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15-826: Multimedia Databases and Data Mining

Lecture #21: Tensor decompositions
C. Faloutsos




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Must-read Material

- Tamara G. Kolda and Brett W. Bader.
[Tensor decompositions and applications.](#)
Technical Report SAND2007-6702, Sandia
National Laboratories, Albuquerque, NM
and Livermore, CA, November 2007

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Outline

Goal: 'Find **similar** / **interesting** things'

- Intro to DB
- ➔ • Indexing - similarity search
- Data Mining

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Indexing - Detailed outline



- primary key indexing
- secondary key / multi-key indexing
- spatial access methods
- fractals
- text
- Singular Value Decomposition (SVD)
 - ...
- ➔ - Tensors
- multimedia
- ...

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Most of foils by

- Dr. Tamara Kolda (Sandia N.L.)
- csmr.ca.sandia.gov/~tgkolda
- Dr. Jimeng Sun (CMU -> IBM)
- www.cs.cmu.edu/~jimeng

3h tutorial: www.cs.cmu.edu/~christos/TALKS/SDM-tut-07/

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Outline

- Motivation - Definitions
- Tensor tools
- Case studies

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Motivation 0: Why “matrix”?

- Why matrices are important?


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Examples of Matrices: Graph - social network

	John	Peter	Mary	Nick	...
John	0	11	22	55	...
Peter	5	0	6	7	...
Mary
Nick
...


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Examples of Matrices:
cloud of n-d points

	chol#	blood#	age
John	13	11	22	55	...
Peter	5	4	6	7	...
Mary
Nick
...

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Examples of Matrices:
Market basket

- **market basket** as in Association Rules

	milk	bread	choc.	wine	...
John	13	11	22	55	...
Peter	5	4	6	7	...
Mary
Nick
...


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Examples of Matrices:
Documents and terms

	data	mining	classif.	tree	...
Paper#1	13	11	22	55	...
Paper#2	5	4	6	7	...
Paper#3
Paper#4
...

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Examples of Matrices:
Authors and terms

	data	mining	classif.	tree	...
John	13	11	22	55	...
Peter	5	4	6	7	...
Mary
Nick
...

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Examples of Matrices: sensor-ids and time-ticks

	temp1	temp2	humid.	pressure	...
t1	13	11	22	55	...
t2	5	4	6	7	...
t3
t4
...

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Motivation: Why tensors?

- Q: what is a tensor?

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Motivation 2: Why tensor?

- A: N-D generalization of matrix:

KDD' 07	data	mining	classif.	tree	...
John	13	11	22	55	...
Peter	5	4	6	7	...
Mary
Nick
...

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Motivation 2: Why tensor?

- A: N-D generalization of matrix:

KDD' 05	KDD' 06	KDD' 07	data	mining	classif.	tree	...
			13	11	22	55	...
			5	4	6	7	...
		
		
		
		

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Tensors are useful for 3 or more modes

Terminology: 'mode' (or 'aspect'):

	data	mining	classif.	tree	...
	13	11	22	55	...
	5	4	6	7	...
...
...
...

Mode#3 (depth axis)
Mode#2 (vertical axis)
Mode#1 (horizontal axis) Mode (== aspect) #1

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Motivating Applications

- Why matrices are important?
- Why tensors are useful?
 - P1: social networks
 - P2: web mining

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P1: Social network analysis

- Traditionally, people focus on static networks and find community structures
- We plan to monitor the change of the community structure over time

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P2: Web graph mining

- How to order the importance of web pages?
 - Kleinberg's algorithm HITS
 - PageRank
 - Tensor extension on HITS (**TOPHITS**)
 - context-sensitive hypergraph analysis

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Outline

- Motivation – Definitions
- Tensor tools**
- Case studies

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- Tensor Basics
- Tucker
- PARAFAC

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Tensor Basics

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Answer to both: tensor factorization

- Recall: (SVD) matrix factorization: finds blocks

The diagram illustrates matrix factorization. A matrix with dimensions N (users) and M (products) is shown. It is approximated by the sum of rank-1 matrices. The first rank-1 matrix is formed by a user vector \vec{u}_1 and a product vector \vec{v}_1 . The product vector \vec{v}_1 is associated with 'meat-eaters' (steaks) and 'vegetarians' (plants). The user vector \vec{u}_1 is associated with 'kids' (cookies).

