

Algorithms homework related to exam 1, Summer 202

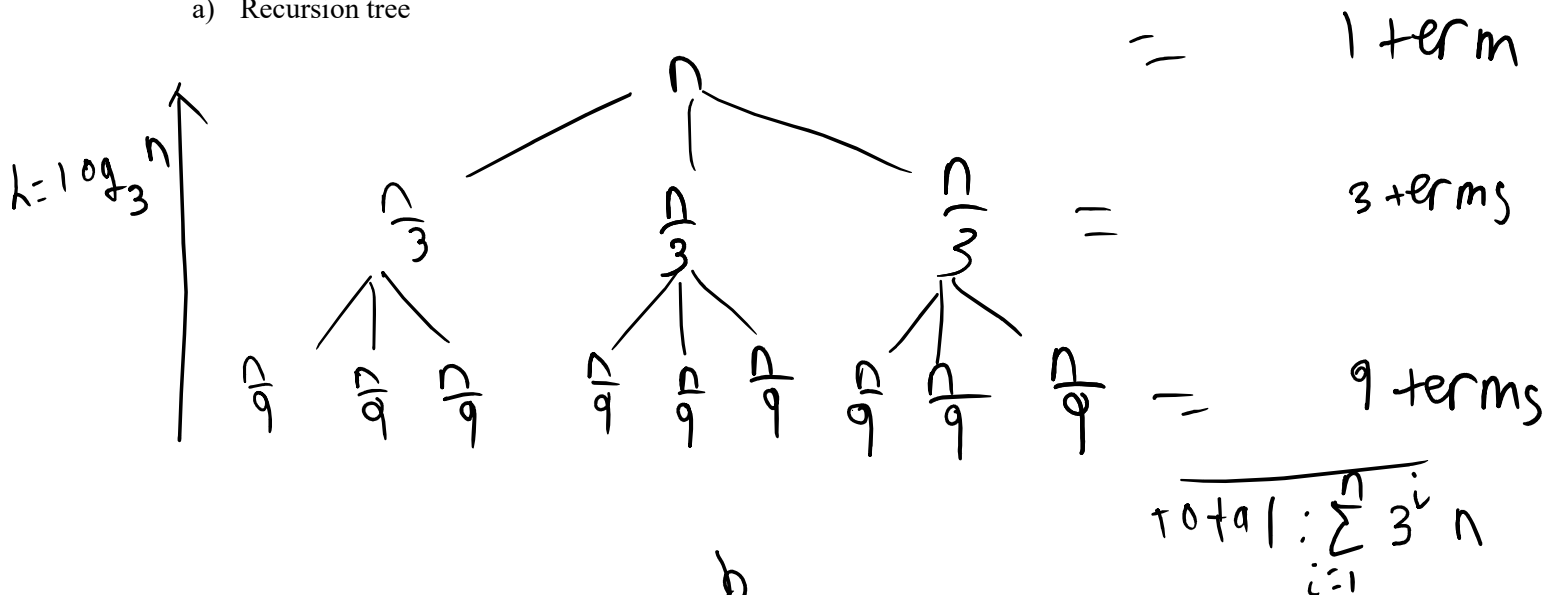
Hw2: Due Monday, June 7, at 11:59pm

1. $S(n) = 3S(\frac{n}{3}) + b$.

(a) Use a recursion tree to show that $S(n) = \Theta(g(n))$, for some function $g(n)$.

(b) Use induction to prove that $S(n) = \Omega(g(n))$.

a) Recursion tree



$$T(\frac{n}{3}) \quad T(\frac{n}{3}) \quad T(\frac{n}{3})$$

Assuming that $T(1) = \Theta(b) = b_2$

$$\text{Height} = \log_3 n$$

$$b \text{ leaves: } b + 3b + 9b \dots = \text{Geometric series: } \sum_{k=0}^{\log_3 n - 1} 3^k * b$$

$$\text{Total: } \sum_{k=0}^{\log_3 n - 1} 3^k * b + b_2, \text{ unravel the geometric series}$$

$$\frac{3^{\log_3 n} - 1}{3 - 1} * b + b_2, \text{ swapping out the log terms}$$

$$\frac{n^{\log_3 3} - 1}{2} * b + b_2 = b * \frac{n - 1}{2} + b_2$$

If b is constant time, then $S(n) = b * \frac{n - 1}{2} + b_2$ shows that $S(n)$ is $\Theta(n)$ where $b \geq 0$

b) Induction:

Assuming that the equation is $\Omega(n)$, Inductive Hypothesis: $S(k) \geq dk$ where $k < n$

With $S(n) = 3S\left(\frac{n}{3}\right) + b$, substituting $S(n)$ with $k = \frac{n}{3}$

$$S(n) \geq g(k) = 3 * \frac{dn}{3} + b, \text{ using } k = \frac{n}{3}$$

$S(n) \geq dn + b$ where $d > 0, n \geq k$ (desired form + leftovers)

