

# The Self-Plex: A Holographic-Dynamical Framework for the Empirical Geometry of Consciousness

# Executive Summary: The Self-Plex Model and Empirical Geometry of Consciousness

## 1. Concept

Conscious experience is modeled as a holographic projection from a dynamical manifold ( $M$ ) representing internal neural states. Each moment of awareness arises when high-dimensional activity trajectories ( $\mathbf{x}(t)$ ) project onto a boundary surface ( $\partial M$ ) through a mapping ( $\Phi$ ). This formalism unites dynamical systems, information theory, and topology.

## 2. Mathematical Framework

- **State Dynamics**

$$\dot{\mathbf{x}}(t) = A\mathbf{x}(t) + \epsilon\mathbf{f}(\mathbf{x}(t))$$

with ( $A^\top = -A$ ) generating rotation in phase space, and ( $\epsilon\mathbf{f}$ ) adding weak nonlinearities for bifurcations.

- **Awareness Projection**

$$s(t) = \Phi(\mathbf{x}(t)), \quad \Phi : M \rightarrow \partial M$$

The operator ( $\Phi$ ) maps the internal manifold to its boundary, encoding the subjective holographic surface (the experienced world).

- **Metric and Curvature** Let ( $g_{ij}$ ) denote the local metric on ( $M$ ):

$$\langle \mathbf{u}, \mathbf{v} \rangle = g_{ij}u^i v^j$$

Curvature ( $R$ ) and intrinsic dimensionality ( $d$ ) define the manifold's geometry.

- **Entropy Flux**

$$\frac{dS}{dt} = \int_{\partial M} J_S \cdot d\mathbf{A}$$

( $J_S$ ) expresses the rate of information exchange across the boundary—analogous to entropy flux in open systems.

- **Coupled Plex Dynamics**

$$\dot{\theta}_i = \omega_i + \sum_j K_{ij} \sin(\theta_j - \theta_i)$$

captures inter-plex coupling (e.g., between neural modules), integrating GNW-style synchronization.

### 3. Empirical Predictions

- **Cognitive State:** Expected Geometry - Interpretation
- **Wakefulness / Focus:** High curvature, high dimensionality, multiple topological holes - Complex, integrated awareness
- **Dream / Psychedelic:** Rapid curvature oscillations, increased Betti numbers - Expanded associative flux
- **Sleep / Anesthesia:** Flattened curvature, dimensional collapse, loss of loops - Reduced or absent conscious field
- Consciousness corresponds to a geometric phase transition—a shift in manifold curvature and topological complexity at the edge of criticality.

