

5) The first approximation to the scattering amplitude suggested in Eq. (15), where -- in the integral for  $A$ , the actual wavefn  $\psi(\mathbf{r}')$  is taken to be the incident wave  $\psi(\mathbf{r}') \simeq \phi_b(\mathbf{r}') = \exp(i\mathbf{k}_b \cdot \mathbf{r}')$  -- is a venerable result. It is called the "Born Approximation", and it gives the scattering amplitude:

$$\left\{ \begin{aligned} A(b \rightarrow a) &\simeq -\frac{m}{2\pi\hbar^2} \int d^3x' V(\mathbf{r}') e^{i\mathbf{q} \cdot \mathbf{r}'} = A_B(\mathbf{q}) \quad \underline{\underline{\text{BORN APPROXN}}} \\ \text{where: } \hbar\mathbf{q} &= \hbar(\mathbf{k}_b - \mathbf{k}_a), \text{ momentum transfer: (b)efore} \rightarrow \text{(a)fter.} \end{aligned} \right\} \quad (16)$$

$A_B(\mathbf{q})$  [Davydov Eq. (106.20)] is correct to first (lowest) order in  $V$ , for "weak" scattering events where  $\psi$  at any time is never very much changed from the incident wave  $\phi_b$ .  $A_B(\mathbf{q})$  resembles the first (lowest) order transition probability for transitions  $m \rightarrow k$  [ref. CLASS NOTES, p. tD5, Eq. (13)]...

$$\rightarrow a_k^{(1)}(t) = -(i/\hbar) \int_{t_0}^t dt' V_{km}(t') e^{i\omega_{km}t'} \quad (17)$$

Here, just as the  $\psi$  (scattering) is never much changed from the initial  $\phi_b$ , the 'initial state amplitude  $a_m(t_0) = 1$  is "barely depleted" [p. tD7, Eq. (22)].

The Born Approx<sup>n</sup>  $A_B(\mathbf{q})$  for the scattering amplitude plays the same role in scattering theory as does the transition amplitude  $a_k^{(1)}(t)$  in tD Pert<sup>n</sup> Theory.

6) How well does it work to take the full scattering amplitude  $A(b \rightarrow a) \simeq A_B(\mathbf{q})$ , per Eq. (16)? In other words, what is the range of validity of the Born Approx<sup>n</sup>?

Going back a bit, to Eq. (8) -- which is exact -- the Born approxn amounts to:

$$\rightarrow \psi(\mathbf{r}) = \phi_b(\mathbf{r}) - \frac{m}{2\pi\hbar^2} \int d^3x' \left( \frac{e^{i\mathbf{k} \cdot \mathbf{R}}}{R} \right) V(\mathbf{r}') \psi(\mathbf{r}'), \quad \begin{matrix} \nearrow \text{obs'n pt.} \\ R = |\mathbf{r} - \mathbf{r}'| \\ \nwarrow \text{source pt.} \end{matrix} \quad (18)$$

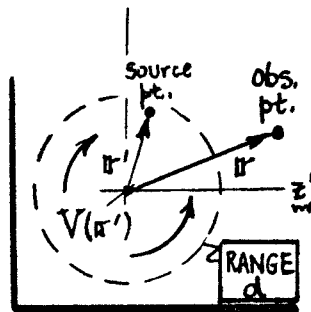
$\nwarrow$  approximate  $\psi$  in integral by incoming  $\phi_b$   $\nearrow$

This stratagem can be "good" only if  $\psi$  never deviates very much from  $\phi_b$ , even "in close", where  $V(\mathbf{r}')$  is relatively strong. That is, the scattered wave in (18) [2nd term RHS] must be small compared to the incoming wave [1st term RHS]:

