

EEP 596: LLMs: From Transformers to GPT || Lecture 3

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Univ. of Washington, Seattle

January 11, 2024

Outline for Lecture

- Training and Back-propagation

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- Over-fitting and Hyper-parameters

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- Other DL architectures

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- Deep Learning, Embeddings and Vector Search

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- Over-fitting and Hyper-parameters
- Other DL architectures
- Deep Learning, Embeddings and Vector Search
- Working with Embeddings

Deep Learning References

Deep Learning

Great reference for the theory and fundamentals of deep learning: Book by Goodfellow and Bengio et al [Bengio et al](#)

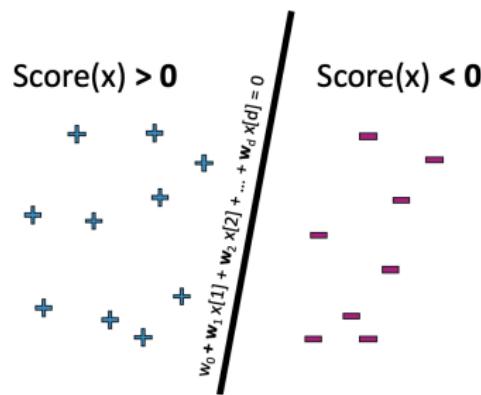
Deep Learning History Sentence Embeddings

Recap from last lecture

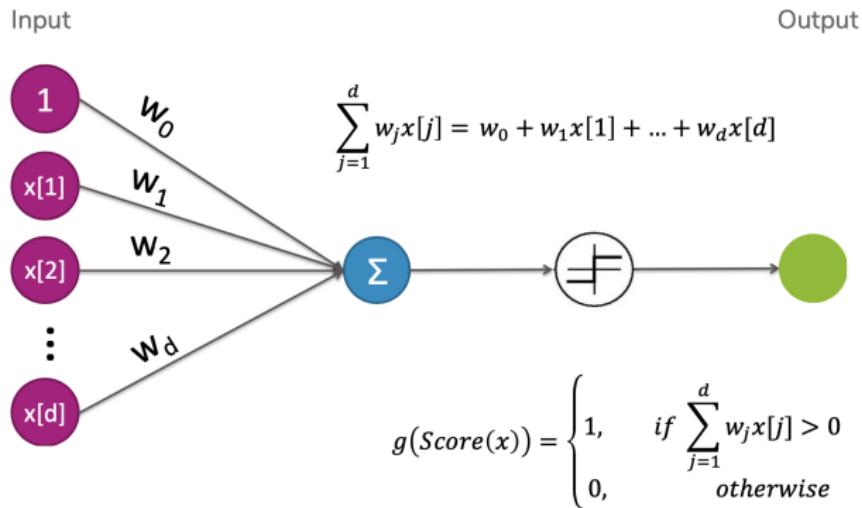
- Perceptron
- OR/AND functions
- XOR
- Activation Functions

