





## ALGORITMA ANALİZİ

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\* Bir algoritmanın karmaşıklığını belirleyen faktörler:

- Eleman sayısı
- Elemanların ne olduğu (tipi ve sırası)

\* Bir algoritmayı nasıl ifade ederiz?

- flowchart
- Sözlü ifade (oral presentation)
- Pseudo Kod \*
- Programming language

\* Basic issues related to algorithm

- design algorithm
- express algorithm
- proving correctness
- efficiency
- optimality

\* Algoritma tasarılama stratejileri:

- ① Brute force: belli seçenekleri tek tek denemek
- ② Divide and conquer: alt parçalara bölerek gözlemek
- ③ Greedy approach: her aşamada en uygun seçeneği seçme
- ④ Dynamic programming:
- ⑤ Decrease and conquer:
- ⑥ Transform and conquer:
- ⑦ Backtracking and branch and bound:
- ⑧ Space and time tradeoffs:

\* Bir algoritmanın iyi olması?

- ① correctness
- ② time efficiency
- ③ space efficiency



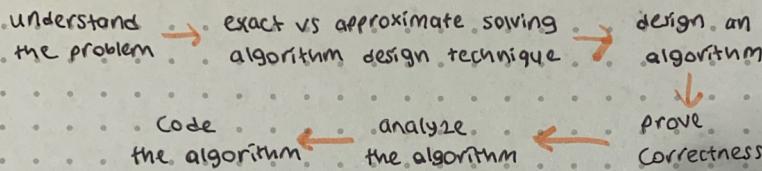
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## \* Daha iyi algoritma var mı?

- Sınırlara bak.
- Optimal olup olmadığını bak.

### ALGORITMA

- Sınırlı, tanımlı adımlar, input, output, efektif.



### Algoritma analizinde dikkat edilmesi gereken noktalar

1. Simplicity
2. Generality
3. Time efficiency
4. Space efficiency

### Algoritma karmaşıklığı

1. Best case
2. Average case
3. Worst case

### Linear Search

1 5 3 2 4 8      unsorted  
1 2 3 4 5 8      sorted  
number of elements : n

### Big-Oh notation

$O(n)$  (worst case) /  $O(1)$  (best case)  
 $O(n)$  (worst case) /  $O(1)$  (best case)

### Finding Max within an array (n)

$O(n)$  (worst and best case) unsorted  
 $O(1)$  (worst and best case) sorted





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### Pseudocode Details

→ Control Flow

• if ... then ... [else:]

• while ... do ...

• repeat ... until ...

• for ... do ...

indentation replaces braces

→ method declaration

Algorithm method (arg, arg, -)

  input ...

  output ...

Algorithm arrayMax (A, n)

Input: array A of n integers

Output: maximum element of A

  currentMax ← A[0]

  for i ← 1 to n-1 do

    if A[i] > currentMax then

      currentMax ← A[i]

  return currentMax

! Büyüme hızı sabitlerden ve düşük dereceli terimlerden etkilenmez.

$$10n^2 + 2n + 5$$

### Big-Oh Örnekleri

→  $7n-2$

$7n-2$  is  $O(n)$

need  $c > 0$  and  $n_0 \geq 1$  such that  $7n-2 \leq c \cdot n$  for  $n \geq n_0$   
this is true for  $c=7$  and  $n_0=1$

→  $3n^3 + 20n^2 + 5$

$3n^3 + 20n^2 + 5$  is  $O(n^3)$

need  $c > 0$  and  $n_0 \geq 1$  such that  $3n^3 + 20n^2 + 5 \leq c \cdot n^3$  for  $n \geq n_0$   
this is true for  $c=4$  and  $n_0=21$

→  $3 \log n + 5$

$3 \log n + 5$  is  $O(\log n)$

need  $c > 0$  and  $n_0 \geq 1$  such that  $3 \log n + 5 \leq c \cdot \log n$  for  $n \geq n_0$   
this is true for  $c=8$  and  $n_0=2$

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constant  $\approx 1$  sıralı bir dizide maksimum elemanı bulmak

logarithmic  $\approx \log n$  binary search

linear  $\approx n$  sırasız bir dizide maksimum elemanı bulmak

$N \log N$   $\approx n \log n$  heap sort

quadratic  $\approx n^2$  selection sort

cubic  $\approx n^3$  matris çarpımı

exponential  $\approx 2^n$  alt kümeye sayısı

factorial  $\approx n!$  permutasyon bulma

big-oh  $\Rightarrow$  upper bound

big-omega  $\Rightarrow$  lower bounds  $\Omega$

big-theta  $\Rightarrow$  tight bound  $\Theta$

$\rightarrow$  lower bound ile upper bound aynı zamanda tight bound kullanılır.

örnek

$f(n) = 4n^2 + 2n$   $g(n) = n$   $f(n) \in O(g(n))$  midir?

$f(n)$  is  $O(g(n))$

$f(n) \leq c \cdot g(n)$   $n \geq n_0$ ,  $c > 0$

$4n^2 + 2n \leq c \cdot n$

$4n^2 \leq c$

$n \leq \frac{c-2}{4}$   $\Rightarrow$  yanlıştır.

örek

$f(n) = 2n+10$   $g(n) = n$   $f(n) \in O(g(n))$  ?

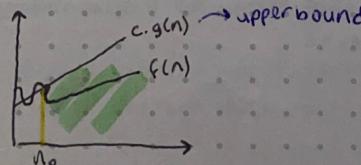
$2n+10 \leq c \cdot n$   $n \geq n_0$ ,  $c > 0$

$10 \leq (c-2)n$   $c=3$ ,  $n=10$   $\Rightarrow$  sağlanır.

$g(n) \in O(f(n))$  sağlar mı?

$n \leq c(2n+10)$

$(1-2c)n \leq 10c$   $c=1$ ,  $n=0$   $\Rightarrow$  sağlanır.





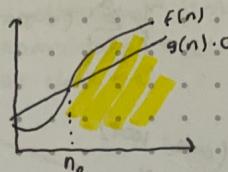
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### Big-Omega

$f(n) \in \Omega(g(n))$  if  $c > 0$ ,  $n_0 \geq 0$ ,  $n \geq n_0$ .

↓  
lowerbound

$$f(n) \geq c \cdot g(n)$$

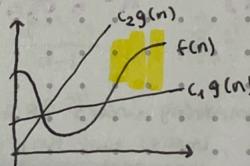


- \* sequential search için best case  $\sim 1$  doğru mu? Evet.
- \* insertion sort için best case  $\sim 1$  doğru mu? Evet ama en yakın lowerbound seems daha mantıklı olduğundan  $\Omega(n)$  daha doğru olur.

### Big-theta

$0 \leq c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n)$ ,  $c_1 \leq c_2$ ,  $n_0 \geq 0$ ,  $n \geq n_0$ .

$f(n) \in \Theta(g(n))$   
tightbound



ŞİRK

$$f(n) = 2n+5 \in \Theta(n) ?$$

$$2n+5 \in O(n)$$

$$\underbrace{2n+5}_{\text{daima}} \in \Omega(n)$$

$$2n+5 \geq c_1 n$$

$$5 \geq (c_1 - 2)n \quad n_0 = 1 \quad c_1 = 3$$

$$2n+5 \leq c_2 n$$

$$5 \leq (c_2 - 2)n \quad n_0 = 1 \quad c_2 = 7$$

} sağlar

### Insertion sort

5 7 3 1 2 4 6

worst case  $O(n^2)$ ,  $\Omega(n^2)$ ,  $\Theta(n^2)$

5 7 3 1 2 4 6

average case, arka sayfada

3 5 7 1 2 4 6

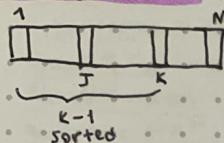
best case  $O(n)$ ,  $\Omega(n)$ ,  $\Theta(n)$

1 3 5 7 2 4 6

1 2 3 4 5 6 7



average case:



değiş döngüde for, iç döngüde while kullanılır.