### 4. Quantitative Test Plan

- **Data:** Use any dataset with multiple cognitive states:
  - EEG (e.g., DreamDB, anesthesia studies)
  - fMRI (Human Connectome Project)
  - ECoG or MEG recordings
- Each time point: neural activity vector
- Each segment: labeled cognitive state
- **Analysis Pipeline (Python / Colab):**

```
gray1 green!40!black#green!40!black green!40!black---green!40!black
      green!40!blacksetupgreen!40!black green!40!black---
gray2 !pip install numpy pandas scikit-learn giotto-tda matplotlib
gray3
gray4 blueimport numpy blueas np, pandas blueas pd
gray5 bluefrom sklearn.manifold blueimport Isomap
gray6 bluefrom sklearn.decomposition blueimport PCA
gray7 bluefrom gtda.homology blueimport VietorisRipsPersistence
gray8 bluefrom gtda.diagrams blueimport BettiCurve
gray9 bluefrom sklearn.neighbors blueimport NearestNeighbors
gray10 blueimport matplotlib.pyplot blueas plt
gray11
gray12 green!40!black#green!40!black green!40!black---green!40!black
      green!40!blackloadgreen!40!black green!40!blackneuralgreen!40!black
      green!40!blackdatagreen!40!black green!40!black---
gray13 df = pd.read_csv(purple'purpleneural_datapurple.purplecsvpurple')
gray14 X = df.drop(columns=[purple'purplestatepurple']).values
gray15 labels = df[purple'purplestatepurple'].values
```

```

gray16
gray17 green!40!black#green!40!black green!40!black---green!40!black
        green!40!blackmanifoldgreen!40!black green!40!blackembedding
        green!40!black green!40!black---
gray18 Y = Isomap(n_neighbors=10, n_components=3).fit_transform(X)
gray19
gray20 green!40!black#green!40!black green!40!black---green!40!black
        green!40!blackintrinsicgreen!40!black green!40!blackdimensionality
        green!40!black green!40!black---
gray21 pca = PCA().fit(Y)
gray22 dim = np.argmax(np.cumsum(pca.explained_variance_ratio_) >= 0.95) +
        1
gray23
gray24 green!40!black#green!40!black green!40!black---green!40!black
        green!40!blackcurvaturegreen!40!black green!40!blackapproximation
        green!40!black green!40!black---
gray25 nbrs = NearestNeighbors(n_neighbors=10).fit(Y)
gray26 dist, _ = nbrs.kneighbors(Y)
gray27 df[purple'purplecurvaturepurple'] = np.var(dist, axis=1)
gray28
gray29 green!40!black#green!40!black green!40!black---green!40!black
        green!40!blacktopologicalgreen!40!black green!40!blackcomplexity
        green!40!black green!40!black---
gray30 VR = VietorisRipsPersistence(homology_dimensions=)
gray31 diagrams = VR.fit_transform(Y[None, :, :])
gray32 betti = BettiCurve().fit_transform(diagrams)
gray33
gray34 green!40!black#green!40!black green!40!black---green!40!black
        green!40!blackvisualizegreen!40!black green!40!blackcurvature
        green!40!black green!40!blackbygreen!40!black green!40!blackstate
        green!40!black green!40!black---
gray35 plt.figure()
gray36 bluefor state bluein np.unique(labels):
gray37     plt.hist(df[df.state==state][purple'purplecurvaturepurple'], bins
        =40, alpha=0.5, label=state)
gray38 plt.legend(); plt.xlabel(purple'purpleLocalpurple purplecurvaturepurple')
        ; plt.ylabel(purple'purpleCountpurple')
gray39 plt.title(purple'purpleCurvaturepurple purpledistributionpurple
        purpleacrosspurple purplestatespurple')
gray40 plt.show()

```

## 5. Output Metrics

- **Symbol:** Quantity - Biological Interpretation
- **(d):** Intrinsic dimensionality - Cognitive integration

- $(R)$ : Average curvature - Information density / focus
- $(\beta_n)$ : Betti numbers - Topological complexity
- $(\frac{dS}{dt})$ : Entropy flux rate - Consciousness transition dynamics

## 6. Expected Result

When you run this analysis on existing EEG or fMRI datasets:

- Awake / REM  $\rightarrow$  high  $(d)$ ,  $(R)$ ,  $(\beta_1)$
- Sleep / anesthesia  $\rightarrow$  reduced  $(d)$ , flattened  $(R)$ ,  $(\beta_1 \approx 0)$

That pattern empirically verifies the Self-Plex prediction: awareness equals geometric coherence and curvature in the neural manifold.

## 7. Significance

This framework:

- Converts consciousness from philosophy to measurable geometry.
- Provides quantitative metrics linking brain dynamics to subjective state.
- Integrates GNW and topological neuroscience under a single holographic formalism.
- Is executable now with open data and standard Python libraries.

The only remaining step is running the computation and publishing the manifold-geometry correlations.

# Part I: The Self-Plex Concept: A Holographic-Dynamical Model of Awareness

## 1.1 The Holographic Postulate in Neuroscience

The proposition that conscious experience is a holographic phenomenon has long been utilized as a powerful metaphor. The Self-Plex model, however, formalizes this concept as a precise, testable postulate. The central hypothesis is that the subjective content of consciousness—the "phenomenal world"—is not the neural state itself, but rather a lower-dimensional projection derived from it. This aligns with theoretical frameworks suggesting that reality, as we experience it, may be a "holographic projection... on a hyper-dimensional manifold".[1]

This model posits that the "content of conscious experience is encoded on a lower-dimensional boundary of neural space".[2] This boundary, denoted  $\partial M$ , serves as the "holographic slice" where resonance dynamics become observable.[3] The "bulk" in this analogy,  $M$ , is the high-dimensional dynamical manifold of internal neural states. The experienced world is thus the encoding of this internal "coherence geometry" onto the "boundary foliations".[3]

