

# The Neo4j Java Developer Reference v4.0

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This document contains information on advanced Java-centric usage of Neo4j. Among the topics covered are embedding Neo4j in your own software and writing extensions.



You might want to keep the Neo4j JavaDocs handy while reading!

This reference covers the following areas:

- Extending Neo4j How to build unmanaged extensions and procedures.
- Using Neo4j embedded in Java applications Instructions on embedding Neo4j in an application.
- Transaction management Details on transaction semantics in Neo4j.
- Online backup How to perform an online backup in Java.
- JMX metrics How to monitor Neo4j with JMX and a reference of available metrics.

#### Who should read this?

This reference is written for the advanced Java developer who is extending Neo4j's functionality, or embedding Neo4j in their own software.

## Chapter 1. Extending Neo4j

This chapter describes how to extend Neo4j and Cypher using procedures, functions, and plugins and how to set up remote debugging.

#### This chapter contains the following:

- Introduction Introduction and best practices for developing Neo4j extensions.
- Procedures and functions How to develop and deploy user-defined procedures and functions.
  - □ Introduction
  - ☐ Setting up a plugin project
  - Values and types
  - □ User-defined procedures
  - User-defined functions
  - Aggregation functions
- Authentication and authorization plugins How to develop and deploy custom authentication and authorization plugins.
- Full-text index analyzer providers How to customize the analyzer used in a full-text index.
- Unmanaged server extensions How to build extensions for the Neo4j HTTP server.
  - □ Introduction
  - ☐ Streaming JSON responses
  - Executing Cypher
  - ☐ Testing your extension
- Remote debugging How to configure the Neo4j server for remote debugging sessions.

### 1.1. Introduction

This section introduces different methods to extend the standard Neo4j functionality.

Neo4j provides the following methods to extend the standard functionality:

- Procedures and functions extend the capabilities of the Cypher query language.
- Authentication and authorization plugins extend the Neo4j security framework.
- Server extensions enable new surfaces to be created in the HTTP API.

Writing extensions requires the user to be familiar with Java or other JVM programming languages, and to have an environment set up for compiling such code.

## 1.1.1. Best practices

It is also important to consider any security implications of deploying custom code. Refer to the Operations Manual 

Securing Extensions for details on best practices for securing user-defined procedures and functions.

Since you will be running custom-built code and Neo4j in the same JVM, there are a few things you should keep in mind:

- Do not create or retain more objects than you strictly need to. Large caches in particular tend to promote more objects to the old generation, thus increasing the need for expensive full garbage collections.
- Do not use internal Neo4j APIs. They are internal to Neo4j and subject to change without notice, which may break or change the behavior of your code.
- If possible, avoid using Java object serialization or reflection in your code or in any runtime dependency that you use. Otherwise, if you cannot avoid using Java object serialization and reflection, then ensure that the -XX:+TrustFinalNonStaticFields JVM flag is disabled in neo4j.conf.

## 1.2. Procedures and functions

This section describes user-defined procedures and functions in Neo4j.

#### This section describes the following:

- Introduction
- Setting up a plugin project
- Values and types
- User-defined procedures
- User-defined functions
- Aggregation functions

#### 1.2.1. Introduction

This section introduces user-defined procedures and functions in Neo4j.

*User-defined procedures* and *user-defined functions* are mechanisms that enable you to extend Neo4j by writing custom code, which can be invoked directly from Cypher. This is the preferred means for extending Neo4j.

Examples of use cases for procedures and functions are:

- To provide access to functionality that is not available in Cypher.
- To provide access to third party systems.
- To perform graph-global operations, such as counting connected components or finding dense nodes.
- To express a procedural operation that is difficult to express declaratively with Cypher.

Procedures and functions are written in Java and compiled into *jar* files. They are deployed to the database by dropping that *jar* file into the *plugins* directory on each standalone or clustered server. For the location of the *plugins* directory, refer to Operations Manual [] File locations. The database must be re-started on each server to pick up new procedures and functions.

Procedures and functions can take arguments and return results. In addition, procedures can perform write operations on the database.

Туре	Description	Syntax	Read/Write	Cardinality
Procedure	For each row the procedure takes parameters and returns multiple results	CALL abc()		Changes cardinality similarly to a MATCH clause (0, 1 or many)

Туре	Description	Syntax	Read/Write	Cardinality
Scalar function	For each row the function takes parameters and returns a single result	abc()	Read-only	Maintains cardinality, one for one
Aggregating function	Consumes many rows and produces an aggregated result	WITH abc()	Read-only	Reduces cardinality, many down to one

Neo4j also comes bundled with a number of *built-in* procedures and functions.

The available built-in procedures varies depending edition and mode, as described in Operations Manual [] Built-in procedures. Running CALL dbms.procedures() will display the full list of procedures available in a particular database instance, including user-defined procedures.

The built-in functions are described in Cypher Manual [] Functions. Running CALL dbms.functions() will display the full list of all the functions available in a particular database instance, including user-defined functions.

### 1.2.2. Setting up a plugin project

This section describes how to prepare a project for writing user-defined procedures and functions in Neo4j.



The example described in this section is available as a repository on GitHub. To get started quickly you can fork the repository and work with the code as you follow along in the guide below.

The following example shows the steps to create and deploy a new procedure:

1. Set up a project with Maven.

A project can be set up in any way that allows for compiling a procedure and producing a *jar* file. Below is an example configuration using the Maven build system. For readability, only excerpts from the Maven *pom.xml* file are shown here, the whole file is available from the Neo4j Procedure Template repository.

- 2. Define build dependencies.
  - a. Add a dependency section that includes the procedure and function APIs, which procedures and functions use at runtime.

The scope is set to provided, because once the procedure is deployed to a Neo4j instance, this

dependency is provided by Neo4j. If non-Neo4j dependencies are added to the project, their scope should normally be compile.

```
<dependency>
    <groupId>org.neo4j</groupId>
    <artifactId>neo4j</artifactId>
    <version>${neo4j.version}</version>
    <scope>provided</scope>
</dependency>
```

- b. Add dependencies that are necessary for testing the procedure.
  - ☐ Neo4j Harness, a utility that allows for starting a lightweight Neo4j instance. It is used to start Neo4j with a specific procedure or function deployed, which greatly simplifies testing.
  - ☐ The Neo4j Java driver, used to send Cypher statements that call the procedure or function.
  - ☐ JUnit, a common Java test framework.

```
<dependency>
 <groupId>org.neo4j.test
 <artifactId>neo4j-harness</artifactId>
 <version>${neo4j.version}
 <scope>test</scope>
</dependency>
<dependency>
 <groupId>org.neo4j.driver
 <artifactId>neo4j-java-driver</artifactId>
 <version>4.0.1
 <scope>test</scope>
</dependency>
<dependency>
 <groupId>junit
 <artifactId>junit</artifactId>
 <version>4.12
 <scope>test</scope>
</dependency>
```

3. Define the steps that Maven will go through to build the project.

The goal is first to *compile* the source, then to *package* it in a *jar* that can be deployed to a Neo4j instance.

- + The Maven Shade plugin is used to package the compiled procedure. It also includes all dependencies in the package, unless the dependency scope is set to *test* or *provided*.
- + Once the procedure has been deployed to the *plugins* directory of each Neo4j instance and the instances have restarted, the procedure is available for use.

+

```
<build>
 <plugins>
   <plugin>
     <artifactId>maven-compiler-plugin</artifactId>
     <configuration>
       <source>11</source>
       <target>11</target>
     </configuration>
   </plugin>
   <plugin>
     <artifactId>maven-shade-plugin</artifactId>
     <executions>
       <execution>
         <phase>package</phase>
         <goals>
           <goal>shade</goal>
         </goals>
       </execution>
     </executions>
   </plugin>
 </plugins>
</build>
```

## 1.2.3. Values and types

This section describes how to work with values and types when writing user-defined procedures and functions.

The *input* and *output* to and from a procedure or a function must be one of the supported types, as described in Cypher Manual [] Values and types.

Composite types are supported via:

- List<T>, where T is one the supported types, and
- Map<String, Object>, where the values in the map must have one of the supported types.

The use of Object is supported for the case where the type is not known beforehand. Note, however, that the actual value must still have one of the aforementioned types.

The Cypher types and their equivalents in Java are outlined in the table below:

Table 1. Supported types

Cypher type	Java type
String	String
Integer	Long
Float	Double
Boolean	Boolean
Point	org.neo4j.graphdb.spatial.Point
Date	java.time.LocalDate
Time	<pre>java.time.OffsetTime</pre>
LocalTime	java.time.LocalTime
DateTime	java.time.ZonedDateTime
LocalDateTime	java.time.LocalDateTime
Duration	java.time.temporal.TemporalAmount
Node	org.neo4j.graphdb.Node

Cypher type	Java type
Relationship	org.neo4j.graphdb.Relationship
Path	org.neo4j.graphdb.Path

For more details, see the API documentation.

Take note that there are two cases where more than one Java type is mapped to a single Cypher type. When this happens, type information is lost. If the following objects are returned from procedures, the original types cannot be recreated:

- A Cypher Duration is created when either a java.time.Duration or a java.time.Period is provided. If a Duration is returned, only the common interface java.time.temporal.TemporalAmount will remain.
- A Cypher DateTime is created when a java.time.OffsetDateTime is provided. If a DateTime is returned, it will be converted into a java.time.ZonedDateTime.

## 1.2.4. User-defined procedures

This section describes how to write, test and deploy a user-defined procedure for Neo4j.

A *user-defined procedure* is a mechanism that enables you to extend Neo4j by writing custom code, which can be invoked directly from Cypher. Procedures can take arguments, perform operations on the database, and return results. For a comparison between user-defined procedures, functions and aggregation functions see Introduction.

#### Calling procedures

To call a user-defined procedure, use a Cypher CALL clause. The procedure name must be fully qualified, so a procedure named findDenseNodes defined in the package org.neo4j.examples could be called using:

CALL org.neo4j.examples.findDenseNodes(1000)

A CALL may be the only clause within a Cypher statement or may be combined with other clauses. Arguments can be supplied directly within the query or taken from the associated parameter set. For full details, see the documentation in Cypher Manual [] CALL procedure.

#### Writing procedures

Make sure you have read and followed the preparatory setup instructions in Setting up a plugin project.



The example discussed below is available as a repository on GitHub. To get started quickly you can fork the repository and work with the code as you follow along in the guide below.

#### Writing integration tests

The test dependencies include *Neo4j Harness* and *JUnit*. These can be used to write integration tests for procedures.

First, decide what the procedure should do, then write a test that proves that it does it right. Finally, write a procedure that passes the test.