## Born Approxn Validity at Low Energies.

(SCT9)

$$\rightarrow \left| \frac{m}{2\pi\hbar^2} \int_{\infty} d^3x' \left( \frac{e^{ik|\mathbf{r}-\mathbf{r}'|}}{|\mathbf{r}-\mathbf{r}'|} \right) V(\mathbf{r}') \phi_b(\mathbf{r}') \right| \ll |\phi_b(\mathbf{r})| = 1, \quad (19)$$



and this inequality must hold for all  $\mathbf{r}$ , including  $\mathbf{r} \sim \mathbf{r}'$ , in the region where  $V(\mathbf{r}')$  is "strong". If  $V(\mathbf{r}')$  is centered at the origin of coordinates, then take  $\mathbf{r} \rightarrow 0$  in (19) for the most stringent condition, i.e.

$$\left| \frac{m}{2\pi\hbar^2} \int_{\infty} d^3x' \left( \frac{e^{ikr'}}{r'} \right) V(\mathbf{r}') e^{-i\mathbf{k}_b \cdot \mathbf{r}'} \right| \ll 1, \text{ in close;}$$

... for  $\mathbf{k}_b$  along  $z'$ -axis, and  $|\mathbf{k}_b| = |\mathbf{k}_a| = k$  for elastic collisions...

$$\rightarrow \left| \frac{m}{2\pi\hbar^2} \int_{\infty} d^3x' \frac{V(\mathbf{r}')}{r'} e^{ikr'(1-\cos\theta')} \right| \ll 1, \quad \theta' = \text{colatitude } \angle \text{ for } \mathbf{r}'. \quad (20)$$

This inequality depends on the energy  $E$  of the incoming beam, because the wave number:  $k = \sqrt{2mE}/\hbar$ , controls the size of the phase in the  $e^{ikr'(1-\cos\theta')}$  factor.

LOW ENERGY :  $kr'_{\max} \rightarrow 0$ , and:  $e^{ikr'(1-\cos\theta')} \rightarrow 1$ .

$$\text{Eq. (20)} \Rightarrow \underbrace{\frac{m}{2\pi\hbar^2} \left| \int_{\infty} d^3x' \frac{V(\mathbf{r}')}{r'} \right|}_{\textcircled{1}} \ll 1 \quad \left\{ \begin{array}{l} \text{factor } \textcircled{1} \text{ has dimensions of (potential) } \times \\ \text{(length)}^2. \text{ Define: } \textcircled{1} = \bar{V} \times 4\pi d^2. \\ \bar{V} \text{ is the average potential in "range" } d. \end{array} \right.$$

$$\text{so } \frac{m}{2\pi\hbar^2} \cdot \bar{V} \times 4\pi d^2 \ll 1, \quad \text{or } \boxed{\bar{V} \ll \frac{1}{2m} (\hbar/d)^2}. \quad (21)$$

This has an easy interpretation. When the incoming particles are within the range  $d$  of  $V$ , they are localized to  $\Delta x \sim d$ , must have momentum components  $\Delta p \sim \hbar/\Delta x$ , and hence K.E. at least of size  $E \sim \frac{1}{2m} (\Delta p)^2 = \frac{1}{2m} \left( \frac{\hbar}{d} \right)^2$ . Condition (21) says the Born Approxn is OK at low energies so long as the average potential  $\bar{V}$  is small compared to the incident particle K.E. Makes sense...  $\bar{V} \ll E_{\text{kin}}$  certainly means the scattering is a small perturbation on the incoming beam, and then  $\Psi$  cannot differ too much from  $\phi_b$ , as we have used in Eq. (18).

## Validity for Spherical Symmetry. High Energy.

(ScT10)

7) Validity of the Born Approxn at high energy ( $k \rightarrow \text{large}$  in Eq. (20)) is best preceded by a simplification: we specialize to spherically symmetric potentials. Then the 4<sup>th</sup> part of the integral in Eq. (20) can be done...

$$\begin{aligned} \int_{\infty} d^3x' \frac{V(r')}{r'} e^{ikr'(1-\cos\theta')} &= \int_{\infty} \frac{V(r')}{r'} e^{ikr'} e^{-ikr'\cos\theta'} \cdot 2\pi r'^2 dr' \sin\theta' d\theta' \\ &= 2\pi \int_0^{\infty} dr' r' V(r') e^{ikr'} \left\{ \int_{-1}^{+1} d\mu e^{-ikr'\mu} \right\} = \frac{2\pi}{ik} \int_0^{\infty} dr' V(r') [e^{2ikr'} - 1] \end{aligned}$$