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Answer to both: tensor factorization

- PARAFAC decomposition

The diagram illustrates PARAFAC decomposition. A 3D tensor with dimensions subject, verb, and object is shown. It is decomposed into the sum of three rank-1 tensors. The first rank-1 tensor is formed by a subject vector, a verb vector, and an object vector. The subject vector is associated with 'politicians', the verb vector with 'artists', and the object vector with 'athletes'.

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Answer: tensor factorization

- PARAFAC decomposition
- Results for who-calls-whom-when
 - 4M x 15 days

?? ?? ??

time

caller

callee

=

+

+

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Goal: extension to ≥ 3 modes

$\mathcal{X} \approx [\lambda; A, B, C] = \sum_r \lambda_r a_r \circ b_r \circ c_r$

Example of outer product 'o':

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Goal: extension to ≥ 3 modes

$\mathcal{X} \approx [\lambda; A, B, C] = \sum_r \lambda_r a_r \circ b_r \circ c_r$

Suppose

$R=1$

$a_1=(1,2,3,4)$ $X(1,1,1)=?$

$b_1=(2,2,2)$ $X(3,1,2)=?$

$c_1=(10,11)$ $X(5,1,1)=?$

$\lambda_1=7$

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Goal: extension to ≥ 3 modes

$\mathcal{X} \approx [\lambda; A, B, C] = \sum_r \lambda_r a_r \circ b_r \circ c_r$

Suppose

$r=1$

$a_1=(1,2,3,4)$ $X(1,1,1)=7 * 1 * 2 * 10$

$b_1=(2,2,2)$ $X(3,1,2)=?$

$c_1=(10,11)$ $X(5,1,1)=?$

$\lambda_1=7$

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Goal: extension to ≥ 3 modes

$$\mathcal{X} \approx [\lambda; A, B, C] = \sum_r \lambda_r \mathbf{a}_r \circ \mathbf{b}_r \circ \mathbf{c}_r$$

Suppose
 $r=1$
 $\mathbf{a}_1 = (1, 2, 3, 4)$
 $\mathbf{b}_1 = (2, 2, 2)$
 $\mathbf{c}_1 = (10, 11)$
 $\lambda_1 = 7$

$X(1,1,1) = 7 \cdot 1 \cdot 2 \cdot 10$
 $X(3,1,2) = 7 \cdot 3 \cdot 2 \cdot 11$
 $X(5,1,1) = \text{N/A} - \text{TRICK QUESTION}$

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Main points:

- 2 major types of tensor decompositions: PARAFAC and Tucker
- both can be solved with “alternating least squares” (ALS)
- Details follow

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Specially Structured Tensors

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Specially Structured Tensors

- Tucker Tensor

$$\mathcal{X} = \mathcal{G} \times_1 \mathbf{U} \times_2 \mathbf{V} \times_3 \mathbf{W}$$

$$= \sum_r \sum_s \sum_t g_{rst} \mathbf{u}_r \circ \mathbf{v}_s \circ \mathbf{w}_t$$

$$\equiv [\mathcal{G}; \mathbf{U}, \mathbf{V}, \mathbf{W}] \quad \left. \vphantom{\sum_r \sum_s \sum_t} \right\} \text{Our Notation}$$
- Kruskal Tensor

$$\mathcal{X} = \sum_r \lambda_r \mathbf{u}_r \circ \mathbf{v}_r \circ \mathbf{w}_r$$

$$\equiv [\lambda; \mathbf{U}, \mathbf{V}, \mathbf{W}] \quad \left. \vphantom{\sum_r} \right\} \text{Our Notation}$$

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Specially Structured Tensors

• **Tucker Tensor**

$$\mathcal{X} = \mathcal{G} \times_1 \mathbf{U} \times_2 \mathbf{V} \times_3 \mathbf{W}$$

$$= \sum_r \sum_s \sum_t g_{rst} \mathbf{u}_r \circ \mathbf{v}_s \circ \mathbf{w}_t$$

$$\equiv \llbracket \mathcal{G} ; \mathbf{U}, \mathbf{V}, \mathbf{W} \rrbracket$$

In matrix form:

$$\mathbf{X}_{(1)} = \mathbf{U} \mathbf{G}_{(1)} (\mathbf{W} \otimes \mathbf{V})^\top$$

$$\mathbf{X}_{(2)} = \mathbf{V} \mathbf{G}_{(2)} (\mathbf{W} \otimes \mathbf{U})^\top$$

$$\mathbf{X}_{(3)} = \mathbf{W} \mathbf{G}_{(3)} (\mathbf{V} \otimes \mathbf{U})^\top$$

$$\text{vec}(\mathcal{X}) = (\mathbf{W} \otimes \mathbf{V} \otimes \mathbf{U}) \text{vec}(\mathcal{G})$$

