

06/05/25

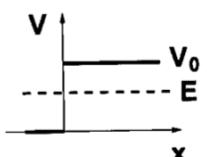
## Lecture-31

27/11/20

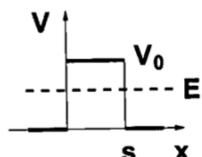
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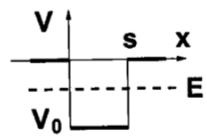
### Piece wise constant functions



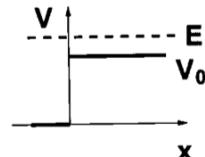
STEP



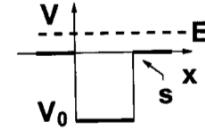
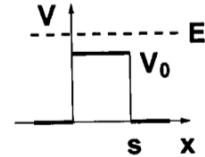
BARRIER



WELL



T. 14.1: Gradino, barriera e buca di energia potenziale.



→ The General Recipe for solving problems defined over sub intervals is that of solving the Schrödinger eq<sup>n</sup> in each subinterval and then imposing the continuity conditions at the boundary from one interval to another.



for STEP condition we have two intervals  
 $x = -ve$  &  $x = +ve$

we shall solve SE in Two intervals

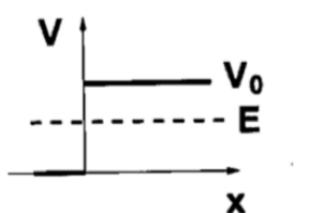
After solving SE we'll have two functions in which there are indeterminate coefficients and we shall find some of these coefficients by imposing continuity condition.

Well we know  $\Psi$  (wavefunction) is continuous & derivative of WF are continuous.

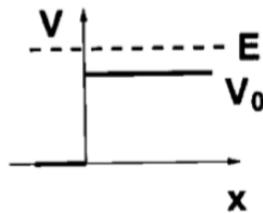
In 1D case we have two cases

(i) Continuity of function in origin

(ii) Derivative of function in origin



STEP



Schrodinger eq<sup>n</sup>

$$-\frac{\hbar^2}{2m} \nabla^2 \psi + V\psi = E\psi$$

Schrodinger eq<sup>n</sup> in 1D

$$-\frac{\hbar^2}{2m} \frac{d^2\psi}{dx^2} + V\psi = E\psi$$

$\psi$  = wavefunction  
in space

$$\frac{\hbar^2}{2m} \frac{d^2\omega}{dx^2} = (E - V)\omega$$

→

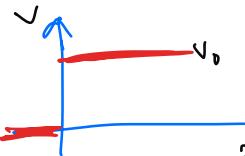
$$-\frac{d^2\omega}{dx^2} = \frac{2m}{\hbar^2} (E - V)\omega$$

$$\omega'' = k^2 \omega$$

⇒  $k = \sqrt{\frac{2mE}{\hbar^2}}$  for  $\omega > 0$

$\alpha = \sqrt{\frac{2m(V_0 - E)}{\hbar^2}}$  for  $\omega < 0$

### Potential Step — I



- Taking a one-dimensional case, let  $V = 0$  for  $x < 0$  and  $V = V_0 > 0$  for  $x > 0$ . From the general properties of the time-independent Schrödinger equation it follows  $E \geq 0$ . Considering the case  $0 < E < V_0$ , the equation reads

$$\begin{cases} x < 0 : V=0 & -w'' = k^2 w, \\ x > 0 : V=V_0 & w'' = \alpha^2 w, \end{cases} \quad k \doteq \sqrt{2mE/\hbar}, \quad \alpha \doteq \sqrt{2m(V_0 - E)/\hbar}$$

$$\Rightarrow w = \begin{cases} w_- = a_1 \exp(jkx) + a_2 \exp(-jkx), & x < 0 \\ w_+ = a_3 \exp(-\alpha x) + a_4 \exp(\alpha x), & x > 0 \end{cases}$$

- where it must be set  $a_4 = 0$  to prevent  $w_+$  from diverging. Using the continuity of  $w$  and  $w'$  in the origin,

$$\begin{cases} w_+(0) = w_-(0), \\ w'_+(0) = w'_-(0), \end{cases} \quad a_1 + a_2 = a_3, \quad jk(a_2 - a_1) = \alpha a_3$$

Eliminating  $a_3$  yields  $\alpha(a_1 + a_2) = jk(a_2 - a_1)$  whence

$$\frac{a_2}{a_1} = \frac{jk + \alpha}{jk - \alpha} = \frac{k - j\alpha}{k + j\alpha}, \quad \frac{a_3}{a_1} = 1 + \frac{a_2}{a_1} = \frac{2k}{k + j\alpha},$$

that determine  $a_2, a_3$  apart from the arbitrary constant  $a_1$ . This should be expected as  $w$  is not normalizeable. because it's

Non zero over infinite domain and oscillates over the infinite domain.

we already solved  
it already when  
schrodinger eqn  
for free particle

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→ We will find the relationship b/w  $a_1, a_2, a_3$   
by doing basic Algebra as shown above

$$\frac{a_2}{a_1} = \frac{k-j\alpha}{k+j\alpha} \quad \left. \begin{array}{l} \text{both are complex conjugates} \\ \text{and } k \neq j\alpha \end{array} \right\}$$

$$\Rightarrow |a_2| = |a_1|$$

We can further discuss the form of the solution  
because we can see that  $a_3 \neq 0$   
 $\therefore$  solution  $\omega_f = a_3 \exp(-\alpha x) \neq 0$   
 $\therefore$  The solution along the positive part of the  
x-axis decays to zero

i.e. The probability of finding the particle  
inside the step is Non Zero

which is in contrast to classical case where  
the particle bounces back exactly at  $x=0$

