

## What are Block Ciphers

- Block ciphers: crypto work horse
  - Two algorithms takes  $n$  bits as input and a key outputs  $n$  bits
  - 3DES:  $n = 64$  bits,  $k = 168$  bits
  - AES:  $n = 128$  bits,  $k = 128, 192, 256$  bits
    - The longer the key the more secure the cipher
- Built by Iteration
  - Takes in key
    - Gets expanded to round keys using a round function  $R(k,m)$ 
      - $K = \text{key}$
      - $M = \text{current state of message}$
- Performance
  - 3DES 13 mb/sec
  - AES 109 mb/sec
- Abstractly: PRPs and PRFs
  - Pseudo Random Function (PRF) defined over  $(k,x,y)$ :
    - $F: K \times X \rightarrow Y$  such that exists “efficient” algorithm to evaluate  $F(k,x)$
  - Pseudo Random Permutation (PRP) defined over  $(k,x)$ :
    - $E: K \times X \rightarrow X$
    - Such that:
      - Exists “efficient” deterministic algorithm to evaluate  $E(k,x)$
      - The function  $E(k,.)$  is one-to-one
      - Exists “efficient” inversion algorithm  $D(k,y)$ 
        - Will output the original input
- Running Example
  - Functionally, any PRP is also a PRF
    - A PRP is a PRF where  $X=Y$  and is efficiently invertible
- Secure PRFs
  - Let  $F: K \times X \rightarrow Y$  be a PRF
    - $\text{Funs}[X,Y]$ : The set of all functions from  $X$  to  $Y$
    - $S_f = \{F(k,.) \text{ s.t. } k \text{ element } K\}$  subset  $\text{Funs}[X,Y]$
  - Intuition: a PRF is secure if
    - A random function in  $\text{Funs}[X,Y]$  is indistinguishable from a random function in  $S_f$
    - $S_f \text{ size} = |K|$
    - $\text{Funs}[X,Y] \text{ size} = |Y|^{|X|}$
- An easy application: PRF  $\rightarrow$  PRG
  - Let  $F: K \times \{0,1\}^n \rightarrow \{0,1\}^n$  be a secure PRF
    - Then the following  $G: K \rightarrow \{0,1\}^{nt}$  is a secure PRG:
      - $G(k) = F(k,0) || F(k,1) || \dots || F(k,t)$
    - Key property: parallelizable
    - Security from PRF property:  $F(k,.)$  indist. from random function  $f(.)$

- **Data Encryption Standard (DES)**
- DES: core idea – Feistel Network
  - Given functions  $f_1, \dots, f_d: \{0,1\}^n \rightarrow \{0,1\}^n$
  - Goal: build invertible function  $F: \{0,1\}^{2n} \rightarrow \{0,1\}^{2n}$
  - Claims: for all  $f_1, \dots, f_d: \{0,1\}^n \rightarrow \{0,1\}^n$
  - Feistel network  $F: \{0,1\}^{2n} \rightarrow \{0,1\}^{2n}$  is invertible
  - Proof: construct inverse
    - $R_i = L_{i+1}$
    - $L_i = f_{i+1}(L_{i+1}) \text{ xor } R_{i+1}$
- Decryption circuit
  - Inversion is basically the same circuit, with  $f_1, \dots, f_d$  applied in reverse order
  - General method for building invertible functions (block ciphers) from arbitrary functions
  - Used for many block ciphers ... but no AES
- Thm:
  - $F: K \times \{0,1\}^n \rightarrow \{0,1\}^n$  a secure PRF
    - 3-round Feistel  $F: K^3 \times \{0,1\}^{2n} \rightarrow \{0,1\}^{2n}$  a secure PRP
- DES: 16 round Feistel network
  - $F_1, \dots, F_{16}: \{0,1\}^{32} \rightarrow \{0,1\}^{32}, f_i(x) = F(k_i, x)$ 
    - Each  $k_i$  is a round key derived from the key  $k$
    - 64 bit input initial permutation
    - then 16 round Feistel network
    - Final permutation inverse of the initial permutation
    - Final output
- The function  $F(k_i, x)$ 
  - Takes 32 bits input and maps to 48 bits using expansion box
  - Takes 48-bit round key
  - Compute xor of expansion and round key
  - 48 bits are broken into 8 groups of 6 bits
  - The bits go into  $s$  boxes
  - The outputs of  $s$  boxes map from 6 bits to 4 bits
  - Output is a permutation of the combined  $s$  boxes or the combined 32 bits
  - S-box function  $\{0,1\}^6 \rightarrow \{0,1\}^4$ , implemented as look up table
  - $S_i(x) = A_i \cdot x \pmod{2}$
- Example: a bad S-box choice
  - The entire DES cipher would be linear: there is a fixed binary matrix  $B$  s.t.