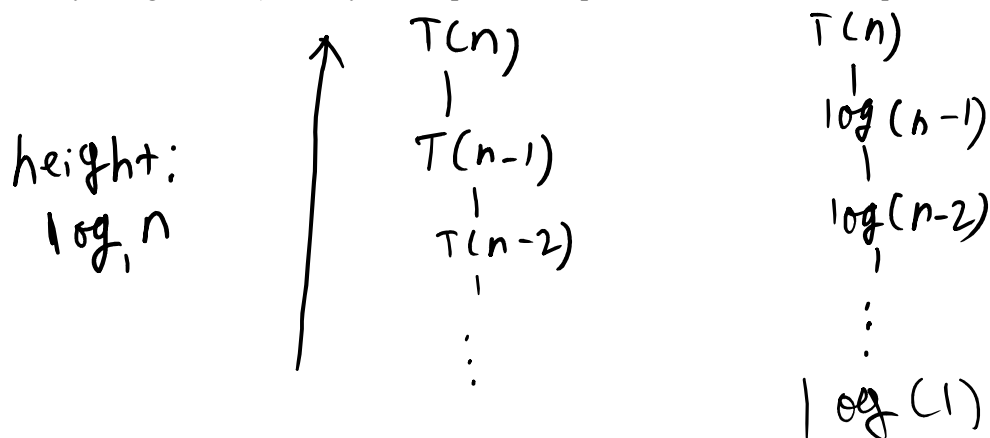
$$S(n) \geq dn + b$$

b is a constant which is being added with every iteration

Base Case: $T(1) = b$ if $b > 0$

2. $T(n) = T(n-1) + \log n$. Assume that there is an appropriate base case so that you don't get an undefined function.

(a) Draw a recursion tree for $T(n)$. Identify its depth and the amount of work per level. Use "exaggerate and simplify" to get an upper bound for $T(n)$, and then underestimate and simplify to get a matching lower bound. (Always using Theta notation). Your bound should be in a form that is easily recognizable (and easy to compare to simple functions like linear, quadratic, etc).



$$\text{Total: } T(n) + T(n-1) + T(n-2) + \dots + T(1)$$

$$\text{Total work: } \log n + \log(n-1) + \log(n-2) + \dots + \log(1) = \sum_{k=1}^n \log(n-k)$$

Can exaggerate and simplify to get the upper bound by raising all variables up to n

$$\text{Total Work exaggerated to } n: T(n) \leq \log n + \log n + \log n + \dots + \log n = \log n^n$$

$$T(n) \leq n \log n$$

$$\text{Upper bound: } T(n) = O(n \log n)$$

Underestimating and simplifying from n to $\frac{n}{2}$ terms for the lower bound

$$\sum_{k=\lceil \frac{n}{2} \rceil}^n \log k = \log \frac{n}{2} + \log \frac{n+1}{2} + \log \frac{n+2}{2} + \dots + \log n = n * \log \frac{n}{2} = \frac{n}{2} \log \frac{n}{2}$$

$$T(n) \geq \frac{n}{2} \log \frac{n}{2} = \frac{n}{2} \log n - \frac{n}{2} \log 2; \log 2 = 1$$

$$T(n) \geq \frac{n}{2} \log n - \frac{n}{2}$$

This shows that the lower bound is $\Omega(n \log n)$

(b) Derive the same upper bound for $T(n)$, by induction.

$$T(n) = T(n - 1) + \log n$$

$$T(n - 1) = T(n - 2) + \log(n - 1)$$

$$T(n - 2) = T(n - 3) + \log(n - 2)$$

...

$$\text{Base Case: } T(1) = T(0) + \log 1 = 0$$

*Inductive Hypothesis: For $k < n$, assuming $O(n \log n)$, $T(k) \leq d * k \log k$*

Substitution method: $T(n) = T(n - 1) + \log n$, substitute $k = n - 1$

$$T(n) \leq d * (n - 1) * \log(n - 1) + \log n$$

Exaggerate and simplify: $\log n > \log(n - 1)$

$$T(n) \leq d * (n - 1) * \log n + \log n$$

$$T(n) \leq d(n \log n - \log n) + \log n$$

$$T(n) \leq dn * \log n - (d * \log n - \log n), \text{desired form} - \text{leftovers}$$

Concerning the base case $O(1)$: $T(1) \leq 0 + \log 1 = 0$ $d \geq 1$

3. Solve $T(n) = T(\sqrt{n}) + 1$, using a change of variables: $n = 2^m$.

To warm up, consider the following question. (Don't submit an answer for the warmup) Think of an abstract recursive algorithm that operates on a $n \times n$ matrix: It does non-recursive work proportional to the number of elements in the matrix (say just n^2 work), and then recurses on each of the four quadrants. Assume that quadrants are nicely defined.