• **Kruskal Tensor**

$$\mathcal{X} = \sum_r \lambda_r \mathbf{u}_r \circ \mathbf{v}_r \circ \mathbf{w}_r$$

$$\equiv \llbracket \lambda ; \mathbf{U}, \mathbf{V}, \mathbf{W} \rrbracket$$

In matrix form:

Let $\Lambda = \text{diag}(\lambda)$

$$\mathbf{X}_{(1)} = \mathbf{U} \Lambda (\mathbf{W} \odot \mathbf{V})^\top$$

$$\mathbf{X}_{(2)} = \mathbf{V} \Lambda (\mathbf{W} \odot \mathbf{U})^\top$$

$$\mathbf{X}_{(3)} = \mathbf{W} \Lambda (\mathbf{V} \odot \mathbf{U})^\top$$

$$\text{vec}(\mathcal{X}) = (\mathbf{W} \odot \mathbf{V} \odot \mathbf{U}) \lambda$$

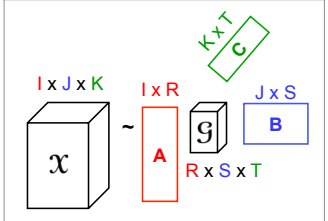
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Tensor Decompositions

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Tucker Decomposition - intuition



- author x keyword x conference
- A: author x author-group
- B: keyword x keyword-group
- C: conf. x conf-group
- \mathcal{G} : how groups relate to each other Needs elaboration!

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Intuition behind core tensor

- 2-d case: co-clustering
- [Dhillon et al. Information-Theoretic Co-clustering, KDD'03]

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eg, terms x documents

$$\begin{matrix} & & n \\ & & \begin{bmatrix} .05 & .05 & .05 & 0 & 0 & 0 \\ .05 & .05 & .05 & 0 & 0 & 0 \\ 0 & 0 & 0 & .05 & .05 & .05 \\ 0 & 0 & 0 & .05 & .05 & .05 \\ .04 & .04 & 0 & .04 & .04 & .04 \\ .04 & .04 & .04 & 0 & .04 & .04 \end{bmatrix} \\ m & & \end{matrix}$$

$$\begin{matrix} k & l \\ \begin{bmatrix} .5 & 0 & 0 \\ .5 & 0 & 0 \\ 0 & .5 & 0 \\ 0 & .5 & 0 \\ 0 & 0 & .5 \\ 0 & 0 & .5 \end{bmatrix} & \begin{bmatrix} .3 & 0 \\ 0 & .3 \\ 2 & 2 \end{bmatrix} & \begin{bmatrix} .36 & .36 & .28 & 0 & 0 & 0 \\ 0 & 0 & 0 & .28 & .36 & .36 \end{bmatrix} \\ m & & \end{matrix} = \begin{bmatrix} .054 & .054 & .042 & 0 & 0 & 0 \\ .054 & .054 & .042 & 0 & 0 & 0 \\ 0 & 0 & 0 & .042 & .054 & .054 \\ 0 & 0 & 0 & .042 & .054 & .054 \\ .036 & .036 & .028 & .028 & .036 & .036 \\ .036 & .036 & .028 & .028 & .036 & .036 \end{bmatrix}$$

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med. doc cs doc

med. terms

cs terms

common terms

term group x doc. group

doc x doc group

term x term-group

$$\begin{bmatrix} .5 & 0 & 0 \\ .5 & 0 & 0 \\ 0 & .5 & 0 \\ 0 & .5 & 0 \\ 0 & 0 & .5 \\ 0 & 0 & .5 \end{bmatrix} \begin{bmatrix} .3 & 0 \\ 0 & .3 \\ 2 & 2 \end{bmatrix} \begin{bmatrix} .36 & .36 & .28 & 0 & 0 & 0 \\ 0 & 0 & 0 & .28 & .36 & .36 \end{bmatrix} = \begin{bmatrix} .054 & .054 & .042 & 0 & 0 & 0 \\ .054 & .054 & .042 & 0 & 0 & 0 \\ 0 & 0 & 0 & .042 & .054 & .054 \\ 0 & 0 & 0 & .042 & .054 & .054 \\ .036 & .036 & .028 & .028 & .036 & .036 \\ .036 & .036 & .028 & .028 & .036 & .036 \end{bmatrix}$$