- The other issue is that the coefficient  $a_2$  also different from zero because it is multiplied to  $\exp(-ikx)$  and here in the example we have the Total Energy  $\bar{E}$
- So we may associate the Time part of the

Kwave function to this solution by simply multiplying the solution by the exponential ( $e^{-j\omega t}$ )

where  $\omega = \frac{E}{\hbar}$  and after this multiplication the wave function represents PLANAR, MONOCHROMATIC WAVE that propagates in the  $\rightarrow$  ve part of the axis.

So, the term proportional to  $a_2$  represents the Reflected wave but we have to emphasise

CM: The wave is reflected

QM: The wave is reflected after penetrating the step for a while

- There is a physical meaning associated with the coefficients  $a_1, a_2, a_3$ .

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## Potential Step — II

From  $|a_2/a_1| = |k - j\alpha|/|k + j\alpha| = 1$  it is  $a_2/a_1 = \exp(-j\varphi)$ , with  $\varphi = 2 \arctan(\alpha/k)$ . The time-independent wave function can then be recast as

$$w = \begin{cases} w_- = 2a_1 \exp(-j\varphi/2) \cos(kx + \varphi/2), & x < 0 \\ w_+ = [2k/(k + j\alpha)] a_1 \exp(-\alpha x), & x > 0 \end{cases}$$

The eigenvalues are continuous in the range  $0 \leq E < V_0$ . The monochromatic wave function corresponding to  $w_k$  is  $\psi_k(x, t) = w(x) \exp(-jE_k t/\hbar)$ , with  $E_k = \hbar^2 k^2 / (2m)$ .

The interaction of the particle with the potential step is better understood by considering a wave packet of width  $\Delta x$  approaching the origin from  $x < 0$ . The envelope has the form  $A_i = A(x - u_g t)$ . Before reaching the origin the particle is localized by  $|\psi_i|^2 = |A_i|^2$ , and crosses the origin in a time  $\Delta t = \Delta x/u_g$  starting, e.g., at  $t = 0$ .

After a time  $\Delta t + t_0$  only the reflected packet exists, described by  $A_r = A[x + u_g(t - t_0)]$ . The delay  $t_0 \geq 0$  is unpredictable because the reflexion abscissa  $u_g t_0$  is undetermined.

As the particle is eventually reflected it is  $\int_{-\infty}^0 |\psi_i|^2 dx = \int_{-\infty}^0 |\psi_r|^2 dx = 1$ .

That's particle whose energy is fully defined ad has some value.

→ we can also repeat the calculation using a wave packet

We will just discuss the outcomes without the calculations.

- for Gaussian Wave Packet

with Standard deviation " $\Delta x$ "  $\Rightarrow$  width of the wave packet

• later we will see that the  $\left| \frac{a_2}{a_1} \right|^2$  is the probability of reflection

for  $E < V$   
The particle is reflected but after penetrating the barrier.

This is situation we get if we analyze the situation considering a Monochromatic case.

Assuming the wave packet approaches the barrier from ' $\infty$ '

The wave packet can be described by an "envelope function"  $A_i = A(x - u_g t)$

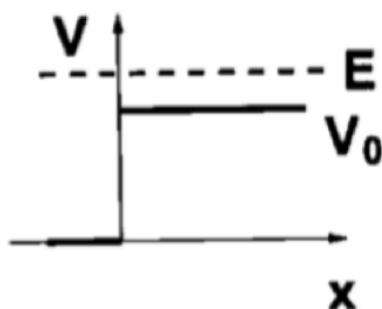
$$|\psi_i|^2 = |A_i|^2$$

when the wave packet reaches the barrier it gets extend in space.

After a time  $\Delta t + t_0$  only the reflected packet exists, described by  $A_r = A[x + u_g(t - t_0)]$ . The delay  $t_0 \geq 0$  is unpredictable because the reflexion abscissa  $u_g t_0$  is undetermined.

As the particle is eventually reflected it is  $\int_{-\infty}^0 |\psi_i|^2 dx = \int_{-\infty}^0 |\psi_r|^2 dx = 1$ .

incident wavepacket      reflected wavepacket



### Potential Step — III

- Still considering a one-dimensional case with  $V = 0$  for  $x < 0$  and  $V = V_0 > 0$  for  $x > 0$ , let  $E > V_0$ . The Schrödinger equation reads

$$\begin{cases} x < 0 : & -w'' = k^2 w, \quad k = \sqrt{2mE/\hbar} \\ x > 0 : & -w'' = k_1^2 w, \quad k_1 = \sqrt{2m(E-V_0)/\hbar} \end{cases}$$

*oscillatory behaviour*

$$\Rightarrow w = \begin{cases} w_- = a_1 \exp(jkx) + a_2 \exp(-jkx), & x < 0 \\ w_+ = a_3 \exp(jk_1 x) + a_4 \exp(-jk_1 x), & x > 0 \end{cases}$$