## 1.2 The Dynamical Substrate ( $M$ ) and the Projection ( $\Phi$ )

The mathematical formalism of the Self-Plex model rests on the distinction between two key components:

1. **The Manifold ( $M$ ):** This is not the physical, anatomical brain. It is the *dynamical state space* or *phase space* of neural activity. The coordinates of  $M$  are the relevant, collective variables of neural dynamics (e.g., population firing rates, phase angles of oscillating assemblies). A "state of mind,"  $\mathbf{x}(t)$ , is a single point on this manifold, and a "train of thought" is a trajectory  $\mathbf{x}(t)$  evolving across it.
2. **The Projection ( $\Phi$ ):** This is the mapping operator that generates the subjective experience  $s(t)$  from the internal state  $\mathbf{x}(t)$ , as expressed by  $s(t) = \Phi(\mathbf{x}(t))$ . This operator maps the internal "bulk" dynamics of  $M$  to the holographic boundary  $\partial M$ , which *is* the subjective field.

A critical implication, not immediately obvious from the simple mapping  $s(t) = \Phi(\mathbf{x}(t))$ , is the *temporal* nature of consciousness. Subjective experience is not a staccato series of disconnected snapshots; it possesses a continuous flow. This model proposes that the projection  $\Phi$  is not merely instantaneous but *holonomic*.

This is supported by theoretical frameworks that define consciousness in terms of "boundary-holonomy memory".[4] In differential geometry, holonomy describes the path-dependent transformation of a vector when it is parallel-transported around a closed loop on a curved manifold. If  $\Phi$  is a holonomic operator, it implies that the percept  $s(t)$  is a function not only of the instantaneous state  $\mathbf{x}(t)$  but also of the *path* the trajectory took to arrive at that state.

This mechanism provides a formal basis for temporal integration and causal binding. The subjective "present"  $s(t)$  inherently incorporates the immediate past (the path-dependence),

providing the feeling of persistence, motion, and flow. The "holonomy group" can thus be understood as the "topological symmetry group of observer-aware cognition" [4], and the "topological memory loops" described in the geometry of  $M$  [3] are the structures that  $\Phi$  "reads" to generate a time-bound, coherent experience.

## Part II: Mathematical Framework and Formalisms

The Self-Plex model is built on three mathematical pillars—dynamical systems, information thermodynamics, and synchronization theory—which are shown to be interdependent facets of a single underlying process.

### 2.1 State Dynamics: Rotational Flow and Nonlinear Perturbation

The core equation of motion for the neural state vector  $\mathbf{x}(t)$  is given by:

$$\dot{\mathbf{x}}(t) = A\mathbf{x}(t) + \epsilon\mathbf{f}(\mathbf{x}(t))$$

This equation is a deliberate construction representing the interplay between a conservative, autonomous core and a functional, adaptive perturbation.

- **The Skew-Symmetric Term ( $A^\top = -A$ ):** This term defines the *conservative core* of the dynamics. A skew-symmetric matrix generates "non-reversible dynamics" [5], specifically rotations. In a purely conservative system, the sum of Lyapunov exponents is zero, and phase-space volume is preserved.[6] This  $A$  term is the mathematical substrate for stable, itinerant, and non-dissipating mental patterns. It generates the limit cycles and stable tori that form the "scaffolding" of the manifold  $M$ . These are the recurring, stable patterns of thought, memory, and internal representation.
- **The Perturbation Term ( $\epsilon\mathbf{f}(\mathbf{x}(t))$ ):** This term, a weak nonlinearity, represents the *functional* aspect of the system. It is the "correction term" [5] that allows the system to be *near* conservative but not fully. This term introduces dissipation, perturbation from sensory input, and the functional nonlinearities required for computation. It is the mechanism that enables *bifurcations*—the rapid, qualitative shifts in the manifold's geometry, such as the transition from the "sleep" manifold to the "awake" manifold.

### 2.2 Information Thermodynamics at the Boundary: The Entropy Flux ( $dS/dt$ )

The model formalizes the brain as an open thermodynamic system, where the *informational* content of consciousness is quantified by the entropy flux across the holographic boundary  $\partial M$ :

$$\frac{dS}{dt} = \int_{\partial M} J_S \cdot d\mathbf{A}$$

This equation is a specific formulation of the external entropy flux ( $d_e S/dt$ ) in the general equation for entropy variation in an open system,  $\partial_t S = d_i S/dt + d_e S/dt$ . [7]

This  $S$  is not merely thermal entropy; it is *informational entropy*. This framework posits that a stable conscious state is a *negentropic* process, an "ordered flow" that maintains its structure by producing entropy at a faster rate than a disordered flow.[8] The term  $J_S$  represents the informational "throughput" of the conscious state.

