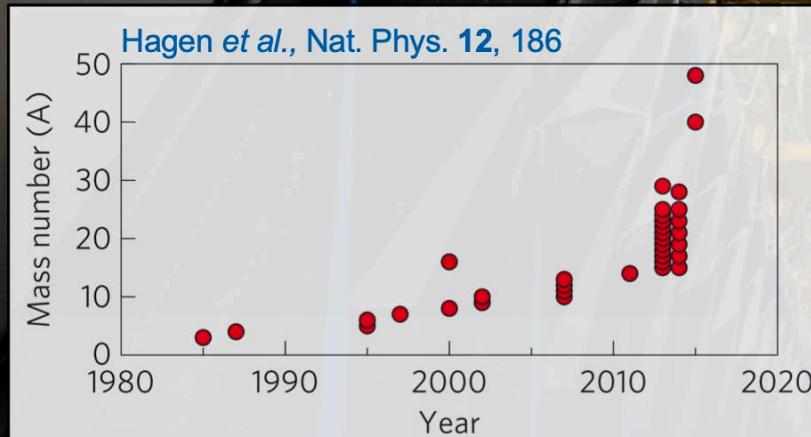


From chiral interactions to neutron stars and why EFT truncation errors matter

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High-performance computing

#2



Summit @ Oak Ridge

202 752 CPU Cores
27 648 Nvidia GPUs
122.3 peta flops

From chiral interactions to neutron stars and why EFT truncation errors matter

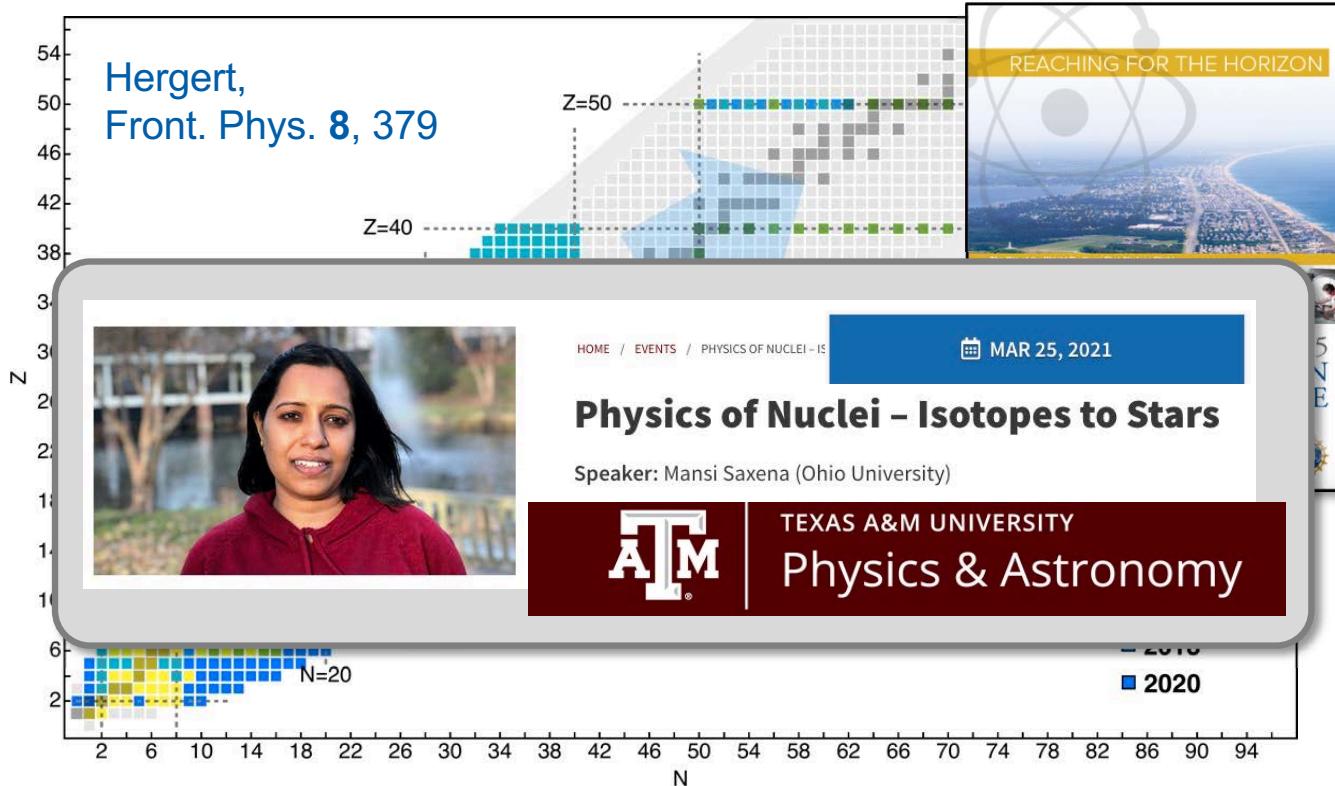
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CD, Haxton, McElvain *et al.*, arXiv:1910.07961 (PPNP in press)

How does the nuclear chart emerge from QCD?

Where do heavy elements come from?

How does subatomic matter organize itself?



observables

many-body framework

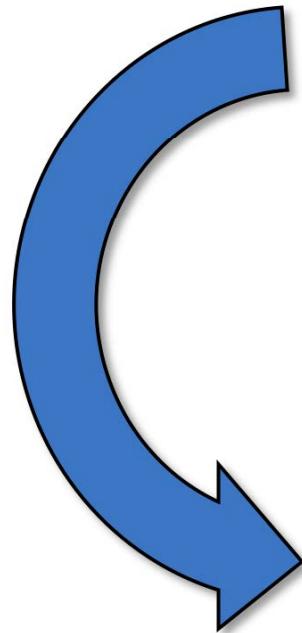
effective field theory

quantum chromodynamics

From chiral interactions to neutron stars and why EFT truncation errors matter

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CD, Haxton, McElvain *et al.*, arXiv:1910.07961 (PPNP in press)



equation of state

neutron-star matter | nuclear saturation

many-body perturbation theory

computational efficient
many-body uncertainty estimates

chiral effective field theory

systematic expansion of nuclear forces
truncation error estimates



NPLQCD

...

observables

many-body
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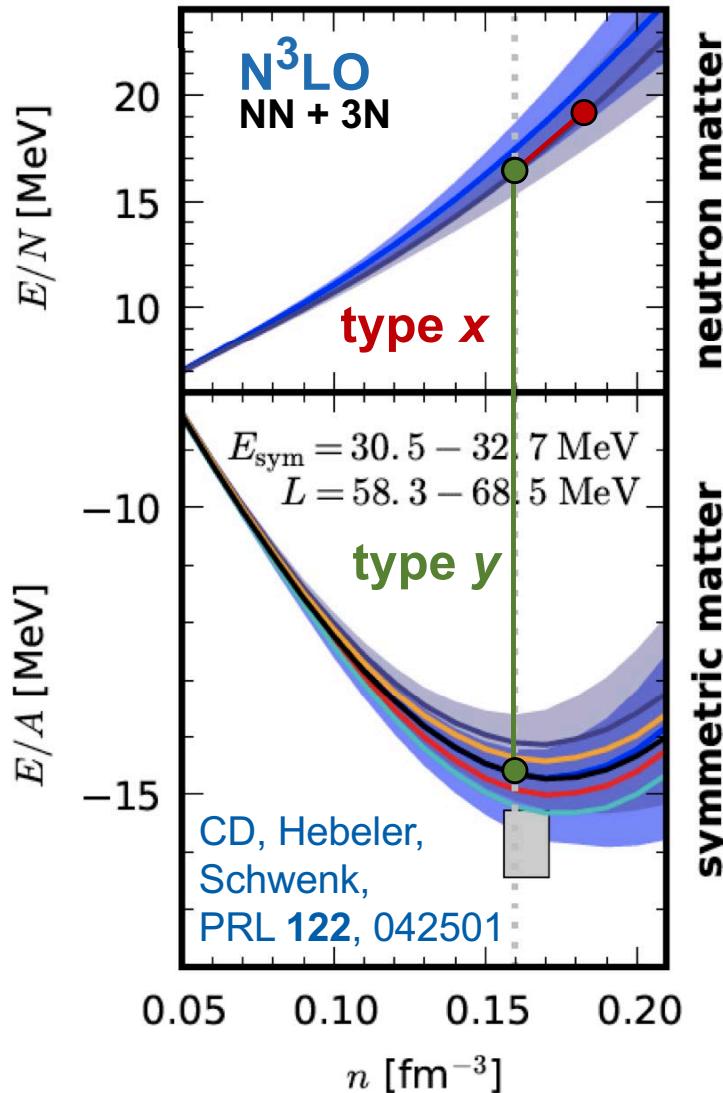
quantum
chromodynamics

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Nuclear matter calculations

e.g., Hebeler, Holt *et al.*, ARNP **65**, 457



great progress in predicting the EOS of infinite matter and the structure of **neutron stars** at densities $\lesssim n_0$

Hebeler, Lattimer *et al.*, APJ **773**, 11
Carbone, Rios *et al.*, PRC **88**, 044302

needed: *statistically robust comparisons* between nuclear theory and recent **observational constraints**

Lonardoni, Tews *et al.*, PRR **2**, 022033(R)
Piarulli, Bombaci *et al.*, PRC **101**, 045801

...