*incoming wave from left to origin*      *reflected wave*  
*transmitted wave*

This term can't exist if the wave is assumed to come from +0

- If the particle approaches the origin from  $x < 0$  ( $x > 0$ ) it must be set  $a_4 = 0$  ( $a_1 = 0$ ). In the classical description, the velocity decreases (increases) when the particle crosses the origin. Taking the first case ( $a_4 = 0$ ) and using the continuity of  $w$  and  $w'$  in the origin,

$$\begin{cases} w_+(0) = w_-(0), & a_1 + a_2 = a_3 \\ w'_+(0) = w'_-(0), & k(a_1 - a_2) = k_1 a_3 \end{cases}$$

Eliminating  $a_3$  yields  $k_1(a_1 + a_2) = k(a_1 - a_2)$  whence

$$\frac{a_2}{a_1} = \frac{k - k_1}{k + k_1}, \quad \frac{a_3}{a_1} = 1 + \frac{a_2}{a_1} = \frac{2k}{k + k_1},$$

that determine  $a_2, a_3$  apart from the arbitrary constant  $a_1$ .

Both ratios are Non zero.

$a_2$  : reflection coefficient

$a_3$  : transmission coefficient

### Potential Step — IV

As  $k_1 < k$  it is  $a_2 \neq 0$  ( $a_3 \neq 0$ ), namely, a probability of reflexion exists which is impossible in the classical treatment. The eigenvalues are continuous in the range  $E > V_0$ . The monochromatic wave function reads

$$\psi = \begin{cases} \psi_- = w_- \exp(-jE_- t/\hbar), & x < 0 \\ \psi_+ = w_+ \exp(-jE_+ t/\hbar), & x > 0 \end{cases}$$

in which  $E_- = E(k) = \hbar^2 k^2 / (2m)$  and  $E_+ = E(k_1) = \hbar^2 k_1^2 / (2m) + V_0$ .

The interaction of the particle with the potential step is better understood by considering a wave packet of width  $\Delta x$  approaching the origin from  $x < 0$ . The envelope has the form  $A_i = A(x - u_g t)$ . Before reaching the origin the particle is localized by  $|\psi_i|^2 = |A_i|^2$ , and crosses the origin in a time  $\Delta t = \Delta x / u_g$ . The reflected packet is described by

$$|\psi_r|^2 = \frac{(k_0 - k_{10})^2}{(k_0 + k_{10})^2} |A(x + u_g t)|^2.$$

It has the same group velocity, hence the same width, as  $|\psi_i|^2$ . Symbols  $k_0, k_{10}$  indicate the center of the packet for  $x < 0$  and  $x > 0$ , respectively.

- Interestingly, we can observe

$$\left| \frac{a_2}{a_1} \right|^2 + \left| \frac{a_3}{a_1} \right|^2 = 1$$



## Potential Step — V

The transmitted packet has the form

$$|\psi_t|^2 = \frac{(2k_0)^2}{(k_0 + k_{10})^2} |A(x k_0/k_{10} - u_g t)|^2.$$

Its group velocity is  $u_{1g} = dx/dt = u_g k_{10}/k_0 < u_g$ . As all packets cross the origin in the same time interval  $\Delta t$ , it is

$$\frac{\Delta x}{u_g} = \frac{\Delta x_1}{u_{1g}} \implies \Delta x_1 = \frac{k_{10}}{k_0} \Delta x < \Delta x.$$

This shows that the transmitted packet is slower and narrower (faster and wider) than the incident packet. From  $\int_{-\infty}^0 |\psi_i|^2 dx = 1$  it follows

$$P_r \doteq \int_{-\infty}^0 |\psi_r|^2 dx = \frac{(k_0 - k_{10})^2}{(k_0 + k_{10})^2},$$

$$P_t \doteq \frac{k_{10}}{k_0} \int_0^\infty |\psi_t|^2 dx = \frac{k_{10}}{k_0} \frac{(2k_0)^2}{(k_0 + k_{10})^2} = \frac{4k_0 k_{10}}{(k_0 + k_{10})^2}.$$

The two numbers  $P_r, P_t$  fulfill the relations  $0 < P_r, P_t < 1$ ,  $P_r + P_t = 1$ , and are the reflection and transmission probabilities.

$$P_r + P_t = 1$$

## Potential Step — VI

- It is worth noting that the factor  $\sqrt{2m/\hbar}$  cancels out in the expressions of  $P_r, P_t$ , yielding

$$P_r = \frac{(\sqrt{E_0} - \sqrt{E_0 - V_0})^2}{(\sqrt{E_0} + \sqrt{E_0 - V_0})^2}, \quad P_t = \frac{\sqrt{E_0(E_0 - V_0)}}{(\sqrt{E_0} + \sqrt{E_0 - V_0})^2},$$

with  $E_0$  the total energy corresponding to  $k_0$  and  $k_{10}$ . Thus, the classical result  $P_r = 0, P_t = 1$  cannot be recovered by letting  $m \rightarrow \infty$ . This is due to the Ehrenfest theorem.

- Also, the treatment for the case  $E > V_0$  still holds when  $V_0 < 0, E > 0$ . In particular, if  $V_0 < 0$  and  $|V_0| \gg E$  it turns out  $P_r \simeq 1$ . This result has been proven experimentally.