Below is a template for testing a procedure that accesses Neo4j's full-text indexes from Cypher.

```
package example;
import org.junit.Rule;
import org.junit.Test;
import org.neo4j.driver.v1.*;
import org.neo4j.graphdb.factory.GraphDatabaseSettings;
import org.neo4j.harness.junit.Neo4jRule;
import static org.hamcrest.core.IsEqual.equalTo;
import static org.junit.Assert.assertThat;
import static org.neo4j.driver.v1.Values.parameters;
public class ManualFullTextIndexTest
    // This rule starts a Neo4j instance
    public Neo4jRule neo4j = new Neo4jRule()
             // This is the Procedure to test
             .withProcedure( FullTextIndex.class );
    @Test
    public void shouldAllowIndexingAndFindingANode() throws Throwable
         // In a try-block, to make sure you close the driver after the test
        try( Driver driver = GraphDatabase.driver( neo4j.boltURI() , Config.build().withoutEncryption
().toConfig() ) )
            // Given I've started Neo4j with the FullTextIndex procedure class
                     which my 'neo4j' rule above does.
            Session session = driver.session();
             // And given I have a node in the database
             long nodeId = session.run( "CREATE (p:User {name: 'Brookreson'}) RETURN id(p)" )
                     .single()
                     .get( 0 ).asLong();
             // When I use the index procedure to index a node
             session.run( "CALL example.index($id, ['name'])", parameters( "id", nodeId ) );
            // Then I can search for that node with lucene query syntax
StatementResult result = session.run( "CALL example.search('User', 'name:Brook*')" );
             assertThat( result.single().get( "nodeId" ).asLong(), equalTo( nodeId ) );
        }
    }
}
```

#### Writing a procedure

With the test in place, write a procedure procedure that fulfills the expectations of the test. The full example is available in the Neo4j Procedure Template repository.

#### Particular things to note:

- All procedures are annotated @Procedure.
- The procedure annotation can take three optional arguments: name, mode, and eager.
  - name is used to specify a different name for the procedure than the default generated, which is class.path.nameOfMethod. If mode is specified then name must be specified as well.
  - mode is used to declare the types of interactions that the procedure will perform. The default mode is READ. The following modes are available:
    - READ This procedure will only perform read operations against the graph.
    - ☐ WRITE This procedure will perform read and write operations against the graph.
    - SCHEMA This procedure will perform operations against the schema, i.e. create and drop

indexes and constraints. A procedure with this mode is able to read graph data, but not write.

- DBMS This procedure will perform system operations such as user management and query management. A procedure with this mode is not able to read or write graph data.
- eager is a boolean setting defaulting to false. If it is set to true then the Cypher planner will plan an extra eager operation before calling the procedure. This is useful in cases where the procedure makes changes to the database in a way that could interact with the operations preceding the procedure. For example:

```
MATCH (n)
WHERE n.key = 'value'
WITH n
CALL deleteNeighbours(n, 'FOLLOWS')`
```

This query could delete some of the nodes that would be matched by the Cypher query, and then the n.key lookup will fail. Marking this procedure as eager will prevent this from causing an error in Cypher code. However, it is still possible for the procedure to interfere with itself by trying to read entities it has previously deleted. It is the responsibility of the procedure author to handle that case.

• The *context* of the procedure, which is the same as each resource that the procedure wants to use, is annotated @Context.



The correct way to signal an error from within a procedure is to throw a RuntimeException.

#### Injectable resources

When writing procedures, some resources can be injected into the procedure from the database. To inject these, use the <code>@Context</code> annotation. The classes that can be injected are:

- Log
- TerminationGuard
- GraphDatabaseService

All of the above classes are considered safe and future-proof, and will not compromise the security of the database. There are also several classes that can be injected that are unsupported (restricted) and can be changed with little or no notice. Procedures written to use these restricted API's will not be loaded by default, and it will be necessary to use the <a href="mailto:dbms.security.procedures.unrestricted">dbms.security.procedures.unrestricted</a> to load unsafe procedures. Read more about this config setting in Operations Manual <a href="mailto:Securing Extensions">Securing Extensions</a>.

#### 1.2.5. User-defined functions

This section describes how to write, test and deploy a user-defined function for Neo4j.

*User-defined functions* are a simpler form of procedures that are read-only and always return a single value. Although they are not as powerful in capability, they are often easier to use and more efficient than procedures for many common tasks. For a comparison between user-defined procedures, functions and aggregation functions see Introduction.

## Calling a user-defined function

User-defined functions are called in the same way as any other Cypher function. The function name must be fully qualified, so a function named join defined in the package org.neo4j.examples could be

called using:

```
MATCH (p: Person) WHERE p.age = 36 RETURN org.neo4j.examples.join(collect(p.names))
```

#### Writing a user-defined function

User-defined functions are created similarly to how procedures are created, but are instead annotated with <code>@UserFunction</code> and instead of returning a stream of values it returns a single value.

See Values and types for details on values and types.

For more details, see the API documentation for user-defined functions.



The correct way to signal an error from within a function is to throw a RuntimeException.

```
package example;
import org.neo4j.procedure.Name;
import org.neo4j.procedure.Procedure;
import org.neo4j.procedure.UserFunction;
public class Join
{
    @UserFunction
    @Description("example.join(['s1','s2',...], delimiter) - join the given strings with the given delimiter.")
    public String join(
         @Name("strings") List<String> strings,
               @Name(value = "delimiter", defaultValue = ",") String delimiter) {
        if (strings == null || delimiter == null) {
            return null;
        }
        return String.join(delimiter, strings);
    }
}
```

#### Writing integration tests

Tests for user-defined functions are created in the same way as those for procedures.

Below is a template for testing a user-defined function that joins a list of strings.

```
package example;
import org.junit.Rule;
import org.junit.Test;
import org.neo4j.driver.v1.*;
import org.neo4j.harness.junit.Neo4jRule;
import static org.hamcrest.core.IsEqual.equalTo;
import static org.junit.Assert.assertThat;
public class JoinTest
    // This rule starts a Neo4j instance
    @Rule
    public Neo4jRule neo4j = new Neo4jRule()
            // This is the function to test
            .withFunction( Join.class );
    @Test
    public void shouldAllowIndexingAndFindingANode() throws Throwable
        // This is in a try-block, to make sure you close the driver after the test
        try( Driver driver = GraphDatabase.driver( neo4j.boltURI() , Config.build().withEncryptionLevel(
Config.EncryptionLevel.NONE ).toConfig() ) )
            // Given
            Session session = driver.session();
            String result = session.run( "RETURN example.join(['Hello', 'World']) AS result").single().
get("result").asString();
            assertThat( result, equalTo( "Hello,World" ) );
        }
    }
}
```

## 1.2.6. Aggregation functions

This section describes how to write, test and deploy a user-defined aggregation function for Neo4j.

*User-defined aggregation functions* are functions that aggregate data and return a single result. For a comparison between user-defined procedures, functions and aggregation functions see Introduction.

## Calling a user-defined aggregation function

User-defined aggregation functions are called in the same way as any other Cypher aggregation function. The function name must be fully qualified, so a function named longestString defined in the package org.neo4j.examples could be called using:

```
MATCH (p: Person) WHERE p.age = 36 RETURN org.neo4j.examples.longestString(p.name)
```

#### Writing a user-defined aggregation function

User-defined aggregation functions are annotated with <code>@UserAggregationFunction</code>. The annotated function must return an instance of an aggregator class. An aggregator class contains one method annotated with <code>@UserAggregationUpdate</code> and one method annotated with <code>@UserAggregationResult</code>. The method annotated with <code>@UserAggregationUpdate</code> will be called multiple times and enables the class to aggregate data. When the aggregation is done the method annotated with <code>@UserAggregationResult</code> is called once and the result of the aggregation will be returned.

See Values and types for details on values and types.

For more details, see the API documentation for user-defined aggregation functions.



The correct way to signal an error from within an aggregation function is to throw a RuntimeException.

```
package example;
import org.neo4j.procedure.Description;
import org.neo4j.procedure.Name;
import org.neo4j.procedure.UserAggregationFunction;
import org.neo4j.procedure.UserAggregationResult;
import org.neo4j.procedure.UserAggregationUpdate;
public class LongestString
    @UserAggregationFunction
    @Description( "org.neo4j.function.example.longestString(string) - aggregates the longest string found"
)
    public LongStringAggregator longestString()
        return new LongStringAggregator();
    }
    public static class LongStringAggregator
        private int longest;
        private String longestString;
        @UserAggregationUpdate
        public void findLongest(
                @Name( "string" ) String string )
            if ( string != null && string.length() > longest)
                longest = string.length();
                longestString = string;
        }
        @UserAggregationResult
        public String result()
            return longestString;
    }
}
```

#### Writing integration tests

Tests for user-defined aggregation functions are created in the same way as those for normal user-defined functions.

Below is a template for testing a user-defined aggregation function that finds the longest string.

```
package example;
import org.junit.Rule;
import org.junit.Test;
import org.neo4j.driver.v1.*;
import org.neo4j.harness.junit.Neo4jRule;
import static org.hamcrest.core.IsEqual.equalTo;
import static org.junit.Assert.assertThat;
public class LongestStringTest
    // This rule starts a Neo4j instance
    @Rule
    public Neo4jRule neo4j = new Neo4jRule()
            // This is the function to test
            .withAggregationFunction( LongestString.class );
    @Test
    public void shouldAllowIndexingAndFindingANode() throws Throwable
        // This is in a try-block, to make sure you close the driver after the test
        try( Driver driver = GraphDatabase.driver( neo4j.boltURI() , Config.build().withEncryptionLevel(
Config.EncryptionLevel.NONE ).toConfig() ) )
            // Given
            Session session = driver.session();
            String result = session.run( "UNWIND ["abc", "abcd", "ab"] AS string RETURN
example.longestString(string) AS result").single().get("result").asString();
            assertThat( result, equalTo( "abcd" ) );
        }
    }
}
```

## 1.3. Authentication and authorization plugins

This section describes Neo4j support for custom-built authentication and authorization plugins.

Neo4j provides authentication and authorization plugin interfaces to support real-world deployment scenarios not covered by native users or the built-in configuration-based LDAP connector.

The SPI lives in the org.neo4j.server.security.enterprise.auth.plugin.spi package. Custom-built plugins have access to the <neo4j-home> directory in case you want to load any custom settings from a file located there. Plugins can also write to the security event log.

