



Algoritma yazılırlken sağlaması gereken şartlar:

1. Sonlu adımda bitmeli
2. Doğru olmalı
3. Efektif olmalı
4. Genel olmalı
5. Basit olmalı

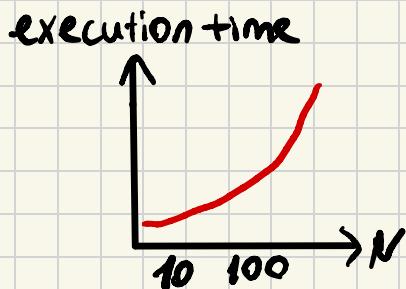
zaman  
yer

### Execution Time Factors

① 2 nokta arası  
zamanı ölçmek



② 10, 100, 1000 eleman  
için galistirmak



# Çalışma zamanını neler etkiler?

## 1. hardware

→ CPU speed

→ amount of memory

## 2. Compiler

ex) assembly > C > Java

→ efficiency of code generation

## 3. Data

→ number of items

→ initial order

## 4. Algorithm

### System dependent effects

hardware: memory, cache ...

software: compiler, garbage collector ...

system: OS, network, other applications

### System independent effects:

⚠ algorithm

# ★ Growth rate of some algorithm

<u>N</u>	<u>LOGN</u>	<u>N</u>	<u>N LOGN</u>	<u>N<sup>2</sup></u>	<u>2<sup>N</sup></u>	<u>N!</u>
10	0,003μs	0,01μs	0,033μs	0,1μs	1ms	
100 million	0,027μs	0.1sec	466 sec	115 day		

```

int count = 0 , n;
for ( i=0 ; i<n ; i++ ) {
    if( a[i]==0 )
        count++;
}

```

<u>Operation</u>	<u>frequency</u>
variable declaration	3
assignment	2
less than compare	$n+1$
equal to compare	$n$
array access	$n$
increment	$n \rightarrow 2n$
	$\frac{+}{4n^2 + 6n + 5}$

Ex)  $4n^2 + 3n + 5$

① ignore constant terms  $\rightarrow 4n^2 + 3n$

② ignore coefficients  $\rightarrow n^2 + 3n$

③ pick the most significant term  $\rightarrow n^2$

Asymptotic Analyse  $\rightarrow$  Growth rate

Asymptotic Notation  $\rightarrow$  Big Oh ( $O$ )

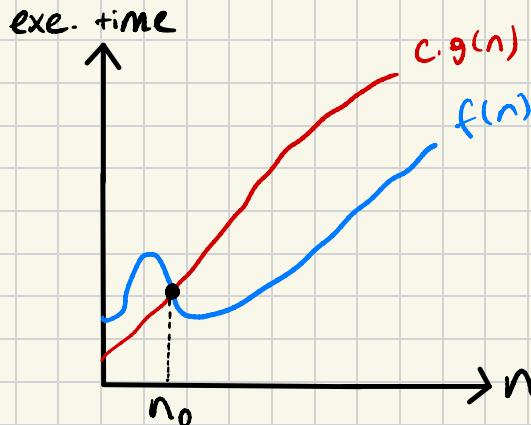
Big Omega ( $\Omega$ )  
Big Theta ( $\Theta$ )

**Big-On Notation : Asymptotic Upper Bound**

$f(n) \in O(g(n))$  if  $c < n$  constant,  $n \geq n_0 \geq 1$

$O(g(n))$  upperbound on the growth of a function  $f(n)$

$$O \leq f(n) \leq g(n)$$



ex)  $f(n) = 10n + 30$   $O(n)$  midir?

$$g(n) = n$$

$$10n + 30 \leq c.n$$

$$30 \leq n(c - 10)$$

$$n_0 = 1 \Rightarrow c = 40$$

$$n_0 = 2 \Rightarrow c = 80$$

$$n_0 = 3 \Rightarrow c = 120$$



ex)  $f(n) = 10n + 30$   $O(n^2)$  midir?

$$10n + 30 \leq C \cdot n^2$$

$$30 \leq n(cn - 10) \quad n_0 = 1 \Rightarrow c = 40$$

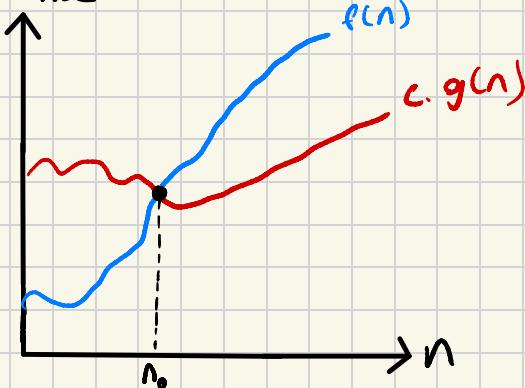


ex)  $f(n) = n^2 + n$   $g(n)$  iken sağlanır mı?

$$n^2 + n \leq n \quad X \text{ hayır}$$

### Big-Omega Notation : Asymptotic Lower Bound

exe. time



$$\begin{aligned} f(n) &\geq c \cdot g(n) & c > 0 \\ && \text{constant} \\ n_0 &\geq 1, n \geq n_0 \\ f(n) &\in \Omega(g(n)) \end{aligned}$$

ex)  $f(n) = 5n^2 \in \Omega(n^2)$  midir?

$$5n^2 \geq cn^2$$

$$(5-c)n^2 \geq 0 \quad n_0 = 1 \text{ ve } c = 2 \text{ iken}$$

$$3 \geq 0$$



ex)  $f(n) = 5n^2 \in \Omega(n)$  midir?

$$5n^2 \geq c \cdot n$$

$$n(5n - c) \geq 0 \quad n_0 = 1 \text{ ve } c = 3 \text{ iken}$$

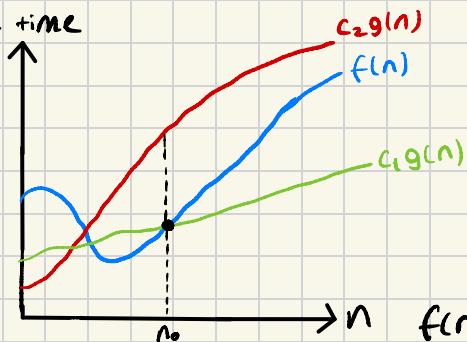
$$\downarrow \\ 5n^2 \geq 3n \checkmark$$

$$2 \geq 0 \checkmark$$



## Big-Theta Notation: Asymptotic Tight Bound

exec. time



$$0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n)$$

$$c_1 > 0, c_2 > 0, c_2 \geq c_1, n \geq n_0 \geq 1$$

$$f(n) \in \Theta(g(n))$$

$f(n)$  is asymptotically equal to  $g(n)$

ex)  $f(n) = 2n+5 \in \Theta(n)$  midir?

①  $f(n) \in O(n)$  ?

$$2n+5 \leq c \cdot n$$

$$5 \leq n(c-2) \quad n_0 = 1$$

$$7 \leq c \Rightarrow c = 7$$

$$c_2$$

②  $f(n) \in \Omega(n)$  ?

$$2n+5 \geq c \cdot n$$

$$5 \geq n(c-2) \quad n=1$$

$$7 \geq c \Rightarrow c = 2$$

$$c_1$$

$$2n \leq 2n+5 \leq 7n$$



ex)  $f(n) = 4n \in \Omega(n^2)$  midir?

$4n \geq cn^2$  sağlamaz.

$f(n) = 4n^2 \notin O(n)$  midir?

$4n^2 \leq cn$  sağlamaz

O halde:

$4n^2 \in \Theta(n)$  midir? Hayır.  $\Theta(n^2)$  sağlama?

$4n^2 \in \Theta(n^3)$  midir? Hayır.  $\Omega(n^3)$  sağlama.

$\Downarrow$   
aynı derece olmam haliyle

- \* Best case
- Worst case
- Average case

### \* Bası toplam formülleri

$$\sum_{i=1}^n i = n$$

$$\sum_{i=1}^n i = 1 + 2 + 3 + \dots + n = \frac{n(n+1)}{2}$$

$$\sum_{i=1}^n i^2 = 1^2 + 2^2 + 3^2 + \dots + n^2 = \frac{n(n+1)(2n+1)}{6} = \frac{1}{3}n^3$$

→ finding max element in an array

best case: n

worst case: n

average case: n

}  $\Theta(n)$

→ sequential search in an unordered array

```
i=0 ; // x: aranın sayı  
while ( (i < n) && (a[i] != x))  
    i++;
```

$$C_{\text{best}}(n) = 1$$

$$C_{\text{worst}}(n) = n$$

$$C_{\text{average}}(n) = ?$$

↳ Gözüm: probability =  $p$  successful search :  $0 \leq p \leq 1$   
 $i^{\text{th}}$  position :  $\frac{p}{n}$

$$C_{\text{avg}}(n) = \left[ \underbrace{1 \cdot \frac{p}{n} + 2 \cdot \frac{p}{n} + \dots + n \cdot \frac{p}{n}}_{\substack{\# \text{ of} \\ \text{compare}}} \right] + n \cdot \underbrace{(1-p)}_{\substack{\# \text{ of} \\ \text{compare}}} \xrightarrow{\text{unsuccessful search}}$$

if element is in the array

$$= \frac{p}{n} [1 + 2 + \dots + n] + n(1-p)$$

①  $p=1 \Rightarrow$  successful search

$$= \frac{1}{n} \cdot \frac{n(n+1)}{2} + 0 = \frac{n+1}{2}$$

$$\textcircled{2} \quad p=0 \Rightarrow C_{\text{worst}}(n) = n(1-p) = n$$

$O(n)$  ve  $\Omega(1)$  olur.

$\Theta$  soylenemez.

