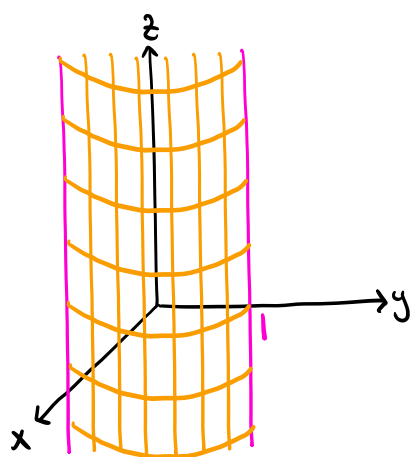


16.6. Parametric surfaces

Def (1) A parametric surface is an object parametrized by a vector function of two variables.

(2) A grid curve of a vector function $\vec{r}(u,v)$ is given by setting either u or v to be constant.

e.g. The cylinder $x^2 + y^2 = 1$ is parametrized by



$$\vec{r}(\theta, z) = (\cos \theta, \sin \theta, z)$$

$\left\{ \begin{array}{l} \theta \text{ constant} \Rightarrow \text{vertical lines} \\ z \text{ constant} \Rightarrow \text{circles} \end{array} \right.$

★ Note The graph $z = f(x,y)$ is parametrized by

$$\vec{r}(x,y) = (x, y, f(x,y)) \quad \text{"xy-parametrization"}$$

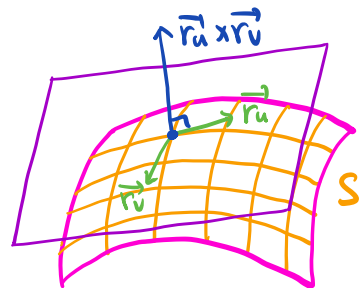
Prop Consider a vector function

$$\vec{r}(u,v) = (x(u,v), y(u,v), z(u,v)),$$

(1) The partial derivatives of $\vec{r}(u,v)$ are

$$\vec{r}_u = \left(\frac{\partial x}{\partial u}, \frac{\partial y}{\partial u}, \frac{\partial z}{\partial u} \right) \quad \text{and} \quad \vec{r}_v = \left(\frac{\partial x}{\partial v}, \frac{\partial y}{\partial v}, \frac{\partial z}{\partial v} \right).$$

★ (2) If a surface S is parametrized by $\vec{r}(u,v)$, then the tangent plane to S has a normal vector $\vec{r}_u \times \vec{r}_v$.



\vec{r}_u and \vec{r}_v are tangent vectors of the grid curves

Ex Sketch the surface parametrized by

$$\vec{r}(u, v) = (2u \cos v, 2u \sin v, v) \quad \text{with } 1 \leq u \leq 3, 0 \leq v \leq \pi.$$

Sol Idea: Sketch grid curves.

$$u=1 \Rightarrow \vec{r}(1, v) = (2 \cos v, 2 \sin v, v)$$

\leadsto a helix from $(-2, 0, \pi)$ to $(2, 0, 2\pi)$

$$u=2 \Rightarrow \vec{r}(2, v) = (4 \cos v, 4 \sin v, v)$$

\leadsto a helix from $(-4, 0, \pi)$ to $(4, 0, 2\pi)$

$$u=3 \Rightarrow \vec{r}(3, v) = (6 \cos v, 6 \sin v, v)$$

\leadsto a helix from $(-6, 0, \pi)$ to $(6, 0, 2\pi)$

$$v=0 \Rightarrow \vec{r}(u, 0) = (u, 0, 0)$$

\leadsto a line segment from $(1, 0, 0)$ to $(3, 0, 0)$

$$v = \frac{\pi}{3} \Rightarrow \vec{r}(u, \frac{\pi}{3}) = (\frac{u}{2}, \frac{\sqrt{3}}{2}u, \frac{\pi}{3})$$