The authentication plugin implements the AuthPlugin interface with the authenticate method. The example below shows a minimal authentication plugin that checks for Neo4j user with Neo4j password.

```
@Override
public AuthenticationInfo authenticate( AuthToken authToken )
{
    String principal = authToken.principal();
    char[] credentials = authToken.credentials();

    if ( principal.equals( "neo4j" ) && Arrays.equals( credentials, "neo4j".toCharArray() ) )
    {
        return (AuthenticationInfo) () -> "neo4j";
    }
    return null;
}
```

The authorization plugin implements the AuthPlugin interface with the authorize method. The example below shows a minimal authorization plugin that assigns the reader role to a user named neo4j. Note the usage of the helper class PredefinedRole.

```
@Override
public AuthorizationInfo authorize( Collection<PrincipalAndProvider> principals )
{
    if ( principals.stream().anyMatch( p -> "neo4j".equals( p.principal() ) ) )
    {
        return (AuthorizationInfo) () -> Collections.singleton( PredefinedRoles.READER );
    }
    return null;
}
```

There is also a simplified combined plugin interface that provides both authentication and authorization in a single method called authenticateAndAuthorize. The example below shows a combined plugin verifying neo4j/neo4j credentials and returning reader role authorization:

```
@Override
public AuthInfo authenticateAndAuthorize( AuthToken authToken )
{
    String principal = authToken.principal();
    char[] credentials = authToken.credentials();

    if ( principal.equals( "neo4j" ) && Arrays.equals( credentials, "neo4j".toCharArray() ) )
    {
        return AuthInfo.of( "neo4j", Collections.singleton( PredefinedRoles.READER ) );
    }
    return null;
}
```

Neo4j provides an extendable platform as some user deployment scenarios may not be easily configured through standard LDAP connector. One known complexity is integrating with LDAP user directory where groups have users as a member and the not other way around. The example below first searches for a group that the user is member of, and then maps that group to the Neo4j role by calling the custom-built <a href="mailto:getNeo4jRoleForGroupId">getNeo4jRoleForGroupId</a> method:

```
@Override
public AuthInfo authenticateAndAuthorize( AuthToken authToken ) throws AuthenticationException
    try
    {
        String username = authToken.principal();
        char[] password = authToken.credentials();
        LdapContext ctx = authenticate( username, password );
        Set<String> roles = authorize( ctx, username );
        return AuthInfo.of( username, roles );
    }
    catch ( NamingException e )
    {
        throw new AuthenticationException( e.getMessage() );
    }
}
private LdapContext authenticate( String username, char[] password ) throws NamingException
    Hashtable<String,Object> env = new Hashtable<>();
    env.put( Context.INITIAL_CONTEXT_FACTORY, "com.sun.jndi.ldap.LdapCtxFactory" );
    env.put( Context.PROVIDER_URL, "ldap://0.0.0.0:10389" );
    env.put( Context.SECURITY_PRINCIPAL, String.format( "cn=%s,ou=users,dc=example,dc=com", username ) );
    env.put( Context.SECURITY_CREDENTIALS, password );
    return new InitialLdapContext( env, null );
}
private Set<String> authorize( LdapContext ctx, String username ) throws NamingException
    Set<String> roleNames = new LinkedHashSet<>();
    // Set up our search controls
    SearchControls searchCtls = new SearchControls();
    searchCtls.setSearchScope( SearchControls.SUBTREE_SCOPE );
    searchCtls.setReturningAttributes( new String[]{GROUP_ID} );
    // Use a search argument to prevent potential code injection
    Object[] searchArguments = new Object[]{username};
    // Search for groups that has the user as a member
    NamingEnumeration result = ctx.search( GROUP_SEARCH_BASE, GROUP_SEARCH_FILTER, searchArguments,
searchCtls );
    if ( result.hasMoreElements() )
        SearchResult searchResult = (SearchResult) result.next();
        Attributes attributes = searchResult.getAttributes();
        if ( attributes != null )
        {
            NamingEnumeration attributeEnumeration = attributes.getAll();
            while ( attributeEnumeration.hasMore() )
                Attribute attribute = (Attribute) attributeEnumeration.next();
                String attributeId = attribute.getID();
                if ( attributeId.equalsIgnoreCase( GROUP_ID ) )
                    // Found a group that the user is a member of. See if it has a role mapped to it
                    String groupId = (String) attribute.get();
                    String neo4jGroup = getNeo4jRoleForGroupId( groupId );
                    if ( neo4jGroup != null )
                    {
                         // Yay! Add it to your set of roles
                        roleNames.add( neo4jGroup );
                    }
                }
            }
        }
    return roleNames;
}
```

Read more about this and other plugin examples at https://github.com/neo4j/neo4j-example-auth-

## 1.4. Full-text index analyzer providers

This section describes how to extend full-text index analyzers provided in Neo4j.

Full-text indexes always have an analyzer, which describes how text is analyzed for indexing and querying. The analyzer breaks the text up into smaller tokens, and processes these tokens with a number of filters. These filters can do many different things. For example, some remove stop-words, such as "the" and "is", while others transform the tokens by stemming them, or turn them into lower-case.

Which analyzer you should use depends on what you want to use the index for. For example, if the text being indexed belong to a special domain, such as email addresses, you would want an analyzer specific to that domain. Or if the text is always in a particular language, such as Russian, you could use an analyzer specific to that language.

Neo4j comes with a number of built-in analyzers. The full list of analyzers is available by calling the <a href="mailto:db.index.fulltext.listAvailableAnalyzers">db.index.fulltext.listAvailableAnalyzers</a>() procedure. The procedure returns the analyzer name, a short description, and the full list of stop-words used by the analyzer.

The default analyzer is the standard-no-stop-words analyzer. This default can be changed with the dbms.index.fulltext.default\_analyzer setting. This setting only takes effect when a full-text index is created. Once a full-text index has been created, it remembers the analyzer in its index-specific settings.

It is possible to extend the available analyzers in Neo4j, by implementing an AnalyzerProvider. The AnalyzerProvider acts as a factory, which builds the concrete Lucene Analyzer instance used by the index. The following example creates a custom analyzer:

The custom analyzer provider class must be public, and it must extend the org.neo4j.graphdb.schema.AnalyzerProvider class.

The custom analyzer provider class must additionally have a public constructor that takes no arguments. Without this constructor, the new analyzer provider will not be loaded by Neo4j. There will be no warnings logged when an analyzer provider is ignored for this reason, so take care.

The constructor must then call its super-constructor, and give the name of the custom analyzer

provider as an argument. This is the name that will be used to refer to this analyzer provider, when configuring indexes.

Lastly, the <u>createAnalyzer</u> method must be implemented. This method creates and returns the concrete <u>Analyzer</u> instance, that the indexes will use. If this method returns <u>null</u> or throws an exception, then the index will be marked as FAILED.

In this example, we are creating instances of Lucene's CustomAnalyzer. We can, however, create and return anything that extends the org.apache.lucene.analysis.Analyzer class.

Follow the guide in Setting up a plugin project, to learn how to package the custom AnalyzerProvider in a JAR file that can be integrated into Neo4j.

The analyzer providers are picked up by Neo4j via service loading. This means that in addition to having implemented the class above, we must also add the fully-qualified class name to a service file, and put that file on the class path. These service files are usually included in the JAR file that contains the Neo4j extensions. In a typical Maven project, such as the one created by the guide above, the directory structure would look like this:

This is the CustomAnalyzerProvider from our previous code example.

This is the service loader file. It is a plain-text file that contains a line, with the fully-qualified name, for each AnalyzerProvider we implement in our project. In this case, it contains a single line: my\_package.CustomAnalyzerProvider.

In order for the META-INF/services resources to be handled correctly by the maven-shade-plugin, it may be necessary to include a service resource transformation to the plug-in configuration. This is an example of what that may look like:

Consult the documentation on the maven-shade-plugin for more details on this step.

## 1.5. Unmanaged server extensions

This section describes Neo4j support for unmanaged server extensions.

This section describes the following:

- Introduction
- Streaming JSON responses
- Executing Cypher
- Testing your extension

#### 1.5.1. Introduction

Sometimes you will want a finer-grained level of control over your application's interactions with Neo4j than Cypher provides. For these situations you can use the unmanaged extension API.



This is a sharp tool, that enables users to deploy arbitrary JAX-RS classes to the server so be careful when using this. In particular, it is possible to consume lots of heap space on the server and degrade performance. If in doubt, please ask for help via one of the community channels.

The first step when writing an unmanaged extension is to create a project which includes dependencies to the Neo4j core jars. In Maven this would be achieved by adding the following lines to the pom file:

Now you're ready to write your extension.

In your code, you will interact with the database using <code>DatabaseManagementService</code>, which you can access by using the <code>@Context</code> annotation. The following example serves as a template which you can base your extension on:

```
@Path( "/helloworld" )
public class HelloWorldResource
{
    private final DatabaseManagementService dbms;

    public HelloWorldResource( @Context DatabaseManagementService dbms )
    {
        this.dbms = dbms;
    }

    @GET
    @Produces( MediaType.TEXT_PLAIN )
    @Path( "/{nodeId}" )
    public Response hello( @PathParam( "nodeId" ) long nodeId )
    {
        // Do stuff with the database
        return Response.status( Status.OK ).entity( UTF8.encode( "Hello World, nodeId=" + nodeId ) ).
    build();
    }
}
```

The full source code is found here: HelloWorldResource.java

Having built your code, the resulting jar file (and any custom dependencies) should be placed in the \$NEO4J\_SERVER\_HOME/plugins directory. You also need to tell Neo4j where to look for the extension by adding some configuration in neo4j.conf:

#Comma separated list of JAXRS packages containing JAXRS Resource, one package name for each mountpoint. dbms.unmanaged\_extension\_classes=org.neo4j.examples.server.unmanaged=/examples/unmanaged

Your hello method will now respond to GET requests at the URI:

http://{neo4j\_server}:{neo4j\_port}/examples/unmanaged/helloworld/{nodeId}. For example:

curl http://localhost:7474/examples/unmanaged/helloworld/123

which results in:

Hello World, nodeId=123

## 1.5.2. Streaming JSON responses

When writing unmanaged extensions, you have greater control over the amount of memory that your Neo4j queries use. If you keep too much state around, it can lead to more frequent full Garbage Collection and subsequent unresponsiveness by the Neo4j server.

A common way that state can increase, is the creation of JSON objects to represent the result of a query which is then sent back to your application. Neo4j's Transactional Cypher HTTP endpoint (see HTTP API Docs I transactional Cypher endpoint) streams responses back to the client. For example, the following unmanaged extension streams an array of a person's colleagues:

```
@Path("/colleagues")
public class ColleaguesResource
    private DatabaseManagementService dbms;
    private final ObjectMapper objectMapper;
    private static final RelationshipType ACTED_IN = RelationshipType.withName( "ACTED_IN" );
    private static final Label PERSON = Label.label( "Person" );
    public ColleaguesResource( @Context DatabaseManagementService dbms )
        this.dbms = dbms;
        this.objectMapper = new ObjectMapper();
    }
    @GET
    @Path("/{personName}")
    public Response findColleagues( @PathParam("personName") final String personName )
        StreamingOutput stream = new StreamingOutput()
            @Override
            public void write( OutputStream os ) throws IOException, WebApplicationException
                JsonGenerator jg = objectMapper.getJsonFactory().createJsonGenerator( os, JsonEncoding
.UTF8 );
                jg.writeStartObject();
                jg.writeFieldName( "colleagues" );
                jg.writeStartArray();
                final GraphDatabaseService graphDb = dbms.database( "neo4j" );
                try ( Transaction tx = graphDb.beginTx();
                      ResourceIterator<Node> persons = tx.findNodes( PERSON, "name", personName ) )
                    while ( persons.hasNext() )
                        Node person = persons.next();
                        for ( Relationship actedIn : person.getRelationships( OUTGOING, ACTED_IN ) )
                            Node endNode = actedIn.getEndNode();
                            for ( Relationship colleagueActedIn : endNode.getRelationships( INCOMING,
ACTED_IN ) )
                            {
                                Node colleague = colleagueActedIn.getStartNode();
                                if ( !colleague.equals( person ) )
                                    jg.writeString( colleague.getProperty( "name" ).toString() );
                                }
                            }
                        }
                    }
                    tx.commit();
                }
                jg.writeEndArray();
                jg.writeEndObject();
                jg.flush();
                jg.close();
        };
        return Response.ok().entity( stream ).type( MediaType.APPLICATION_JSON ).build();
    }
}
```

The full source code is found here: ColleaguesResource.java

As well as depending on JAX-RS API, this example also uses Jackson — a Java JSON library. You will need to add the following dependency to your Maven POM file (or equivalent):

```
<dependency>
    <groupId>com.fasterxml.jackson.core</groupId>
    <artifactId>jackson-databind</artifactId>
        <version>2.10.2</version>
</dependency>
```

From Neo4j 3.5.15, a breaking change was introduced following an update to the Jackson dependency.

