

CISS 445 Programming Languages

51. Grammars 1

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Context Free Grammars

- Grammars are rules for generating strings.
- Example:
 - Consider the regex $[1-9][0-9]^*$ (i.e. positive integers). This is written as a regex. You can describe the same set of words in terms of a DFA or NFA.
 - Another way is to define a grammar.
 - $S \rightarrow 1T \mid 2T \mid 3T \mid 4T \mid 5T \mid 6T \mid 7T \mid 8T \mid 9T$
 - $T \rightarrow \epsilon \mid 0T \mid 1T \mid 2T \mid 3T \mid 4T \mid 5T \mid 6T \mid 7T \mid 8T \mid 9T$

Context Free Grammars

- How to use the grammar
 - $S \rightarrow 1T \mid 2T \mid 3T \mid 4T \mid 5T \mid 6T \mid 7T \mid 8T \mid 9T$
 - $T \rightarrow \varepsilon \mid 0T \mid 1T \mid 2T \mid 3T \mid 4T \mid 5T \mid 6T \mid 7T \mid 8T \mid 9T$
- There are two kinds of symbols. Terminating symbols are symbols making up the words you want to generate.
- Nonterminating symbols are “variables”. There is a starting nonterminating symbol. Usually S.

Context Free Grammars

- You start with symbol S .
- Using the rules to replace variables to get new strings. You stop when there are no more non-terminating symbols (“variables”).
- Example:
 - $\underline{S} \Rightarrow 2\underline{T}$ using the rule $S \rightarrow 2T$
 - $\Rightarrow 21\underline{T}$ using the rule $T \rightarrow 1T$
 - $\Rightarrow 21$ using the rule $T \rightarrow \varepsilon$

Context Free Grammars

- $S \Rightarrow 2T \Rightarrow 21T \Rightarrow 21$ is a **derivation**
- Here's another derivation:
 $\underline{S} \Rightarrow 1\underline{T} \Rightarrow 12\underline{T} \Rightarrow 123\underline{T} \Rightarrow 1234\underline{T} \Rightarrow 1234$
- Make sure you see the difference between a derivations and rules.

Context Free Grammars

- **Example.** Here's another example.

$$S \rightarrow 0S1 \mid \varepsilon$$

- There's only one rule.
- Here are some derivations:

$$\underline{S} \Rightarrow \varepsilon$$

$$\underline{S} \Rightarrow 0\underline{S}1 \Rightarrow 00\underline{S}11 \Rightarrow 00\varepsilon11 \Rightarrow 0011$$

$$\underline{S} \Rightarrow 0\underline{S}1 \Rightarrow 00\underline{S}11 \Rightarrow 000\underline{S}111 \Rightarrow 000111$$

Exercise

- Terminals: 0 1 + ()
- Nonterminals: S (the start symbol)
- $S \rightarrow 0 \mid 1 \mid S + S \mid (S)$ (4 rules)
- Write down some derivations. Make sure you use all the rules.
- Is it possible to derive the string 1+1+1 from the grammar?
- Is it possible to derive the string ((1)+(1+1)) from the grammar?

Definitions

- A context-free grammar (CFG) is just like the above
 - A set of nonterminating symbols (“variables”). There is a starting symbol from this set of symbols.
 - A set of terminating symbols: These are symbols making up the words you want to generate.
 - A set of rules (productions) of the form

$$X \rightarrow x$$

where X is a nonterminating symbols and x is a string made up of nonterminating and terminating symbols

Definitions

- A word (of terminating symbols) is derived from a CFG if when starting with the start symbol, you can find productions to replace the variables until you reach that word.
- The sequence of strings to derive that word is called a **derivation**.