Perceptron

$$\text{Score}(x) = w_0 + w_1 x[1] + w_2 x[2] + \dots + w_d x[d]$$

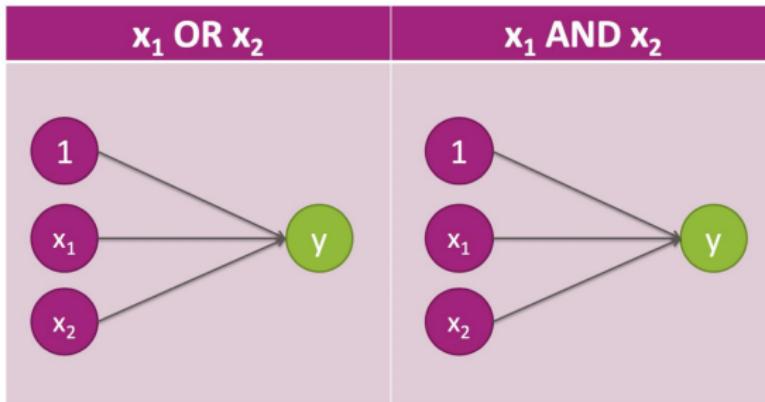


Perceptron



OR and AND Functions

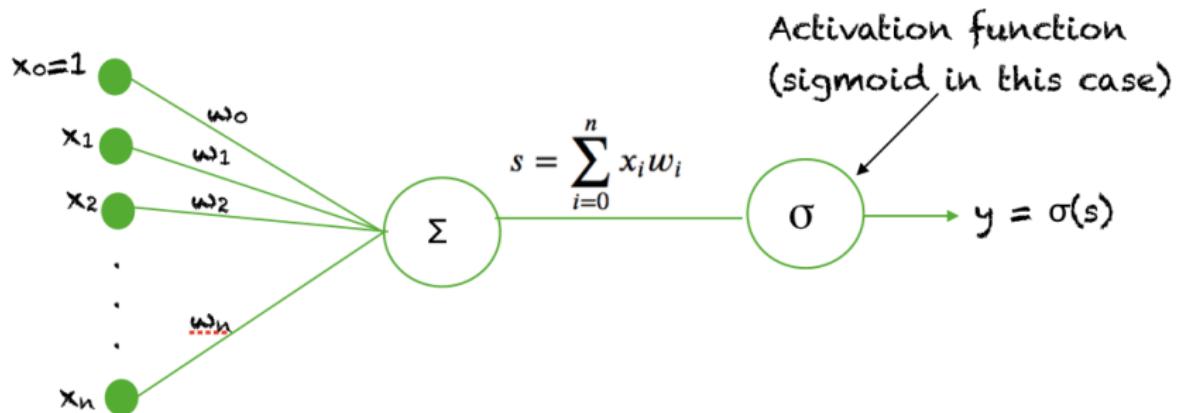
What can a perceptrons represent?



x_1	x_2	y
0	0	0
0	1	1
1	0	1
1	1	1

x_1	x_2	y
0	0	0
0	1	0
1	0	0
1	1	1

LR represented Graphically



XOR through Multi-layer perceptron

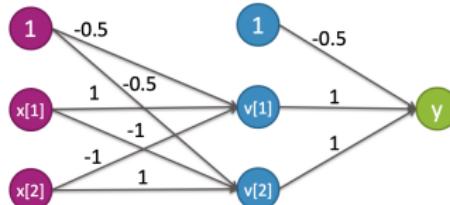
This is a 2-layer neural network

$$y = x[1] \text{ XOR } x[2] = (x[1] \text{ AND } !x[2]) \text{ OR } (!x[1] \text{ AND } x[2])$$

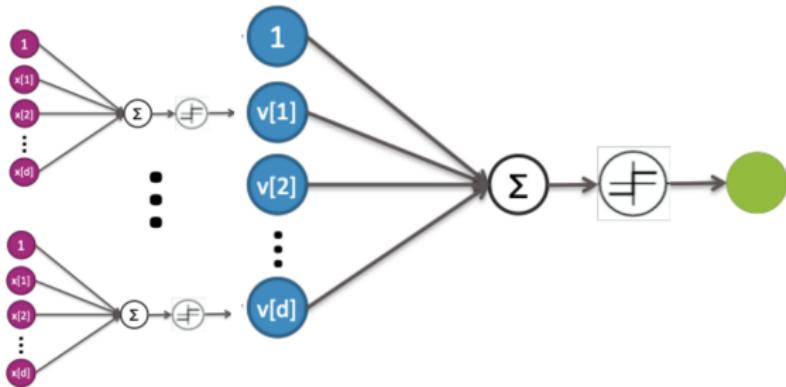
$$\begin{aligned}v[1] &= (x[1] \text{ AND } !x[2]) \\&= g(-0.5 + x[1] - x[2])\end{aligned}$$

$$\begin{aligned}v[2] &= (!x[1] \text{ AND } x[2]) \\&= g(-0.5 - x[1] + x[2])\end{aligned}$$

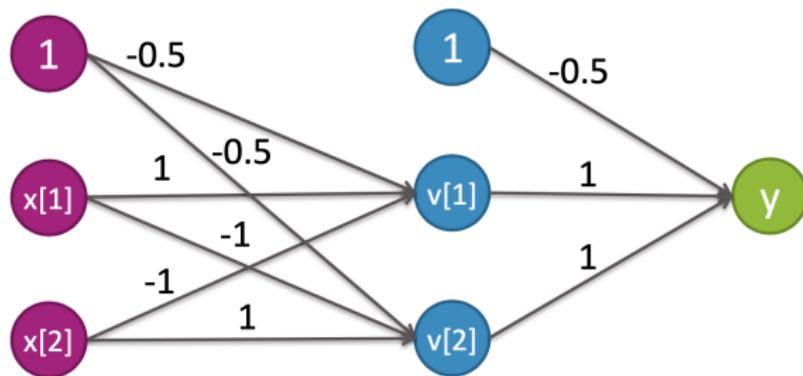
$$\begin{aligned}y &= v[1] \text{ OR } v[2] \\&= g(-0.5 + v[1] + v[2])\end{aligned}$$