## → insertion sort

best case :  $n$

→  $\Theta(n)$  [her zaman  
n karşılaştırılmış]

worst case:  $n^2$

→  $\Theta(n^2)$

average case:  $n^2 \left( \frac{1}{4}n^2 + \frac{3}{n} - 1 \right)$

→  $\Theta(n^2)$

$O(n^2)$

<u>description</u>	<u>Order of growth</u>	<u>example</u>
constant	1	$a =  a $
logarithmic	$\log n$	binary search
linear	$n$	finding max
linearithmic	$n \log n$	merge s, quick s, heap sort
quadratic	$n^2$	selection sort
cubic	$n^3$	matrix multiplication
exponential	$2^n$	exhaustive search (Knapsack, travelling salesman)
	↓ non deterministic polynomial	

## Mathematical Analyses of Nonrecursive Algorithms

$$\begin{bmatrix} A \\ i \end{bmatrix} \times \begin{bmatrix} B \\ j \end{bmatrix} = \begin{bmatrix} C \\ c[i][j] \end{bmatrix}$$

$$m(n) = \sum_{i=0}^{n-1} \sum_{j=0}^{n-1} \sum_{k=0}^{n-1} 1 = \sum_{i=0}^{n-1} \sum_{j=0}^{n-1} (n-1-j+1) = \sum_{i=0}^{n-1} \sum_{j=0}^{n-1} n$$
$$= \sum_{i=0}^{n-1} n \cdot n = n^3$$

$$t(n) = c_m \cdot m(n) = c_m \cdot n^3$$

time       $\downarrow$  constant

\* Running time of the algorithm

$$T(n) = c_m \cdot n^3 + c_A \cdot A(n) \rightarrow O(n^3)$$

$\downarrow n^3$        $O(n^3)$

# Mathematical Analyses of Recursive Algorithms

→ factorial function

$$n! = 1 \cdot 2 \cdots n = n \cdot (n-1)!$$

```
int factorial (int n) {
```

if (n == 0)

return 1;

initial condition  
(durma noktası)

else

return n \* factorial(n-1);

basic operation

}

## Recurrence Relation

$$m(n) = m(n-1) + 1$$

$\downarrow$        $\downarrow$

factorial(n-1)       $n \oplus (n-1)$

$$n=0 \Rightarrow m(0) = 0 \quad \text{gündük no multiplication}$$

## Backward Substitution

$$\begin{aligned}m(n) &= m(n-1) + 1 \\&= [m(n-2) + 1] + 1 = m(n-2) + 2 \\&= [m(n-3) + 1] + 2 = m(n-3) + 3 \\&\quad \vdots \\&= m(n-i) + i \quad n \geq i \text{ given} \\&= m(0) + n \\&= 0 + n = n \in \Theta(n)\end{aligned}$$

→ number of binary digits in a decimal integer

$$\begin{array}{r} 18 \\ \hline 2 | 2 \\ \hline 9 | 2 \\ \hline 1 | 2 \\ \hline 0 | 2 | 1 \\ \hline 0 \end{array} \rightarrow 10010$$

5 digit

```
int binary (int n){  
    int count = 1;  
    while (n > 1) {  
        count++;  
        n /= 2;  
    }  
    return count;  
}
```

```
int binaryDigits (int n){  
    if (n <= 1)  
        return 1;  
    else  
        return (binaryDigits (n/2) + 1);
```

Recurrence Relation :  $A(n) = A(n/2) + 1$  for  $n > 1$   
 $A(1) = 0$  for  $n = 1$

Backward Substitution :

$$\begin{aligned}A(n) &= A(n/2) + 1 \\&= [A(n/4) + 1] + 1 = A(n/4) + 2 \\&= [A(n/8) + 1] + 2 = A(n/8) + 3 \\&\quad \vdots \\&= A(n/2^i) + i \quad n = 2^i \Rightarrow \log_2 n = i \\&= A(1) + \log_2 n \\&= 0 + \log_2 n = \log_2 n\end{aligned}$$

### \* FORWARD SUBSTITUTION EXAMPLE

$\tau(n) = 2(n-1) + 1$  for  $n > 1$     $\tau(1) = 1$

$$n=1 \Rightarrow \tau(1) = 1$$

$$n=2 \Rightarrow \tau(2) = 2\tau(1) + 1 = 3$$

$$n=3 \Rightarrow \tau(3) = 2\tau(2) + 1 = 7$$

$$n=4 \Rightarrow \tau(4) = 2\tau(3) + 1 = 15$$

$$\tau(n) = 2^n - 1 \quad n > 1 \text{ in } \tau(n) \in O(2^n)$$

## \* Master Theorem

$$T(n) = a \cdot T\left(\frac{n}{b}\right) + f(n) \quad T(1) = C$$

# of subproblems      size of each subproblems      constant

if  $f(n) \in \Theta(n^d)$  where  $d \geq 0$  then: d > 0

$$T(n) \in \begin{cases} \Theta(n^d) & , \text{ if } a < b^d \\ \Theta(n^d \log n) & , \text{ if } a = b^d \\ \Theta(n^{\lceil \log_b a \rceil}) & , \text{ if } a > b^d \end{cases}$$

↳ bu ifadeler 0 ve -2 için de geçerli

ex)  $T(n) = T\left(\frac{2n}{3}\right) + 1$

$$\left. \begin{array}{l} a=1 \\ b=\frac{3}{2} \\ d=0 \end{array} \right\} b^d = \frac{3^0}{2} = 1 \Rightarrow a=b^d \Rightarrow \Theta(n^d \log n) = \Theta(n^0 \log n) = \Theta(\log n)$$

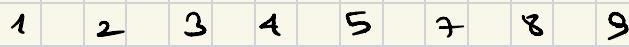
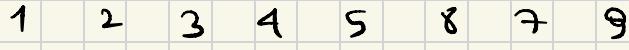
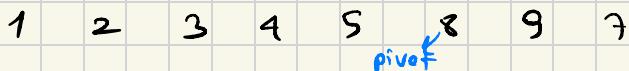
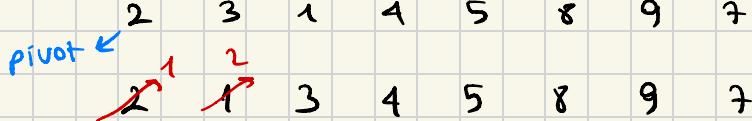
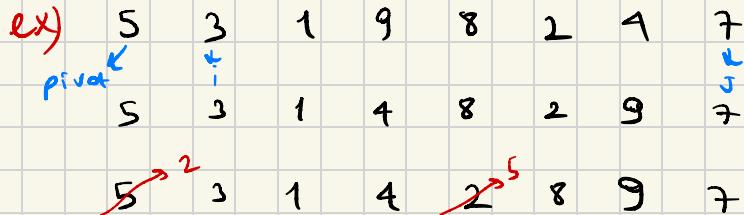
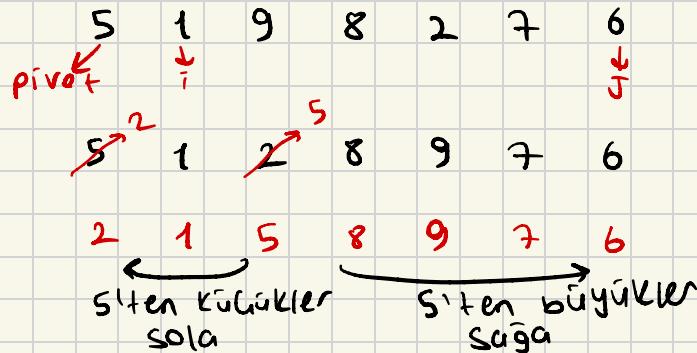
ex)  $A(n) = 2A\left(\frac{n}{2}\right) + n$

$$\left. \begin{array}{l} a=2 \\ b=2 \\ d=1 \end{array} \right\} b^d = 2^1 = 2 \Rightarrow a=b^d \Rightarrow \Theta(n^d \log n) = \Theta(n^1 \log n) = \Theta(n \log n)$$

★ Selection sort  
 Bubble sort  
 Insertion sort } Worst case:  $n^2$

Quick sort → average case:  $n \log n$

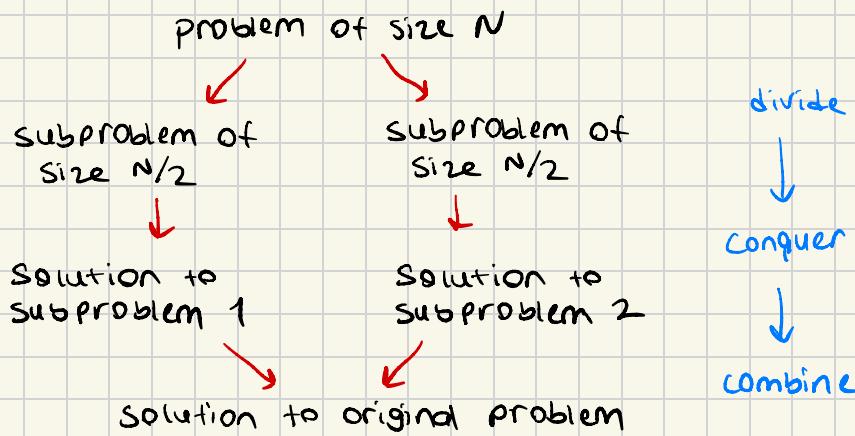
→ Quick Sort



ex)

5	4	2	20	3	2	6	10
pivot <del>8</del>	4	2	2	<del>3</del>	20	6	10
pivot <del>3</del>	1	2	2	<u>5</u>	20	6	10
pivot <del>2</del>	2	<del>2</del>	4	<u>5</u>	20	6	10
<u>2</u>	<u>2</u>	<u>3</u>	4	<u>5</u>	20	6	10
<u>2</u>	<u>2</u>	<u>3</u>	4	<u>5</u>	20	6	10
<u>2</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	20	6	10
<u>2</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	<del>20</del>	6	<del>10</del>
<u>2</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	10	<u>6</u>	<u>20</u>
<u>2</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>	<u>10</u>	<u>20</u>

## Divide & Conquer Algorithms



Quicksort (A[l...r]) { // initial l→0 r→n-1

if (l < r) {

    ↳ durma şartı

    adr = partition (A[l...r]);

    ↳ pivot elemanın yeri

    Quicksort (A[l...adr-1]);

    Quicksort (A[adr+1..r]);

}

}

partition pivot elemanın yerini bulur, kendinden küçüklerin ve büyüklerin yerini ayarlayarak pivotu doğru yere yerleştirir.

partition burayı  
yazın

$$T(n) = 2T(n/2) + n$$

0	1	2	3	4	5	6	7
5	3	1	9	8	2	4	7

①	$l=0$	$r=7$
	Pivot = 5	adr = 1

②	$l=0$	$r=3$
	Pivot = 2	adr = 1

⑦	$l=5$	$r=7$
	Pivot = 8	adr = 6

③	$l=0$	$r=0$
X	$l=r$	

④	$l=2$	$r=3$
	Pivot = 3	adr = 2

⑧	$l=5$	$r=5$
X	$l=r$	

⑤	$l=2$	$r=1$
X	$l>r$	

⑥	$l=3$	$r=3$
X	$l=r$	

⑨	$l=7$	$r=7$
X	$l=r$	

Best Case : Pivotun sağında ve solunda eşit eleman oluyordur.

$$C_{\text{best}}(n) = \begin{cases} 2 \cdot C(n/2) + n & , n > 1 \\ \uparrow \text{partition} \\ C(1) = 1 & , n = 1 \end{cases}$$

$$\begin{aligned} C(n) &= 2C(n/2) + n \\ &= 2[2C(n/4) + n/2] + n = 4C(n/4) + 2n \\ &= 4[2C(n/8) + n/4] + 2n = 8C(n/8) + 3n \\ &\vdots \\ &= 2^i \cdot C(n/2^i) + i \cdot n \quad n = 2^i \text{ için } i = \log_2 n \end{aligned}$$

$$\begin{aligned} C(n) &= n \cdot C(1) + n \cdot \log_2 n \\ &= n + n \cdot \log_2 n \end{aligned}$$

$$C_{\text{best}}(n) \in O(n \log_2 n)$$

\*  $n$  eğer  $2^i$  nin kuvvetiyse hep ortadan böler, değilse orantısız olur iki taraf. Bunu kesin olarak bilmemişimiz için  $\Theta(n \log n)$  diyezeyiz.

