

# Part III Combinatorics

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## 1 Introduction

Let  $X, Y, \dots$  be sets

**Definition.** We call  $\mathcal{A} \subset \mathcal{P}(X)$  a **set system** or **family of sets**.  $\mathcal{A}$  is naturally identified with a bipartite graph  $G_{\mathcal{A}}(U, W)$  with  $U = \mathcal{A}$ ,  $W = \bigcup_{A \in \mathcal{A}} A$  or  $W = X$ . Indeed,  $Ax \in E(G_{\mathcal{A}}) \iff x \in A$ .

**Definition.** Given  $\mathcal{A} \subset \mathcal{P}(X)$ , a **set of distinct representatives (SDR)** is an injection  $f : \mathcal{A} \rightarrow X$  s.t.  $f(A) \in A \forall A \in \mathcal{A}$ . In its bipartite graph, an SDR corresponds to a complete matching  $U \rightarrow W$ .

**Theorem 1** (Hall, 1935). A set system  $\mathcal{A}$  has an SDR if  $\forall \mathcal{A}' \subset \mathcal{A}$ ,  $|\bigcup_{A \in \mathcal{A}'} A| \geq |\mathcal{A}'|$ .

**Theorem 1'.** A bipartite graph  $G(U, W)$  has a complete matching  $U \rightarrow W$  if  $\forall S \subset U$ ,  $|\Gamma(S)| \geq |S|$

**Corollary 2.** Suppose  $G(U, W)$  bipartite,  $d(u) \geq d(w) \forall u \in U, w \in W$ . Then  $\exists$  a complete matching  $U \rightarrow W$ .

**Definition.** A bipartite graph  $G(U, W)$  is  $(r, s)$ -**regular** if  $d(u) = r$  and  $d(w) = s \forall u \in U, w \in W$ .

Instant from Cor 2: if  $G(U, W)$  is  $(r, s)$ -regular then  $\exists$  a complete matching from  $U$  to  $W$  if  $|U| \leq |W|$ .

**Corollary 3.** Let  $0 \leq i, j \leq n$ ,  $\binom{n}{i} \leq \binom{n}{j}$ . Then  $\exists$  a complete matching  $f : [n]^{(i)} \rightarrow [n]^{(j)}$  s.t.  $f(A) \subset A$  if  $j \leq i$ , and  $f(A) \supset A$  if  $i \leq j$ .

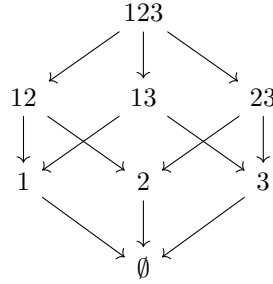
**Theorem 4.** Let  $G = G(U, W)$  be a connected  $(r, s)$ -regular graph. Then for  $\emptyset \neq A \subset U$ ,

$$\frac{|\Gamma(A)|}{|W|} \geq \frac{|A|}{|U|}$$

Also, equality holds iff  $A = U$ .

The **cube**  $Q^n \cong \mathcal{P}(n) \cong [2]^n$  = set of all 0, 1 sequences of length  $n$ .  $Q^n$  is also a graph:  $AB$  is an edge if  $|A \triangle B| = 1$ . It is also a poset:  $A < B$  if  $A \subset B$ .

$Q^n$  has a natural orientation:  $\overrightarrow{AB}$  if  $A = B \cup \{a\}$ .



The order on  $Q^n \cong \mathcal{P}(n)$  is induced by this oriented graph.

## 2 Sperner Systems

**Definition.** A set system  $\mathcal{A} \subset \mathcal{P}(n)$  is **Sperner** if  $A, B \in \mathcal{A}$ ,  $A \neq B \implies A \not\subset B$

**Theorem 1** (Sperner, 1928). If  $\mathcal{A} \subset \mathcal{P}(n)$  is Sperner then

$$|\mathcal{A}| \leq \binom{n}{\lfloor \frac{n}{2} \rfloor}$$

**Definition.** The **weight**  $w(A)$  of a set  $A \in \mathcal{P}(n)$  is  $w(A) = \frac{1}{\binom{n}{|A|}}$

**Theorem 2.** Let  $\mathcal{A}$  be a Sperner system on  $X$ ,  $|X| = n$ . Then

$$w(\mathcal{A}) = \sum_{A \in \mathcal{A}} w(A) \leq 1$$

**Corollary 3.** If  $\mathcal{A} \in \mathcal{P}(n)$  is a Sperner system then  $|\mathcal{A}| \leq \binom{n}{\lfloor \frac{n}{2} \rfloor}$ , with equality  $\iff \mathcal{A}$  is  $X^{\lfloor n/2 \rfloor}$  or  $X^{\lceil n/2 \rceil}$ .

