Stationary State Perturbation Theory Sref. Davydov: 9147-50, Sakurai: Secs. 5.1-5.2.

The key idea of a perturbation theory is to start with a well-defined and well-known system, add a small change (i.e perturbation), and then develop a method for specifying the presumably small excursions in system parameters.

In this general sense, the WKB approximation is a perturbation theory—we start from free particle eigenfens & eigenvalues for from 4's & Els in a const external potential), and document how things change when we add "Small" (remember 1k'/k² 1<<1) departures from a const potential.

A <u>chassical example</u> of a perturbation is a string of length of a uniform mass density, pegged at both ends and set into vibration. The wave amplitudes 4, and eigenfrequenties who are easy to get. Now a small point mass m

[Small means m & String mass) is attached to the string—this changes

Ismall means m « String macs) is attached to the string - this changes In > V'n and wn > w'n by small amounts. The problem is to calculate the new 4n' & w'n from the old (unperturbed) 4n & wn.

1) We shall now do the QM version of the above string problem, viz.

Suppose a <u>stationary</u> ("" time-independent), <u>bound-state</u> ("" discrete Inergies) QM system with known eigenfons $\Psi_n^{(0)}$ & eigenenergies $E_n^{(0)}$ is described by: $\frac{1}{2} \frac{1}{2} \frac{$

A See Sec. 44 of A. Febber & J. Walecka "Theoretical Mechanics ..." (McGraw-Hill, 1980)

REMARKS

- 1. For the unperturbed system, the {4'n} are a complete orthonormal set. The energies E'n are assumed non-degenerate (we'll treat degeneracy later).
- 2) With V added in, our porturbed problem is:

Since {410)} are a complete set, we can expand the unknown 4k as ...

Plug this version of the into Hoth = Ekth ,...

$$\sum_{n} a_{nk} (y_{0} + V) \psi_{n}^{(0)} = \sum_{n} a_{nk} E_{k} \psi_{n}^{(0)} \leftarrow use y_{0} \psi_{n}^{(0)} = E_{n}^{(0)} \psi_{n}^{(0)},$$

$$\sum_{n} a_{nk} (E_{k} - E_{n}^{(0)}) \psi_{n}^{(0)} = \sum_{n} a_{nk} V \psi_{n}^{(0)}.$$
(4)

Operate thru Eq. (4) by (410)), and use (410) |410) = Smn. Then...

$$(E_k - E_m^{(0)}) a_{mk} = \sum_n V_{mn} a_{nk}, \quad V_{mn} = \langle \psi_m^{(0)} | V | \psi_n^{(0)} \rangle.$$
 (5)

This is the Fundamental Equation of QM SS perturbation theory. It allows he -- at least in principle -- to solve for the set of coefficients {ank } (which specify $\Psi_k = \sum_{n=1}^{\infty} a_{nk} \Psi_n^{(n)}$) from an ∞ set of linear complet equations. The energies E_k are gotten from a secular extr., as follows...

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$$\frac{\partial}{\partial k} = \left(\frac{\partial}{\partial nk}\right), \quad \frac{\partial}{\partial nk} = \left(\frac{\partial}{\partial nk}\right)^{(0)} \left[\frac{\partial}{\partial k}\right] = \left(\frac{\partial}{\partial nk}\right), \quad \frac{\partial}{\partial nk} = \left(\frac{\partial}{\partial nk}\right)^{(0)} \left[\frac{\partial}{\partial nk}\right] = \left(\frac{\partial}{\partial nk}\right)^{(0)} = \left(\frac{\partial}{\partial nk}\right)^{(0)} \left[\frac{\partial}{\partial nk}\right] = \left(\frac{\partial}{\partial nk}\right)^{(0)} \left[\frac{\partial}{\partial nk}\right] = \left(\frac{\partial}{\partial nk}\right)^{(0)} = \left(\frac{\partial}{\partial nk}\right)^{$$

$$\rightarrow V = \left(\cdots V_{mn} \cdots \right), \quad V_{mn} = \left(\psi_{m}^{(0)} | V | \psi_{n}^{(0)} \right) \quad \left[\text{rep}^{\frac{1}{2}} \text{ of } V \text{ on } \left\{ \psi_{n}^{(0)} \right\} \right]; \quad (6b)$$

$$\rightarrow \Delta_k = \left(\dots \left(\mathbb{E}_k - \mathbb{E}_m^{(0)} \right) S_{mn} \dots \right), \text{ a diagonal energy matrix.}$$
 (60)