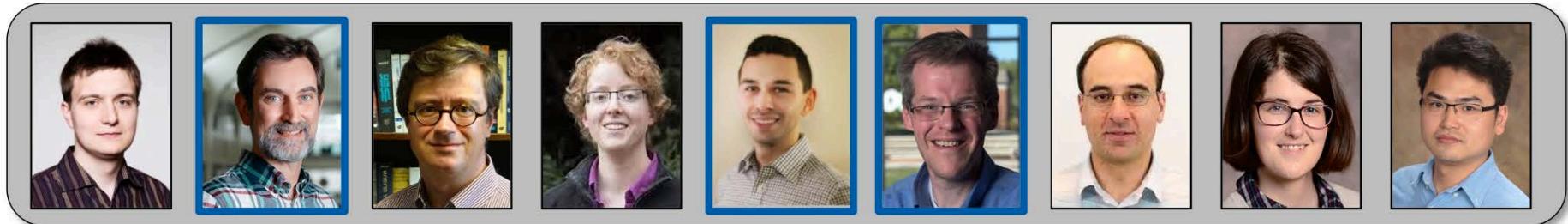
But: existing predictions **only** provided **rough estimates** for the with-density-growing **EFT truncation error**, and did *not* account for **correlations**

From chiral interactions to neutron stars and why EFT truncation errors matter

New framework for UQ of the infinite-matter EOS

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buqeye.github.io



CD, Furnstahl, Melendez, and Phillips

How we can use the theory of uncertainty quantification to propagate the uncertainty in the equations of state of neutron stars? ... allows us to...
...efficiently **quantify and propagate** theoretical **uncertainties** of the EOS (such as EFT truncation errors) to derived quantities

CD, M, F, M, P
Effect of EFT truncation errors on the properties of neutron stars
Infinite-matter EOS

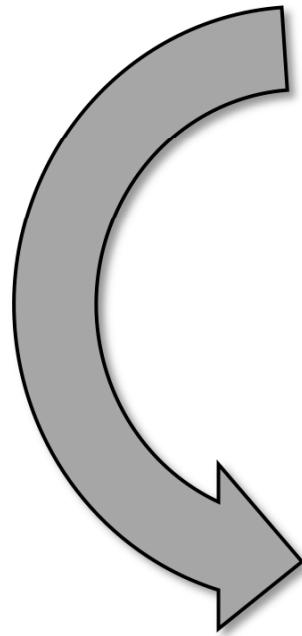
» *statistically robust uncertainty estimates*
for key quantities of **neutron stars**

available at
<https://buqeye.github.io>

From chiral interactions to neutron stars and why EFT truncation errors matter

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CD, Haxton, McElvain, Mereghetti *et al.*, arXiv:1910.07961



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NPLQCD

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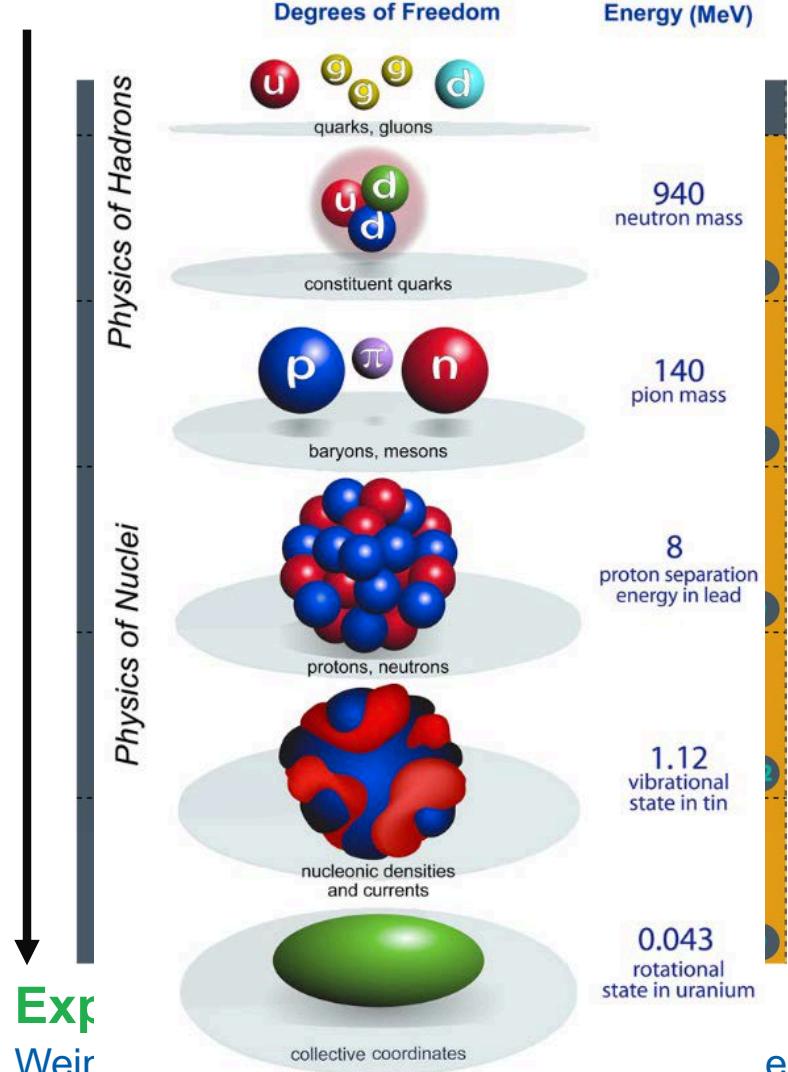
quantum
chromodynamics

From chiral interactions to neutron stars and why EFT truncation errors matter

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Hierarchy of nuclear forces in chiral EFT

e.g., Machleidt, Entem, Phys. Rep. 503, 1



modern approach to nuclear forces:

- QCD is nonperturbative at the low-energy scales of nuclear physics
- use relevant instead of the fundamental degrees of freedom: e.g., **nucleons** and **pions**
- **pion exchanges** and short-range **contact interactions** (\propto LECs)
- **systematic expansion** enables improvable **uncertainty estimates**

$$Q = \max \left(\frac{p}{\Lambda_b}, \frac{m_\pi}{\Lambda_b} \right) \geq \frac{1}{3}$$

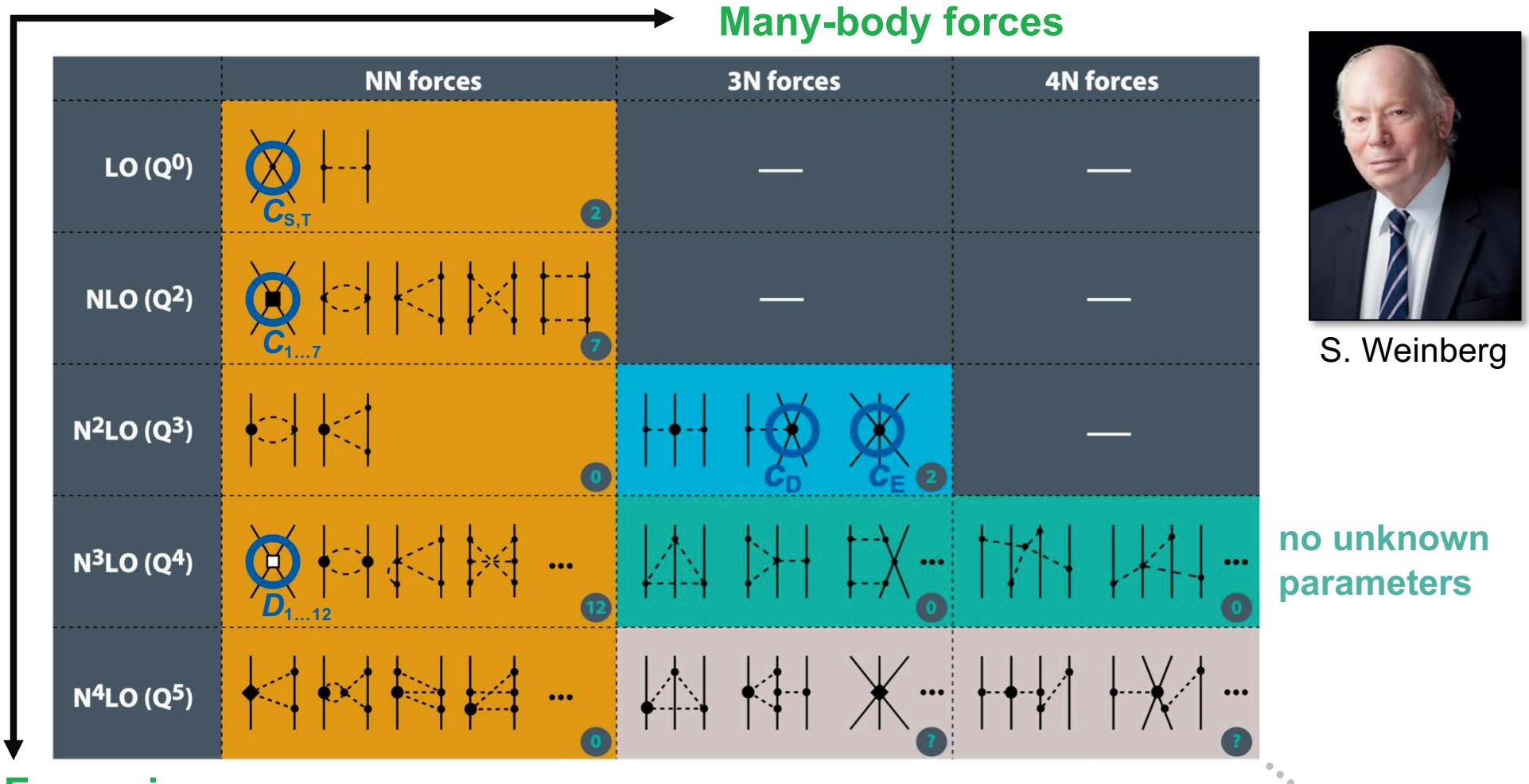
e, Epelbaum, Kaiser, Krebs, Machleidt, Meißner, ...

From chiral interactions to neutron stars and why EFT truncation errors matter

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Hierarchy of nuclear forces in chiral EFT

e.g., Machleidt, Entem, Phys. Rep. 503, 1



Expansion

Weinberg, van Kolck, Kaplan, Savage, Wise, Epelbaum, Kaiser, Krebs, Machleidt, Meißner, ...

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Many new potentials available!