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Tucker Decomposition

$\mathcal{X} \approx [\mathcal{G}; \mathbf{A}, \mathbf{B}, \mathbf{C}]$

Given $\mathbf{A}, \mathbf{B}, \mathbf{C}$, the optimal core is:

$$\mathcal{G} = [\mathcal{X}; \mathbf{A}^\dagger, \mathbf{B}^\dagger, \mathbf{C}^\dagger]$$

Recall the equations for converting a tensor to a matrix

$$\begin{aligned}
 \mathbf{X}_{(1)} &= \mathbf{A} \mathbf{G}_{(1)} (\mathbf{C} \otimes \mathbf{B})^\top \\
 \mathbf{X}_{(2)} &= \mathbf{B} \mathbf{G}_{(2)} (\mathbf{C} \otimes \mathbf{A})^\top \\
 \mathbf{X}_{(3)} &= \mathbf{C} \mathbf{G}_{(3)} (\mathbf{B} \otimes \mathbf{A})^\top \\
 \text{vec}(\mathcal{X}) &= (\mathbf{C} \otimes \mathbf{B} \otimes \mathbf{A}) \text{vec}(\mathcal{G})
 \end{aligned}$$

- Proposed by Tucker (1966)
- AKA: Three-mode factor analysis, three-mode PCA, orthogonal array decomposition
- \mathbf{A}, \mathbf{B} , and \mathbf{C} generally assumed to be orthonormal (generally assume they have full column rank)
- \mathcal{G} is not diagonal
- Not unique

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Kronecker product

$$\mathbf{A} = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix} \quad \mathbf{B} = \begin{bmatrix} 10 & 20 & 30 \end{bmatrix}$$

$m1 \times n1$ $m2 \times n2$

$$\mathbf{A} \otimes \mathbf{B} = \begin{bmatrix} 1 * \mathbf{B} & 2 * \mathbf{B} \\ 3 * \mathbf{B} & 4 * \mathbf{B} \end{bmatrix}$$

$m1 * m2 \times n1 * n2$

$$= \begin{bmatrix} 1 * 10 & 1 * 20 & 1 * 30 & 2 * 10 & 2 * 20 & 2 * 30 \\ 3 * 10 & 3 * 20 & 3 * 30 & 4 * 10 & 4 * 20 & 4 * 30 \end{bmatrix}$$

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Outline

- Motivation – Definitions
- **Tensor tools**
- Case studies

{

- Tensor Basics
- Tucker
- **PARAFAC**

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CANDECOMP/PARAFAC Decomposition

$$\mathcal{X} \approx [\lambda; \mathbf{A}, \mathbf{B}, \mathbf{C}] = \sum_r \lambda_r \mathbf{a}_r \circ \mathbf{b}_r \circ \mathbf{c}_r$$

- CANDECOMP = Canonical Decomposition (Carroll & Chang, 1970)
- PARAFAC = Parallel Factors (Harshman, 1970)
- Core is diagonal (specified by the vector λ)
- Columns of \mathbf{A} , \mathbf{B} , and \mathbf{C} are not orthonormal
- If R is minimal, then R is called the **rank** of the tensor (Kruskal 1977)
- Can have $\text{rank}(\mathcal{X}) > \min\{I, J, K\}$

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IMPORTANT

Tucker vs. PARAFAC Decompositions

- Tucker
 - Variable transformation in each mode
 - Core \mathbf{G} may be dense
 - \mathbf{A} , \mathbf{B} , \mathbf{C} generally orthonormal
 - Not unique

- PARAFAC
 - Sum of rank-1 components
 - No core, i.e., superdiagonal core
 - \mathbf{A} , \mathbf{B} , \mathbf{C} may have linearly dependent columns
 - Generally unique

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Tensor tools - summary

- Two main tools
 - PARAFAC
 - Tucker
- Both find row-, column-, tube-groups
 - but in PARAFAC the three groups are identical
- To solve: Alternating Least Squares
- Toolbox: from Tamara Kolda:

<http://csmr.ca.sandia.gov/~tgkolda/TensorToolbox/>

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Outline

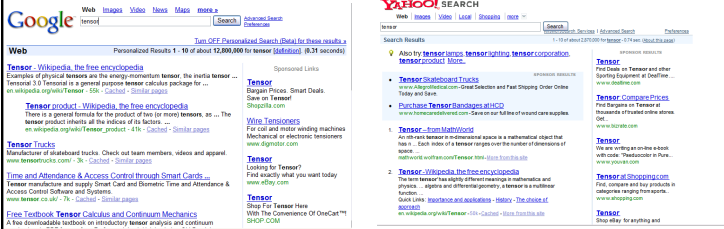
- Motivation - Definitions
- Tensor tools
- ➔ Case studies
 - P1: web graph mining ('TOPHITS')
 - P2: phone-call patterns
 - P3: N.E.L.L. (never ending language learner)

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P1: Web graph mining

- How to order the importance of web pages?
 - Kleinberg's algorithm HITS
 - PageRank
 - Tensor extension on HITS (**TOPHITS**)



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P1: Web graph mining

- T. G. Kolda, B. W. Bader and J. P. Kenny,

Higher-Order Web Link Analysis Using Multilinear Algebra, ICDM 2005: ICDM, pp. 242-249, November 2005,

[doi:10.1109/ICDM.2005.77](https://doi.org/10.1109/ICDM.2005.77). [[PDF](#)]

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HITS Authorities on Sample Data

We started our crawl from <http://www-neos.mcs.anl.gov/neos/>, and crawled 4700 pages, resulting in 560 cross-linked hosts.

1st Principal Factor

.97	www.ibm.com
.24	www.alphaweb.com
.08	www-128.ibm.com
.05	www.developers.sun.com
.02	www.research.att.com
.01	www.redbook.ibm.com
.01	news.com.com

2nd Principal Factor

.99	www.lehigh.edu
.11	www2.lehigh.edu
.06	www.lehigh.edu
.02	www.adobe.com
.02	www.bethleh.com
.02	www.adobe.com
.02	lewisweb.com
.02	www.leo.lehigh.edu
.02	www.distanc.com
.02	fp1.cc.lehigh.edu

3rd Principal Factor

.75	java.sun.com
.38	www.sun.com
.36	developers.sun.com
.24	see.sun.com
.16	www.samag.com
.13	docs.sun.com
.12	blogs.sun.com
.08	sunsolve.sun.com
.08	www.sun-catala.com
.08	news.com.com

4th Principal Factor

.60	www.pueblo.gsa.gov
.45	www.whitehouse.gov
.35	www.irs.gov
.31	travel.state.gov
.22	www.gsa.gov
.20	www.ssa.gov
.16	www.census.gov
.14	www.govbe.com
.13	www.kids.gov
.13	www.usdoj.gov

6th Principal Factor

.97	mathpost.asu.edu
.18	math.la.asu.edu
.17	www.asu.edu
.04	www.act.org
.03	www.eas.asu.edu
.02	archives.math.utk.edu
.02	www.geom.uiuc.edu
.02	www.fulton.asu.edu
.02	www.amstat.org
.02	www.maa.org


authority scores for 1st topic

authority scores for 2nd topic

from to = $\text{hub scores for 1st topic} + \text{hub scores for 2nd topic} + \dots$

hub scores for 1st topic

hub scores for 2nd topic

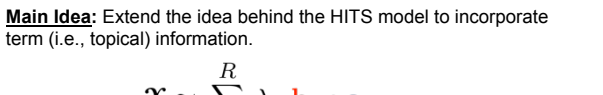


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Topical HITS (TOPHITS)

Main Idea: Extend the idea behind the HITS model to incorporate term (i.e., topical) information.

$$\mathbf{x} \approx \sum_{r=1}^R \lambda_r \mathbf{h}_r \circ \mathbf{a}_r$$



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Topical HITS (TOPHITS)

Main Idea: Extend the idea behind the HITS model to incorporate term (i.e., topical) information.

$$\mathbf{x} \approx \sum_{r=1}^R \lambda_r \mathbf{h}_r \circ \mathbf{a}_r \circ \mathbf{t}_r$$

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TOPHITS Terms & Authorities on Sample Data

TOPHITS uses 3D analysis to find the dominant groupings of web pages **and** terms.

$$x_{ijk} = \begin{cases} \frac{1}{\log(w_k)+1} & \text{if } i \rightarrow j \text{ with term } k \\ 0 & \text{otherwise} \end{cases}$$

$w_k = \# \text{ unique links using term } k$

Tensor PARAFAC

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P2: Anomaly detection in time-evolving graphs

- Anomalous communities in phone call data:
 - European country, 4M clients, data over 2 weeks

~200 calls to EACH receiver on EACH day!