  - $\text{DES}(k, m) = 64 + (16 * 48) = 832$
  - $\text{DES}(k, m_1) \text{ xor } \text{DES}(k, m_2) \text{ xor } \text{DES}(k, m_3) = \text{DES}(k, m_1 \text{ xor } m_2 \text{ xor } m_3)$
  - If the  $s$  boxes were completely linear DES would be completely insecure
- Choosing the S-boxes and P-box
  - Choosing the S-boxes and P-box at random would result in an insecure block cipher (key recovery after approximately  $2^{34}$  outputs)
  - No output bit should be close to a linear function of the input bits
  - S-boxes are 4-to-1 maps

- **Exhaustive Search Attacks**
- Exhaustive Search for block cipher key
  - Goal: given a few input output pairs ( $m_i, c_i = E(k, m_i)$ )  $i = 1, \dots, 3$  find key  $k$ 
    - Find the key that does the mapping ( $m_1 m_2 m_3$ )  $\rightarrow$   $k$  ( $c_1 c_2 c_3$ )
  - Lemma: Suppose DES is an ideal cipher
    - ( $2^{56}$  random invertible functions  $\{0,1\}^{64} \rightarrow \{0,1\}^{64}$ )
    - Then there is a  $m, c$  there is at most one key  $k$  s.t.  $c = DES(k, m)$ 
      - With prob  $\geq 1 - 1/256$  approximately equal to 99.5%
  - Proof:
    - $\Pr[\text{there is } k' \neq k: c = DES(k, m) = DES(k', m)] \leq \text{summation of } k' \text{ over all the keys the probability that } [DES(k, m) = DES(k', m)] \leq 1/2^{64} * 2^{56} = 1/256$
    - This is the probability that the key is not unique
- Exhaustive Search for block cipher key
  - For two DES pairs ( $m_1, c_1 = DES(k, m_1)$ ), ( $m_2, c_2 = DES(k, m_2)$ )
    - unicity prob approximately equal  $1 - 1/2^{71}$
    - the mapping from ( $m_1, m_2$ )  $\rightarrow$  ( $c_1, c_2$ )
  - For AES-128: given two input/output pairs, unicity prob  $a \approx 1 - 1/2^{128}$
  - Two input / output pairs are enough for exhaustive key search
- DES challenge
  - Msg = "The unknown messages is: XXXX ..."
  - CT =  $c_1 c_2 c_3 c_4$
  - Goal find  $k$  element  $\{0,1\}^{56}$  s.t.  $DES(k, m_i) = c_i$  for  $i = 1, 2, 3$
- Strengthening DES against ex. Search
  - Method 1: Triple DES
    - Let  $E: K \times M \rightarrow M$  be a block cipher
    - Define  $3E: K^3 \times M \rightarrow M$  as  $3E((k_1, k_2, k_3), m) = E(k_1, D(k_2, E(k_3, m)))$ 
      - $E(k_1, D(k_2, E(k_3, m)))$
  - FOR 3DES: KEY-SIZE =  $3 * 56 = 168$  3 \* slower
- Why not double DES?