**Definition.**  $\mathcal{A} \in \mathcal{P}(n)$  is  **$k$ -Sperner** if it does not contain

$$A_1 \subsetneq A_2 \subsetneq \cdots \subsetneq A_{k+1}$$

Note that Sperner = 1-Sperner.

**Corollary 4** (Erdős, 1945). If  $\mathcal{A} \subset \mathcal{P}(n)$  is  $k$ -Sperner then  $|\mathcal{A}|$  is at most the sum of the  $k$  largest binomial coefficients.

**Theorem 5** (Erdős, 1945). Let  $x_1, \dots, x_n \in \mathbb{R}$ ,  $x_i \geq 1$ . Then the number of sums  $\sum_1^n \pm x_i$  in an open interval  $J$  of length  $2k$  is at most the sum of the  $k$  largest binomial coefficients.

**Definition.** A chain  $A_0 \subset A_1 \subset \cdots \subset A_k$  is **symmetric** if  $|A_{i+1}| = |A_i| + 1 \forall i$  and  $|A_0| + |A_k| = n$ .

**Theorem 6** (Kleitman and Katona).  $\mathcal{P}(n)$  has a decomposition into symmetric chains.

Take such a partition  $\mathcal{P}(n) = \bigcup_{i=1}^k \mathcal{C}_i$ ,  $j = \binom{n}{\lfloor \frac{n}{2} \rfloor}$ . There is one chain of length  $n+1$ ,  $n-1$  chains of length  $n-1$ , etc: there are  $\binom{n}{i} - \binom{n}{i-1}$  chains of length  $n+1-2i$ .

Let  $E$  be a normed space, let  $x_1, \dots, x_n \in E$ ,  $\|x_i\| \geq 1 \forall i$ , for  $A \in \mathcal{P}(n)$  let  $x_A = \sum_{i \in A} x_i$ .

**Conjecture** (Erdős, 1945). If  $\mathcal{A} \in \mathcal{P}(n)$  s.t.  $\|x_A - x_B\| < 1$  then  $|\mathcal{A}| \leq \binom{n}{\lfloor \frac{n}{2} \rfloor}$

**Definition.** Call  $\mathcal{D} \in \mathcal{P}(n)$  **scattered** if  $\|x_A - x_B\| \geq 1 \forall A, B \in \mathcal{D}$ . Call a partition  $\mathcal{P}(n) = \bigcup_{i=1}^s \mathcal{D}_i$  **symmetric** if there are precisely  $\binom{n}{i} - \binom{n}{i-1}$  sets  $\mathcal{D}_i$  of cardinality  $n+1-2i$ .

**Theorem 7.** (Kleitman, 1970)  $E, (x_i)_1^n$  as before. Then  $\mathcal{P}(n)$  has a symmetric partition into scattered sets.

**Theorem 8.** (Kleitman, 1970) If  $\mathcal{A} \in \mathcal{P}(n)$  s.t.  $\|x_A - x_B\| < 1$  then  $|\mathcal{A}| \leq \binom{n}{\lfloor \frac{n}{2} \rfloor}$

### 3 The Kruskal-Katona Theorem

We know: if  $\mathcal{A} \subset X^{(r)}$  then  $\partial \mathcal{A}$  (the **lower shadow** of  $\mathcal{A}$ ), defined by

$$\partial \mathcal{A} = \{B \in X^{(r-1)} \mid B \subset A \text{ for some } A \in \mathcal{A}\}$$

satisfies

$$\begin{aligned} |\partial\mathcal{A}| &\geq |\mathcal{A}| \frac{\binom{n}{r-1}}{\binom{n}{r}} \\ &= |\mathcal{A}| \frac{r}{n-r+1} \end{aligned}$$

with equality  $\iff \mathcal{A}$  is  $\emptyset$  or  $X^{(r)}$ .