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Hoppe, CD, Furnstahl *et al.*, PRC **96**, 054002

Semilocal momentum-space regularized chiral two-nucleon potentials

up to fifth

P. Reinert,^{1,*} H. Krebs,^{1,†}

¹ Institut für Theoretische Physik, Universität Regensburg

High-quality two-nucleon potentials up to fifth order of the chiral expansion

D. R. Entem,^{1,*} R. Machleidt,^{2,†} and Y. Nosyk²

¹ 32508 Salamanca, Spain
² 83844, USA

Uncertainty Analysis and Order-by-Order Optimization of Chiral Nuclear Interactions

B. D. Carlsson,^{1,*} A. Ekström,^{2,3,†} C. Forssén,^{1,2,3,‡} D. Fahlin Strömberg,¹ G. R. Jansen,^{3,4}
O. Lilja,¹ M. Lindby,¹ B. A. Mattsson,¹ and K. A. Wendt^{2,3}

¹ Depart

² Departmen

³ Phys

⁴ N

Minimally nonlocal nucleon-nucleon potentials with chiral two-pion exchange
including Δ resonances

M. Piarulli,¹ L. Girlanda,^{2,3} R. Schiavilla,^{1,4} R. Navarro Pérez,⁵ J. E. Amaro,⁵ and E. Ruiz Arriola⁵

¹ Virginia 23529, USA
² I-73100 Lecce, Italy

Δ isobars and nuclear saturation

A. Ekström,¹ G. Hagen,^{2,3} T. D. Morris,^{2,3} T. Papenbrock,^{2,3} and P. D. Schwartz^{2,3}

¹ 23606, USA

¹ Department of Physics, C

² Physics Division, Oak R

³ Department of Physics and A

Three-nucleon force in chiral EFT with explicit $\Delta(1232)$ degrees of freedom:
Longest-range contributions at fourth order

¹ de Granada,

H. Krebs,^{1,*} A. M. Gasparyan,^{1,2,†} and E. Epelbaum^{1,‡}

Local chiral effective field theory interactions and quantum Monte Carlo applications

A. Gezerlis,^{1,*} I. Tews,^{2,3,†} E. Epelbaum,^{4,‡} M. Freunek,⁴ S. Gandolfi,⁵ K. Hebeler,^{2,3} A. Nogga,⁶ and A. Schwenk^{2,3,§}

¹ Department of Physics, University of Guelph, Guelph, Ontario, Canada N1G 2W1

² Institut für Kernphysik, Technische Universität Darmstadt, 64289 Darmstadt, Germany

³ ExtreMe Matter Institute EMMI, GSI Helmholtzzentrum für Schwerionenforschung GmbH, 64291 Darmstadt, Germany

e.g., Carlsson, Ekström, Entem, Epelbaum, Forssén, Gezerlis, Krebs, Machleidt, Piarulli, Reinert, Tews

From chiral interactions to neutron stars and why EFT truncation errors matter

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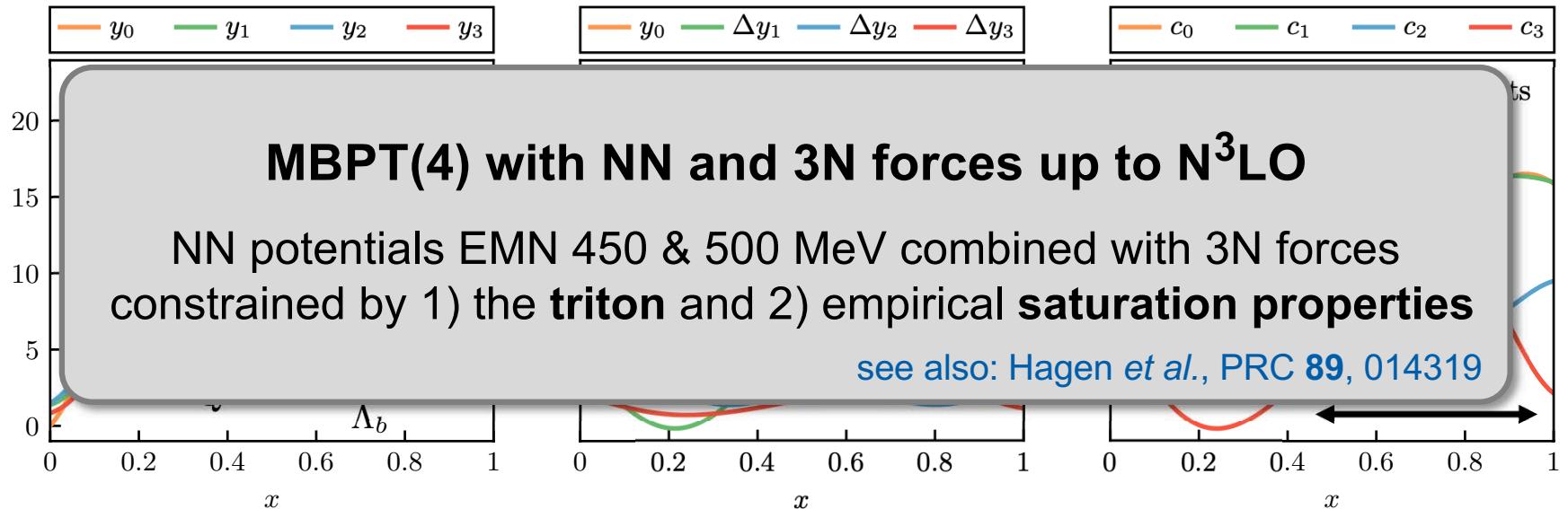
In a nutshell: EFT truncation-error model

Melendez, Furnstahl *et al.*, PRC 100, 044001

**predict observable y_k
order by order in EFT**

$$\Delta y_n = y_n - y_{n-1}$$

**treat all c_n as
independent draws from
a Gaussian Process**



$$y_k = y_{\text{ref}} \sum_{n=0}^k c_n Q^n$$

**infer EFT
truncation error**

$$\delta y_k = y_{\text{ref}} \sum_{n=k+1}^{\infty} c_n Q^n$$

Note: c_n are *not* the EFT's LEC

geometric sum

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Important physics questions

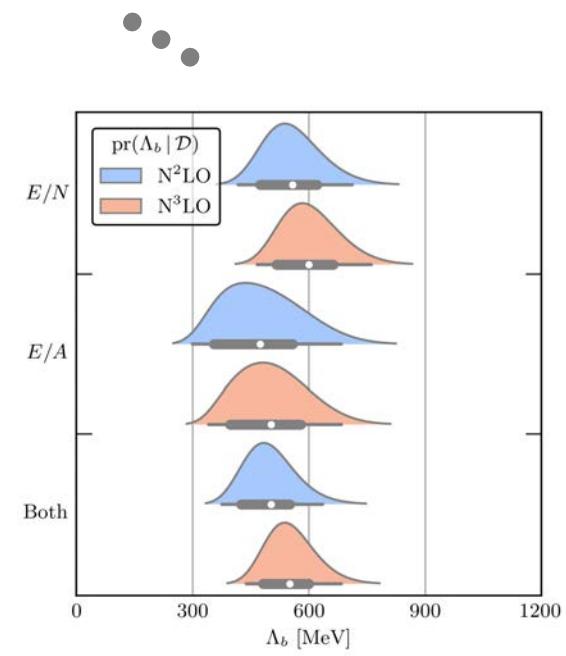
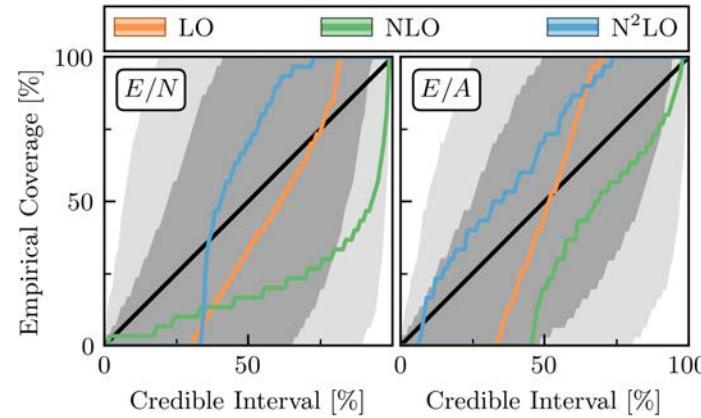
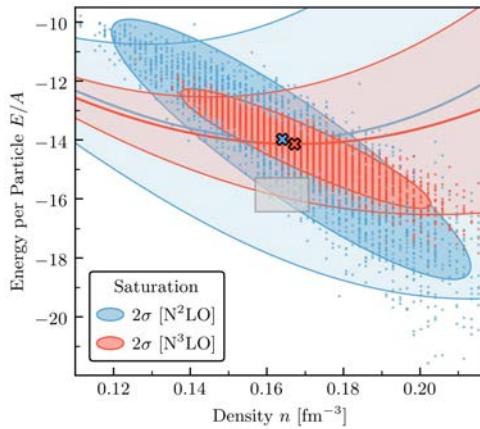
CD, Melendez *et al.*, PRC 102, 054315

Does chiral EFT perform as advertised in medium? If so, where does it break down? If not, how to identify a more efficient EFT?

How well can chiral EFT reproduce the *empirical* properties at the 1σ level? Can we trust the uncertainty estimates?

How predictive is chiral EFT at $\sim 2n_0$? And what are the astrophysical implications?

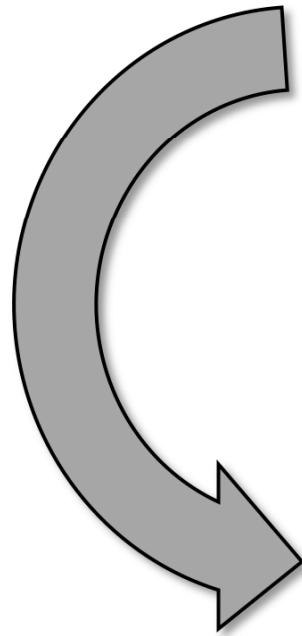
CD, Han, Lattimer, Prakash, Reddy, Zhao, arXiv:2009.06441



From chiral interactions to neutron stars and why EFT truncation errors matter

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CD, Haxton, McElvain, Mereghetti *et al.*, arXiv:1910.07961



equation of state
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NPLQCD

...