  - Define  $2E((k_1, k_2), m) = E(k_1, E(k_2, m))$
  - Key-len = 112 bits for DES
  - $M \rightarrow E(k_2, ) \rightarrow E(k_1, ) \rightarrow c$
  - Attack:  $M = (m_1, \dots, m_{10})$ ,  $C = (c_1, \dots, c_{10})$ 
    - Find  $(k_1, k_2)$  s.t.  $E(k_1, E(k_2, M)) = C$
    - $K(k_2, m) = D(k, c)$
  - Attack
    - Step 1: build table sort on 2<sup>nd</sup> column
    - Step 2: for all  $k$  element  $\{0,1\}^{56}$  do: test if  $D(k, C)$  is in 2<sup>nd</sup> column
      - If so then  $E(k^I, M) = D(k, C) \Rightarrow (k^I, k) = (k_2, k_1)$
- Method 2: DESX
  - $E: K \times M \rightarrow M$  a block cipher
  - $E: K \times \{0,1\}^n \rightarrow \{0,1\}^n$  a block cipher
  - Define EX as  $EX((k_1, k_2, k_3), m) = k_1 \text{ xor } E(k_2, m \text{ xor } k_3)$
  - For DESX: key-len =  $64 + 56 + 64 = 184$  bits

- But easy attack in time  $2^{64} + 56 = 2^{120}$
  - Note if xor only on the outside of the encryption or only on the inside of the encryption the cipher does nothing
- **More attacks on block ciphers**
- Attacks on the implementation
  - Side channel attacks:
    - Measure time to do enc/dec, measure power for enc/dec
  - Fault attacks
    - Computing errors in the last round expose the secret key  $k$
- Linear and differential attacks
  - Given many inputs / outputs pairs, can recover key in time less than  $2^{56}$
  - Linear cryptanalysis (overview): let  $c = \text{DES}(k, m)$ 
    - Suppose for random  $k, m$ :
    - $\Pr[m[i_1] \text{ xor } \dots \text{ xor } m[i_r] \text{ xor } c[j_1] \text{ xor } \dots \text{ xor } c[j_v]] = k[l_1] \text{ xor } \dots \text{ xor } k[l_u]] = \frac{1}{2} + \epsilon$
  - For some  $\epsilon$ . For DES, this exists with  $\epsilon = \frac{1}{2}^{21}$
- Linear attacks
  - Relationship Thm: given  $1/\epsilon^2$  random  $(m, c = \text{DES}(k, m))$  pairs then  $k[l_1, \dots, l_u] = \text{MAJ}[m[i_1, \dots, i_r] \text{ xor } c[j_1, \dots, j_v]] = \frac{1}{2} + \epsilon$  with prob.  $\geq 97.7\%$
  - For DES,  $\epsilon = \frac{1}{2}^{21}$  = with  $2^{42}$  input/output pairs can find  $k[l_1, \dots, l_u]$  in time  $2^{42}$
  - Roughly speaking: can find 14 key “bits” this way in time  $2^{42}$
  - Brute force remaining  $56 - 14 = 42$  bits in time  $2^{42}$
  - Total attack time approximately equal  $2^{43}$  with  $2^{42}$  random input/output pairs
- Lesson
  - A tiny bit of linearity in  $S_5$  lead to a  $2^{42}$  attack
    - Don’t design ciphers yourself
- Quantum attacks
  - Generic search problem
    - Let  $f: X \rightarrow \{0, 1\}$  be a function
    - Goal: find  $x$  element of  $X$  s.t.  $f(x) = 1$
  - Classical computer: best generic algorithm time =  $O(|X|)$
  - Quantum computer: time =  $O(|X|^{1/2})$
  - Can quantum algorithms be built: unknown
- Quantum exhaustive search
  - Given  $m, c = E(k, m)$  define
    - $F(k) = 1$  if  $E(k, m) = c$
    - 0 otherwise
    - $k$  is element of  $K$
  - Grover  $\rightarrow$  quantum computer can find  $k$  in time  $O(|K|^{1/2})$
  - Quantum computer  $\Rightarrow$  256-bits key ciphers (e.g. AES-256)
    - Secure

- The AES process
  - Key sizes 128, 192, 256 bits
  - Block size: 128 bits
- AES is a Subs-Perm network (not Feistel)
  - All the bits are changed in each round
  - Xor the current state with the round key
  - Blocks of state are replaced with other blocks
  - Permutation state bits are permuted and shuffled around
  - Repeat and then output