Multi-Layer Perceptron (MLP)

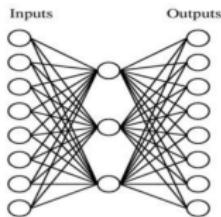


Multi-Layer Perceptron (MLP)



2 Layer Neural Network

Two layer neural network (alt. one hidden-layer neural network)



Single

$$out(x) = g\left(w_0 + \sum_j w_j x[j]\right)$$

1-hidden layer

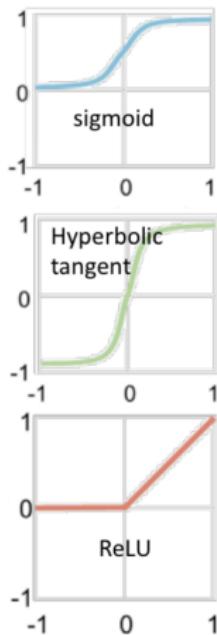
$$out(x) = g\left(w_0 + \sum_k w_k g\left(w_0^{(k)} + \sum_j w_j^{(k)} x[j]\right)\right)$$

Deep Learning: Activations, FFN and more

Choices for Non-Linear Activation Function

- **Sigmoid**

- Historically popular, but (mostly) fallen out of favor
- Neuron's activation saturates
(weights get very large -> gradients get small)
- Not zero-centered -> other issues in the gradient steps
- When put on the output layer, called "softmax" because interpreted as class probability (soft assignment)



- **Hyperbolic tangent** $g(x) = \tanh(x)$

- Saturates like sigmoid unit, but zero-centered

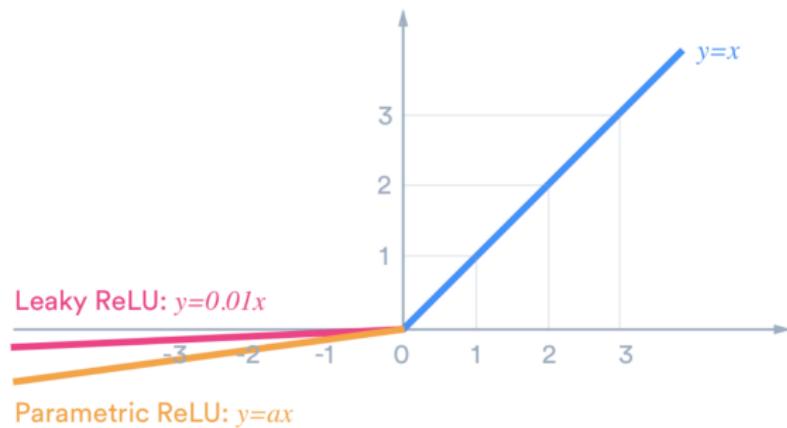
- **Rectified linear unit (ReLU)** $g(x) = x^+ = \max(0,x)$

- Most popular choice these days
- Fragile during training and neurons can "die off"...
be careful about learning rates
- "Noisy" or "leaky" variants