 $K-j+1$  adım olacak. (igte)

$$\frac{1}{K} \sum_{j=1}^K (K-j+1) = \frac{1}{K} \left( K^2 - \frac{K(K+1)}{2} + K \right)$$

$$= \frac{2K^2 - K^2 - K + 2K}{2K} = \frac{K+1}{2}$$

(outer loop)

$$\sum_{k=2}^N \frac{k+1}{2} = \frac{1}{2} \frac{(N+1)(N+2)}{2} = \underbrace{\frac{1}{4} [N^2 + 3N + 2]}_{\text{average case}} \in O(N^2)$$

Örnek

$n \in O(n^2)$

$100n^2 + 5 \in O(n^2) ? \quad \frac{1}{2}n(n-1) \in O(n^2) ? \quad n^3 \in O(n^2)$

$\checkmark \quad n \in O(n^2)$

$\checkmark \quad n^2 \in O(n^2)$

$\begin{matrix} X \\ n^2 \in O(n^2) \\ n^3 \notin O(n^2) \end{matrix}$

Using limits for comparing orders of growth

$$\lim_{n \rightarrow \infty} \frac{t(n)}{g(n)} = \begin{cases} 0, & \text{implies that } t(n) \text{ has a smaller order of growth than } g(n) \\ c, & \text{" the same order " } \\ \infty, & \text{" a larger order " } \end{cases}$$

örnek

$t(n) = \frac{1}{2}n(n-1), \quad g(n) = n^2$

$$\lim_{n \rightarrow \infty} \frac{\frac{1}{2}n(n-1)}{n^2} = \frac{1}{2} \lim_{n \rightarrow \infty} \frac{n^2-n}{n^2} = \frac{1}{2} \lim_{n \rightarrow \infty} \left( 1 - \frac{1}{n} \right) = \frac{1}{2}$$

Sonuç sabit  
olduğundan aynı  
veyme hızıda.

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### Useful Formulas for the Analysis of Algorithms

$$\log x^y \leq y \cdot \log x$$

$$\log xy = \log x + \log y$$

$$\log xy = \log x - \log y$$

$$? \log a^x = \log_a b \log_b x$$

$$a^{\log_b x} = x^{\log_b a}$$

$$\text{Permutasyon} \rightarrow P(n) = n!$$

$$\text{Kombinasyon} \rightarrow C(n, k) = \frac{n!}{k!(n-k)!}$$

### Toplam Formülleri

$$\textcircled{1} \sum_{i=1}^l 1 = 1+1+\dots+l = 4-l+1 \quad \sum_{i=1}^n 1 = n$$

$$\textcircled{2} \sum_{i=1}^n i = 1+2+3+\dots+n = \frac{n \cdot (n+1)}{2} \approx \frac{1}{2} n^2$$

$$\textcircled{3} \sum_{i=1}^n i^2 = 1^2+2^2+\dots+n^2 = \frac{n(n+1)(2n+1)}{6} \approx \frac{1}{3} n^3$$

$$\textcircled{4} \sum_{i=1}^n i^k = 1^k+2^k+\dots+n^k \approx \frac{1}{k+1} n^{k+1}$$

$$\textcircled{5} \sum_{i=0}^n a^i = 1+a+\dots+a^n = \frac{a^{n+1}-1}{a-1} \quad \sum_{i=0}^n 2^i = 2^{n+1}-1$$

$$\textcircled{6} \sum_{i=1}^n i \cdot 2^i = 1 \cdot 2 + 2 \cdot 2^2 + \dots + n \cdot 2^n = (n-1)2^{n+1} + 2$$

$$\textcircled{7} \sum_{i=1}^n \frac{1}{i} = 1 + \frac{1}{2} + \dots + \frac{1}{n} \approx \ln n + \gamma \quad (\gamma \approx 0,572)$$

$$\textcircled{8} \sum_{i=1}^n \log_i \approx n \log n$$

### Sum Manipulation Rules

$$\textcircled{1} \sum_{i=l}^u c \cdot a_i = c \cdot \sum_{i=l}^u a_i$$

$$\textcircled{2} \sum_{i=l}^u (a_i + b_i) = \sum_{i=l}^u a_i + \sum_{i=l}^u b_i$$

$$\textcircled{3} \sum_{i=l}^u a_i = \sum_{i=l}^m a_i + \sum_{i=m+1}^u a_i$$

Yuvarılama İşlemleri:

$$\lfloor 3.8 \rfloor = 3 \quad \lceil 2.3 \rceil = 3 \\ \lfloor -3.8 \rfloor = -4 \quad \lceil -2.7 \rceil = -2$$

$$\textcircled{1} \quad x-1 < \lfloor x \rfloor \leq x \leq \lceil x \rceil < x+1$$

$$\textcircled{2} \quad \lfloor x+n \rfloor = \lfloor x \rfloor + n \quad \text{and} \quad \lceil x+n \rceil = \lceil x \rceil + n \quad \text{for real } x \text{ and int } n$$

$$\textcircled{3} \quad \lfloor n/2 \rfloor + \lceil n/2 \rceil = n$$

$$\textcircled{4} \quad \lceil \log(n+1) \rceil = \lfloor \log n \rfloor + 1$$

Rekürsif Olmayan Algoritmaların Analizi

\* Maksimum elemanı bulma:

MaxElement ( $A[0 \dots n-1]$ )

```
max ← A[0]
for i ← 1 to n-1 do
    if A[i] > max
        max ← A[i]
return max
```

Analiz için

n: number of elements

Basic operations : atama, aritmetik işlemler, karşılaştırma  
→ en içteki döngüde basic op., bkr.

\* basic op : karşılaştırma →  $A[i] > \text{max}$       } hangisi seçilmeli?

atama              →  $\text{max} \leftarrow A[i]$       } 1. günde hep karşılaştırma yapılıyor.

$$C(n) = \sum_{i=1}^{n-1} 1 = n-1 \in \Theta(n)$$

$C_{\text{worst}}(n)$  or  $C_{\text{average}}(n)$  or  $C_{\text{best}}(n)$  yazmadık çünkü içi  
için de geçerli analiz yapıyoruz



\* Bir dizideki elementler unique mi?

UniqueElements ( $A[0, \dots, n-1]$ )

- for  $i \leftarrow 0$  to  $n-2$  do
  - for  $j \leftarrow i+1$  to  $n-1$  do
    - if  $A[i] = A[j]$  return false
  - return true

basic op: comparison  
if  $A[i] = A[j]$

$$\begin{aligned} C_{\text{worst}}(n) &= \sum_{i=0}^{n-2} \sum_{j=i+1}^{n-1} 1 = \sum_{i=0}^{n-2} [(n-1) - (i+1) + 1] = \sum_{i=0}^{n-2} (n-1-i) \\ &= \sum_{i=0}^{n-2} (n-1) - \sum_{i=0}^{n-2} i = (n-1) \cdot \sum_{i=0}^{n-2} 1 - \frac{(n-2)(n-1)}{2} \\ &= (n-1) \cdot (n-1) - \frac{(n-2)(n-1)}{2} = (n-1)^2 - \frac{(n-2)(n-1)}{2} \\ &= \frac{(n-1)n}{2} \approx \frac{1}{2}n^2 \in \Theta(n^2) \end{aligned}$$

$T(n) \approx c_c C(n) = c \cdot n^2$   
 running time  $\rightarrow$  cost of basic op. ( $c$ )  
 $c_c$  is the time of one comparison on the machine in question.

### Reküratif Algoritmanın Analizi

$n! = 1 \cdot 2 \cdots (n-1) \cdot n = (n-1)! \cdot n$  for  $n \geq 1$

\* Factorial ( $n$ )

- if  $n = 0$  return 1
- else return  $\text{Factorial}(n-1) \cdot n$

Basic op  $\rightarrow$  Çarpma

genel ifade  $\rightarrow F(n) = F(n-1) \cdot n$  for  $n > 0$

matematiksel ifade  $\rightarrow M(n) = \underbrace{M(n-1)}_0 + 1$

to compute  $M(n-1)$  by  $F(n-1)$

⇒ Rekürans Bağıntısı

initial condition (duruma noktasi) : if  $n = 0$  return 1

$M(0) = 0$

the calls stop when  $n = 0$

Lyrebird Studio



$$M(n) = M(n-1) + 1$$

$$M(0) = 0$$

Method of backward substitution

$$M(n) = M(n-1) + 1 \quad \text{substitute } M(n-1) = M(n-2) + 1$$

$$= [M(n-2) + 1] + 1 = M(n-2) + 2 \quad \text{substitute } M(n-2) = M(n-3) + 1$$

$$= [M(n-3) + 1] + 2 = M(n-3) + 3 \quad \vdots$$

$$M(n) = M(n-i) + i \quad i < n$$

$$= M(n-n) + n$$

$$= M(0) + n$$

$$= 0 + n = n \rightarrow \text{Algoritma Karmasılığı!}$$

\* Binary Digit Sayısı hesaplama

Binary Digit( $n$ )

if  $n=1$  return 1

else return Binary Digit( $\lfloor n/2 \rfloor$ ) + 1

basic op : toplama işlemi

$$A(n) = A(\lfloor n/2 \rfloor) + 1$$

for  $n \geq 1$

initial condition: if  $n=1$  return 1

$$A(1) = 0$$

Smoothness Rule:  $n = 2^k$

$$A(2^k) = A(2^{k-1}) + 1 \quad \text{for } k > 0$$

$$A(2^0) = 0$$

$$A(2^k) = A(2^{k-1}) + 1 \quad \text{substitute } A(2^{k-1}) = A(2^{k-2}) + 1$$

$$= [A(2^{k-2}) + 1] + 1 = A(2^{k-2}) + 2 \quad \text{substitute}$$

$$A(2^{k-2}) = A(2^{k-3}) + 1$$

$$= [A(2^{k-3}) + 1] + 2 = A(2^{k-3}) + 3$$

$$\vdots$$

$$= A(2^{k-i}) + i \quad i < k$$

$$= A(2^{k-k}) + k$$

$$= A(2^0) + k$$

$$= 0 + k$$

$$\log_2 n \in \Theta(\log n)$$

**Exercise:**  $x(n) = x(n-1) + n$  for  $n \geq 0$ ,  $x(0) = 0$

$$\begin{aligned}
 & n \leftarrow n-1 \\
 & x(n-1) = x(n-2) + n-1 \\
 & x(n) = [x(n-2) + n-1] + n = x(n-2) + (n-1) + n \\
 & = [x(n-3) + (n-2)] + (n-1) + n = x(n-3) + (n-2) + (n-1) + n \\
 & \vdots \\
 & = x(n-i) + (n-i+1) + (n-i+2) + \dots + n \\
 & i=n \\
 & = x(0) + 1 + 2 + \dots + n = 0 + \frac{n \cdot (n+1)}{2} = \frac{n(n+1)}{2}
 \end{aligned}$$