  - The whole process needs to be invertible
- AES 128 schematic
  - Operates on a 128 bit block which is 16 bytes, we write this as a 4 by 4 matrix
  - Xor with the first round key
  - byteSub, shiftRow, and MixColumn
  - repeat this process 10 times the last round however
    - byteSub
    - ShiftRow
  - Round keys themselves come from a 16 byte key
    - Key expansion: 16 bytes -> 176 bytes
      - 11 keys each being 16 bytes
- The round function
  - ByteSub: a 1 byte S-box. 256 byte table (easily computable)
    - For all  $I, j$   $A[I, j] \leftarrow S[A[I, j]]$
    - The lookup table is A containing a 4 by 4 byte matrix
  - ShiftRows
    - Cyclic shift of the rows in the matrix
  - MixColumns
    - Performs a linear transformation to the columns
    - Applied independently to each one of the columns
- Javascript AES
  - AES in the browser
    - The code that is sent to the browser has no pre-computed tables
      - Thus has fairly small code
    - Once the code lands on the browser the pre-computation of the tables is done
    - Once have the pre-computed tables encrypt
  - AES in hardware
    - AES instructions in intel Westmere:
      - Aesenc, aesenclast: do one round of AES 128-bit registers:  
xmm1=state, xmm2=round key
      - Aesenc xmm1, xmm2 ; puts result in xmm1
    - Aeskeygenassist: performs AES key expansion
    - Claim 14 x speed-up over OpenSSL on same hardware
- Attacks

- Best key recovery attack:
    - For times better than ex.search
    - 128-bit key => 126 bit key
  - Related key attack on AES-256
    - Given  $2^{99}$  input/output pairs from four related keys in AES-256 can recover keys in time approximately equal to  $2^{99}$
- Block ciphers
- Can we build a PRF from a PRG
  - Let  $G: K \rightarrow K^2$  be a secure PRG
  - Define 1-bit PRF  $F: K \times \{0,1\} \rightarrow K$  as  $F(k, x \text{ element } \{0,1\}) = G(k)[x]$
  - Theorem: If  $G$  is a secure PRG then  $F$  is a secure PRF
  - Can we build a PRF with larger domain?
- Extending PRG
  - Let  $G: k \Rightarrow k^2$
  - Define  $G_1: K \rightarrow K^4$  as  $G_1(k) = G(G(k)[0]) \parallel G(G(k)[1])$
  - Output of the PRG is indistinguishable from two random values in  $k$
  - The function  $G$  takes in the input  $k$  and creates two outputs using the generator twice we obtain the 4 output as desired.
  - We get a 2-bit PRF:
    - $F(k, x \text{ element } \{0,1\}^2) = G_1(k)[x]$
- $G_1$  is a secure PRG
  - What we want to argue is that this distribution is indistinguishable from random four tuple in  $K^4$
  - We know that the generator is secure so the output of the first level is indistinguishable from random.
  - Replace the first level by truly random strings
  - Output of the PRG is indistinguishable from random
    - So we replace the output with random
  - Replace the pseudo outputs with truly random outputs
  - Get the distribution that we want from replacing by truly random.
- Extending more
  - Gradually change the outputs in truly random outputs then can extend into a multiple of 2
  - We get a 3-bit PRF
    - $F(k, 101)$
- Extending even more: the GGM PRF
  - Let  $G: K \rightarrow K^2$  define PRF  $F: K \times \{0,1\}^n \rightarrow K$  as for input  $x = x_0 x_1 \dots x_{n-1}$  element of  $\{0,1\}^n$  do:
    - Security:  $G$  a secure PRG  $\Rightarrow F$  is a secure PRF on  $\{0,1\}^n$
    - Not used in practice due to slow performance
- Secure block cipher from a PRG?