What about in between? What is  $\mathcal{B} \in X^{(r)}$  s.t.  $|\mathcal{B}| = |\mathcal{A}|$  and  $|\partial\mathcal{B}| \leq |\partial\mathcal{A}|$ ?

$\exists \mathcal{B}_1, \mathcal{B}_2, \dots \in X^{(r)}$  s.t.  $|\mathcal{B}_m| = m$  and  $|\partial\mathcal{B}_m| \leq |\partial\mathcal{A}| \forall \mathcal{A} \subset X^{(r)}$  where  $|\mathcal{A}| = m$ .

Incredibly luckily, we have a sequence of nested extremal sets. Equivalently,  $\exists$  total order on  $X^{(r)}$  s.t. the first  $m$  sets form  $\mathcal{B}_m$ .

**Definition.** Define the *colex* total order on  $X^{(r)}$  by  $A < B$  if  $\max(A \Delta B) \in B$ .

Aim: given  $m$  and  $r$ , would like to find  $\mathcal{B} \subset X^{(r)}$ ,  $|\mathcal{B}| = m$  s.t.  $|\partial\mathcal{B}| \leq |\partial\mathcal{A}| \forall \mathcal{A} \subset X^{(r)}$ ,  $|\mathcal{A}| = m$ .

Define  $\mathcal{B}^{(r)}(m_r, \dots, m_s)$ ,  $m_r > m_{r-1} > \dots > m_s \geq s$  as follows:

$$\begin{aligned} \mathcal{B}^{(r)} &= [m_r]^{(r)} \cup ([m_{r-1}]^{(r-1)} + \{m_r + 1\}) \\ &\quad \cup ([m_{r-2}]^{(r-2)} + \{m_{r-1} + 1, m_r + 1\}) \\ &\quad \cup \dots \\ &\quad \cup ([m_s]^{(s)} + \{m_{s+1} + 1, m_{s+2} + 1, \dots, m_r + 1\}) \end{aligned}$$

Set  $b^{(r)}(m_r, \dots, m_s) = |\mathcal{B}^{(r)}(m_r, \dots, m_s)| = \sum_{j=s}^r \binom{m_j}{j}$ .

$$\partial\mathcal{B}^{(r)}(m_r, \dots, m_s) = \mathcal{B}^{(r-1)}(m_r, \dots, m_s)$$

This has cardinality  $b^{(r-1)}(m_r, \dots, m_s) = \sum_{j=s}^r \binom{m_j}{j-1}$ .

**Lemma 1.** For  $l, r \in \mathbb{N}$   $\exists!$   $m_r > \dots > m_s$  s.t.  $l = \sum_{j=s}^r \binom{m_j}{j}$ ; the initial segment of  $X^{(r)}$  in colex, consisting of  $l$  sets, is  $\mathcal{B}^{(r)}(m_r, \dots, m_s)$ .

**Definition.** Let  $i \neq j \in X$ ,  $A \in \mathcal{P}(X)$ . Define the *ij-compression*

$$A_{ij} = C_{ij}(A) = \begin{cases} (A \setminus \{j\}) \cup \{i\} & \text{if } j \in A, i \notin A \\ A & \text{otherwise} \end{cases}$$

Given  $\mathcal{A} \subset \mathcal{P}(n)$ ,  $A \in \mathcal{A}$

$$C_{i,j,\mathcal{A}}(A) = \begin{cases} A_{ij} & \text{if } A_{ij} \notin \mathcal{A} \\ A & \text{otherwise} \end{cases}$$

Also,

$$\begin{aligned} C_{ij}(\mathcal{A}) &= \{C_{i,j,\mathcal{A}} \mid A \in \mathcal{A}\} \\ &= \{C_{ij}(A) \mid A \in \mathcal{A}\} \cup \{A \in \mathcal{A} \mid C_{ij}(A) \in \mathcal{A}\} \end{aligned}$$