**Exercise:**  $x(n) = x(n/3) + 1$  for  $n \geq 1$ ,  $x(1) = 1$ ,  $n = 3^k$

$$\begin{aligned}
 & = [x(n/3) + 1] + 1 \\
 & = [x(n/3^2) + 1] + 2 \\
 & = [x(n/3^3) + 1] + 3 \\
 & \vdots \\
 & = [x(n/3^i) + 1] + i-1 \quad i=k
 \end{aligned}
 \quad \begin{aligned}
 & = [x(3^k/3^k) + 1] + k-1 \\
 & = [x(1) + 1] + k-1 \\
 & = k+1 //
 \end{aligned}$$

**Exercise:**  $\sum_{i=2}^{n-1} \log_2 i^2$  Büyüme derecesi nedir?

$$\begin{aligned}
 & = 2 \sum_{i=2}^{n-1} \log_2 i^2 = 2 \underbrace{\sum_{i=1}^n \log_2 i^2}_{E[2\Theta(n\log n)]} - 2 \log_2 n \\
 & = \Theta(n\log n) - \Theta(\log n) = \Theta(n\log n)
 \end{aligned}$$

### Brute Force and Exhaustive Search

Brute force is a straightforward approach to solving a problem, usually directly based on the problem statement and definitions of the concepts involved.

1.  $a^n = a \cdot a \cdot a \dots a$
2.  $\text{gcd}(m, n) \rightarrow$  teker teker bölmeye işlemi
3. Selection sort ve bubble sort  $\rightarrow$  tüm elemanlara bakılır.
4. Linear (sequential) Search
5. Brute Force String Matching

6. Closest pair  
7. Convex hull

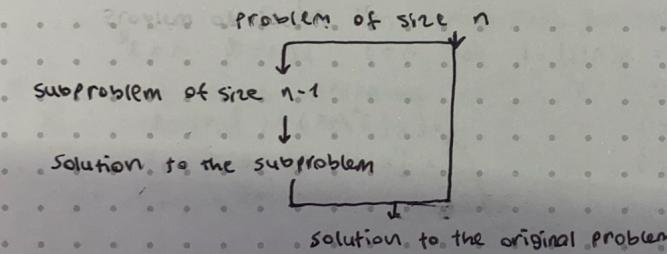


Exhaustive Search is simply a brute force approach to combinational problem.

1. Traveling salesman problem  $\rightarrow$  Tüm şehirleri gezmek için kisa yol:
2. Knapsack problem  $\rightarrow$  Hırsız kavallına neleri süzdirebilir?

### Decrease and Conquer

- $\rightarrow$  Sabit bir değer ile aralıktararak çözmek
- $\rightarrow$  Sabit bir değişken ile aralıktararak çözmek
- $\rightarrow$  Dağızen bir değişken ile aralıktararak çözmek



$$\star a^n = a^{n-1} \cdot a$$

$$\star f(n) = \begin{cases} f(n-1) \cdot a, & \text{if } n > 0 \\ 1, & \text{if } n = 0 \end{cases} \quad > \text{decrease by one and conquer}$$

$$\star a^n = (a^{n/2})^2$$

$$\star a^n = \begin{cases} (a^{n/2})^2, & \text{if } n \text{ is even} \\ ((a^{(n-1)/2})^2 \cdot a, & \text{if } n \text{ is odd} \\ 1, & \text{if } n = 0 \end{cases} \quad > \text{decrease by a constant factor}$$

$$\star \gcd(m, n) = \gcd(n, m \bmod n) \quad > \text{variable size decrease}$$

### Binary Search Algorithm

- decrease by a constant factor

input: an array  $A[0,..n-1]$  sorted in an ascending order  
and a search key  $K$

output: index or -1 if there is no such element

pseudocode:

```

l ← 0; r ← n-1
while (l ≤ r) do {
    m ← ⌊(l+r)/2⌋
    if K = A[m] return m
    else if K < A[m] r ← m-1
    else l ← m+1
}
return -1
    
```

← iterative algorithm

$$C_w(n) = C_w(\lfloor n/2 \rfloor) + 1 \quad \text{for } n \geq 1, \quad C_w(1) = 1$$

$$n = 2^k$$

$$C_w(2^k) = k+1 = \log_2 n + 1$$

$$C_w(n) = \lfloor \log_2 n \rfloor + 1 = \lceil \log_2(n+1) \rceil$$

### Divide and Conquer Algoritmaları

1. Problemi eşit sayıda daha küçük instance'lar'a ayıriz.

2. Küçük instance'lar çözüldür. (genel olarak recursive)

3. İhtiyaç varsa, çözümler birleştirilir.

### Master Theorem

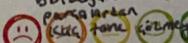
If  $f(n) \in \Theta(n^d)$ , where  $d \geq 0$ , then

$$T(n) \in \begin{cases} \Theta(n^d) & \text{if } a < b^d \\ \Theta(n^d \log n) & \text{if } a = b^d \\ \Theta(n^{\log_b a}) & \text{if } a > b^d \end{cases}$$

$$T(n) = a T(n/b) + f(n) \rightarrow \text{problem: bölme ve birleştirme}$$

$\swarrow$  instance  $\nwarrow$  bölgelerin sayısı  $\nearrow$  bölgem  $\searrow$  sayısı

parametrenin her birini  $n/b$  katına yükselttiğimizde.



$$A(n) = 2A(n/2) + 1 \quad \rightarrow n^4$$

$$a=2$$

$$b=2$$

$$f(n) \in \Theta(n^d) \Rightarrow d=0$$

$$A(n) \in \Theta(n^{\log_2 2}) = \Theta(n^1) = \Theta(n)$$

Merge Sort vs Quicksort

Merge  $\rightarrow O(n\log n)$  → best case  
average case  
worst case

Quicksort  $\rightarrow O(n\log n)$  → best  
 $O(n^2)$  → worst  
 $O(n\log n)$  → average

merge sort ( $A[0..n-1]$ )

if  $n > 1$

copy  $A[0.. \lfloor n/2 \rfloor - 1] \rightarrow B[0.. \lfloor n/2 \rfloor - 1]$

copy  $A[\lceil n/2 \rceil .. n-1] \rightarrow C[0.. \lceil n/2 \rceil - 1]$

Mergesort ( $B[0.. \lfloor n/2 \rfloor - 1]$ )

Mergesort ( $C[0.. \lceil n/2 \rceil - 1]$ )

Merge ( $B, C, A$ )

merge ( $B[0..p-1], C[0..q-1], A[0..p+q-1]$ )

$i \leftarrow 0; j \leftarrow 0; k \leftarrow 0$

while ( $i < p$ ) and ( $j < q$ ) do

if  $B[i] \leq C[j]$

$A[k] \leftarrow B[i]; i \leftarrow i+1$

else

$A[k] \leftarrow C[j]; j \leftarrow j+1$

$k \leftarrow k+1$

if  $i = p$

copy  $C[j..q-1]$  to  $A[k..p+q-1]$

else

copy  $B[i..p-1]$  to  $A[k..p+q-1]$



basic operation  $\rightarrow n \geq 1$ , compare

$$C(n) = 2C(n/2) + C_{\text{merge}}(n) \quad \text{for } n \geq 1, \quad C(1) = 0$$

$$C_{\text{worst}}(n) = 2C_{\text{worst}}(n/2) + \frac{n-1}{f(n)}$$
$$\left. \begin{array}{l} a=2 \\ b=2 \\ c=1 \end{array} \right\} O(n^2 \log n) \rightarrow \text{Mergesort} \in \Theta(n \log n)$$

