## Query Complexity of Mastermind Variants

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### Mastermind

- i. Codemaker vs. Codebreaker
- ii. Queries: Guess a vector from  $\{1, 2, \dots, 6\}^4$ .
- iii. Response
  - i. Black (Red) hits
  - ii. White hits



## Knuth Paper – 1976

At most five turns needed to guarantee a victory

#### Minimax

For each possible guess

For each possible response to that guess

Check how many possible solutions remain

Let *score* be min. number solutions eliminated

Make guess with maximum score

# Optimality of the Minimax Algorithm

## Lemma (Pigeonhole Principle)

For a query with r possible responses, there exists a response that would leave at least 1/r of possible solutions remaining.

Analyze the worst-case performance of any algorithm.

- i. Worst case response to first guess  $\Rightarrow$  At least 256 solutions remain.
- ii. Second guess: At least  $\lceil 256/14 \rceil = 19$  solutions remain.
- iii. Third guess: At least  $\lceil 19/14 \rceil = 2$  solutions remain
- iv. Fourth guess: At least two possible solutions left  $\Rightarrow$  cannot guarantee to guess the solution on the fourth turn.

#### Extensions

- i. Basic Extension: n spots, k colors
- ii. Repeats vs. no repeats
- iii. Non-adaptive vs. adaptive strategies

#### Theorem

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- # of solutions =  $k^n$ .
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In worst case, we need  $\leq 1$  possible solution remaining.

 $\implies$  For any strategy that guarantees a win in s turns,

$$\frac{k^n}{\binom{n+2}{2}^s} \le 1$$

1. Represent guesses and solutions as matrices ( $Q_{ij} = 1$  iff the i-th spot is the j-th color)

## Example

The guess (1,1,3) would become:

$$\left(\begin{array}{ccc}
1 & 0 & 0 \\
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0 & 0 & 1
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- 5. Dot products with basis  $\Rightarrow$  Uniquely determine X

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There exists a set of at most nk guesses such that any hidden vector is uniquely determined by the responses to those guesses.

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## Unique Surprise Function

$$S(x) = -\log_2(\mathbb{P}[x]).$$

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### Definition (Entropy)

Let X be a random variable with domain D.

$$H(X) = \sum_{x \in D} \mathbb{P}[X = x] \cdot \left(-\log_2\left(\mathbb{P}[X = x]\right)\right)$$

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The number of queries submitted by any winning non-adaptive strategy is at least

$$\frac{1}{4}\log_2\left(\frac{k!}{(k-n)!}\right).$$

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 $\exists$  a winning set of  $4k \log k$  guesses

## Thanks!

- 1. Special thanks to Danny Montealegre, Nathan Kaplan.
- 2. Thanks to SUMRY for this research opportunity.
- 3. Thanks to MathFest for the opportunity to present.