... so Eq. (20) becomes... (put  $\hbar k/m = v$ , particle velocity)...

$$\boxed{\left| \int_0^{\infty} dr' V(r') [e^{2ikr'} - 1] \right| \ll \hbar v} \quad \text{condition for validity of Born Approxn, for spherically symmetric potentials [Davydov (106.16)]}. \quad (22)$$

There is no limitation on  $k$  here... we can take either the low energy ( $k \rightarrow 0$ ) or high energy ( $k \rightarrow \text{large}$ ) limit. In the latter case...

HIGH ENERGY:  $kr' \gg 1$  for all  $r' > 0 \Rightarrow \langle e^{2ikr'} \rangle = 0$  in (22):

$$\text{so } \boxed{\left| \int_0^{\infty} V(r') dr' \right| \ll \hbar v}. \quad (23)$$

The integral here can be written as  $\left| \int_0^{\infty} V(r') dr' \right| = \bar{V} \cdot d$ , where  $\bar{V}$  is  $\sim$  average value of  $V$  within its range  $d$ , in the manner of Eq. (21). Then (23) requires:  $\bar{V} \ll (\hbar/d)v \sim mv^2$ , and the validity condition at high energies is once again a requirement that the average potential  $\bar{V}$  is small compared to the incident particle K.E.

Since  $\bar{V} \ll \text{particle K.E.}$  is evidently easier to satisfy as the particle K.E. increases, then the Born Approxn works better at higher energies. We can say that the Born scattering amplitude  $A_B(q)$  of Eq. (16) above is a reasonable high-energy approximation to the scattering event, in the sense of Eq. (23) [or Eq. (21), at lower energies].

ASIDE Nature of the average potential  $\bar{V}$  and range  $d$ .

1. For spherically symmetric potentials, the condition of Eq. (22) for validity of the Born Approxn has both low & high energy limits (drop the primes, now):

$$\left| \int_0^\infty [e^{2ikr} - 1] V(r) dr \right| \ll \hbar v \Rightarrow \begin{cases} \left| \int_0^\infty r V(r) dr \right| \ll \hbar^2/2m, \text{ LOW } E (k \rightarrow 0); \\ \left| \int_0^\infty V(r) dr \right| \ll \hbar v, \text{ HIGH } E (k \rightarrow \text{large}). \end{cases} \quad (24)$$

We have been writing the integrals over  $V(r)$  as though they existed, e.g. in Eq. (21), we put  $\left| \int_0^\infty r V(r) dr \right| = \bar{V} d^2$ , <sup>W</sup>  $\bar{V}$  = average potential within range  $d$ . But for some types of potentials, the integrals don't exist... e.g. for a Coulomb potential  $V(r) = -Ze^2/r$  (for scattering of an electron from a nucleus  $Ze$ ), clearly  $\left| \int_0^\infty r V(r) dr \right| \rightarrow \infty$ , and the Born Approxn doesn't work at any energy.

2. So, in addition to being restricted to "high energies", the Born Approxn applies only to those potentials for which the integral  $\int_0^\infty (e^{2ikr} - 1) V(r) dr$  exists.  $V(r) \sim \frac{1}{r}$  does not meet this criterion, but other potentials do, as demonstrated by Davydov on pp. 455-56.

(a) Exponential Potential:  $V(r) = V_0 e^{-r/r_0}$ .

$$\left| \int_0^\infty [e^{2ikr} - 1] V(r) dr \right| = \left( \frac{2kr_0}{\sqrt{1 + (2kr_0)^2}} \right) V_0 r_0 \quad \left. \begin{array}{l} \text{can be written as } \bar{V} d, \text{ where} \\ \bar{V} \rightarrow V_0 \text{ \& } d \rightarrow r_0 \text{ @ high } E. \end{array} \right\} \quad (25)$$