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P2: Anomaly detection in time-evolving graphs

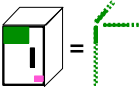
- Anomalous communities in phone call data:
 - European country, 4M clients, data over 2 weeks

~200 calls to EACH receiver on EACH day!




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P2: Anomaly detection in time-evolving graphs



- Anomalous communities in phone call data:
 - European country, 4M clients, data over 2 weeks

Miguel Araujo, Spiros Papadimitriou, Stephan Günnemann, Christos Faloutsos, Prithwish Basu, Ananthram Swami, Evangelos Papalexakis, Danai Koutra. *Com2: Fast Automatic Discovery of Temporal (Comet) Communities*. PAKDD 2014, Tainan, Taiwan.

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GigaTensor: Scaling Tensor Analysis Up By 100 Times – Algorithms and Discoveries

U Kang Evangelos Papalexakis Abhay Harpale Christos Faloutsos

KDD 2012

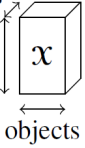
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P3: N.E.L.L. analysis

- NELL: Never Ending Language Learner
 - Q1: dominant concepts / topics?
 - Q2: synonyms for a given new phrase?

“Eric Clapton plays guitar” (48M) verbs
 “Barrack Obama is the president of U.S.” (26M) subjects



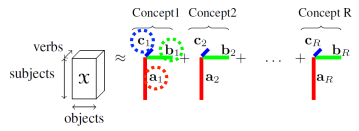
NELL (Never Ending Language Learner)
Nonzeros = 144M

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A1: Concept Discovery

- Concept Discovery in Knowledge Base



Noun Phrase 1	Noun Phrase 2	Context
Concept 1: "Web Protocol"		
internet	protocol	'np1' 'stream' 'np2'
file	software	'np1' 'marketing' 'np2'
data	suite	'np1' 'dating' 'np2'
Concept 2: "Credit Cards"		
credit	information	'np1' 'card' 'np2'
Credit	debt	'np1' 'report' 'np2'
library	number	'np1' 'cards' 'np2'
Concept 3: "Health System"		
health	provider	'np1' 'care' 'np2'
child	providers	'np1' 'insurance' 'np2'
home	system	'np1' 'service' 'np2'
Concept 4: "Family Life"		
life	rest	'np2' 'of' 'my' 'np1'
family	part	'np2' 'of' 'his' 'np1'
body	years	'np2' 'of' 'her' 'np1'

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A1: Concept Discovery

Noun Phrase 1	Noun Phrase 2	Context
Concept 1: "Web Protocol"		
internet	protocol	'np1' 'stream' 'np2'
file	software	'np1' 'marketing' 'np2'
data	suite	'np1' 'dating' 'np2'
Concept 2: "Credit Cards"		
credit	information	'np1' 'card' 'np2'
Credit	debt	'np1' 'report' 'np2'
library	number	'np1' 'cards' 'np2'
Concept 3: "Health System"		
health	provider	'np1' 'care' 'np2'
child	providers	'np' 'insurance' 'np2'
home	system	'np1' 'service' 'np2'
Concept 4: "Family Life"		
life	rest	'np2' 'of' 'my' 'np1'
family	part	'np2' 'of' 'his' 'np1'
body	years	'np2' 'of' 'her' 'np1'

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A2: Synonym Discovery

- Synonym Discovery in Knowledge Base

(Given) Noun Phrase	(Discovered) Potential Synonyms
pollutants	dioxin, sulfur dioxide, greenhouse gases, particulates, nitrogen oxide, air pollutants, cholesterol
disabilities	infections, dizziness, injuries, diseases, drowsiness, stiffness, injuries
vodafone	verizon, comcast
Christian history	European history, American history, Islamic history, history
disbelief	dismay, disgust, astonishment
cyberpunk	online-gaming
soul	body

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A2: Synonym Discovery

(Given) Noun Phrase	(Discovered) Potential Synonyms
pollutants	dioxin, sulfur dioxide, greenhouse gases, particulates, nitrogen oxide, air pollutants, cholesterol
disabilities	infections, dizziness, injuries, diseases, drowsiness, stiffness, injuries
vodafone	verizon, comcast
Christian history	European history, American history, Islamic history, history
disbelief	dismay, disgust, astonishment
cyberpunk	online-gaming
soul	body

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Conclusions

- Real data are often in high dimensions with multiple aspects (modes)
- Matrices and tensors provide elegant theory and algorithms

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