Worst case: Dizi sıralı gelmesse tüm elemanlar sağda kalır.

$$C_{\text{worst}}(n) = \begin{cases} C(n-1) + n-1 & , n > 1 \\ C(1) = 1 & , n = 1 \end{cases}$$

$$C(n) = C(n-1) + n-1$$

$$\begin{aligned} &= [C(n-2) + n-1 - 1] + n-1 = C(n-2) + 2n-3 \\ &= \sum_{i=1}^n i = \frac{n(n+1)}{2} \in O(n^2) \text{ veya } \Theta(n^2) \end{aligned}$$

Average Case:

$$C(n) = c \cdot n + \frac{1}{N} \sum_{1 \leq k \leq n} [C(k-1) + C(n-k)]$$

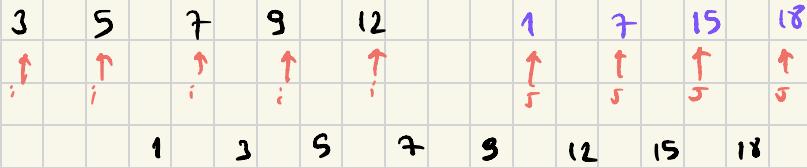
$\downarrow$   
partition

$$\Rightarrow C(n) = 2n \cdot \ln n = 1.39 N \log_2 N$$

worst case olusumması için

- ① Shuffle the array.  $\rightarrow$  karmaşıklığı  $N$
- ② Pick the Pivot randomly.
- ③ Select median of three random elements

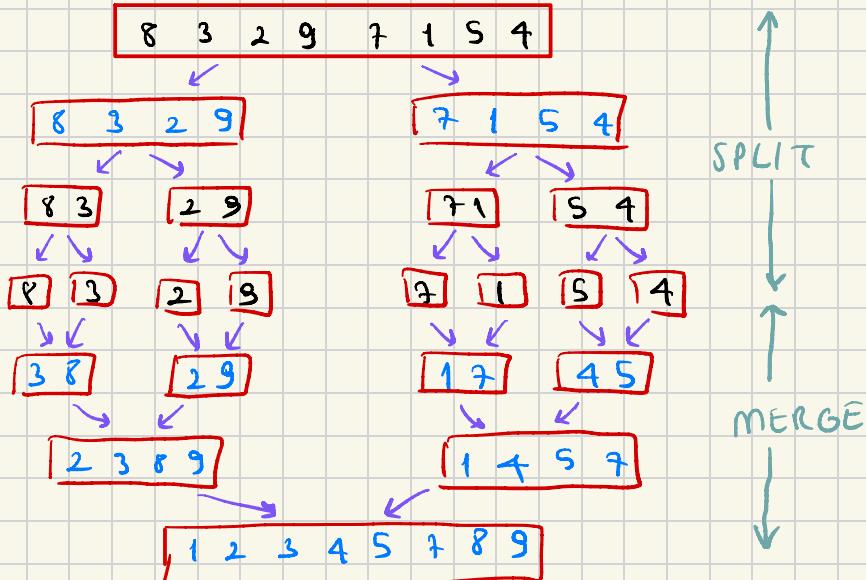
# MERGE



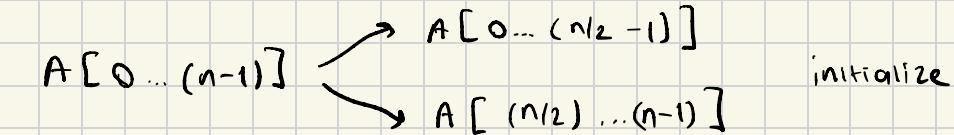
İki sıralı diziyi yeni bir diziye sırası bir şekilde yerlestirmek istersək:

- ① İki dizi birleştirilip sıralanabilir.  $\rightarrow N \log N$
- ② Merge ile yapılır.  $\rightarrow N + N \in O(N)$

# MERGE SORT



## Top down merge sort



```
void mergeSort (int A[], int p, int r) {
```

```

    int q;
    if (p < r) {
        q = (p+r) / 2;
        mergeSort (A, p, q);           // sort: A[p] → A[q]
        mergeSort (A, q+1, r);         // sort: A[q+1] → A[r]
        merge (A, p, q, r);           // merge: A[p..q], A[q+1..r]
    }
}
```

A: 

8	3	2	9	7	1	5	4
---	---	---	---	---	---	---	---

$mS(A, 0, 7)$   $p=0$   $r=7$   $q=3$   
 $mS(A, 0, 3)$   $p=0$   $r=3$   $q=1$   
 $mS(A, 0, 1)$   $p=0$   $r=1$   $q=0$   
 $mS(A, 0, 0)$   $p=0$   $r=0$   
 $mS(A, 1, 1)$   $p=1$   $r=1$   
 $merge(A, 0, 0, 1)$   
 $mS(A, 2, 3)$   $p=2$   $r=3$   $q=2$   
 $mS(A, 2, 2)$   $p=2$   $r=2$   
 $mS(A, 3, 3)$   $p=3$   $r=3$   
 $merge(A, 2, 2, 3)$   
 $merge(A, 0, 1, 3)$   
 $mS(A, 4, 7)$   
 $\vdots$   
 $merge(A, 0, 1, 3)$   
 $merge(A, 4, 5, 7)$

```
Void merge( int A, int p, int q, int r ) {
```

```
    B = (int*) malloc ( ... ) ;  
    while ( (i <= q) && (j <= r) ) {  
        if ( A[i] < A[j] )  
            B[k++] = A[i++];  
        else  
            B[k++] = A[j++];  
    }
```

Recurrence Relation

$$T(n) = \begin{cases} O(1) & \text{if } n=1 \\ 2 T(n/2) + n & \text{if } n>1 \end{cases}$$

merge  
↓  
soft      ↑  
merge

$$T(n) = 2 T(n/2) + n$$

Best case:  $O(1) \cdot N \log N$

Worst case:  $N \log N$

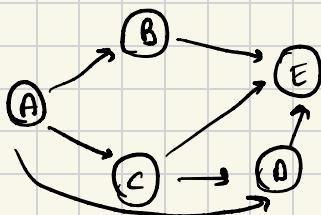
Average case:  $0.7 \cdot N \log N$

# Decrease And Conquer

## 1. Decrease by a constant :

insertion sort, topological sort

örnek: bagışla destekler



indegree

0	A → B → C → D
1	B → E
1	C → D → E
2	D → E
3	E

## 2. Decrease by a constant factor :

binary search ( $n \rightarrow n/2 \rightarrow n/4$ )

## 3. Variable size decrease :

GCD

Sorting	thousand	million	billion
inserting s.	instant	2.8 h	317 year
merge s.	instant	1 sec	18 min
quick s.	instant	0.6 sec	12 min

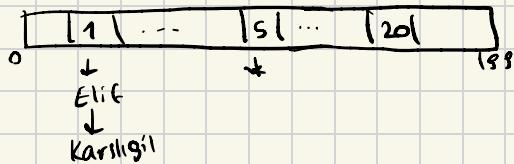
Küçük dizilerde insertion sort kullanılır.

merge → stabil quick →不稳定 sort değil.

# HASHING

Hashing → Karmasılığın  $O(1)$  constant

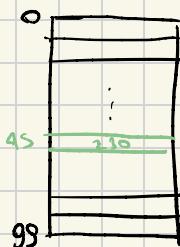
Numaraları 1 ile 200 arasında değişen öğrencilerin olduğu bir struct var. Öğrenci bilgilerine  $O(1)$  karmasılığında nasıl erişiriz?



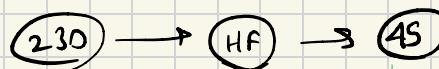
Numaralar 200'e kadar değil de 1.000.000'a kadar olabilir dizi kullanılmazdı. → Hashing bunun için var.

$N \rightarrow 50$  kişi    Numaralar  $\rightarrow 1 \dots 5000$

$$M = 2N = 100 \text{ olsun.}$$



230 numaralı kişiyi nereye yerleştireceğini bulmak için hash function kullanılır.



(170)  $\rightarrow$  (HF)  $\rightarrow$  (45) olabilir mi? X

## ① Division Method

$$\text{hash function (key)} = \text{key} \% m \quad \hookrightarrow \text{hash table size}$$

example  $m = 100$

Key = 230

$$\text{hashfunction}(230) = 230 \% 100 = 30 //$$

1. How to select table size?

$$m > N \rightarrow \# \text{ of elements}$$

2. table size is prime number.