\* Finally, taking again  $V = 0$  for  $x < 0$  and  $V = V_0 > 0$  for  $x > 0$ , the special case  $E = V_0$  reduces the Schrödinger equation for  $x > 0$  to  $w'' = 0$ , whence

$$w = \begin{cases} w_- = a_1 \exp(jkx) + a_2 \exp(-jkx), & x < 0 \\ w_+ = a_3 + a_4 x, & x > 0 \end{cases}$$

with  $a_4 = 0$  to prevent  $w_+$  from diverging. Using the continuity of  $w$  and  $w'$  at  $x = 0$  yields  $w_- = a_3 \cos(kx)$ ,  $w_+ = a_3$ . The other special case  $E = 0$  yields  $w \equiv 0$ .

$E_0$ : Energy of  
central component  
of the wave function.

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## Potential Barrier — I

Taking a one-dimensional case, let  $V = 0$  for  $x < 0, x > s$ , and  $V = V_0 > 0$  for  $0 < x < s$ . From the general properties of the time-independent Schrödinger equation it follows  $E \geq 0$ . Considering the case  $0 < E < V_0$ , the equation reads

$$\begin{cases} x < 0 : & -w'' = k^2 w, \quad k \doteq \sqrt{2mE/\hbar} \\ 0 < x < s : & w'' = \alpha^2 w, \quad \alpha \doteq \sqrt{2m(V_0 - E)/\hbar} \\ s < x : & -w'' = k^2 w, \quad k \doteq \sqrt{2mE/\hbar} \end{cases}$$

$$\Rightarrow w = \begin{cases} w_- = a_1 \exp(jkx) + a_2 \exp(-jkx), & x < 0 \\ w_B = a_3 \exp(\alpha x) + a_4 \exp(-\alpha x), & 0 < x < s \\ w_+ = a_5 \exp(jkx) + a_6 \exp(-jkx), & s < x \end{cases}$$

Using the continuity of  $w$  and  $w'$  in the origin,

The sol' in inside The Barrier!

Transmitted  
wave  
we will see

$$\begin{cases} w_-(0) = w_B(0), & a_1 + a_2 = a_3 + a_4 \\ w'_-(0) = w'_B(0), & jk(a_1 - a_2) = \alpha(a_3 - a_4) \end{cases}$$

Solving for  $a_1, a_2$  and letting  $\theta \doteq 1 + j\alpha/k$  yields

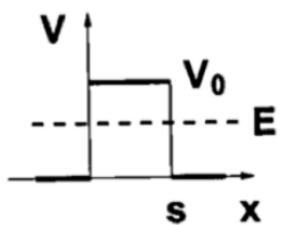
$$\begin{cases} 2a_1 = \theta a_4 + \theta^* a_3 \\ 2a_2 = \theta a_3 + \theta^* a_4 \end{cases}$$

as is  
Non zero  
i.e There will be  
transmission  
which is impossible  
(in CM  
(Tunnel effect))

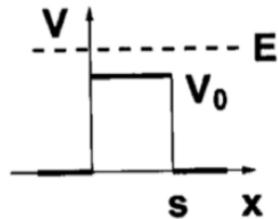
\* In Potential Barrier we have 3 Regions, in Region we have to solve the 2<sup>nd</sup> order eq<sup>n</sup> i.e the Schrödinger eq<sup>n</sup>

2 + 2 + 2  $\Rightarrow$  There are six coefficients

However one of the '6' coefficients must be set to zero.



**BARRIER**



### Potential Barrier — II

In the classical description, the particle is reflected at  $x = 0$  ( $x = s$ ), depending from where it approaches the origin. Here, if the particle approaches the origin from  $x < 0$  ( $x > 0$ ) it must be set  $a_6 = 0$  ( $a_1 = 0$ ). Taking the first case ( $a_6 = 0$ ) and using the continuity of  $w$  and  $w'$  in  $x = s$  yields

$$\begin{cases} w_B(s) = w_+(s), & a_3 e^{\alpha s} + a_4 e^{-\alpha s} = a_5 e^{jks} \\ w'_B(s) = w'_+(s), & \alpha(a_3 e^{\alpha s} - a_4 e^{-\alpha s}) = jka_5 e^{jks} \end{cases}$$

Solving for  $a_3, a_4$  and letting  $\sigma \doteq 1 + jk/\alpha$  yields

$$\begin{cases} 2a_3 = a_5 \sigma \exp(jks - \alpha s) \\ 2a_4 = a_5 \sigma^* \exp(jks + \alpha s) \end{cases}$$

It is worth observing that, if it were  $a_5 = 0$ , then it would also be  $a_3 = a_4 = 0$  and  $a_1 = a_2 = 0$ . However, this is impossible as  $w_- \neq 0$ , hence it is necessarily  $a_5 \neq 0$ . This shows that, in contrast to the classical case, the particle can cross the barrier. The relations found so far determine  $a_2, a_3, a_4, a_5$  apart from the arbitrary constant  $a_1$ . This should be expected as  $w$  is not normalizeable. In particular, the ratios  $a_2/a_1, a_5/a_1$  are related to the reflected and transmitted waves, respectively.

