# Week 6

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## 1 Final topologies

The final topology is the dual<sup>1</sup> notion of *initial topology*. With the initial topology, we have a family of maps with a common domain X, and we want to topologize X in a way that makes all the maps continuous. With the final topology, we have a family of maps with a common *codomain* Y instead, and we would like to topologize Y in a way that makes all the maps continuous.

**Definition 1.1.** Let Y be a set and let  $\{X_{\alpha} : \alpha \in \Lambda\}$  be a collection of topological spaces. Let

$$\mathcal{F} = \{ f_{\alpha} : X_{\alpha} \to Y : \alpha \in \Lambda \}$$

be a family of functions. Then the final topology of  $\mathcal F$  is defined to be

$$\{U \subseteq Y : f_{\alpha}^{-1}(U) \text{ is open in } X_{\alpha} \text{ for all } \alpha \in \Lambda \}.$$

In a sense, we are interested in providing Y with a topology that makes all the  $f_{\alpha}$ 's continuous. Notice here that Y is the codomain of our  $f_{\alpha}$ 's.

For reference, here is the definition of initial topology.

**Definition 1.2.** Let X be a set, and let  $\{Y_{\alpha} : \alpha \in \Lambda\}$  be a collection of topological spaces. Let

$$\mathcal{F} = \{ f_{\alpha} : X \to Y_{\alpha} : \alpha \in \Lambda \}$$

be a family of functions. Then the *initial topology of*  $\mathcal{F}$  is defined to be

 $\bigcap \left\{\, \tau : \tau \text{ is a topology on } X \text{ and every element of } \mathfrak{F} \text{ is } \tau - \text{continuous} \,\right\}.$ 

**Proposition 1.3.** The final topology of  $\mathcal{F}$  is the finest topology on Y where all the elements of  $\mathcal{F}$  are continuous.

*Proof.* Denote the final topology with  $\tau_{\mathcal{F}}$ . Suppose  $\tau$  is a topology that makes all the  $f_{\alpha}$ 's continuous. Then  $\tau \subseteq \tau_{\mathcal{F}}$ . To see this, let  $U \in \tau$ . Then for every  $\alpha$ , we have  $f_{\alpha}^{-1}(U)$  being open in  $X_{\alpha}$ , as  $f_{\alpha}$  is  $\tau$  continuous. This means  $U \in \tau_{\mathcal{F}}$ .

We can now see an application of final topologies.

<sup>&</sup>lt;sup>1</sup>In this case, the duality is actually the categorical duality!

# 2 Quotient topology

**Definition 2.1.** Let X be a topological space and Y be a set. Let  $q: X \to Y$  be a surjective function. Then the final topology of  $\{q\}$  is called the *quotient topology induced by* q.

If Y is a topological space, then Y is a quotient of X if the topology on Y is the quotient topology induced by some surjective function  $q: X \to Y$ .

Again, keep in mind here that Y is being topologized by the final topology induced by q. One (relatively immediate) observation is that a set  $O \subseteq Y$  is open in the quotient topology on Y if and only if  $q^{-1}(O)$  is open in X. In fact, this is an alternative way to define the quotient map.

We often use the quotient topology to put a topology on the set of equivalence classes. Let us recall the definition of a equivalence relation.

#### 2.1 Equivalence relations

**Definition 2.2** (Equivalence relation). Let X be a set. Then an equivalence relation  $\sim on X$  is a relation such that

- 1. (Reflexive)  $x \sim x$ ,
- 2. (Symmetric) if  $x \sim y$  then  $y \sim x$ ,
- 3. (Transitive) if  $x \sim y$  and  $y \sim z$  then  $x \sim z$ .

The intuition here is that equivalence relations try to capture the notion of equality. In fact, = is an equivalence relation. More examples of equivalence relations are  $n \sim m$  iff  $n \mod k = m \mod k$  (here,  $n, m \in \mathbb{Z}$  and  $k \in \mathbb{N}, k > 0$ ).

Given an equivalence relation on X, we can  $partition^2$  the set X into equivalence classes. We define

$$[x]_{\sim} = \{ y \in X : y \sim x \}.$$

Notice that we now have the following properties:

**Lemma 2.3.** Let X be a set and  $\sim$  be an equivalence relation on X. Then,

- 1.  $X = \bigcup_{x \in X} [x]_{\sim}$
- 2. Equivalence classes are equal or disjoint: If  $[x]_{\alpha} \neq [y]_{\alpha}$ , then  $[x]_{\alpha} \cap [y]_{\alpha} = \emptyset$ .