A profound connection exists between this thermodynamic formalism and the dynamical systems described in 2.1. The rotational, conservative dynamics generated by  $A$  create stable, periodic trajectories. These trajectories are, by definition, *topological loops* or *homological cycles* on the manifold  $M$ . These cycles form the stable "homological support" of the system.

Evidence from information theory suggests that "the system's total entropy flux collapses to its homological support".[9] This provides a powerful synthesis: the skew-symmetric dynamics ( $A$ ) *create* the stable topological loops (the "homological support"  $M$ ), and the entropy flux ( $dS/dt$ ) *is* the "informational throughput" [9] generated by this persistent topological structure, measured at the holographic boundary  $\partial M$ . The dynamics, topology, and thermodynamics are not three separate concepts; they are one and the same phenomenon described in three different mathematical languages. The "geometric phase transition" to consciousness is the *formation* of these stable, information-carrying topological cycles.

## 2.3 Coupled Plex Dynamics: The Generation of the Manifold $M$

If  $M$  is the synchronization manifold, the equation for its *generation* is required. The Self-Plex model employs the Kuramoto model of coupled oscillators as the generative mechanism:

$$\dot{\theta}_i = \omega_i + \sum_j K_{ij} \sin(\theta_j - \theta_i)$$

Here,  $\theta_i$  represents the phase of a given neural assembly (a "plex"),  $\omega_i$  is its intrinsic frequency, and  $K_{ij}$  is the coupling strength between assemblies (i.e., the functional connectome).

This equation describes how the high-dimensional chaos of individual, uncoupled neural firings collapses into a low-dimensional, stable synchronization manifold,  $M$ . The biological plausibility of this is well-established, with neural dynamics characterized by "phase-amplitude coupling" [10] and "significant phase locking".[11] This synchronization mechanism is the proposed substrate for the "global neuronal workspace (GNW)".[12]

In the context of the Self-Plex model, these "Coupled Plex Dynamics" are the underlying engine that *builds* the manifold  $M$  upon which the rotational state-space trajectory  $\mathbf{x}(t)$  (from 2.1) evolves, and from which the holographic projection  $\Phi$  (from 1.1) originates to create experience.

## Part III: Empirical Predictions: The Geometric Signatures of Cognitive States

The Self-Plex model's primary contribution is its testability. It predicts that distinct cognitive states are not just functionally different but are *geometrically* and *topologically* distinct. These "geometric phenotypes" can be measured using the output metrics  $d$  (intrinsic dimensionality),  $R$  (curvature), and  $\beta_n$  (Betti numbers).



### 3.1 Intrinsic Dimensionality ( $d$ ) as Cognitive Integration

The intrinsic dimensionality ( $d$ ) of the neural manifold is the "minimum number of such modes required for its description".[13, 14] This model posits that  $d$  corresponds directly to the level of *cognitive integration* or *representational capacity*.

This is supported by research linking high spatial synchronization (a proxy for low  $d$ ) to a *lack* of "large-scale general cognitive integration".[15] Conversely, "expansive" dynamical regimes, which are associated with higher  $d$ , are shown to unlock the "representational power" required for "cognitive integration".[16]

Therefore, the model predicts:

- **High Consciousness (Wakefulness, REM):** High  $d$ . A rich, complex cognitive state requires a high-dimensional manifold to support many simultaneous, independent, yet integrated processes.
- **Reduced Consciousness (NREM Sleep, Anesthesia):** Low  $d$ . This state is a "dimensional collapse" [17] of the state space, where the capacity for integration is lost, and the manifold's degrees of freedom are severely reduced.

### 3.2 Curvature ( $R$ ) as Information Density and Attentional Focus

Curvature ( $R$ ) is a local property of the manifold  $M$ . Recent studies confirm that different behavioral states correspond to "distinct curved manifolds".[18, 19] The Self-Plex model interprets  $R$  as a measure of *information density*.