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### Potential Barrier — III

The ratio  $a_5/a_1$  is found from

$$4 \frac{a_1}{a_5} = 2 \frac{a_3}{a_5} \theta^* + 2 \frac{a_4}{a_5} \theta,$$

where the terms at the right hand side are given by

$$2 \frac{a_3}{a_5} = \sigma \exp(jks - \alpha s), \quad 2 \frac{a_4}{a_5} = \sigma^* \exp(jks + \alpha s).$$

It is worth noting that  $\mu \doteq \theta\sigma^* = 2 + j(\alpha/k - k/\alpha)$ , whence

$$\begin{aligned} 4 \frac{a_1}{a_5} \exp(-jks) &= \mu \exp(\alpha s) + \mu^* \exp(-\alpha s) = \\ &= 4 \cosh(\alpha s) + j \left( \frac{\alpha}{k} - \frac{k}{\alpha} \right) 2 \sinh(\alpha s) \implies \\ \left| \frac{a_1}{a_5} \right|^2 &= \cosh^2(\alpha s) + \frac{1}{4} \left( \frac{\alpha}{k} - \frac{k}{\alpha} \right)^2 \sinh^2(\alpha s) = \\ &= 1 + \left[ 1 + \frac{1}{4} \left( \frac{\alpha}{k} - \frac{k}{\alpha} \right)^2 \right] \sinh^2(\alpha s) = 1 + \frac{1}{4} \left( \frac{\alpha}{k} + \frac{k}{\alpha} \right)^2 \sinh^2(\alpha s) \xrightarrow{\cancel{\text{if } \alpha \ll V_0}} \end{aligned}$$

where  $\cosh^2 \zeta - \sinh^2 \zeta = 1$  has been used. This result shows that  $|a_5/a_1|^2 \rightarrow 1$  as  $s \rightarrow 0$ , while  $|a_5/a_1|^2 \sim \exp(-2\alpha s)$  as  $s$  increases.

Transmission coefficient  $a_5 \neq 0 \Rightarrow$  TUNNEL EFFECT

$\zeta \Rightarrow$   
Thickness  
of the  
Barrier

Tunneling  $\Rightarrow$  inversely proportional to ' $\lambda$ '  
inversely proportional to ' $\zeta$ '



## Potential Barrier — IV

A similar calculation provides the ratio  $a_2/a_1$ :

$$\frac{a_1}{a_2} = \frac{\theta a_4 + \theta^* a_3}{\theta a_3 + \theta^* a_4} = \frac{\mu \exp(\alpha s) + \mu^* \exp(-\alpha s)}{\nu \exp(-\alpha s) + \nu^* \exp(\alpha s)},$$

with  $\nu \doteq \theta\sigma = j(\alpha/k + k/\alpha)$ . As the denominator equals  $-j(\alpha/k + k/\alpha) 2 \sinh(\alpha s)$ , it is found

$$\left| \frac{a_2}{a_1} \right|^2 = \frac{(\alpha/k + k/\alpha)^2 \sinh^2(\alpha s)/4}{1 + (\alpha/k + k/\alpha)^2 \sinh^2(\alpha s)/4} = 1 - \left| \frac{a_5}{a_1} \right|^2.$$

The eigenvalues are continuous in the range  $0 \leq E < V_0$ .

The interaction of the particle with the barrier is better understood by considering a wave packet approaching the origin from  $x < 0$ . The envelope has the form  $A_i = A(x - u_g t)$ . Before reaching the origin the particle is localized by  $|\psi_i|^2 = |A_i|^2$ . After the interaction with the barrier is completed, both the reflected and transmitted packet exist, that move in opposite directions with the same velocity. Letting  $P_r \doteq \int_{-\infty}^0 |\psi_r|^2 dx$ ,  $P_t \doteq \int_s^\infty |\psi_t|^2 dx$ , and observing that  $\int_{-\infty}^0 |\psi_i|^2 dx = 1$ , it follows that the two numbers  $P_r, P_t$  fulfill the relations  $0 < P_r, P_t < 1$ ,  $P_r + P_t = 1$ , and are the reflection and transmission probabilities.

$$\left| \frac{a_2}{a_1} \right|^2 + \left| \frac{a_5}{a_1} \right|^2 = 1$$

*Reflection*      *Transmission*



## Potential Barrier — V

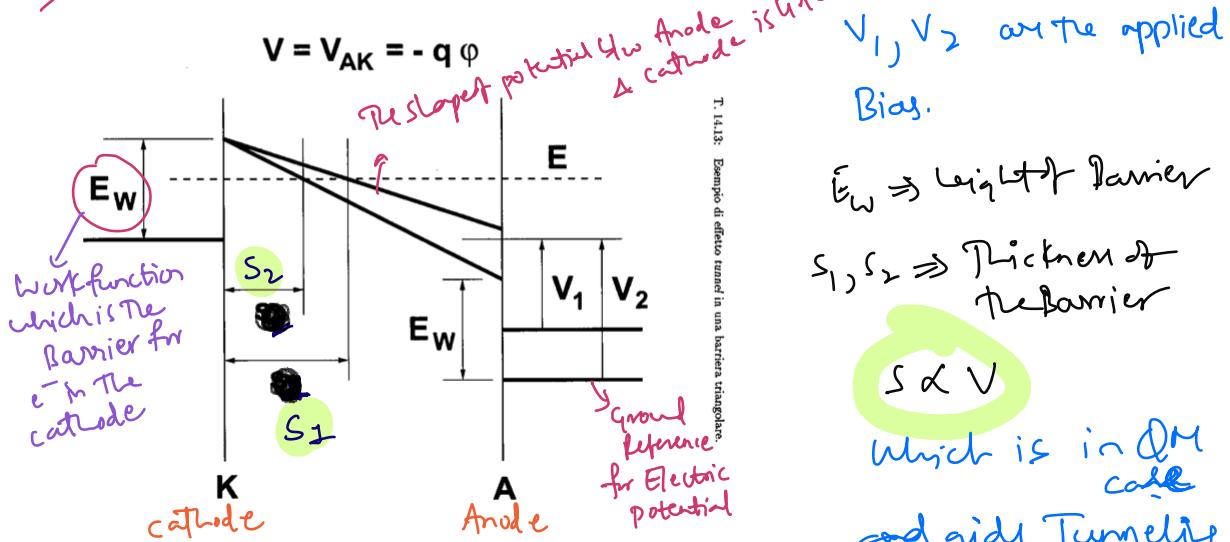
- The solution of the Schrödinger equation for the energy barrier shows that a particle with  $E < V_0$ , in contrast with the classical case, has a finite probability of crossing the barrier. The same result holds when the form of the barrier is more complicated than the rectangular one.