  - Can we build a secure PRP from a secure PRG
    - Yes

- Using block ciphers: Crypto work horse
  - Canonical examples:
- Abstractly: PRPs and PRFs
  - Pseudo random Function (PRF) defined over  $(K, X, Y)$ 
    - $F: K \times X \rightarrow Y$
    - Such that exists “efficient” algorithm to evaluate  $F(k, x)$
  - Pseudo random Permutation (PRP) defined over  $(K, X)$ :
    - $E: K \times X \rightarrow X$  such that:
      - 1. Exists “efficient” deterministic algorithm to evaluate  $E(k, x)$
      - 2. The function  $E(k, \cdot)$  is one to one
      - 3. Exists “efficient” inversion algorithm  $D(k, x)$
- Secure PRFS
  - Let  $F: K \times X \rightarrow Y$  be a PRF
    - $\text{Funs}[X, Y]$ : the set of all functions from  $X$  to  $Y$
    - $S_f = \{F(k, \cdot) \text{ s.t. } k \text{ element } K\}$  subset  $\text{Funs}[X, Y]$
  - Intuition: a PRF is secure if
    - A random function in  $\text{Funs}[X, Y]$  is indistinguishable from a random function in  $S_f$
    - $S_f \leftarrow \text{size } |K|$
    - $\text{Func}[X, Y] \leftarrow \text{size } |Y|^{|X|}$
- Secure PRF: definition
  - For  $b=0,1$  define experiment  $\text{EXP}(b)$  as:
  - Challenger choose a random pseudo random function
    - $B = 0: k \leftarrow K, f \leftarrow F(k, \cdot)$
    - $B = 1: f \leftarrow \text{Funs}[X, Y]$
  - Advisory outputs  $b'$  element  $\{0,1\}$   $\text{EXP}(b)$
  - Def:  $F$  is secure PRF if for all efficient  $A$ :
    - $\text{Adv}_{\text{prf}}[A, F] := |\Pr[\text{EXP}(0) = 1] - \Pr[\text{EXP}(1) = 1]|$  is “negligible.”
- Secure PRP (secure block cipher)
  - Same as the experiment before setup for the Secure PRF except  $\text{Perms}[X]$
  - Def:  $E$  is a secure PRP if for all “efficient”  $A$ :
    - $\text{Adv}_{\text{prp}}[A, E] = |\Pr[\text{EXP}(0) = 1] - \Pr[\text{EXP}(1)=1]|$  is “negligible.”
    - Pseudo random and random indistinguishable
- Example secure PRPs
  - 3DES, AES
  - AES-128:  $K \times X \rightarrow X$  where  $K = X = \{0,1\}^{128}$
  - An example concrete assumption about AES:
    - All  $2^{80}$  algs  $A$  have  $\text{Adv}_{\text{prp}}[A, \text{AES}] < 2^{-40}$

- Consider the 1-bit PRP from the previous question:  $E(k,x) = x \text{ xor } k$ 
  - Is it a secure PRF?
