#### Question 1

$$f(s) = 5 * \left| \frac{\sum_{i=1}^{n} w(s_i) y_i}{\sum_{i=1}^{n} w_i} \right| + \left| \frac{\sum_{i=1}^{n} w(s_i) x_i}{\sum_{i=1}^{n} w_i} \right|$$

# Question 2

$$t(s,a,b) = (s_1,s_2,\ldots,s_{a-1},s_b,s_{a+1},\ldots,s_{b-1},s_a,s_{b+1},\ldots,s_{120}) \qquad \text{if } b>a$$

$$= (s_1,s_2,\ldots,s_{b-1},s_a,s_{b+1},\ldots,s_{a-1},s_b,s_{a+1},\ldots,s_{120}) \qquad \text{if } a>b$$

$$= s \qquad \text{if } a=b$$

#### Question 3

We move the container in position a to the empty location b, leaving position a empty.

## Question 4

$b = a + 60 \longrightarrow t(s, a, a + 60)$	Swapping containers vertically does not change anything
$a = b + 60 \longrightarrow t(s, b + 60, b)$	Swapping containers vertically does not change anything
$w(s_a) = w(s_b)$	Swapping a container with an identical container does nothing

Note that as we assume uniquely weighted containers, the third scenario reduces down to  $s_a = s_b$  i.e. swapping a container with itself, or swapping empty containers.

# Question 5

$$N(s) = \left\{ t(s, a, b) \text{ for } a = 1, 2, \dots, 119; b = a + 1, a + 2, \dots, 120; b \neq a + 60; w(s_a) \neq w(s_b) \right\}$$

### Question 6

We store extra intermediate variables which correspond to the centre of masses:

$$zy(s) = \sum_{i=1}^{n} w(s_i)y_i$$
$$zx(s) = \sum_{i=1}^{n} w(s_i)x_i$$

To update these on each evaluation:

$$zy(s_{\text{new}}) = zy(s_{\text{old}}) - w(s_{\text{old},a})y_a + w(s_{\text{old},b})y_a - w(s_{\text{old},b})y_b + w(s_{\text{old},a})y_b$$
$$zx(s_{\text{new}}) = zx(s_{\text{old}}) - w(s_{\text{old},a})x_a + w(s_{\text{old},b})x_a - w(s_{\text{old},b})x_b + w(s_{\text{old},a})x_b$$

where a, b are equal to the position index swapped for this evaluation. The new objective function is then given by:

$$f(s) = 5 * \left| \frac{\text{zy}(s)}{w_T} \right| + \left| \frac{\text{zx}(s)}{w_T} \right|$$

where  $w_T$  is the sum of the weights of all containers. Note that in implementation, division by  $w_T$  can be left until the end as it is constant and positive.

### Question 7

Create an array of size n, one element for each container. Initialise the array with some arbitrary value < -h. Whenever a container is swapped, update the corresponding array element to be equal to the iteration count. A container would then be considered banned if (current iteration count - array element value) < h. This requires an array element lookup per container considered each iteration, up to a maximum of 119 \* 120 lookups each iteration.

### Question 8

Instead of recording movement of containers, we could record container positions swapped. This would mean recording a, b instead of s[a], s[b].