Jackson v1 is out of support, and has accumulated security issues such as:



- CVE-2017-7525
- CVE-2017-17485
- CVE-2017-15095
- CVE-2018-11307
- CVE-2018-7489
- CVE-2018-5968

For further information about Jackson v2, please see the Jackson Project on GitHub.

Our findColleagues method will now respond to GET requests at the URI: http://{neo4j\_server}:{neo4j\_port}/examples/unmanaged/colleagues/{personName}. For example:

```
curl http://localhost:7474/examples/unmanaged/colleagues/Keanu%20Reeves
```

which results in:

```
{"colleagues":["Hugo Weaving","Carrie-Anne Moss","Laurence Fishburne"]}
```

## 1.5.3. Executing Cypher

You can execute Cypher queries by using the <a href="GraphDatabaseService">GraphDatabaseService</a> that is injected into the extension. For example, the following unmanaged extension retrieves a person's colleagues using Cypher:

```
@Path("/colleagues-cypher-execution")
public class ColleaguesCypherExecutionResource
    private final ObjectMapper objectMapper;
   private DatabaseManagementService dbms;
    public ColleaguesCypherExecutionResource( @Context DatabaseManagementService dbms )
        this.dbms = dbms;
        this.objectMapper = new ObjectMapper();
   }
    @GET
    @Path("/{personName}")
    public Response findColleagues( @PathParam("personName") final String personName )
        final Map<String, Object> params = MapUtil.map( "personName", personName );
        StreamingOutput stream = new StreamingOutput()
            @Override
            public void write( OutputStream os ) throws IOException, WebApplicationException
                JsonGenerator jg = objectMapper.getJsonFactory().createJsonGenerator( os, JsonEncoding
.UTF8 );
                jg.writeStartObject();
                jg.writeFieldName( "colleagues" );
                jg.writeStartArray();
                final GraphDatabaseService graphDb = dbms.database( "neo4j" );
                try ( Transaction tx = graphDb.beginTx();
                      Result result = tx.execute( colleaguesQuery(), params ) )
                    while ( result.hasNext() )
                        Map<String,Object> row = result.next();
                        jg.writeString( ((Node) row.get( "colleague" )).getProperty( "name" ).toString()
);
                    tx.commit();
                }
                jg.writeEndArray();
                jg.writeEndObject();
                jg.flush();
                jg.close();
            }
        };
        return Response.ok().entity( stream ).type( MediaType.APPLICATION_JSON ).build();
    private String colleaguesQuery()
        return "MATCH (p:Person {name: $personName })-[:ACTED_IN]->()<-[:ACTED_IN]-(colleague) RETURN
colleague";
   }
}
```

The full source code is found here: ColleaguesCypherExecutionResource.java

Your findColleagues method will now respond to GET requests at the URI: http://{neo4j\_server}:{neo4j\_port}/examples/unmanaged/colleagues-cypher-execution/{personName}. For example:

```
curl http://localhost:7474/examples/unmanaged/colleagues-cypher-execution/Keanu%20Reeves
```

which results in:

```
{"colleagues":["Hugo Weaving","Carrie-Anne Moss","Laurence Fishburne"]}
```

## 1.5.4. Testing your extension

Neo4j provides tools to help you write integration tests for your extensions. You can access this toolkit by adding the following test dependency to your project:

The test toolkit provides a mechanism to start a Neo4j instance with custom configuration and with extensions of your choice. It also provides mechanisms to specify data fixtures to include when starting Neo4j, as you can see in the example below:

```
@Path("")
   public static class MyUnmanagedExtension
        public Response myEndpoint()
            return Response.ok().build();
        }
   }
   @Test
   public void testMyExtension() throws Exception
        HTTP.Response response = HTTP.GET( HTTP.GET( neo4j.httpURI().resolve( "myExtension" ).toString()
).location() );
        // Then
        assertEquals( 200, response.status() );
   }
   @Test
    public void testMyExtensionWithFunctionFixture()
        final GraphDatabaseService graphDatabaseService = neo4j.defaultDatabaseService();
        try ( Transaction transaction = graphDatabaseService.beginTx() )
        {
            // Given
            Result result = transaction.execute( "MATCH (n:User) return n" );
            assertEquals( 1, count( result ) );
            transaction.commit():
        }
   }
```

The full source code of the example is found here: ExtensionTestingDocIT.java

Note the use of server.httpURI().resolve( "myExtension" ) to ensure that the correct base URI is used.

If you are using the JUnit test framework, there is a JUnit rule available as well:

```
@Rule
.withFixture( graphDatabaseService ->
           try (Transaction tx = graphDatabaseService.beginTx())
               tx.createNode( Label.label( "Admin" ) );
               tx.commit();
           return null;
@Test
public void shouldWorkWithServer()
    // Given
   URI serverURI = neo4j.httpURI();
    // When I access the server
   HTTP.Response response = HTTP.GET( serverURI.toString() );
   // Then it should reply
   assertEquals(200, response.status());
   // and we have access to underlying GraphDatabaseService
   try (Transaction tx = neo4j.defaultDatabaseService().beginTx()) {
       assertEquals( 2, count(tx.findNodes( Label.label( "Admin" ) ) ));
       tx.commit();
}
```

## 1.6. Setup for remote debugging

This section describes how to configure Neo4j for remote debugging sessions.

In order to configure Neo4j for remote debugging sessions, the Java debugging parameters need to be passed to the Java process through the configuration. They live in the *conf/neo4j.conf* file.

In order to specify the parameters, you must add a line for the additional Java arguments like this:

```
dbms.jvm.additional=-agentlib:jdwp=transport=dt_socket,server=y,suspend=n,address=*:5005
```

This configuration will start Neo4j, ready for remote debugging attachment at localhost and port 5005. Use these parameters to attach to the process from Eclipse, IntelliJ or your remote debugger of choice after starting the server.

# Chapter 2. Using Neo4j embedded in Java applications

This chapter describes how to use Neo4j embedded in Java applications.

#### This chapter describes the following:

- Include Neo4j in your project
- Hello world
- Property values
- User database with indexes
- Managing resources when using long-running transactions
- Controlling logging
- Domain entities
- · Graph algorithm examples
- How to create unique nodes
- Accessing Neo4j embedded via the Bolt protocol
- Terminating a running transaction
- Executing Cypher queries from Java
- Query parameters

When running your own code and Neo4j in the same JVM, there are a few things you should keep in mind:



- Do not create or retain more objects than you strictly need to. Large caches in particular tend to promote more objects to the old generation, thus increasing the need for expensive full garbage collections.
- Do not use internal Neo4j APIs. They are internal to Neo4j and subject to change without notice, which may break or change the behavior of your code.
- Do not enable the -XX:+TrustFinalNonStaticFields JVM flag when running in embedded mode.

## 2.1. Including Neo4j in your project

This section describes how to embed Neo4j in your Java application.

After selecting the appropriate edition for your platform, you can embed Neo4j in your Java application by including the Neo4j library jars in your build. The following sections show how to do this by either altering the build path directly or by using dependency management.

#### 2.1.1. Adding Neo4j to the build path

Get the Neo4j libraries from one of these sources:

- Extract a Neo4j zip/tarball, and use the jar files found in the lib/ directory.
- Use the *jar* files available from Maven Central Repository

Add the jar files to your project:

#### IDK tools

Append to -classpath

#### **Eclipse**

- Right-click on the project and then go *Build Path*  $\Box$  *Configure Build Path*. In the dialog, choose *Add External JARs*, browse to the Neo4j *lib/* directory and select all of the jar files.
- · Another option is to use User Libraries.

#### IntelliJ IDEA

See Libraries, Global Libraries, and the Configure Library dialog

#### **NetBeans**

- Right-click on the *Libraries* node of the project, choose *Add JAR/Folder*, browse to the Neo4j *lib/* directory and select all of the jar files.
- You can also handle libraries from the project node, see Managing a Project's Classpath.

#### 2.1.2. Editions

The following table outlines the available editions and their names for use with dependency management tools.



Follow the links in the table for details on dependency configuration with Apache Maven, Apache Buildr, Apache Ivy, Groovy Grape, Grails, Scala SBT!

Table 2. Neo4j editions

Edition	Dependency	Description
Community	org.neo4j:neo4j	a high performance, fully ACID transactional graph database
Enterprise	org.neo4j:neo4j-enterprise	adding advanced monitoring, online backup and clustering

Note that the listed dependencies do not contain the implementation, but pulls it in transitively.

For information regarding licensing, see the Licensing Guide.

Javadocs can be downloaded packaged in jar files from Maven Central or read at javadocs.

#### 2.1.3. Adding Neo4j as a dependency

You can either go with the top-level artifact from the table above or include the individual components directly. The examples included here use the top-level artifact approach.

Additional information for Enterprise Edition users.

The examples below are only valid Neo4j Community Edition.



To add Neo4j Enterprise Edition as a dependency, please get in contact with Neo4j Professional Services.

See Operations Manual 

Editions for details about the Community and Enterprise Editions.

#### Maven

Add the dependency to your project along the lines of the snippet below. This is usually done in the *pom.xml* file found in the root directory of the project.

Where the artifactId is found in the editions table.

#### Eclipse and Maven

For development in Eclipse, it is recommended to install the m2e plugin and let Maven manage the project build classpath instead, see above. This also adds the possibility to build your project both via the command line with Maven and have a working Eclipse setup for development.

lvy

Make sure to resolve dependencies from Maven Central. You can use this configuration in your *ivysettings.xml* file:

With that in place, add Neo4j by adding this to your 'ivy.xml' file:

```
1 ..
2 <dependencies>
3 ..
4 <dependency org="org.neo4j" name="neo4j" rev="4.0.3"/>
5 ...
6 </dependencies>
7 ..
```

The name can be found in the editions table above.

#### Gradle

The example below shows an example Gradle build script for including the Neo4j libraries:

```
1 def neo4jVersion = "4.0.3"
2 apply plugin: 'java'
3 repositories {
4    mavenCentral()
5 }
6 dependencies {
7    compile "org.neo4j:neo4j:${neo4jVersion}"
8 }
```

The coordinates (org.neo4j:neo4j in the example) are found in the editions table above.