  - Note that  $\text{Funs}[X,X]$  contains four functions
    - No
  - Simple Attack
    - Attack A:
      - 1) query  $f(\cdot)$  at  $x = 0$  and  $x = 1$
      - 2) if  $f(0) = f(1)$  output “1”, else “0”
      - $\text{AdvPRF}[A,E] = [0-1/2] = 1/2$
- PRF Switching Lemma
  - Any secure PRP is also a secure PRF, if  $|X|$  is sufficiently large
  - Lemma: Let  $E$  be a PRP over  $(K,X)$ 
    - Then for any  $q$ -query adversary  $A$ : (makes at most  $q$  queries)
      - $|\text{Adv\_PRF}[A,E] - \text{Adv\_PRP}[A,E]| < q^2 / 2|X|$ 
        - since  $X$  is very large this quantity is negligible
      - Suppose  $|X|$  is large so that  $q^2 / 2|X|$  is “negligible”
        - Then  $\text{Adv\_prp}[A,E]$  “negligible”  $\Rightarrow \text{Adv\_prf}[A,E]$  “negligible”
- Final Note
  - Suggestion:
    - Don't think about the inner-workings of AES and 3DES
  - We assume both are secure PRPs and will see how to use them
- **Modes of operation: One time key**
- Using PRPs and PRFs
  - Goal: build “secure” encryption from a secure PRP
  - This segment: **one-time keys**
    - Adversary's power:
      - Adv sees only one ciphertext (one-time key)
    - Adversary's goal:
      - Learn info about PT from CT (semantic security)
- Incorrect use of a PRP
  - Electronic Code Block (ECB)
    - Break message into blocks
      - In case of AES break message into 16 byte blocks
    - Then encrypt each block separately
  - Problem:
    - If  $m_1 = m_2$  then  $c_1 = c_2$
- Semantic Security (one-time key)
  - Challenger sends
  - Advisory outputs two messages  $m_0$ , and  $m_1$   $|m_0| = |m_1|$
  - The advisory then gets the encryption of  $m_0$  and  $m_1$ 
    - Two different experiments
  - The goal is to say that the adversary cannot distinguish between these two experiments
  - $\text{Adv\_ss}[A,\text{OTP}] = |\text{Pr}[\text{EXP}(0)=1] - \text{Pr}[\text{EXP}(1)=1]|$  should be “neg”



- ECB is not Semantically Secure
  - ECB is not semantically secure for messages that contain more than one block
  - When the adversary encrypts the message  $c_1=c_2$  output 0, else output 1
  - Then  $\text{Adv}_{ss}[A, \text{ECB}] = 1$
- Secure Construction 1
  - Deterministic counter mode from a PRF  $F$ :
    - $E_{\text{DETCTR}}(k, m) = \text{message xor function}$ 
      - Each block of the message is xor with the function  $(k, \text{INT})$
      - Obtain the cipher
    - Stream cipher built from a PRF (e.g. AES, 3DES)
- Det. Counter-mode security
  - Theorem: For any  $L > 0$ , If  $F$  is a secure PRF over  $(K, X, X)$  then  $E_{\text{detctr}}$  is sem. Sec. cipher over  $(K, X^L, X^L)$ . In particular, for any eff. Adversary  $A$  attacking  $E_{\text{detctr}}$  there exists a n eff. PRF adversary  $B$  s.t.:
    - $\text{Adv}_{ss}[A, E_{\text{dtctr}}] = 2 * \text{Adv}_{\text{prf}}[B, F]$
  - $\text{Adv}_{\text{prf}}[B, F]$  is negligible (since  $F$  is a secure PRF) Hence,  $\text{Adv}_{ss}[A, E_{\text{detctr}}]$  must be negligible
- **Security for many-time key**
- Semantic Security for many-time key
  - Key used more than once  $\Rightarrow$  adv. Sees many CTs with the same key
  - Adversary's power: chosen-plaintext attack (CPA)
    - Can obtain the encryption of arbitrary messages of his choice (conservative modeling of real life)
  - Adversary's goal:
    - Break semantic security
- Semantic Security for many-time key
  - $E = (E, D)$  a cipher defined over  $(K, M, C)$ . For  $b=0,1$  define  $\text{EXP}(b)$  as
    - Challenger
      - $k \leftarrow K$
    - Advisory
    - Advisory queries the challenger by submitting two messages  $m_{10}$  and  $m_{11}$  element of  $M$   $|m_{10}| = |m_{11}|$
    - Advisory receives the encryption of one of the two messages
    - Can do this for  $i=1, \dots, q$
  - Chosen plain text attack
    - If adv. Wants  $c = E(k, m)$  it queries with  $m_{j0} = m_{j1} = m$
    - Def:  $E$  is sem.sec. under CPA if for all 'efficient'  $A$ :
      - $\text{Adv}_{\text{cpa}}[A, E] = |\text{Pr}[\text{EXP}(0)=1] - \text{Pr}[\text{EXP}(1)=1]|$  is "negligible"
- Ciphers insecure under CPA
  - Suppose  $E(k, m)$  always outputs same ciphertext for msg  $m$ . Then:
    - Attack sends the same message as the query  $m_0$ ,  $m_0$  element  $M$
    - Obtains the cipher text for  $E(k, m_0)$   $c_0$
    - Attacker sends a query  $m_0$  and  $m_1$  element of  $M$

- Obtains the encryption of either  $m_0$  or  $m_1$
    - The attacker checks if  $c = c_0$  then outputs 0 if  $c = c_0$
  - So what?
