

Question 1: Huffman Encoding Proof

Prove:

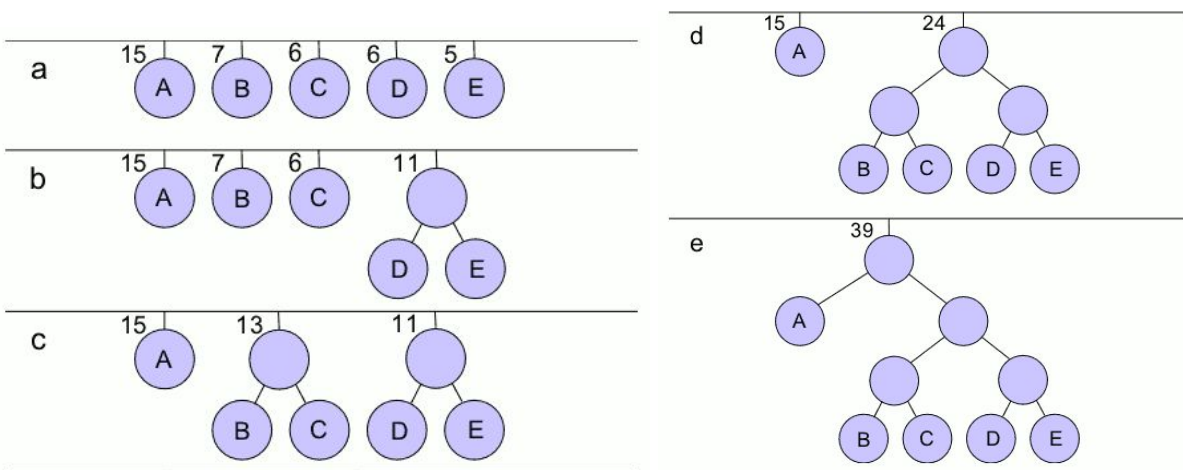
For two symbols A and B with probabilities $P(A) \geq P(B)$, then in the resultant representation sequence according to Huffman encoding procedure, the length of symbol A is no longer than that of symbol B.

Assume:

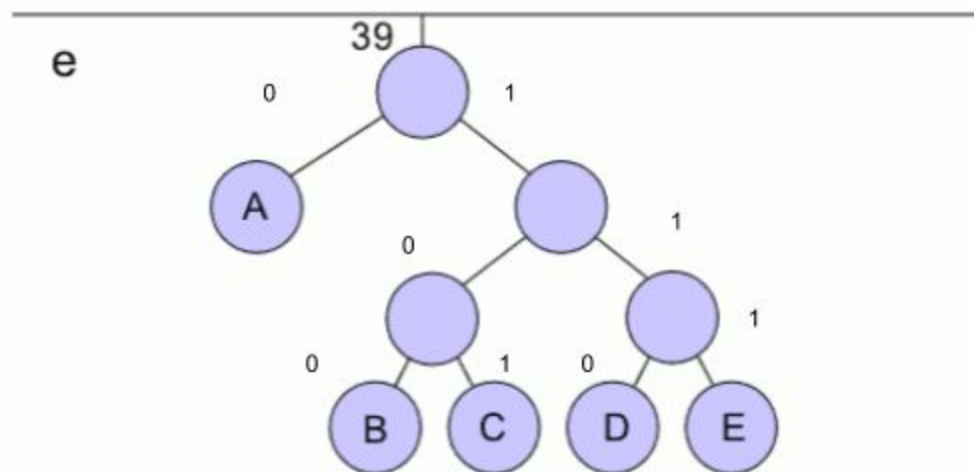
$H(A_i, P_i)$: is an optimal Huffman coding tree

$P(A) = \{p_1, p_2, \dots, p_i\}$ Probability/weight of a symbol in the Alphabet occurring in a word for a given tree.

$A = \{a_1, a_2, \dots, a_i\}$



$A = \{A, B, C, D, E\}$, $P(A) = \{15, 7, 6, 6, 5\}$



Optimal Tree H

The binary representation of each given symbol is determined by the path that must be traversed to get to the leaf node.

A	B	C	D	E
0	100	101	110	111

The length of a symbols binary representation is determined by the depth at which the leaf nodes lies in the optimal tree. In example by examination of tree H we can see.

$P(A) \geq P(B) : 15 \geq 7$ and the corresponding lengths are $1 \leq 3$

Let's assume S is the set of all symbols and S' is the set of all symbols with probability less than symbol A

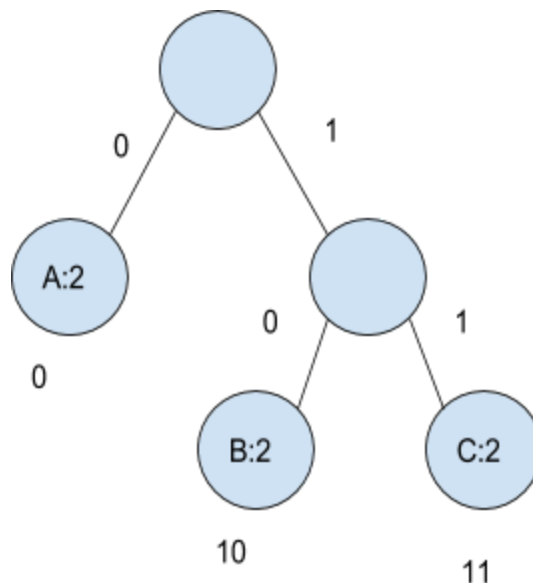
$$S = \{A, B, C, D, E\}$$

$$S' = \{\forall i \in S \mid P(i) < P(A)\} \text{ therefore } S' \in \{B, C, D, E\}$$

We can generalize this statement to $P(A) \geq P(S'_i)$. For tree H we can take any symbol on the right hand side of the tree and it's binary code length will always be larger than $P(A)$. As Huffman coding states, that the symbols with the lowest probabilities must be pair first.

Case $N = 1$: where H has only two symbols we can see that $P(a) \geq P(b)$ let's assume that a and b have equal probability the length of A and B will be both 1, with their paths equal to 1 respectively 0,1.

Case $N = 3$: To follow take the case all probabilities are equal, $P(A, B, \dots, N) = 1/N$ and N is odd. we can look at the case where 3. We can see that $P(A) \geq P(B) \geq P(C)$ the lengths respectively are $1 \leq 2 \leq 2$.



Argument:

N is the number of symbols in tree T

Hypothesis: The result is true for less than N symbols where $N > 3$.

Contrary argument : T is optimal for N symbols, T contains A, B such that $P(A) > P(B)$ and $L(A) > L(B)$.
 $L(N_i)$ is the length of the binary code for symbol N_i , $L(N_i)$ is determined by the depth of the symbol.

R is the root of tree T , in which A and B binary code differ by their first bit $A = \{0\dots\}$, $B = \{1\dots\}$.

We pick a node J_i and K_i which are parent nodes of A, B respectively, by the Huffman coding definition the total frequency of $P(J_i) > P(K_i)$. By the contrary argument $L(J_i) > L(K_i)$, therefore J_i is deeper than K_i .

If we repeat this claim finitely to the N th case, we will reach nodes J_n and K_n where K_n is the root R and J_n is not. This proves to be a contradiction as the root must contain the largest frequency/probability, since $P(J_n) > P(K_n)$ there is no way for $P(K_n)$ to be the root with a subtree with a probability/frequency.

Therefore J_n must be equal to R Proving that the depth of A must less or equal to B , in turn we get $L(A) \leq L(B)$.