For  $\mathcal{A} \in X^{(r)}$ ,

$$\begin{aligned} \mathcal{A}_{ij} &= \{A \in \mathcal{A} \mid \{i, j\} \subset A\} \\ \mathcal{A}_i &= \{A \in \mathcal{A} \mid i \in A, j \notin A\} \\ \mathcal{A}_\emptyset &= \{A \in \mathcal{A} \mid A \cap \{i, j\} = \emptyset\} \\ \mathcal{A}_j &= \{A \in \mathcal{A} \mid i \notin A, j \in A\} \end{aligned}$$

$C_{ij} : \mathcal{A} \mapsto C_{ij}(\mathcal{A})$  keeps  $\mathcal{A}_\emptyset \cup \mathcal{A}_i \cup \mathcal{A}_{ij}$  fixed, and maps  $\mathcal{A}_j$  into sets like those in  $\mathcal{A}_i$ .

**Lemma 2.** For  $\mathcal{A} \subset X^{(r)}$ ,  $\partial C_{ij}(\mathcal{A}) \subseteq C_{ij}(\partial \mathcal{A})$ . In particular, the cardinality decreases.

*Proof.* Let  $B \in \partial C_{ij}(\mathcal{A})$  and let  $A \in \mathcal{A}$  s.t.  $B \subset C_{i,j,\mathcal{A}}(A)$ .

- i. Suppose  $B$  meets  $\{i, j\}$  in 0 or 2 elements. Then  $B \subset A$  so  $B \in \partial A$  and  $B \in C_{ij}(\partial \mathcal{A})$
- ii. Suppose  $i \in B, j \notin B$ . Then either  $B$  or  $(B \setminus \{i\}) \cup \{j\}$  belongs to  $\partial \mathcal{A}$ , so  $B \in C_{ij}(\partial \mathcal{A})$ .
- iii. Suppose  $j \in B, i \notin B$ . Then both  $B$  and  $(B \setminus \{j\}) \cup \{i\}$  belong to  $\partial \mathcal{A}$ , so both belong to  $C_{ij}(\partial \mathcal{A})$ .

□

**Definition.** Call  $\mathcal{A} \subset X^{(r)}$  **left-compressed** if  $C_{ij}(\mathcal{A}) = \mathcal{A} \forall i < j$ .

**Lemma 3.** Let  $\mathcal{A} \subset X^{(r)}$ . Then  $\exists$  a left-compressed family  $\mathcal{B} \subset X^r$  s.t.  $|\mathcal{B}| = |\mathcal{A}|$  and  $|\partial \mathcal{B}| \leq |\partial \mathcal{A}|$ .

*Proof.* Define  $\mathcal{A}_0 = \mathcal{A}, \mathcal{A}_1, \dots$  as follows: having reached  $\mathcal{A}_k$ , if  $\mathcal{A}_k$  is not left-compressed, pick  $i < j$  s.t.  $C_{ij}(\mathcal{A}_k) \neq \mathcal{A}_k$ , and set  $\mathcal{A}_{k+1} = C_{ij}(\mathcal{A}_k)$

This sequence has to end because

$$\sum_{A \in \mathcal{A}_{k+1}} \sum_{a \in A} a < \sum_{A \in \mathcal{A}_k} \sum_{a \in A} a$$

let  $\mathcal{A}_l$  be the last term: this will do for  $\mathcal{B}$ .

□

**Theorem 4** (Kruskal-Katona, 1963 and 1968). *Let  $\mathcal{A} \subset X^{(r)}$ ,  $m = |\mathcal{A}|$ . Then*

$$\begin{aligned} |\partial\mathcal{A}| &\geq \left| \partial\mathcal{B}_m^{(r)} \right| \\ &= \left| \partial\mathcal{B}^{(r)}(m_r, m_{r-1}, \dots, m_s) \right| \\ &= b^{(r-1)}(m_r, \dots, m_s) \end{aligned}$$

*Proof.* Induction on  $r$  and then  $m$  (or on  $r + m$ ).  $r = 1 \checkmark$   $m = 1 \checkmark$

Induction step: we may assume that  $\mathcal{A}$  is left-compressed. Set  $Y = X \setminus \{1\}$ . Then  $\mathcal{A} = (\mathcal{A}_1 + \{1\}) \cup \mathcal{A}_0$ , where  $\mathcal{A}_1 \subset Y^{(r-1)}$ ,  $\mathcal{A}_0 \subset Y^{(r)}$ .

$$m = |\mathcal{A}| = |\mathcal{A}_0| + |\mathcal{A}_1|, \partial\mathcal{A}_0 \subset \mathcal{A}_1, \partial(\mathcal{A}_1 + \{1\}) = \mathcal{A}_1 \cup (\partial\mathcal{A}_1 + \{1\}).$$

In particular,  $|\partial\mathcal{A}| = |\mathcal{A}_1| + |\partial\mathcal{A}_1|$ .

