

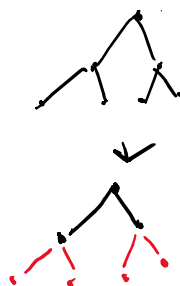
1. For this problem,  $n$  is huge as usual, and  $m$  is an integer such that  $1 \leq m \leq n$ . You can assume that red-black tree insertion and deletion cost  $O(\log n)$ , without needing to go into detail.

- a) Let  $R_1$  be a red-black tree with  $n$  elements. Let  $R_2$  be a red-black tree with  $m$  elements. There are no duplicates. How fast can you produce a red-black tree containing all values that are found in  $R_1$  and  $R_2$ ? Your time complexity should be the best possible, keeping in mind that  $m$  might be very small or as large as  $n$ .

Perform a traversal on both the  $R_1$  and  $R_2$  trees. While performing the traversal, the nodes from  $R_1$  and  $R_2$  will form two arrays containing the elements of  $R_1$  and  $R_2$  respectively. The time it takes to perform an in-order traversal would be  $O(n)$  time to traverse  $R_1$  and  $O(m)$  time to traverse  $R_2$ .

After performing a traversal, this leaves us with an array containing  $R_1$  nodes and  $R_2$  nodes. Performing a merge on these two arrays would cost  $O(n) + O(m)$  time and yield a sorted array containing  $R_1$  and  $R_2$ . We can create a tree from the sorted array. The balanced tree can be constructed with the median of the new array to be the root of the BST. The BST will also be constructed initially with all nodes to be black.

1. Nodes are colored red or black
2. Root is always black
3. Every red node has a black parent
4. For any node  $x$ : all paths down to leaves contain equal number of black nodes



change leaves to red

If the leaves are changed to be red, then there wouldn't be any rule violations. Every red node would have a black parent which would extend to the root node as well. Because the merged BST is balanced, the BST and the subtrees will have an equal number of black nodes.

$O(n) + O(m)$ : Time to traverse  $R_1$  and  $R_2$

$O(n)$ : Time to merge the arrays of  $R_1$  and  $R_2$

$O(n) + O(m) + O(n)$  is still  $O(n)$  time

- b) Same as (a) but suppose that you are given input such that all the values in  $R_1$  are smaller than all the values in  $R_2$ . Also assume that the black height of both trees is the same.

If  $R_1$  is smaller than  $R_2$ , then that would mean all nodes of  $R_1$  would be a subtree under  $R_2$ 's nodes. This also means that a node in  $R_2$  will be the root node of the BST. We can construct a BST tree by creating a fake node as a temporary root node. Then,  $R_1$  and  $R_2$  would form subtrees as the roots of those respective subtrees will become the children of the BST's root node.

$R_1$  and  $R_2$  would have matching black node heights, but the temporary root node would be changing the heights of both trees. In order to preserve equal black node height, the fake node will be deleted and the

tree will be swapped and rotated to replace the root node. While swapping and rotating, the subtrees will check to see if the black and red node cases are not being violated. Otherwise, while recursively swapping, the nodes will check and recolor if necessary. Because this rotation operation is dependent on the size of the  $R_2$  subtree, this would take  $O(\log m)$  time.

c) Same as (b) but now remove the assumption about equal black heights.

If  $R_1$  and  $R_2$  do not have equal black heights, then that means case 5 will be violated when  $R_1$  and  $R_2$  are merged because the resulting merged tree will have unequal black node heights. In order to fix case 5, the  $R_1$  and  $R_2$  subtree must be rotated in such a way that  $R_1$  and  $R_2$  will have matching black node heights.

The values of  $R_2$  are greater than the values of  $R_1$ , and there are an unequal number of black nodes between  $R_1$  and  $R_2$ . Similarly, to before, we can search the  $R_2$  subtree to find a black node to be used as the root node, but we'll search for a black node that has the same depth as  $R_1$ 's height. While searching for this black node, we can count the number of black nodes on the path to figure out how many black nodes are needed to match the black node height of  $R_1$ .

Once that node is found, recursively swap that node upwards. For every swap, we check for any case violation and recolor and rotate until the subtree no longer breaks any black-red tree rules. The recursively swap occurs until that node is moved to the root node and has been recolored and rotated and does not violate any of the three cases. Because this process depends on using a node of  $R_1$ 's height, then the search and recoloring process will take  $O(\log n)$  time.

2. You are given an array  $A$ , containing  $n$  real numbers without duplicates. For every pair of elements, we say that the pair is “in order” if the smaller value is found somewhere to the left of the larger one. For example, in the array  $[13, 11, 14, 12]$  there are three pairs that are in order:  $\{13, 14\}$ ,  $\{11, 14\}$ ,  $\{11, 12\}$ . Show how to find the number of such pairs in  $A$ , in  $O(n \log n)$  time. You must use a technique learned after exam 1.

This would be a variant of dynamic selection. We can form a BST tree where the parent tree would be considered greater than the child node. Traverse the array from left to right. Each element read will be inserted into the BST which will identify if the element is less than or greater than the elements already present in the BST. A pair will be identified if there is a parent node with a right child present which signifies that the right child is greater than the previous element and that the smaller element is to the left in the array.

In addition, when an element is inserted into the node, it will also store its subtree size and its rank. When counting the number of pairs, we can traverse up the BST tree and count the ranks of the nodes to find the number of pairs.

The number of pairs that can be found would be the equivalent to the height of the tree. The process of setting up this BST would be the time complexity for building a BST on random data which is  $O(n \log n)$ .