

Ⓔ [15 pts]. Find properties of Dirac matrices, defined by  $\{\gamma_\mu, \gamma_\nu\} = 2\delta_{\mu\nu}$ .

1. For  $\nu = \mu$ , the anticommutation rule yields:  $\gamma_\mu \gamma_\mu = 1$ , the unit matrix, so each  $\gamma_\mu$  is its own inverse:  $\gamma_\mu^{-1} = \gamma_\mu$ . If also each  $\gamma_\mu$  is Hermitian, i.e.  $\gamma_\mu^\dagger = \gamma_\mu$ , then  $\gamma_\mu^{-1} = \gamma_\mu^\dagger$ , so that the  $\gamma_\mu$ 's must be unitary matrices. But, the eigenvalues of a unitary matrix are  $\pm 1$  (well-known), so the  $\gamma_\mu$  eigenvalues are  $\pm 1$ .

Alternatively, let  $\phi$  be an eigenvector of  $\gamma_\mu$ , i.e.  $\gamma_\mu \phi = \Gamma \phi$ ,  $\Gamma =$  eigenvalue. Then, multiply through this eqn by  $\gamma_\mu$  and use  $\gamma_\mu^2 = 1$  to get...

$$\rightarrow \gamma_\mu^2 \phi = \Gamma \gamma_\mu \phi \Rightarrow 1 \phi = \Gamma^2 \phi, \text{ so } \Gamma^2 = 1 \quad \& \Gamma = \pm 1. \quad (1)$$

We remark (from the general theory of matrices) that each  $\gamma_\mu$  can be brought to its canonical form via a similarity transform  $S$ , i.e.  $\gamma_\mu \rightarrow \gamma'_\mu = S \gamma_\mu S^{-1}$ . In the canonical form, the eigenvalues appear on the diagonal, i.e.  $\gamma'_\mu = \begin{pmatrix} +1 & & 0 \\ & -1 & \\ 0 & & +1 \end{pmatrix}$ .

2. Now look at the trace of  $\gamma_\mu$ , i.e.:  $\text{Tr}(\gamma_\mu) = \sum (\text{diagonal entries})$ . Choose any of the other matrices  $\gamma_\nu$ , with  $\nu \neq \mu$ . Then, since  $\gamma_\nu^2 = 1$ , and matrix multiplication is associative, we can write...

$$\rightarrow \text{Tr}(\gamma_\mu) = \text{Tr}(\gamma_\mu \gamma_\nu^2) = \text{Tr}[(\gamma_\mu \gamma_\nu) \gamma_\nu]. \quad (2)$$

Now use the fact that the  $\text{Tr}$  is not sensitive to multiplicative order, viz.

$\text{Tr}(BA) = \text{Tr}(AB)$  for any square matrices  $A$  &  $B$ .<sup>\*</sup> So Eq. (2) yields...

$$\rightarrow \text{Tr}(\gamma_\mu) = \text{Tr}[(\gamma_\mu \gamma_\nu) \gamma_\nu] = \text{Tr}[(\gamma_\nu \gamma_\mu) \gamma_\nu]. \quad (3)$$

But for  $\nu \neq \mu$ , we know that:  $\gamma_\nu \gamma_\mu = (-1) \gamma_\mu \gamma_\nu$ . Put this into Eq. (3) to get

$$\rightarrow \text{Tr}(\gamma_\mu) = (-1) \text{Tr}[(\gamma_\mu \gamma_\nu) \gamma_\nu] = (-1) \text{Tr}(\gamma_\mu \gamma_\nu^2) = (-1) \text{Tr}(\gamma_\mu). \quad (4)$$

Eq. (4) implies that  $2\text{Tr}(\gamma_\mu) = 0$ , or that:  $\boxed{\text{Tr}(\gamma_\mu) \equiv 0}$ , as advertised.

\*  $\text{Tr}(AB) = \sum_k \left( \sum_\lambda A_{k\lambda} B_{\lambda k} \right)$ ;  $\text{Tr}(BA) = \sum_\alpha \left( \sum_\beta B_{\alpha\beta} A_{\beta\alpha} \right) = \text{Tr}(AB)$  (upon setting  $\alpha = \lambda, \beta = k$ )

3. The facts that  $\gamma_\mu$  has eigenvalues  $\pm 1$ , and  $\text{Tr}(\gamma_\mu) = 0$ , allow us to claim...

(1) The canonical form of  $\gamma_\mu$ , viz.  $\gamma'_\mu = S \gamma_\mu S^{-1} = \begin{pmatrix} +1 & & 0 \\ & -1 & \\ 0 & & \ddots \end{pmatrix}$  must have just as many  $-1$ 's as  $+1$ 's along the diagonal; the  $\pm 1$ 's "pair off". This follows from the fact that:  $\text{Tr}(\gamma'_\mu) = \text{Tr}(S \gamma_\mu S^{-1}) = \text{Tr}(S^{-1} S \gamma_\mu) = \text{Tr}(\gamma_\mu) = 0$ , i.e. the  $\text{Tr}$  is invariant under the similarity transform that produces the diagonal form.

(2) Since the  $\pm 1$  eigenvalues "pair off" in  $\gamma'_\mu$ , then the  $\gamma'_\mu$ 's must be matrices of even rank. The rank of the  $\gamma'_\mu$ 's could be 2, 4, 6...

4. If the  $\gamma_\mu$  rank were 2, then the  $\gamma_\mu$  would have to be related to the Pauli matrices  $\sigma_k$ ,  $k=1,2,3$ ; these are the only  $2^{\text{nd}}$  rank matrices that obey the required anticommutation rule:  $\{\sigma_k, \sigma_l\} = 2\delta_{kl}$ . But there are four independent  $\gamma_\mu$ 's, while there are only three independent  $\sigma_k$ 's. Adding the  $2 \times 2$  identity matrix to the  $\sigma_k$ 's doesn't help, because the identity does not anticommute with the other three  $\sigma_k$ . So, the four required  $\gamma_\mu$ 's cannot be of rank 2.