Derivations

- Here's a CFG:
- $S \rightarrow \text{Noun Verb Adjective Noun}$
Noun $\rightarrow \text{boy} \mid \text{girl} \mid \text{dog}$
Verb $\rightarrow \text{dates} \mid \text{emails} \mid \text{texts} \mid \text{walks}$
Adjective $\rightarrow \text{pretty} \mid \text{impatient} \mid \text{noisy}$
- Here are some words derived from the grammar:
boy dates pretty girl
dog walks noisy boy

Derivations

- Here's another CFG:
- $S \rightarrow S + S \mid S * S \mid 0 \mid 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \mid 7 \mid 8 \mid 9$
- Here are some derivations:
- $\underline{S} \Rightarrow \underline{S} + S \Rightarrow \underline{S} * S + S \Rightarrow 2 * \underline{S} + S$
 $\Rightarrow 2 * 5 + \underline{S} \Rightarrow 2 * 5 + 7$
- $\underline{S} \Rightarrow S * \underline{S} \Rightarrow S * S + \underline{S} \Rightarrow S * \underline{S} + 7$
 $\Rightarrow \underline{S} * 5 + 7 \Rightarrow 2 * 5 + 7$

Derivations

- $\underline{S} \Rightarrow S * \underline{S} \Rightarrow S * \underline{S} + S \Rightarrow \underline{S} * 5 + S$
 $\Rightarrow 2 * 5 + \underline{S} \Rightarrow 2 * 5 + 7$
- Note that
 - The first derivation picks the leftmost variable for replacement. This is a **leftmost derivation**.
 - The second derivation picks the rightmost variable for replacement. This is a **rightmost derivation**.

BNF Grammars

- There is another way to write the rules. Instead of writing $X \rightarrow x$, we can write

$$X ::= x$$

- Backus-Naur forms (BNF) are the same as CFG except that the rules are written

$$X ::= x$$

instead of $X \rightarrow x$

Example

- Terminals: 0 1 + ()
- Nonterminals: S (also the start symbol)
- Rules: $S ::= 0 \mid 1 \mid S + S \mid (S)$
- Here's a rightmost derivation
- $\underline{S} \Rightarrow S + \underline{S}$
 - $\Rightarrow S + (S + \underline{S})$
 - $\Rightarrow S + (\underline{S} + 1)$
 - $\Rightarrow \underline{S} + (0 + 1)$
 - $\Rightarrow 1 + (0 + 1)$

Example

- Write down the rightmost derivation of $(1 + 0) + 1$.

Example

- Write down the rightmost derivation of $(1 + (1 + (1 + 0)))$.

Example

- Write down the rightmost derivation of $((1 + 1) + 1) + 0$.

Notation for Nonterminals

- In order to make grammars easier to read instead of a single character for nonterminals, you will find $\langle \dots \rangle$ where \dots is a descriptive word.
- Example:
- $\langle \text{expr} \rangle ::= \langle \text{if-expr} \rangle \mid \langle \text{let-expr} \rangle \mid \dots$
- $\langle \text{if-expr} \rangle ::= \text{if } \langle \text{bool-expr} \rangle \text{ then } \langle \text{expr} \rangle \text{ else } \langle \text{expr} \rangle$
- \dots

Example

- Here's a grammar:

$$\begin{aligned} \langle \text{expr} \rangle &::= \langle \text{factor} \rangle \\ &\quad | \langle \text{factor} \rangle + \langle \text{factor} \rangle \end{aligned}$$
$$\begin{aligned} \langle \text{factor} \rangle &::= \langle \text{bin} \rangle \\ &\quad | \langle \text{bin} \rangle * \langle \text{expr} \rangle \end{aligned}$$
$$\langle \text{bin} \rangle ::= 0 \mid 1$$

- Write down a derivation for $1 * 1 + 0$

EBNF

- Some rules occur frequently. Extended BNF makes writing them less painful.
- Options $[]$: $X ::= y[v]z$
 - Shorthand for $X ::= yvz \mid yz$
 - I.e., $[v]$ mean $v \cup \varepsilon$, i.e. v is optional
- Repetition $\{ \}^*$: $X ::= y\{v\}^*z$
 - Shorthand for $X ::= yz \mid yVz$, $V ::= v \mid vV$ where V is a new symbol.

Regular Grammars

- There is a subclass of BNF where the rules are of the form
 $\langle \text{nonterminal} \rangle ::= \langle \text{terminal} \rangle \langle \text{nonterminal} \rangle$
or
 $\langle \text{nonterminal} \rangle ::= \langle \text{terminal} \rangle$
- Such grammars are called regular grammars
- The languages generated by grammars are the same as the languages generated by regex.