Quicksort

$$A[0] \dots A[s-1], A[s], A[s+1] \dots A[n-1]$$

Quicksort( $A[l \dots r]$ )

if  $l < r$

$s \leftarrow \text{partition}(A[l \dots r])$

Quicksort( $A[l \dots s-1]$ )

Quicksort( $A[s+1 \dots r]$ )

Hoare Partition( $A[l \dots r]$ )

$p \leftarrow A[l]$

$i \leftarrow l, j \leftarrow r+1$

repeat

repeat  $i \leftarrow i+1$  until  $A[i] \geq p$

repeat  $j \leftarrow j-1$  until  $A[j] \leq p$

swap( $A[i], A[j]$ )

until  $i \geq j$

swap( $A[i], A[j]$ )

swap( $A[l], A[i]$ )

return  $j$

$$C_{\text{best}}(n) = 2C_{\text{best}}(n/2) + n \quad \text{for } n \geq 1, \quad C_{\text{best}}(1) = 0$$

$$a=2, b=2, d=1, \quad C_{\text{best}} = n \log n$$

$$C_{\text{worst}}(n) = \underbrace{(n+1) + n + \dots + 3}_{f(n)} = \frac{(n+1)(n+2)}{2} - 3 \in \Theta(n^2)$$

Randomly ordered array of size  $n$ .

Assuming that partition split can happen in each position  $s$  ( $0 \leq s \leq n-1$ ) with the same probability  $1/n$

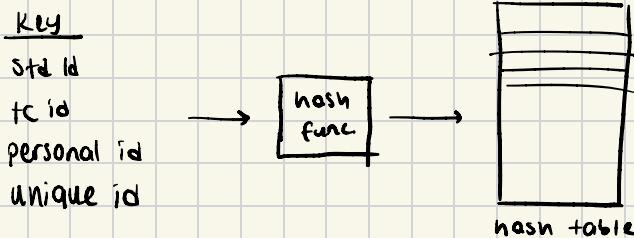
$$C_{\text{avg}}(n) = \frac{1}{n} \sum_{s=0}^{n-1} [ (n+1) + C_{\text{avg}}(s) + C_{\text{avg}}(n-1-s) ] \quad \text{for } n \geq 1, \quad C_{\text{avg}}(0) = 0$$
$$C_{\text{avg}}(1) = 0$$

$$C_{\text{avg}}(n) = 2n \ln n \approx 1.38 n \log n \rightarrow 1.38 \text{ more comparisons}$$

## Search Operations (Algorithms)

- Linear (Sequential) Search      worst case  $O(n)$
- Unsorted dataset
- Sorted dataset
- Binary Search (Sorted dataset)       $O(\log_2 n)$
- Binary search tree       $O(n)$
- Hashing       $O(1)$

## HASHING



hash table operations: search, insert, delete

- 1) Easy to compute (minimal mathematical operations)
- 2) consistency
- 3) uniform address generation

example Key mod m  
 ↘ table length

if  $m=10 \rightarrow$  key  $\rightarrow 100, 90, 50$  için aynı değerler

### Most Common Hash Function

#### 1) Division method

$$\text{hash(key)} = \text{key mod } m$$

$$m=11 \quad \left. \begin{array}{l} \text{hash}(25)=3 \\ \text{key}=25 \end{array} \right\}$$

	0
	1
	2
25	3

Hashing disadvantages: Collision

↳ Birden fazla key'in aynı yeri işaret etmesi.

## 2) Multiplication Method

A: constant  $0 < A < 1$

$$A = \frac{\sqrt{5}-1}{2} = 0,618033$$

$$\text{hash(key)} = L \cdot m + \lfloor (\text{key} * A) \bmod 1 \rfloor$$

$$= \text{key} * A \bmod 1 = \text{key} * A - \lfloor \text{key} * A \rfloor$$

$$m = 10000 \quad \text{key} = 123456$$

$$\text{hash(key)} = L 10000 * \underbrace{(123456 * 0,618033) \bmod 1}_{76309,0041151}$$

$$= 10000 * 0,0041151$$

$$= 41,151$$

$$\text{hash}(123456) = 41 \Rightarrow 123456 \rightarrow \boxed{41} \rightarrow 41$$

Birthday Paradox: Bir ortamda ki kişiinin doğum günlerinin aynı olma olasılığı nedir?

$$365 \rightarrow \frac{364}{365} \cdot \frac{363}{365} \cdots \frac{365-(k-1)}{365} \rightarrow \text{farklı olma olasılığı}$$

(K sayıının çakışmama olasılığı)

Hash Table için

$$\text{Table size} = 365$$

$$\frac{m-1}{m} \cdot \frac{m-2}{m} \cdots \frac{m-(k-1)}{m} \sim e^{\frac{-k(k-1)}{m}}$$

$\left. \begin{array}{l} \text{K sayıının çakışmama} \\ \text{olasılığı} \end{array} \right\}$

$$\text{Hash collision probability: } 1 - e^{\frac{-k(k-1)}{m}}$$

$$M = 2^{32} \quad 32 \text{ bit hash values} \rightarrow \text{after 250000 insert } \% 100$$

$\rightarrow$  after 77163 insert  $\% 50$

### Key

1. integer

2. floating number  $\rightarrow$  convert to integer

3. string

'ELMA', 'MALE', 'ALME' should not generate the same address

a)  $h \leftarrow \emptyset$  ↑ size of string  
 for  $i \leftarrow 0$  to  $S-1$  do  
 $h \leftarrow (h * C + \text{ord}(c_i)) \bmod M$  ↑ table length  
↓ constant

b) Horner's method

$$\text{key} = R^{L-1} * \text{str}[0] + \dots + R^0 * \text{str}[L-1]$$

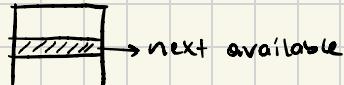
31 ↑ length    ↓ str[0] - 'A' + 1

#### 4. Compound Keys

Birthdate: 31.08.1997  $\rightarrow$  31.  $R + 8. R^2 + 1997. R^3$

#### Solution for Collision Problem

1. Open Hashing (Separate Chaining)    2. Closed Hashing (Open Addressing)



#### Open Addressing

##### a) Linear Probing

$$\text{hash}(\text{key}, i) = [h'(\text{key}) + i] \bmod M$$

$$m = 7$$

$$\text{key} = 9$$

$$\text{hash}(9, 0) = 9 \% 7 = 2$$

$$\text{key} = 16$$

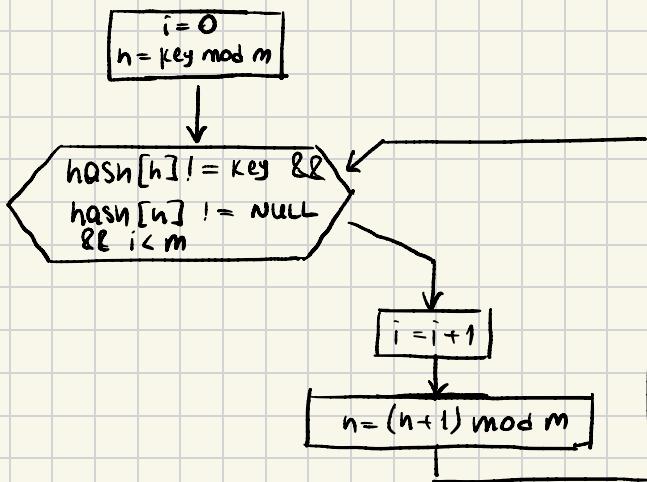
$$\text{hash}(16, 0) = 2$$

$$\text{hash}(16, 1) = (16+1) \% 7 = 3$$

0	23
1	8
2	9
3	16
4	11
5	12
6	13

If the table is almost full  $\rightarrow$  REHASHING

## Search Operation



## Delete Operation

-1 → delete flag

Gök fazla silersek → redesign.

Problem : Clustering

each element positions itself after its expected place.