5. The next possible rank of the  $\gamma_\mu$ 's is 4, and this turns out to be OK. There are four  $\gamma_\mu$ 's of rank 4 which obey  $\{\gamma_\mu, \gamma_\nu\} = 2\delta_{\mu\nu}$ , which have eigenvalues  $\pm 1$ , are traceless, and are Hermitian. They can be written as...\*

$$\rightarrow \gamma_k = \begin{pmatrix} 0 & -i\sigma_k \\ i\sigma_k & 0 \end{pmatrix}, \quad k=1,2,3; \quad \gamma_4 = \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix}; \quad (5)$$

$$\text{w// } \sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \text{ Pauli matrices; } I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \text{ } 2 \times 2 \text{ identity.}$$

This representation is not unique... the  $\gamma_\mu$ 's of Eq. (5) can be replaced by the set  $\gamma'_\mu = S \gamma_\mu S^{-1}$ , where  $S$  is any nonsingular matrix.

⑧ Continuity Eqn for a Dirac particle in an external field  $\tilde{A} = (A, i\phi)$ .

1. In the field  $(A_\mu) = (A_k, i\phi)$ , the Dirac eqn for particle  $(q, m)$  can be written:

$$\rightarrow \left( \frac{\partial}{\partial x_k} - \frac{iq}{\hbar c} A_k \right) \gamma_k \psi + \left( \frac{\partial}{\partial x_4} + \frac{q\phi}{\hbar c} \right) \gamma_4 \psi + (mc/\hbar) \psi = 0, \quad [k=1,2,3]. \quad (1)$$

Take the Hermitian conjugate of this eqn, noting  $(\partial/\partial x_4)^* = -(\partial/\partial x_4)$ , to get...

$$\rightarrow \left( \frac{\partial}{\partial x_k} + \frac{iq}{\hbar c} A_k \right) \psi^\dagger \gamma_k - \left( \frac{\partial}{\partial x_4} - \frac{q\phi}{\hbar c} \right) \psi^\dagger \gamma_4 + (mc/\hbar) \psi^\dagger = 0. \quad (2)$$

Multiply thru Eq. (2) by  $\gamma_4$  on the right. Use  $\gamma_k \gamma_4 = (-1) \gamma_4 \gamma_k$  (from  $\{\gamma_\mu, \gamma_\nu\} = 0$  for  $\mu \neq \nu$ ), and denote the adjoint  $\bar{\psi} = \psi^\dagger \gamma_4$ . Then, with  $A_4 = i\phi$ , Eq (2) yields:

$$-\left( \frac{\partial}{\partial x_k} + \frac{iq}{\hbar c} A_k \right) \bar{\psi} \gamma_k - \left( \frac{\partial}{\partial x_4} + \frac{iq}{\hbar c} A_4 \right) \bar{\psi} \gamma_4 + (mc/\hbar) \bar{\psi} = 0,$$

$$\text{or } \underline{\underline{\left( \frac{\partial}{\partial x_\mu} + \frac{iq}{\hbar c} A_\mu \right) \bar{\psi} \gamma_\mu - (mc/\hbar) \bar{\psi} = 0.}} \quad (3)$$

As advertised, (3) is Dirac's eqn for the adjoint wavefn  $\bar{\psi} = \psi^\dagger \gamma_4$ .

2. For a continuity eqn, multiply (1) on the left by  $\bar{\psi}$ , and (3) on the right by  $\psi$ ...

$$\begin{cases} \bar{\psi} \gamma_\mu (\partial \psi / \partial x_\mu) - \frac{iq}{\hbar c} A_\mu \bar{\psi} \gamma_\mu \psi + (mc/\hbar) \bar{\psi} \psi = 0, \\ (\partial \bar{\psi} / \partial x_\mu) \gamma_\mu \psi + \frac{iq}{\hbar c} A_\mu \bar{\psi} \gamma_\mu \psi - (mc/\hbar) \bar{\psi} \psi = 0, \end{cases} \quad (4)$$

... and add these eqns to get a simple conserved current eqn...

$$\bar{\psi} \gamma_\mu (\partial \psi / \partial x_\mu) + (\partial \bar{\psi} / \partial x_\mu) \gamma_\mu \psi = 0,$$

$$\text{or } \frac{\partial}{\partial x_\mu} (\bar{\psi} \gamma_\mu \psi) = 0, \text{ i.e. } \boxed{\partial J_\mu / \partial x_\mu = 0, \text{ w/ } J_\mu = ic \bar{\psi} \gamma_\mu \psi}. \quad (5)$$

Here, in an external field  $(A_\mu)$ , the Dirac current  $J_\mu$  is exactly the same form as we used for a free particle... see <sup>CLASS</sup> <sup>NOTES</sup>, p. DE9, Eq. (29). The field  $(A_\mu)$  does not appear explicitly in  $J_\mu$ . But, of course,  $A_\mu \neq 0$  does change  $J_\mu$ , in-sofar as it changes  $\psi$ . See also Sakurai "Advanced QM" (Addison-Wesley, 1967), p. 107, Eq. (3.199).

⊙88 Use Ham<sup>n</sup> form of Dirac Eqn in an extl field to show  $\mathbf{p} \rightarrow (-)\mathbf{p}$  when  $q \rightarrow (-)q$ .