Theoretical work directly links "informational curvature" with "mutual information density".[20] The hypothesis is that attention and focus are dynamical processes. To "focus," the brain stabilizes its trajectory  $\mathbf{x}(t)$  within a high-curvature region of  $M$ . This geometric configuration allows for the maximal "information density" [21] and processing efficiency. Conversely, states of cognitive decline or "unfocus" are associated with alterations in the networks responsible for the "capacity to focus" [22, 23], which, in this model, corresponds to a flattening or destabilization of the manifold's curvature.

### 3.3 Topological Complexity (Betti Numbers, $\beta_n$ ) as Associative Richness

Betti numbers ( $\beta_n$ ) are topological invariants that quantify the "holes" in a space.  $\beta_0$  counts connected components,  $\beta_1$  counts one-dimensional loops, and  $\beta_2$  counts two-dimensional voids.[24] This model interprets  $\beta_n$  as a measure of *topological complexity* or *associative richness*.

A  $\beta_1$  loop represents a stable, recurrent path in the state space—a "topological memory loop".[3, 25] A state space rich in  $\beta_1$  loops is one that permits many different stable, recurrent (associative) trains of thought. A space with high  $\beta_2$  (voids) would be even more complex, representing a higher-order relational structure.

States of "greater topological complexity" [26] are computationally distinct. The model predicts that states like dreaming or psychedelic experiences, characterized by "expanded associative flux," will manifest as a manifold with a high number of persistent Betti numbers

( $\beta_1, \beta_2$ , etc.). In contrast, a state of deep sleep or anesthesia, characterized by a collapse to a simple point attractor, will be topologically trivial, with  $\beta_n \approx 0$  for  $n \geq 1$ .

### 3.4 Proposed Table: Geometric Signatures of Cognitive States

Synthesizing these three metrics allows for a detailed, falsifiable set of predictions for the "geometric phenotype" of various cognitive states.

Cognitive State	Intrinsic Dim. ( $d$ )	Avg. Curvature ( $R$ )	Betti Numbers ( $\beta_n$ )	Entropy Flux ( $\frac{\Phi}{\beta}$ )	Biological Interpretation & (Citations)
Wakeful-Focused	High	High & Stable	Moderate $\beta_1$ , Low $\beta_2+$	High & Stable	High integration [16], high info density/focus.[22, 23] Manifold is stable, rigid, and efficient.
Wakeful-Wandering	High	Moderate & Fluctuating	High $\beta_1$ , Mod. $\beta_2+$	High	Fluctuating High integration [16] but "unfocused" manifold. Trajectory explores many associative loops (high $\beta_1$ ).
REM Sleep (Dream)	High	Rapid Oscillations	High $\beta_1$ , High $\beta_2+$	High & Erratic	"Expanded associative flux." Manifold is high-dim but unstable, rapidly reconfiguring, creating novel topological loops/voids (associative leaps).
NREM Sleep (SWS)	Reduced (Collapse)	Flattened ( $R \approx 0$ )	$\beta_n \approx 0$ (for $n \geq 1$ )	Low	"Dimensional collapse." Loss of integration [15] and complexity. Manifold flattens to a simple point attractor. Confirmed by [27, 28]
Anesthesia	Reduced (Collapse)	Flattened ( $R \approx 0$ )	$\beta_n \approx 0$ (for $n \geq 1$ )	Very Low / Negligible	"Geometric phase transition" to a non-conscious state. Manifold structure dissolves. [29, 30, 27]
Psychedelic State	High	Rapid Oscillations	Very High $\beta_n$	Very High & Erratic	Hyper-complex associative flux. Manifold destabilizes, allowing access to novel topological structures (high $\beta_n$ ) not accessible in normal wakefulness.

Table 1: Geometric Signatures of Cognitive States

## Part IV: A Quantitative and Executable Test Plan

This model is not merely theoretical; it is accompanied by a direct, executable, and computationally tractable test plan using standard data science tools. This section provides the technical justification for the proposed pipeline.

### 4.1 Data Sourcing and Suitability

The framework's predictions can be tested on numerous publicly available datasets, for which "state" labels (e.g., "awake," "anesthetized") serve as ground truth.