Example

- Regular grammar:

$\langle \text{Balanced} \rangle ::= \varepsilon$

$\langle \text{Balanced} \rangle ::= 0 \langle \text{One} \rangle$

$\langle \text{Balanced} \rangle ::= 1 \langle \text{Zero} \rangle$

$\langle \text{One} \rangle ::= 1 \langle \text{Balanced} \rangle$

$\langle \text{Zero} \rangle ::= 0 \langle \text{Balanced} \rangle$

Example

- Write down all the strings of lengths < 4 generated by this grammar.

Example

- Describe this grammar in words.

Parse Trees

- We can describe a derivation using a tree.
- Consider this grammar (see prev slide):

$$\begin{aligned} \langle \text{expr} \rangle &::= \langle \text{factor} \rangle \\ &\quad | \langle \text{factor} \rangle + \langle \text{factor} \rangle \end{aligned}$$
$$\begin{aligned} \langle \text{factor} \rangle &::= \langle \text{bin} \rangle \\ &\quad | \langle \text{bin} \rangle * \langle \text{expr} \rangle \end{aligned}$$
$$\langle \text{bin} \rangle ::= 0 \mid 1$$

- Draw the parse tree for $1 * 1 + 0$ using rightmost derivation.

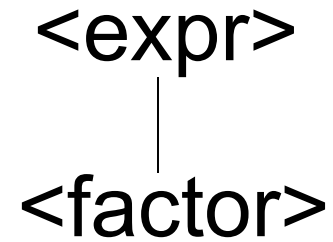
Parse Trees

<expr>

<expr>

Parse Trees

<expr>
=> <factor>

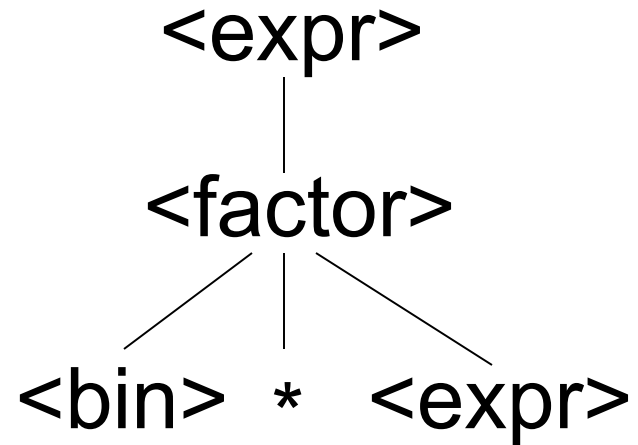


Parse Trees