1. With  $(A_\mu) = (A_k, i\phi)$ , the Dirac standard form in Prob. ⊙88 is:

$$\rightarrow \left( \frac{\partial}{\partial x_k} - \frac{iq}{\hbar c} A_k \right) \gamma_k \psi + \left( \frac{\partial}{\partial x_4} + \frac{q}{\hbar c} \phi \right) \gamma_4 \psi + (mc/\hbar) \psi = 0 \quad \leftarrow \begin{array}{l} \text{put in } p_k = -i\hbar \frac{\partial}{\partial x_k}, \\ x_4 = ict, \text{ \& rearrange...} \end{array}$$

$$\text{so} // \quad c(p_k - \frac{q}{c} A_k) i\gamma_k \psi + mc^2 \psi = (i\hbar \frac{\partial}{\partial t} - q\phi) \gamma_4 \psi \quad \leftarrow \begin{array}{l} \text{insert } \beta = \gamma_4, \beta \alpha_k = i\gamma_k, \\ \text{mult. on left by } \beta, \psi \beta^2 = 1... \end{array}$$

$$\text{so} // \quad c(p_k - \frac{q}{c} A_k) \alpha_k \psi + \beta mc^2 \psi = (i\hbar \frac{\partial}{\partial t} - q\phi) \psi \quad \leftarrow \text{rearrange terms...}$$

$$\text{so} // \quad \underline{i\hbar \partial \psi / \partial t = \mathcal{H} \psi}, \quad \underline{\mathcal{H}(q, \mathbf{p}) = c(\mathbf{p} - \frac{q}{c} \mathbf{A}) \cdot \boldsymbol{\alpha} + q\phi + \beta mc^2}, \text{ as desired.} \quad (1)$$

2. Treat  $\mathbf{p}$  as a real eigenvalue. Take complex conjugate of (1), noting  $\beta^* = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \beta...$

$$-i\hbar \frac{\partial \psi^*}{\partial t} = [c(\mathbf{p} - \frac{q}{c} \mathbf{A}) \cdot \boldsymbol{\alpha}^* + q\phi + \beta mc^2] \psi^* \quad \leftarrow \begin{array}{l} \text{mult. on left by } \gamma_2 \\ \text{(in search of } \psi_c = \gamma_2 \psi^*) \end{array}$$

$$\text{so} // \quad -i\hbar \frac{\partial \psi_c}{\partial t} = [c(\gamma_2 \boldsymbol{\alpha}^*) \cdot (\mathbf{p} - \frac{q}{c} \mathbf{A}) + q\phi \gamma_2 + (\gamma_2 \beta) mc^2] \psi^*, \quad \underline{\psi_c = \gamma_2 \psi^*} \quad (2)$$

Now work out the matrix products, using  $\{\gamma_\mu, \gamma_\nu\} = 2\delta_{\mu\nu}$ ,  $\{\alpha_k, \alpha_l\} = 2\delta_{kl}$ , and  $\{\alpha, \beta\} = 0$ . With  $\gamma_2 = -i\beta\alpha_2$  &  $\gamma_4 = \beta$ , clearly  $\gamma_2\beta = -\beta\gamma_2$ . A bit more algebra shows that  $\gamma_2 \alpha^* = +\alpha \gamma_2$  for the first term RHS<sup>\*</sup>. So Eq. (2) reads:

$$-i\hbar \frac{\partial \psi_c}{\partial t} = [c\alpha \cdot (\mathbf{p} - \frac{q}{c} \mathbf{A}) \gamma_2 + q\phi \gamma_2 - \beta mc^2 \gamma_2] \psi^*$$

$$\text{so} // \quad \rightarrow i\hbar \frac{\partial \psi_c}{\partial t} = (-) [c(\mathbf{p} - \frac{q}{c} \mathbf{A}) \cdot \boldsymbol{\alpha} + q\phi - \beta mc^2] \psi_c, \quad \underline{\psi_c = \gamma_2 \psi^*}. \quad (3)$$

3. Define  $\tilde{q} = -q$ ,  $\tilde{\mathbf{p}} = -\mathbf{p}$ . Then (3) can be written, for  $\psi_c = \gamma_2 \psi^*...$

$$\underline{i\hbar \partial \psi_c / \partial t = \tilde{\mathcal{H}} \psi_c}, \quad \underline{\tilde{\mathcal{H}}(\tilde{q}, \tilde{\mathbf{p}}) = c(\tilde{\mathbf{p}} - \frac{\tilde{q}}{c} \mathbf{A}) \cdot \boldsymbol{\alpha} + \tilde{q}\phi + \beta mc^2}. \quad (4)$$

Clearly  $\tilde{\mathcal{H}}(\tilde{q}, \tilde{\mathbf{p}}) \equiv \mathcal{H}(-q, -\mathbf{p})$ , by comparing Eqs. (1) & (4). So, if  $\psi$  is the wave fun for  $(q, \mathbf{p})$  moving in the field  $(\mathbf{A}, i\phi)$ , then the charge conjugate wavefun  $\psi_c = \gamma_2 \psi^*$  describes  $(-q, -\mathbf{p})$  moving in that field;  $\mathbf{p} \rightarrow (-)\mathbf{p}$ , when  $q \rightarrow (-)q$ .

\* Since  $\boldsymbol{\alpha} = \begin{pmatrix} 0 & \boldsymbol{\sigma} \\ \boldsymbol{\sigma} & 0 \end{pmatrix}$  &  $\boldsymbol{\sigma} = \left\{ \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \right\}$  [CLASS NOTES, p. DE10] then  $\alpha_1$  &  $\alpha_3$  are real;  $\alpha_2$  is imag.

⑧ [15 pts] Analyse  $p_k = mc\alpha_k$  as a Dirac momentum operator for a free particle.

1. As suggested, let  $p_k = imc\beta\gamma_k$ , so that the expectation value is...

$$\rightarrow \langle p_k \rangle = \langle \psi^\dagger p_k \psi \rangle = imc \langle \bar{\psi} \gamma_k \psi \rangle, \quad \bar{\psi} = \psi^\dagger \beta \quad (\text{adjoint}). \quad (1)$$

Evidently, this  $p_k$  is a component of the Dirac current  $J_k = ic\bar{\psi}\gamma_k\psi$ .

Notice that  $p_k = imc\beta\alpha_k$  is a Hermitian operator<sup>†</sup>, so it will have real eigenvalues:  $\langle p_k \rangle^* = \langle p_k \rangle$ .