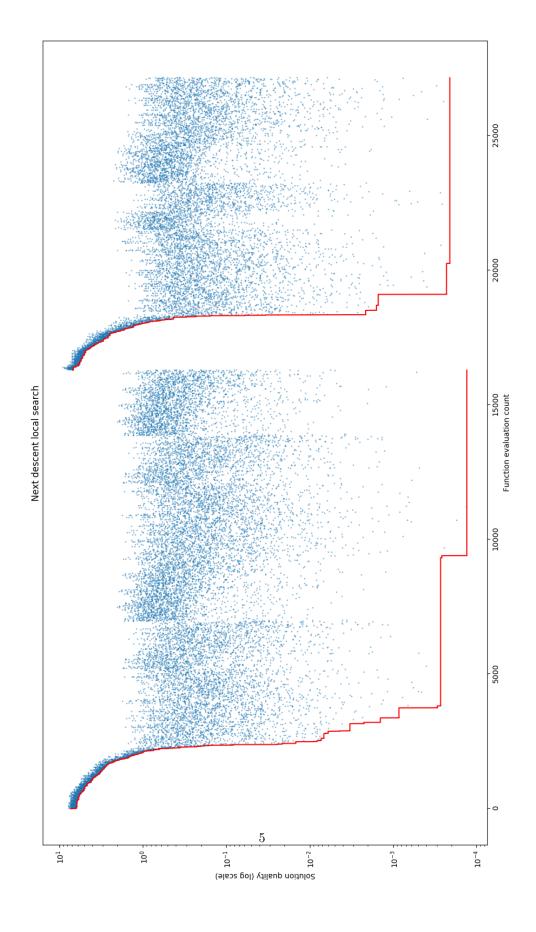
#### Two next-descents code

```
# Benjamin Yi
# byi649
# 925302651
import random
import matplotlib.pyplot as plt
import math
import numpy as np
# Input data
with open("ProbA.txt", 'r') as f:
    w = [line.strip() for line in f.readlines()]
n = int(w[0])
w = [0] + [float(x) for x in w[1:]]
with open("Positions.txt", 'r') as f:
    pos = [line.strip() for line in f.readlines()[1:]]
x = [float(tup.split()[1]) for tup in pos]
y = [float(tup.split()[2]) for tup in pos]
# Do two next-descent on problem A
zArray = []
bestzArray = []
for k in range(2):
    # Generate random starting solutions
    s = list(range(1, n+1)) + [0]*(120-n)
    for i in range(119):
        j = random.randint(i+1, 119)
        s[i], s[j] = s[j], s[i]
    # Iterate next-descent
    zx = sum([w[s[i]]*x[i] for i in range(120)])
    zy = sum([w[s[i]]*y[i] for i in range(120)])
    z = 5 * abs(zy) + abs(zx)
    zArray.append(z)
    bestzArray.append(z)
    converged = False
    last_swap = (0, 0)
    while(not converged):
        for a in range(119):
            for b in range(a + 1, 120):
                # Stop if the neighbourhood surrounding the last swap

→ has been searched

                if (a, b) == last_swap:
                    converged = True
                    break
                else:
                    if b != a + 60 and w[s[a]] != w[s[b]]:
                         zy_new = zy - w[s[a]]*y[a] + w[s[b]]*y[a] - w[s[
                            \hookrightarrow b]]*y[b] + w[s[a]]*y[b]
                         zx_new = zx - w[s[a]]*x[a] + w[s[b]]*x[a] - w[s[
                            → b]]*x[b] + w[s[a]]*x[b]
                         z_new = 5 * abs(zy_new) + abs(zx_new)
                         zArray.append(z_new) # For plot
                         if z_new < z:
```

```
# Update and swap
z, zy, zx = z_new, zy_new, zx_new
s[a], s[b] = s[b], s[a]
                                          last_swap = (a, b)
                                    bestzArray.append(z)
                        # In the extremely rare case the shuffle gave us a local
                             \hookrightarrow minima
                        if last_swap == (0, 0) and (a, b) == (119, 120):
                              converged = True
                  if converged:
                        break
      bestzArray.append(np.nan) # To create a vertical break between
           → descents
      totalweight = sum(w)
print("dY =", zy/totalweight)
print("dX =", zx/totalweight)
print("z =", z/totalweight)
plt.scatter(x=range(len(zArray)), y=[x/totalweight for x in zArray],
     \hookrightarrow marker='x', alpha=0.5, s=1)
plt.plot([x/totalweight for x in bestzArray], 'r')
plt.xlabel("Function evaluation count")
plt.ylabel("Solution quality (log scale)")
plt.yscale('log')
plt.title("Next descent local search")
plt.show()
with open("Results.txt", 'w') as f:
      f.write(str(z/totalweight)+"\n")
      f.write("\n".join([str(x) for x in s]))
```