<expr>

=> <factor>

=> <bin>*<expr>



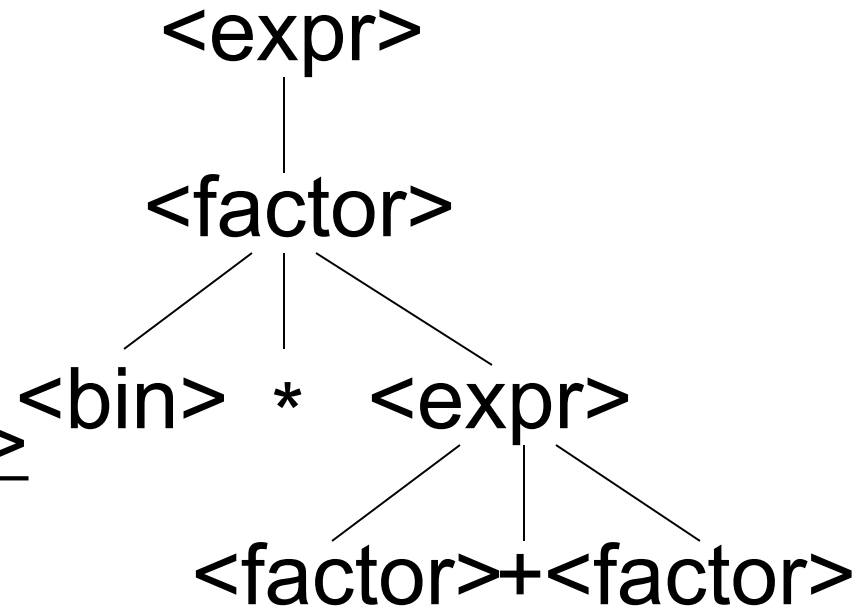
Parse Trees

<expr>

=> <factor>

=> <bin>*<expr>

=> <bin>*<factor>+<factor>



Parse Trees

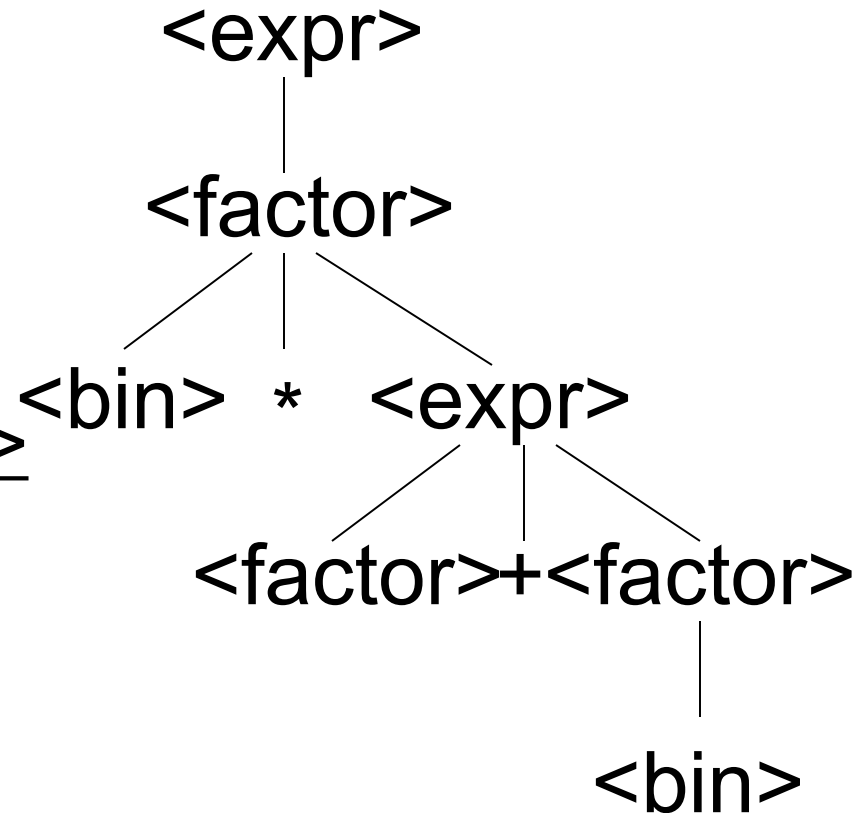
<expr>

=> <factor>

=> <bin>*<expr>

=> <bin>*<factor>+<factor>

=> <bin>*<factor>+<bin>



Parse Trees

<expr>

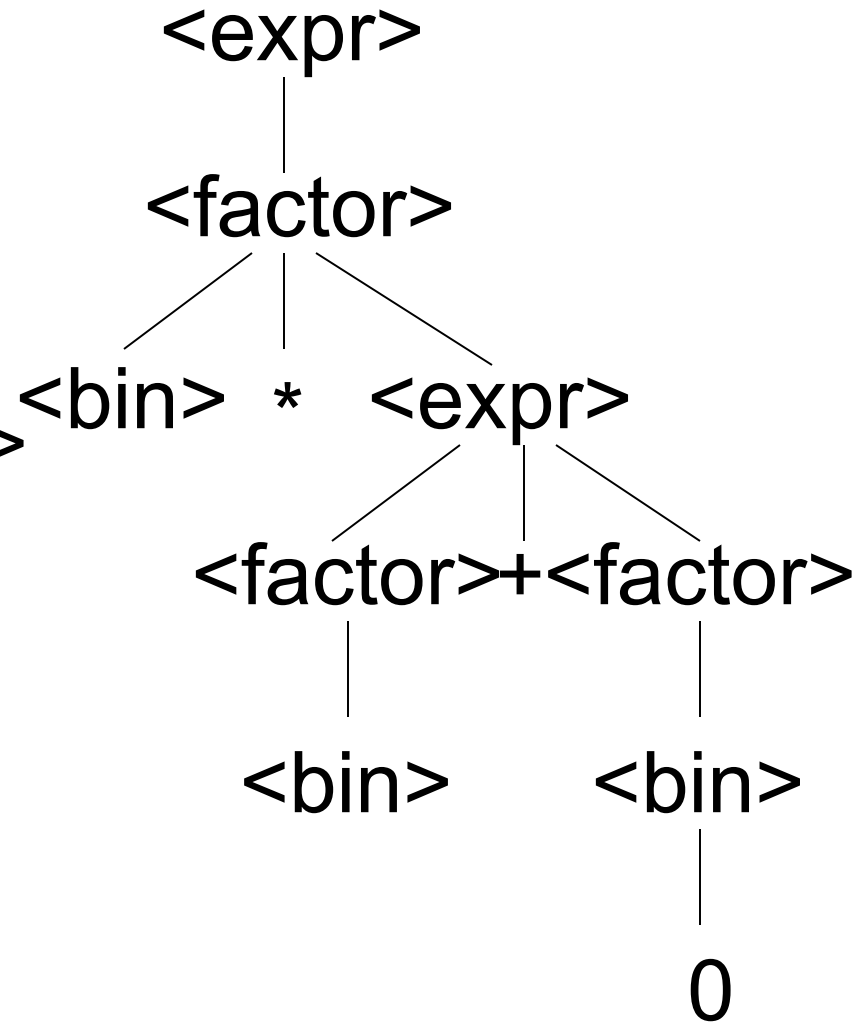
=> <factor>

=> <bin>*<expr>

=> <bin>*<factor>+<factor>

=> <bin>*<factor>+<bin>

=> <bin>*<bin>+0



Parse Trees

<expr>

=> <factor>

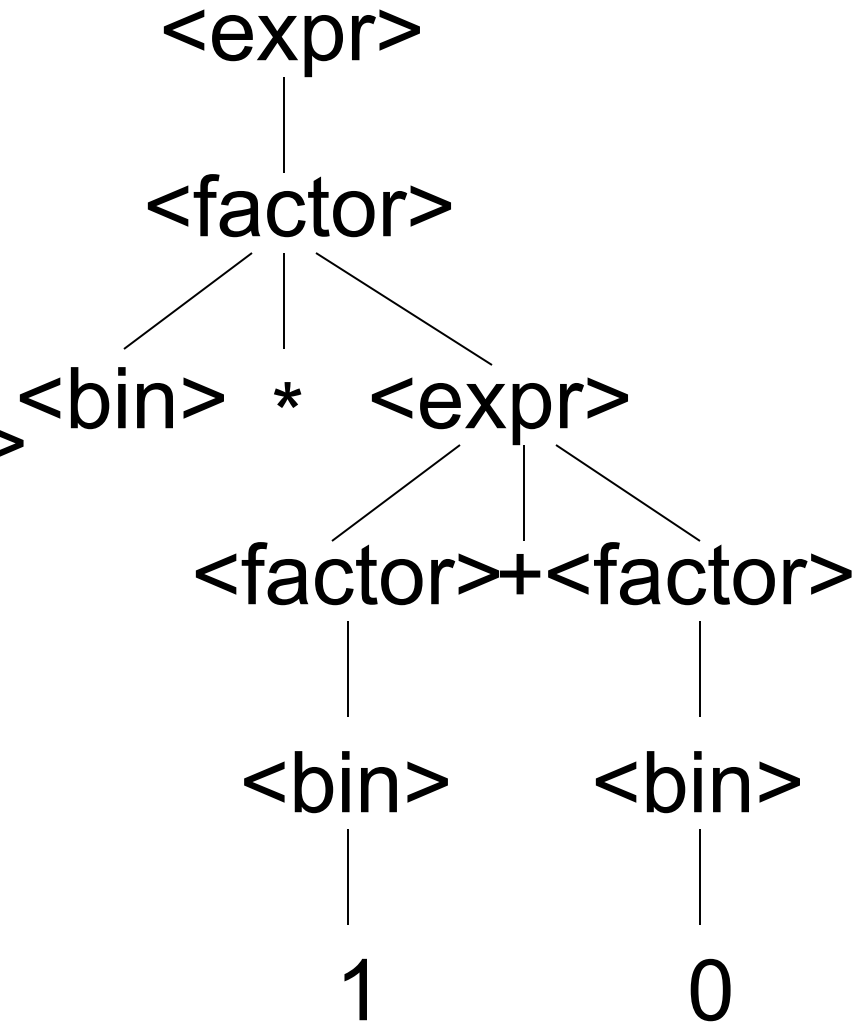
=> <bin>*<expr>

=> <bin>*<factor>+<factor>