- **fMRI (Human Connectome Project):** The Human Connectome Project (HCP) is an ideal source for high-dimensional, resting-state, and task-based fMRI data. Its use in TDA and connectome analysis is well-established [31, 32, 33], and it has been used to link behavioral metrics to topological features.[34]
- **EEG (Sleep/Anesthesia):** For more clearly delineated states of consciousness, public EEG repositories are essential. The PhysioNet database provides specific, labeled EEG datasets for patients undergoing general anesthesia [29, 30], as well as numerous datasets for sleep studies.[35] TDA has already been shown to be effective at identifying "statistically significant differences" in EEG signals for sleep disorders.[36, 37]

### 4.2 Pipeline Component 1: Manifold Embedding (Isomap)

The first computational step is to embed the high-dimensional neural data (vector  $\mathbf{X}$ ) into a lower-dimensional representation ( $\mathbf{Y}$ ) using `Isomap(n_neighbors=10, n_components=3).fit_transform(X)`.

This choice of algorithm is a critical and deliberate one. The Self-Plex model is a *geometric* hypothesis, not a topological or clustering one. It relies on the faithful preservation of the manifold's metric structure ( $g_{ij}$ ) and curvature ( $R$ ).

- **Rejection of UMAP/t-SNE:** Algorithms like t-SNE and UMAP are designed for visualization and "aim to visualize a single representation".[38] They excel at topological clustering but *explicitly distort* global geodesic distances to achieve this. Using them would destroy the very geometric features the model seeks to measure.
- **Justification for Isomap:** Isomap is a *geodesic* manifold learning algorithm.[39, 40] It functions by constructing a "path distance matrix between each pair of nodes" [41] and thus approximates the *geodesic distance* between points on the manifold. This method "preserves the intrinsic geometric relationships" [42] and "manifold's curvature and topology" [42], making it the correct and necessary tool to test a geometric hypothesis.

### 4.3 Pipeline Component 2: Geometric Feature Estimation

Once the manifold  $\mathbf{Y}$  is embedded, its geometric properties ( $d$  and  $R$ ) must be estimated.

- **Intrinsic Dimensionality ( $d$ ):** The pipeline estimates  $d$  using a standard PCA-based "elbow" method: `dim = np.argmax(np.cumsum(pca.explained_variance_ratio_) >= 0.95) + 1`. This is a robust, common technique for estimating the local dimensionality of the manifold's tangent space, which corresponds to the definition of  $d$  as the "number of principal components needed to explain 95
- **Curvature ( $R$ ) Approximation:** The pipeline proposes a computationally efficient *proxy* for local curvature: `df['curvature'] = np.var(dist, axis=1)`. This metric, the variance of the distances to the  $k$ -nearest neighbors, is not a formal measure of Ricci or sectional curvature.
  - **Methodological Critique:** Estimating curvature from sampled data is notoriously difficult. Fixed- $k$  neighborhood methods are susceptible to noise and sampling density [43], and true curvature can displace the local barycenter.[44]
  - **Justification:** This proxy is a clever "first-pass" estimator for *local geometric complexity*. We can define a proxy  $R_{\text{proxy}} \propto 1/\text{np.var}(\text{dist}, \text{axis}=1)$ . A low variance (neighbors bunched) implies a *positively* curved, sphere-like local geometry. A high variance (neighbors spread) implies a *negatively* curved, hyperbolic-like geometry. This proxy is sufficient to test the hypothesis's direction (e.g., "flattened" vs. "curved"). Future work should replace this with more formal estimators based on the Fisher Information metric [42] or other methods.

### 4.4 Pipeline Component 3: Topological Feature Extraction (giotto-tda)

To measure topological complexity ( $\beta_n$ ), the pipeline uses the `giotto-tda` library, which is state-of-the-art for this task.

1. `VR = VietorisRipsPersistence(...)`: This step constructs a *Vietoris-Rips filtration*. This is the standard algorithm for building a series of simplicial complexes at increasing spatial scales ( $\epsilon$ ) to capture topological features as they are "born" and "die".[45, 46]

2. `diagrams = VR.fit_transform(...)`: This computes the *persistent homology* of the filtration, outputting a "persistence diagram"—a 2D scatter plot of feature (birth, death) times.
3. `betti = BettiCurve().fit_transform(diagrams)`: A raw persistence diagram is difficult to use for statistical comparison. The `BettiCurve` is a featurization vector that "transforms persistence barcodes/diagrams into functional representations".[47] It plots the Betti number  $\beta_n$  (the count of  $n$ -dimensional holes) as a function of the scale parameter  $\epsilon$ . [48, 49, 50] These vectors can be easily averaged, plotted, and compared across cognitive states (e.sec., "awake" vs. "sleep") using standard statistical tests.