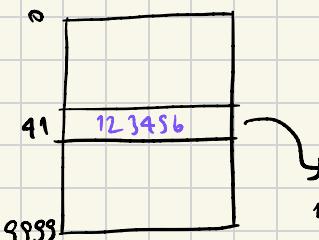
## ② Multiplication Method

$$\text{hashFunction (key)} = \lfloor m \cdot [(\text{key} \cdot A) \% 1] \rfloor \quad \hookrightarrow \text{table size}$$

$$A = \frac{\sqrt{5}-1}{2} = 0,618033$$

example  $m = 10000$

$$\begin{aligned} \text{hash}(123456) &= \lfloor 10000 \cdot \underbrace{(123456 \cdot 0,618033) \% 1}_{76300,0041151} \rfloor \\ &= \lfloor 0,0041151 \rfloor \end{aligned}$$



$$123456 \xrightarrow{\text{HF}} [0 \dots 9999]$$

$$= \lfloor 41,151 \rfloor = 41$$

★ Key'ler unique olsali.  $\rightarrow$  TCKN, student id, phone number

If the key is not an integer number?

① Floating point  $\rightarrow$  convert to integer

$$35,780 \rightarrow \text{round}(35,780 \cdot 10000) / 10000$$

$$0 \leq \text{key} \leq 1 \rightarrow \text{hashfunction}(\text{round}(\text{key} \cdot m))$$

Java: Binary representation of the key.

② String  $\rightarrow$  Java: Horner's method

"Elif" = "E" + "l" + "i" + "f" olursa harflerin yer degistiriginde de ayni sonucu verir.

$$\text{"Elif"} = 'E' \quad 'l' \quad 'i' \quad 'f'$$

$$R=31 \quad E \cdot 31^3 + l \cdot 31^2 + i \cdot 31^1 + f \cdot 31^0$$

$$\text{hash} = 31^L \cdot s[0] + 31^{L-1} \cdot s[1] + \dots + 31^0 \cdot s[L-1]$$

how to solve collision?



$$\text{key} = 3 \quad 3 / 11 = 3$$

$$\text{key} = 14 \quad 14 / 11 = 3 ?$$

$$\text{key} = 26 \quad 26 / 11 = 4$$

Collision resolution

① Open Addressing (closed hashing)

table munkuluğu elemen sayısından her zaman fazladır.  $\rightarrow$  en az 2 kat.

1. Linear probing  $\rightarrow$  each checking is a probe!

$$h(k, i) = (h'(k) + i) \mod m \quad h'(k) = k \mod m$$

hash func  
key  
Step

$$k = 5$$

$$i = 0$$

$$h(k, 0) = (5 \mod 7 + 0) \mod 7 = 5$$

$$k = 12$$

$$i = 0$$

$$h(k, 0) = (12 \mod 7 + 0) \mod 7 = 5$$

$$k = 12$$

$$i = 1$$

$$h(k, 1) = (12 \mod 7 + 1) \mod 7 = 6$$

$$k = 6$$

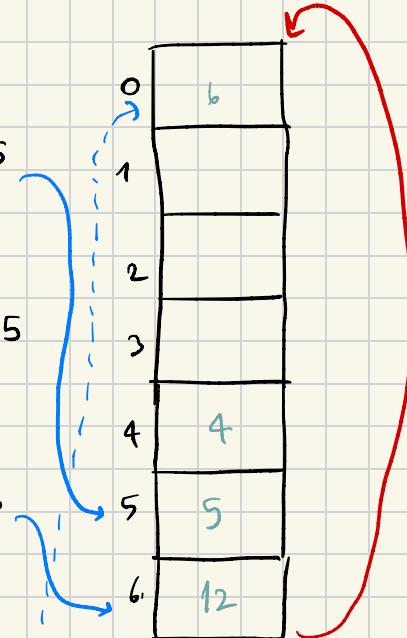
$$i = 0$$

$$h(k, 0) = (6 \mod 7 + 0) \mod 7 = 6$$

$$k = 6$$

$$i = 1$$

$$h(k, 1) = (6 \mod 7 + 1) \mod 7 = 0$$



## 2. Quadratic Probing (non linear search)

$$h(k, i) = (h'(k) + c_1 \cdot i + c_2 \cdot i^2) \mod m \rightarrow \text{Step size increase quadratically}$$

$$h(k, i) = (h'(k) + i^2) \mod m$$

$$m = 5 \quad k = 9 \quad i = 0 \text{ in }$$

$$h(k, 0) = (9 \mod 5 + 0) \mod 5 = 4$$

$$i = 4 \text{ in }$$

$$h(k, 4) = (9 \mod 5 + 4) \mod 5$$

### 3. Double Hashing (use two hash functions)

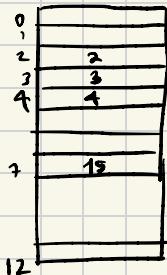
$$h(K_{ii}) = (h_1(K) + i \cdot h_2(K)) \% m$$

$$h_1(K) = K \% m$$

$$h_2(K) = 1 + K \% mm$$

↳  $mm < m$

example



$$m=13 \quad h(K, 0) = 15 \% 13 + 0 = 2 \text{ dolu}$$

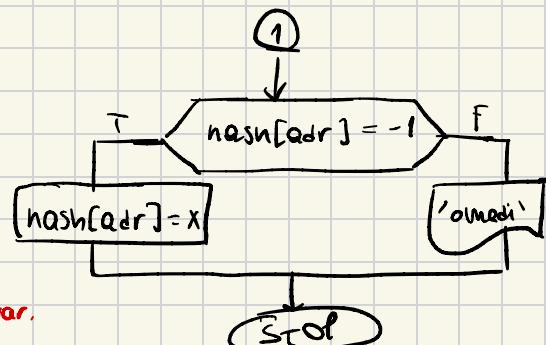
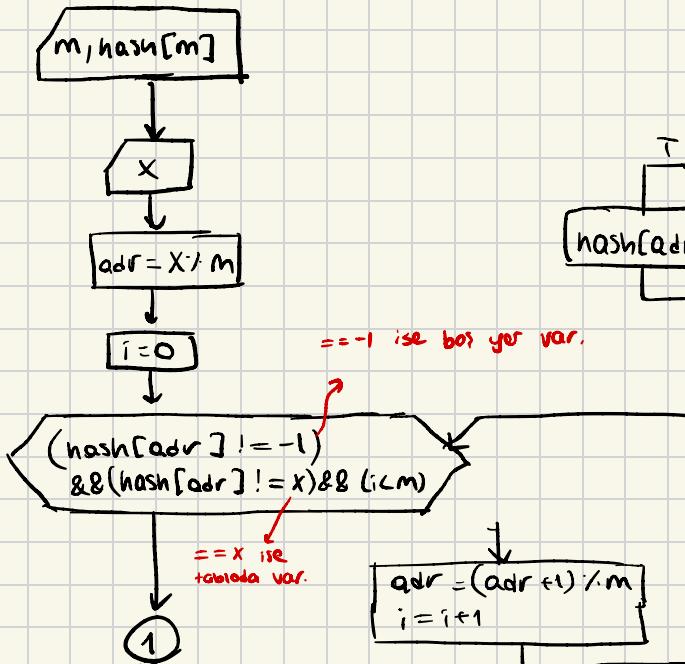
$$mm=11 \quad h(K, 1) = [15 \% 13 + 1 \cdot (15 \% 11 + 1)] \% 13 = 7$$

$$K=15$$

step size

ex) Verilen bir  $x$  sayısını  $n$  uzunluklu bir hash tablosuna yerlestiren algoritma?

bos indisler  $\rightarrow$  null seklinde ifade edilebilir.



## ② Separate Chaining (Open Hashing)

Linked list kullanılır.

$$m = 7$$

division metod ile

$$h(k) = k \cdot 1 \cdot m$$

$$k = 3$$

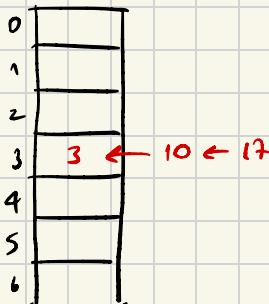
$$h(3) = 3 \cdot 1 \cdot 7 = 3$$

$$k = 10$$

$$h(10) = 10 \cdot 1 \cdot 7 = 3$$

$$k = 17$$

$$h(17) = 17 \cdot 1 \cdot 7 = 3$$



1. insert
2. search
3. delete

```
struct node {  
    int key;  
    char name[30];  
    struct node *next;  
}
```

```
struct hash {  
    struct node *head;  
    int count;  
}
```

```
struct hash *hashTable = NULL;
```

```
int main() {  
    =  
    int key;  
    char name[30];  
    = } // Read işlemleri
```

```

hashTable = (struct hash*) calloc (M, sizeof (struct node));
≡ } // calloc allocate edebilmiş mi kontrolü
inserttoHashTable (key, name);
≡

```

}

```

structure node * createNode (int key, char name[]) {
    struct Node * newNode = (struct node *) malloc (sizeof (struct node));
    ≡ } // control
    newNode → key = key;
    strcpy (newNode → name, name);
    newNode → next = NULL;
    return newNode;
}

```

}

```

void inserttoHash (int key, char name[]) {
    int hashIndex = key % M; → global variable
    struct Node * newNode = createNode (key, name);
    if (!hashTable[hashIndex].head) { // null mi?
        hashTable [hashIndex].head = newNode;
        hashTable [hashIndex].count = 1;
        return;
    }
}

```

{

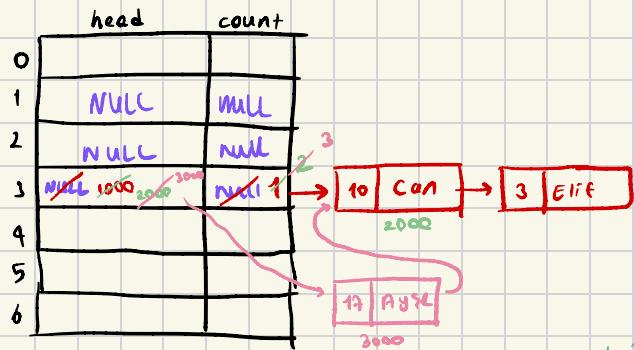
```

    newNode → next = hashTable [hashIndex].head
    hashTable [hashIndex].head = newNode;
    hashTable [hashIndex].count++;
    return;
}

```

}

$m = 7$   
 $key = 3$   
 $h(3) = 3$   
 1000 3 | EifE  
 $key = 10$   
 $h(10) = 3$   
 2000 10 | Can



Search yaparken, tabloya yerleştirilecek göz dolussa nemen sağı bakma, o gözün içini kontrol et.