=> <bin>*<factor>+<bin>

=> <bin>*<u>bin</u>+0

=> <bin>*1+0



Parse Trees

<expr>

=> <factor>

=> <bin>*<expr>

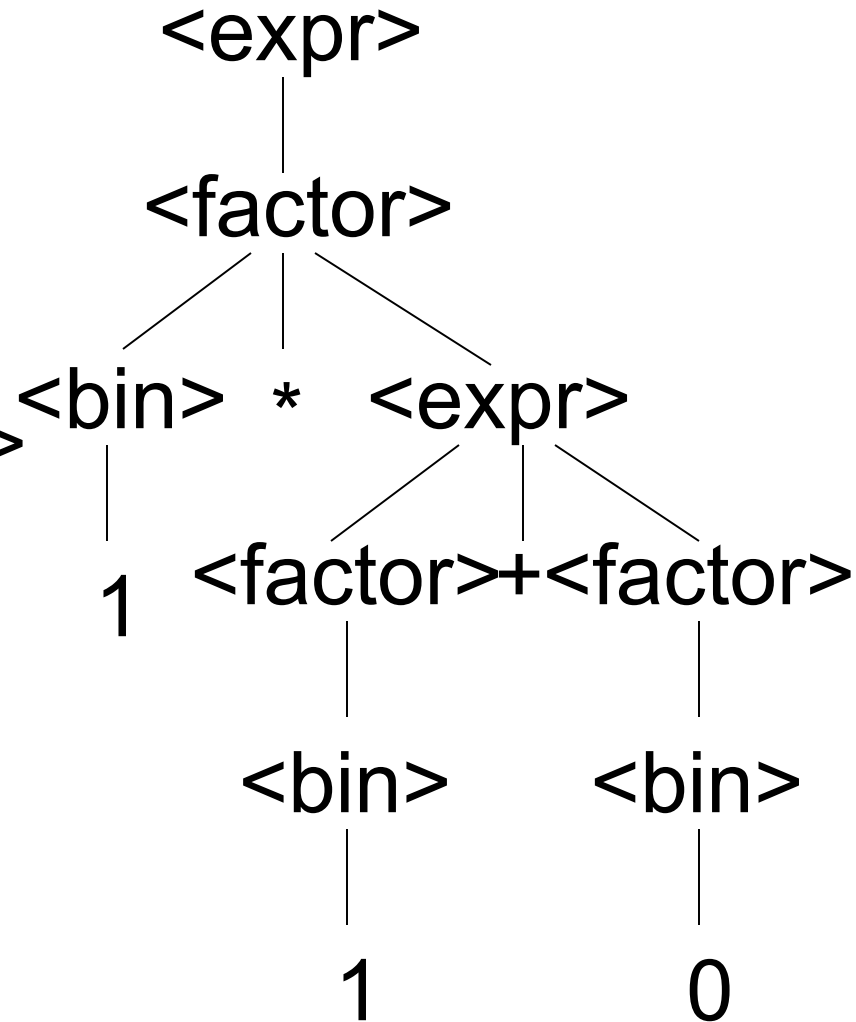
=> <bin>*<factor>+<factor>

=> <bin>*<factor>+<bin>

=> <bin>*<bin>+0

=> <bin>*1+0

=> 1*1+0



Parse Trees

<expr>

=> <factor>

=> <bin>*<expr>

=> <bin>*<factor>+<factor>

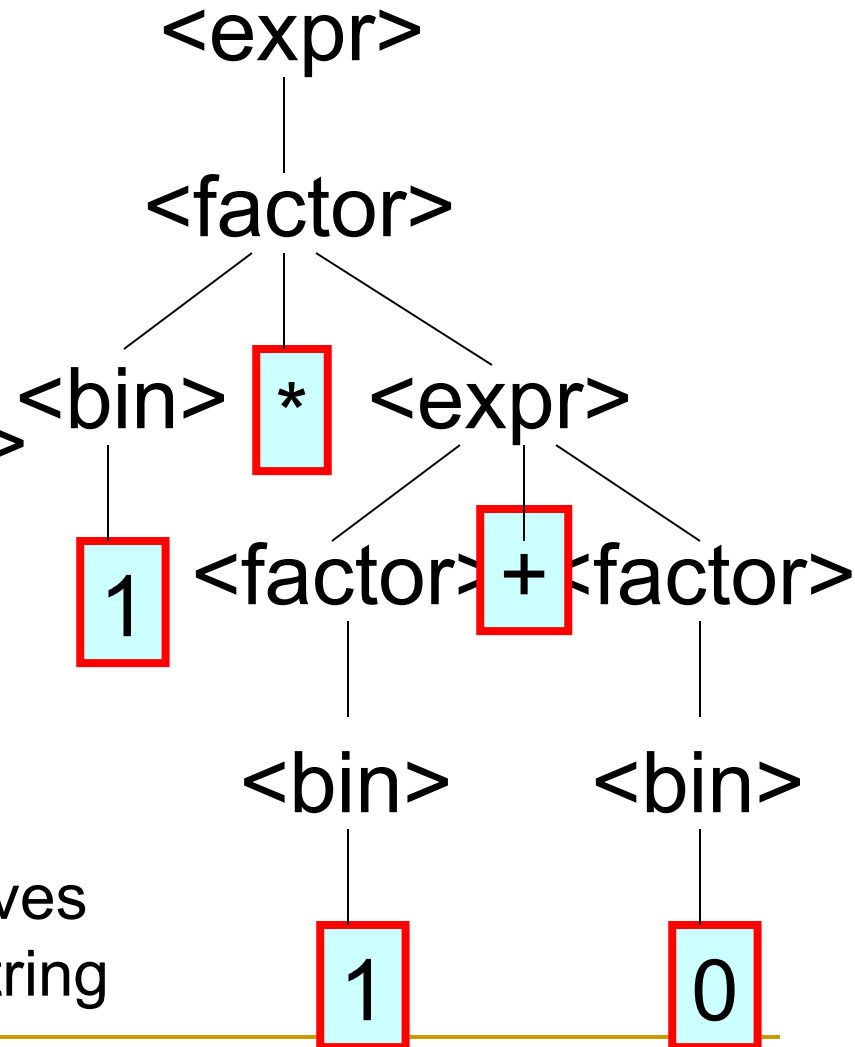
=> <bin>*<factor>+<bin>

=> <bin>*<bin>+0

=> <bin>*1+0

=> 1*1+0

Note: Leaves
give the string



Exercise

Using the grammar above, draw the parse tree for $1 * 0 + 0 * 1$ using a rightmost derivation

OCAML

