

The main reference is the *Introduction of Commutative Algebra* by Atiyah&Macdonald (for short, I will call it A&M from now on.). This article can be seen as the answers of some problems on the prime spectrum of A&M. Throughout this article the word “ring” shall mean a commutative ring with an identity element.

**Proposition 1.** Ex 1.15:

Let  $A$  be a ring and let  $X$  be the set of all prime ideals of  $A$ . For each subset  $E$  of  $A$ , let  $V(E)$  denote the set of all prime ideals of  $A$  which contain  $E$ , then the sets  $V(E)$  satisfy the axioms for closed sets in a topological space.

*Proof.* We shall prove this proposition in four parts:

- (0) if  $\mathfrak{a}$  is the ideal generated by  $E$ , then  $V(E) = V(\mathfrak{a}) = V(r(\mathfrak{a}))$ .
- (1)  $V(0) = X$  and  $V(1) = \emptyset$ .
- (2) if  $(E_i)_{i \in I}$  is any family of subsets of  $A$ , then

$$V\left(\bigcup_{i \in I} E_i\right) = \bigcap_{i \in I} V(E_i).$$

- (3)  $V(\mathfrak{a} \cap \mathfrak{b}) = V(\mathfrak{a}\mathfrak{b}) = V(\mathfrak{a}) \cup V(\mathfrak{b})$  for any ideals  $\mathfrak{a}, \mathfrak{b}$  of  $A$ .

Firstly, let  $\mathfrak{p}$  is a prime ideal which contains  $E$ , then  $\mathfrak{a} \subseteq \mathfrak{p}$  since  $\forall a \in A$  we have  $xa \in \mathfrak{p}$ , so  $V(E) \subseteq V(\mathfrak{a})$ . Conversely,  $V(\mathfrak{a}) \subseteq V(E)$  because  $E \subseteq \mathfrak{a}$ . Using that the radical of an ideal  $\mathfrak{a}$  is the intersection of the prime ideals which contain  $\mathfrak{a}$ ,  $V(\mathfrak{a}) = V(r(\mathfrak{a}))$ . Thus  $V(E) = V(\mathfrak{a}) = V(r(\mathfrak{a}))$ .

Secondly, every ideal containing 0 implies  $V(0) = X$ , and  $V(1) = V(A) = \emptyset$  is trivial by (0).

Next, an ideal contains  $\cup_i E_i$  if and only if it contains each  $E_i$ .

Finally, using  $r(\mathfrak{a} \cap \mathfrak{b}) = r(\mathfrak{a}\mathfrak{b})$ ,

$$V(\mathfrak{a} \cap \mathfrak{b}) = V(r(\mathfrak{a} \cap \mathfrak{b})) = V(r(\mathfrak{a}\mathfrak{b})) = V(\mathfrak{a}\mathfrak{b}),$$

We now should prove  $V(\mathfrak{a} \cap \mathfrak{b}) = V(\mathfrak{a}) \cup V(\mathfrak{b})$ .

$V(\mathfrak{a} \cap \mathfrak{b}) \subseteq V(\mathfrak{a}) \cup V(\mathfrak{b})$ :  $\forall \mathfrak{p} \in V(\mathfrak{a}) \cup V(\mathfrak{b})$ ,  $\mathfrak{p}$  contains  $\mathfrak{a}$  or  $\mathfrak{b}$ , thus  $\mathfrak{a} \cap \mathfrak{b} \subseteq \mathfrak{p}$  which is equivalent that  $\mathfrak{p} \in V(\mathfrak{a} \cap \mathfrak{b})$ .

$V(\mathfrak{a} \cap \mathfrak{b}) \supseteq V(\mathfrak{a}) \cup V(\mathfrak{b})$ :  $\forall \mathfrak{p} \in V(\mathfrak{a} \cap \mathfrak{b})$ , thus  $\mathfrak{a} \cap \mathfrak{b} \subseteq \mathfrak{p}$ . If  $\mathfrak{a} \not\subseteq \mathfrak{p}$  and  $\mathfrak{b} \not\subseteq \mathfrak{p}$ , there exist  $x \in \mathfrak{a}$ ,  $y \in \mathfrak{b}$  and  $x, y \notin \mathfrak{p}$ , and therefore  $xy \in \mathfrak{a}\mathfrak{b} \subseteq \mathfrak{a} \cap \mathfrak{b}$ , but  $xy \notin \mathfrak{p}$  (since  $\mathfrak{p}$  is prime), which means  $\mathfrak{a} \cap \mathfrak{b} \not\subseteq \mathfrak{p}$ . Hence if  $\mathfrak{a} \cap \mathfrak{b} \subseteq \mathfrak{p}$ ,  $\mathfrak{p}$  contains  $\mathfrak{a}$  or  $\mathfrak{b}$ .  $\square$

The resulting topology is called the *Zariski topology*.