Ali
Veli
Can

Veli'yi silersek Can'a ulaşamayız.

↳ frag koyarız

Flag	
Ali	1
Veli	0 → silindi demek

} linear probing için.

→ Separate chaining'de silme problemsiz olur çünkü linked list.

### Universal Hashing

$$\left. \begin{array}{l} h_1() \\ h_2() \\ h_3() \\ \vdots \end{array} \right\} \text{randomly choose } h_i() \quad h_{a,b}(key) = [(a \cdot \text{key} + b) \bmod p] \bmod m$$

a, b, p → değişiyor.

$$h_3 \text{ için: } p = 17 \quad m = 6 \quad a = 3 \quad b = 4$$

↳ table size

$$h_3(\text{key}) = [(3 \cdot \text{key} + 4) \bmod 17] \bmod 6$$

### Analysis of Hash Algorithms

#### 1. Open Addressing (Linear Probing)

$\lambda$ : load factor

$$\lambda = \frac{N}{M} \quad N: \# \text{ of elements} \quad M: \text{table size} \quad \lambda = 0,5$$

Assume: data is uniformly distributed.

$\lambda$ : probe. If the cell is occupied.

$1 - \lambda$ : not occupied

$$P(\text{first probe is unoccupied}) = 1 - \lambda$$

$$P(\text{first is occupied and second is unoccupied}) = \lambda(1-\lambda)$$

$$P(\text{third is unoccupied}) = \lambda^2 \cdot (1-\lambda)$$

$$P(K^{th} \text{ is free}) = \pi^{K-1} \cdot (1-\pi)$$

the expected # of probes on insertion with linear probing

$$\frac{1 + \frac{1}{(1-\lambda)^2}}{2}$$

$$\lambda = 0,5 \text{ i.e. } = 2,5 \text{ adm}$$

$$\lambda = 0,8 \text{ ise } = 13 \text{ adim}$$

<u>n</u>	<u># of probes</u>
0,1	1,11
0,2	1,28
0,3	1,52
0,4	1,89
0,5	2,5
0,6	3,62
0,7	6,06
0,8	13
0,9	50,5

genelde  $b = \text{secir.}$   $M = 2N$   
 $\text{average case} = O(1)$

## 2. Separate Chaining

$$\text{load factor} = \frac{N}{m} = \lambda$$

$$\text{average case} = (1 + \lambda) = \left(1 + \frac{N}{m}\right)$$

$$\text{idealde } M = \frac{N}{5} \quad 2 = \frac{N}{m} \quad \text{da } \text{a} \text{linabilit}.$$

\* table size  $\rightarrow$  prime olسا data iyi.

Key = 64, 128, 100, 500, 400, 300, 200

$$m = \text{table size} = 8 \text{ cells} \Rightarrow 64, 128, 200, 400 \div 8 = Q$$

$$100, 300, 500 \cdot x = 4$$

$$M = \text{table size} = 11 \quad 0159 \Rightarrow 64, 128, 100, 500, 400, 300, 200$$

9 7 1 5 4 3 2

# DYNAMIC PROGRAMMING

Factorial ( $n$ ) =  $n \cdot$  Factorial ( $n-1$ )

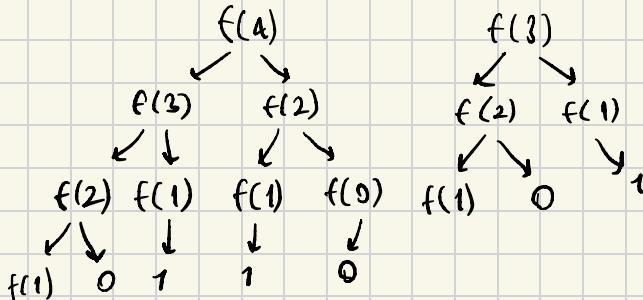
Factorial ( $n-1$ ) =  $(n-1) \cdot$  Factorial ( $n-2$ )

```
int Factorial (n) {  
    if ( n=0 ) return 1;  
    else {  
        Factorial(n-1) * n  
    }  
}
```

fibonacci ( $n$ ) = fibonacci ( $n-1$ ) + fibonacci ( $n-2$ )

0 1 1 2 3 5 8

$f(5)$



Alt problemler bağımsız gerçekleşiyor.  $\Rightarrow$  dinamik programlama

→ select

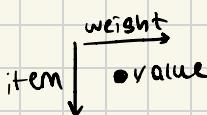
## 0-1 Knapsack Problem

↳ abundant

<u>item</u>	<u>Weight</u>	<u>value</u>
1	2	12
2	1	10
3	3	20
4	2	15

Brute force:

Exhaustive search  $\rightarrow 2^n$



$$W=5 \quad 12 + 10 + 15 = 37$$

	0	1	2	3	4	5
0						
1						
2						
3						
4						

$P[i, w]$   
 ↗ weight  
 ↘ item  
 max profit

3. item'a gelindiğinde 4 kilo için  
max kazanç ne olur?

	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	12	12	12	12
2	0	10	12	22	22	22
3	0	10	12	22	30	32
4	0	10	15	25	30	37

case 1: thief does not take item i :

$$P[i, w] = P[i-1, w]$$

case 2: thief takes item i :  $w_i \leq w - W_{\text{current}}$

$$P[i, w] = P[i-1, w - w_i] + v_i$$

$$P[i, w] = \begin{cases} P[0, \tau] = 0, P[i, 0] = 0 & \text{if } i=0 \text{ OR } \tau=0 \rightarrow \text{initial} \\ P[i-1, w] & \text{if } w_i > w \text{ not taken} \\ \max \{ P[i-1, w-w_i] + v_i, P[i-1, w] \} \end{cases}$$

$$P[4, 3] = \max \{ \underbrace{P[3, 1]}_{25} + 15, \underbrace{P[3, 3]}_{22} \}$$

$$P[4, 4] = \max \{ \underbrace{P[3, 2]}_{27} + 15, \underbrace{P[3, 4]}_{30} \}$$

1. define the subproblems
2. write the recurrence relation
3. solve the base case
4. solve the solutions in a TABLE  
↳ array, matrix, etc.

37 ye giden yol:

	0	1	2	3	4	5	
0	0	0	0	0	0	0	
1	0	0	12	12	12	12	
2	0	10	12	22	22	22	
3	0	10	12	22	30	32	
4	0	10	15	25	20	37	

$\downarrow 0+12$   
 $\downarrow 12+10$   
 $\downarrow 12+10$   
 $\downarrow 12+10+15$

1	✓	✓	X	✓
---	---	---	---	---

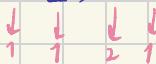
## minimum coin change problem

→ en az sayıda para'yı kullanarak 11'i bul.

coin : {1, 2, 5} value = 11

0	1	2	3	4	5	6	7	8	9	10	11
0	1	1	2	2	1	2	2	3	3	2	3

\* 4:  $\max \{ 2+2, 3+1 \}$



\* 5:  $\max \{ 4+1, 3+2, 5+0 \}$

\* 6:  $\max \{ 5+1, 4+2, 1+5 \}$



\* 11:  $11 - 1 = 10$        $(2+1=3)$

$11 - 2 = 9$        $(3+1=4)$

$11 - 5 = 6$        $(2+1=3)$

2 tane para      ↓  
ile elde edilir.  
STL'den gelir.

$$C[\text{money}] = \begin{cases} \emptyset & \text{if } \text{money} = \emptyset \\ \min \{ C[\text{money} - d_i] + 1 \mid i : d_i \leq \text{money} \} & \text{if } \text{money} > 0 \end{cases}$$

i :  $d_i \leq \text{money}$  ??

## 1. Longest Common Subsequence

Subsequence :

yakamoz

yak

a2

k02

k02

:

yaka

~~ay~~

$x[m] = 2^m \rightarrow$  anamli alt sira cikiyor mu?

yakamoz      yaramaz  
                 $\searrow$  yoz

$X = \underline{\text{ALGORITHM}}$        $Y = \underline{\text{LOGARITHM}}$

$LCS(x, y) = \text{LORITHM}$

Worst case :  $O(2^m * n)!$   $\rightarrow$  brute force

Dinamik programlama ile g鰎ulmeli.

$\begin{array}{ccc} & Y & \\ X & \xrightarrow{n} & \xrightarrow{m} \\ \downarrow & & \end{array}$

$LCS[i][j] \rightarrow x[i]$  ve  $y[j]$  'ye gelindiğinde en uzun ortak sequence'in uzunluğu.

$LCS[n][m] \rightarrow$  LCS'in uzunluğu

Case 1:  $x_i = y_j$        $x = ACGT$        $y = GTC$

$$LCS[i][j] = LCS[i-1][j-1] + 1$$

$x: < \text{BACDB} >$     $y: < \text{BD}CB >$

	0	1	2	3	4
0	B	D	C	B	
1	B	0	1 → 1	1	1
2	A	0	1 → 1	1	1
3	C	0	1 → 1	2 → 2	2
4	D	0	1	2	2
5	B	0	1	2	2
					3

$\text{B A C D B}$



$\text{B D C B}$

$$(B, BD) = 1$$

$$(B, BDC) = 1$$

$$(B, BDCB) = 1$$

$$(BA, B) = 1$$

$$(BA, BD) = 1$$

$$(BA, BDC) = 1$$

$$(BAC, BDCB) = 2$$

$$(BACD, BD) = 2$$

case 2:  $x_i \neq y_j$

$y_0 \rightarrow x_i$  is not part of CS    $LCS[i-1][j]$

$y_{\text{de}} \rightarrow y_j$  is not part of CS    $LCS[i][j-1]$

initialize:

$$LCS[i][0] = \emptyset, \quad LCS[0][j] = \emptyset$$

$$LCS[i][j] = \begin{cases} \text{if } x_i = y_j, \quad LCS[i-1][j-1] + 1 \\ \text{if } x_i \neq y_j, \quad \max \{ LCS[i-1][j], LCS[i][j-1] \} \end{cases} \quad \text{if } i, j > 0$$