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**effective
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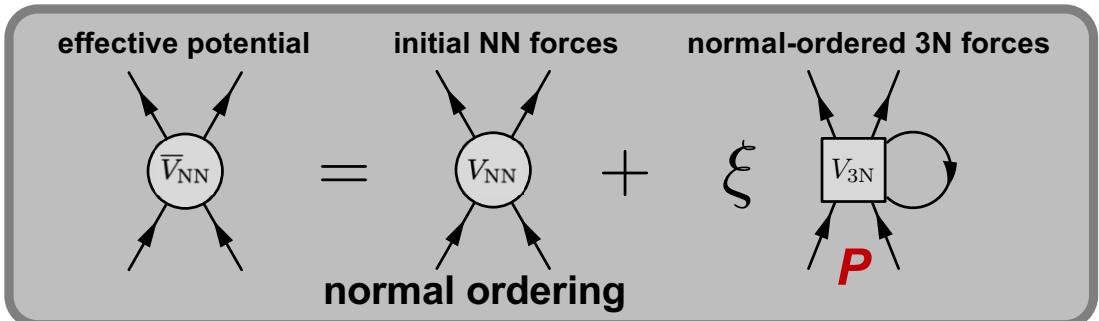
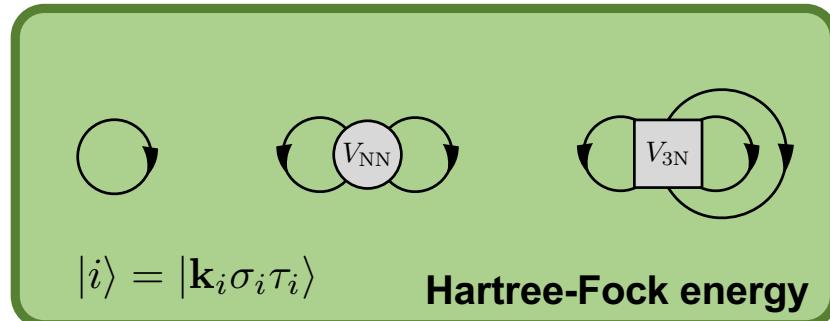
**quantum
chromodynamics**

From chiral interactions to neutron stars and why EFT truncation errors matter

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MBPT in a nutshell

CD, Holt, Wellenhofer, arXiv:2101.01709 (ARNPS in press)



\vdots

$$\frac{E^{(2)}}{V} = \frac{1}{4} \sum_{\substack{ij \\ ab}} \frac{\langle ij | \mathcal{A} \bar{V}_{NN} | ab \rangle \langle ab | \mathcal{A} \bar{V}_{NN} | ij \rangle}{\varepsilon_i + \varepsilon_j - \varepsilon_a - \varepsilon_b}$$

holes: i, j, k, \dots particles: a, b, c, \dots

second order



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MBPT in a nutshell

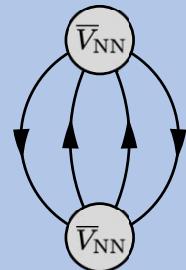
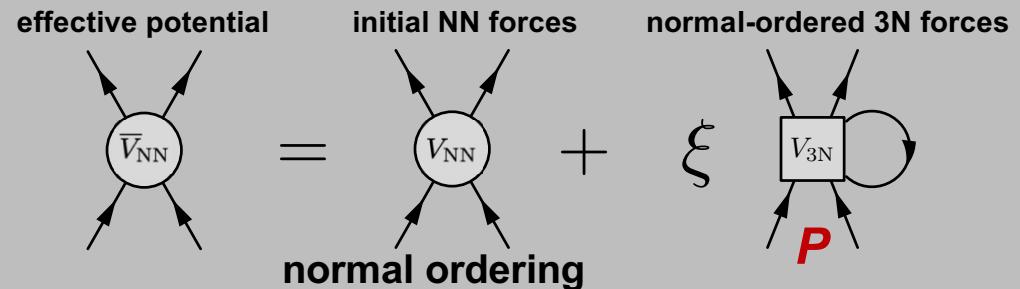
CD, Holt, Wellenhofer, arXiv:2101.01709 (ARNPS in press)

several methods with different approximations on P available

Holt, Kaiser, Weise, PRC 81, 024002

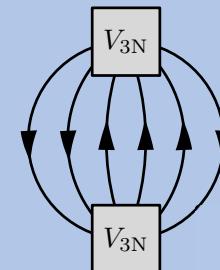
CD, Hebeler, Schwenk, PRC 93, 054314

Holt, Kawaguchi, Kaiser, Front. in Phys. 8

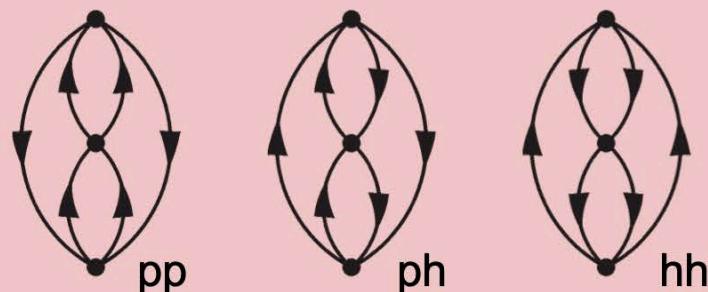


$$\frac{E^{(2)}}{V} = \frac{1}{4} \sum_{\substack{ij \\ ab}} \frac{\langle ij | \mathcal{A} \bar{V}_{NN} | ab \rangle \langle ab | \mathcal{A} \bar{V}_{NN} | ij \rangle}{\varepsilon_i + \varepsilon_j - \varepsilon_a - \varepsilon_b}$$

holes: i, j, k, \dots particles: a, b, c, \dots



residual 3N contribution
second order



third order: involved partial-wave decomposition

Coraggio, Holt *et al.*, PRC 89, 044321
Holt, Kaiser, PRC 95, 034326



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Efficient Monte Carlo framework

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CD, Hebeler, Schwenk, PRL 122, 042501

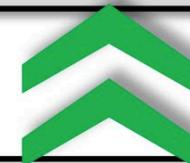


efficient evaluation of **MBPT diagrams**
with **NN**, **3N**, and **4N** forces (single-particle basis)

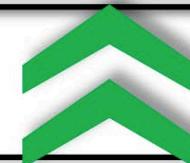
- **implementing diagrams** has become **straightforward** (incl. particle-hole terms)
- multi-dimensional momentum integrals:
(improved) VEGAS algorithm
- acceleration: openMP, MPI, and CUDA
- **controlled computation** of arbitrary interaction and many-body diagrams



**EOS up to
high orders**



**automatic code
generation**



**analytic form
of diagrams/forces**

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Significant challenges are past!

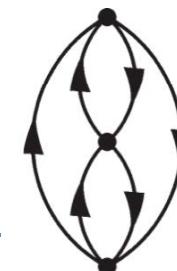
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CD, Hebeler, Schwenk, PRL 122, 042501



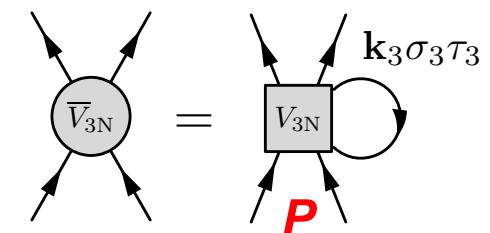
Higher orders: particle-hole contributions

Coraggio *et al.*, PRC 89, 044321; Holt, Kaiser, PRC 95, 034326, ...



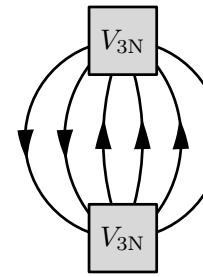
No approximations for 3N normal ordering

CD *et al.*, PRC 93, 054314; Holt *et al.*, PRC 81, 024002, ...



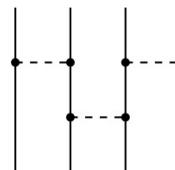
Include residual 3N diagram(s)

Hagen *et al.*, PRC 89, 014319; Kaiser, EPJA 48, 58, ...



Higher many-body forces

Epelbaum, PLB 639, 256, ...



application of a novel
Monte Carlo framework

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Number of diagrams in MBPT

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Stevenson, Int. J. Mod. Phys. C 14, 1135

The number of diagrams increases rapidly!

1, 3, 39, 840, 27 300, 1 232 280, ...

$n =$ 2 3 4 5 6 7

Integer sequence A064732:

Number of labeled Hugenholtz diagrams with n nodes.



**ADG: Automated generation and evaluation of
many-body diagrams I. Bogoliubov many-body
perturbation theory**

Pierre Arthuis, Thomas Duguet, Alexander Tichai, Raphaël-David Lasseri, Jean-Paul Ebran
Comput. Phys. **240**, 202

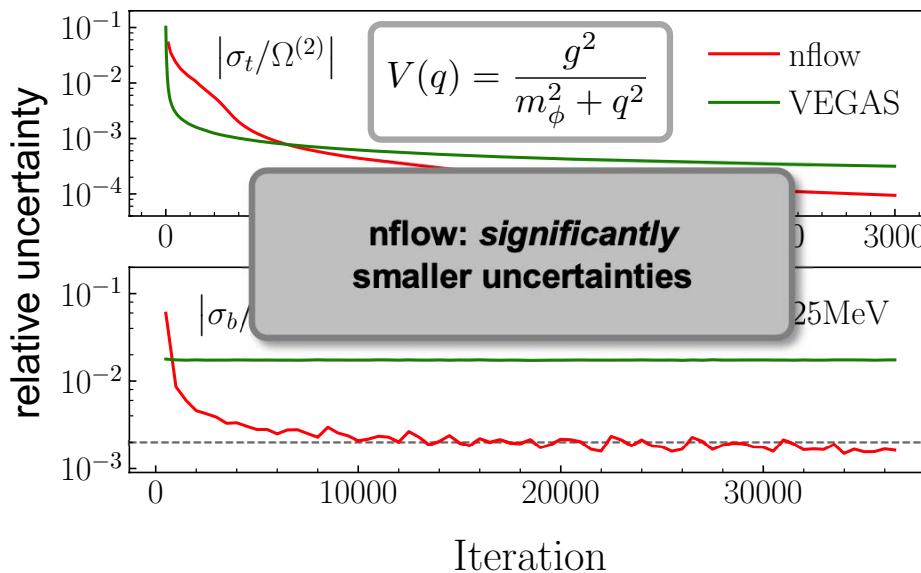
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Normalizing Flows

Brady, Wen, and Holt, arXiv:2102.02726

finite-temperature MBPT(2) for Ω



A **Normalizing Flow** is a transformation of a **simple probability distribution** into a **more complex distribution** by a sequence of invertible and differentiable mappings.

for a comprehensive review,
see Kobyzev *et al.*, arXiv:1908.09257

$$I = \int d\mathbf{x} f(\mathbf{x}) \approx \frac{1}{M} \sum_{i=1}^M \frac{f(\mathbf{x}_i)}{p(\mathbf{x}_i)}$$