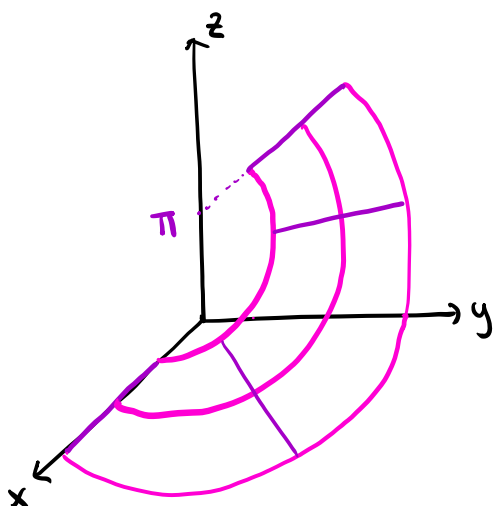
\leadsto a line segment from $(\frac{1}{2}, \frac{\sqrt{3}}{2}, \frac{\pi}{3})$ to $(\frac{3}{2}, \frac{3\sqrt{3}}{2}, \frac{\pi}{3})$

$$v = \frac{2\pi}{3} \Rightarrow \vec{r}(u, \frac{2\pi}{3}) = (-\frac{u}{2}, \frac{\sqrt{3}}{2}u, \frac{2\pi}{3})$$

\leadsto a line segment from $(-\frac{1}{2}, \frac{\sqrt{3}}{2}, \frac{2\pi}{3})$ to $(-\frac{3}{2}, \frac{3\sqrt{3}}{2}, \frac{2\pi}{3})$

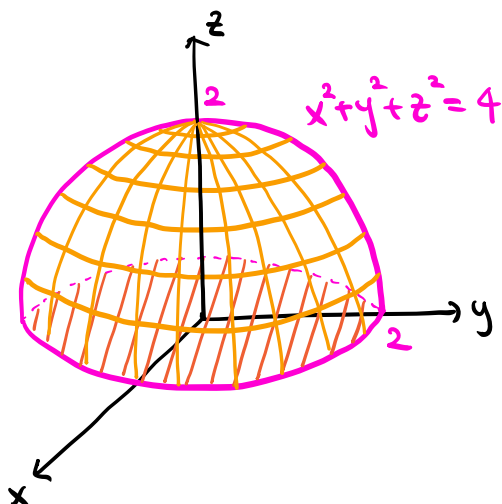
$$v = \pi \Rightarrow \vec{r}(u, \pi) = (-u, 0, \pi)$$

\leadsto a line segment from $(-1, 0, \pi)$ to $(-3, 0, \pi)$.



Ex Find a parametrization of the hemisphere $x^2 + y^2 + z^2 = 4$ with $z \geq 0$.

Sol 1



$$x^2 + y^2 + z^2 = 4 \leadsto z = \sqrt{4 - x^2 - y^2} \quad (z \geq 0)$$

The shadow on the xy -plane is given by $x^2 + y^2 \leq 4$.

$$\Rightarrow \vec{r}(x, y) = (x, y, \sqrt{4 - x^2 - y^2}) \quad \text{with } x^2 + y^2 \leq 4.$$

Sol 2 In cylindrical coordinates:

$$x^2 + y^2 + z^2 = 4 \leadsto r^2 + z^2 = 4 \leadsto z = \sqrt{4 - r^2} \quad (z \geq 0)$$

$$\Rightarrow x = r \cos \theta, \quad y = r \sin \theta, \quad z = \sqrt{4 - r^2}$$

The shadow on the xy -plane: $0 \leq \theta \leq 2\pi, \quad 0 \leq r \leq 2$

$$\Rightarrow \vec{S}(r, \theta) = (r \cos \theta, r \sin \theta, \sqrt{4 - r^2}) \quad \text{with } 0 \leq \theta \leq 2\pi, \quad 0 \leq r \leq 2$$