## 2.1.4. Starting and stopping

To create a new database or open an existing one, you instantiate a GraphDatabaseService:

```
managementService = new DatabaseManagementServiceBuilder( databaseDirectory ).build();
graphDb = managementService.database( DEFAULT_DATABASE_NAME );
registerShutdownHook( managementService );
```

If you are using the Enterprise Edition of Neo4j in embedded mode, you have to create your database with the EnterpriseDatabaseManagementServiceBuilder to enable the Enterprise Edition features.

There is no factory for the Causal Clustering features, as it is currently not possible to run a Causal Cluster in embedded mode.



The GraphDatabaseService instance can be shared among multiple threads. Note however, that you cannot create multiple instances pointing to the same database.

To stop the database, call the shutdown() method:

```
managementService.shutdown();
```

To make sure Neo4j is shut down properly, add a shutdown hook:

```
private static void registerShutdownHook( final DatabaseManagementService managementService )
{
    // Registers a shutdown hook for the Neo4j instance so that it
    // shuts down nicely when the VM exits (even if you "Ctrl-C" the
    // running application).
    Runtime.getRuntime().addShutdownHook( new Thread()
    {
        @Override
        public void run()
        {
            managementService.shutdown();
        }
    });
}
```

Starting an embedded database with configuration settings

To start Neo4j with configuration settings, a Neo4j properties file can be loaded like this:

Configuration settings can also be applied programmatically, like so:

#### Starting an embedded read-only instance

If you want a read-only view of the database, create an instance this way:

```
managementService = new DatabaseManagementServiceBuilder( dir ).setConfig( GraphDatabaseSettings
.read_only, true ).build();
    graphDb = managementService.database( DEFAULT_DATABASE_NAME );
```

Obviously, the database has to already exist in this case.



Concurrent access to the same database files by multiple (read-only or write) instances is not supported.

#### 2.2. Hello world

This section describes how to create and access nodes and relationships.

For information on project setup, see Including Neo4j in your project.

A Neo4j graph consists of:

- nodes
- · relationships that connects the nodes
- properties on both nodes and relationships

All relationships have a type. For example, if the graph represents a social network, a relationship type could be KNOWS. If a relationship of the type KNOWS connects two nodes, that is likely to represent two people that know each other. A lot of the semantics of a graph is encoded in the relationship types of the application. Although relationships are directed, they are equally traversed regardless of direction.



The source code of this example is found here: EmbeddedNeo4j.java

#### 2.2.1. Preparing the database

Relationship types can be created by using an enum. In this example, you only need a single relationship type. This is how to define it:

```
private enum RelTypes implements RelationshipType
{
    KNOWS
}
```

You can also prepare some variables to use:

```
GraphDatabaseService graphDb;
Node firstNode;
Node secondNode;
Relationship relationship;
private DatabaseManagementService managementService;
```

The next step is to start the database server. Note that if the directory given for the database does not already exist, it will be created.

```
managementService = new DatabaseManagementServiceBuilder( databaseDirectory ).build();
graphDb = managementService.database( DEFAULT_DATABASE_NAME );
registerShutdownHook( managementService );
```



Starting a database server is an expensive operation, so do not start up a new instance every time you need to interact with the database. The instance can be shared by multiple threads, and transactions are thread confined.

As seen, you can register a shutdown hook that will make sure the database shuts down when the JVM exits. Next step is to interact with the database.

#### 2.2.2. Wrapping operations in a transaction

All operations have to be performed in a transaction. This is a deliberate design decision, since we believe transaction demarcation to be an important part of working with a real enterprise database. The example below illustrates transaction handling in Neo4j:

```
try ( Transaction tx = graphDb.beginTx() )
{
    // Database operations go here
    tx.commit();
}
```

For more information on transactions, see Transaction management and Java API for Transaction.



For brevity, wrapping of operations in a transaction is not spelled out throughout the manual.

## 2.2.3. Creating a small graph

You can now create a few nodes. The API is very intuitive as you can see in the Neo4j Javadocs (they are included in the distribution as well).

This is how to create a small graph consisting of two nodes, connected with one relationship and some properties:

```
firstNode = tx.createNode();
firstNode.setProperty( "message", "Hello, " );
secondNode = tx.createNode();
secondNode.setProperty( "message", "World!" );

relationship = firstNode.createRelationshipTo( secondNode, RelTypes.KNOWS );
relationship.setProperty( "message", "brave Neo4j " );
```

You now have a graph that looks like this:

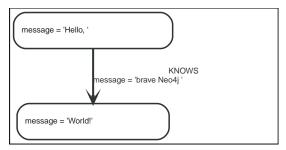


Figure 1. Hello World Graph

## 2.2.4. Printing the result

After you have created your graph, you can read from it and print the result.

```
System.out.print( firstNode.getProperty( "message" ) );
System.out.print( relationship.getProperty( "message" ) );
System.out.print( secondNode.getProperty( "message" ) );
```

Which will output:

Hello, brave Neo4j World!

## 2.2.5. Removing the data

In this case, the data will be removed before committing:

```
// let's remove the data
firstNode = tx.getNodeById( firstNode.getId() );
secondNode = tx.getNodeById( secondNode.getId() );
firstNode.getSingleRelationship( RelTypes.KNOWS, Direction.OUTGOING ).delete();
firstNode.delete();
secondNode.delete();
```



Deleting a node which still has relationships when the transaction commits will fail. This is to make sure relationships always have a start node and an end node.

## 2.2.6. Shutting down the database server

Finally, shut down the database server when the application finishes:

```
managementService.shutdown();
```

## 2.3. Property values

This section describes how both nodes and relationships can have properties.

Properties are named values where the name is a string. Property values can be either a primitive or an array of one primitive type. For example String, int and int[] values are valid for properties.



**NULL is not a valid property value.** Setting a property to **NULL** is equivalent to deleting the property.

Table 3. Property value types

Туре	Description
boolean	
byte	8-bit integer
short	16-bit integer
int	32-bit integer
long	64-bit integer
float	32-bit IEEE 754 floating-point number
double	64-bit IEEE 754 floating-point number
char	16-bit unsigned integers representing Unicode characters
String	sequence of Unicode characters
org.neo4j.graphdb.spatial.Point	A 2D or 3D point object in a given coordinate system.
java.time.LocalDate	An instant capturing the date, but not the time, nor the timezone.
<pre>java.time.OffsetTime</pre>	An instant capturing the time of day, and the timezone offset, but not the date.
java.time.LocalTime	An instant capturing the time of day, but not the date, nor the timezone.
java.time.ZonedDateTime	An instant capturing the date, the time, and the timezone.
java.time.LocalDateTime	An instant capturing the date and the time, but not the timezone.
java.time.temporal.TemporalAmount	A temporal amount. This captures the difference in time between two instants.

For further details on float/double values, see Java Language Specification.

Note that there are two cases where more than one Java type is mapped to a single Cypher type. When this happens, type information is lost. If these objects are returned from procedures, the original types cannot be recreated:

- A Cypher Duration is created when either a java.time.Duration or a java.time.Period is provided. If a Duration is returned, only the common interface java.time.temporal.TemporalAmount will remain.
- A Cypher DateTime is created when a java.time.OffsetDateTime is provided. If a DateTime is returned, it will be converted into a java.time.ZonedDateTime.



Strings that contain special characters can have inconsistent or non-deterministic ordering in Neo4j. For details, see Cypher Manual 

Sorting of special characters.

## 2.4. Using indexes

This section describes how to create, use and drop indexes.



The source code used in this example is found here: EmbeddedNeo4jWithIndexing.java

It is possible to create and use all the index types described in Cypher Manual I Indexes.

This section demonstrates how to work with indexes with an example of a user database. To create an index on all User nodes that have a username property, you can use a single-property index.

Begin with starting the database server:

```
DatabaseManagementService managementService = new DatabaseManagementServiceBuilder(
databaseDirectory ).build();
GraphDatabaseService graphDb = managementService.database( DEFAULT_DATABASE_NAME );
```

Then you can configure the database to index users by name. This only needs to be done once. However, note that schema changes and data changes are not allowed in the same transaction. Each transaction must either change the schema or the data, but not both.

A single-property index is defined on a label in combination with a property name. Start your index definition by specifying the node label.

Next, define the property that should be part of this index. Index all nodes with the User label, that also have a username property. This way, you can find User nodes by their username properties.

An index always has a name. If you don't specify a name, one will be generated for you.

Calling create is necessary in order for the index definition to be created in the database. This index is now created, but it still only exists in our current transaction.

Committing the transaction commits our new index to the database. It will become available for use once it has finished populating itself with the existing data in our database.

Indexes are populated asynchronously when they are first created. It is possible to use the core API to wait for index population to complete:

```
try ( Transaction tx = graphDb.beginTx() )
{
    Schema schema = tx.schema();
    schema.awaitIndexOnline( usernamesIndex, 10, TimeUnit.SECONDS );
}
```

It is also possible to query the progress of the index population:

Now you can add the users:

```
try ( Transaction tx = graphDb.beginTx() )
{
    Label label = Label.label( "User" );

    // Create some users
    for ( int id = 0; id < 100; id++ )
    {
        Node userNode = tx.createNode( label );
        userNode.setProperty( "username", "user" + id + "@neo4j.org" );
    }
    System.out.println( "Users created" );
    tx.commit();
}</pre>
```



Please read Managing resources when using long-running transactions on how to properly close ResourceIterators returned from index lookups.

And this is how to find a user by ID:

```
Label label = Label.label( "User" );
            int idToFind = 45;
            String nameToFind = "user" + idToFind + "@neo4j.org";
            try ( Transaction tx = graphDb.beginTx() )
                try ( ResourceIterator<Node> users =
                              tx.findNodes( label, "username", nameToFind ) )
                    ArrayList<Node> userNodes = new ArrayList<>();
                    while ( users.hasNext() )
                        userNodes.add( users.next() );
                    }
                    for ( Node node : userNodes )
                        System.out.println(
                                "The username of user " + idToFind + " is " + node.getProperty( "username"
));
                    }
               }
            }
```

When updating the name of a user, the index is updated as well:

```
try ( Transaction tx = graphDb.beginTx() )
{
    Label label = Label.label( "User" );
    int idToFind = 45;
    String nameToFind = "user" + idToFind + "@neo4j.org";

    for ( Node node : loop( tx.findNodes( label, "username", nameToFind ) ) )
    {
        node.setProperty( "username", "user" + (idToFind + 1) + "@neo4j.org" );
    }
    tx.commit();
}
```

When deleting a user, it is automatically removed from the index:

```
try ( Transaction tx = graphDb.beginTx() )
{
    Label label = Label.label( "User" );
    int idToFind = 46;
    String nameToFind = "user" + idToFind + "@neo4j.org";

    for ( Node node : loop( tx.findNodes( label, "username", nameToFind ) ) )
    {
        node.delete();
    }
    tx.commit();
}
```

In case you change your data model, you can drop the index as well:

```
try ( Transaction tx = graphDb.beginTx() )
{
    IndexDefinition usernamesIndex = tx.schema().getIndexByName( "usernames" );
    usernamesIndex.drop();
    tx.commit();
}
```

You look up the index by the index name you gave it when you created it. Index names are guaranteed to be unique, to ensure that you won't mistakenly find and drop the wrong index.

