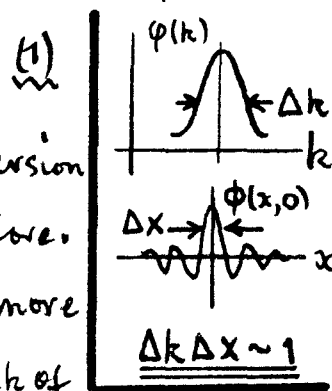


Further Properties of Wave Packets

We have seen how [pp. Duality 10-13], by representing a photon as a spatially localized superposition of waves--i.e. a "wave packet", we can generate the "uncertainty relations" ($\Delta\omega\Delta t \sim 1$, $\Delta k\Delta x \sim 1$) that tell us how closely the photon resembles a wave ($\Delta\omega \& \Delta k \rightarrow 0$) or a particle ($\Delta t \& \Delta x \rightarrow 0$). So wave packets seem to be a promising quantitative representation of the wave-particle duality that we are trying to incorporate into our QM theory. Here we look at some more features of wave packets.

1) Start from a general 1D wave packet, per Eq. (22), p. Duality (22)...

$$\rightarrow \phi(x,t) = \int_{-\infty}^{\infty} \phi(k) e^{i(kx - \omega t)} dk.$$



If the freq. ω and wave # k obey the free-space dispersion relation: $\omega = kc$, then this ϕ represents a photon, as before.

However, in general, we can think of $\omega = \omega(k)$ as some more elaborate function of k . We will, however, always think of

the spectrum fcn $\phi(k)$ as being \sim localized in its k -space, so that

$\phi(x,0)$ is initially localized to size Δx per: $\Delta k \Delta x \sim 1$. This condition is the essence of a wave packet.

Note that the spectrum fcn $\phi(k)$ is determined by the initial configuration of $\phi \dots$ at $t = 0 \dots$

$$\left[\phi(x,0) = \int_{-\infty}^{\infty} \phi(k) e^{ikx} dk \iff \phi(k) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \phi(x',0) e^{-ikx'} dx'. \right. \quad (2)$$

The integral for $\phi(k)$ is gotten by a Fourier inverse. This form of $\phi(k)$, put back into Eq. (1), shows that the packet $\phi(x,t)$ evolves from $\phi(x',0)$ [over all x' @ $t=0$]. The question is: HOW?

Packet evolution in free space. In a dispersive medium.

Page 12

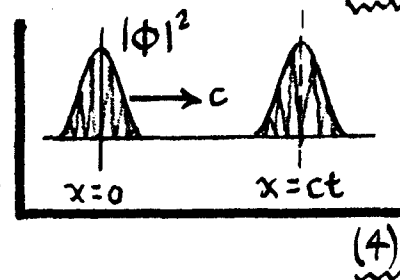
The evolution $\phi(x,0) \rightarrow \phi(x,t)$ is easy to follow for a free-space photon:

|| photon in free space: $\omega = kc$,

So //
$$\phi(x,t) = \int_{-\infty}^{\infty} \phi(k) e^{ik(x-ct)} dk = \phi(\xi, 0), \quad \text{w/ } \xi = x-ct. \quad (3)$$

Thus $|\phi(x,t)|^2$ has same form as $|\phi(\xi,0)|^2$ for

|| $\xi = x-ct = \text{const}$, for a fixed pt. on the packet;
|| \Rightarrow propagation velocity: $\underline{v = dx/dt = c}$, const.



The packet moves uniformly to the right @ $v=c$, w/o distortion.

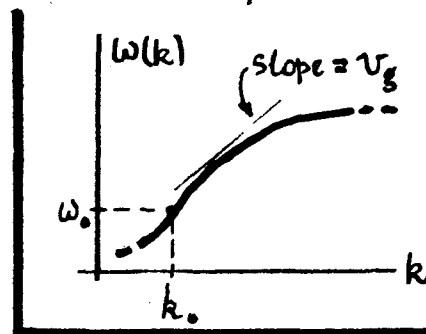
2) The packet evolution is more complicated in a dispersive medium.

There: $\lambda v = c/n(\lambda)$, $n(\lambda)$ = index of refraction = fcn of wavelength λ .

