# Database Normalization

Presented by

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## Key points

- Normalization
- Functional dependency
- Key attribute and keys in database
- Normal forms (NF)
- Normalization Process
- 1NF
- 2NF
- 3NF
- BCNF (Boyce-codd)

## Normalization

- Process of decomposing a complex relation into less complex relations
  - > Lossless decomposition
  - Dependency preserving
- Why do we need Normalization
  - > To reduce/eliminate redundancy
    - ✓ It causes Modification anomalies
  - > To maintain data consistency

#### Student Relation: S

S_id	S_name	C_id	C_name		
S1	Rohit	101	C1		
S2	Rahul	101	C1 C2 C2		
<b>S</b> 3	Rinku	102			
S4	Shree	102			
<b>S</b> 5	Nirvana	103	C3		

- Insert anomaly
  - can't insert information of new course. Or We have to use dummy data to insert information of new course
- Deletion anomaly
  - can't delete information of sid:S5 because we lost information of C3
- Updation anomaly
  - ➤ if we want to update c\_name of cid:101, we have to update it at many places. If not, database become inconsistent.

## Example: Normalized Relation (NR)

#### Student Relation: S1

S_name	C_id
Rohit	101
Rahul	101
Rinku	102
Shree	102
Nirvana	103
	Rohit Rahul Rinku Shree

#### Student Relation: S2

C_name
C1
C2
C3

- While decomposing
  - > Define foreign key in old table
  - > Refer it new table as primary key
- Is this decomposition lossless?
  - > Yes
- Is this decomposition dependency preserving?
  - > Yes
- How does redundancy occurs in relation
  - Because of function dependency
  - ➤ Because of Multivalued dependency
- Disadvantage of Normalization
  - > It increases query processing cost
  - > It increases maintenance cost

## Functional Dependency (FD)

- Fd: X->Y Where X and Y ∈ relation R
  - > Y is functionally determinate by X
  - > Or X uniquely determines Y
  - ➤ If the value of X replicates, value of Y must also replicates.
  - > X is determinant
  - > Y is dependent
- Mathematically
  - ➤ If T1[X]=T2[X] then T1[Y]=T2[Y]

- Type of Dependencies
  - > Trivial
    - ✓ Dependencies which will always hold in a relation.
    - $\checkmark$  X->Y is trivial if Y ⊆ X
    - ✓ Example AB->A
  - ➤ Non trivial
    - ✓ X->Y is non-trivial if Y is not  $\subseteq$  X
    - ✓ Example AB->ABC
- Properties of FD
  - ightharpoonup Reflexive if  $Y \subseteq X$  then X -> Y
  - > Transitive if X->Y and Y->Z then X->Z
  - Augmentation if X->Y then XZ->YZ
  - ➤ Union if X->Y and X->Z then X->YZ
  - > Decomposition if X->YZ then X->Y and X->Z
  - Composition if X->Y and Z->W then XZ->YW
  - > Pseudo transitivity if X->Y and YZ->W then XZ->W

## Keys in database

- Key attribute
  - ➤ Any attribute or minimal set of attributes in a relation R, which uniquely identifies every tuple of R or all attributes of R is called key attribute.
  - ➤ Simple key attribute
    - ✓ Indivisible key attribute
  - > Composite key attribute
    - ✓ Divisible
- Candidate Key Vs. Primary Key Vs. Alternate Key Vs. Super Key Vs. Foreign Key
- Every Candidate key is a super key but vice versa is not true.

- How to find key attribute?
  - > Find the closure of attribute
    - ✓ The closure of a attribute X contains all the attribute which are functionally determine by X.
- FD set for student relation S

```
s_id->s_name c_id

c_id->c_name

s_id+={s_id,s_name, c_id, c_name}
```

- So s\_id is only candidate key and therefore primary key, no alternate key for this relation.
- And every super set of s\_id is super key for this relation.

## Normal forms

- 1NF, 2NF, 3NF, BCNF
  - > Reduces redundancy due to FD
  - ➤ If a relation in BCNF then it is free from redundancy due to FDs
- 4NF, 5NF, DKNF, Higher Normal forms
  - > Reduces redundancy due to Multivalued FD.
- A relation in a given normal form is free from certain set of modification anomalies
- To be in a Normal form, a relation must satisfy a condition
  - 1NF=C1 C1: Domain of all attributes must be atomic
  - 2NF=1NF+C2 C2: No partial FD
  - 3NF=2NF+C3 C3: No Transitive FD
  - BCNF=3NF+C4
     C4: Every attribute must be dependent on super key only