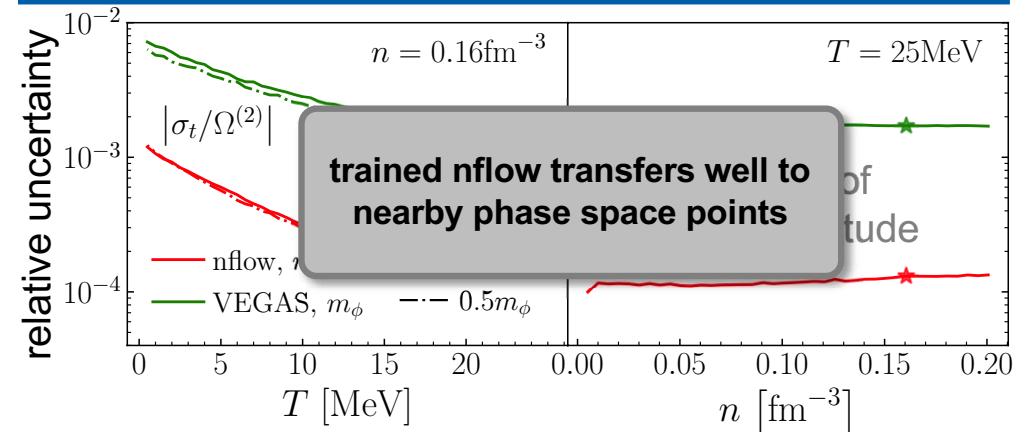
How to obtain ideal importance sampling ?

$$p(\mathbf{x}) = \frac{|f(\mathbf{x})|}{\int d\mathbf{x} |f(\mathbf{x})|}$$

for the original VEGAS algorithm,
see also Lepage, JCP **27**, 192

for applications in *lattice gauge theory*,
see Kanwar *et al.*, PRL **125**, 121601

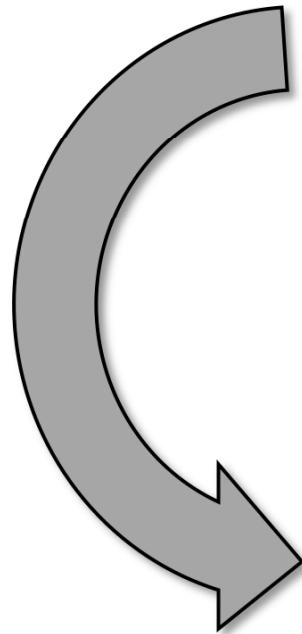
mapping the EOS(n, δ, T) efficiently



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CD, Haxton, McElvain, Mereghetti *et al.*, arXiv:1910.07961



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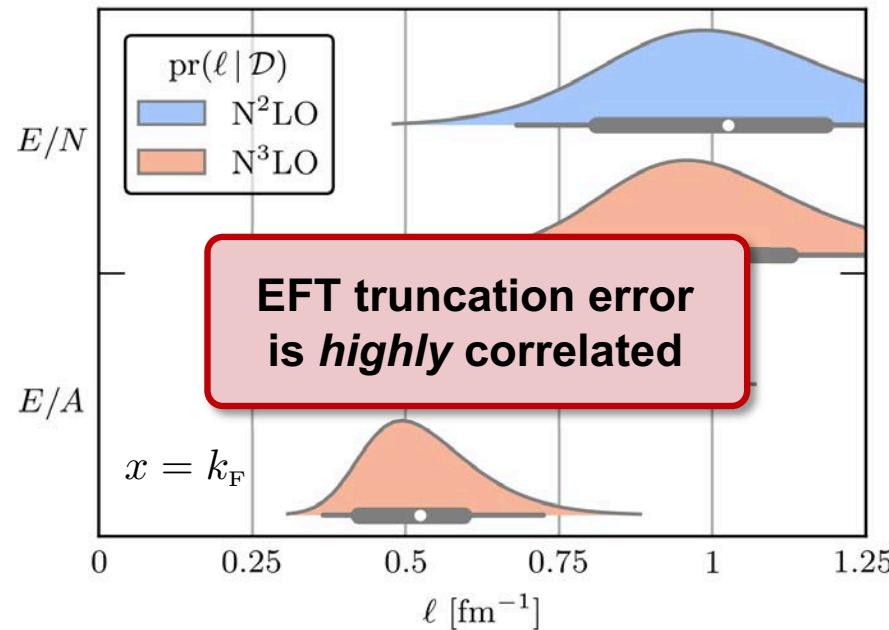
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Bayesian inference

CD, Melendez *et al.*, PRC 102, 054315

How correlated is nuclear matter ?

$\text{pr}(\ell | \mathcal{D})$
correlation length

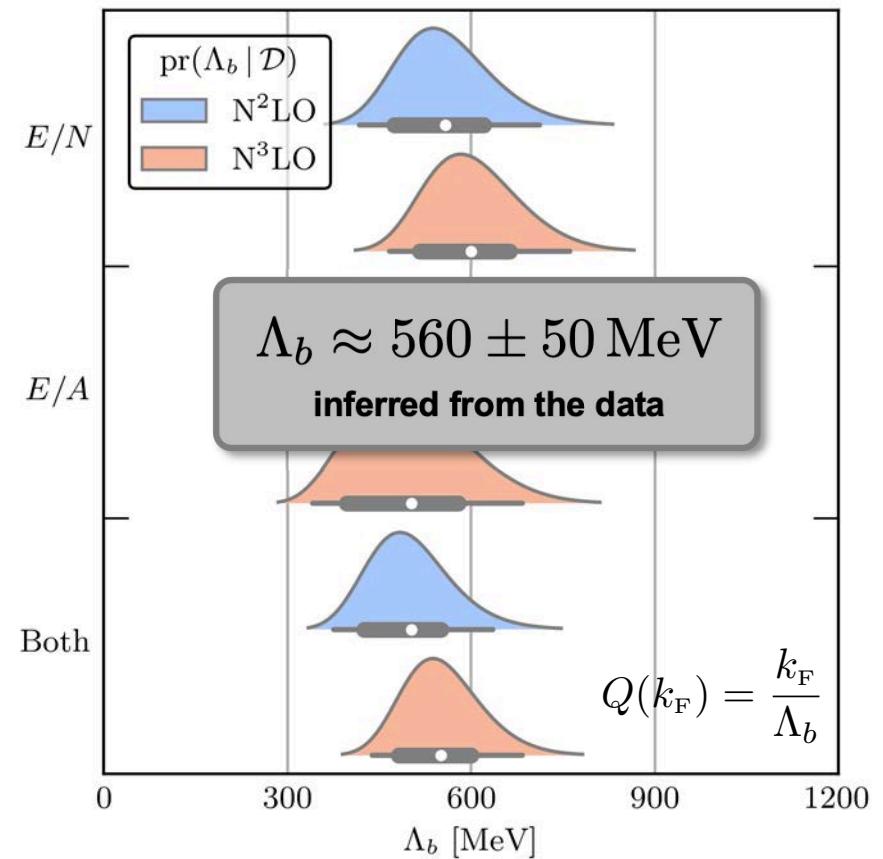


to be compared with

$$k_F^{\max} = \begin{cases} 2.2 \text{ fm}^{-1} & \text{PNM} \\ 1.7 \text{ fm}^{-1} & \text{SNM} \end{cases}$$

Where does the EFT break down ?

$\text{pr}(\Lambda_b | \mathcal{D})$
breakdown scale



From chiral interactions to neutron stars and why EFT truncation errors matter

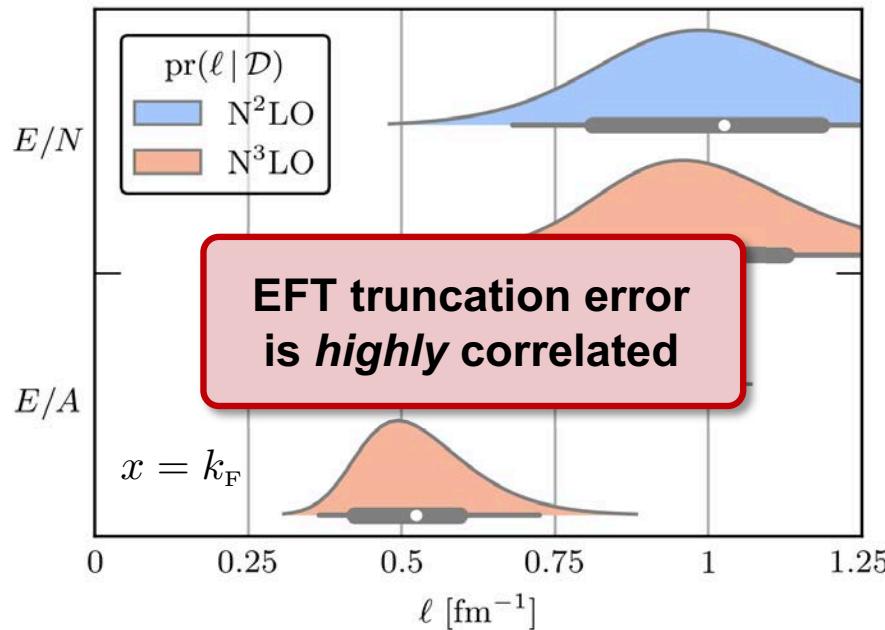
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Propagating type-x uncertainties

CD, Melendez *et al.*, PRC 102, 054315

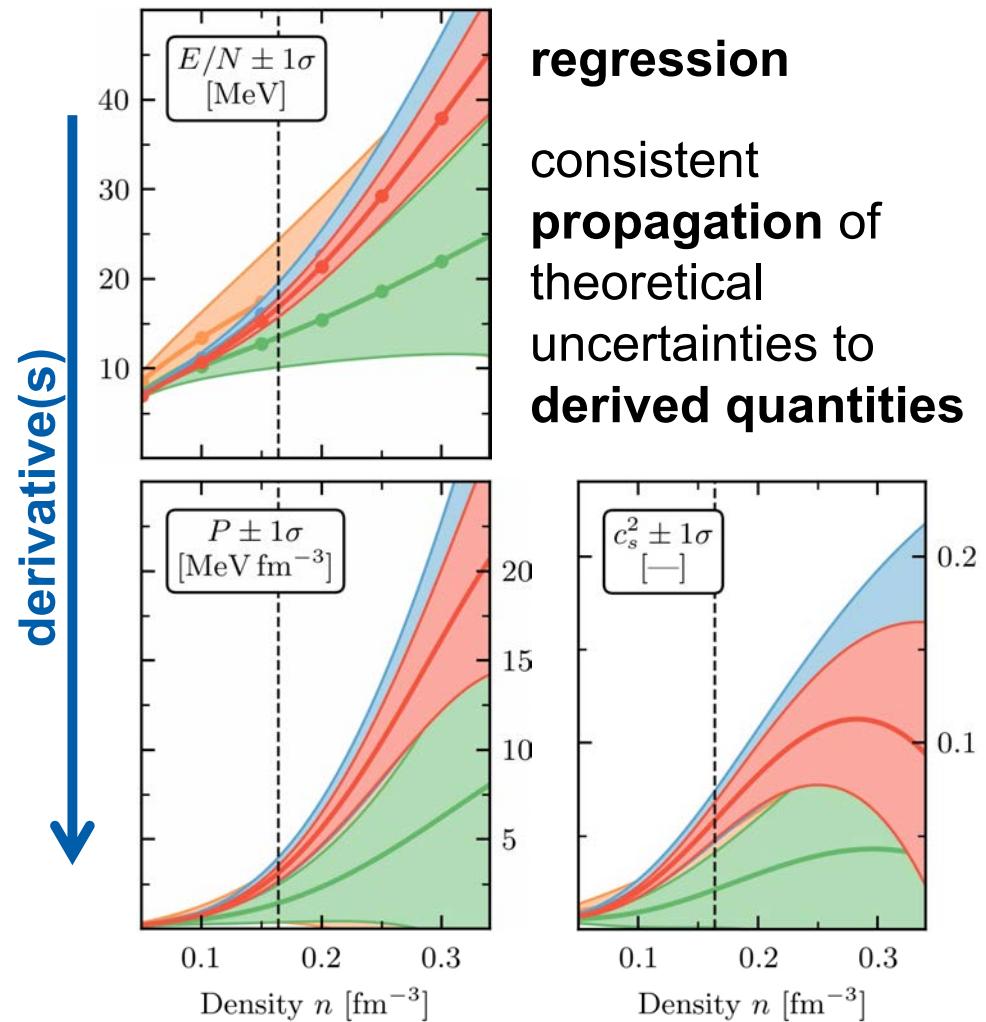
How correlated is nuclear matter ?