So: $\omega = 2\pi v = 2\pi c/\lambda n(\lambda) = \omega(k)$; ω is a general fcn of k ...

|| dispersive medium: $\omega = \omega(k)$,

So //
$$\phi(x,t) = \int_{-\infty}^{\infty} \phi(k) e^{i[kx - \omega(k)t]} dk. \quad (5)$$



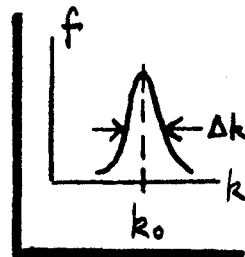
$\phi(x,t)$ is not simply related to $\phi(x,0)$. However,

we can get an approximate idea of the evolution

by assuming $\phi(x,t)$ is well localized, and $\omega(k)$ does not vary too rapidly with k near the nominal packet wave # k_0 ...

ϕ localized in Δx } $\phi(k) = f(k-k_0)$ $\int f$ is localized in $\Delta k \sim 1/\Delta x$ @ k_0 .

(6)



Define $\kappa = k - k_0$ and expand $\omega(k)$ in Taylor series...

i.e. //
$$\omega(k) = \underbrace{\omega(k_0)}_{\omega_0} + (k-k_0) \underbrace{\left[\frac{\partial \omega}{\partial k} \right]_{k=k_0}}_{v_g} + \frac{1}{2} (k-k_0)^2 \underbrace{\left[\frac{\partial^2 \omega}{\partial k^2} \right]_{k=k_0}}_{\alpha} + \dots$$

||
$$\omega(k) = \omega_0 + \kappa v_g + \frac{1}{2} \kappa^2 \alpha + \dots, \text{ to } O(\kappa^2) \quad \text{w/ } \kappa = k - k_0. \quad (7)$$

Here $\left\{ \begin{array}{l} \omega_0 = \text{nominal packet frequency (there is a spread } \Delta\omega \text{ about } \omega_0), \\ v_g = \text{propagation (group) velocity... see Eq. (10) below,} \\ \alpha = \text{group velocity dispersion... see Eq. () below.} \end{array} \right. \quad (7)$

Put the expansion of Eq. (6) into the packet of Eq. (4). Then we can write...

$$\rightarrow \left\{ \begin{array}{l} \phi(x,t) \approx F(x,t) \exp[i(k_0 x - \omega_0 t)] \leftarrow \text{modulated planewave @ } (\omega_0, k_0) \\ \omega // F(x,t) = \int_{-\infty}^{\infty} f(k) e^{i k(x - v_g t) - \frac{1}{2} i k^2 \alpha t} dk, \\ \& // F(x,0) = \int_{-\infty}^{\infty} f(k) e^{i k x} dk. \end{array} \right. \quad (8)$$

The main contribution to the integral for $F(x,t)$ comes when $k < \Delta k$, since $f(k)$ is appreciable only in that interval. For short times t , we can make the crude approximation that the term in k^2 in the exponent in Eq. (7) is \sim negligible:

$$\left\{ \begin{array}{l} // \text{Assume } t = \Delta t \text{ is small enough so that : } \frac{1}{2} \alpha (\Delta k)^2 \Delta t \ll 1; \\ \& // \text{So } F(x,t) \approx \int_{-\infty}^{\infty} f(k) e^{i k(x - v_g t)} dk = F(x - v_g t, 0), \\ // \\ // |F(x,t)|^2 = |F(\xi, 0)|^2, \text{ where : } \xi = x - v_g t. \end{array} \right. \quad (9)$$

This says that $|\phi(x,t)|^2$ has approximately [at short times] the same shape as the initial value $|\phi(\xi, 0)|^2$ for $\xi = x - v_g t$, as in Eq. (3) above. So, have:

$$\rightarrow \xi = x - v_g t = \text{const} \Rightarrow \text{propagation (group) velocity : } \boxed{v_g = \frac{dx}{dt} = \left(\frac{\partial \omega}{\partial k} \right)_k}. \quad (10)$$

The packet travels @ $v_g = (\partial \omega / \partial k)_k$ at early times. This reduces to the free-space result $v_g = c$ [Eq. (4)] when $\omega = kc$ (for photons). Also, this v_g is unique to the expansion in Eq. (7); all the other terms in $(\partial \omega / \partial k)$ vanish when $k = k_0$ (i.e. $\kappa = k - k_0 = 0$).

UNFINISHED BUSINESS $\left\{ \begin{array}{l} \textcircled{A} \text{ Is } v_g = (\partial \omega / \partial k)_k \text{ consistent with free particle motion?} \\ \textcircled{B} \text{ What effect does the term in } \alpha \text{ [in Eq. (7)] have on the motion?} \end{array} \right.$

Connection between packet velocity v_g and particle velocity v . Page 14

3) Re the "unfinished business" at bottom of last page:

① Does $v_g = (\partial\omega/\partial k)_k$ describe free particle motion?