Then Eq. (5) reads, in these terms ...

$$\left[\begin{array}{c}
\underline{\Delta}_{k} \underline{a}_{k} = \underline{V} \underline{a}_{k}, & (\underline{\Delta}_{k} - \underline{V}) \underline{a}_{k} = 0; \\
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The <u>secular extra</u> is imposed to avoid the trivial solution $\exists k \equiv 0$. It will evidently give a specific $\exists k$ in terms of all the $\exists m$ $\exists V_m$. Then, with the $\{\exists k\}$, we can find the (normalized) eigenvectors $\{\exists k\}$ as usual.

This statement of the perturbation problem in Eq. (5) Shows that solutions {\psi_k, Ek} exist, and they can be gotten from the {\psi_n, Eh^{10}} and V alone. But Eq. (7) is not a practical solution, since it involves \$\infty\$ and \$\infty\$ matrices like {Vmn} f and \$\infty\$-companent eigenvectors \$\infty\$k.

³⁾ A practical method of dealing with Eq.(5) capitalizes on the "smallness" of V and the notion we can correct for it by a series of ever smaller terms. Let: $V \rightarrow \lambda V$ { parameter $\lambda = 0 \Rightarrow no$ perturbation; solus are $\Psi_n^{(0)} \notin E_n^{(0)}$; (8) $\lambda = 1 \Rightarrow \beta$ full perturbation; solus are $\Psi_k \notin E_k$.

In what follows, we will always take him in the final result, to get the full solution of the (460+V) problem. It is just a convenient parameter to keep track of what "order-of-approximation" we are doing; this will soon become clear.

If V is "small", Ex must be close to Ex, so we write ...

$$\longrightarrow E_{k} = E_{k}^{(0)} + \lambda E_{k}^{(1)} + \lambda^{2} E_{k}^{(2)} + \dots = \sum_{\mu=0}^{\infty} \lambda^{\mu} E_{k}^{(\mu)} \int_{modustood}^{lim} i, \qquad (9)$$

 $E_{k}^{(\mu)}$ is called the $\mu^{\underline{m}}$ order correction to $E_{k}^{(0)}$ [for μ >, 1]. Hope is 1 if V is "small", then $\underline{|E_{k}^{(\mu+1)}|} \ll |E_{k}^{(\mu)}|$, so series converges.

In the same spirit, we expand the unknown ank's in Eq. (5), viz ...

$$\rightarrow a_{nk} = a_{nk} + \lambda a_{nk}^{(1)} + \lambda^2 a_{nk}^{(2)} + \dots = \sum_{v=0}^{\infty} \lambda^v a_{nk}^{(v)} \int_{1-2\pi}^{1-2\pi} \frac{1}{v} dv$$
(10)

Put the λ-expansions of Egs. (9) & (10) into the Fundamental Equation (5);

$$\rightarrow$$
 (E_k-E_m⁽⁰⁾) $a_{mk} = \sum_{n} \lambda V_{mn} a_{nk}$, becomes:

$$\left(\sum_{\mu=0}^{\infty} E_{\mathbf{k}}^{(\mu)} \lambda^{\mu}\right) \left(\sum_{\nu=0}^{\infty} a_{m\mathbf{k}}^{(\nu)} \lambda^{\nu}\right) - \sum_{\nu=0}^{\infty} E_{m}^{(0)} a_{m\mathbf{k}}^{(\nu)} \lambda^{\nu} = \sum_{\nu} \lambda V_{mn} \left(\sum_{\nu=0}^{\infty} a_{n\mathbf{k}}^{(\nu)} \lambda^{\nu}\right). \tag{11}$$