- Now to translate grammar to code.
- You can use OCAML to represent a parse tree.
- One type for each nonterminal
- One constructor for each rule
- Defined as mutually recursion of type declarations using **and** keyword

OCAML

- Example:

`<expr> ::= <factor> | <factor> + <factor>`

`<factor> ::= <bin> | <bin> * <expr>`

`<bin> ::= 0 | 1`

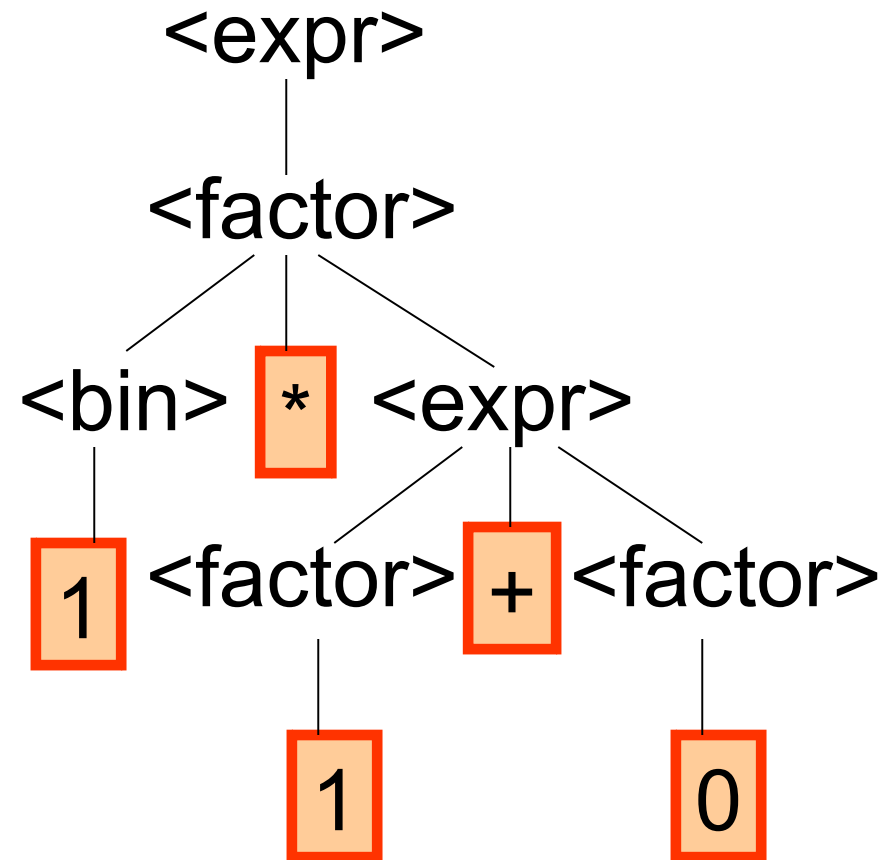
- OCAML code:

```
type expr    = Factor2Expr of factor
              | Plus of factor * factor
and factor   = Bin2Factor of bin
              | Mult of bin * expr
and bin      = Zero
              | One
```