Sol 3 In spherical coordinates:

$$x^2 + y^2 + z^2 = 4 \leadsto \rho^2 = 4 \leadsto \rho = 2.$$

$$\Rightarrow x = 2 \sin \varphi \cos \theta, \quad y = 2 \sin \varphi \sin \theta, \quad z = 2 \cos \varphi.$$

$$z \geq 0 \Rightarrow 0 \leq \theta \leq 2\pi, \quad 0 \leq \varphi \leq \frac{\pi}{2}$$

$$\Rightarrow \vec{t}(\theta, \varphi) = (2 \sin \varphi \cos \theta, 2 \sin \varphi \sin \theta, 2 \cos \varphi) \\ \text{with } 0 \leq \theta \leq 2\pi, \quad 0 \leq \varphi \leq \frac{\pi}{2}$$

Ex Find an equation of the tangent plane to the paraboloid

$$z = x^2 + y^2 \text{ at } (1, 1, 2).$$

Sol 1 (Using the gradient)

$$z = x^2 + y^2 \Rightarrow x^2 + y^2 - z = 0$$

\leadsto a level surface of $f(x, y, z) = x^2 + y^2 - z$.

$$\nabla f = (f_x, f_y, f_z) = (2x, 2y, -1)$$

A normal vector is $\nabla f(1, 1, 2) = (2, 2, -1)$

The tangent plane at $(1, 1, 2)$ is given by

$$2(x-1) + 2(y-1) - (z-2) = 0$$

Sol 2 (Using a parametrization)

The paraboloid $z = x^2 + y^2$ is parametrized by

$$\vec{r}(x, y) = (x, y, x^2 + y^2)$$

$$\Rightarrow \vec{r}_x = (1, 0, 2x), \quad \vec{r}_y = (0, 1, 2y)$$

$$\Rightarrow \vec{r}_x \times \vec{r}_y = (-2x, -2y, 1)$$

$$\text{At } (1, 1, 2) : \vec{r}_x \times \vec{r}_y = (-2, -2, 1)$$

The tangent plane at $(1, 1, 2)$ is given by

$$-2(x-1) - 2(y-1) + (z-2) = 0$$

Note You can also use a cylindrical parametrization

$$\vec{S}(r, \theta) = (r \cos \theta, r \sin \theta, r^2) \text{ with } r = \sqrt{2}, \theta = \frac{\pi}{4} \text{ at } (1, 1, 2).$$

However, the computation is quite tedious.

Ex Let S be the surface parametrized by

$$\vec{r}(u, v) = (u^3 + 1, v^2 + 1, u + v).$$

(1) Find an equation of the tangent plane to S at $(2, 5, 3)$

Sol $\vec{r}_u = (3u^2, 0, 1)$ and $\vec{r}_v = (0, 2v, 1)$

$$\Rightarrow \vec{r}_u \times \vec{r}_v = (-2v, -3u^2, 6u^2v)$$

Find u and v at $(2, 5, 3)$.

$$\vec{r}(u, v) = (2, 5, 3)$$

$$\Rightarrow u^3 + 1 = 2, v^2 + 1 = 5, u + v = 3 \Rightarrow u = 1, v = 2$$

* $v = -2$ works for the second equation, but not for the last equation.

A normal vector is $\vec{r}_u \times \vec{r}_v = (-4, -3, 12)$.

The tangent plane at $(2, 5, 3)$ is given by

$$\boxed{-4(x-2) - 3(y-5) + 12(z-3) = 0}$$

(2) Find all points on S where the tangent plane is parallel to the xy -plane.

Sol If the tangent plane is parallel to the xy -plane,

the normal vector $\vec{r}_u \times \vec{r}_v = (-2v, -3u^2, 6u^2v)$ must be parallel to $\vec{k} = (0, 0, 1)$

$$\Rightarrow -2v = 0, -3u^2 = 0 \Rightarrow u = v = 0$$

$$\Rightarrow \vec{r}(0, 0) = \boxed{(1, 1, 0)}$$