For  $\mathcal{A} = \mathcal{B}^{(r)}(m_r, \dots, m_s)$ ,

$$|\mathcal{A}_1| = b^{(r-1)}(m_r - 1, \dots, m_s - 1)$$

$$|\mathcal{A}_0| = b^{(r)}(m_r - 1, \dots, m_s - 1)$$

Suppose  $|\mathcal{A}_0| > b^{(r)}(m_r - 1, \dots, m_s - 1)$ . Then by the induction hypothesis,  $|\partial\mathcal{A}_0| \geq b^{(r-1)}(m_r - 1, \dots, m_s - 1)$ . Hence  $|\mathcal{A}_1| \geq b^{(r-1)}(m_r - 1, \dots, m_s - 1)$  and so  $|\partial\mathcal{A}| \geq b^{(r-1)}(m_r, \dots, m_s)$ .

But if  $|\mathcal{A}_0| \leq b^{(r)}(m_r - 1, \dots, m_s - 1)$ ,  $|\mathcal{A}_1|$  is again  $\geq b^{(r-1)}(m_r - 1, \dots, m_s - 1)$ . Done as before.  $\square$

Soft version:

**Theorem 5** (Lovász, 1979). *If  $\mathcal{A} \subset X^{(r)}$  satisfies  $|\mathcal{A}| = \binom{X}{r}$  then  $|\partial\mathcal{A}| \geq \binom{X}{r-1}$ .*

*Proof.* Induction on  $r$  and  $m = |\mathcal{A}|$ . As before,  $\mathcal{A}_0, \mathcal{A}_1$ . Note that  $|\mathcal{A}_1| \geq \binom{X-1}{r-1}$  since otherwise  $|\mathcal{A}_0| > \binom{X-1}{r}$ . But then  $|\partial\mathcal{A}_0| \geq \binom{X-1}{r-1}$ , contradicting the fact that  $\partial\mathcal{A}_0 \subset \mathcal{A}_1$ .

But if  $|\mathcal{A}_1| \geq \binom{X-1}{r-1}$  then

$$|\mathcal{A}_1| + |\partial\mathcal{A}_1| \geq \binom{X-1}{r-1} + \binom{X-1}{r-2} = \binom{X}{r-1}$$

$\square$

**Definition.** Define the **uniform probability measure** on  $X^{(r)}$ ,  $|X| = n$  as  $\mathbb{P}_{n,r}(A) = \frac{1}{\binom{n}{r}}$ , and for  $\mathcal{A} \subset X^{(r)}$ ,  $\mathbb{P}_{n,r}(\mathcal{A}) = \frac{|\mathcal{A}|}{\binom{n}{r}}$ .

**Definition.**  $\mathcal{A} \subset \mathcal{P}(n)$  is **monotone decreasing** if  $A \subset B \in \mathcal{A} \implies A \in \mathcal{A}$ .

**Theorem 6.** *If  $1 \leq s < r \leq n$ ,  $\mathcal{A} \subset \mathcal{P}(n)$  decreasing, then  $\mathbb{P}_s(\mathcal{A})^r \geq \mathbb{P}_r(\mathcal{A})^s$ .*

$$[\mathbb{P}_k(\mathcal{A}) = \mathbb{P}_k(\mathcal{A}_k), \mathcal{A}_k = \mathcal{A} \cap X^{(k)}]$$

*Proof.*  $\mathbb{P}_k(\mathcal{A}) = \frac{|\mathcal{A}_k|}{\binom{n}{k}}$ , if  $|\mathcal{A}_r| = \binom{X}{r}$  then we know  $|\mathcal{A}_s| \geq \binom{X}{s}$ . Hence, the inequality holds if

$$\prod_{i=0}^{s-1} \left( \frac{X-i}{n-i} \right)^r \geq \prod_{i=0}^{r-1} \left( \frac{X-i}{n-i} \right)^s$$

since  $\frac{\binom{X}{r}}{\binom{n}{r}} = \prod_{i=0}^{r-1} \frac{X-i}{n-i}$ .