OCAML

```
Factor2Expr
  (Mult (One,
        Plus (Bin2Factor One,
              Bin2Factor Zero)))
```

```
<expr>
=> <factor>
=> <bin>*<expr>
=> <bin>*<factor>+<factor>
=> <bin>*<factor>+ <bin>
=> <bin>* <bin> + 0
=> <bin>* 1 + 0
=> 1 * 1 + 0
```



Exercise

- Using OCAML, construct the parse tree for $1 * 0 + 0 * 1$

Ambiguity

- A grammar is ambiguous if a string can have more than one parse tree.
- Given a language, if all grammars for that language are ambiguous then the language is inherently ambiguous.

Ambiguity

■ Example:

- $S \rightarrow S + S \mid 0 \mid 1 \mid \varepsilon$

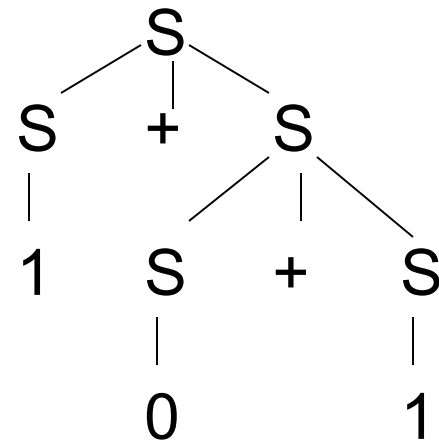
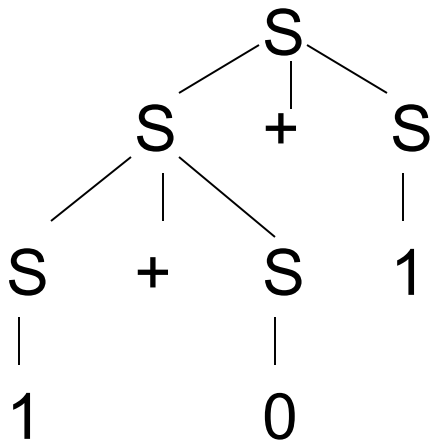
- The string $1 + 0 + 1$ can be derived by

- $\underline{S} \Rightarrow S + \underline{S} \Rightarrow \underline{S} + 1 \Rightarrow S + \underline{S} + 1 \Rightarrow \underline{S} + 0 + 1 \Rightarrow 1 + 0 + 1$

- $\underline{S} \Rightarrow S + \underline{S} \Rightarrow S + S + \underline{S} \Rightarrow S + \underline{S} + 1 \Rightarrow \underline{S} + 0 + 1 \Rightarrow 1 + 0 + 1$

Ambiguity

- Example (cont'd)
 - The parse trees are



Ambiguity

- For a string like “1 – 2 – 3”, based on the parse tree it can be interpreted as

$$(1 - 2) - 3$$

or

$$1 - (2 - 3)$$

giving different values.

- (Note: Pascal, C/C++, ML associates left to right. But APL associates right to left.)

Ambiguity

- Two major source of ambiguity:
 - Lack of determination of operator precedence
 - Lack of determination of operator associativity
- Next time we will see how to rewrite grammars to remove such problems (if possible at all).