## b) Quadratic Probing

$$h(k, i) = (n'(k) + i^2) \bmod M$$

$$M = 7$$

$$\text{hash}(9, 0) = 2$$

$$\text{hash}(9, 1) = (2 + 1) \bmod 7 = 3$$

$$\text{hash}(9, 2) = (2 + 2^2) \bmod 7 = 6$$

0	
1	
2	2
3	3
4	
5	
6	9

$$\text{ya } \rightarrow h(k, i) = (n'(k) + c_1 \cdot i + c_2 \cdot i^2)$$

$$c_1 = 1 \quad c_1 = 2 \quad c_2 = 2$$

$$\text{hash}(9, 1) = (2 + 2 \cdot 1 + 1 \cdot 1^2) \bmod 7 = 5$$

### c) Double Hashing

$$\text{hash}(\text{key}, i) = [h_1(\text{key}) + i \cdot h_2(\text{key})] \bmod m$$

$$h_1(\text{key}) = \text{key mod } m$$

$$h_2(\text{key}) = 1 + (\text{key mod } K) \quad K < m$$

	79			63	58		72		19			
0	1	2	3	4	5	6	7	8	9	10	11	12

$$h_1(\text{key}) = \text{key mod } 13$$

$$h_2(\text{key}) = 1 + (\text{key mod } 11)$$

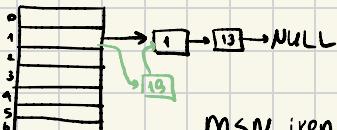
Key = 19

$$\text{hash}(19, 0) = 19 \bmod 13 = 1 \quad \text{dolu}$$

$$\text{hash}(19, 1) = [(19 \bmod 13) + 1 \cdot (1 + (19 \bmod 11))] \bmod 13 = 5 \quad \text{dolu}$$

$$\text{hash}(19, 2) = [(19 \bmod 13) + 2 \cdot (1 + (19 \bmod 11))] \bmod 13 = 9$$

### Separate Chaining



M < N iken mantıklıdır.

$$\text{Key} = 13 \quad 13 \div 6 = 1$$

$$\text{Key} = 1 \quad 1 \div 6 = 1$$

$$\text{Key} = 19 \quad 19 \div 6 = 1$$

→ insert

struct hash {

struct node {

→ delete

struct node \*head;

int key;

→ search

int count;

char name[40];

}

struct node \*next;

}

```
Struct hash * hashTable = NULL;  
int main () {  
    int key;  
    char name [10];  
    hashTable = (struct hash *) calloc (M, sizeof (struct hash));  
    if (hashTable == NULL) {  
        printf ("Yer oklamadi.");  
        exit(0);  
    }  
    insertToHash (key, name);  
    searchInHash (key);  
    deleteFromHash (key);  
}
```

```
void insertToHash (int key, char name []) {  
    int hashIndex = key % M;  
    struct node * newNode = createNode (key, name);  
    if (!hashTable [hashIndex].head) {  
        hashTable [hashIndex].head = newNode;  
        hashTable [hashIndex].count = 1;  
        return;  
    }  
    newNode -> next = (hashTable [hashIndex].head);  
    hashTable [hashIndex].head = newNode;  
    hashTable [hashIndex].count += 1;  
    return;  
}
```

```
Struct node * createNode (int key, char * name) {  
    struct node * newNode = (struct node *) malloc (sizeof (struct node));  
    newNode -> key = key;  
    strcpy (newNode -> name, name);  
    newNode -> next = NULL;  
    return newNode;  
}
```

```

void searchInHash( int key ) {
    // linked liste ornatma
}

```

## Universal Hashing

$h_1()$   
 $h_2()$   
 $h_3()$   
 $\vdots$   
 $h_k()$

randomly choose  
 $h_i$  a hash func.

$$h_{a,b}(\text{key}) = [(a * \text{key} + b) \bmod p] \bmod m$$

$a, b, p \rightarrow$  chosen randomly

$$p = 17 \quad m = 6 \quad a = 3 \quad b = 4$$

↳ not random

$$\text{key} = 8 \Rightarrow h(8) = ((3 * 8 + 4) \bmod 17) \bmod 6 = 5$$

Open addressing :  $M > N$

$$\alpha = \frac{N}{M} \quad \text{load factor}$$

Separate chaining :  $M \leq N$

$$\alpha = 0,5$$

<u><math>\alpha</math></u>	<u># of (average probe)</u>	
0,1	1,11	
0,2	1,28	open addressing
0,3	1,52	icin ort.adm
0,4	1,89	soyisi
0,5	2,5	
0,6	3,62	
0,7		
0,8	13,00	
0,9	50,5	

## unsuccessful search

$$P(\text{first location is occupied}) = \alpha$$

$$P(\text{empty cell}) = 1 - \alpha$$

$$P(\text{first two is occupied}) = \alpha \cdot \alpha$$

$$P(\text{probe terminates 2 steps}) = \alpha \cdot (1 - \alpha)$$

$$P(\text{probe terminates } k \text{ steps}) = \alpha^{k-1} \cdot (1 - \alpha)$$

average number of steps

$$\sum_{k=1}^m k \cdot \alpha^{k-1} \cdot (1 - \alpha) \Rightarrow \text{geometric series} = \frac{1}{1 - \alpha}$$

$$S \approx \frac{1}{2} \left( 1 + \frac{1}{1 - \alpha} \right) \quad \text{and} \quad U = \frac{1}{2} \left( 1 + \frac{1}{(1 - \alpha)^2} \right)$$

open addressing

$\alpha$	$S$	$U$
50%	1,5	2,5
75%	2,5	8,5
90%	5,5	50,5

$$S \approx 1 + \frac{\alpha}{2}$$

$$U = \alpha$$

$$N=2 \Rightarrow \alpha=2$$

$$M=1$$

$$N=100 \Rightarrow \alpha=4$$

$$M=25$$

separate chaining

avg. # probes



$\alpha, 1$  'le sınırlı olduğundan open addressing yöntemlerinin grafiği

Bir kesit alındığında successful search isin linear probingde daha fazla deneme yapmak gereklidir.

# DYNAMIC PROGRAMMING

- Optimization Problems → grafi en ar renkle boyama problemi gibi
- Combinatoric Problems →  $n$  tane özellikten en iyi bararayı sağlayan kombinasyon =  $2^n - 1$

Dynamic programming is a technique for solving problems with overlapping subproblems. Typically, these subproblems arise from a recurrence relating a given problem's solution to solutions of its smaller subproblems.

Dynamic Programming suggest solving each of the smaller subproblems only once and recording the results in a table from which a solution to the original problem can then be obtained.

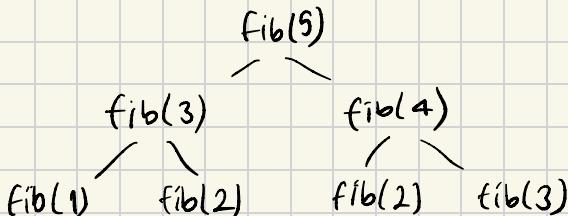
Principal of Optimality → Bir problemin optimal sonucuna ulaşmak için Küçük problemlerin optimal sonuçları bulunabilir.

## Fibonacci Numbers

$\emptyset, 1, 1, 2, 3, 5, 8, 13, 21, \dots$

$$F(n) = F(n-1) + F(n-2) \text{ for } n > 1 \quad F(\emptyset) = 0 \quad F(1) = 1$$

```
int fibonacci (int n) {  
    if (n == 0)  
        return 0  
    if (n == 1)  
        return 1  
    return fibonacci(n-1) + fibonacci(n-2)}
```



0	1	2	3	4	5	6	7	8
0	1	1	2	3	5	8	13	21

Space complexity



## Coin-row problem

$c_1, c_2, c_3, \dots, c_n$

5, 1, 2, 10, 6, 2

5, 2, 6

yan yana olan

1, 10, 2

coinler alınmaz

5, 10, 2

index	0	1	2	3	4	5	6
coins $\leftarrow C$		5	1	2	10	6	2
F	0	5	5	7	15	15	17

elde edilebilecek max cost

$$5+2+6=13 \text{ oldu, } 15 \text{ daha büyük}$$

$$\begin{aligned} F(n) &= \max \{c_n + F(n-2), c_{n-1}\} \\ &= \max \{c_n + F(n-2), F(n-1)\} \quad \text{for } n > 1 \\ F(0) &= 0 \quad F_1 = c_1 \end{aligned}$$

$$F(0) = 0, F_1 = 5$$

$$F(2) = \max \{1 + \emptyset, 5\} = 5$$

$$F(3) = \max \{2 + 5, 5\} = 7$$

$$F(4) = \max \{10 + 5, 7\} = 15$$

$$F(5) = \max \{6 + 7, 15\} = 15$$

$$F(6) = \max \{2 + 15, 15\} = 17$$

hangi coinleri aldık?