Should (must) have: $v_g = \partial\omega/\partial k = p/m$ \checkmark $p = \text{momentum, } m = \text{mass}$
(evaluate @ nominal values)

But (de Broglie): $p = \hbar k$, so above reads: $\hbar(\partial\omega/\partial p) = p/m$.

Solution to this diff^l eqn for ω is: $\omega = p^2/2m\hbar$ (particle freq).

Then (de Broglie): $E = \hbar\omega = p^2/2m$ \leftarrow this is correct particle K.E. (11)

The relations are consistent: a free particle, represented by a wave packet moving @ $v_g = \partial\omega/\partial k$, together with deB's hypothesis, shows the correct classical K.E. Conversely, if we assume free particle motion (and deB), then the particle moves at the wave packet velocity $v_g = \partial\omega/\partial k$.

This packet \leftrightarrow particle connection even works relativistically, as follows.

for particle $\begin{cases} E = \gamma mc^2, & p = \gamma m v, & \gamma = 1/\sqrt{1-(v/c)^2}; \\ E^2 = p^2 c^2 + (mc^2)^2 \end{cases} \leftarrow \text{relativistic E-p relation}$

So (differentiate E-p relation) $E \frac{\partial E}{\partial p} = c^2 p$, $\Rightarrow \frac{\partial E}{\partial p} = c^2 \frac{p}{E} = v$ \int general velocity for free particle.

Then (de Broglie): $E = \hbar\omega, p = \hbar k \Rightarrow \underline{v = \partial E/\partial p = \partial\omega/\partial k = v_g}$. (12)

Eqs. (11) & (12) show that identifying a wave packet with a particle gives no big surprises -- at least not for now. The particle's E is consistent with the packet's v_g , assuming nothing more than deB's hypothesis -- which is experimentally verified. The only thing new is deB, and...

\rightarrow **PARTICLE**: $\underline{\frac{\partial E}{\partial p} = v} \leftarrow (\text{deB } \begin{cases} E = \hbar\omega \\ p = \hbar k \end{cases}) \rightarrow$ **PACKET**: $\underline{\frac{\partial\omega}{\partial k} = v_g}$. (13)

This connection is the beginning of a dynamics for our "wavicles."

Dispersion Relations as basic descriptors. The role of $\alpha = \partial^2 \omega / \partial k^2$. Pack 5

NOTE in passing... the "dispersion relation" $\omega = \omega(k)$ is quite different for a free particle as compared with a (free) photon...

$$\begin{cases} \text{PHOTONS (free space): } \omega(k) = kc \leftrightarrow E = pc; \\ \text{PARTICLES (free motion): } \omega(k) = \hbar k^2 / 2m \leftrightarrow E = p^2 / 2m. \end{cases} \quad (14)$$

The dispersion relation $\omega(k)$ is what differentiates one entity from the other; deB's hypothesis: $[E, p] = \hbar [\omega(k), k]$ is deemed universal.

③ How does $\alpha = \partial^2 \omega / \partial k^2$ affect the motion?

In Eq. (9), we neglected α [of Eq. (7)] at short times. We now show that including this term \Rightarrow the packet disperses as time goes on, in accord with the uncertainty relations. In turn, this feature gives a deeper meaning to those relations, but also complicates the interpretation of the wave packet $\phi(x, t)$ as a particle.

Up through terms of $\mathcal{O}(k^2)$, the form of the packet ϕ is Eq. (8)...

$$\rightarrow \phi(x, t) = F(x, t) \exp[i(k_0 x - \omega_0 t)],$$

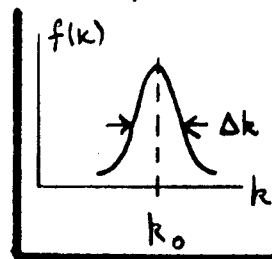
$$\text{w/ } \underline{\underline{F(x, t) = \int_{-\infty}^{\infty} f(k) \exp\{i[k(x - v_g t) - \frac{1}{2} k^2 \alpha t]\} dk.}} \quad (15)$$

NOTE: in what follows re the effects of $\alpha = \partial^2 \omega / \partial k^2$, photons are exempt, since for them $\omega(k) = kc$, and $\alpha \equiv 0$.