2. For the charge-conjugate wavefn  $\psi_c = \gamma_2 \psi^*$ , the expectation value will be:

$$\rightarrow \langle p_k \rangle_c = imc \langle \bar{\psi}_c \gamma_k \psi_c \rangle = imc \langle \psi_c^\dagger \gamma_4 \gamma_k \psi_c \rangle \quad \text{here } \beta = \gamma_4 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix},$$

and  $\gamma_4$  is real.

$$= imc \langle (\gamma_2 \psi^*)^\dagger \gamma_4 \gamma_k (\gamma_2 \psi^*) \rangle$$

$$= imc \langle (\psi^{*\dagger} \gamma_2) \gamma_4 \gamma_k \gamma_2 \psi^* \rangle, \quad \text{since } \gamma_2^\dagger = \gamma_2$$

$$= (-) imc \langle (\psi^{*\dagger} \gamma_4) \gamma_2 \gamma_k \gamma_2 \psi^* \rangle, \quad \text{since } \gamma_2 \gamma_4 = (-) \gamma_4 \gamma_2$$

$$= (-) imc \langle (\psi^\dagger \gamma_4)^* \gamma_2 \gamma_k \gamma_2 \psi^* \rangle, \quad \text{since } \gamma_4^* = \gamma_4 \text{ (real)}$$

$$= (-) imc \langle \bar{\psi}^* (\gamma_2 \gamma_k \gamma_2) \psi^* \rangle. \quad (2)$$

Now we claim that in Eq. (2), the matrix  $(\gamma_2 \gamma_k \gamma_2) = \gamma_k^*$ . This works because  $\gamma_2$  is real and  $\gamma_2^2 = 1$ ; then for  $k=2$ , the relation is an identity, and for  $k=1 \neq 3$ , where  $\gamma_k^* = -\gamma_k$ , the relation expresses  $\{\gamma_2, \gamma_k\} = 0$ .

So we can write Eq. (2) in the form...

$$\rightarrow \langle p_k \rangle_c = -imc \langle \bar{\psi}^* \gamma_k^* \psi^* \rangle = (imc \langle \bar{\psi} \gamma_k \psi \rangle)^* = \langle p_k \rangle^*. \quad (3)$$

But  $\langle p_k \rangle^* = \langle p_k \rangle$ , as noted above, so we've shown:  $\langle p \rangle_c = + \langle p \rangle$ , i.e. the momentum in the charge-conjugate state is unchanged, if  $p = mc\alpha$ .

<sup>†</sup>  $p_k^\dagger = -imc\alpha_k^\dagger \beta^\dagger = -imc\alpha_k \beta = +imc\beta\alpha_k = p_k$ , since  $\alpha_k$  &  $\beta$  are Hermitian, and anti-commute.

3. Since charge conjugation:  $\psi \rightarrow \psi_c = \gamma_2 \psi^*$ , is supposed to change the sign of each of charge  $q$ , energy  $E$ , and momentum  $p$ , i.e.  $(q, E, p) \rightarrow (q, E, p)_c = -(q, E, p)$ , then -- since  $\langle p \rangle_c = +\langle p \rangle$  is incompatible with this -- it must be that  $p = m c \alpha$  is not a correct def<sup>n</sup> for the momentum operator for a Dirac particle. In fact, we will show later that  $v = c \alpha$  is not even a const of the motion (not even for a free particle)<sup>\*</sup>, so  $p = m c \alpha$  must automatically fail as a def<sup>n</sup> of momentum for a free particle.

4. What does work? We need  $\langle p \rangle_c = (-)\langle p \rangle$  at the very least, and we know (non-relativistically) that  $p_k = -i \hbar \partial / \partial x_k$ . Try the latter def<sup>n</sup> for the Dirac case, i.e. look at expectation values...

$$\begin{aligned} \langle p_k \rangle &= \langle \psi^\dagger (-i \hbar \partial / \partial x_k) \psi \rangle = -i \hbar \langle \psi^\dagger \frac{\partial}{\partial x_k} \psi \rangle, \\ \text{and} // \quad \langle p_k \rangle_c &= -i \hbar \langle \psi_c^\dagger \frac{\partial}{\partial x_k} \psi_c \rangle = -i \hbar \langle (\gamma_2 \psi^*)^\dagger \frac{\partial}{\partial x_k} (\gamma_2 \psi^*) \rangle. \end{aligned} \quad (4)$$

The integrand of  $\langle p_k \rangle_c$  can be rewritten (<sup>1</sup> $\gamma_2^\dagger = \gamma_2, \gamma_2^2 = 1, \& \gamma_2$  real):

$$(\psi^{*\dagger} \gamma_2) \gamma_2 \frac{\partial}{\partial x_k} \psi^* = (\psi^\dagger (\partial / \partial x_k) \psi)^* \quad (5)$$

By using this in Eq. (4), we get...

$$\langle p_k \rangle_c = -i \hbar \langle \psi^\dagger (\partial / \partial x_k) \psi \rangle^* = -\langle \psi^\dagger (-i \hbar \partial / \partial x_k) \psi \rangle^*,$$

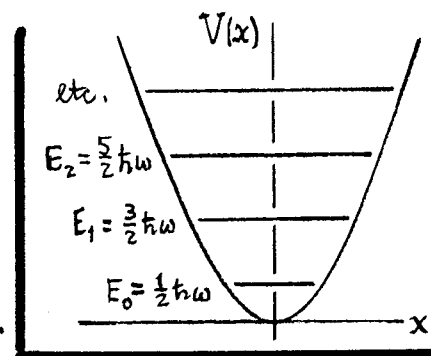
$$\text{or} // \quad \underline{\langle p \rangle_c = -\langle p \rangle^*}, \text{ for } : p = -i \hbar \partial / \partial x. \quad (6)$$

Now, for a free particle,  $\langle p \rangle$  is real (and a const of the motion), so that  $\langle p \rangle^* = \langle p \rangle$ . Then  $\underline{\langle p \rangle_c = -\langle p \rangle}$ , as required by charge conjugation, so that  $p = -i \hbar \partial / \partial x$  at least passes this elementary test.