Inse general formula for
$$(\sum_{\mu=0}^{\infty} A_{\mu} \lambda^{\mu})(\sum_{\nu=0}^{\infty} B_{\nu} \lambda^{\nu}) = \sum_{\mu=0}^{\infty} C_{\mu} \lambda^{\mu}, C_{\mu} = \sum_{\sigma=0}^{\mu} A_{\mu-\sigma} B_{\sigma}$$

and Eq. (11) can be written ...

$$\left[\sum_{\mu=0}^{\infty} \left[\sum_{\sigma=0}^{\mu} E_{k}^{(\mu-\sigma)} a_{mk}^{(\sigma)} - E_{m}^{(0)} a_{mk}^{(\mu)}\right] \lambda^{\mu} = \sum_{\nu=0}^{\infty} \left[\sum_{n} V_{mn} a_{nk}^{(\nu)}\right] \lambda^{\nu+1}.\right]$$
(12)

This is now the Master Equation. It can be simplified, by the following steps:

Simplifying Eq. (12)...

1. On the LHS, split off the $\mu=0$ term, and set $\sum_{\mu=1}^{\infty} = \sum_{\nu=0}^{\infty}$, with $\mu=\nu+1$. Then...

over to LHS. Then (12) becomes...

$$\rightarrow (E_{k}^{(0)} - E_{m}^{(0)}) a_{mk}^{(0)} + \sum_{v=0}^{\infty} \left[(E_{k}^{(0)} - E_{m}^{(0)}) a_{mk}^{(v+1)} + \sum_{\sigma=0}^{v} E_{k}^{(v+1-\sigma)} a_{mk}^{(\sigma)} - \sum_{n} V_{mn} a_{nk}^{(v)} \right] \lambda^{v+1} = 0.$$
This is the first samplification.
$$-\sum_{n} V_{mn} a_{nk}^{(v)} \left[\lambda^{v+1} = 0. \right] (14)$$

2. Consider Eq. (14) as a power series in λ for $\lambda \neq 0$ (0< $\lambda \leqslant 1$ is 0K). Since λ is an independently variable parameter, the only way the power series can = 0 is if every one of its coefficients vanish, i.e.

I.
$$(E_k^{(0)} - E_m^{(0)}) a_{mk}^{(0)} = 0;$$
 (15a)

II.
$$(E_k^{(0)} - E_m^{(0)}) a_{mk}^{(vr)} + E_k^{(vr)} a_{mk}^{(0)} = \sum_{n=1}^{\infty} \nabla_{mn} a_{nk}^{(v)} - \sum_{s=1}^{\infty} E_k^{(vr)-s} a_{mk}^{(s)}$$
. (15b)

This extremely prosented simplification justifies the whole procedure of using power series in A. Note that II is a recursion relation for $a_{mk}^{(v+1)} = fen a_{mk}^{(u \leq v)}$.

 $\frac{3.}{2}$ In Eq. (15a), $a_{mk}^{(0)} = 0$ for $m \neq k$ (the En are not degenerate). Then, for m = k we need $a_{kk}^{(0)} = 1$, so that $\Psi_k = \Psi_k^{(0)}$ when V vanishes. So: $a_{mk}^{(0)} = \delta_{mk}$. Use of this in Eq. (15b), plus rewrite of last term RHS (let $\mu = \sigma - 1$) gives...

$$(E_{k}^{(0)}-E_{m}^{(0)})a_{mk}^{(v+1)}+E_{k}^{(v+1)}\delta_{mk}=\sum_{n}V_{mn}a_{nk}^{(v)}-\sum_{\mu=0}^{v-1}E_{k}^{(v-\mu)}a_{mk}^{(\mu+1)}; \frac{\nu=0,1,2,...}{2,...}$$
This is the new decrease of one Martin For C. (16)

this is the new version of our <u>Master</u> <u>Eq.</u> for perturbation. The order parameter $\nu = 0, 1, 2, ...$ corresponds to working on $\theta(\lambda)$, $\theta(\lambda^2)$, $\theta(\lambda^3)$ terms, i.e. to working on 1st, 2nd, 3rd order perturbative corrections.