index	0	1	2	3	4	5	6
C		5	1	2	10	6	2
F	0	5	5	7	15	15	17

değirmen 1'i aldık  
değirmen 4'u aldık  
değirmen 6'yi aldık

time complexity  $\Theta(n)$

space complexity  $\Theta(n)$

## Knapsack Problem

w = weight

n = number of items

? Brute force ite  $2^n - 1$

w = 5

item	weight	value
1	2	10
2	1	20
3	3	15
4	4	50
5	5	90

1, 2  $\rightarrow$  30

2, 3  $\rightarrow$  35

1, 3  $\rightarrow$  25

2, 4  $\rightarrow$  70

5  $\rightarrow$  90

w = 5

item	weight	value
1	2	12
2	1	10
3	3	20
4	2	15

		0	1	2	3	4	5
		0	0	0	0	0	0
		1	0	0	12	12	12
		2	0	10	12	22	22
		3	0	10	12	22	32
		4	0	10	15	25	30

max

Maximize  $\sum V_i$  Subject to W

Case 1: take item i

Case 2: not take item i

$$P(i, w) = P(i-1, w-w_i) + v_i$$

$$P(i, w) = P(i-1, w)$$

$$P(3, 3) = P(2, 1) + v_3$$

$$P(1, 3) = \max \{ P(0, 1) + 12, P(0, 3) \}$$

$$= 12$$

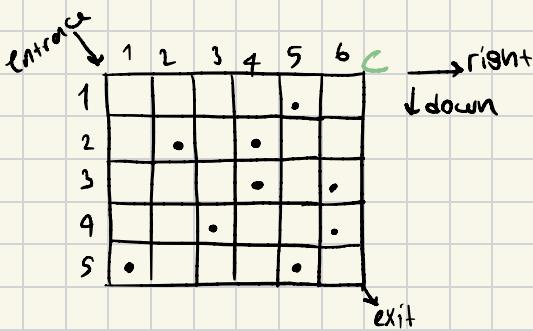
$$P[i, w] = \begin{cases} P[i-1, w], & \text{if } w_i > w \\ \max \left\{ P[i-1, w-w_i] + v_i, P[i-1, w] \right\}, & \text{otherwise} \end{cases}$$

initialization  
 $P[0, w] = 0$   
 $P[i, 0] = 0$

	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	12	12	12	12
2	0	10	12	22	22	22
3	0	10	12	22	30	32
4	0	19	15	25	30	37

$\uparrow$  not taken:  $P[i, j] = P[i-1, j]$   
 $\nwarrow$  taken:  $P[i-1, w-w_i] = P[3, 3]$   
 4  $\rightarrow$  3    5  $\rightarrow$  3

### Robot Coin Collection Problem



	1	2	3	4	5	6
1	0	0	0	0	1	1
2	0	1	1	2	2	2
3	0	1	1	3	3	4
4	0	1	2	3	3	5
5	1	1	2	3	4	5

Time complexity =  $\Theta(nm)$

Space complexity =  $\Theta(nm)$

### Reconstruction of Optimal Solution

```

 $F[1,1] \leftarrow C[1,1]$ 
for  $j \leftarrow 2$  to  $m$  do
   $F[1,j] \leftarrow F[1,j-1] + C[1,j]$ 
for  $i \leftarrow 2$  to  $n$  do
   $F[i,1] \leftarrow F[i-1,1] + C[i,1]$ 
  for  $j \leftarrow 2$  to  $m$  do
     $F[i,j] \leftarrow \max(F[i-1,j], F[i,j-1]) + C[i,j]$ 
return  $F[n,m]$ 
  
```

# EDIT DISTANCE (Levenshtein Algorithm)

The Levenshtein distance is a string metric for measuring difference between two sequences.

① sunny  
      sumny

② sunny  
      suny

③ sunny  
      sunny

- substitution
- replacement

- deletion

- insertion

dictionary

sunny →  
suny →

dist(MATHS, ARTS)

M A T H S  
- A R T S  
↑ x ↑ ↑ x  
insert change

distance = 3

M A T H S  
A R T - S  
↑ ↑ x ↑ r  
change insert

distance = 3

String 1:  $x[1 \dots m]$

① copy  $x[i]$

String 2:  $y[1 \dots n]$

=

$y[j]$

② change  $x[i]$

≠

$y[j]$

③ insert  $x[i]$

↓

-

MATHS

ART-S

④ delete ↓

$y[j]$

MA-S

ARTS

↑↑↑x

	A	R	T	S
M	0 1 2 3 4			
A	1 1 2 3 4			
T	2 1 2 3 4			
H	3 2 2 2 3			
S	4 3 3 3 3			
	5 4 4 4 3			

case 1: copy

$$ED[i, j] = ED[i-1, j-1]$$

case 2: change

$$ED[i, j] = ED[i-1, j-1] + \text{cost}$$

case 3: insert

$$ED[i, j] = ED[i-1, j] + \text{cost}$$

case 4: delete

$$ED[i, j] = ED[i, j-1] + \text{cost}$$

eşitlik olduğunda :

$$\min \{ \text{sağ}, \text{sol} \}$$

eşitlik yoksa :

göpraz + yeni 'ye de back

## Recurrence Relation

$$ED[i, j] = \begin{cases} ED[i-1, j-1] + \emptyset & , \text{if } (x[i] = y[j]) \\ \min \left\{ \begin{array}{l} ED[i-1, j-1] \\ ED[i-1, j] \\ ED[i, j-1] \end{array} \right\} & , \text{otherwise} \end{cases}$$

initialize :  $ED[i, \emptyset] = i$  insert

$ED[\emptyset, j] = j$  delete

	-	H	Y	U	N	D	A	I
-	0	1	2	3	4	5	6	7
H	1	0	1	2	3	4	5	6
O	2	1	1	2	3	4	5	6
N	3	2	2	2	2	3	4	5
D	4	3	3	3	3	2	3	4
A	5	4	4	4	4	3	2	3

$$\text{dist(HONDA, HYUNDAI)} = 3$$

H O - N D A -

H Y U N D A I

# LONGEST COMMON SUBSEQUENCE

X: ATCTGAT

longest common subsequence of X and Y

Y: TGCATA

$$\text{LCS}(X, Y) = \text{TCTA}$$

$$\text{LCS}(X, Y) = \text{TGAT}$$

K02010AK  $\rightarrow$  K01, K01, K01A, K01K  
Subsequences

$$X = \langle x_1, x_2, \dots, x_m \rangle \quad \left. \begin{array}{c} \\ \end{array} \right\} \text{LCS}$$

$$Y = \langle y_1, y_2, \dots, y_n \rangle \quad \left. \begin{array}{c} \\ \end{array} \right\}$$

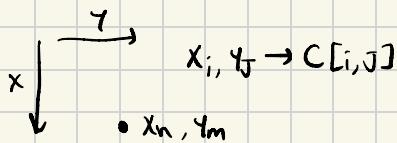
$$S = \langle s_1, s_2, \dots, s_r \rangle$$

$$s_{i_1}, s_{i_2}, \dots, s_{i_k}$$

$$i_1 < i_2 < \dots < i_k$$

$$X: \langle BACDGB \rangle$$

$$Y: \langle BDCCB \rangle$$



B	D	C	B	
O	0	0	0	0
B	0	1	1	1
A	0	1	1	1
C	0	1	1	2
D	0	1	2	2
B	0	1	2	3

\* erste vor, später

$$\text{LCS}(X, Y) = 3$$

(BCB), (BGB)

$$\text{if } i = \emptyset \rightarrow C[\emptyset, j] = \emptyset$$

$$\text{if } j = \emptyset \rightarrow C[i, \emptyset] = \emptyset$$

$$C[i, j] = \begin{cases} \emptyset & , \text{if } i = \emptyset \text{ or } j = \emptyset \\ C[i-1, j-1] + 1 & , \text{if } i, j > 0, x_i = y_j \\ \max \{ C[i-1, j], C[i, j-1] \} & , \text{if } x_i \neq y_j \end{cases}$$

- ADD

$$x_i = y_j \Rightarrow C[i, j] = \text{ADD} \rightarrow \emptyset$$

- SKIP X

$$x_i \neq y_j \Rightarrow C[i-1, j] \geq C[i, j-1] \text{ then}$$

- SKIP Y

$x_i$  not in LCS

$$C[i, j] = \text{SKIP X}$$

else

$$C[i, j] = \text{SKIP Y}$$

$y_j$  not in LCS

```

while ( (i) == φ ) && (j != φ) ) {
    switch (B[i][j] ) {
        case ADD: {
            add X[i] to front of LCS
            i--;
            j--;
            break;
        }
        case SKIPX: {
            i--;
            break;
        }
        case SKIPY: {
            j--;
            break;
        }
    }
}

```



## GRAPHS

- Binary Search Tree
- Shortest path algorithm

Graph  $G = \langle V, E \rangle$

1. Directed (Digraph)
2. Undirected

Adjacency Linked List  $\rightarrow$  kenar sayısı azsa kullanılır.

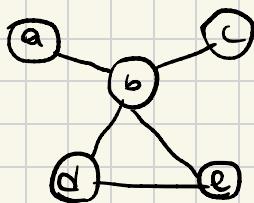
Adjacency matrix  $\rightarrow$  düğüm sayısı kenar sayısına yakinsa.

Dense  
matrix

Sparse  
Adjacency  
linked list

**Complete Graph:** Tüm düğümlerin bir kenar bağlantısı vardır.

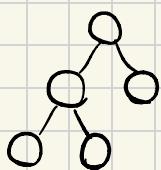
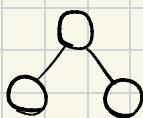
**Weight Graph:** Kenarlar değerlere sahiptir.