\* In fact,  $v = c \alpha$  is connected with the particle's Zitterbewegung; see pp. DE 24-27.

69) Bosons & fermions in a SHO well.

Any single particle in the SHO well  $V(x) = \frac{1}{2}m\omega^2 x^2$  will be in an eigenstate of energy  $E_n = (n + \frac{1}{2})\hbar\omega$ ,  $n=0, 1, 2, \dots$ . Each such state is non-degenerate (unique).



(A) If  $2N$  non-interacting bosons are put into  $V(x)$ , any number of them can occupy any given  $E_n$  state, since it is always possible to construct simple sums of product states like  $|1\rangle_{n_1} \dots |i\rangle_{n_i} |j\rangle_{n_j} \dots |2N\rangle_{n_{2N}}$  that have the required (i) symmetry upon exchange of any pair. In particular, the exchange symmetry is satisfied for all these particles in the same state. So all the bosons can sink (or "condense") into the lowest state  $E_0$ , where they exhibit a ground state energy  $E(\text{bosons}) = 2N \times E_0 = N\hbar\omega$ . (1)

(B)  $2N$  non-interacting fermions, each of which (by exchange symmetry) requires a unique wavefunction not shared with any sibling, will fit into the nondegenerate levels of  $V(x)$  as follows: 2 into  $E_0$ , one with spin up & one with spin down; 2 into  $E_1$ , spin up & down; ...; 2 into  $E_n$ , spin up & down. The lowest energy (i.e. the ground state energy) for such an arrangement is...

$$E(\text{fermions}) = 2 \cdot \frac{1}{2}\hbar\omega + 2 \cdot \frac{3}{2}\hbar\omega + \dots = \sum_{n=0}^{N-1} 2 \cdot (n + \frac{1}{2})\hbar\omega = \hbar\omega \sum_{n=0}^{N-1} (2n+1)$$

or//  $E(\text{fermions}) = N^2 \hbar\omega = N \times E(\text{bosons})$ . (2)

For  $N \rightarrow \text{large}$ , the system energy is much larger for fermions than bosons, by reqs of exchange symmetry. This could be relieved if the fermions "paired-off".

(C) For the SHO:  $\langle n | x^2 | n \rangle = (n + \frac{1}{2})\hbar/m\omega$  [Davydov (1991), Eq. 26.14], So...

$\left[ \begin{array}{l} \text{bosons } (n=0 \text{ only}): \chi_{\text{rms}}^{(B)} = \sqrt{\hbar/2m\omega}, \\ \text{fermions } (n=0 \rightarrow N-1): \chi_{\text{rms}}^{(F)} = \chi_{\text{rms}}^{(B)} \sqrt{2N-1}. \end{array} \right. \quad (3)$ 
 The fermion system is actually bigger in space as well as energy.

7) [30 pts]. In prob. (66), you showed that for electron scattering from a charge distribution  $\rho(\mathbf{r})$ , the transform of the scattering potential important for the Born approx<sup>n</sup> was:  $\tilde{V}(\mathbf{q}) = -(4\pi e/q^2) \int \rho(\mathbf{r}) e^{i\mathbf{q}\cdot\mathbf{r}} d^3x$ , <sup>w</sup>  $\mathbf{q} = \mathbf{k}(\text{in}) - \mathbf{k}(\text{out}) = \text{mom}^m \text{ transfer}$ .

5.] (A) Put:  $\rho(\mathbf{r}) = e\delta(\mathbf{r}) - e|\Psi(\mathbf{r})|^2$ , for e-scattering from a neutral H-atom, with the bound electron in a spherically symmetric eigenstate  $\Psi(\mathbf{r})$ . By inserting the transform  $\tilde{V}(\mathbf{q})$ , show that the actual scattering potential is:  $V(\mathbf{r}) = -e^2 \left[ \frac{1}{r} - \int \frac{d^3x'}{|\mathbf{r}-\mathbf{r}'|} |\Psi(\mathbf{r}')|^2 \right]$ . Interpret the terms contributing to  $V(\mathbf{r})$ . Next, find  $V(\mathbf{r})$  explicitly for the H-atom ground state:  $\Psi(r') = (1/\sqrt{\pi a_0^3}) e^{-r'/a_0}$ , <sup>w</sup>  $a_0 = \hbar^2/me^2$ . If  $\rho = r/a_0$ , you should get  $V(r) = -\frac{e^2}{a_0} \left(1 + \frac{1}{\rho}\right) e^{-2\rho}$ . **[HINT]** Use the  $1/|\mathbf{r}-\mathbf{r}'|$  expansion per Jackson's Eq. (3.38).

3.] (B) For the  $V(r)$  in part (A), evaluate the "validity criterion" for use of the Born approx<sup>n</sup> [CLASS NOTES, p. ScT 10, Eq. (22)]. It is convenient to define and use the dimensionless energy parameter:  $\lambda = k^2 a_0^2 = E/E_H$ , <sup>w</sup>  $E = \text{incident electron energy}$  <sup>8//</sup>  $E_H = e^2/2a_0 = \text{H-atom binding energy}$ . Show that the Born approx<sup>n</sup> fails at low energies, i.e.  $\lambda \rightarrow 0$ . Estimate a lower bound on  $\lambda$ , above which the Born approx<sup>n</sup> is  $\sim \text{OK}$ .