Karşılaştırma:  $O(m \times n)$  hem yer hem zaman

	B	D	C	B	
0	0	0	0	0	
B	0	1	1	1	1
A	0	1	1	1	1
C	0	1	1	2	2
D	0	1	2	2	2
B	0	1	2	2	3

geri dönerken ne yaparız?

```

LCS(x[1..n], y[1..m]) {
    for (i = 0 to n) {
        c[i][0] = 0, label[i][0] = SKIPX;
    }
    for (j = 0 to m) {
        c[0][j] = 0, label[0][j] = SKIPIY;
    }
    for (i = 0 to n) {
        for (j = 0 to m) {
            if (x[i] == y[j]) {
                c[i][j] = c[i-1][j-1] + 1;
                label[i][j] = ADDXY;
            } else {
                if (c[i-1][j] > c[i][j-1]) {
                    c[i][j] = c[i-1][j];
                    label[i][j] = SKIPX;
                } else {
                    c[i][j] = c[i][j-1];
                    label[i][j] = SKIPIY;
                }
            }
        }
    }
}

```

geri dönme.

```

LCS(x[1..n], y[1..m], label[0..n][0..m]) {
    i = n; j = m;
    while (i != 0 and j != 0) {
        switch (label[i][j]) {
            case ADDXY:
                add x[i] to the LCS
                i--;
                j--;
                break;
            case SKIPX:
                i--;
                break;
            case SKIPIY:
                j--;
                break;
        }
    }
}

```

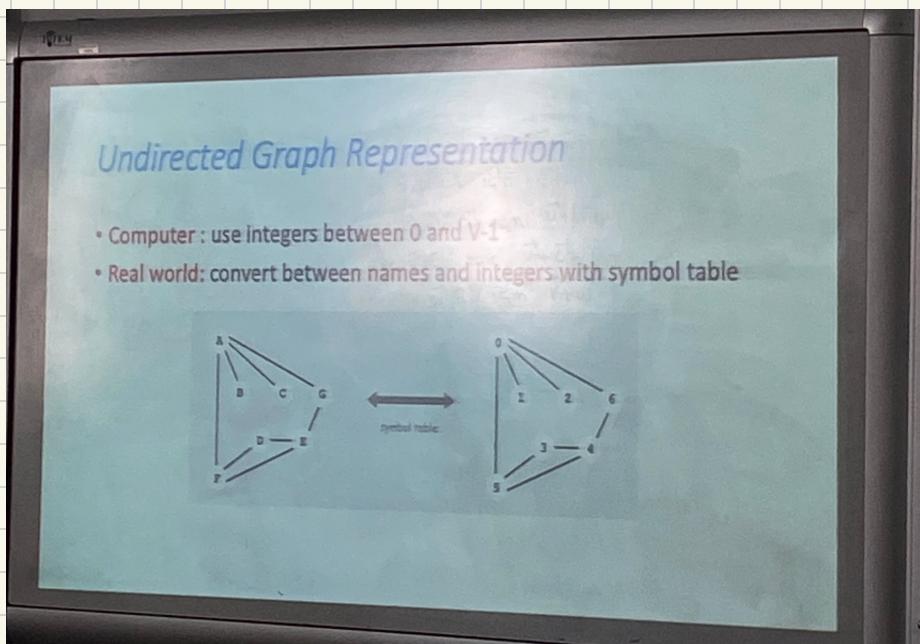
Kelime Kargo iken Kaga ya da Kuyga yozildiginda nosil 'Kargo' önerilir?

1.  $x_i = y_j$  ✓
2.  $x_i \neq y_j \rightarrow$  harf eklenmis ya da silinmis olabilir.
3. harf yanlis harfle degistirilmis olabilir.

Bir X Kelimesinin, bir Y Kelimesine uzakligi nasil bulunur?

**EDIT DISTANCE**

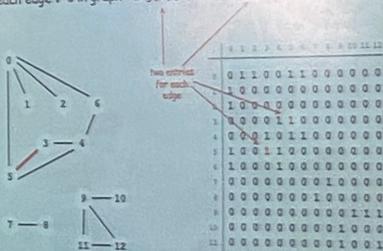
## GRAPH TRAVERSAL



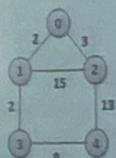
## Undirected Graph - Adjacency Matrix

Maintain a two-dimensional  $v \times v$  boolean array.

For each edge  $v-w$  in graph:  $\text{adj}[v][w] = \text{adj}[w][v] = \text{true}$ .

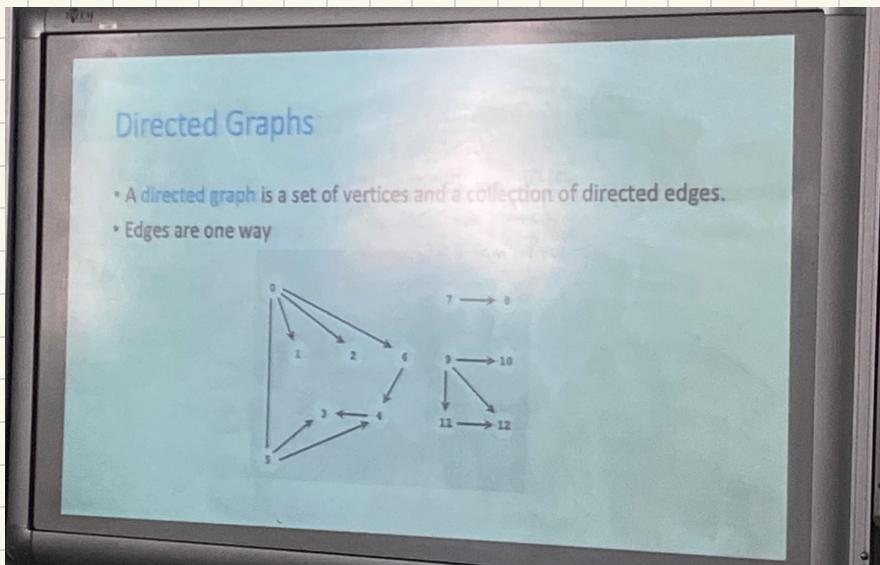
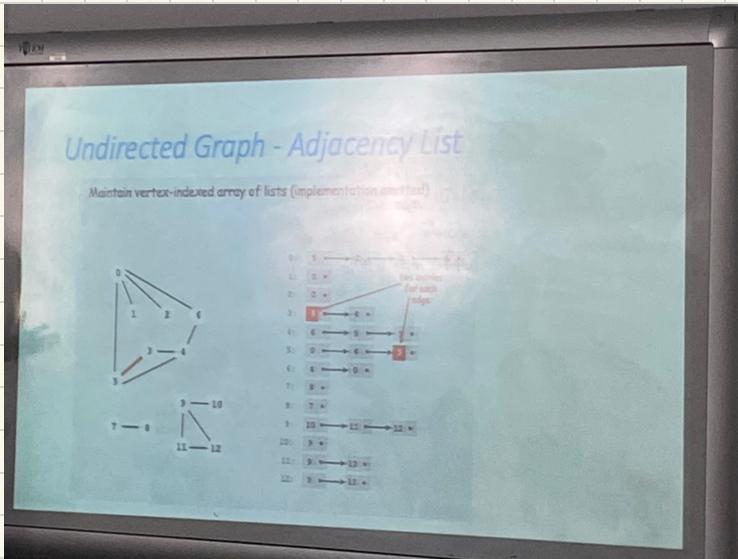


## Undirected Weighted Graph - Adjacency Matrix



	0	1	2	3	4
0	0	2	3	0	0
1	2	0	15	2	0
2	3	15	0	0	13
3	0	2	0	0	9
4	0	0	13	9	0

Adjacency Matrix Representation of Weighted Graph



## Graph Traversal

- Is there a path from s to t?
- Find shortest path (fewest edges) from s to t
- Is there a cycle in the graph ?
- How many connected components exist?

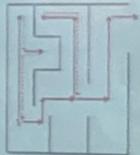
## Graph Traversal

- Visit every edge and node in the graph in a systematic way
  - Depth-first search. Put unvisited vertices on a stack.
  - Breadth-first search. Put unvisited vertices on a queue.
- BFS is better when target is closer to source. DFS is better when target is far from source.

## Graph Traversal – Depth First Search (DFS)

- Like exploring a maze
- From current vertex, move to another
- Until you get stuck
- Then backtrack till you find a new place to explore

• e.g. "left-hand" rule



## Trémaux Maze Exploration

- Unroll a ball of string behind you.
- Mark each visited intersection by turning on a light.
- Mark each visited passage by opening a door

First use? Theseus entered labyrinth to kill the monstrous Minotaur; Ariadne held ball of string.



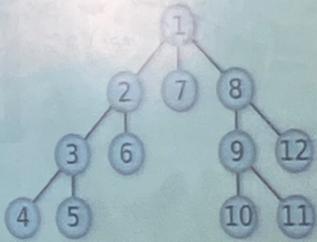
## Graph Traversal – Depth First Search (DFS)

DFS (to visit a vertex  $a$ )

Mark  $a$  as visited.

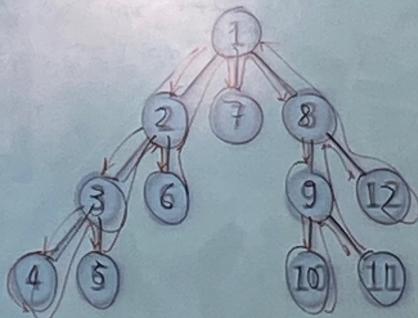
Visit all unmarked vertices  $v$  adjacent to  $a$ .

recursion



## Graph Traversal – Depth First Search (DFS)

Visit all vertices  $v$  adjacent to  $s$ .



DFS: Stack Kullanır.

## 1. Recursive

graph source node  
DFS(g, s) {

mark s as visited

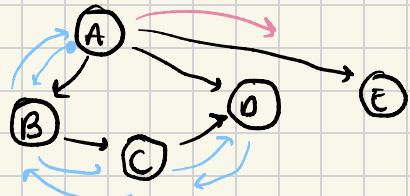
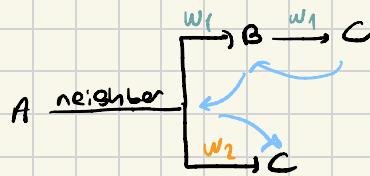
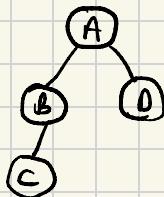
for all neighbor w of s in  
Graph g

if w is not visited

DFS(g, w)

}

A	B	C	D
0	0	0	0
1	1	1	1



A B C D E

D'ye C'den gelir A'dan değil.

