## CSC 249/449 Machine Vision: Homework 4

Term: Spring 2020

Instructor: Prof. Chenliang Xu

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**Constraints:** This assignment is to be carried out independently.

**Problem 1 (100 pts):** Complete an image captioning network Implement the forward pass of a decoder network for image captioning and a one-layer LSTM unit in *network.py* (https://github.com/htzheng/csc249\_hw4). Complete the code marked by **TODO**. You can refer to this pytorch document (https://pytorch.org/docs/stable/nn.html/) to know more details about convolutional layer, fully-connected layer, RNN layer, activation functions, and loss functions.

Do **NOT** modify function interfaces. If you want to add parameters to a function, please provide default values so that the original behavior of the function is unchanged.

Before running the code please first follow *ReadME.md* to install the dependencies.

### 1. (40 pts) LSTM-based Language Decoder

In this assignment, you will build a LSTM-based language decoder network based on the defined layers in the *network.py*. The decoder mainly consists of three layers: a word embedding layer, a one-layer LSTM, and a linear (fully-connected) layer. We have already defined them in the *init* function of the Decoder class. You will implement the *forward* function using the defined layers. The network structure can be found in *image captioning tutorial* given in the class and the computation steps are also described in the comments of the code.

- Implement the *forward* function of the Decoder class.
- Run python train.py to train an image captioning model based on the implemented Decoder.
- Run *python predict.py* to produce a caption for a bird image and test other images by running *python predict.py* —*image image\_path*.
- Compute BLEU, METEOR, ROUGE L, and CIDEr scores for 100 testing images by running *python eval.py*. After one epoch of training, the model should be able to achieve around 0.65 on CIDEr.
- Record the experiments in your report.

## 2. (40 pts) Implement Your LSTM

In this assignment, you will implement your LSTM unit based on the following formulations:

$$f_t = \sigma(W_f \cdot [h_{t-1}, x_t] + b_f), \tag{1}$$

$$i_t = \sigma(W_i \cdot [h_{t-1}, x_t] + b_i), \tag{2}$$

$$\widetilde{C_t} = tanh(W_C \cdot [h_{t-1}, x_t] + b_C), \tag{3}$$

$$C_t = f_t * C_{t-1} + i_t * \widetilde{C}_t, \tag{4}$$

$$o_t = \sigma(W_o \cdot [h_{t-1}, x_t] + b_o), \tag{5}$$

$$h_t = o_t * tanh(C_t). (6)$$

• Implement the LSTMCell class in the network.py with the above equations. Given inputs:  $x_t$ ,  $(h_{t-1}, C_{t-1})$ , the LSTMCell will return  $(h_t, C_t)$ .

- Test your implementation by replacing the *nn.LSTM* from Pytorch with the *LSTM*, which is based on your implemented *LSTMCell*, and then re-train your image captioning model. You can compare the CIDEr score of the model with your previous one trained with *nn.LSTM* by running *python eval.py*.
- Record the experiments in your report.
- 3. (10 pts) Design a new application that uses RNN.

Besides image captioning, video recognition/classification and machine translation, elaborate another AI application that can be potentially implemented with RNN. You are required to answer:

- Why RNN is suitable for the new application?
- How the RNN model would work, and how would you prepare data for training the model.
- 4. (10 pts) Paper Reading.

Read paper Show, Attend and Tell: Neural Image Caption Generation with Visual Attention, ICML, 2015 and visit the project website, then answer the following questions.

- What does the "attention" do? Why the authors argues that attention-enhanced RNN is better?
- Come up with new ideas on how to improve the image captioning model.

# Problem 2: Graduate ONLY (20 pts) and extra points for Undergraduates: Evaluation Metrics for Image Captioning

There are four commonly used evaluation metrics: BLEU, METEOR, ROUGE\_L, and CIDEr. In this assignment, you will select two metrics to write their definitions and discuss the limitations.

- Papineni et al. BLEU: a Method for Automatic Evaluation of Machine Translation, ACL, 2002.
- Banerjee *et al.* METEOR: An Automatic Metric for MT Evaluation with Improved Correlation with Human Judgments , ACL workshops, 2005.
- Lin et al. ROUGE: A Package for Automatic Evaluation of Summaries, WAS, 2004.
- Vedantam et al. CIDEr: Consensus-based Image Description Evaluation, CVPR, 2015.

#### **Submission Process:** Please follow the submission instruction.

The submitted .zip file should be named with your netID: your\_netID.zip. It should only contain the following files:

- network.py
- *captions\_res.json* generated by running *python eval.py* with your implemented LSTM-based image captioning model
- Your report named with your netID: your\_netID.pdf.