- **Softplus** $g(x) = \log(1+\exp(x))$

- Smooth approximation to rectifier activation

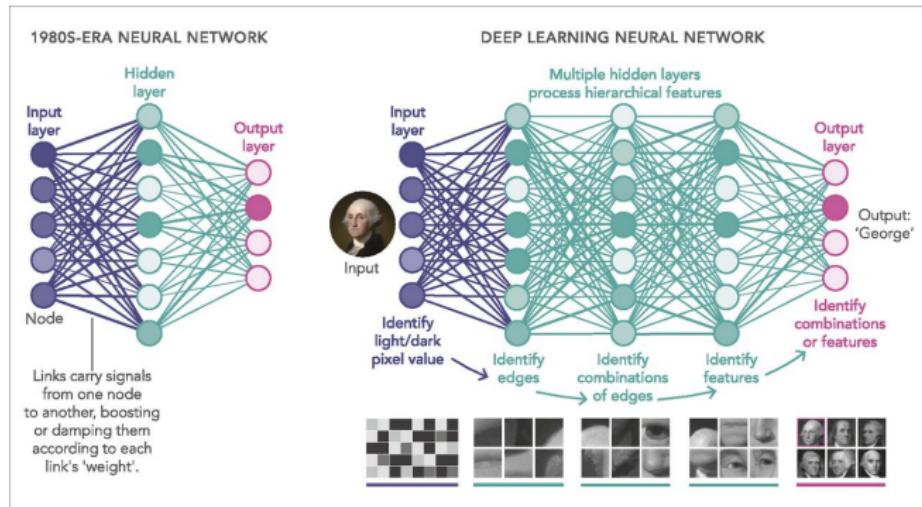
RELU vs Leaky RELU



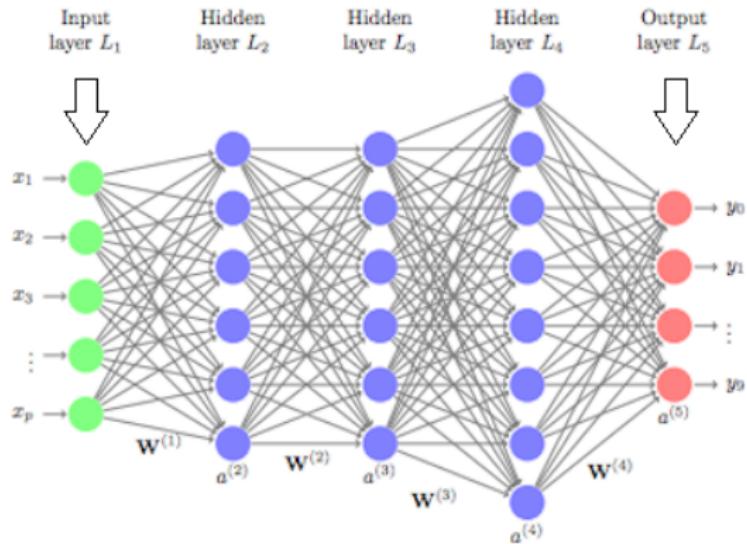
Tensorflow Playground Demo

Tensorflow Playground Demo

Feed-forward Deep Learning Architecture Example



Feed-forward Deep Learning Architecture Example



Training a DNN

SGD with mini-batch

SGD mini-batch is the staple diet. However there are some **learning rate schedulers** that are known to work better for DNNs - Such as Adagrad and more recently, ADAM. ADAM adapts the learning rate to each individual parameter instead of having a global learning rate.

Training a DNN

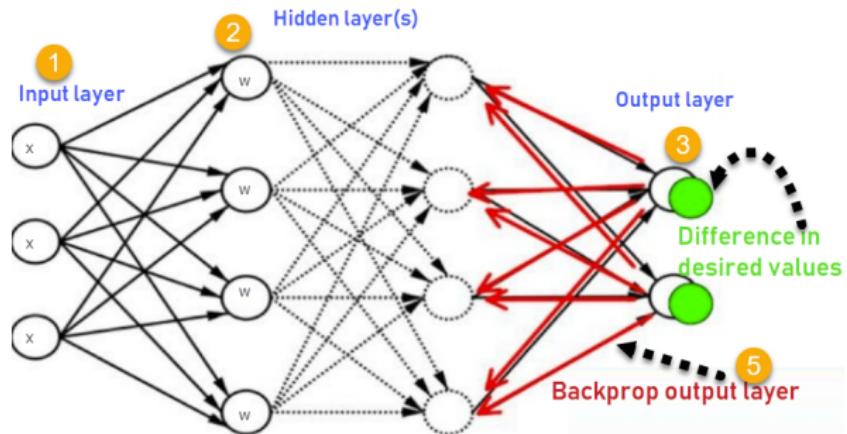
SGD with mini-batch

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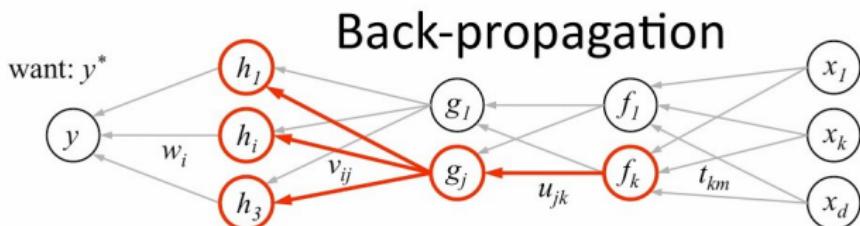
How do we compute gradient in a DNN?

Back-propagation!