#### 200 iterations code

```
# Benjamin Yi
# byi649
# 925302651
import random
import matplotlib.pyplot as plt
import math
import numpy as np
# Input data
with open("ProbA.txt", 'r') as f:
    w = [line.strip() for line in f.readlines()]
n = int(w[0])
w = [0] + [float(x) for x in w[1:]]
with open("Positions.txt", 'r') as f:
    pos = [line.strip() for line in f.readlines()[1:]]
x = [float(tup.split()[1]) for tup in pos]
y = [float(tup.split()[2]) for tup in pos]
bestZ = float("inf")
for k in range(200):
    # Generate random starting solutions
    s = list(range(1, n+1)) + [0]*(120-n)
    for i in range (119):
         j = random.randint(i+1, 119)
        s[i], s[j] = s[j], s[i]
    # Iterate next-descent
    zx = sum([w[s[i]]*x[i] for i in range(120)])
    zy = sum([w[s[i]]*y[i] for i in range(120)])
    z = 5 * abs(zy) + abs(zx)
    converged = False
    last_swap = (0, 0)
    while(not converged):
         for a in range(119):
             for b in range(a + 1, 120):
                 # Stop if the neighbourhood surrounding the last swap
                      → has been searched
                 if (a, b) == last_swap:
                      converged = True
                      break
                 else:
                      if b != a + 60 and w[s[a]] != w[s[b]]:
                          zy_{new} = zy - w[s[a]]*y[a] + w[s[b]]*y[a] - w[s[
                              \hookrightarrow b]]*y[b] + w[s[a]]*y[b]
                          zx_new = zx - w[s[a]]*x[a] + w[s[b]]*x[a] - w[s[
                              \hookrightarrow b]]*x[b] + w[s[a]]*x[b]
                          z_{new} = 5 * abs(zy_{new}) + abs(zx_{new})
                          if z_new < z:
                              # Update and swap z, zy, zx = z_new, zy_new, zx_new
                              s[a], s[b] = s[b], s[a]
                               last_swap = (a, b)
                 # In the extremely rare case the shuffle gave us a local
                     \hookrightarrow minima
```

#### Tabu search code

```
# Benjamin Yi
# byi649
# 925302651
import matplotlib.pyplot as plt
import math
# Input data
with open("ProbA.txt", 'r') as f:
    w = [line.strip() for line in f.readlines()]
n = int(w[0])
w = [0] + [float(x) for x in w[1:]]
with open("Positions.txt", 'r') as f:
    pos = [line.strip() for line in f.readlines()[1:]]
x = [float(tup.split()[1]) for tup in pos]
y = [float(tup.split()[2]) for tup in pos]
# Do steepest-descent
zArray = []
bestzArray = []
h = min(20, int(float(n)/3.0))
history = [-float('inf')]*120 # Arbitrary value < -h</pre>
# Generate starting solution
s = list(range(1, n+1)) + [0]*(120-n)
# Iterate steepest-descent
zx = sum([w[s[i]]*x[i] for i in range(120)])
zy = sum([w[s[i]]*y[i] for i in range(120)])
z = 5 * abs(zy) + abs(zx)
zArray.append(z)
bestzArray.append(z)
converged = False
iter_count = 0
best_index = float("inf") # Arbitrary value > 0
worsen_count = 0
while(not converged):
    neighbourhood = []
    iter_count += 1
    for a in range(119):
        # Skip tabu swaps
        if (not (iter_count - history[s[a]] < h)):</pre>
            for b in range(a + 1, 120):
                # Skip tabu swaps
                if (not (iter_count - history[s[b]] < h)):</pre>
                     if b != a + 60 and w[s[a]] != w[s[b]]:
                         zy_new = zy - w[s[a]]*y[a] + w[s[b]]*y[a] - w[s[
                            → b]]*y[b] + w[s[a]]*y[b]
                         zx_new = zx - w[s[a]]*x[a] + w[s[b]]*x[a] - w[s[
                            \hookrightarrow b]]*x[b] + w[s[a]]*x[b]
                         z_{new} = 5 * abs(zy_{new}) + abs(zx_{new})
                         zArray.append(z_new) # For plot
                         neighbourhood.append((a, b, z_new, zy_new,

    zx_new))
                         bestzArray.append(z) # For plot
    # Terminate at max iterations or stuck in local minima
```

```
if iter_count > 1e5 or worsen_count > 2*best_index:
        converged = True
    # Best swap minimises z_new
    newSwap = min(neighbourhood, key=lambda t: t[2])
    # If our new solution is worse than the best we know
    if newSwap[2] > min(bestzArray):
        worsen_count += 1
    else:
        # We've found the best solution so far
        worsen_count = 0
        best_index = iter_count
    (a, b, z, zy, zx) = newSwap
    # Keep a record of recent swaps (not including empty containers)
    if s[a] != 0:
        history[s[a]] = iter_count
    if s[b] != 0:
        history[s[b]] = iter_count
    # Swap positions
    s[a], s[b] = s[b], s[a]
totalweight = sum(w)
z = min(bestzArray)/totalweight
print("z =", z)
plt.scatter(x=range(len(zArray)), y=[x/totalweight for x in zArray],
   \hookrightarrow alpha=0.5, s=0.2)
plt.plot([x/totalweight for x in bestzArray], 'r')
plt.xlabel("Function evaluation count")
plt.ylabel("Solution quality (log scale)")
plt.yscale('log')
plt.title("Tabu search")
plt.show()
```