13.] (C) Assume the differential cross-section for  $e \rightarrow \text{H atom scattering}$  as cited in prob. (66) is correct (i.e. Sakurai's version):  $d\sigma/d\Omega = (4a_0^2/Q^4) [1 - 16/(Q^2 + 4)^2]^2$ , <sup>w</sup>  $Q = qa_0$ ,  $q = 2k \sin \frac{\theta}{2}$ ,  $\theta = \text{scattering } \angle$ . From this, find the total cross-section  $\sigma(\lambda)$ . **[HINT]**: develop & use the relation:  $d\Omega = (2\pi/k^2 a_0^2) Q dQ$ . Compare your result for  $\sigma(\lambda)$  with the following known facts for  $e\text{-H scattering}$ : (1) as the result of experiment:  $\sigma(\lambda \rightarrow 0) = (30 \pm 5)\pi a_0^2$ ; (2) at high energy:  $\sigma(\lambda \gg 1) \approx 7\pi/3k^2$ , per Tandan & Lifshitz "QM" (1965), p. 535. Comment on the agreement.

69) A gaggle of  $2N$  identical particles (<sup>w</sup>  $N \geq 1$ ) finds itself in a 1D SHO potential:  $V(x) = \frac{1}{2} m \omega^2 x^2$ . Ignore interactions between the particles.

(A) What is the total ground state energy of the system if the particles are bosons?

(B) What " " " " " " " " " if " " " fermions?

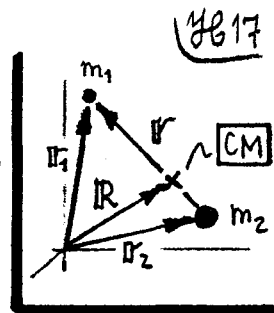
(C) Compare the size (i.e. spatial extent) of systems (A) & (B). Define "size" by:  $x_{\text{rms}} = \sqrt{\langle x^2 \rangle}$ , for the highest state occupied. Look up  $\langle x^2 \rangle$  in any convenient QM text.



# φ507 Problems

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- ⑤1 A QM system consists of two particles, masses  $m_1$  &  $m_2$ . Express the operators for total momentum  $\mathbf{P} = \mathbf{p}_1 + \mathbf{p}_2$  and total  $\mathbf{L}$  momentum  $\mathbf{L} = \mathbf{L}_1 + \mathbf{L}_2$  in terms of the relative coordinate  $\mathbf{r} = \mathbf{r}_1 - \mathbf{r}_2$  and center-of-mass coordinate  $\mathbf{R} = \frac{m_1 \mathbf{r}_1 + m_2 \mathbf{r}_2}{m_1 + m_2}$ . You must find a transformation  $(\nabla_1, \nabla_2) \rightarrow (\nabla_R, \nabla_r)$  between gradient operators acting on the cds  $\mathbf{r}_1$  &  $\mathbf{r}_2$ , and those acting on the cds  $\mathbf{R}$  &  $\mathbf{r}$ . Show that the K.E. part of the Hamiltonian, viz:  $\hat{K} = -\frac{\hbar^2}{2m_1} \nabla_1^2 - \frac{\hbar^2}{2m_2} \nabla_2^2$ , can be written as:  $\hat{K} = -\frac{\hbar^2}{2M} \nabla_R^2 - \frac{\hbar^2}{2\mu} \nabla_r^2$ , w/  $M = m_1 + m_2$  &  $\mu = \frac{m_1 m_2}{m_1 + m_2}$ . Why is this useful?



- ⑤2 [15 pts]. Consider the central potential:  $V(r) = -\frac{B}{r} + \frac{A}{r^2}$ ;  $B$  &  $A$  are (+)ve const.
- (A) Sketch  $V(r)$  vs.  $r$ . What physical system might exhibit a potential of this sort?
- (B) Write the radial wave eqn in dimensionless form ["atomic units" are: length  $a_0 = \frac{\hbar^2}{mB}$ , energy  $E_0 = \frac{B}{a_0}$ ]. Find the radial wavefn  $R(\rho)$ , and show the bound state energies are  $E_{n\ell} = -\frac{1}{2} E_0 / (n + \Delta_\ell)^2$ , w/  $n = 1, 2, 3, \dots$  &  $\ell = 0, 1, \dots, (n-1)$ , just as for H-atoms. The "quantum defect"  $\Delta_\ell$  lifts the  $\ell$ -degeneracy. Obtain an exact expression for  $\Delta_\ell$ .
- (C) Assume  $A$  is "small" and expand  $E_{n\ell}$  to terms of  $\mathcal{O}(A)$ . In a given  $n$ -state, how are the  $\ell$ -states arranged? Sketch the energies for  $n = 1, 2, 3$ . What is the splitting in states?

- ⑤3 Refer to CLASS NOTES on  $\mathbf{L}$  momentum, p. 4. Supply the missing steps between Eqs. (12) & (14), i.e. show:  $[J_x, J_y] = i\hbar J_z$ , follows from the geometrical statement in Eq. (12) re the rotation operators  $\mathcal{R}(\varphi_k)$ . Retain terms to  $\mathcal{O}(\varphi^2)$ , and respect ordering.

- ⑤4 The Pauli matrices  $\sigma = (\sigma_x, \sigma_y, \sigma_z)$  for a spin  $\frac{1}{2}$  particle obey the commutation rule:  $[\sigma_\alpha, \sigma_\beta] = 2i\sigma_\gamma$ , w/  $\alpha\beta\gamma = \text{cyclic permutation of } xyz$  [Sakurai, Sec. (3.2)]. The standard form of the  $\sigma_k$ 's is:  $\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ ,  $\sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$ ,  $\sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ .
- (A) Prove the anti-commutation rule:  $\{\sigma_\alpha, \sigma_\beta\} = \sigma_\alpha \sigma_\beta + \sigma_\beta \sigma_\alpha = 2\delta_{\alpha\beta}$ .
- (B) If  $\mathbf{A}$  &  $\mathbf{B}$  are any two vector operators that commute with  $\sigma$ , use  $[\sigma_\alpha, \sigma_\beta]$  and  $\{\sigma_\alpha, \sigma_\beta\}$  to prove the Dirac identity:  $(\sigma \cdot \mathbf{A})(\sigma \cdot \mathbf{B}) = \mathbf{A} \cdot \mathbf{B} + i\sigma \cdot (\mathbf{A} \times \mathbf{B})$ .