$\text{pr}(\ell | \mathcal{D})$
correlation length



to be compared with

$$k_F^{\max} = \begin{cases} 2.2 \text{ fm}^{-1} & \text{PNM} \\ 1.7 \text{ fm}^{-1} & \text{SNM} \end{cases}$$

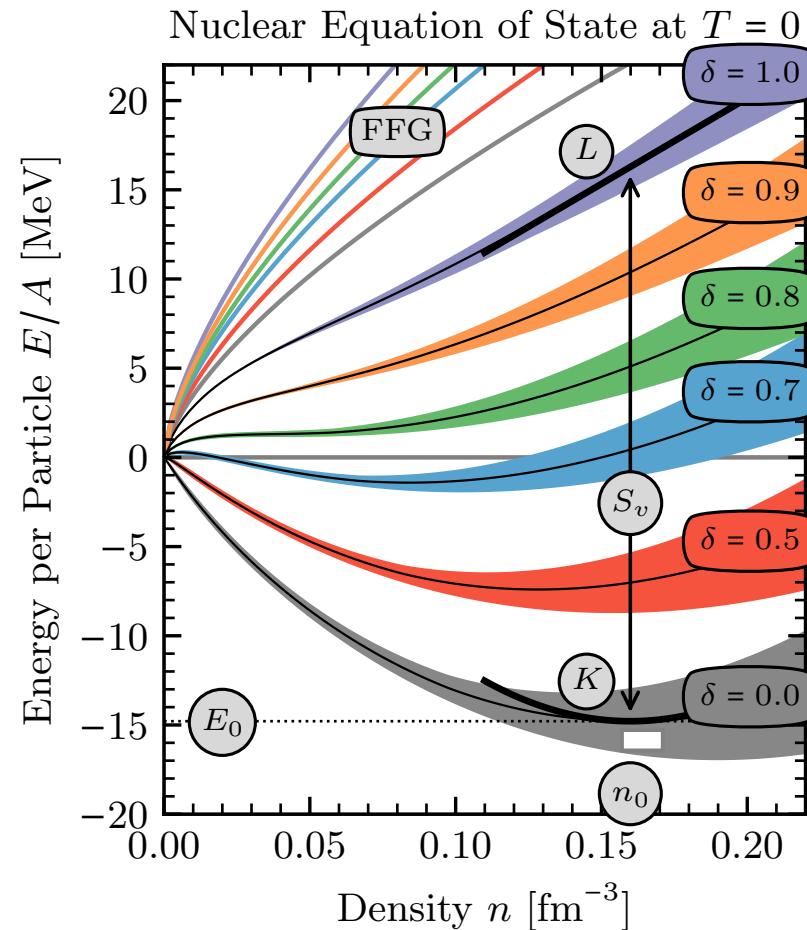


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Parameters of the low-density EOS

CD, Holt, and Wellenhofer, arXiv:2101.01709



FFG: free Fermi gas; $\delta = (n_n - n_p)/n$: isospin asymmetry

for nuclear saturation, see also Atkinson *et al.*, PRC **102**, 044333; Dewulf *et al.*, PRL **90**, 152501

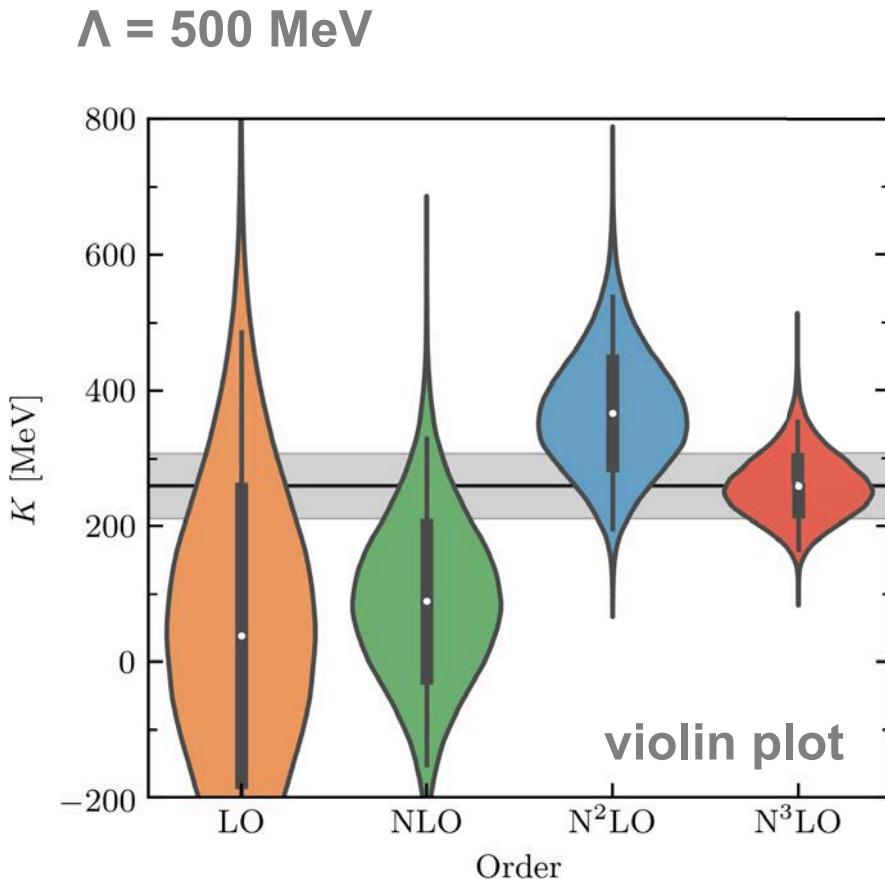
Annotations: (Λ / Λ_{3N}) in fm $^{-1}$ or (Λ) in MeV

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Incompressibility of SNM

CD, Melendez *et al.*, PRC 102, 054315



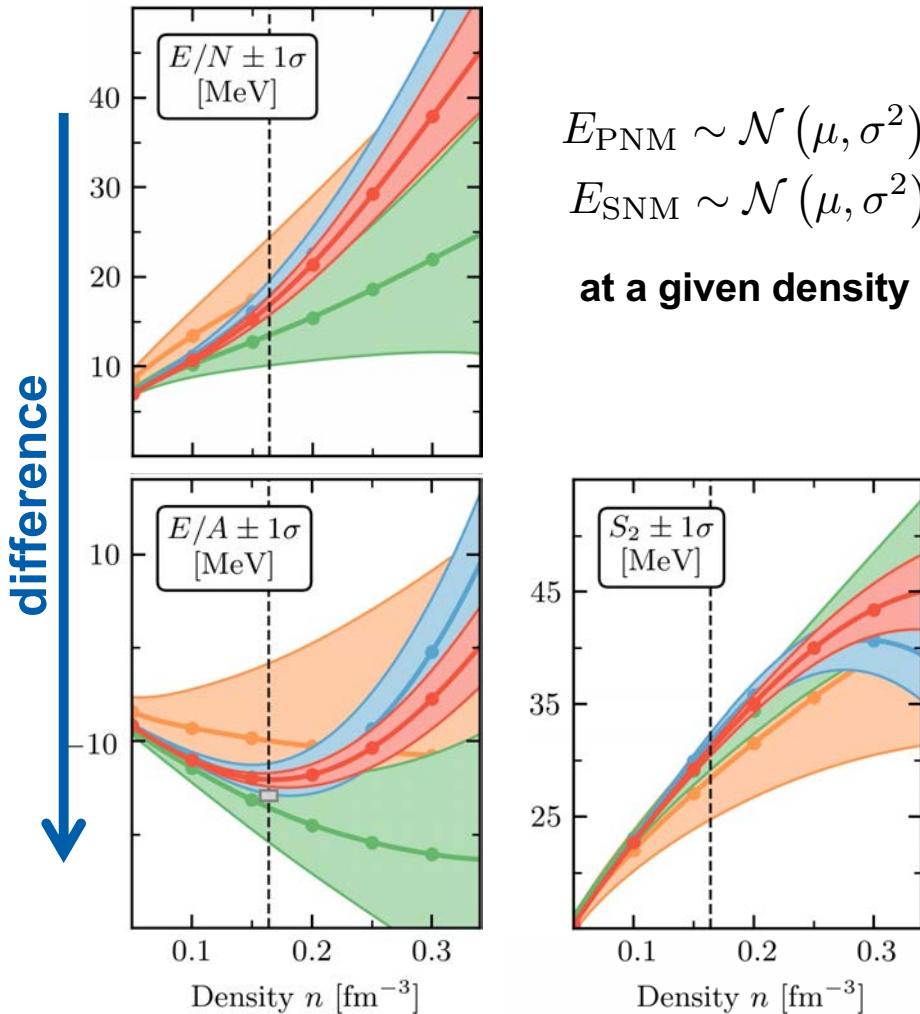
for connections to experiment, see, e.g.,
Roca-Maza and Paar, PPNP 101, 96, and
Bonasera, Shlomo *et al.*, NPA 1010, 122159

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Type-y: nuclear symmetry energy

CD, Furnstahl *et al.*, PRL 125, 202702



$$E_{\text{PNM}} \sim \mathcal{N}(\mu, \sigma^2)$$

$$E_{\text{SNM}} \sim \mathcal{N}(\mu, \sigma^2)$$

at a given density

$$S_2(n) \approx \frac{E}{N}(n) - \frac{E}{A}(n)$$

Reminder: Statistics 101

$$S_2 \sim \mathcal{N}(\mu_{S_2}, \sigma_{S_2}^2)$$

$$\mu_{S_2} = \mu_{\text{PNM}} - \mu_{\text{SNM}}$$

$$\sigma_{S_2}^2 = \sigma_{\text{PNM}}^2 + \sigma_{\text{SNM}}^2$$

$$- 2\sigma_{\text{PNM}}\sigma_{\text{SNM}}\rho$$

correlation coefficient $-1 \leq \rho \leq +1$

Can result in smaller uncertainties than one might *naively* expect.