So

$$\text{Eq. (24)} \Rightarrow \left( \frac{2kr_0}{\sqrt{1 + (2kr_0)^2}} \right) V_0 r_0 \ll \frac{\hbar^2 k}{m}, \text{ or } \begin{cases} V_0 \ll \hbar^2/2mr_0^2, \text{ at low } E; \\ V_0 \ll \hbar v/r_0, \text{ at high } E. \end{cases}$$

This is a "clean" example... the low & high  $E$  criteria closely resemble those developed in Eqs. (21) & (23) above, and  $r_0$  has a reasonably clear interpretation as a "range". It is clearly convenient to have some exponential damping factor in  $V(r)$  to ensure the existence of the integral  $\int_0^\infty [e^{2ikr} - 1] V(r) dr$ .

**ASIDE** (cont'd) Nature of  $\bar{V}$  & d.(b) Yukawa (screened Coulomb) Potential:  $V(r) = \frac{(Z_1 e)(Z_2 e)}{r} e^{-r/r_0}$ .

$$\left| \int_0^\infty [e^{zikr} - 1] V(r) dr \right| = \bar{V} d \quad \begin{cases} \bar{V} = Z_1 Z_2 e^2 / r_0, \quad r_0 = \text{screening length;} \\ d = r_0 [(\ln \sqrt{1+\rho^2})^2 + (\tan^{-1} \rho)^2]^{1/2}, \quad \underline{\rho} = 2kr_0. \end{cases} \quad (26)$$

As the screening length  $r_0 \rightarrow \infty$ , so that we approach the pure Coulomb potential (i.e.  $V(r) \rightarrow Z_1 Z_2 e^2 / r$ ), the range  $d \rightarrow r_0 [(\ln \rho)^2 + (\frac{\pi}{2})^2]^{1/2} \approx r_0 \ln(2kr_0)$  becomes  $\infty$ , and the integral  $\bar{V} d \rightarrow Z_1 Z_2 e^2 \ln(2kr_0)$  also diverges. But these divergences are logarithmically weak, so that a specific value of  $r_0$  which makes the integral finite is not critical. The validity criterion is (22),

$$\xrightarrow{\text{i.e.}} Z_1 Z_2 e^2 [(\ln \sqrt{1+\rho^2})^2 + (\tan^{-1} \rho)^2]^{1/2} \ll \hbar v, \quad \rho = 2 \frac{m v r_0}{\hbar}. \quad (27)$$

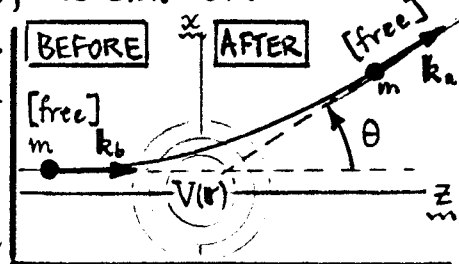
Roughly speaking, this requires:  $Z_1 Z_2 e^2 \ll \hbar v$ , for (screened) Coulomb scattering between charges  $Z_1 e$  &  $Z_2 e$  at relative velocity  $v$ .

**END of ASIDE**

**8)** Now that we understand the range-of-validity of the Born Approxn [i.e. the approxn  $\psi(\text{scattering}) \approx \phi_b(\text{incoming})$  that produced the scattering amplitude  $A_B(q)$  of Eq. (16)] we can calculate some actual differential scattering cross-sections  $d\sigma/d\Omega$  for "interesting" interaction potentials  $V$ .

For elastic scattering (per remark 2 on p. ScT 7):  $d\sigma/d\Omega = |A(b \rightarrow a)|^2$ , and for the Born Approxn  $A(b \rightarrow a) \approx A_B(q)$ , per Eq. (16), we can write...

$$\left[ \begin{aligned} \frac{d\sigma}{d\Omega} &= \left( \frac{m}{2\pi\hbar^2} \right)^2 |\langle \phi_a | V | \phi_b \rangle|^2 \\ \langle \phi_a | V | \phi_b \rangle &= \int_{\infty} V(r) e^{i(k_b - k_a) \cdot r} d^3x. \end{aligned} \right. \quad (28)$$



The matrix element measures the amplitude for a free-free transition  $\phi_b \rightarrow \phi_a$  induced by  $m$ 's "collision" with  $V$ . All that happens is that  $m$ 's initial momentum  $\hbar k_b$  changes direction to  $\hbar k_a$ ; it is "scattered" through  $\theta$ .