53) Show  $[J_x, J_y] = i\hbar J_z$  follows from relations between rotation operators.

1. Set  $\hbar=1$  for convenience. From cited CLASS NOTES, the rotation operator about the  $k^{\text{th}}$  axis is:

$$\rightarrow R(\varphi_k) = e^{-i\varphi_k J_k} = 1 - i\varphi_k J_k - \frac{1}{2}\varphi_k^2 J_k^2 + \dots \quad (k=x, y, \text{ or } z), \quad (1)$$

to order  $\varphi_k^2$ . To 2<sup>nd</sup> order again, a sequence of rotations like  $\varphi_y$  &  $\varphi_x$  gives:

$$\rightarrow R(\varphi_x)R(\varphi_y) = 1 - i(\varphi_x J_x + \varphi_y J_y) - \left(\frac{1}{2}\varphi_x^2 J_x^2 + \frac{1}{2}\varphi_y^2 J_y^2 + \varphi_x \varphi_y J_x J_y\right), \quad (2)$$

The inverse --  $\varphi_x$  followed by  $\varphi_y$  -- is given by (2) with labels  $x$  &  $y$  interchanged:

$$\rightarrow R(\varphi_y)R(\varphi_x) = 1 - i(\varphi_x J_x + \varphi_y J_y) - \left(\frac{1}{2}\varphi_x^2 J_x^2 + \frac{1}{2}\varphi_y^2 J_y^2 + \varphi_x \varphi_y J_y J_x\right). \quad (3)$$

Clearly  $R(\varphi_y)R(\varphi_x) \neq R(\varphi_x)R(\varphi_y)$ , to  $\mathcal{O}(\varphi^2)$ , unless  $[J_x, J_y] = 0$ .

2. In fact, per cited CLASS NOTES,  $R(\varphi_y)R(\varphi_x) \neq R(\varphi_x)R(\varphi_y)$ , but instead (NOTES p. 44 Eq. (12)):

$$\left[ R(\varphi_x)R(\varphi_y) = R(\varphi_z)[R(\varphi_y)R(\varphi_x)], \right.$$

$$\left. \right] \quad \text{or} \quad R(\varphi_z) = 1 - i\varphi_z J_z, \text{ to } \mathcal{O}(\varphi^2), \text{ with } \varphi_z = \varphi_x \varphi_y. \quad (4)$$

In terms of  $R(\varphi_x)R(\varphi_y)$  of Eq. (2),  $R(\varphi_y)R(\varphi_x)$  of Eq. (3), and in terms of  $\mathcal{O}(\varphi^2)$ , this geometrical statement reads [put  $\varphi_x \varphi_y = \varphi_z$ ]...

$$\rightarrow 1 - i(\varphi_x J_x + \varphi_y J_y) - \left(\frac{1}{2}\varphi_x^2 J_x^2 + \frac{1}{2}\varphi_y^2 J_y^2 + \varphi_z J_x J_y\right) =$$

$$= (1 - i\varphi_z J_z) \left[ 1 - i(\varphi_x J_x + \varphi_y J_y) - \left(\frac{1}{2}\varphi_x^2 J_x^2 + \frac{1}{2}\varphi_y^2 J_y^2 + \varphi_z J_y J_x\right) \right] \quad (5)$$

... many cancellations later...

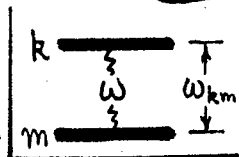
$$-\varphi_z J_x J_y = -\varphi_z J_y J_x - i\varphi_z J_z$$

$$\text{or} \quad \cancel{\varphi_z} [J_x, J_y] = i\cancel{\varphi_z} J_z, \text{ for } \varphi_z \neq 0$$

$$\text{i.e.} \quad \boxed{[J_x, J_y] = i J_z}. \quad \underline{\text{QED}} \quad (6)$$

This result holds to  $\mathcal{O}(\varphi^2)$ ; to  $\mathcal{O}(\varphi)$  -- for small rotations (which commute), we have  $[J_x, J_y] = 0$ , by comment below Eq. (3). For  $\mathcal{O}(\varphi^3)$  and higher, (6) also holds, but with higher powers of such commutators.

- ④③ [20 pts]. A pulsed harmonic perturbation:  $V_{ij}(t) = 2\hbar \Omega_{ij} \cos \omega t$ , is applied to a QM system during time  $t = 0 \rightarrow T$ . The matrix element  $\Omega_{ij}$  is time-independent, and the frequency  $\omega$  approaches an exact resonance:  $\nu = (\omega_{km} - \omega) \rightarrow 0$ . We remarked in class [NOTES, p. tD6] that the first-order amplitude  $a_k^{(1)} \approx -i \Omega_{km} t$  cannot be correct as  $t \rightarrow \text{large}$ . To see what actually happens near resonance, we do a new version of the  $m \rightarrow k$  problem: we make an exactly solvable 2-level model of  $m \rightarrow k$ .
- (A) When  $\nu = (\omega_{km} - \omega) \rightarrow 0$ , only the states  $m$  &  $k$  participate in transitions, to good approximation. By ignoring all other off-resonance states, show that the "exact" eqns for the amplitudes are:  $i \dot{a}_k = \Omega_{km} a_m e^{i\nu t}$ ,  $i \dot{a}_m = \Omega_{mk} a_k e^{-i\nu t}$ ; a 2-level problem.
- (B) By decoupling the eqns in part (A), one can find exact forms for the amplitudes  $a_k$  &  $a_m$ . Find  $a_k(t)$  &  $a_m(t)$ , for  $0 < t < T$ , assuming the system was initially in state  $m$ :  $a_m(0) = 1$ ,  $a_k(0) = 0$ . Define and use the quantity:  $Q = [1 + (2|\Omega_{km}|/\nu)^2]^{1/2}$ .
- (C) Sketch the  $m \rightarrow k$  transition probability  $|a_k|^2$  vs  $\nu$ . Now, what happens as  $\nu \rightarrow 0$ ?