Forward Propagation vs Back-propagation



Back Propagation explained



1. receive new observation $\mathbf{x} = [x_1 \dots x_d]$ and target y^*
2. **feed forward:** for each unit g_j in each layer $1 \dots L$ compute g_j based on units f_k from previous layer: $g_j = \sigma(u_{j0} + \sum_k u_{jk} f_k)$
3. get prediction y and error $(y - y^*)$
4. **back-propagate error:** for each unit g_j in each layer $L \dots 1$

(a) compute error on g_j

$$\frac{\partial E}{\partial g_j} = \sum_i \sigma'(h_i) v_{ij} \frac{\partial E}{\partial h_i}$$

should g_j be higher or lower?
how h_i will change as g_j changes
was h_i too high or too low?

(b) for each u_{jk} that affects g_j

(i) compute error on u_{jk}

$$\frac{\partial E}{\partial u_{jk}} = \frac{\partial E}{\partial g_j} \sigma'(g_j) f_k$$

do we want g_j to be higher/lower
how g_j will change if u_{jk} is higher/lower

(ii) update the weight

$$u_{jk} \leftarrow u_{jk} - \eta \frac{\partial E}{\partial u_{jk}}$$

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Back Propagation Summary

Back Prop

Back prop is one of the fundamental backbones of the training modules behind deep learning and beyond (including for example ChatGPT). What exactly is back prop? It is just a way to unravel gradient computation in the neural network. Back prop is how we would **compute the gradient** in a neural network.

Back Propagation Summary

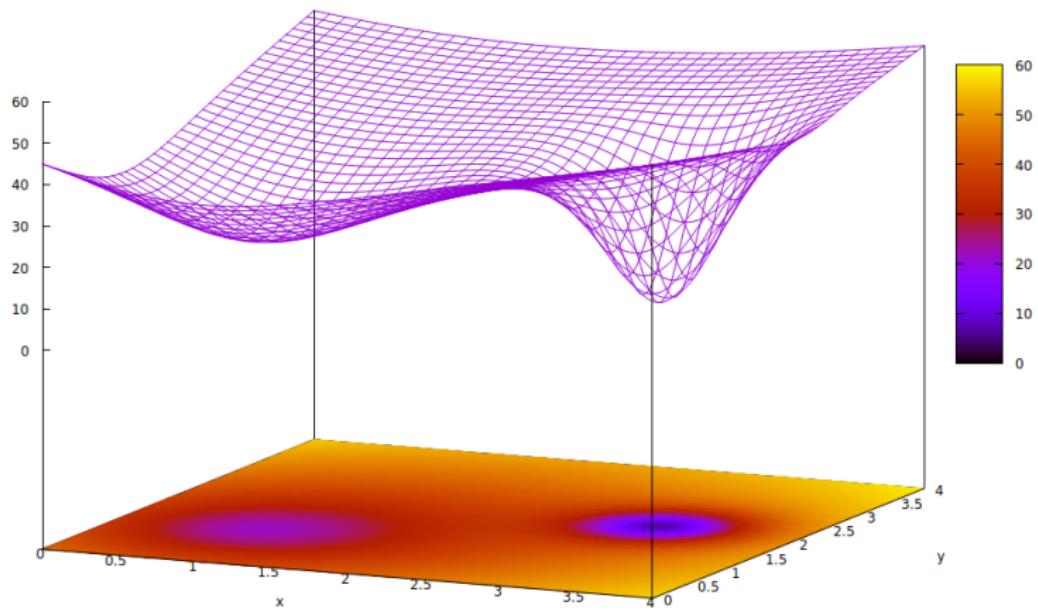
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Back Prop as information flow

It can also be thought of as flow information from the error in the output (the loss function) down to the weights. Update the weights so we don't make **this error** next time around. Back prop is a way to do **gradient descent in neural networks!**

Good vs Bad Local minima



Hyper-parameters in Deep Learning

ICE #1: Which of the following is not a hyper-parameter in deep learning?

- ① Learning rate
- ② Number of Hidden Layers
- ③ Number of neurons per hidden layer
- ④ All of the above

Hyper-parameters in Deep Learning

Hyper-parameters

- ① Learning rate
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Hyper-parameters in Deep Learning

Hyper-parameters

- ① Learning rate
- ② Number of Hidden Layers
- ③ Number of neurons per hidden layer
- ④ Type of non-linear activation function used

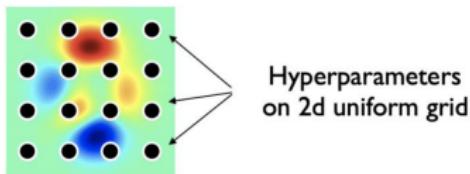
Hyper-parameters in Deep Learning

Hyper-parameters

- ① Learning rate
- ② Number of Hidden Layers
- ③ Number of neurons per hidden layer
- ④ Type of non-linear activation function used
- ⑤ Anything else?