4) Now we iterate the Master Egth to get the almk from the abready known amk = Smk, the alie) from the amk of alie) etc. Also the Ek from the Ek, etc. Tike this:

In Eq. (16), Choose V=0 (> working to O(2), 1st order perturbation theory).

$$\frac{Sof}{(E_{k}^{(0)}-E_{m}^{(0)})}a_{mk}^{(1)}+E_{k}^{(1)}\delta_{mk}=\sum_{n}V_{mn}a_{nk}^{(0)}+(3\omega v_{0})=V_{mk}.$$
 (17)

$$\frac{1}{2} \frac{m + k}{m^{2}}, (17) \Rightarrow \left[a_{mk}^{(1)} = V_{mk} / (E_{k}^{(0)} - E_{m}^{(0)})\right]. \tag{17a}$$

Soll $\psi_k = \psi_k^{(0)} + \sum_{m} a_{mk}^{(1)} \psi_m^{(0)} + \cdots$ $\int |a_{mk}| <<1, \frac{|V_{mk}| << |E_k| - |E_m|}{|a_{mk}| <<1}$ (as advertised at top of p. SS 2).

Som Eh = Ek + Vkk + ... \[\frac{|V_kk| << |E_k|}{|Small" only if \frac{|V_kk| << |E_k|}{|as advertised on top of p. SS 2).}

NOTE: the m=k extr for v=0 gives no information on akk.

ASIDE: What value do we give akk? Assertion: we can set akk = 0.

(1) We can defend the assertion on grounds that $\forall k$ should be normed: $\langle \psi_k | \psi_k \rangle = 1$. The argument goes as follows. Write the wavefor ψ_k as...

 $\Psi_{k}^{(0)}$ is the $\sigma_{k}^{(0)}$ order correction to $\Psi_{k}^{(0)}$; note $\Psi_{k}^{(0)}|_{\sigma=0} = \Psi_{k}^{(0)}$, as should be [here use $a_{nk}^{(0)} = 8_{nk}$]. Now we calculate the norm, $(\Psi_{k}|\Psi_{k})$, after splitting off $\Psi_{k}^{(0)}$, i.e. put $\Psi_{k} = \Psi_{k}^{(0)} + \sum_{\sigma=1}^{\infty} \Psi_{k}^{(\sigma)}$ and extends i...

(2) Use $V_{k}^{(0)}$ from Eq. (18) to evaluate the projections $O\xi O$. Thus...

$$(2) = \lambda^{\sigma+\mu} \left\{ a_{kk}^{(\sigma)} \psi_{k}^{(0)} + \sum_{n=0}^{\infty} a_{nk}^{(n)} \psi_{n}^{(0)} \right\}.$$

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Sum, how sum over m.

Put results of Egs. (20) into Eg. (19) to get the 4k norm as ...

$$\langle \Psi_{\mathbf{k}} | \Psi_{\mathbf{k}} \rangle = 1 + 2 \operatorname{Re} \sum_{\sigma=1}^{\infty} \lambda^{\sigma} a_{\mathbf{k}\mathbf{k}}^{(\sigma)} + \sum_{\sigma,\mu=1}^{\infty} \lambda^{\sigma+\mu} \left\{ a_{\mathbf{k}\mathbf{k}}^{(\sigma)} a_{\mathbf{k}\mathbf{k}}^{(\mu)} + \sum_{n=1}^{\infty} a_{n\mathbf{k}}^{(\sigma)} a_{n\mathbf{k}}^{(\mu)} \right\}.$$
 (21)

This expression is exact. Clearly (4k/4k) \$1 in general (despite (4k)/4k)=1).