- ④④ A QM system with unperturbed eigenstates  $\phi_n$  & energies  $E_n = \hbar \omega_n$  is subjected to a time-dep<sup>t</sup> perturbation:  $V(t) = (\hbar A / \tau \sqrt{\pi}) \exp(-t^2/\tau^2)$ , over  $-\infty \leq t \leq +\infty$ .  $\tau$  is a scale time, and the (dimensionless) operator  $A$  is independent of time.
- (A) If initially (@  $t = -\infty$ ) the system is in its ground state  $\phi_0$ , use 1<sup>st</sup> order t-dep<sup>t</sup> pert<sup>n</sup> theory to show that the probability amplitude for the transition  $0 \rightarrow k \neq 0$  @  $t = +\infty$  is:  $a_k^{(1)}(\infty) = -i A_{k0} \exp(-\frac{1}{4} \omega_{k0}^2 \tau^2)$ , w/  $A_{k0} = \langle \phi_k | A | \phi_0 \rangle$ , and  $\omega_{k0} = (E_k - E_0)/\hbar$ .
- (B) For an impulsive perturbation,  $\tau \rightarrow 0$  (and  $V(t) \rightarrow \hbar A \delta(t)$ ). Show then that the probability  $P_{\text{out}}$  of the system making any transition out of the ground state is:  $P_{\text{out}} = [\langle 0 | A^2 | 0 \rangle - \langle 0 | A | 0 \rangle^2]$ . HINT: Evaluate  $\sum_{k \neq 0} |a_k^{(1)}(\infty)|^2$  for  $\tau \rightarrow 0$ .

- ⑤⑤ A 1D SHO, w/ mass  $m$  & spring const  $k$ , is initially in its ground state, w/ normalized wavefn:  $\phi(x) = (\alpha/\pi)^{1/4} e^{-\frac{1}{2} \alpha x^2}$ , w/  $\alpha = \sqrt{mk}/\hbar$ . The spring const is changed suddenly, from  $k$  to  $Nk$ , w/  $N > 0$  some numerical factor. Find the probability  $P_0$  that the SHO will remain in its (new) ground state. Calculate  $P_0$  for  $N = 2$  &  $N = 1/2$ . Over what range of  $N$ -values will  $P_0$  exceed 50%?

(49)  $V(t) = (\hbar A / \tau \sqrt{\pi}) e^{-t^2/\tau^2}$ . Show:  $P(\text{gnd} \rightarrow \text{out}) = (A^2)_{00} - (A_{00})^2$ , as  $\tau \rightarrow 0$ .

(A) 1. By 1<sup>st</sup> order t-dept perturbation theory, the  $m \rightarrow k$  transition amplitude is

$$\begin{aligned} \rightarrow a_k^{(1)}(\infty) &= -\frac{i}{\hbar} \langle k | \hbar A / \tau \sqrt{\pi} | m \rangle \int_{-\infty}^{\infty} e^{-t^2/\tau^2} e^{i\omega_{km}t} dt \\ &= -i A_{km} \exp\left(-\frac{1}{4} \omega_{km}^2 \tau^2\right), \quad \text{w/ } A_{km} = \langle k | A | m \rangle. \end{aligned} \quad (1)$$

This is from CLASS NOTES, p. tD5, Eq. (13), and it covers full exposure to the (Gaussian) pulse, from time  $t_0 = -\infty$  to  $t \rightarrow +\infty$ . If  $|m\rangle = |0\rangle$  is the ground state, then...

$$\underline{a_k^{(1)}(\infty) = -i A_{k0} \exp\left(-\frac{1}{4} \omega_{k0}^2 \tau^2\right), \quad \text{w/ } A_{k0} = \langle k | A | 0 \rangle \text{ \& } \omega_{k0} = \frac{1}{\hbar} (E_k - E_0).} \quad (2)$$

For any finite  $\tau > 0$ , all states  $k$  are excited for which  $A_{k0} \neq 0$ .

(B) 2. When  $\tau \rightarrow 0$ ,  $V(t)$  becomes sharply peaked near  $\tau = 0$ , with width  $\Delta t \sim \tau$  and height  $\propto 1/\tau$ . Since:  $\int_{-\infty}^{\infty} V(t) dt = \hbar A$  is indept of  $\tau$ , then indeed we get the delta-fcn behavior:  $V(t) \rightarrow \hbar A \delta(t)$ , as  $\tau \rightarrow 0$ . This is clearly a "sudden" perturbation, and the  $0 \rightarrow k$  transition probability from Eq. (2) becomes...

$$\rightarrow |a_k^{(1)}(\infty)|^2 = |A_{k0}|^2 e^{-\frac{1}{2} \omega_{k0}^2 \tau^2} \rightarrow |A_{k0}|^2, \text{ as } \tau \rightarrow 0. \quad (3)$$

The net transition probability for any transition out of the ground state, i.e.  $0 \rightarrow k \neq 0$  is just the sum...

$$\begin{aligned} \rightarrow P_{\text{out}} &= \sum_{k \neq 0} |a_k^{(1)}(\infty)|^2 = \sum_{k \neq 0} A_{k0}^* A_{k0} = \sum_{k=0}^{\infty} A_{0k} A_{k0} - A_{00} A_{00} \Big|_{k=0} \\ &= \sum_{k=0}^{\infty} \langle 0 | A | k \rangle \langle k | A | 0 \rangle - (A_{00})^2. \end{aligned}$$

$$\text{w/ } \underline{P_{\text{out}} = \langle 0 | A^2 | 0 \rangle - \langle 0 | A | 0 \rangle^2.} \quad \underline{\text{QED}} \quad (4)$$

In doing Eq. (4), we've assumed  $A$  is Hermitian  $A_{km}^* = A_{mk}$ , and we've assumed the eigenfns  $|k\rangle$  are complete:  $\sum_k |k\rangle \langle k| = 1$ .