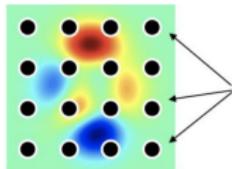
Hyper-parameter tuning methods

Grid search:



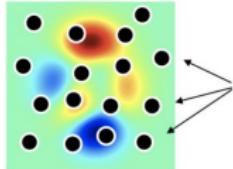
Hyper-parameter tuning methods

Grid search:



Hyperparameters
on 2d uniform grid

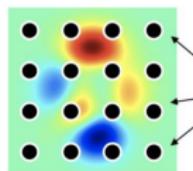
Random search:



Hyperparameters
randomly chosen

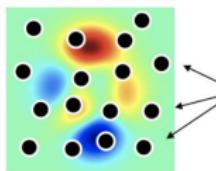
Hyper-parameter tuning methods

Grid search:



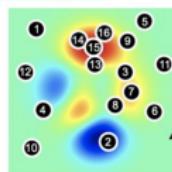
Hyperparameters
on 2d uniform grid

Random search:



Hyperparameters
randomly chosen

Bayesian Optimization:



Hyperparameters
adaptively chosen

Over-fitting in DNNs

How to handle over-fitting in DNNs

- ➊ A DNN model with 100 million parameters and only 100k data points or even a million data points will overfit unless we take care of over-fitting.

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- ④ Dropouts!

Over-fitting in DNNs

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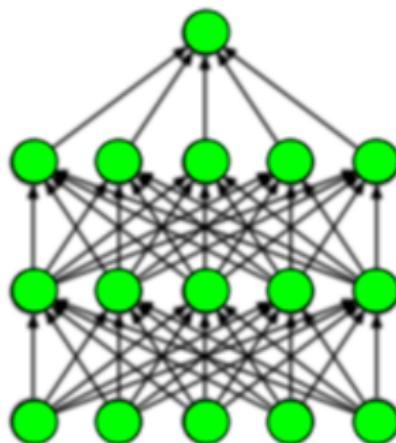
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Over-fitting in DNNs

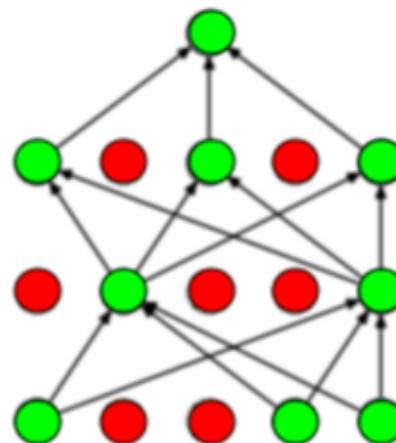
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- ④ Dropouts!
- ⑤ Early stopping is also a great strategy! Stop training the DL model when the validation error starts increasing. How's this different from regular validation we were doing earlier??
- ⑥ Book by Yoshua Bengio has tons of details and great reference for Deep Learning!

Taking care of Over-fitting: Dropouts



(a) Standard Neural Net



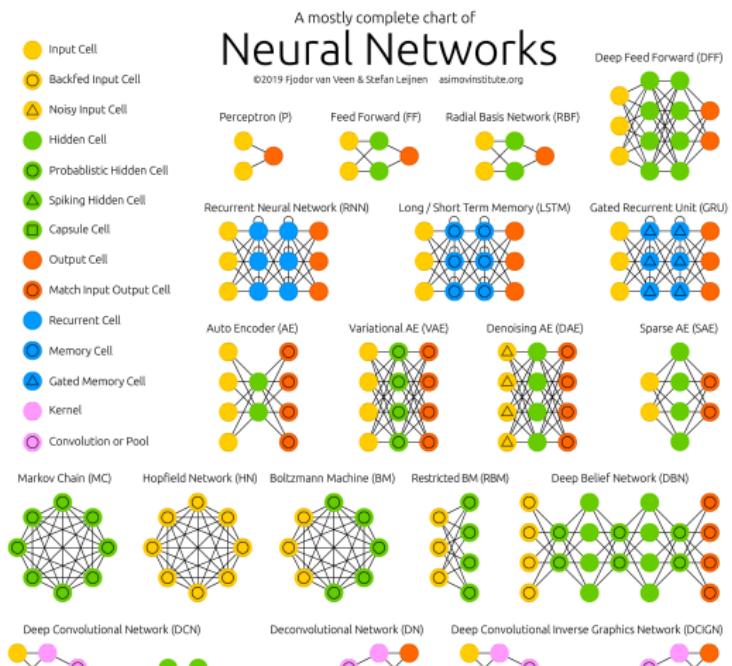
(b) After applying dropout.