    - An attack can learn that two encrypted files are the same, two encrypted packets are the same, etc
    - Attacker's advantage is 1 meaning that the system can not be CPA secure
    - Every message is always encrypted to the same cipher text
  - If secret key is to be used multiple times => given the same plaintext message twice, encryption must produce different outputs
- Solution 1: randomized encryption
  - $E(k,m)$  is a randomized algorithm
    - When encrypting a message the message is mapped to a ball and outputs the encryption
    - When the decryption algorithm is running the algorithm will always map back to the original message
    - Encrypting same message twice gives different ciphertexts (w.h.p)
      - W.h.p meaning with high probability
    - Ciphertext must be longer than plaintext
      - Roughly speaking:  $CT\text{-size} = PT\text{-size} + \text{"#random bits"}$
- Randomized encryption
  - Let  $F; K \times R \rightarrow M$  be a secure PRF
  - For  $m$  element  $M$  define  $E(k,m) = [r \leftarrow R, \text{output } (r, F(k,r) \oplus m)]$
  - Is  $E$  semantically secure under CPA?
    - Yes, but only if  $R$  is large enough so  $r$  never repeats (w.h.p)
- Solution 2: nonce-based Encryption
  - Encryption algorithm takes in three inputs
    - $E(k,m,n) = c$
  - Decryption algorithm takes the nonce as input along with the cipher and obtains the original message
  - Nonce  $n$ : a value that changes from message to message.  $(k,n)$  pair never used more than once
  - Method1: nonce is a counter (e.g. packet counter)
    - Used when encryptor keeps state from message to message
    - If decryptor has same state, need not send nonce with CT
  - Method 2: encryptor choose a random nonce,  $n < N$  (w.h.p)

- CPA security for nonce-based encryption
  - System should be secure when nonces are chosen adversarially
  - Advisory
    - Sends the query containing the message and nonce
  - Challenger
    - Sends the encryption containing the message  $k$  and nonce
    - $E(k, m, n_i)$
  - All nonces  $\{n_1, \dots, n_q\}$  must be distinct
  - Def: nonce-based  $E$  is sem.ec. under CPA if for all “efficient”  $A$ :
    - $\text{Adv\_cpa}[A, E] = |\Pr[\text{EXP}(0)=1] - \Pr[\text{EXP}(1)=1]|$  is “negligible”
- **Modes of operation: many time key**
- Construction 1: CBC with random IV
  - Let  $(E, D)$  be a PRP.