# 2.5. Managing resources when using long-running transactions

This section describes best practices for managing resources in long-running transactions.

Inside a long-running transaction it is good practice to ensure that any ResourceIterators obtained inside the transaction are closed as early as possible. This is either achieved by exhausting the iterator or by explicitly calling its close method.

Below is an example of how to work with a ResourceIterator. As you do not exhaust the iterator, you can close it explicitly using the close() method.

## 2.6. Controlling logging

This section describes how to control logging in Neo4j embedded using the Neo4j embedded logging framework.

Neo4j embedded provides logging via its own org.neo4j.logging.Log layer, and does not natively use any existing Java logging framework. All logging events produced by Neo4j have a name, a level and a

message. The name is a FQCN (fully qualified class name).

Neo4j uses the following log levels:

ERROR	For serious errors that are almost always fatal
WARN	For events that are serious, but not fatal
INFO	Informational events
DEBUG	Debugging events

To enable logging, an implementation of org.neo4j.logging.LogProvider must be provided to the DatabaseManagementServiceBuilder, as follows:

```
LogProvider logProvider = new MyCustomLogProvider( output );
    managementService = new DatabaseManagementServiceBuilder( databaseDirectory ).setUserLogProvider(
logProvider ).build();
```

### 2.7. Domain entities

This section describes one way to handle domain entities when using Neo4j.

Entities can be wrapped around a node. The same approach can be used with relationships.



The source code of the examples is found here: Person.java

First off, store the node and make it accessible inside the package:

```
private final Node underlyingNode;

Person( GraphDatabaseService databaseService, Transaction transaction, Node personNode )
{
    this.databaseService = databaseService;
    this.transaction = transaction;
    this.underlyingNode = personNode;
}

protected Node getUnderlyingNode()
{
    return underlyingNode;
}
```

Delegate attributes to the node:

```
public String getName()
{
    return (String)underlyingNode.getProperty( NAME );
}
```

Make sure to override these methods:

## 2.8. Graph algorithm examples

This section describes some examples of using graph algorithms.

For details on the graph algorithm usage, see the Javadocs.



The source code used in the example is found here: PathFindingDocTest.java

Calculating the shortest path (least number of relationships) between two nodes:

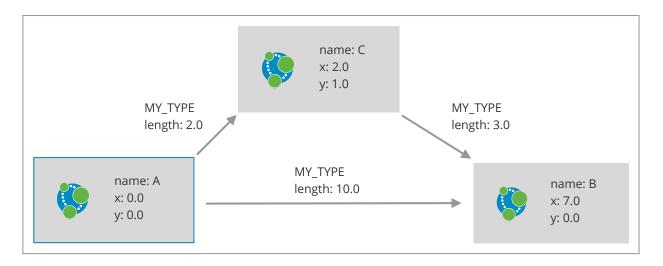
```
Node startNode = tx.createNode();
Node middleNode1 = tx.createNode();
Node middleNode2 = tx.createNode();
Node middleNode3 = tx.createNode();
Node endNode = tx.createNode();
CreateRelationshipsBetween( startNode, middleNode1, endNode );
CreateRelationshipsBetween( startNode, middleNode2, middleNode3, endNode );

// Will find the shortest path between startNode and endNode via
// "MY_TYPE" relationships (in OUTGOING direction), like f.ex:
//
// (startNode)-->(middleNode1)-->(endNode)
//
PathFinder<Path> finder = GraphAlgoFactory.shortestPath( new BasicEvaluationContext( tx, graphDb
),
PathExpanders.forTypeAndDirection( ExampleTypes.MY_TYPE, Direction.OUTGOING ), 15 );
Iterable<Path> paths = finder.findAllPaths( startNode, endNode );
```

Using Dijkstra's algorithm to calculate cheapest path between node A and B where each relationship can have a weight (i.e. cost) and the path(s) with least cost are found.

```
PathFinder<WeightedPath> finder = GraphAlgoFactory.dijkstra( new BasicEvaluationContext( tx, graphDb ),
PathExpanders.forTypeAndDirection( ExampleTypes.MY_TYPE, Direction.BOTH ), "cost" );
WeightedPath path = finder.findSinglePath( nodeA, nodeB );
// Get the weight for the found path path.weight();
```

Using A\* to calculate the cheapest path between node A and B, where cheapest means, for example, the path in a network of roads which has the shortest length between node A and B. This is the example graph:



```
Node nodeA = createNode( "name", "A", "x", 0d, "y", 0d );
Node nodeB = createNode( "name", "B", "x", 7d, "y", 0d );
Node nodeC = createNode( "name", "C", "x", 2d, "y", 1d );
Relationship relAB = createRelationship( nodeA, nodeC, "length", 2d );
Relationship relBC = createRelationship( nodeA, nodeB, "length", 3d );
Relationship relAC = createRelationship( nodeA, nodeB, "length", 10d );

EstimateEvaluator<Double> estimateEvaluator = ( node, goal ) ->
{
    double dx = (Double) node.getProperty( "x" ) - (Double) goal.getProperty( "x" );
    double dy = (Double) node.getProperty( "y" ) - (Double) goal.getProperty( "y" );
    return Math.sqrt( Math.pow( dx, 2 ) + Math.pow( dy, 2 ) );
};
PathFinder<WeightedPath> astar = GraphAlgoFactory.aStar( new BasicEvaluationContext( tx, graphDb)

PathExpanders.allTypesAndDirections(),
    CommonEvaluators.doubleCostEvaluator( "length" ), estimateEvaluator );
WeightedPath path = astar.findSinglePath( nodeA, nodeB );
```

# 2.9. Getting or creating a unique node using Cypher and uniqueness constraints

This section describes how to ensure uniqueness of a property when creating nodes.

For an overview of unique nodes, see Creating unique nodes.

Create a unique constraint:

```
try ( Transaction tx = graphdb.beginTx() )
{
    tx.schema()
        .constraintFor( Label.label( "User" ) )
        .assertPropertyIsUnique( "name" )
        .withName( "usernames" )
        .create();
    tx.commit();
}
```

Use MERGE to create a unique node:

```
Node result = null;
ResourceIterator<Node> resultIterator = null;
try ( Transaction tx = graphDb.beginTx() )
{
    String queryString = "MERGE (n:User {name: $name}) RETURN n";
    Map<String, Object> parameters = new HashMap<>();
    parameters.put( "name", username );
    resultIterator = tx.execute( queryString, parameters ).columnAs( "n" );
    result = resultIterator.next();
    tx.commit();
    return result;
}
```

The MERGE clause will either take a read-lock on the matching node already exists, or it will take a write-lock and ensure that the current transaction is the only one creating the node.

It is technically possible to use a "lock node" or a "lock property" but this should be avoided if possible. Using the "lock node" pattern is both difficult to do correctly, and it has worse performance characteristics since it always involves a write-lock.

One might also be tempted to use Java synchronization for pessimistic locking, but this is dangerous. By mixing locks in Neo4j and in the Java runtime, it is possible to produce deadlocks that are not detectable by Neo4j. As long as all locking is done by Neo4j, all deadlocks will be detected and avoided.

## 2.10. Accessing Neo4j embedded via the Bolt protocol

This section describes how to open a Bolt connector to your embedded instance to get GUI administration and other benefits.

The Neo4j Browser and the official Neo4j Drivers use the Bolt database protocol to communicate with Neo4j. By default, Neo4j Embedded does not expose a Bolt connector, but you can enable one. Doing so allows you to connect the services Neo4j Browser to your embedded instance.

It also gives you a way to incrementally transfer an existing Embedded application to use Neo4j Drivers instead. Migrating to Neo4j Drivers means you can run Neo4j Embedded or Neo4j Server, without having to change your application code.

To add a Bolt Connector to your embedded database, you must add the Bolt extension to your class path. This is done by adding an additional dependency to your project:

```
1 clear color color
```

With this dependency in place, you can configure Neo4j to enable a Bolt connector:

## 2.11. Terminating a running transaction

The section describes how to terminate (abort) a long-running transaction from another thread.



The source code used in this example is found here: TerminateTransactions.java

First, start the database server:

```
DatabaseManagementService managementService = new DatabaseManagementServiceBuilder(
databaseDirectory ).build();
GraphDatabaseService graphDb = managementService.database( DEFAULT_DATABASE_NAME );
```

Then start creating an infinite binary tree of nodes in the database, as an example of a long-running transaction:

```
RelationshipType relType = RelationshipType.withName( "CHILD" );
Queue<Node> nodes = new LinkedList<>();
int depth = 1;
try ( Transaction tx = graphDb.beginTx() )
    Node rootNode = tx.createNode();
   nodes.add( rootNode );
    for (; true; depth++) {
        int nodesToExpand = nodes.size();
        for (int i = 0; i < nodesToExpand; ++i) {</pre>
            Node parent = nodes.remove();
            Node left = tx.createNode();
            Node right = tx.createNode();
            parent.createRelationshipTo( left, relType );
            parent.createRelationshipTo( right, relType );
            nodes.add( left );
            nodes.add( right );
        }
   }
}
catch ( TransactionTerminatedException ignored )
    return String.format( "Created tree up to depth %s in 1 sec", depth );
}
```

After waiting for some time, you decide to terminate the transaction. This is done from a separate thread:

```
tx.terminate();
```

Running this will execute the long-running transaction for about one second and prints the maximum depth of the tree that was created before the transaction was terminated. No changes are actually made to the data — because the transaction has been terminated, the end result is as if no operations were performed.

This is an example output:

```
Created tree up to depth 18 in 1 sec
```

Finally, the database can be shut down again:

```
managementService.shutdown();
```

## 2.12. Executing Cypher queries from Java

This section describes how to use the Cypher query language with Java.



The full source code of the example: JavaQuery.java

In Java, you can use the Cypher query language as per the example below. First, you can add some data:

```
DatabaseManagementService managementService = new DatabaseManagementServiceBuilder(
databaseDirectory ).build();
    GraphDatabaseService db = managementService.database( DEFAULT_DATABASE_NAME );

    try ( Transaction tx = db.beginTx())
    {
        Node myNode = tx.createNode();
        myNode.setProperty( "name", "my node" );
        tx.commit();
    }
}
```

Execute a query:

```
try ( Transaction tx = db.beginTx();
    Result result = tx.execute( "MATCH (n {name: 'my node'}) RETURN n, n.name" ) )
{
    while ( result.hasNext() )
    {
        Map<String,Object> row = result.next();
        for ( Entry<String,Object> column : row.entrySet() )
        {
            rows += column.getKey() + ": " + column.getValue() + "; ";
        }
        rows += "\n";
    }
}
```

In the above example, you can also see how to iterate over the rows of the Result.

The code will generate:

```
n.name: my node; n: Node[0];
```



When using an Result, you should consume the entire result (iterate over all rows using next(), iterating over the iterator from columnAs() or calling for example resultAsString()). Failing to do so will not properly clean up resources used by the Result object, leading to unwanted behavior, such as leaking transactions. In case you do not want to iterate over all of the results, make sure to invoke close() as soon as you are done, to release the resources tied to the result.