The phenomenon is also called *tunnel effect*. Experimental evidence of the t.e. is found, for instance, in the  $I - V$  curves of vacuum tubes, where the forward characteristics do not saturate at increasing bias.

- Still considering a one-dimensional case with  $V = 0$  for  $x < 0, x > s$  and  $V = V_0 > 0$  for  $0 < x < s$ , let  $0 < V_0 < E$ . The Schrödinger equation reads

$$\begin{cases} x < 0 : & -w'' = k^2 w, \quad k \doteq \sqrt{2mE/\hbar} \\ 0 < x < s : & -w'' = k_1^2 w, \quad k_1 \doteq \sqrt{2m(E - V_0)/\hbar} \\ s < x : & -w'' = k^2 w, \quad k \doteq \sqrt{2mE/\hbar} \end{cases}$$

$$\Rightarrow w = \begin{cases} w_- = a_1 \exp(jkx) + a_2 \exp(-jkx), & x < 0 \\ w_B = a_3 \exp(jk_1 x) + a_4 \exp(-jk_1 x), & 0 < x < s \\ w_+ = a_5 \exp(jkx) + a_6 \exp(-jkx), & s < x \end{cases}$$





## Potential Barrier — VI

In the classical description, the particle is never reflected by the barrier. Here, if the particle approaches the origin from  $x < 0$  ( $x > 0$ ) it must be set  $a_6 = 0$  ( $a_1 = 0$ ). The first case ( $a_6 = 0$ ) will be considered. The continuity relations at  $x = 0$  and  $x = s$  determine  $a_2, a_3, a_4, a_5$  apart from the arbitrary constant  $a_1$ . This should be expected as  $w$  is not normalizable. In particular, the ratios  $a_2/a_1, a_5/a_1$  are related to the reflected and transmitted waves, respectively; in contrast to the classical case, the particle can be reflected by the barrier.

From the solution of the Schrödinger equation it follows that  $w_-$  and  $w_+$  are the same as in the case  $0 \leq E < V_0$ , whereas  $w_B$  is found by replacing  $\alpha$  with  $jk_1$  there. Then,

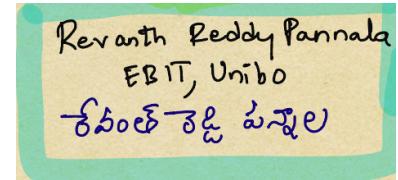
$$\mu \rightarrow 2 - (k/k_1 + k_1/k), \quad \mu^* \rightarrow 2 + (k/k_1 + k_1/k),$$

$$\nu \rightarrow k/k_1 - k_1/k, \quad \nu^* \rightarrow k_1/k - k/k_1$$

and, using  $\cosh(j\zeta) = \cos(\zeta)$ ,  $\sinh(j\zeta) = j \sin(\zeta)$ ,

$$\frac{a_1}{a_5} \exp(-jks) = \cos(k_1 s) - j \frac{1}{2} \left( \frac{k}{k_1} + \frac{k_1}{k} \right) \sin(k_1 s).$$

From the definition of  $k, k_1$  it is found  $k_1/k = \sqrt{1 - V_0/E}$ .



## Potential Barrier — VII

$k_1$  = wave vector

$$k_1 = \frac{2\pi}{\lambda_1}$$

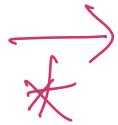
- The eigenvalues are continuous in the range  $E > V_0$ . The above expressions yield

$$\begin{aligned} \left| \frac{a_1}{a_5} \right|^2 &= \cos^2(k_1 s) + \frac{1}{4} \left( \frac{k}{k_1} + \frac{k_1}{k} \right)^2 \sin^2(k_1 s) = \\ &= 1 + \frac{1}{4} \left( \frac{k}{k_1} - \frac{k_1}{k} \right)^2 \sin^2(k_1 s) \end{aligned}$$

and, similarly,

$$\left| \frac{a_2}{a_1} \right|^2 = \frac{(k/k_1 - k_1/k)^2 \sin^2(k_1 s)/4}{1 + (k/k_1 - k_1/k)^2 \sin^2(k_1 s)/4} = 1 - \left| \frac{a_5}{a_1} \right|^2.$$

- This result shows that the barrier is transparent ( $|a_2/a_1|^2 = 0$ ) for  $k_1 s = i\pi$ , with  $i$  any integer. Letting  $\lambda_1 = 2\pi/k_1$  yields  $s = i\lambda_1/2$ . This is equivalent to the optical-resonance condition in a sequence of media of refractive indices  $n_1, n_2, n_1$ .
- Also, the reflection at the barrier for  $k_1 s \neq i\pi$  explains why the experimental value of the Richardson constant  $A$  is lower than the theoretical one. Such constant appears in the expression  $J_s = AT^2 \exp[-E_W/(k_B T)]$  of the vacuum-tube characteristics.



