

Part III Category Theory

Based on lectures by Prof P.T. Johnstone

Michaelmas 2016
University of Cambridge

Contents

1	Definitions and Examples	1
2	The Yoneda Lemma	4

1 Definitions and Examples

Definition (Category). *A category \mathcal{C} consists of*

- a. a collection $\text{ob } \mathcal{C}$ of **objects** A, B, C, \dots*
- b. a collection $\text{mor } \mathcal{C}$ of **morphisms** f, g, h, \dots*
- c. two operations dom, cod from morphisms to objects. We write $f : A \rightarrow B$ or $A \xrightarrow{f} B$ to mean ' f is a morphism and $\text{dom } f = A$ and $\text{cod } f = B$ '*
- d. an operation assigning to each object A a morphism $1_A : A \rightarrow A$*
- e. a partial binary operation $(f, g) \mapsto gf$, s.t. gf is defined $\iff \text{dom } g = \text{cod } f$, and then $gf : \text{dom } f \rightarrow \text{cod } g$*

satisfying

- f. $f1_A = f$ and $1_B f = f \ \forall f : A \rightarrow B$*
- g. $h(fg) = (hg)f$ whenever gf and hg are defined*

Definition (Functor). *Let \mathcal{C} and \mathcal{D} be categories. A **functor** $\mathcal{C} \rightarrow \mathcal{D}$ consists of*

- a. a mapping $A \rightarrow FA$ from $\text{ob } \mathcal{C}$ to $\text{ob } \mathcal{D}$*
- b. a mapping $f \rightarrow Ff$ from $\text{mor } \mathcal{C}$ to $\text{mor } \mathcal{D}$*

satisfying $\text{dom } Ff = F\text{dom } f$, $\text{cod } Ff = F\text{cod } f$ for all f , $F(1_A) = 1_{FA}$ for all A , and $F(gf) = (Fg)(Ff)$ whenever gf is defined.

Definition. By a **contravariant functor** $\mathcal{C} \rightarrow \mathcal{D}$ we mean a functor $\mathcal{C} \rightarrow \mathcal{D}^{op}$ (or equivalently $\mathcal{C}^{op} \rightarrow \mathcal{D}$). A functor $\mathcal{C} \rightarrow \mathcal{D}$ is sometimes said to be **covariant**.

Definition (Natural transformation). Let \mathcal{C} and \mathcal{D} be two categories and $F, G : \mathcal{C} \rightarrow \mathcal{D}$ two functors. A **natural transformation** $\alpha : F \rightarrow G$ assigns to each $A \in \text{ob } \mathcal{C}$ a morphism $\alpha_A : FA \rightarrow GA$ in \mathcal{D} , such that

$$\begin{array}{ccc} FA & \xrightarrow{Ff} & FB \\ \downarrow \alpha_A & & \downarrow \alpha_B \\ GA & \xrightarrow{Gf} & GB \end{array}$$

commutes.

We can compose natural transformations: given $\alpha : F \rightarrow G$ and $\beta : G \rightarrow H$, the mapping $A \mapsto \beta_A \alpha_A$ is the A -component of a natural transformation $\beta\alpha : F \rightarrow H$.

Definition. Given categories \mathcal{C}, \mathcal{D} , we write $[\mathcal{C}, \mathcal{D}]$ for the category of all functors $\mathcal{C} \rightarrow \mathcal{D}$ and natural transformations between them.

Lemma 1. Given $F, G : \mathcal{C} \rightarrow \mathcal{D}$ and $\alpha : F \rightarrow G$, α is an isomorphism in $[\mathcal{C}, \mathcal{D}] \iff$ each α_A is an isomorphism in \mathcal{D} .

Definition (Faithful and full). Let $F : \mathcal{C} \rightarrow \mathcal{D}$ be a functor.

- a. We say that F is **faithful** if, given $f, g \in \text{mor } \mathcal{C}$, the equations $\text{dom } f = \text{dom } g$, $\text{cod } f = \text{cod } g$ and $Ff = Fg$ imply $f = g$.
- b. F is **full** if, given any $g : FA \rightarrow FB$ in \mathcal{D} , there exists $f : A \rightarrow B$ in \mathcal{C} with $Ff = g$.
- c. We say a subcategory \mathcal{C}' of \mathcal{C} is **full** if the inclusion $\mathcal{C}' \hookrightarrow \mathcal{C}$ is a full functor.