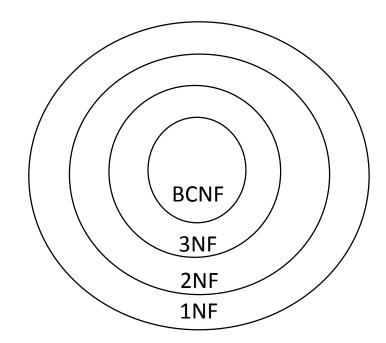


Fig. 1: Normal forms are cumulative

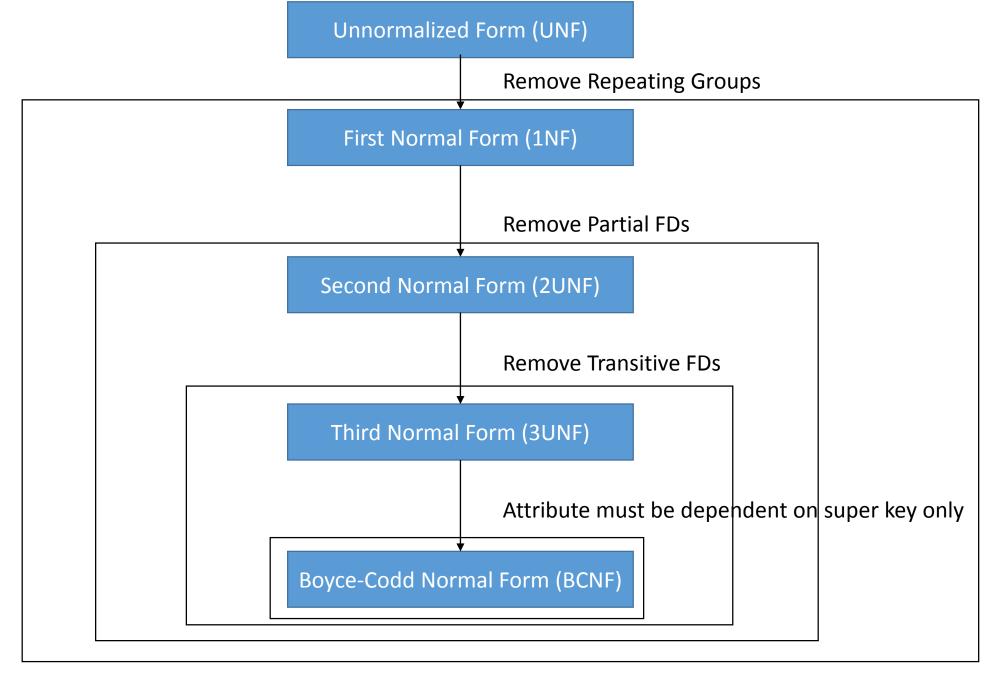


Fig. 2: Normal forms

## Normalization Process

- Identify the FD set for relation
- Identify the candidate keys for relation
- Apply the definition of each normal form
- If the relation fails to meet the definition of normal form
  - Decompose it until it meets the definition of desired normal form
- Reapply the definition of normal forms to ensure it meet the definition of normal form.

## 1NF

- A relation R is said to be in 1NF, iff
  - > The domain of each attribute must be atomic
    - ✓ A domain is said to be atomic if the values of the domain are indivisible unit and not set of values .
    - ✓ In other words, repeated groups are not allowed in 1NF
    - ✓ Multivalued and composite attribute are not allowed in 1NF

Relation S		Relation S		Relation S1		Relation S2			
S_id	S_Name	C_Name	S_id	S_Name	C_Name	S_id	S_Name	S_id	C_Name
<b>S1</b>	XYZ	C/C++	<b>S1</b>	XYZ	С	S1	XYZ	<b>S1</b>	С
S2	YXZ	C++/Java	<b>S1</b>	XYZ	C++	S2	YXZ	<b>S1</b>	C++
<b>S</b> 3	YZX	Java/C	S2	YXZ	C++	<b>S3</b>	YZX	S2	C++
S4	XZY	С	S2	YXZ	Java	<b>S4</b>	XZY	S2	Java
<b>S</b> 5	ZXY	Java	<b>S</b> 3	YZX	Java	S5	ZXY	<b>S</b> 3	Java
			<b>S</b> 3	YZX	С			<b>S</b> 3	С
			S4	XZY	С			S4	С
			<b>S</b> 5	ZXY	Java			<b>S</b> 5	Java

## 2NF

- A relation is said to be in 2NF iff,
  - It is in 1NF
  - No partial FDs are present in it
- A FD (X->Y) is said to partial dependency (PD) iff
  - X is prime attribute
  - Y is non-prime attribute
  - Prime attribute is part of composite candidate key
  - non-prime attribute are not part of candidate key
- Mathematically

X->Y is PD  $\iff$  X is not  $\subset$  CK  $\land$  Y:NPA

FIRST (supplier\_no, status, city, part\_no, quantity)

FD Set

(supplier\_no, part\_no) -> quantity

supplier\_no -> status

supplier\_no -> city

city -> status

Candidate key(s) (CK)

(Supplier\_no, part\_no)

Prime attribute (PA)

supplier\_no, part\_no

Non prime attribute (NPA)

status, city, quantity

• 2NF Decompostion

SECOND (supplier no, status, city)

SUPPLIER\_PART (supplier\_no, part\_no, quantity)

## 3NF

- A relation is said to be in 3NF iff,
  - It is in 2NF
  - No Transitive FDs are present in it.
  - A non-prime attribute must not transitively dependent on key attribute
- Mathematically

```
\forall FD_{NT}: X \rightarrow Y \iff [X: SK V Y:PA]
```

- SECOND (supplier\_no, status, city)
- FD Set

```
supplier_no -> status
```

supplier\_no -> city

city -> status

CK

Supplier no

• 3NF Decomposition

SUPPLIER\_CITY (supplier\_no, city)

CITY\_STATUS (city, status)

## **BCNF**

- A relation is said to be in BCNF iff,
  - It is in 3NF
  - if and only if every determinant is a super key
- Mathematically
- $\forall FD_{NT}: X \rightarrow Y \iff X:SK$

- SUPPLIER\_PART (supplier\_no, supplier\_name, part\_no, quantity)
- FD set

```
(supplier_no, part_no) -> quantity
(supplier_no, part_no) -> supplier_name
(supplier_name, part_no) -> quantity
(supplier_name, part_no) -> supplier_no
supplier_name -> supplier_no
supplier_no -> supplier_name
```

• CK:

(supplier\_no, part\_no) and (supplier\_name, part\_no)

- Decomposition:
- SUPPLIER ID (supplier no, supplier name)
- SUPPLIER\_PARTS (supplier\_no, part\_no, quantity)

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