But this is

$$\prod_{i=0}^{s-1} \left( \frac{X-i}{n-i} \right)^{r-s} \geq \prod_{i=s}^{r-1} \left( \frac{X-i}{n-i} \right)^s$$

Every factor on the left is larger than every factor on the right:

$$\frac{X-i}{n-i} > \frac{X-j}{n-j}$$

for  $i \leq s-1, j \geq s$ . □

**Definition** (Erdős and Rényi, 1960). *Given an increasing family ('property of sets')  $\mathcal{A}(n) \subset \mathcal{P}(n)$ , a function  $k^*(n)$  is a **threshold function** for  $\mathcal{A}(n)$  if  $\mathbb{P}_{k(n)}(\mathcal{A}(n)) \rightarrow 0$  if  $\frac{k}{k^*} \rightarrow 0$ , and  $\mathbb{P}_{k(n)}(\mathcal{A}(n)) \rightarrow 1$  if  $\frac{k}{k^*} \rightarrow 1$ .*

Erdős and Rényi: for many monotone increasing graph properties,  $\exists$  a threshold.

**Corollary 7.** *Let  $\mathcal{A} \subset \mathcal{P}(n)$ ,  $k_1 < k < k_2$*

*i. If  $\mathcal{A}$  is decreasing,  $\mathbb{P}_{k_2}(\mathcal{A})^{k/k_2} \leq \mathcal{P}_k(\mathcal{A}) \leq \mathcal{P}_{k_1}(\mathcal{A})^{k/k_1}$*

*ii. If  $\mathcal{A}$  is increasing,  $(1 - \mathbb{P}_{k_2}(\mathcal{A}))^{k/k_2} \leq 1 - \mathcal{P}_k(\mathcal{A}) \leq (1 - \mathcal{P}_{k_1}(\mathcal{A}))^{k/k_1}$*

*Proof.* i. This is precisely Theorem 6

ii. Set  $\mathcal{A}^c = \mathcal{P}(n) \setminus \mathcal{A}$ . Then  $\mathcal{A}^c$  is decreasing and

$$\mathbb{P}_k(\mathcal{A}^c) = 1 - \mathbb{P}_k(\mathcal{A})$$

Apply (i) to  $\mathcal{A}^c$ . □

**Theorem 8.** *Every monotone increasing function has a threshold.*

*Proof.* We may assume  $\mathcal{A}$  is non-trivial. Set  $k^*(n) = \max \{k \mid \mathbb{P}_k(\mathcal{A}) \leq \frac{1}{2}\}$ .

Then, for  $k < k^*$ ,

$$\mathbb{P}_k(\mathcal{A}) \leq 1 - (1 - \mathbb{P}_{k^*}(\mathcal{A}))^{k/k^*} \leq 1 - 2^{-k/k^*}$$

For  $k > k^* + 1$ ,

$$\mathbb{P}_k(\mathcal{A}) \geq 1 - (1 - \mathbb{P}_{k^*}(\mathcal{A}))^{k/(k^*+1)} \geq 1 - 2^{-k/(k^*+1)}$$

□

This is essentially best possible, but only for lop-sided systems  $\mathcal{A}$ .

**Definition.**  $\mathcal{A} \subset \mathcal{P}(n)$  is **symmetric** if  $\forall x, y \in X \exists$  a permutation  $\pi$  of  $X$  mapping  $x$  onto  $y$ , keeping  $\mathcal{A}$  invariant.

**Definition.** Another measure on  $\mathcal{P}(n)$ : the **binomial measure**. Let  $0 < p < 1$ .

$$\mathbb{P}_{n,p}(A) = \mathbb{P}_p(A) = p^{|A|}(1-p)^{n-|A|}$$

$\mathbb{P}_{n,p}$  is very similar to  $\mathbb{P}_{n,k}$  for  $k \sim pn$ .