*Proof.* The first is obvious. For the second, we prove the contrapositive. Suppose  $z \in [x]_{\sim} \cap [y]_{\sim}$ . Then  $z \sim x$  and  $z \sim y$  by definition. By transitivity we have  $x \sim y$ , and by transitivity again, every element related to y is also related to x.

Given an equivalence relation  $\sim$  on X, we denote the set of equivalence classes,

$$X_{\sim} = X/\sim = \{ [x]_{\sim} : x \in X \}.$$

There is a canonical surjective function<sup>3</sup> from X to  $X_{\sim}$  which sends an element  $x \in X$  to its equivalence class  $[x]_{\sim}$ . We shall denote it by  $p_{\sim}$ , and it is defined as

$$p_{\sim}(x) = [x]_{\sim}.$$

#### 2.2 Examples of quotient spaces

We can now see some examples of quotient spaces. The reader is encouraged to check out [Lee11, pp. 62–68] for many more examples of quotient spaces.

**Example 2.4** (The sphere  $S^2$  as a quotient space). Let  $D \subseteq \mathbb{R}^2$  be the unit disk, i.e.  $D = \{ \langle x, y \rangle : x^2 + y^2 \le 1 \}$ .

<sup>&</sup>lt;sup>2</sup>Note that the word "partition" has a rigorous definition.

<sup>&</sup>lt;sup>3</sup>Some authors call call this the natural projection.

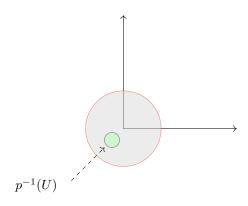


Figure 1: Unit disk  $D \subseteq \mathbb{R}^2$ 

Define  $\sim$  on D by

$$\langle x, y \rangle \sim \langle z, w \rangle$$
 iff  $\langle x, y \rangle = \langle z, w \rangle$  or  $x^2 + y^2 = z^2 + w^2 = 1$ .

Intuititively, every point in the interior of D (the interior is shaded in gray) stays distinct, and every point on the boundary (colored in blue) is the "same" under  $\sim$ . Now, the set of equivalence classes of D,  $D/\sim$  can be visualized as in Figure 2.

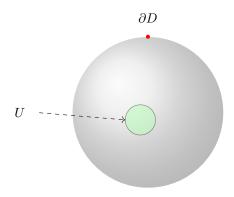


Figure 2: The sphere constructed from the unit disk

**Example 2.5** (Torus as a quotient space). See [Lee11, Example 3.49 on p. 66].

One might wonder whether quotient spaces always come from some kind of equivalence relation. The answer is yes.

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**Theorem 2.6.** If Y is a quotient space of X, then there is an equivalence relation  $\sim$  on X such that Y is homeomorphic to  $X_{\sim}$  (endowed with the quotient topology induced by  $p_{\sim}$ ).

Before we embark on the proof, readers who have had a little group theory will realize that this is basically quotienting by the kernel of a homomorphism. It turns out that this construction is valid in a lot of (concrete) categories as well

*Proof.* We would like to show that if Y is such that there exists some surjective function  $q: X \to Y$  where the topology of Y is the quotient topology induced by q then there exists an equivalence relation on X such that  $X_{\sim}$  is homeomorphic to Y. We first show the existence of such an equivalence relation. Let  $\sim$  in X be defined as follows:  $x \sim y$  if and only if q(x) = q(y). This is easily seen to be an equivalence relation.

Now we begin constructing the homeomorphism. Let  $f: X_{\sim} \to Y$  be defined by  $f([x]_{\sim}) = q(x)$ . Then f is a well-defined function, if we have  $[x]_{\sim} = [x']_{\sim}$ , then f(x') = q(x') = f(x) by definition of  $\sim$ . We also check that f is a bijection by finding it's inverse,  $f^{-1}: Y \to X_{\sim}$ . We'll just write it down:

$$f^{-1}(y) = \{ x \in X : q(x) = y \}.$$



Figure 3: Commutative diagram expressing the proof of Theorem 2.6

This is indeed an inverse. So f is a bijection. All that is left is to show that f and  $f^{-1}$  are continuous. Let  $U \subseteq Y$  be open. Then

$$f^{-1}(U) = \{ [x]_{\sim} : f([x]_{\sim}) \in U \} = \{ [x]_{\sim} : q(x) \in U \}.$$