The recommended way to handle results is to use a try-with-resources statement. This will make sure that the result is closed at the end of the statement.

You can also get a list of the columns in the result like this:

```
List<String> columns = result.columns();
```

This gives you:

```
[n, n.name]
```

Use the following to fetch the result items from a single column. In this case, you will have to read the property from the node, and not from the result:

```
Iterator<Node> n_column = result.columnAs( "n" );
n_column.forEachRemaining( node -> nodeResult = node + ": " + node.getProperty( "name" ) );
```

In this case, there is only one node in the result:

```
Node[0]: my node
```

Only use this if the result only contains a single column, or you are only interested in a single column of the result.



resultAsString(), writeAsStringTo(), columnAs() cannot be called more than once on the same Result object, as they consume the result. In the same way, part of the result gets consumed for every call to next(). You should instead use only one and if you need the facilities of the other methods on the same query result instead create a new Result.

For more information on the Java interface to Cypher, see the Java API.

For more information and examples for Cypher, see Neo4j Cypher Manual.

## 2.13. Query parameters

This section contains a list of query parameters examples.

For more information on parameters see the Neo4j Cypher Manual.

The examples below illustrate how to use parameters when executing Cypher queries from Java.

Node ID:

```
Map<String,Object> params = new HashMap<>();
params.put( "id", 0 );
String query = "MATCH (n) WHERE id(n) = $id RETURN n.name";
Result result = transaction.execute( query, params );
```

Node object:

```
Map<String,Object> params = new HashMap<>();
params.put( "node", bobNode );
String query = "MATCH (n:Person) WHERE n = $node RETURN n.name";
Result result = transaction.execute( query, params );
```

#### Multiple node IDs:

```
Map<String,Object> params = new HashMap<>();
params.put( "ids", asList( 0, 1, 2 ) );
String query = "MATCH (n) WHERE id(n) IN $ids RETURN n.name";
Result result = transaction.execute( query, params );
```

#### String literal:

```
Map<String,Object> params = new HashMap<>();
params.put( "name", "Johan" );
String query = "MATCH (n:Person) WHERE n.name = $name RETURN n";
Result result = transaction.execute( query, params );
```

#### Numeric parameters for SKIP and LIMIT:

```
Map<String,Object> params = new HashMap<>();
params.put( "s", 1 );
params.put( "l", 1 );
String query = "MATCH (n:Person) RETURN n.name SKIP $s LIMIT $l";
Result result = transaction.execute( query, params );
```

#### Regular expression:

```
Map<String,Object> params = new HashMap<>();
params.put( "regex", ".*h.*" );
String query = "MATCH (n:Person) WHERE n.name =~ $regex RETURN n.name";
Result result = transaction.execute( query, params );
```

#### Create node with properties:

```
Map<String,Object> props = new HashMap<>();
props.put( "name", "Andy" );
props.put( "position", "Developer" );

Map<String,Object> params = new HashMap<>();
params.put( "props", props );
String query = "CREATE ($props)";
transaction.execute( query, params );
```

#### Create multiple nodes with properties:

```
Map<String,Object> n1 = new HashMap<>();
n1.put( "name", "Andy" );
n1.put( "position", "Developer" );
n1.put( "awesome", true );

Map<String,Object> n2 = new HashMap<>();
n2.put( "name", "Michael" );
n2.put( "position", "Developer" );
n2.put( "children", 3 );

Map<String,Object> params = new HashMap<>();
List<Map<String,Object> maps = asList( n1, n2 );
params.put( "props", maps );
String query = "UNWIND $props AS properties CREATE (n:Person) SET n = properties RETURN n";
transaction.execute( query, params );
```

#### Setting all properties on node:

```
Map<String, Object> n1 = new HashMap<>();
n1.put( "name", "Andy" );
n1.put( "position", "Developer" );

Map<String, Object> params = new HashMap<>();
params.put( "props", n1 );

String query = "MATCH (n:Person) WHERE n.name='Michaela' SET n = $props";
tx.execute( query, params );
```

## Chapter 3. Transaction management

This chapter describes Neo4j transaction management, including interaction cycle, isolation levels, default locking behavior, deadlocks, delete semantics, creating unique nodes, and transaction events.

#### This chapter describes the following:

- Introduction
- Interaction cycle
- Isolation levels
  - Lost updates in Cypher
- · Default locking behavior
- Deadlocks
  - Understanding deadlocks
  - □ Deadlock handling example code
- Delete semantics
- · Creating unique nodes
  - Single thread
  - Get or create
- Transaction events

### 3.1. Introduction

In order to fully maintain data integrity and ensure good transactional behavior, Neo4j supports the ACID properties:

- atomicity: If any part of a transaction fails, the database state is left unchanged.
- consistency: Any transaction will leave the database in a consistent state.
- isolation: During a transaction, modified data cannot be accessed by other operations.
- durability: The DBMS can always recover the results of a committed transaction.

#### Specifically:

- All database operations that access the graph, indexes, or the schema must be performed in a transaction.
- The default isolation level is READ\_COMMITTED.
- Data retrieved by traversals is not protected from modification by other transactions.
- Non-repeatable reads may occur (i.e., only write locks are acquired and held until the end of the transaction).
- One can manually acquire write locks on nodes and relationships to achieve higher level of isolation (SERIALIZABLE).
- Locks are acquired at the Node and Relationship level.
- Deadlock detection is built into the core transaction management.

## 3.2. Interaction cycle

All database operations that access the graph, indexes, or the schema must be performed in a transaction. Transactions are single-threaded, confined and independent. Multiple transactions can be started in a single thread, and they will be independent from each other.

The interaction cycle of working with transactions looks like this:

- 1. Begin a transaction.
- 2. Perform database operations.
- 3. Commit or roll back the transaction.



It is very important to finish each transaction. The transaction will not release the locks or memory it has acquired until it has been finished.

The idiomatic use of transactions in Neo4j is to use a try-with-resources statement and declare transaction as one of the resources. Then start the transaction and try to perform graph operations. The last operation in the try block should commit or roll back the transaction, depending on the business logic. In this scenario, try-with-resources is used as a guard against unexpected exceptions and as an additional safety mechanism to ensure that the transaction gets closed no matter what happens inside the statement block. All non-committed transactions will be rolled back as part of resource cleanup at the end of the statement. In case a transaction has been explicitly committed or rolled back, resource cleanup will not be required and the transaction closure will be an empty operation.



All modifications performed in a transaction are kept in memory. This means that very large updates must be split into several transactions in order to avoid running out of memory.

## 3.3. Isolation levels

Transactions in Neo4j use a read-committed isolation level, which means they will see data as soon as it has been committed and will not see data in other transactions that have not yet been committed. This type of isolation is weaker than serialization but offers significant performance advantages whilst being sufficient for the overwhelming majority of cases.

In addition, the Neo4j Java API enables explicit locking of nodes and relationships. Using locks gives the opportunity to simulate the effects of higher levels of isolation by obtaining and releasing locks explicitly. For example, if a write lock is taken on a common node or relationship, then all transactions will serialize on that lock — giving the effect of a serialization isolation level.

## 3.3.1. Lost updates in Cypher

In Cypher it is possible to acquire write locks to simulate improved isolation in some cases. Consider the case where multiple concurrent Cypher queries increment the value of a property. Due to the limitations of the read-committed isolation level, the increments might not result in a deterministic final value. If there is a direct dependency, Cypher will automatically acquire a write lock before reading. A direct dependency is when the right-hand side of a SET has a dependent property read in the expression, or in the value of a key-value pair in a literal map.

For example, the following query, if run by one hundred concurrent clients, will very likely not increment the property n.prop to 100, unless a write lock is acquired before reading the property value. This is because all queries would read the value of n.prop within their own transaction, and would not see the incremented value from any other transaction that has not yet committed. In the worst case scenario the final value would be as low as 1, if all threads perform the read before any has committed their transaction.

The following example requires a write lock, and Cypher automatically acquires one:

```
MATCH (n:X {id: 42})
SET n.prop = n.prop + 1
```

This example also requires a write lock, and Cypher automatically acquires one:

```
MATCH (n)
SET n += { prop: n.prop + 1 }
```

Due to the complexity of determining such a dependency in the general case, Cypher does not cover any of the example cases below.

Variable depending on results from reading the property in an earlier statement:

```
MATCH (n)
WITH n.prop as p
// ... operations depending on p, producing k
SET n.prop = k + 1
```

Circular dependency between properties read and written in the same query:

```
MATCH (n)
SET n += { propA: n.propB + 1, propB: n.propA + 1 }
```

To ensure deterministic behavior also in the more complex cases, it is necessary to explicitly acquire a write lock on the node in question. In Cypher there is no explicit support for this, but it is possible to work around this limitation by writing to a temporary property.

This example acquires a write lock for the node by writing to a dummy property before reading the requested value:

```
MATCH (n:X {id: 42})

SET n._LOCK_ = true

WITH n.prop as p

// ... operations depending on p, producing k

SET n.prop = k + 1

REMOVE n._LOCK_
```

The existence of the SET n.\_LOCK\_ statement before the read of the n.prop read ensures the lock is acquired before the read action, and no updates will be lost due to enforced serialization of all concurrent queries on that specific node.

## 3.4. Default locking behavior

- When adding, changing or removing a property on a node or relationship a write lock will be taken on the specific node or relationship.
- When creating or deleting a node a write lock will be taken for the specific node.
- When creating or deleting a relationship a write lock will be taken on the specific relationship and both its nodes.

The locks will be added to the transaction and released when the transaction finishes.

### 3.5. Deadlocks

## 3.5.1. Understanding deadlocks

Since locks are used it is possible for deadlocks to happen. Neo4j will however detect any deadlock (caused by acquiring a lock) before they happen and throw an exception. Before the exception is thrown the transaction is marked for rollback. All locks acquired by the transaction are still being held but will be released when the transaction is finished (in the finally block as pointed out earlier). Once the locks are released other transactions that were waiting for locks held by the transaction causing the deadlock can proceed. The work performed by the transaction causing the deadlock can then be retried by the user if needed.

Experiencing frequent deadlocks is an indication of concurrent write requests happening in such a way that it is not possible to execute them while at the same time live up to the intended isolation and consistency. The solution is to make sure concurrent updates happen in a reasonable way. For example, given two specific nodes (A and B), adding or deleting relationships to both these nodes in random order for each transaction will result in deadlocks when there are two or more transactions doing that concurrently. One option is to make sure that updates always happens in the same order (first A then B). Another option is to make sure that each thread/transaction does not have any conflicting writes to a node or relationship as some other concurrent transaction. This can, for example, be achieved by letting a single thread do all updates of a specific type.



Deadlocks caused by the use of other synchronization than the locks managed by Neo4j can still happen. Since all operations in the Neo4j API are thread safe unless specified otherwise, there is no need for external synchronization. Other code that requires synchronization should be synchronized in such a way that it never performs any Neo4j operation in the synchronized block.

## 3.5.2. Deadlock handling example code

Below, you will find examples of how deadlocks can be handled in procedures, server extensions, or when using Neo4j embedded.