## Potential Well

### Potential Well — I

Taking a one-dimensional case, let  $V = 0$  for  $x < 0, x > s$ , and  $V = V_0 < 0$  for  $0 < x < s$ . From the general properties of the time-independent Schrödinger equation it follows  $E \geq V_0$ . Considering the case  $V_0 < E < 0$ , the equation reads

$$\begin{cases} x < 0 : & w'' = \alpha^2 w, \quad \alpha \doteq \sqrt{-2mE/\hbar} \\ 0 < x < s : & -w'' = k^2 w, \quad k \doteq \sqrt{2m(E - V_0)/\hbar} \\ s < x : & w'' = \alpha^2 w, \quad \alpha \doteq \sqrt{-2mE/\hbar} \end{cases}$$

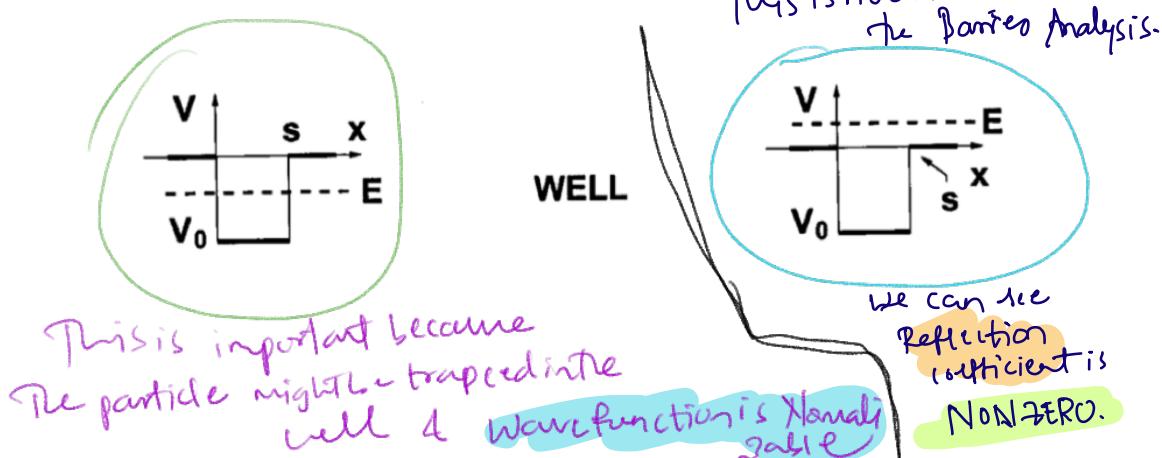
$$\Rightarrow w = \begin{cases} w_- = a_1 \exp(\alpha x) + a_5 \exp(-\alpha x), & x < 0 \\ w_W = a_2 \exp(jkx) + a_3 \exp(-jkx), & 0 < x < s \\ w_+ = a_4 \exp(-\alpha x) + a_6 \exp(\alpha x), & s < x \end{cases}$$

where it must be set  $a_5 = a_6 = 0$  to prevent  $w_-$  and  $w_+$  from diverging. Using the continuity of  $w$  and  $w'$  in the origin,

$$\begin{cases} w_-(0) = w_W(0), & a_1 = a_2 + a_3 \\ w'_-(0) = w'_W(0), & \alpha a_1 = jk(a_2 - a_3) \end{cases}$$

Solving for  $a_2, a_3$  and letting  $\theta \doteq 1 + j\alpha/k$  yields

$$2a_2 = \theta^* a_1, \quad 2a_3 = \theta a_1 \quad \Rightarrow \quad a_2/a_3 = \theta^*/\theta.$$





## Potential Well — II

- Using the continuity of  $w$  and  $w'$  in  $x = s$  yields

$$\begin{cases} w_W(s) = w_+(s), & a_4 e^{-\alpha s} = a_2 e^{jks} + a_3 e^{-jks} \\ w'_W(s) = w'_+(s), & -\alpha a_4 e^{-\alpha s} = jk(a_2 e^{jks} - a_3 e^{-jks}) \end{cases}$$

Solving for  $a_2, a_3$ :

$$\begin{cases} 2a_2 = a_4 \theta \exp(-\alpha s - jks) \\ 2a_3 = a_4 \theta^* \exp(-\alpha s + jks) \end{cases} \Rightarrow \frac{a_2}{a_3} = \frac{\theta}{\theta^*} \exp(-2jks).$$

- One sees that, if it were  $a_1 = 0$  or  $a_4 = 0$ , then it would also be  $a_2 = a_3 = 0$ , which is impossible: in contrast to the classical case, the particle penetrates the boundaries of the well.