To see how α enters in, we use an explicit example. Let the spectral fcn $f(k)$ be of a Gaussian form...

$$\text{w/ } f(k) = e^{-k^2 / 2(\Delta k)^2}, \text{ w/ } k = k - k_0;$$

$$\text{w/ } \underline{\underline{F(x, t) = \int_{-\infty}^{\infty} dk e^{-\frac{k^2}{2} \left(\frac{1}{(\Delta k)^2} + i\alpha t \right) + i k(x - v_g t)}}. \quad (16)$$



To evaluate this integral, use the tabulated value...

Example of a Gaussian packet : packet diffusion with time. Pack 6

$$\int_{-\infty}^{\infty} du e^{-au^2 \pm bu} = \sqrt{\pi/a} \exp(b^2/4a), \text{ for } |a| \neq 0;$$

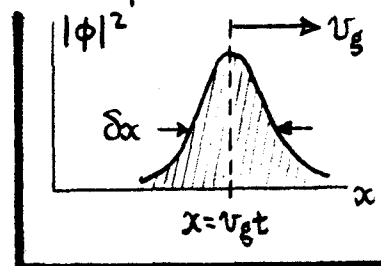
so// $F(x,t) = \left[\frac{2\pi(\Delta k)^2}{1 + i\alpha(\Delta k)^2 t} \right]^{1/2} \exp \left\{ -\frac{(x-v_g t)^2 (\Delta k)^2}{2[1 + i\alpha(\Delta k)^2 t]} \right\}$ clear imaginary term from denom.

or// $F(x,t) = \left[\right]^{1/2} e^{-\left(\frac{(\Delta k)^2}{2} \cdot \frac{(x-v_g t)^2}{1 + [\alpha(\Delta k)^2 t]^2} \right)} e^{\frac{i\alpha t}{2} \frac{(\Delta k)^4 (x-v_g t)^2}{1 + [\alpha(\Delta k)^2 t]^2}}, \quad (17)$

The intensity of this Gaussian-spectrum wave is then [from Eq. (15)]...

$$\rightarrow |\phi(x,t)|^2 = |F(x,t)|^2 = \left[\right] e^{-(x-v_g t)^2 / (\delta x)^2},$$

w// $\boxed{\delta x = \frac{1}{\Delta k} \sqrt{1 + [\alpha(\Delta k)^2 t]^2}}. \quad (18)$



The packet intensity $|\phi|^2$ is thus also Gaussian in shape... the packet center moves along @ velocity $v_g = (\partial\omega/\partial k)_k$, as revealed in Eq. (10) [and even with $\alpha \neq 0$], BUT the packet width δx increases with time t . From Eq. (18), we see that...

$$\left\{ \begin{array}{l} \text{initial localization} \\ \text{of packet (@ } t=0) \end{array} \right\} \delta x_0 = 1/\Delta k \leftarrow \text{prescription from unc}^2 \text{ rel}^2 \text{s}; \quad (19)$$

$$\left\{ \begin{array}{l} \text{localization as } t \rightarrow \infty \\ \text{(i.e. } \alpha(\Delta k)^2 t \gg 1) \end{array} \right\} \delta x \approx \alpha(\Delta k) t = \alpha t / \delta x_0 \leftarrow \text{packet spreading.}$$

so// packet diffuses (spreads out) in a characteristic time t_0 such that...

$$\rightarrow \alpha(\Delta k)^2 t_0 \sim 1 \Rightarrow \underline{t_0 \sim 1/\alpha(\Delta k)^2 = (\delta x_0)^2 / \alpha}, \quad \text{w// } \alpha = \left| \frac{\partial^2 \omega}{\partial k^2} \right|_0. \quad (20)$$

This is the major effect of α : it destroys the packet localization (and hence its particle-like properties); we cannot maintain $\delta x \sim 1/\Delta k$ @ finite t , so long as $\alpha \neq 0$... as is the case for masses m w// $\alpha = \partial^2 \omega / \partial k^2 = \hbar/m$ [Eq. (14)].

The spectrum $f(k)$ and its Fourier transpose $F(x,0) = \int_{-\infty}^{\infty} f(k) e^{ikx} dk$ have the same functional form here (Gaussian). This doesn't happen very often. Another example is : $f(k) = \text{sech}(ka)$. What is the general case?

If we use wave packets, does the universe disappear?