For example, **Gp** is a full subcategory of the category **Mon** of monoids, but **Mon** is a non-full subcategory of the category **Sgp** of semigroups.

Definition (Equivalence of categories). Let \mathcal{C} and \mathcal{D} be categories. An **equivalence** between \mathcal{C} and \mathcal{D} is a pair of functors $F : \mathcal{C} \rightarrow \mathcal{D}$, $G : \mathcal{D} \rightarrow \mathcal{C}$ together with natural isomorphisms $\alpha : 1_{\mathcal{C}} \rightarrow GF$, $\beta : FG \rightarrow 1_{\mathcal{D}}$. We write $\mathcal{C} \simeq \mathcal{D}$ to mean that \mathcal{C} and \mathcal{D} are equivalent.

We say a property P of categories is **categorical** if whenever \mathcal{C} has P and $\mathcal{C} \simeq \mathcal{D}$ then \mathcal{D} has P .

For example, being a groupoid is a categorical property, but being a group is not.

Definition (Slice category). *Given an object B of a category \mathcal{C} , define the **slice category** \mathcal{C}/B to have morphisms $A \xrightarrow{f} B$ as objects, and morphisms $(A \xrightarrow{f} B) \rightarrow (A' \xrightarrow{f'} B)$ are morphisms $h : A \rightarrow A'$ making*

$$\begin{array}{ccc} A & \xrightarrow{h} & A' \\ & \searrow f & \swarrow f' \\ & B & \end{array}$$

commute.

Lemma 2. *Let $F : \mathcal{C} \rightarrow \mathcal{D}$ be a functor. Then F is part of an equivalence $\mathcal{C} \simeq \mathcal{D} \iff F$ is full, faithful and **essentially surjective**, i.e. for every $B \in \text{ob } \mathcal{D}$, there exists $A \in \text{ob } \mathcal{C}$ s.t. $FA \cong B$.*

Definition. a. A **skeleton** of a category \mathcal{C} is a full subcategory \mathcal{C}' containing exactly one object from each isomorphism class of objects of \mathcal{C} .

b. We say \mathcal{C} is **skeletal** if it's a skeleton of itself. Equivalently, any isomorphism f in \mathcal{C} satisfies $\text{dom } f = \text{cod } f$.

For example, \mathbf{Mat}_K is skeletal. The full subcategory of standard vector spaces K^n is a skeleton of $\mathbf{fd Mod}_K$.

Remark. *The following statements are each equivalent to the Axiom of Choice:*

1. Every small category has a skeleton
2. Any small category is equivalent to each of its skeletons
3. Any two skeletons of a given small category are isomorphic

Definition. Let $f : A \rightarrow B$ be a morphism in a category \mathcal{C} .

- a. f is a **monomorphism** if, given $g, h : D \rightrightarrows A$, the equation $fg = fh$ implies $g = h$. We write $A \rightarrowtail B$ if f is monic.
- b. Dually, f is an **epimorphism** if, given $k, l : B \rightrightarrows C$, $kf = lf$ implies $k = l$. We write $A \twoheadrightarrow B$ if f is epic.
- c. \mathcal{C} is a **balanced** category if every $f \in \text{mor } \mathcal{C}$ which is both monic and epic is an isomorphism.

2 The Yoneda Lemma

Definition. A category \mathcal{C} is *locally small* if, for any two objects A, B of \mathcal{C} , the morphism $A \rightarrow B$ are parametrised by a set $\mathcal{C}(A, B)$.

Given local smallness, $B \mapsto \mathcal{C}(A, B)$ becomes a functor $\mathcal{C}(A, \cdot) : \mathcal{C} \rightarrow \mathbf{Set}$: if $g : B \rightarrow B'$, the mapping $f \mapsto gf : \mathcal{C}(A, B) \rightarrow \mathcal{C}(A, B')$ is functorial since $h(gf) = (hg)f$ for any $h : B' \rightarrow B''$.

Similarly, $A \mapsto \mathcal{C}(A, B)$ becomes a functor $\mathcal{C}^{op} \rightarrow \mathbf{Set}$.