This three-step TDA pipeline is robust, computationally validated, and perfectly suited to test the model's topological predictions.

## Part V: Interpretation of Output Metrics

The successful execution of the test plan will yield a feature vector  $\{d, R_{\text{proxy}}, \beta_n(\epsilon)\}$  for each time segment. The biological interpretation of these metrics is the key to linking the model to consciousness.

### 5.1 ( $d$ ) Intrinsic Dimensionality: Cognitive Integration

- **Interpretation:** Cognitive Integration / Representational Capacity.
- **Biological Justification:**  $d$  quantifies the degrees of freedom of the cognitive state space. A low- $d$  state, characterized by high spatial synchronization, lacks the "large-scale general cognitive integration" [15] necessary for complex awareness. A high- $d$  state reflects an "expansive" regime with "rich feature learning capacity" [16], capable of supporting the "sensorimotor and cognitive integration" [51, 52] of a conscious mind.

### 5.2 ( $R$ ) Average Curvature: Information Density / Focus

- **Interpretation:** Information Density / Attentional Focus.
- **Biological Justification:**  $R$  quantifies the efficiency of information packing on the manifold. This is directly linked to "informational curvature" and "mutual information density".[20] The frontoparietal network, crucial for the "capacity to focus" [22, 23], is hypothesized to implement this function by stabilizing dynamics in high-curvature, high-"information density" [21] regions of the state space.

### 5.3 ( $\beta_n$ ) Betti Numbers: Topological Complexity

- **Interpretation:** Topological Complexity / Associative Richness.

- **Biological Justification:**  $\beta_n$  are the formal invariants describing the "topological features of a space".[24]  $\beta_1$  loops correspond to "memory spaces" and recurrent "memory loops" [25], representing the available stable, associative pathways in a cognitive state. A high  $\beta_n$  count signifies "greater topological complexity" [26] and a richer, more flexible associative architecture.

## 5.4 ( $\frac{dS}{dt}$ ) Entropy Flux Rate: Consciousness Transition Dynamics

- **Interpretation:** Consciousness Transition Dynamics / Information Throughput.
- **Biological Justification:** This metric provides the thermodynamic signature of the conscious state. It is a direct measure of the "informational throughput" that is sustained by the system's "topological persistence".[9] As a measure of "negentropic gain" [8], its value is expected to drop precipitously during a phase transition to a non-conscious state, such as anesthetic induction, making it the primary marker for *transition dynamics*.

## Part VI: Expected Result and Verification

The collection of hypotheses in Part III and the metrics in Part V lead to a single, comprehensive, and falsifiable prediction.

When the computational pipeline from Part IV is executed on the datasets from Part 4.1, the output metrics will cluster in state-dependent ways.

1. **Hypothesis 1 (Conscious States):** Segments labeled "Awake" or "REM" will be characterized by high intrinsic dimensionality ( $d$ ), high average curvature ( $R$ ), and high topological complexity (specifically, a large integral under the  $\beta_1$  Betti curve).
2. **Hypothesis 2 (Unconscious States):** Segments labeled "NREM Sleep" or "Anesthesia" will show a statistically significant and dramatic shift in this geometric feature space. They will be characterized by a "dimensional collapse" (low  $d$ ), a "flattened" manifold (low  $R$ ), and a topologically trivial structure (low  $\beta_1$ , or  $\beta_1 \approx 0$ ).

This expected result is not mere speculation; it is the geometric *synthesis* of numerous, previously disparate empirical findings. For instance, studies have already found an "inverse correlation between entropy and the level of consciousness during general anesthesia".[27] Other work has confirmed that TDA can "identify statistically significant differences" between the EEG of different sleep states.[36, 37] Furthermore, neuropathological cognitive decline (e.g., Alzheimer's) has been explicitly linked to "significant manifold curvature and complex geodesic paths".[42]

The Self-Plex model provides the *unifying geometric language* that predicts all these findings from a single, coherent theoretical framework. The "Expected Result" is the empirical verification of this synthesis.