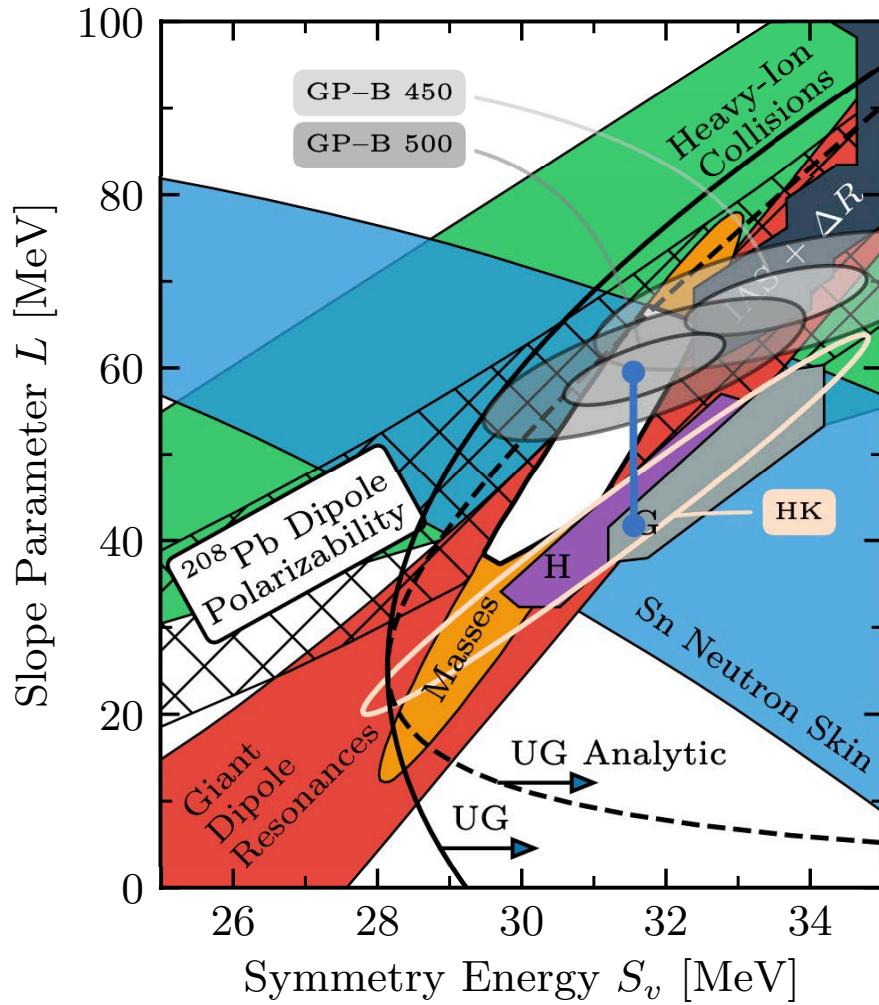
for $S_{2k>2}(n)$ see Wen & Holt, arXiv:2012.02163; Somasundaram, CD, Tews *et al.*, arXiv:2009.04737 (PRC)

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S_v – L correlation (as compiled by Lattimer *et al.*)

CD, Furnstahl *et al.*, PRL 125, 202702



$$S_2(n) \equiv S_v + \frac{L}{3} \left(\frac{n - n_0}{n_0} \right) + \dots$$

! **Excellent agreement with experiment**
Lattimer and Lim, APJ 771, 51

$$\text{pr}(S_v, L | \mathcal{D}) = \int dn_0 \text{pr}(S_2, L | n_0, \mathcal{D}) \text{pr}(n_0 | \mathcal{D})$$

$$\text{pr}(n_0 | \mathcal{D}) \approx 0.17 \pm 0.01 \text{ fm}^{-3}$$

2σ ellipse (light yellow) is completely within the *conjectured* unitary gas limit
predicted range in S_v **agrees** with other **theoretical constraints**; but ~ 15 MeV stronger density-dependence of $S_2(n_0)$

GP–B (500): two-dimensional Gaussian

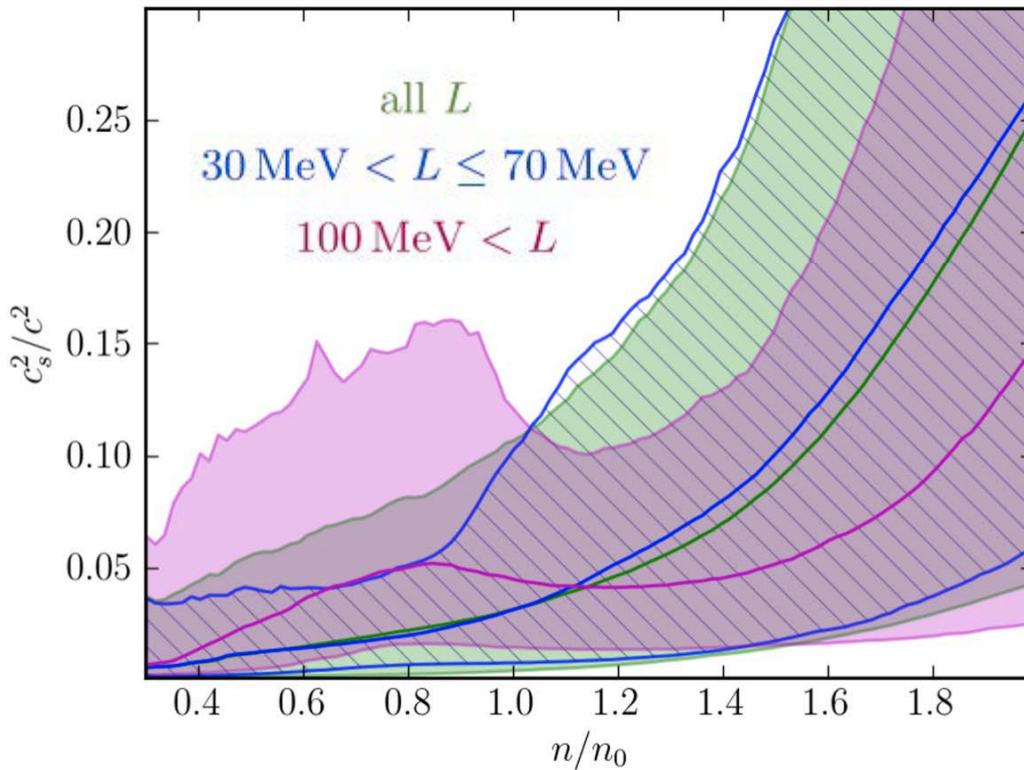
$$\begin{bmatrix} \mu_{S_v} \\ \mu_L \end{bmatrix} = \begin{bmatrix} 31.7 \\ 59.8 \end{bmatrix} \quad \Sigma = \begin{bmatrix} 1.11^2 & 3.27 \\ 3.27 & 4.12^2 \end{bmatrix}$$

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PREX-II vs theory and observation

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see also Yue *et al.*, arXiv:2102.05267



PREX-II:

- **uncertainties are still large**
- **extracted R_{skin} (and L) consistent with joint posterior (1 σ level) but overall allows for stiffer EOS at $\sim n_0$**

Parity violating elastic e scattering

$$R_{\text{skin}} ({}^{208}\text{Pb}) = 0.283 \pm 0.071 \text{ fm}$$

PREX collaboration, arXiv:2102.10767

Exploiting strong correlations (EDFs)

$$S_v = 38.1 \pm 4.7 \text{ MeV}$$

$$L = 105.9 \pm 36.9 \text{ MeV}$$

Reed *et al.*, arXiv:2101.03193

Astron. data + chiral EFT only (incl. GP-B)

$$R ({}^{208}\text{Pb}) = 0.18^{+0.04}_{-0.04} \text{ fm}$$

$$S_v = 34^{+3}_{-2} \text{ MeV} \quad L = 52^{+20}_{-18} \text{ MeV}$$

Essick *et al.*, arXiv:2102.10074

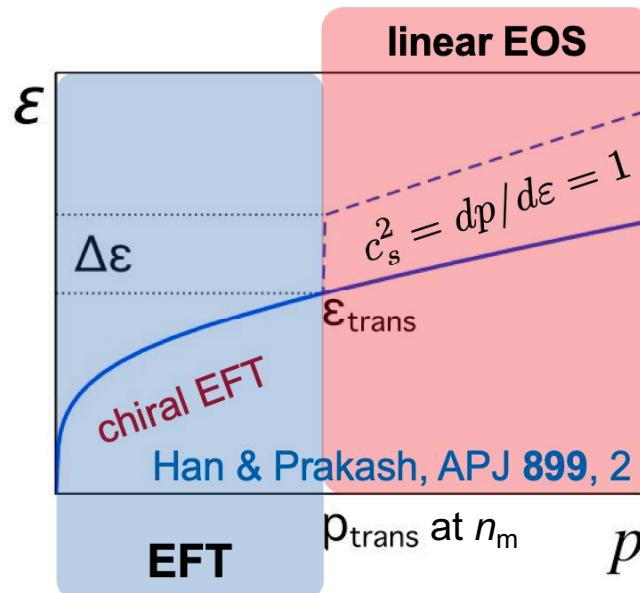
$$\begin{bmatrix} \mu_{S_v} \\ \mu_L \end{bmatrix} = \begin{bmatrix} 31.7 \\ 59.8 \end{bmatrix} \quad \Sigma = \begin{bmatrix} 1.11^2 & 3.27 \\ 3.27 & 4.12^2 \end{bmatrix}$$