2 connected component.



**Connectivity:** Her pair için bir pairden diğer pair'e yol olmalı.



} forest  
(random forest → karar algoritması)

Depth-first-search → stack

Breadth-first-search → queue

Algorithm DFS(G)

mark each vertex in V with  $\emptyset$  as a mark of being 'unvisited'  
count  $\leftarrow \emptyset$

for each vertex v in V do

if v is marked with  $\emptyset$   
dfs(v)

— — — — —

dfs(v)

count  $\leftarrow$  count + 1; mark v with count

for each vertex w in V adjacent to v do

if w is marked with  $\emptyset$   
dfs(w)

### Algorithm BFS(G)

mark each vertex in  $V$  with  $\emptyset$  as a mark of being 'unvisited'  
count  $\leftarrow \emptyset$   
for each vertex  $v$  in  $V$  do  
  if  $v$  is marked with  $\emptyset$   
     $bfs(v)$

### $bfs(v)$

count  $\leftarrow count + 1$ ; mark  $v$  with count and initialize a queue with  $v$   
while the queue is not empty do  
  for each vertex  $w$  in  $V$  adjacent to the front vertex do  
    if  $w$  is marked with  $\emptyset$   
      count  $\leftarrow count + 1$ ; mark  $w$  with count  
      add  $w$  to the queue  
  remove the front vertex from the queue

### DFS

data structure

stack

# of vertex ordering

two ordering

edge types

tree and  
back edges

### BFS

queue

one ordering

tree and  
cross edge

efficiency for  
adjacency matrix

$\Theta(|V|^2)$

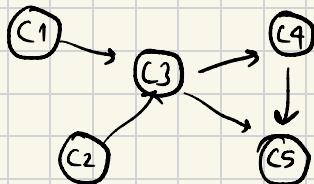
$\Theta(|V|^2)$

efficiency for  
adjacency list

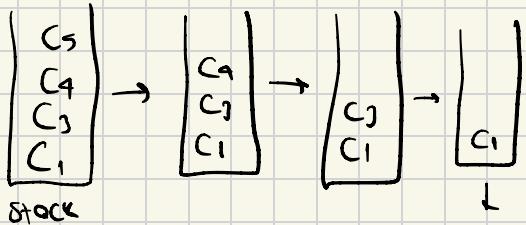
$\Theta(|V| + |E|)$

$\Theta(|V| + |E|)$

# TOPOLOGICAL SORTING

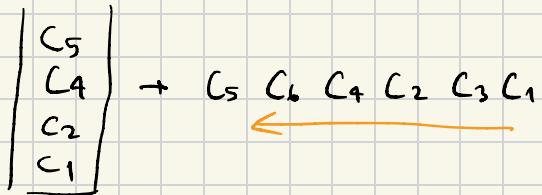
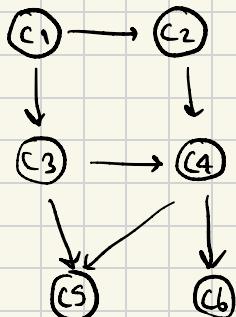
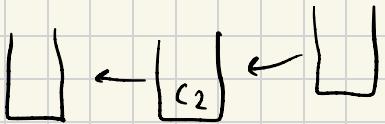


acyclic



popping off order

$C_5$   
 $C_4$   
 $C_3$   
 $C_1$



Decrease And Conquer

$C_1 \rightarrow$  baslangic, Kendisine giren ok yok.

$C_1$  alinip silinir.

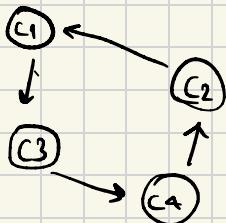
$C_2$  alinip silinir.

$C_3$  alinip silinir.

$C_4$  alinip silinir.

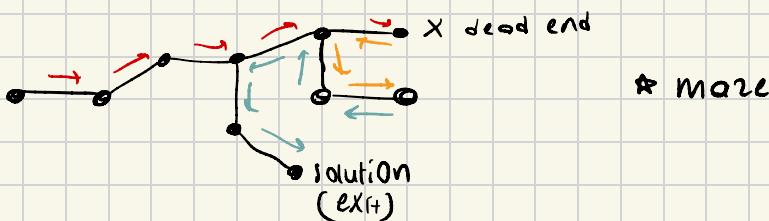
$C_5$  alinip silinir.

$C_6$  alinip silinir.



Başlangıç yok, Decrease and conquer nasıl uygulanır? —

## BACKTRACKING

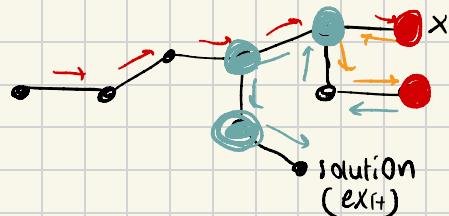


DFS with pruning → ağacın belirli opsiyonlarının kaldırılması  
↳ exhaustive'i iyileştirir

State space tree

→ promising ●

→ non promising ●



## Subset Sum Problem

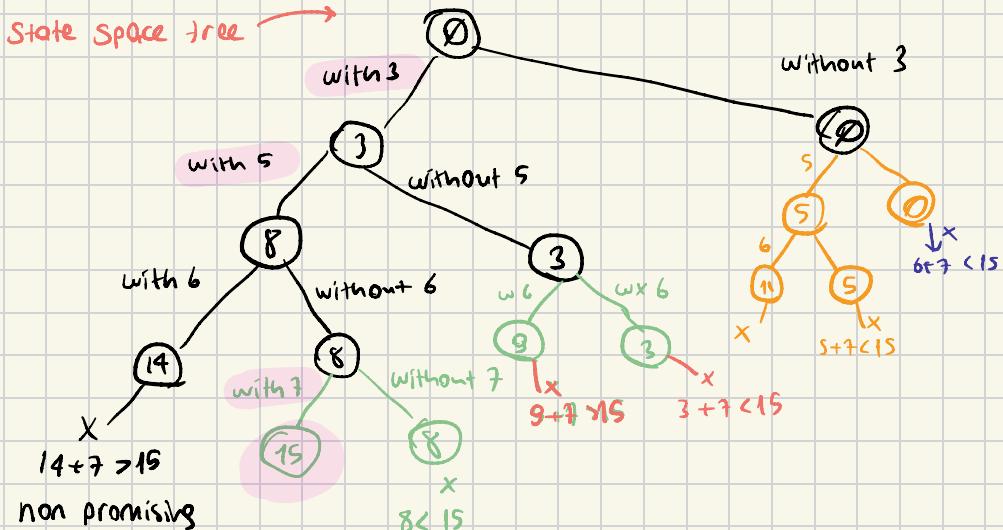
$A = \{a_1, \dots, a_n\}$   $n$  positive integer  $\rightarrow$  toplamları  $d$  yapan sayılar

$$A = \{1, 2, 5, 6, 8\} \quad d = 9 \quad \Rightarrow \quad \{1, 2, 6\}, \{1, 8\}$$

$$S = \{s_1, s_2, \dots, s_n\}$$

$$S = \{3, 5, 6, 7\} \quad d = 15$$

$s_1 \leq s_2 \leq \dots \leq s_n$  olmalı (sıralı değilse sırala)



If  $s$  is not equal to  $d$ , we can terminate the node as non promising if either of the following two inequalities holds

$$s + q_{i+1} > d \quad (\text{the sum is too large})$$

$$s + \sum_{j=i+1}^n q_j < d \quad (\text{the sum is too small})$$

### N - Queen Problem

#### 8-Queen Problem

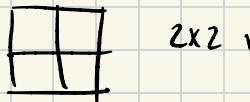
1) Brute force i.e.  $\binom{64}{8} = \frac{64!}{8! 56!} = 4,426,165,368$

2) One per row  $n^n = 8^8 = 16,777,216$

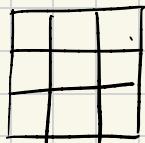
3) One per row  $n! = 8! = 40,320$   
One per column