Pack 7

4) Our fledgling theory is fighting back: the very wave packets ϕ -- which nicely showed particle-like localization (in Δx & Δt) while also maintaining wave-like character (to $\Delta k \sim 1/\Delta x$ & $\Delta \omega \sim 1/\Delta t$) -- now have the property that they disappear in time $t_0 \sim 1/\alpha (\Delta k)^2$, for any "wavicle" with $\alpha = \partial^2 \omega / \partial k^2 \neq 0$... as is the case for free particles with $m \neq 0$. *

This development forces the conclusion that -- for a massive particle -- the wave packet intensity $|\phi(x,t)|^2$ cannot represent the spatial distribution of (i.e. the space occupied by) the particle itself... otherwise, the whole universe would just disappear after a sufficient time. Particles must remain localized, and the galloping delocalization of $|\phi(x,t)|^2$ does not reflect this fact. At this point, we have two choices:

- [(A) Discard wave packets $\phi(x,t)$ as a QM description of matter.
- or
- [(B) Interpret $|\phi|^2$ as something other than the particle's spatial location.

What we do now is to try to save ϕ , by choosing (B).

A re-interpretation of ϕ rests on an appeal to the uncertainty relations that characterize all wave packets [ref. p. Duality 12, Eq. (27)].

Consider the spreading packet width δx of Eq. (19). We have...

$$\left\{ \begin{array}{l} \text{for free particle: } \omega = \hbar k^2 / 2m \Rightarrow \alpha = \partial^2 \omega / \partial k^2 = \hbar / m, \\ \text{so } \delta x \approx \alpha t / \delta x_0 = \frac{1}{m} (\hbar / \delta x_0) t, \quad \text{where } \delta x_0 = \text{initial localization.} \end{array} \right. \quad (21)$$

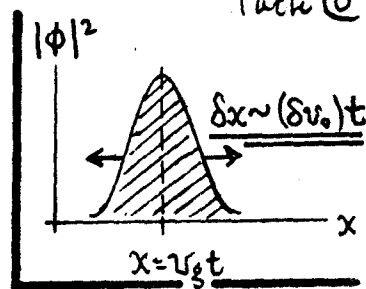
Now, by the uncertainty relations, an initial localization to within $\delta x_0 \Rightarrow$ initial momentum uncertainty $\delta p_0 \sim \hbar / \delta x_0$... in 1D, we don't

* For photons, with $m=0 \Rightarrow \omega = kc \Rightarrow \alpha = 0$ (in free space), the "disappearance time" $t_0 \rightarrow \infty$. So photons, once born, live forever (in free space).

Packet spreading \leftrightarrow uncertainty relations. Re-interpret ϕ .

Page 18

know whether the packet expands to the right or left;
in 3D, the packet may be expanding in any direction
(as well, the packet center is moving at velocity v_g).



The velocity uncertainty $\Delta v_0 = \frac{1}{m} \Delta p_0 \sim \frac{1}{m} (\hbar / \Delta x_0)$ is closely connected with the packet expansion. If we put this Δv_0 into Eq. (21), we have...

\rightarrow packet spreading: $\Delta x \sim (\Delta v_0) t$, $\Delta v_0 =$ velocity uncertainty. (22)

The packet thus follows m 's gross motion (@ velocity v_g), and it expands to width Δx in just such a way as to cover the possible -- or probable -- extent of m 's "wandering" due to the velocity uncertainty Δv_0 , which was induced by the initial localization to within Δx_0 .

So the uncertainty relation: $(m \Delta v_0) \Delta x_0 \sim \hbar$, has a dynamical content, and Eq. (22) is a kind of equation-of-motion for the evolving position uncertainty Δx . The packet $\phi(x, t)$ evolves so as to cover all possible locations of m , in accord with this uncertainty relation.

This analysis suggests that we need not interpret $|\phi(x, t)|^2$ as representing the precise location of a massive particle m ($|\phi|^2$ does represent the "precise" location of a photon, with $m=0$)... instead, for $m \neq 0$, we can interpret $|\phi(x, t)|^2$ as the probability of locating m at position x at time t . The uncertainty relation $\Delta p \Delta x \sim \hbar$ has dictated that we really don't "know" where m is at time t , to a precision better than $\Delta x \sim (\Delta v_0) t$, and $|\phi(x, t)|^2$ -- in its expansion -- reflects this fact. So a QM wave packet description of matter is still possible.

BUT, $|\phi|^2$ as a probability of where m has gone, now shares the same "fuzziness" as do the $p \& x$ descriptors that obey $\Delta p \Delta x \sim \hbar$.