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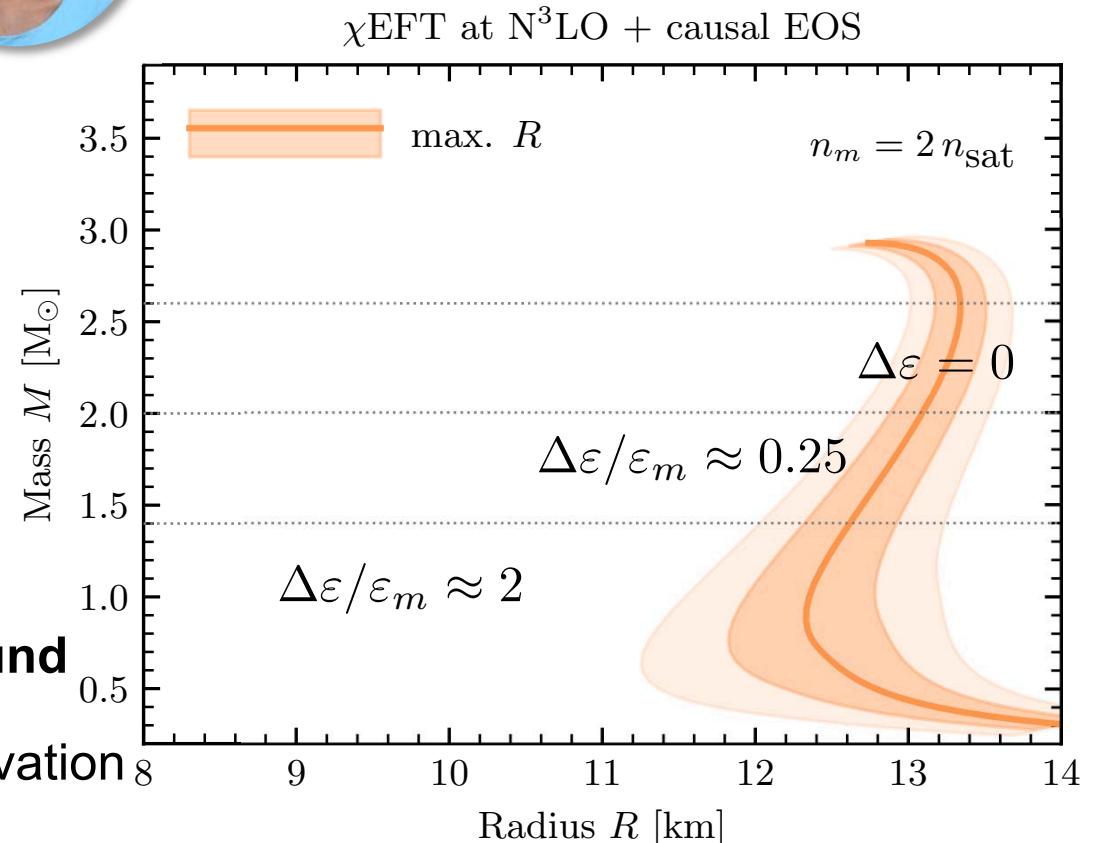
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Limiting neutron star radii

CD, Han, Lattimer *et al.*, arXiv:2009.06441



extend EFT EOS at n_m to linear EoS with finite discontinuity (softening)



see also: Alford *et al.*, JPG: NPP 46, 114001

$\Delta\epsilon$ anticorrelates with M_{\max} and R

continuous match sets upper bound

use lower limit on M_{\max} from observation to adjust $\Delta\epsilon$ and constrain R_{\min}

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Summary and outlook

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buqeye.github.io

1

set a new standard for UQ in infinite-matter calculations

- correlations within *and* between observables are crucial for reliable UQ
- need for *statistically* robust comparisons between theory, observation, and experiment
- efficiently quantify and propagate EOS uncertainties to derived quantities

2

statistically robust analysis of the EOS up to N^3LO

- excellent agreement of predicted $S_v - L$ correlation with experiment
- PNM and SNM show a regular EFT convergence pattern with increasing order
- extracted Λ_b is consistent with NN scattering • N^2LO coefficient may be an outlier

3

improved NN+3N potentials up to N^3LO are needed

- Hüther *et al.*, PLB 808, 135651; Hoppe *et al.*, PRC 100, 024318; ...

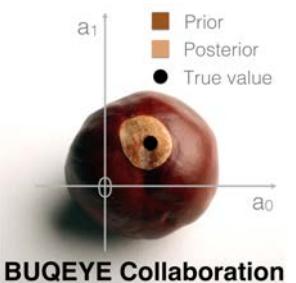
4

full Bayesian UQ: MCMC for LECs & hyperparameters

- consistently include uncertainties in the LECs of chiral interactions
- compute nuclear saturation properties using Bayesian optimization

thanks to my collaborators:

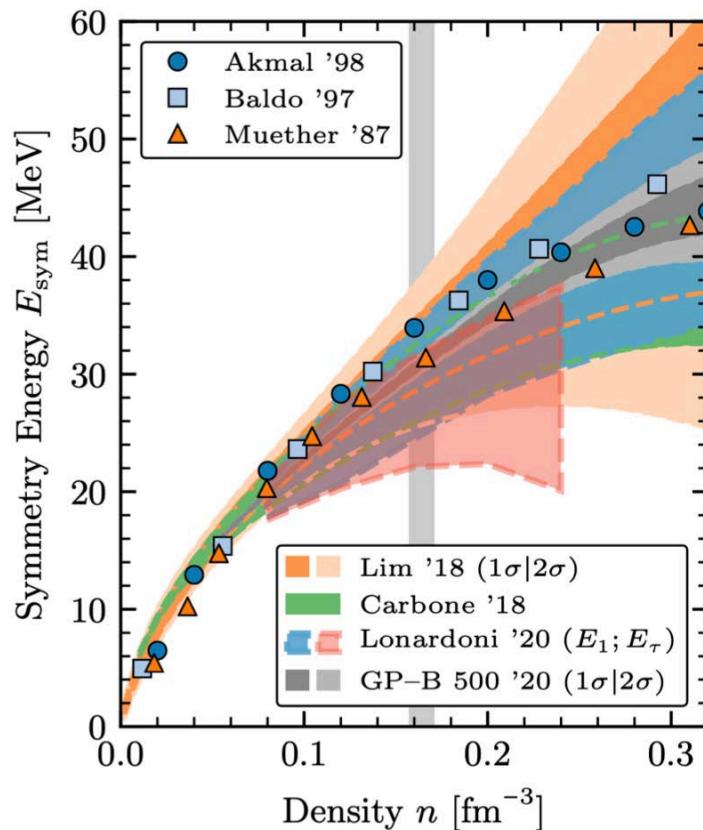
R. Furnstahl J. Melendez K. McElvain D. Phillips
S. Han J. Lattimer M. Prakash S. Reddy T. Zhao



From chiral interactions to neutron stars and why EFT truncation errors matter

Recent review article

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Chiral Effective Field Theory and the High-Density Nuclear Equation of State

C. Drischler,^{1,2,3} J. W. Holt,⁴ and C. Wellenhofer,^{5,6}

¹Department of Physics, University of California, Berkeley, California 94720, USA

²Nuclear Science Division, Lawrence Berkeley National Laboratory, Berkeley, California 94720, USA

³Facility for Rare Isotope Beams, Michigan State University, Michigan 48824, USA; email: drischler@frib.msu.edu

⁴Cyclotron Institute and Department of Physics and Astronomy, Texas A&M University, College Station, Texas 77843, USA; email: holt@physics.tamu.edu

⁵Institut für Kernphysik, Technische Universität Darmstadt, 64289 Darmstadt, Germany; email: wellenhofer@theorie.ikp.physik.tu-darmstadt.de

⁶ExtreMe Matter Institute EMMI, GSI Helmholtzzentrum für Schwerionenforschung GmbH, 64291 Darmstadt, Germany

arXiv:2101.01709

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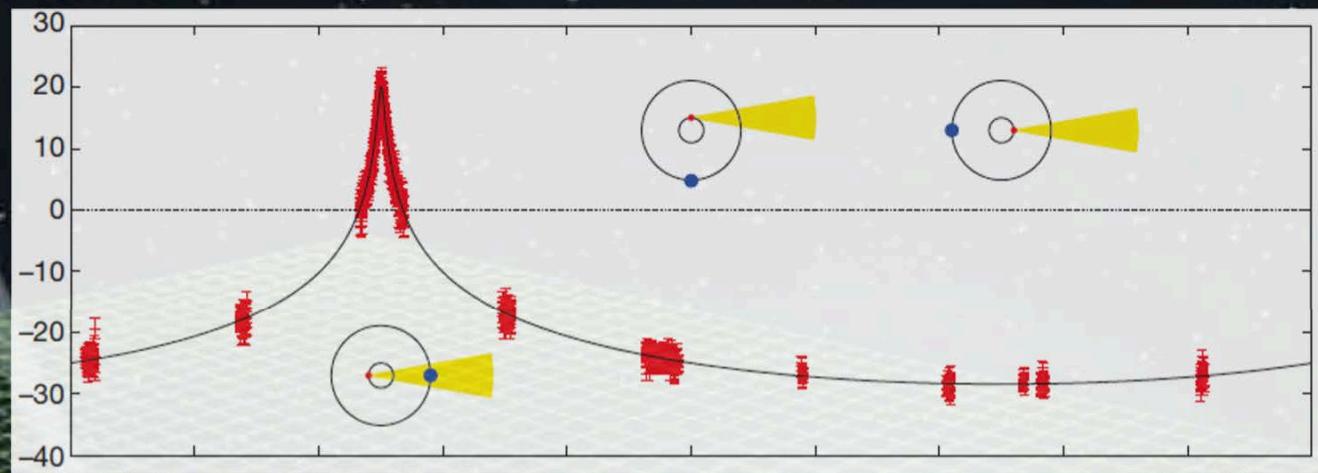
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Annu. Rev. Nucl. Part. Sci. in press.

Keywords

chiral effective field theory, nuclear matter, neutron stars, many-body perturbation theory, bayesian uncertainty quantification

Abstract



precise mass measurements

$$M_{\max} \gtrsim 2 M_{\odot}$$

Demorest *et al.*, Nature 467, 1081
Antoniadis *et al.*, Science 340, 6131
Cromartie *et al.*, NA 4, 72

Shapiro delay