Let  $p_{\sim}: X \to X_{\sim}$  be the canonical projection that sends x to  $[x]_{\sim}$ . Consider  $p_{\sim}^{-1}(\{[x]_{\sim}: q(x) \in U\}) = \{x \in X: q(x) \in U\} = q^{-1}(U).$   $q^{-1}(U)$  is open in X because q is continuous, but by definition of quotient topology this means  $\{[x]_{\sim}: q(x) \in U\}$  is open. Thus we have shown that f is continuous. We leave the proof of the continuity of  $f^{-1}$  to the reader. (Just show that f is open)

#### 2.3 Properties of quotient spaces

Unfortunately, quotient spaces are quite badly behaved. The first part where they don't play so nice is with the subspace topology. In other words, taking a quotient of a subspace is not the same as taking a subspace of a quotient space. Let  $q: X \to Y$  be a surjective map. This induces the quotient topology in Y. Let  $A \subseteq X$  and give A the subspace topology. Consider  $q \mid_A: A \to q[A]$ . There are 2 ways to think about the topology on q[A]: as a subspace of Y or as a quotient space of A. It turns out that these may not be equal.

In the next example, we will see that the restriction of a quotient map down to a subspace may not be a quotient map. See [Lee11, Prob 3-11, p. 82] for a better statement of this result.

**Example 2.7.** Let  $X = [0,1] \cup [2,3] \subseteq \mathbb{R}$  with the subspace topology from  $\mathbb{R}$ . Let Y = [0,2] with the subspace topology from  $\mathbb{R}$ . Let q be defined by q(x) = x if  $x \in [0,1]$  and q(x) = x - 1 if  $x \in [2,3]$ . Then q is a quotient map from X to Y.

Now let  $A = [0,1) \cup [2,3]$  (notice we are taking the half open interval!) and take  $q \mid_A: A \to [0,2]$ . Consider  $q \mid_A^{-1} ([1,3/2)) = [2,3/2+1)$ . The set [1,3/2) is not open, but it has an open preimage. This prevents  $q \mid_A$  from being a quotient map as  $q \mid_A$  is not continuous.

However, it turns out if  $A \subseteq X$  is open, and it is the preimage of some subset of Y, then  $q \mid_A$  is a quotient map. See [Lee11, Prop 3.62, p. 70] for this result.

**Definition 2.8.** Let  $\sim$  be an equivalence relation on X. A subset  $A \subseteq X$  is  $\sim$ -saturated if and only if

$$A = \bigcup_{x \in A} [x]_{\sim}.$$

This definition can be alternatively thought of as follows: Let  $p_{\sim}: X \to X_{\sim}$  be the map that sends an element  $x \in X$  to its equivalence class  $[x]_{\sim}$ . Then  $A \subseteq X$  is  $\sim$ -saturated iff we have  $A = \bigcup_{x \in A} p_{\sim}^{-1}(\{x\})$ . Sometimes, one might see  $p_{\sim}^{-1}(x)$  instead of  $p_{\sim}^{-1}(\{x\})$ . In this case, they mean the same thing. We call the preimage of the singleton x the fiber of x. So in other words, a set A is  $\sim$ -saturated if and only it is the union of fibers. See [Lee11, Exercise 3.59 on p. 69] for a useful characterization of a set being saturated.

**Proposition 2.9.** If  $A \subseteq X$  is  $\sim$ -saturated, then  $A_{\sim} \subseteq X_{\sim}$ .

*Proof.* If  $\sim$  is an equivalence relation on X and  $A \subseteq X$ , then  $\sim$  induces an equivalence relation on A, call it  $\sim_A$ . This is simply the restriction of  $\sim$  to A, i.e.  $a \sim_A b \iff a \sim b$ . Then  $[a]_{\sim_A} = [a]_{\sim}$ . Let  $A \subseteq X$  be a subspace and let  $p_{\sim}: A \to A_{\sim}$ , which is really just  $p_{\sim}: X \to X_{\sim}$  but restricted.

**Theorem 2.10.** If A is open (closed) or  $p_{\sim}$  is an open (closed) map, then the subspace topology on  $A_{\sim}$  as a subset of  $A_{\sim}$  is the same as the quotient topology on  $A_{\sim}$  induced by  $p_{\sim}$ .