4) Backtracking 2057 steps

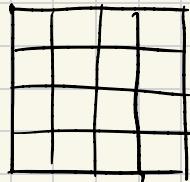
1x1 verirler yerlestirilemez



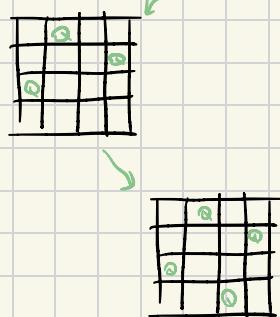
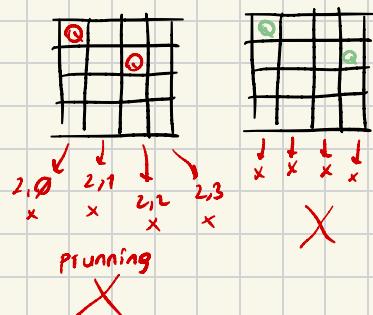
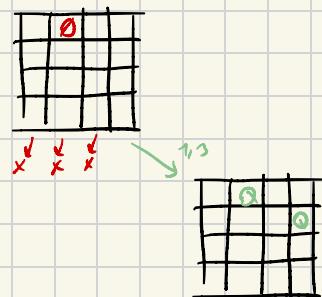
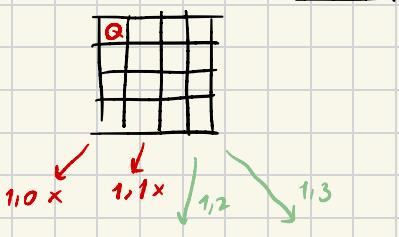
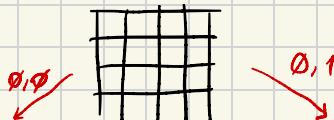
2x2 verirler yerlestirilemez



3x3 verirler yerlestirilemez



4x4 min bu olmalı



$\begin{bmatrix} \text{Col}\emptyset \\ \text{row}\emptyset \end{bmatrix} 0,0$

$\begin{bmatrix} \text{Col}1 \\ \text{row}1 \end{bmatrix} 1,1$

$\begin{bmatrix} \text{Col}2 \\ \text{row}2 \end{bmatrix} 2,2$

$\begin{bmatrix} \text{row}\emptyset \\ \text{Col}\emptyset \end{bmatrix} 0,2$

$\begin{bmatrix} \text{row}1 \\ \text{Col}1 \end{bmatrix} 1,1$

$\begin{bmatrix} \text{row}2 \\ \text{Col}2 \end{bmatrix} 2,0$

$$\alpha |c_{02} - c_{01}| = r_{ow2} - r_{ow1}$$

$$\checkmark |c_{0i} - c_{0j}| = |r_{ow_i} - r_{ow_j}|$$

1	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0

queenlerin  
yerini matris  
veşil diziyle  
soklarız.

0	1	2	3
2	3	0	0

0. sırada 2.sütunda bir queen var.

→ indisler  $\Rightarrow$  satır

→ dizi değeri  $\Rightarrow$  sütun

Algorithm Backtracking ( $x[1..i]$ )

if  $x[1..i]$  is a solution write  $x[1..i]$

else

for each element  $x \in S_{i+1}$  consistent with  $x[1..i]$  and the constraints do

$x[i+1] \leftarrow x$

Backtrack ( $x[1..i+1]$ )

$$1 + c_1 + c_1 c_2 + \dots + c_1 c_2 \dots c_n$$

## Branch and Bound

Optimization problem

↳ maximization

↳ minimization

↳ objective function

under certain constraints

→ Feasible solution

(her bir constrainti karşılar)

→ Optimal solution

(feasible 'dir arasında en iyi)

→ Assignment Problem

	Job 1	Job 2	Job 3	Job 4	
Person A	9	2	7	8	
Person B	6	4	3	7	
Person C	5	8	1	8	
Person D	7	6	9	4	

EN iyi kombinasyonda  $2+3+1+4 = 10$  birim maliyet ama Job 1 boş

Start  
 $LB = 2 + 3 + 1 + 4 = 10$

a → 1

$$LB = 9 + 3 + 1 + 4 = 17$$

a → 2

$$LB = 2 + 3 + 1 + 4 = 10$$

a → 3

$$LB = 7 + 4 + 5 + 4 = 20$$

a → 4

$$LB = 8 + 3 + 1 + 6 = 18$$

b → 1

$$LB = 2 + 6 + 1 + 9 = 18$$

b → 3

$$LB = 2 + 3 + 5 + 4 = 14$$

b → 4

$$LB = 2 + 7 + 1 + 7 = 17$$

c ← 3

d ← 4

$$cost = 13$$

c ← 4

d ← 3

$$cost = 25$$

Best first branch and bound

## → Knapsack Problem

$W = 10$

item	weight	value	value/weight
1	4	40	10
2	7	42	6
3	5	25	5
4	3	12	4

$$\frac{v_1}{w_1} > \frac{v_2}{w_2} > \dots > \frac{v_n}{w_n}$$

$$\begin{array}{|l|l|l|} \hline W=0 & V=0 & \\ \hline \text{UB} = 100 & & \\ \hline \end{array}$$

\* Best first branch and bound

with 1

$$\begin{array}{|l|l|l|} \hline W=4 & V=40 & \\ \hline \text{UB} = 76 & & \\ \hline \end{array}$$

without 1

$$\begin{array}{|l|l|l|} \hline W=0 & V=0 & \\ \hline \text{UB} = 60 & & \\ \hline \end{array}$$

with 2

$$W = 11 > W$$

without 2

$$\begin{array}{|l|l|l|} \hline W=4 & V=40 & \\ \hline \text{UB} = 70 & & \\ \hline \end{array}$$

not feasible

with 3

$$\begin{array}{|l|l|l|} \hline W=9 & V=65 & \\ \hline \text{UB} = 69 & & \\ \hline \end{array}$$

without 3

$$\begin{array}{|l|l|l|} \hline W=4 & V=40 & \\ \hline \text{UB} = 64 & & \\ \hline \end{array}$$

with 4

$$W = 12 > W$$

without 4

$$\begin{array}{|l|l|l|} \hline W=9 & V=65 & \\ \hline \text{UB} = 65 & & \\ \hline \end{array}$$

not feasible

→ Hungarian Method

# P, NP, NP COMPLETE, NP HARD

**Definition:** We say that an algorithm solves a problem in polynomial time if its worst-case time efficiency belongs to  $O(p(n))$  where  $p(n)$  is a polynomial of the problem's input size  $n$ .

Polynomial  $\rightarrow$  tractable

not solved in polynomial time  $\rightarrow$  intractable

**Definition:** Class P is a class of decision problems that can be solved in polynomial time by (deterministic) algorithm. This class of problems is called polynomial.

Undecidable  $\rightarrow$  Halting problem NP hard decidable

A: algorithm    P: program    I: input

$$A(P, I) = \begin{cases} 1, & \text{if program } P \text{ halts on input } I \\ \emptyset, & \text{if program } P \text{ does not halt on input } I. \end{cases}$$

$$Q(P) = \begin{cases} \text{halts} & , \text{ if } A(P, P) = 1 \text{ i.e. if program } P \text{ does not halt} \\ & \text{on input } P \\ \text{does not halt,} & \text{if } A(P, P) = \emptyset, \text{ i.e. if program } P \text{ halts on} \\ & \text{input } P \end{cases}$$

$$Q(Q) = \begin{cases} \text{halts} & , \text{ if } A(Q, Q) = 1 \text{ i.e. if program } Q \text{ does not halt} \\ & \text{on input } Q \\ \text{does not halt,} & \text{if } A(Q, Q) = \emptyset, \text{ i.e. if program } Q \text{ halts on} \\ & \text{input } Q \end{cases}$$

**Definition:** A nondeterministic algorithm is a two stage procedure that takes as its input an instance  $I$  of a decision problem and does the following:

**Non-deterministic ("guessing") stage:** An arbitrary string  $S$  is generated that can be thought of as a candidate solution to the given instance  $I$  (but may be complete gibberish as well)

**Deterministic ("verification") Stage:** A deterministic algorithm takes both  $I$  and  $S$  as its input and outputs "yes" if  $S$  represents a solution to instance  $I$ .

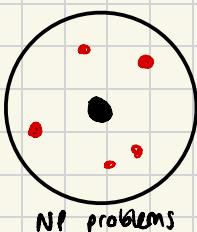
**Definition:** Class NP is the class of decision problems that can be solved by nondeterministic polynomial algorithms. This class of problems is called nondeterministic polynomial.

**Definition:** A decision problem  $D_1$  is said to be polynomially reducible to a decision problem  $D_2$ , if there exists a function  $t$  that transforms instances of  $D_1$  to instances of  $D_2$  such that:

1.  $t$  maps all 'yes' instances of  $D_1$  to 'yes' instances of  $D_2$  and all 'no' instances  $D_1$  to 'no' instances of  $D_2$
2.  $t$  is computable by a polynomial time algorithm.

**Definition:** A decision Problem  $D$  is said to be NP-Complete if

1. it belongs to class NP
2. every problem in NP is polynomially reducible to  $D$ .



Tüm kırmızı problemler bizim problemimize indirgenemeliyse  $\rightarrow$  np complete

örn: Hamilton Circuit  $\leftarrow$  traveling salesman

(hamilton'a indirgenir)