**Definition 1.** The topological space  $X$  is called the *prime spectrum* of  $A$ , and is written  $\text{Spec}(A)$ .

**Proposition 2.** Ex 1.17:

For each  $f \in A$ , let  $X_f$  denote the complement of  $V(f)$  in  $X = \text{Spec}(A)$ , i.e.  $X_f = \text{Spec}(A) - V(f)$ . The sets  $X_f$  are open and form a basis of open sets for the Zariski topology.

*Proof.*  $X_f$  is open because  $V(f)$  is closed. If  $P$  is an open set, it has the form  $\text{Spec}(A) - V(E)$  for a  $E$ , then

$$P = \text{Spec}(A) - V(E) = \text{Spec}(A) - \bigcap_{f \in E} V(f) = \bigcap_{f \in E} (\text{Spec}(A) - V(\{f\})) = \bigcap_{f \in E} X_f.$$

□

**Proposition 3.** Ex 1.17:

- (0)  $X_f \cap X_g = X_{fg}$ ;
- (1)  $X_f = \emptyset \Leftrightarrow f$  is nilpotent;
- (2)  $X_f = X \Leftrightarrow f$  is a unit;
- (3)  $X_f = X_g \Leftrightarrow r(f) = r(g)$ ;
- (4)  $X$  is compact;
- (5)  $X_f$  is compact;
- (6) An open subset of  $X$  is compact if and only if it is a finite union of sets  $X_f$ .

*Proof.* The sets  $X_f$  are often called basic open sets of  $X = \text{Spec}(A)$ .

- (0)  $X_f \cap X_g = \text{Spec}(A) - (V(f) \cup V(g)) = \text{Spec}(A) - V(fg) = X_{fg}$ ;
- (1)  $X_f = \emptyset \Leftrightarrow V(f) = V(r(f)A) = X \Leftrightarrow r(f) = 0$ ;
- (2)  $X_f = X \Leftrightarrow V(f) = \emptyset \Leftrightarrow f$  is not in any maximal ideal  $\Leftrightarrow f$  is a unit;
- (3)  $X_f = X_g \Leftrightarrow V(f) = V(g) \Leftrightarrow r(f) = r(g)$ ;
- (4) It is enough to consider a covering of  $X$  by basic open sets  $X_{f_i}$  because  $X = \bigcup_{\alpha} U_{\alpha}$  and  $U_{\alpha} = \bigcup_{\beta} X_{f_{\alpha\beta}}$ . Thus

$$\emptyset = V(X) = V\left(\bigcup_i f_i\right) = \bigcap_i V(f_i),$$

This means that  $\{f_i\}$  generates  $A$ , so exist  $\{g_i\}$  s.t.

$$\sum_i f_i g_i = 1$$

with cofinitely many of the  $i$  non-zero. Thus,  $X$  is the union of the  $X_{f_i}$  for which  $g_i \neq 0$ , so  $X$  is the union of finitely many  $U_{\alpha}$ .

(5) Suppose  $X_f \subseteq \bigcup_i X_{f_i}$ , then  $\bigcap_i V(f_i) \subseteq V(f)$ . Let  $\mathfrak{a}$  is the ideal generated by the  $f_i$ , then  $f \in \mathfrak{a}$ , so there is an equation

$$f^n = \sum_i f_i g_i$$

with cofinitely many of the  $i$  non-zero. Let  $f_1, \dots, f_n$  with  $g_i \neq 0$ , then

$$\bigcap_{i=1}^n V(f_i) \subseteq V(f^n) = V(f),$$

so  $X_f \subseteq \bigcup_{i=1}^n X_{f_i}$ . Now we can say  $X_f$  is compact.

(6) The union of finitely many  $X_f$  is open and compact. Conversely, suppose  $U$  is compact and open, then  $U$  is the union of some  $X_f$  as an open cover, it must have a finite subcover because of compactness.  $\square$

**Definition 2.** A topological space  $X$  is said to be irreducible if  $X \neq \emptyset$  and if every pair of non-empty open sets in  $X$  intersect, or equivalently if every non-empty open set is dense in  $X$ .

**Proposition 4.** Ex 1.19:

$\text{Spec}(A)$  is irreducible if and only if the nilradical of  $A$  is a prime ideal.

*Proof.* If not prime, there exist  $f$  and  $g$  s.t.  $fg \in \mathfrak{p}$  but  $f \notin \mathfrak{p}$  and  $g \notin \mathfrak{p}$ , and

$$X_f \neq \emptyset, X_g \neq \emptyset \Rightarrow X_f \cap X_g = X_{fg} = \emptyset,$$

which means  $X$  is not irreducible.

Conversely, if not irreducible, there exist  $X_f \subseteq U$ ,  $X_g \subseteq V$  and  $U \cap V = \emptyset$ , thus  $X_f \cap X_g = X_{fg} = \emptyset$  but neither  $f$  or  $g$  is nilpotent.  $\square$