We additionally encourage the reader to check out [Lee11, Proposition 3.60 on p. 69].

*Proof.* Let  $A_{\sim} \cap V$  be an open subset of  $A_{\sim}$  as a subspace of  $X_{\sim}$ . We need to prove  $A_{\sim} \cap V$  is open in  $X_{\sim}$ , which amounts to showing that  $p_{\sim}^{-1}(A_{\sim} \cap V)$  is open. Now, since  $A_{\sim}$  is saturated, we have

$$p_{\sim}^{-1}(A_{\sim} \cap V) = p_{\sim}^{-1}(A_{\sim}) \cap p_{\sim}^{-1}(V) = A \cap p_{\sim}^{-1}(V).$$

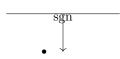
Since  $A \cap p_{\sim}^{-1}(V)$  is open in the subspace topology in A, this means that  $A_{\sim} \cap V$  is open in the quotient  $A_{\sim}$ . Let  $U \subseteq A_{\sim}$  be open in the quotient topology induced by  $p_{\sim} \mid_A : A \to A_{\sim}$ . We claim that if A is open and saturated, then  $A_{\sim} \subseteq X_{\sim}$  is also open (proof: exercise). So U is open in the quotient if and only if  $p_{\sim} \mid_A^{-1}(U)$  is open in A. But notice that  $p_{\sim} \mid_A^{-1}(U) = \{x \in X : [x]_{\sim} \in U\}$ . This is open in A if and only if it is equal to  $A \cap V$ , where V is some open subset of X. Then, we leave the reader to check that

$$U = p_{\sim} \left( p_{\sim} \mid_{A}^{-1} (U) \right) = p_{\sim} (\{ x \in A : [x]_{\sim} \in U \}) = p_{\sim} (A \cap V) = A_{\sim} \cap p_{\sim} (V).$$

We remark that a quotient space of a Hausdorff space may not be Hausdorff.

**Example 2.11.** Let  $X = \mathbb{R}$  and let f be the sign function be defined by

$$f(x) = \begin{cases} 1 & x > 0 \\ 0 & x = 0 \\ -1 & x < 0 \end{cases}$$



**Example 2.12** (Points are closed, but not Hausdorff). Let  $X = \mathbb{R}_K$  (the K-topology) and define the equivalence relation on X by  $a \sim b$  if and only if a = b or  $a, b \in K$ . Then,  $X_{\sim}$  is not Hausdorff, but points are closed. To see why this is not hausdorff, notice that we cannot find disjoint open neighborhoods of  $[0]_{\sim}$  and  $[1]_{\sim}$ . Indeed,  $[0]_{\sim} = \{0\}$  and  $[1]_{\sim} = K$ . But any neighborhood of  $[1]_{\sim}$  must contain all the 1/n's (by looking at the neighborhood in X) and thus contain 0.

Additionally, products and quotients also do not behave well. If Y is a quotient space of X, and  $q: X \to Y$  is a surjective map, then it may not be true that the product topology on  $Y \times Y$  is the same as the quotient topology induced by  $q \times q$ . That is to say, there is a difference between first putting the quotient topology on Y using q and taking the product  $Y \times Y$ , versus putting the quotient topology on  $Y \times Y$  with  $q \times q$ .

**Example 2.13.** We make use of Example 2.12 and the following fact: the diagonal of X, which is the set  $\Delta_X = \{ \langle x, x \rangle : x \in X \}$  is closed in  $X \times X$  if and only if X is Hausdorff. Let q be the quotient map which is given by  $\sim$ . It is true that  $\Delta$  is closed in  $X \times X$ , but  $\Delta_{X_{\sim}}$  is not closed in  $X \times X_{\sim}$  as it is not Hausdorff. However,  $(q \times q)^{-1}(\Delta_{X_{\sim}}) = \Delta_X$ , so  $q \times q$  cannot be a quotient map.

### References

[Lee11] John M. Lee. Introduction to Topological Manifolds. en. Vol. 202. Graduate Texts in Mathematics. New York, NY: Springer New York, 2011. ISBN: 9781441979391. DOI: 10.1007/978-1-4419-7940-7. URL: https://link.springer.com/10.1007/978-1-4419-7940-7.

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