The full source code used for the code snippets can be found at DeadlockDocTest.java.

When dealing with deadlocks in code, there are several issues you may want to address:

- Only do a limited amount of retries, and fail if a threshold is reached.
- Pause between each attempt to allow the other transaction to finish before trying again.
- A retry-loop can be useful not only for deadlocks, but for other types of transient errors as well.

Below is an example that shows how this can be implemented.

This example shows how to use a retry loop for handling deadlocks:

```
Throwable txEx = null;
int RETRIES = 5;
int BACKOFF = 3000;
for ( int i = 0; i < RETRIES; i++ )</pre>
    try ( Transaction tx = databaseService.beginTx() )
        Object result = doStuff(tx);
        tx.commit();
        return result;
    catch ( Throwable ex )
        txEx = ex;
        // Add whatever exceptions to retry on here
        if ( !(ex instanceof DeadlockDetectedException) )
            break;
    }
    // Wait so that we don't immediately get into the same deadlock
    if ( i < RETRIES - 1 )
        try
        {
            Thread.sleep( BACKOFF );
        catch ( InterruptedException e )
            throw new TransactionFailureException( "Interrupted", e );
    }
}
if ( txEx instanceof TransactionFailureException )
    throw ((TransactionFailureException) txEx);
}
else if ( txEx instanceof Error )
    throw ((Error) txEx);
}
else
{
    throw ((RuntimeException) txEx);
```

## 3.6. Delete semantics

When deleting a node or a relationship all properties for that entity will be automatically removed but the relationships of a node will not be removed. Neo4j enforces a constraint (upon commit) that all relationships must have a valid start node and end node. In effect, this means that trying to delete a node that still has relationships attached to it will throw an exception upon commit. It is, however, possible to choose in which order to delete the node and the attached relationships as long as no relationships exist when the transaction is committed.

The delete semantics can be summarized as follows:

- All properties of a node or relationship will be removed when it is deleted.
- A deleted node cannot have any attached relationships when the transaction commits.
- It is possible to acquire a reference to a deleted relationship or node that has not yet been

committed.

- Any write operation on a node or relationship after it has been deleted (but not yet committed) will throw an exception.
- Trying to acquire a new or work with an old reference to a deleted node or relationship after commit, will throw an exception.

## 3.7. Creating unique nodes

In many use cases, a certain level of uniqueness is desired among entities. For example, only one user with a certain email address may exist in a system. If multiple concurrent threads naively try to create the user, duplicates will be created.

The following are the main strategies for ensuring uniqueness, and they all work across cluster and single-instance deployments.

## 3.7.1. Single thread

By using a single thread, no two threads will even try to create a particular entity simultaneously. On cluster an external single-threaded client can perform the operations.

#### 3.7.2. Get or create

Defining a uniqueness constraint and using the Cypher MERGE clause is the most efficient way to *get or create* a unique node. See Getting or creating a unique node using Cypher and uniqueness constraints for more information.

### 3.8. Transaction events

A transaction event listener can be registered to receive Neo4j database transaction events. Once it has been registered at a DatabaseManagementService instance, it receives transaction events for the database with which it was registered. Listeners get notified about transactions that have performed any write operation, and that will be committed. If Transaction#commit() has not been called, or the transaction was rolled back with Transaction#rollback(), it will be rolled back and no events are sent to the listener.

Before a transaction is committed, the listeners's beforeCommit method is called with the entire diff of modifications made in the transaction. At this point the transaction is still running, so changes can still be made. The method may also throw an exception, which will prevent the transaction from being committed. If the transaction is rolled back, a call to the listener's afterRollback method will follow.



The order in which listeners are executed is undefined — there is no guarantee that changes made by one listener will be seen by other listeners.

If beforeCommit is successfully executed in all registered listeners, the transaction is committed and the afterCommit method is called with the same transaction data. This call also includes the object returned from beforeCommit.

In afterCommit the transaction has been closed, and access to anything outside TransactionData requires a new transaction to be opened. A TransactionEventListener gets notified about transactions that have any changes accessible via TransactionData. Some indexing and schema changes will not trigger these events.

The following example shows how to register a listener for a specific database, and perform basic operations on top of the transaction change set.

Register a transaction event listener and inspect the change set:

```
public static void main( String[] args ) throws IOException
         FileUtils.deleteRecursively( HOME_DIRECTORY );
         var managementService = new DatabaseManagementServiceBuilder( HOME_DIRECTORY ).build();
         var database = managementService.database( DEFAULT_DATABASE_NAME );
         var countingListener = new CountingTransactionEventListener();
        managementService.registerTransactionEventListener( DEFAULT_DATABASE_NAME, countingListener );
         var connectionType = RelationshipType.withName( "CONNECTS" );
         try ( var transaction = database.beginTx() )
             var startNode = transaction.createNode();
             var endNode = transaction.createNode();
             startNode.createRelationshipTo( endNode, connectionType );
             transaction.commit();
    }
    private static class CountingTransactionEventListener implements TransactionEventListener
<CreatedEntitiesCounter>
         @Override
         public CreatedEntitiesCounter beforeCommit( TransactionData data, Transaction transaction,
GraphDatabaseService databaseService ) throws Exception
             return new CreatedEntitiesCounter( size( data.createdNodes() ), size( data
.createdRelationships() );
        }
         @Override
         public void afterCommit( TransactionData data, CreatedEntitiesCounter entitiesCounter,
GraphDatabaseService databaseService )
         {
             \label{thm:cont.println} System.out.println( \begin{tabular}{ll} "Number of created nodes: " + entitiesCounter.getCreatedNodes() ); \\ System.out.println( \begin{tabular}{ll} "Number of created relationships: " + entitiesCounter ). \\ \end{tabular}
.getCreatedRelationships() );
        }
         @Override
         public void afterRollback( TransactionData data, CreatedEntitiesCounter state,
GraphDatabaseService databaseService )
             //empty
    }
    private static class CreatedEntitiesCounter
         private final long createdNodes;
         private final long createdRelationships;
         public CreatedEntitiesCounter( long createdNodes, long createdRelationships )
             this.createdNodes = createdNodes;
             this.createdRelationships = createdRelationships;
         public long getCreatedNodes()
             return createdNodes;
         }
         public long getCreatedRelationships()
             return createdRelationships;
        }
    }
```

## Chapter 4. Online backup

This chapter describes how to backup your data, full or incremental, from a JVM-based program.

Neo4j provides the class OnlineBackup as part of its public API, in order for you to build and execute backup jobs.

Basic backup jobs are created using Java code, like the following:

All backup jobs are incremental by default, falling back to attempting a full backup in the event of failure. Also by default, backups are checked for consistency once completed, and any logging is printed to System.out. These settings may be overridden using builder methods available on the OnlineBackup object, as in the following Java code:

For more information, please see the Javadocs for OnlineBackup.

## Chapter 5. JMX metrics

This chapter describes the Neo4j monitoring metrics, exposed via JMX.

This chapter describes the following:

- Adjusting remote JMX access to Neo4j
- Connecting to a Neo4j instance using JMX and JConsole

Neo4j provides different levels of monitoring facilities in order to supply a continuous overview of the system's health. For a description of the monitoring options, see Neo4j Operations Manual 
Monitoring. Many of the metrics are exposed through JMX.



Please note that the available JMX beans and their names have been updated in 4.0. Beans that duplicate metrics or monitoring options, described in Neo4j Operations Manual 

Monitoring, have been removed.

## 5.1. Adjusting remote JMX access to Neo4j

Per default, the Neo4j Enterprise Server edition does not allow remote JMX connections, since the relevant options in the *conf/neo4j.conf* configuration file are commented out. To enable this feature, you have to remove the # characters from the various com.sun.management.jmxremote options there.

When un-commented, the default values are set up to enable remote JMX connections with certain roles, refer to the *conf/jmx.password*, *conf/jmx.access*, and *conf/neo4j.conf* files for details.

Make sure that *conf/jmx.password* has the correct file permissions. The owner of the file has to be the user that will run the service, and the permissions should be read only for that user. On Unix systems, this is 0600.

With this setup, you should be able to connect to JMX monitoring of the Neo4j server using <IP-OF-SERVER>: 3637, with the username monitor and the password Neo4j.

Note that it is possible that you have to update the permissions and/or ownership of the *conf/jmx.password* and *conf/jmx.access* files — refer to the relevant section in *conf/neo4j.conf* for details.



For maximum security, please adjust at least the password settings in *conf/jmx.password* for a production installation.

For more details, see: the JMX documention.

## 5.2. Connecting to a Neo4j instance using JMX and JConsole

First, start your Neo4j instance, for example using:

\$NEO4j\_HOME/bin/neo4j start

Now, start JConsole with:

\$JAVA\_HOME/bin/jconsole

Connect to the process running your Neo4j database instance:

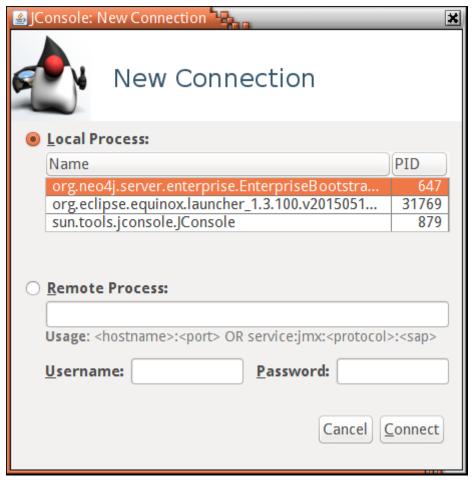


Figure 2. Connecting JConsole to the Neo4j Java process

Now, beside the MBeans exposed by the JVM, you will see be default neo4j.metrics section in the MBeans tab. Under that, you will have access to all the monitoring information exposed by Neo4j.

For opening JMX to remote monitoring access, please see Adjusting remote JMX access to Neo4j and the JMX documention.

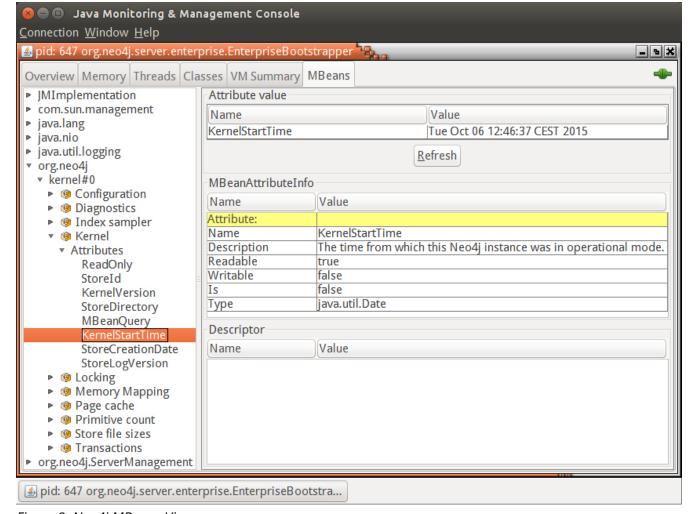


Figure 3. Neo4j MBeans View

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