The momentum change  $\mathbf{k}_b \rightarrow \mathbf{k}_a$  is elastic in that  $|\mathbf{k}_b| = k = |\mathbf{k}_a|$ , and the scattering  $\angle \theta$  (i.e.  $\angle$  between  $\mathbf{k}_b$  &  $\mathbf{k}_a$ ) can be brought in as follows...

$$\left\{ \begin{array}{l} \text{let } \mathbf{k}_b \text{ be along } z\text{-axis: } \mathbf{k}_b = (0, 0, k) \\ \text{let } \mathbf{k}_a \text{ lie in } xz\text{-plane: } \mathbf{k}_a = (k \sin \theta, 0, k \cos \theta) \end{array} \right\} \begin{array}{l} \text{so } \mathbf{k}_b - \mathbf{k}_a = \\ = k(-\sin \theta, 0, 1 - \cos \theta), \end{array}$$

$$\text{and } |\mathbf{k}_b - \mathbf{k}_a| = k \sqrt{\sin^2 \theta + (1 - \cos \theta)^2} = 2k \sin(\theta/2), \quad \theta = \text{scatt } \angle. \quad (29)$$

Scattering by  $\angle \theta$  is thus accompanied by a momentum transfer of size  $\Delta k = 2k \sin(\theta/2)$  to the scattered particle.  $\Delta k$  ranges from zero at  $\theta = 0$  ("forward" scattering, i.e. no scattering at all) to  $\Delta k = 2k$  at  $\theta = 180^\circ$  (i.e. back-scattered particles). This is in accord with classical notions.

The matrix element in Eq. (28) is the 3D Fourier transform  $\tilde{V}(\mathbf{q})$  of the scattering potential  $V(\mathbf{r})$  w.r.t. the momentum transfer  $\mathbf{q} = \mathbf{k}_b - \mathbf{k}_a$ , i.e.

$$\rightarrow \langle \phi_a | V | \phi_b \rangle = \int_{\infty} V(\mathbf{r}) e^{i\mathbf{q} \cdot \mathbf{r}} d^3x = \tilde{V}(\mathbf{q}) \quad \begin{array}{l} \mathbf{q} = \mathbf{k}_b - \mathbf{k}_a, \text{ and:} \\ q = |\mathbf{k}_b - \mathbf{k}_a| = 2k \sin \frac{\theta}{2}; \end{array}$$

$$\text{and } \underline{\underline{\frac{d\sigma}{d\Omega} = (m/2\pi\hbar^2)^2 |\tilde{V}(\mathbf{q})|^2.}} \quad (30)$$

For spherically symmetric potentials  $V(\mathbf{r}) = V(r)$ ,  $\tilde{V}(\mathbf{q})$  can be simplified by doing the  $\angle$  integration. Pick a cd. system with  $\mathbf{q}$  along the  $z$ -axis. Then:

$$\left\{ \begin{array}{l} \tilde{V}(q) = \int_{\infty} V(r) e^{iqr \cos \vartheta} \cdot 2\pi r^2 dr \sin \vartheta d\vartheta \dots \text{let } \mu = \cos \vartheta \\ = 2\pi \int_0^{\infty} V(r) r^2 dr \int_{-1}^{+1} e^{iqr\mu} d\mu = \underline{\underline{\frac{4\pi}{q} \int_0^{\infty} r V(r) \sin qr dr,}} \end{array} \right.$$

$$\text{and } \boxed{\frac{d\sigma}{d\Omega} = (m/2\pi\hbar^2)^2 |\tilde{V}(q)|^2} \quad q = 2k \sin \frac{\theta}{2}, \quad \theta = \text{scatt } \angle. \quad (31)$$

This the Born Approx to the differential scattering cross-section for spherically symmetric potentials. It is a useful form for many problems.