**Theorem 9** (Friedgut and Kaloi, 1996). *There is an absolute constant  $c_0 > 0$  s.t. if  $\mathcal{A} \subset \mathcal{P}(n)$  is a symmetric increasing family and  $\mathbb{P}_p(\mathcal{A}) > \epsilon > 0$  then  $\mathbb{P}_{p'}(\mathcal{A}) > 1 - \epsilon$  provided  $p' \geq p + c_0 \frac{\log 1/\epsilon}{\log n}$*

## 4 Intersecting Families

**Definition.**  $\mathcal{A} \subset \mathcal{P}(n)$  is **intersecting** if  $A \cap B \neq \emptyset \forall A, B \in \mathcal{A}$ .

Suppose  $\mathcal{A} \subset X^{(r)}$ . If  $r > \frac{n}{2}$ ,  $\mathcal{A}$  is intersecting. If  $r = \frac{n}{2}$ , we can take families of size  $\frac{1}{2} \binom{n}{r}$ .  $r < \frac{n}{2}$ ?

Let

$$X_x^{(r)} = \{A \in X^{(r)} \mid x \in A\}$$

for any  $x \in X$ .

**Theorem 1** (Erdős, Ko and Rado 1961). *Let  $n > 2r \geq 4$  and let  $\mathcal{A} \subset X^{(r)}$  be an intersecting family. Then  $|\mathcal{A}| \leq \binom{n-1}{r-1}$  with equality  $\iff \mathcal{A} = X_x^{(r)}$ .*

*Proof.* We may assume  $|\mathcal{A}| \geq \binom{n-1}{r-1}$ . Take  $\mathcal{B} = \{X \setminus A \mid A \in \mathcal{A}\} \subset X^{(n-r)}$ . For  $A \in \mathcal{A}$  and  $B \in \mathcal{B}$  we have  $A \not\subset B$ .

Let  $\mathcal{C} = \partial \dots \partial \mathcal{B}$  (shadow  $n - r$  times). Then  $\mathcal{C} \subset X^{(r)}$  and  $\mathcal{C} \cap \mathcal{A} = \emptyset$ ,  $\therefore |\mathcal{A}| + |\mathcal{C}| \leq \binom{n}{r}$ .

By Kruskal-Katona, since  $|B| \geq \binom{n-1}{r-1} = \binom{n-1}{n-r}$ , have  $|\mathcal{C}| \geq \binom{n-1}{r}$ .

Hence  $|\mathcal{A}| \leq \binom{n}{r} - \binom{n-1}{r} = \binom{n-1}{r-1}$ .  $\square$

**Definition.** We call  $\mathcal{A}$   **$l$ -intersecting** if  $|A \cap B| \geq l \forall A, B \in \mathcal{A}$ .

Let

$$\mathcal{F}_0 = \{A \in X^{(r)} \mid A \supset [l]\}$$

**Lemma 2.** *Let  $2 \leq l < r$  and  $n \geq \frac{4}{3}lr^3$ . Let  $\mathcal{A} \subset X^{(r)}$  be  $l$ -intersecting, **not** fixed by an  $l$ -set (i.e.  $\mathcal{A} \not\subset \mathcal{F}' \cong \mathcal{F}_0$ ). Then*

$$|\mathcal{A}| \leq (r-l) \binom{n-l-1}{r-l-1} + \sum_{t=1}^{t_0} \binom{l}{t} \binom{r-l}{t}^2 \binom{n-l-2t}{r-l-t}$$



where  $t_0 = \min\{l, r - l\}$ .

*Proof.* We may assume  $\mathcal{A}$  is maximal  $l$ -intersecting. So  $\exists A_1, A_2 \in \mathcal{A}$  s.t.  $A_1 \cap A_2 = B$ ,  $|B| = l$ .

Let  $\mathcal{A}_t = \{A \in \mathcal{A} \mid |B \setminus A| = t\}$ .

$$|\mathcal{A}_0| \leq (r - l) \binom{n-l-1}{r-l-1}$$

$$|\mathcal{A}_t| \leq \binom{l}{t} \binom{r-l}{t}^2 \binom{n-l-2t}{r-l-t}$$

□

**Theorem 3.** Suppose  $2 \leq l < r < n$  and  $n \geq \frac{3}{2}lr^3$ . Let  $\mathcal{A} \subset X^{(r)}$  be  $l$ -intersecting. Then  $|\mathcal{A}| \leq \binom{n-l}{r-l}$  and equality holds only if

$$\mathcal{A} \cong \{A \in X^{(r)} \mid A \supset L\}$$

for some  $L \in X^{(l)}$ .