Tensorflow Playground Demo

Tensorflow Playground Demo

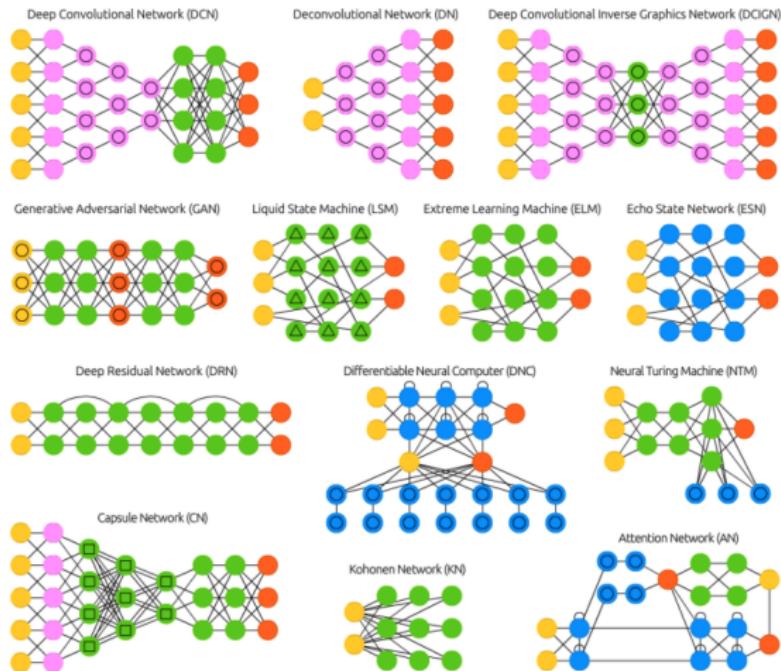
More DL Architectures

Neural Networks Zoo Zoo Reference



More DL Architectures

Neural Networks Zoo



Sequence structure in NLP

Example

I love this car! Positive Sentiment

Sequence structure in NLP

Example

I love this car! Positive Sentiment

Example

I am not sure I love this car! Negative Sentiment

Sequence structure in NLP

Example

I love this car! Positive Sentiment

Example

I am not sure I love this car! Negative Sentiment

Example

I don't think its a bad car at all! → Positive Sentiment

Sequence structure in NLP

Example

I love this car! Positive Sentiment

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I am not sure I love this car! Negative Sentiment

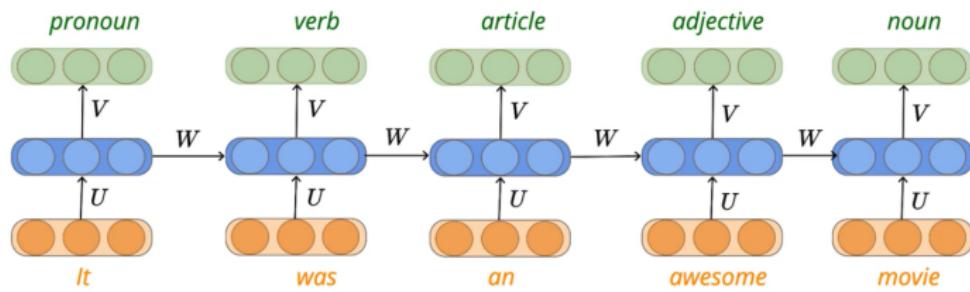
Example

I don't think its a bad car at all! → Positive Sentiment

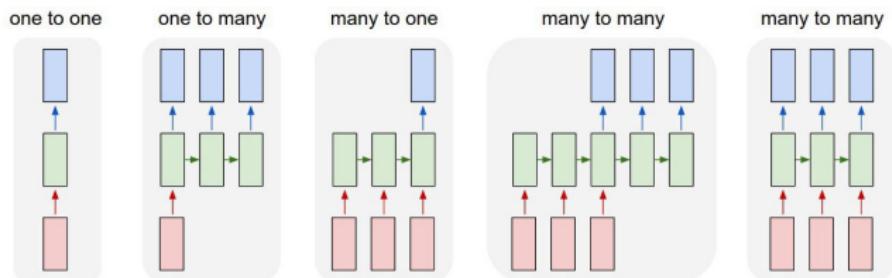
Example

Have to carry the **context(state)** from some-time back to fully understand what's happening!