This could be expressed as $S(n) = 4S(\frac{n}{2}) + n^2$, meaning the parameter used in S to describe the problem is the matrix side length.

But suppose that we hadn't thought of doing that, and instead we expressed the time complexity as $T(n^2) = 4T(\frac{n^2}{4}) + n^2$. Here, the parameter used for the problem size is the total number of elements. In this case, because this parameter in T is a function of n (other than just n itself), we don't really have a direct method to deal with it. But we could set $m = n^2$, so $T(m) = 4T(\frac{m}{4}) + m$.

What's the solution in each case (in terms of n)? It should be the same.

Besides that, the lesson here is that there can be multiple ways to quantify a problem size and recurse accordingly, e.g. $S(n)$ vs $T(n^2)$. You'll need to consider this after you apply the change of variables as suggested above for the actual homework problem.

With $n = 2^m$ and $m < n$

$$T(n) = T(\sqrt{n}) + 1$$

$$T(2^m) = T\left(2^{\frac{m}{2}}\right) + 1$$

Renaming for $S(m) = T(2^m)$,

$$S(m) = S\left(\frac{m}{2}\right) + 1$$

Can now use master method to solve $S(m)$

Leaves: $m^{\log_2 1} = m^0 = 1$, Root: 1 (Case 2)

$$S(m) = O(S(m) * \log m) = O(m^0 * \log m) = O(\log m)$$

Substituting $m = \log_2 n$, $S(m) = O(\log(\log_2 m))$

4. Use the master method for the following (state case or explain what dominates, and state the answer), or explain why it's not possible.

(a) $T(n) = 10 \cdot T\left(\frac{n}{3}\right) + \Theta(n^2 \log^5 n)$.

(b) $T(n) = 256 \cdot T\left(\frac{n}{4}\right) + \Theta(n^4 \log^4 n)$.

(c) $T(n) = T\left(\frac{19n}{72}\right) + \Theta(n^2)$.

(d) $T(n) = n \cdot T\left(\frac{n}{2}\right) + n^{\log_2 n}$.

(e) $T(n) = 16 \cdot T\left(\frac{n}{4}\right) + n^2$.

(f) $T(n) = 3 \cdot T\left(\frac{n}{2}\right) + n^2$.

(g) $T(n) = T\left(\frac{n}{n-1}\right) + 1$.

(h) $T(n) = 4 \cdot T\left(\frac{n}{16}\right) + \sqrt{n}$.

a) $T(n) = 10 * T\left(\frac{n}{3}\right) + \Theta(n^2 \log^5 n)$

$$\text{Leaf: } n^{\log_3 10} > n^3, \text{Root: } n^2 \log^5 n \text{ (Case 1)}$$

$$\log_3 10 = 2.0959 > 2, \text{leaves dominate polynomially}$$

$$\Theta(n^{\log_3 10})$$

b) $T(n) = 256 * T\left(\frac{n}{4}\right) + \Theta(n^4 \log^4 n)$

$$\text{Leaves: } n^{\log_4 256} = n^4, \text{Root: } n^4 \log^4 n \text{ (Case 3: Root dominates polynomially)}$$

$$\Theta(n^4 \log^4 n)$$

c) $T(n) = T\left(\frac{19}{72}\right) + \Theta(n^2)$

$$\text{Leaves: } n^{\log_{72} 1} = n^0 = 1, \text{Root: } n^2 \text{ (Case 3: Root dominates polynomially)}$$

$$\Theta(n^2)$$

d) $T(n) = n * T\left(\frac{n}{2}\right) + n^{\log_2 n}$

Requirement: a & b must be $O(1)$ but A is $O(n)$. Master method is not possible.

e) $T(n) = 16 * T\left(\frac{n}{4}\right) + n^2$

Leaves: $n^{\log_4 16} = n^2$, leaves: n^2 (Case 2: Both are the same level)

$$\Theta(n^2 \log n)$$

f) $T(n) = 3 * T\left(\frac{n}{2}\right) + n^2$

Leaves: $n^{\log_2 3} = n^{1.59}$, leaves: n^2 (Case 3: Root dominates polynomially)

$$\Theta(n^2)$$

g) $T(n) = T\left(\frac{n}{n-1}\right) + 1$

Requirement: a & b are $O(1)$ but a is $O(n)$. Master method is not possible.

h) $T(n) = 4 * T\left(\frac{n}{16}\right) + \sqrt{n}$

Leaves: $n^{\log_{16} 4} = n^{1/2}$, Root: $n^{\frac{1}{2}}$ (Case 2: Both are the same level)

$$\Theta(n^{0.5} \log n)$$