*Proof.* Suppose  $\mathcal{A}$  is not fixed by an  $l$ -set. Then by Lemma 2,

$$\begin{aligned} |A| &\leq (r - l) \binom{n-l-1}{r-l-1} + \sum_{t=1}^{t_0} \binom{l}{t} \binom{r-l}{t}^2 \binom{n-l-t}{r-l-t} \\ &= (r - l) \binom{n-l-1}{r-l-1} + \sum_{t=1}^{t_0} S_t \end{aligned}$$

Note

$$\begin{aligned} \frac{S_{t+1}}{S_t} &= \frac{l-t}{t+1} \frac{(r-l-t)^2}{(t+1)^2} \frac{r-l-t}{n-l-t} \\ &\leq \frac{lr^3}{(t+1)^3 n} \leq \frac{2}{3(t+1)^3} \leq \frac{1}{12} \end{aligned}$$

Thus

$$\begin{aligned} \frac{|\mathcal{A}|}{\binom{n-l}{r-l}} &\leq (r-l) \frac{r-l}{n-l} + \frac{12}{11} l(r-l)^2 \frac{r-l}{n-l} \\ &= \left(1 + \frac{12}{11} l(r-l)\right) \frac{(r-l)^2}{n-l} \\ &< \frac{3}{2} l \frac{r^3}{n} \leq 1 \end{aligned}$$

If  $r = l + 2$  then  $<$ .

□

Suppose  $\mathcal{P}(X) \supset \mathcal{A}$  is intersecting.  $|\mathcal{A}| \leq 2^{n-1}$ . Binomial probability measure:

$$\mathbb{P}_p(A) = p^{|A|} (1-p)^{n-|A|}$$

$$\mathbb{P}_p(\mathcal{A}) = \sum_{A \in \mathcal{A}} \mathbb{P}_p(A)$$

$$\mathcal{A} \text{ intersecting} \implies \mathbb{P}_{\frac{1}{2}}(\mathcal{A}) \leq \frac{1}{2}.$$

**Theorem 4.** Let  $0 < p \leq \frac{1}{2}$  and let  $\mathcal{A} \subset \mathcal{P}(X)$  be intersecting. Then  $\mathbb{P}_p(\mathcal{A}) \leq p$ .

*Proof.* Set  $N_k = |\mathcal{A}_k|$ .  $A \in \mathcal{A} \implies A^c = X \setminus A \notin \mathcal{A}$ .

Hence  $N_k + N_{n-k} \leq \binom{n}{k}$ . Also, for  $k \leq \frac{n}{2}$ ,  $p^k(1-p)^{n-k} \geq p^{n-k}(1-p)^k$ , so

$$\begin{aligned} N_k p^k (1-p)^{n-k} + N_{n-k} p^{n-k} (1-p)^k &\leq \binom{n-1}{k-1} p^k (1-p)^{n-k} + \left( \binom{n}{k} - \binom{n-1}{k-1} \right) p^{n-k} (1-p)^k \\ &\leq \binom{n-1}{k-1} p^k (1-p)^{n-k} + \binom{n-1}{n-k-1} p^{n-k} (1-p)^k \end{aligned}$$

Thus

$$\begin{aligned} \mathbb{P}_p(\mathcal{A}) &= \sum_{k=1}^n p^k (1-p)^{n-k} \\ &\leq p \sum_{k=1}^n k = 1^n \binom{n-1}{k-1} p^{k-1} (1-p)^{n-k} = p \end{aligned}$$

□

**Definition.**  $\mathcal{A} \subset \mathcal{P}(X)$  is ***k-wise-intersecting*** if  $A_1 \cap \dots \cap A_k \neq \emptyset \forall A_i \in \mathcal{A}$ .

**Theorem 5.** Let  $ks \geq n$ , let  $\mathcal{A} \subset X^{(s)}$  be such that  $X$  is **not** the union of  $k$  sets from  $\mathcal{A}$ . Then  $|\mathcal{A}| \leq \binom{n-1}{s}$ .