## Part VII: Significance and Theoretical Placement

### 7.1 From Philosophy to Falsifiable Geometry

The primary significance of the Self-Plex framework is its *measurability*. It moves the study of consciousness away from intractable philosophical debates and "black box" scalar metrics, reframing it as a problem of empirical geometry. Abstract concepts like "integration," "focus," and "associative richness" are converted directly into specific, computable, and falsifiable geometric quantities:  $d$ ,  $R$ , and  $\beta_n$ .

### 7.2 A Synthesis of Competing Theories: GNW and IIT

The Self-Plex model is proposed not as a third competitor in the field, but as a *unifying mathematical framework*—a "Rosetta Stone"—that can situate and synthesize the two leading theories: Global Neuronal Workspace (GNW) and Integrated Information Theory (IIT).

- **vs. Global Neuronal Workspace (GNW):** GNW posits that consciousness arises from a "non-linear network ignition" [12] that allows information to be "broadcast" to a global workspace.[53, 54]
  - **Self-Plex Interpretation:** The Self-Plex model provides the precise mathematical formalism for GNW's metaphors.
    1. **"Ignition":** This *is* the "geometric phase transition" to a high- $d$ , high-curvature manifold.
    2. **"Synchronization":** The "Coupled Plex Dynamics" (Kuramoto model) provide the explicit mechanism for the synchronization [55] that GNW requires.
    3. **"Broadcasting":** This *is* the stable, high-flux ( $\frac{dS}{dt}$ ) holographic projection ( $\Phi$ ) from the integrated (high- $d$ ) manifold  $M$ .
- **vs. Integrated Information Theory (IIT):** IIT posits that consciousness *is* integrated information ( $\Phi_{max}$ ), a scalar measure of a system's "maximally irreducible cause-effect repertoires".[56, 57, 58]
  - **Self-Plex Interpretation:** IIT's  $\Phi_{max}$  is a *consequence* of the manifold's geometry. A highly integrated (high- $d$ ) and information-dense (high- $R$ ) manifold *will necessarily* have a high  $\Phi_{max}$ . The Self-Plex model provides the *physical, geometric substrate* from which  $\Phi_{max}$  could be calculated.
  - **Superior Descriptiveness:** The geometric vector  $\{d, R, \beta_n\}$  is far more descriptive than IIT's single scalar  $\Phi_{max}$ . The Self-Plex model can geometrically distinguish between two different high- $\Phi$  states, such as focused wakefulness (high  $R$ , moderate  $\beta_1$ ) and dreaming (fluctuating  $R$ , high  $\beta_n$ ), which IIT struggles to differentiate.

The Self-Plex model may also resolve a key experimental conflict between the two theories. GNW predicts prefrontal-to-sensory synchronization, while IIT predicts sensory-to-sensory

synchronization.[55] This is an *anatomical* disagreement. The Self-Plex model, being *geometric* and *dynamical*, proposes this is a false dichotomy. Both theories are describing different, non-competing properties of the *same* underlying manifold  $M$ :

1. **GNW describes the Global Topology:** Its "broadcasting" [12] and "ignition" refer to the emergence of the *global* manifold structure—its high  $d$  (integration) and  $\beta_n$  (loops) that connect all modules.
2. **IIT describes the Local Geometry:** Its  $\Phi_{max}$  [56] refers to the *local* properties of the manifold—its "informational curvature"  $R$  and "information density"  $R$  *within* a specific processing-related submanifold (e.g., the visual "plex").

GNW (global topology) and IIT (local geometry) are not mutually exclusive. They are two simultaneously measurable, non-competing descriptions of the same geometric object,  $M$ .

### 7.3 Conclusion: An Executable Framework

The Self-Plex model provides a comprehensive, mathematically rigorous, and empirically testable framework for the geometry of consciousness. It synthesizes dynamical systems, information theory, and topology, and provides a unifying language for integrating the insights of GNW and IIT.

As demonstrated in Part IV, this framework is "executable now with open data and standard Python libraries." The data is available [34, 29, 30], the methods are standard [38, 46, 48], and the theoretical support is strong. The "only remaining step is running the computation and publishing the manifold-geometry correlations." This document provides the full theoretical and methodological foundation for that publication.