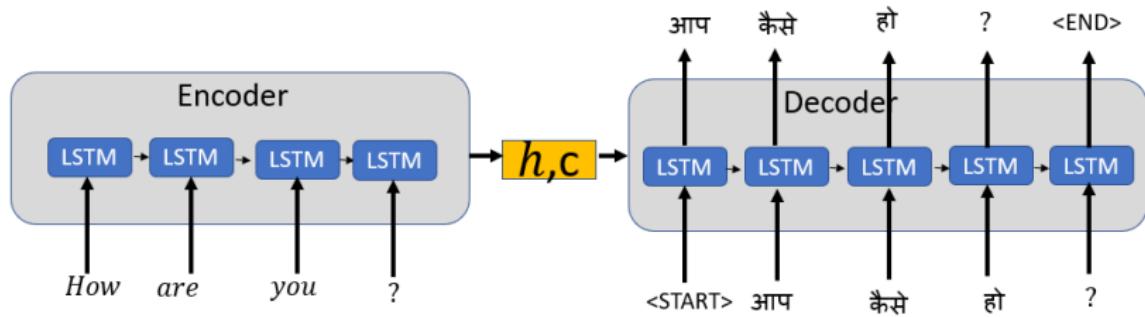
Sequence to Sequence Model (LSTM) Applications



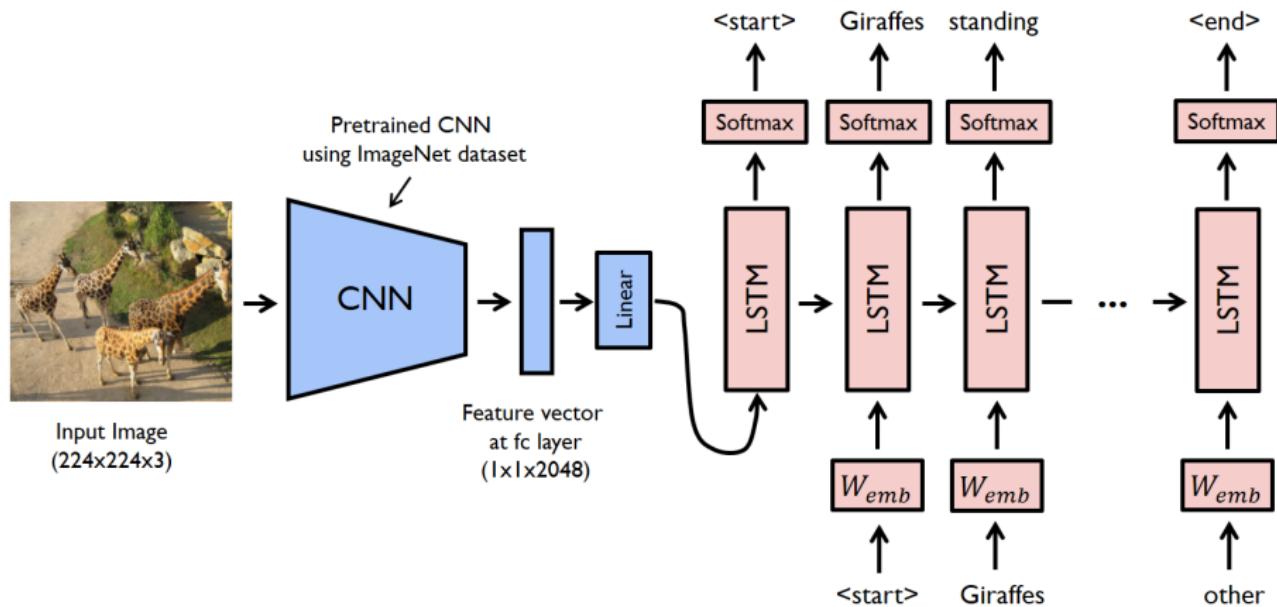
Sequence to Sequence Model (LSTM) Applications



Sequence to Sequence Model (LSTM) Applications



Sequence to Sequence Model (LSTM) Applications



Breakouts Time #1

Auto-complete — 5 mins

Let's say you are tasked with building an in-email auto-completion application, which can help complete partial sentences into full sentences through suggestions (auto-complete). How would you use what we have learned so far to model this? What architecture would you use? What would be your data? And what are some pitfalls or painpoints your model should address?