The relations found so far determine two different expressions for  $a_2/a_3$ . For them to be compatible, the following necessarily holds:  $\theta^2 \exp(-jks) = (\theta^*)^2 \exp(jks)$ , which represents the condition of a vanishing determinant of the  $4 \times 4$  algebraic system for  $a_1, a_2, a_3$ , and  $a_4$ . The above yields

$$\begin{aligned} \left(1 - \frac{\alpha^2}{k^2} + 2j\frac{\alpha}{k}\right) \exp(-jks) &= \left(1 - \frac{\alpha^2}{k^2} - 2j\frac{\alpha}{k}\right) \exp(jks), \\ \left(1 - \frac{\alpha^2}{k^2}\right) \sin(k s) &= 2\frac{\alpha}{k} \cos(k s), \quad \frac{k^2 - \alpha^2}{2\alpha k} = \cot(k s). \end{aligned}$$



### Potential Well — III

Replacing the expressions of  $\alpha$  and  $k$  provides the transcendental equation

$$\frac{E - V_0/2}{\sqrt{E(E - V_0)}} = \cot\left(s \frac{\sqrt{2m}}{\hbar} \sqrt{E - V_0}\right), \quad V_0 < E < 0,$$

whose roots are the eigenvalues of  $E$ . Given  $m, s$ , and  $V_0$ , let  $n \geq 1$  be an integer such that

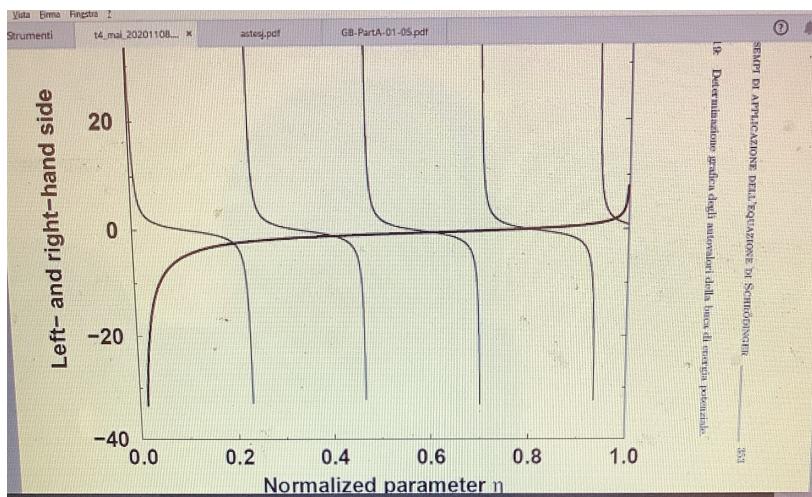
$$(n - 1)\pi < s \sqrt{-(2m/\hbar^2)V_0} \leq n\pi.$$

Maximum elongation

- Clearly, such an integer always exists, and indicates the number of branches of  $\cot(ks)$  that belong (partially or completely) to the interval  $V_0 < E < 0$ . As in the same interval the left hand side increases monotonically from  $-\infty$  to  $+\infty$ , the equation has exactly  $n$  roots  $V_0 < E_1, E_2, \dots, E_n < 0$ . Each eigenvalue, say  $E_i$ , provides  $\alpha_i, k_i, \theta_i$ , whence

$$\frac{a_{i2}}{a_{i1}} = \frac{1}{2}\theta_i^*, \quad \frac{a_{i3}}{a_{i1}} = \frac{1}{2}\theta_i, \quad \frac{a_{i4}}{a_{i1}} = \frac{\theta_i^*}{\theta_i} \exp(\alpha_i s + jk_i s).$$

The  $i$ th eigenfunction  $w_i$  can thus be expressed in terms of  $a_{i1}$  alone. The latter, in turn, is found from the normalization condition  $\int_{-\infty}^{+\infty} |w_i|^2 dx = 1$ .



## Quantitative Relations in Potential Well

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Let the size of the well be  $s = 15 \text{ \AA} = 1.5 \times 10^{-9} \text{ m}$ , its depth  $-V_0 = 3 \text{ eV} \simeq 4.81 \times 10^{-19} \text{ J}$ . The maximum argument of the cotangent is found by letting  $E = 0$  in  $s\sqrt{2m(E - V_0)/\hbar^2}$ , with  $m \simeq 9.11 \times 10^{-31} \text{ kg}$ ,  $\hbar \simeq 1.05 \times 10^{-34} \text{ J s}$ . It is found

$$\gamma \doteq \frac{s}{\hbar} \sqrt{-2mV_0} \simeq 13.4, \quad \frac{13.4}{\pi} \simeq 4.3, \quad n = 5.$$

As a consequence, the cotangent has 4 complete branches and 1 incomplete branch in the interval  $V_0 < E < 0$ , corresponding to 5 eigenvalues  $E_1, \dots, E_5$ . Using the normalized parameters

$$0 < \eta \doteq \sqrt{1 - \frac{E}{V_0}} < 1,$$

the equation to be solved reads

$$\frac{\eta^2 - 1/2}{\eta \sqrt{1 - \eta^2}} = \cot(\gamma \eta).$$

Over the  $\eta$  axis, the 5 branches belong to the intervals  $(0, \pi/\gamma)$ ,  $(\pi/\gamma, 2\pi/\gamma)$ ,  $(2\pi/\gamma, 3\pi/\gamma)$ ,  $(3\pi/\gamma, 4\pi/\gamma)$ ,  $(4\pi/\gamma, 1)$ .

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