## 2. Non-recursive (using stack)

DFS(g, s) {

stack.push(s)

mark s as visited

while (stack is not empty) {

v = stack.pop()

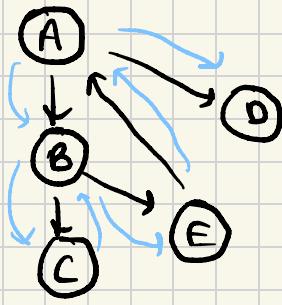
for all neighbors w of v in graph g {

if w is not visited {

stack.push(w)

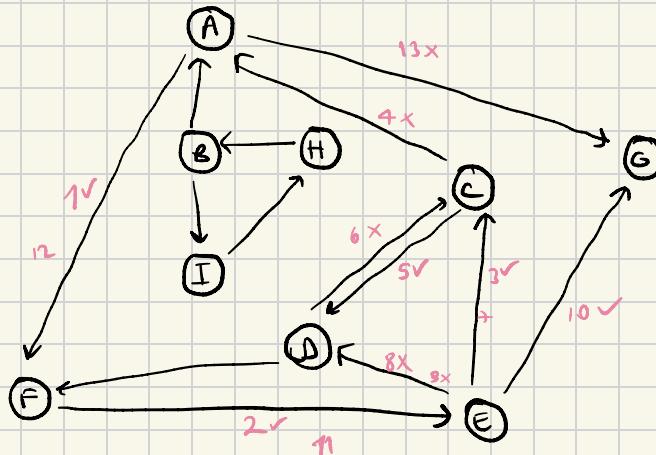
mark w is visited

3 } }



A B C E D

D'ye A'dan gelindi. E'den A'ya gittiğinde  
A visited olmuştu. D yoldan E'den döndü geri.



A	B	C	D	E	F	G	H	I
1	0	1	1	1	1	1	0	0

0 olunur: tekrardan geçeriz

A F E C D G

DFS Traversal Example

visited nodes array:

A	B	C	D	E	F	G	H	I

How many connected components does the graph have ?  
Starting Point is A

DFS (to visit a vertex a)  
Mark a as visited.  
Visit all unmarked vertices v adjacent to a.

Adjacency Lists

A: F G
B: A I
C: A D
D: C F
E: C D G
F: E
G: C
H: B
I: H

## Graph Traversal – Depth First Search (DFS)

Recursive DFS:

```

DFS(G, s)
    marks s as visited
    for all neighbors w of s in Graph G
        if w is not visited
            DFS(G, w)
    
```

Is there a path from A to D?

A F E C D

Is there a path from A to B?

A F E C D G

Adjacency Lists
A: F G
B: A D E
C: A D G
D: B C H
E: C F G
F: E G
G: C D H I
H: B G I
I: H G

## Graph Traversal – Breadth First Search (BFS)

BFS (from source vertex a)

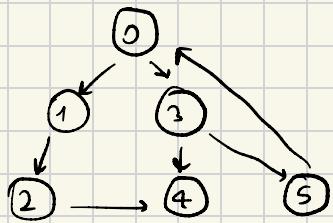
Put a onto a FIFO queue.

Repeat until the queue is empty:

- remove the least recently added vertex v
- add each of v's unvisited neighbors to the queue,
- and mark them as visited.

A  
B C D  
C D E F  
D E F  
E F G H I  
:

A F E G D Search (BFS)



0
1
3
2
4
5

en bas taki ni gikar  
Komşularini ekle.

0 - 1 - 3 - 2 - 4 - 5

BFS(9, s) ?

Queue.enqueue(s)

Mark s as visited

while (Queue is not empty) {

  v = Queue.dequeue()

  for all neighbors w of v in graph g

    if w is not visited {

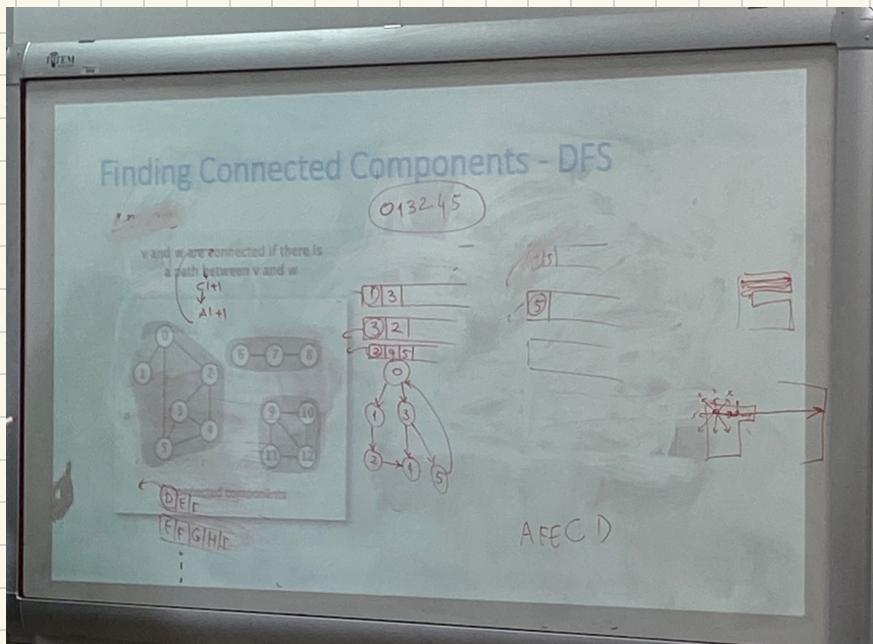
      Queue.enqueue(w)

      mark w as visited

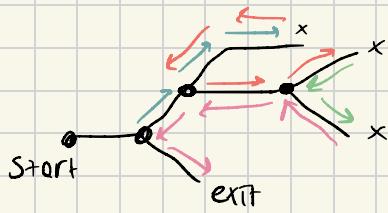
}

}

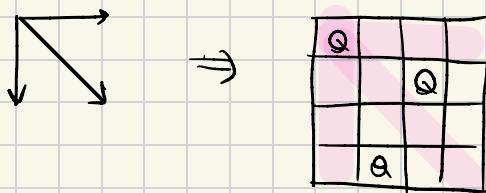
}



# BACKTRACKING



## 8 - Queen Problem



1. Brute force :  $\binom{64}{8} = \frac{64!}{(64-8)!8!} = 4,126,165,360$  positions

2. One per Row =  $n^n = 8^8 = 16,776,216$  positions.

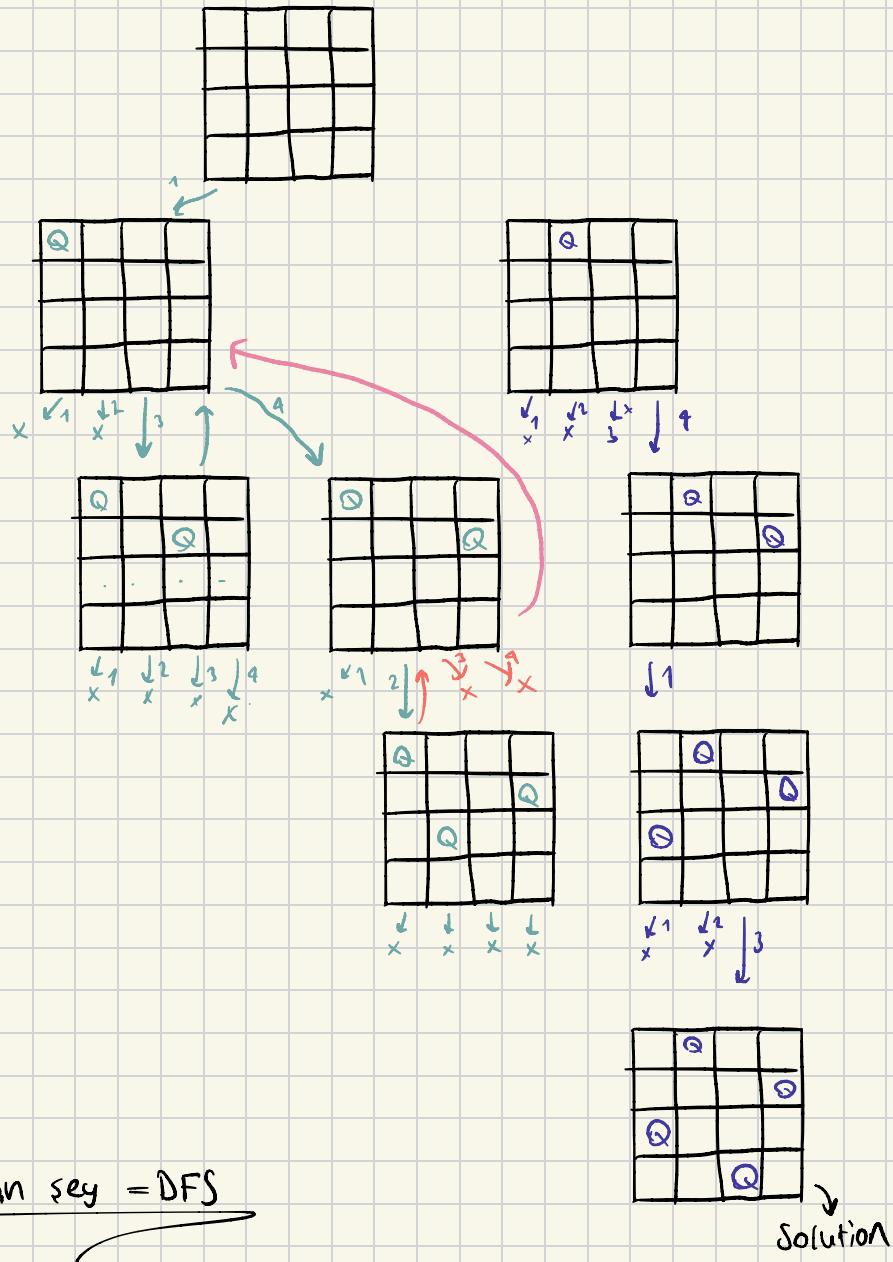
3. One per row  
One per column }  $n! = 8! = 40,320$  positions

4. Backtracking :

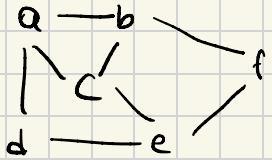
Q			
.	.	Q	Q
.	.	.	.
.	.	.	.