(3) Now suppose we are working in the $6=1^{5\pm}$ order of perturbation theory, as we did in Eqs. (17) on β . SSG. Eq. (21) prescribes (to $O(\lambda)$ and no higher)...

regt. that $\langle \Psi_k | \Psi_k \rangle = 1 \Rightarrow \frac{\text{Re } a_{kk}^{(1)} = 0}{\text{Re } a_{kk}^{(1)} = 0}$, by $a_{kk}^{(1)} = i b_k^{(1)} \int_{imaginary}^{a_{kk}} a_{kk}^{(1)} = i b_k^{(1)} a_{kk}^{(1)} = i b_$

 $\frac{\partial u}{\partial t}$ $\frac{\partial u}{\partial t$

So the evanescent $a_{kk}^{(i)}$ enters $1^{\frac{3}{2}}$ order theory at most as a <u>phase factor</u>, which is arbitrary. We can set $b_{k}^{(i)} = 0$ % ham. So, as adventised, $\underline{a_{kk}^{(i)}} = 0$.

(4) Now we can claim with confidence that in 1st order theory ...

$$\begin{cases} a_{mk} = \begin{cases} V_{mk}/(E_k^{(0)} - E_m^{(0)}), & \text{for } m \neq k; \\ \underline{O}, & \text{for } m \neq k; \end{cases} \\ S_k & \psi_k \simeq \psi_k^{(0)} + \sum_{n} \left(\frac{V_{nk}}{E_k^{(0)} - E_n^{(0)}}\right) \psi_n^{(0)} \int_{[prime\ on\ \sum_{n} > n \neq k\ in\ sum]}^{is\ 12k} \text{ or der perturbed wavefen} \end{cases}$$

This manenver removes the possibility that $a_{kk} = \left(\frac{V_{nk}}{E_{k}^{(0)} - E_{n}^{(0)}}\right)_{n=k}$ diverges for $V_{kk} \neq 0$.

Also, it ensures that $(\forall k \mid \forall k \rangle = 1 + \Theta(\lambda^2)$, i.e. that $\forall k$ is normed to 1 to within the order of approxn $[\Theta(\lambda)]$. Note that in 1st order theory, the mixed state $\forall k$ has a contribution from $\forall n$ only if the "Coupling" $\nabla n k \neq 0$.

(5) It is clear from the Master Eq. (16) that in general the akk, 07,1, are free constants (phase factors) because for m=k the egth reads...

$$\rightarrow (E_{k}^{(0)} - E_{k}^{(0)}) a_{kk}^{(v+1)} + E_{k}^{(v+1)} = \sum_{n}^{\infty} V_{kn} a_{nk}^{(v)} + \left[V_{kk} a_{kk}^{(v)} - \sum_{n=1}^{\infty} E_{k}^{(v+1-n)} a_{kk}^{(n)} \right].$$
 (24)

Since the first term LHS drops out, he get no restrictions on akk from any of the previous iterations for ank & Ek, of v. We thus have the freedom:

Sol
$$\frac{1}{4} \langle \psi_{k} | \psi_{k} \rangle = 1 + \sum_{n=1}^{\infty} \left(\sum_{n=1}^{\infty} a_{nk}^{(\sigma)} a_{nk}^{(\mu)} \lambda^{\sigma+\mu} \right), \text{ from Eq. (21)};$$

$$\frac{2}{4} \langle \psi_{k} | \psi_{k} \rangle = \lambda^{\sigma} \sum_{n=1}^{\infty} \left(\sum_{n=1}^{\infty} a_{nk}^{(\sigma)} a_{nk}^{(\mu)} \lambda^{\mu} \right) + \sum_{n=1}^{\infty} \left(\sum_{n=1}^{\infty} a_{nk}^{(\sigma)} \lambda^{\mu} \lambda^{\mu} \lambda^{\mu} \right) + \sum_{n=1}^{\infty} \left(\sum_{n=1}^{\infty} a_{nk}^{(\sigma)} \lambda^{\mu} \lambda^{\mu} \lambda^{\mu} \right) + \sum_{n=1}^{\infty} \left(\sum_{n=1}^{\infty} a_{nk}^{(\sigma)} \lambda^{\mu} \lambda^$$