2057 positions

# State Space Tree

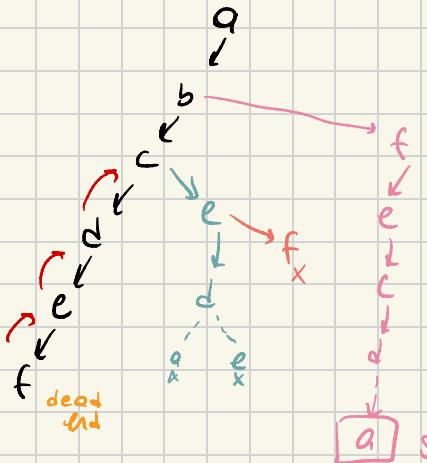


## Hamilton Circuit Problem



a noktasından çıkışta her bir düğüme 1 kere uğrayarak dönülebiliyorsa Hamilton circuit

Graph ( $V, E$ ) = visit every node exactly one.



State space tree for hamilton circuit

a solution

## Branch And Bound

### 1) Assignment Problem

	J1	J2	J3	J4	
1	9	2	7	8	9
2	6	4	3	7	b
3	5	8	1	9	c
4	7	6	9	4	d

(state space tree)

Start → (Çalışmalar önemsiz)

$$LB = 2 + 3 + 1 + 4 = 10$$

a → 1

$$LB = 9 + 3 + 1 + 4 = 17$$

Q → 2

$$LB = 2 + 3 + 1 + 4 = 10$$

a → 3

$$LB = 7 + 4 + 5 + 4 = 20$$

Q → 4

$$LB = 8 + 3 + 1 + 6 = 18$$

b → 1

$$LB = 2 + 6 + 1 + 4 = 13$$

b → 3

$$LB = 14$$

b → 4

$$LB = 17$$

c → 3

$$d \rightarrow 9$$

$$\text{cost} = 13$$

c → 4

$$d \rightarrow 3$$

$$\text{cost} = 25$$

Q → 2

b → 1

c → 3

d → 4

## Knapsack Problem

$$w = 10$$

<u>item</u>	<u>weight</u>	<u>value</u>	$v_i/w_i$
1	4	90	10
2	7	42	6
3	5	25	5
4	3	12	4

$$v_1/w_1 \geq v_2/w_2 \geq \dots \geq v_n/w_n$$

$$UB = v + (w - w) (v_{i+1}/w_{i+1})$$

$$\boxed{\begin{array}{l} w=0, v=0 \\ UB = 0 + (10-0) \times 10 = 100 \end{array}}$$

with 1

$$\boxed{\begin{array}{l} w=4, v=40 \\ UB = 40 + (10-4) \times 6 = 76 \end{array}}$$

with 2

$$\boxed{w=4+7=11}$$

XX

with 3

without 2

$$\boxed{\begin{array}{l} w=4, v=40 \\ UB = 40 + (6 \times 5) = 70 \end{array}}$$

without 3

$$\boxed{\begin{array}{l} w=4+5, v=65 \\ UB = 65 + (1 \times 4) = 69 \end{array}}$$

with 4

without 6

$$\boxed{\begin{array}{l} w=4, v=40 \\ UB = 40 + (6 \times 4) = 69 \end{array}}$$

without 1

$$\boxed{\begin{array}{l} w=0, v=0 \\ UB = 10 \times 6 = 60 \end{array}}$$

XX

1 alınmasıda max 60 kalanılar

1 alınırsa 65. 0 halde elenir.

$$\boxed{w=9+3=12}$$

XX

$$\boxed{\begin{array}{l} w=9, v=65 \\ UB = 65 \end{array}}$$

→ Bunun da upper boundu  
65'ten az.

# P/NP

P → polynomial    NP → non deterministic polynomial

$O(n)$ ,  $O(n^c)$ ,  $O(n^3)$ ,  $O(n \log n)$  → polynomial (efektif)  
 merge sort, huffman coding, binary search  
 ↴  
 efectif algoritmalar

Inefficient algorithms →  $O(n!)$ ,  $O(2^n)$ ,  $O(3^n)$

traveling salesman, hamiltonian circuit

	10	20	50
$n$	0.00001 s	0.00002 s	0.00005 s
$n^2$	0.001 s	0.04 s	0.0025 s
$n^3$	0.001 s	0.008 s	0.125 s
$2^n$	0.001 s	1.0 s	35.7 years

Polynomial zamanda çözülebiliyorsa tractable

" " değilse intractable, unsolvable örn. traveling salesman

$n^{100000}$  → intractable       $O(n^2 \cdot 2^n)$

intractable problems → NP

## What is an efficient algorithm?

### Algorithm Efficiency:

An algorithm is **efficient** iff it runs in **polynomial time** on a **serial computer**.

### Runtimes of **efficient** algorithms:

$O(n^2)$ ,  $O(n^3)$ ,  $O(1)$ ,  $O(n \lg n)$ ,  $O(n^{10,000,000,000})$

### Runtimes of **inefficient** algorithms:

$O(2^n)$ ,  $O(n^n)$ ,  $O(n!)$

M. Elif Karşılıgil, Yıldız Technical University

## Tractable vs. Intractable Problems

### Problems in P are called **tractable**:

- Tractable algorithms run in polynomial time
- Most searching and sorting algorithms  $O(n^2)$ ,  $O(n^n \lg n)$

### Problems not in P are **intractable** or **unsolvable**

- Travelling salesperson ( $O(n^2 2^n)$ ), knapsack ( $O(2^n)$ )

### Are non-polynomial algorithms always worst than polynomial algorithms?

- $n^{1,000,000}$  is **technically tractable**, but really impossible
- $n^{100}$  is **technically intractable**, but easy

## Intractable Problems

### Can be classified in various categories based on their degree of difficulty, e.g.,

- NP(**Non deterministic Polynomial**)
- NP-complete
- NP-hard

## Decision vs. Optimization Problems

- **Decision problems :**

- Given an input and a question regarding a problem, determine if the answer is yes or no

- **Optimization problems:**

- Find a solution with the best value

## Decision vs. Optimization Problems

- Each optimization problem has a corresponding decision problem.

- The **optimization version** of the TSP :

- input : weighted complete graph

- The **decision version** of the TSP :

- input : weighted graph G and integer k. Does there exist a traveling salesman tour with cost k?

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## Deterministic / Non-deterministic Algorithms

- **Deterministic algorithms** : for a given particular input, the computer will always produce the same output

- **Nondeterministic algorithms**: can provide different outputs for the same input on different executions

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## A Searching Example

```
// the problem is to search for an element X in unordered array A[1..n]//  
// output : i such that A[i] = x; or i = 0 if x is not in A//  
NSearch (A,x)  
j = choice (1, ..., n) // chooses one of the elements from A.  
if A[j] = x then  
    print(j)  
    success  
endif  
print("0")  
failure
```

**Complexity**  $\Omega(1)$ : Since A is not ordered, every deterministic search algorithm is of complexity  $\Omega(n)$ , whereas the nondeterministic decision algorithms generate a zero or 1 as their output.

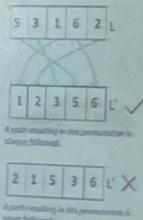
## Nondeterministic Algorithms

- The nondeterministic algorithm uses three basic procedures;
- **CHOICE(1,n)** or **CHOICE(s)**: chooses and returns an arbitrary element, from the closed interval [1,n] or from the set s.
- **SUCCESS**: declares a successful completion.
- **FAILURE**: an unsuccessful termination.

## A Sorting Example

```
sort the array A[1..n] into arrayB[1..n]//
```

```
NSort(A, B)  
for i=1 to n do  
    B[i] = 0  
for i=1 to n do  
    j=choice(1, ..., n)  
    if B[j] > 0 then failure  
    B[j] = A[i]  
    success  
for i=1 to n-1 do  
    if B[i]<B[i+1] then failure  
    success  
    print(B)  
    success  
Complexity  $\Theta(n^2)$ 
```



- 1) Optimization problems  $\rightarrow$  NP
  - ↳ en or 2 kac rengi boyanır?
- 2) Decision Problems
  - ↳ 2 rengi boyanabılır mı?  
Verification  $\Rightarrow$  polinomsal

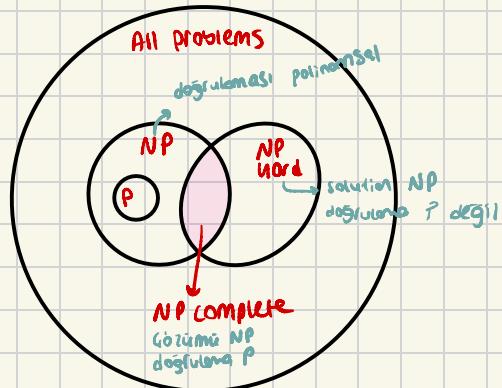
## Nondeterministic Algorithms

- NP algorithms verifiable in polynomial time
- Warning: NP does not mean "non-polynomial"

NP algoritmalar : verification zamanı polinomsal olan algoritmalardır.

## Class P and Class NP

- What do we mean when we say a problem is in **P**?
  - A solution can be found in polynomial time
- What do we mean when we say a problem is in **NP**?
  - A solution can be **verified** in polynomial time
- What is the relation between **P** and **NP**?
  - $P \subseteq NP$ , but no one knows whether  $P = NP$
  - A deterministic algorithm is just a special case of a nondeterministic one :  $P \subseteq NP$



Solvable in polynomial time	Solution verifiable in polynomial time
P	✓
NP	✓
NP Comp.	✓
NP hard	

### NP(Nondeterministic Polynomial) Algorithms

- **NP**: decision problems that are verifiable in polynomial time
- Does a directed graph has a Hamiltonian Cycle : **not a polynomial time**
  - $O(N!N!)$  visit all the permutations of the vertices  $N!$  iterations, in each iteration see if adjacent vertices are connected or not
  - Backtracking time complexity  $O(N!)$
  - Dynamic Programming time complexity  $O(2^n)$
- For a given sequence of vertices does a sequence forms a Hamiltonian Cycle : can be done in polynomial time
- Therefore Hamiltonian Cycles are in **NP**

## P is a subset of NP

- Since it takes polynomial time to run the program, just run the program and get a solution

 But is NP a subset of P?

- No one knows if  $P = NP$  or not

□ Solve for a million dollars!

■ <http://www.claymath.org/millennium-problems>