  - $E_{\text{cbc}}(k, m)$  choose random IV element  $X$  and do:
    - IV is one block the message in the case of AES the IV would be 16 bytes
    - IV xor with  $m_0$
    - The result is then encrypted with the key and output is the cipher text
    - We then use the the first block of the cipher as a mask for the next message
    - $M_1$  is xor with the first cipher block
    - It is then encrypted
    - Repeat the process for the entire message
    - Final cipher text is IV and all the cipher blocks
      - IV = Initialization vector
- Decryption circuit
  - In symbols:  $c[0] = E(k, \text{IV} \oplus m[0]) \Rightarrow m[0] = D(k, c[0]) \oplus \text{IV}$
- CBC: CPA Analysis
  - CBC Theorem: For any  $L > 0$ 
    - If  $E$  is a secure PRP over  $(K, X)$  then  $E_{\text{cbc}}$  is a sem.sec. under CPA over  $(K, X^L, X^{L+1})$ . In particular, for a  $q$ -query adversary  $A$  attacking  $E_{\text{cbc}}$  there exists a PRP adversary  $B$  s.t.:
      - $\text{Adv\_cpa}[A, E_{\text{cbc}}] \leq 2 * \text{Adv\_prp}[B, E] + 2 * q^2 * L^2 / |X|$
  - Note: CBC is only secure as long as  $q^2 L^2 \ll |X|$ 
    - $L$  = length of the message
    - $Q$  is the number of cipher texts
      - The number of times we use the key  $k$  to encrypt messages
- An example
  - $\text{Adv\_cpa}[A, E_{\text{cbc}}] \leq 2 * \text{Adv\_prp}[B, E] + 2 * q^2 * L^2 / |X|$
  - $Q$  = the number of messages encrypted with  $k$ ,  $L$  = length of the max message
  - Suppose we want  $\text{Adv\_cpa}[A, E_{\text{cbc}}] \leq 1/(2^{32}) \leq q^2 L^2 / |X| < 1/(2^{32})$ 
    - AES:  $|X| = 2^{128} \Rightarrow q L < 2^{48}$ 
      - So, after  $2^{48}$  AES blocks, must change key
    - 3DES:  $|X| = 2^{64} \Rightarrow q L < 2^{16}$

- Warning: an attack on CBC with rand. IV
  - CBC where attacker can predict the IV is not CPA-secure
  - Suppose given  $c \leftarrow E_{\text{cbc}}(k, m)$  can predict IV for next message
  - Example
    - Advisory sends query 0 element of X
    - Advisory gets back encryption of the one block xor IV
      - $C_1 \leftarrow [IV_1, E(k, 0 \text{ xor } IV_1)]$
    - Advisory sends message
      - $M_0 = IV \text{ xor } IV_1, m_1 \neq m_0$
    - Challenger sends encryption to advisory  $[IV, E(k, IV_1)]$
    - What's encrypted is  $(IV \text{ xor } IV_1) \text{ xor } IV = IV_1$ 
      - If the IV is predictable there is no security
- Construction 1': nonce-based CBC
  - Cipher block chaining with unique nonce:  $\text{key} = (k, k_1)$ 
    - Unique nonce means:  $(\text{key}, n)$  pair is used for only one message
  - Nonce is included if unknown to decryptor text
  - If nonce is not unique need to perform the extra encryption step
- An example Crypto API (OpenSSL)
  - `Void AES_cbc_encrypt();`
  - When nonce is non random need to encrypt it before use
- A CBC technicality: padding
  - If the last block is less than 16 bytes then add padding
  - TLS: for  $n > 0$  n byte pad is  $n|n|n|...|n$
  - Pad removed during decryption
  - If no pad needed, add a dummy block
    - Adding dummy block of 16 blocks last block check last byte if 16 remove the blocks
- **Modes of Operation: Many Time Key (CTR)**
- Construction 2: rand ctr-mode
  - Let F be a secure PRF
  - $F: K \times \{0,1\}^n \rightarrow \{0,1\}^n$
  - Method
    - Choose random IV
    - First encryption is IV then IV+1
    - Obtain the cipher text
    - IV – chosen at random for every message
      - Note: parallelizable (unlike CBC)
- Construction 2': nonce ctr-mode
  - To ensure  $F(k, x)$  is never used more than once, choose IV as:
  - Have a normal nonce in the left hand side and the counter on the right hand side of IV
    - The counter is incremented for each block
- Rand ctr-mode (rand.IV): CPA analysis
  - Counter-mode Theorem: For any  $L > 0$  If F is a secure PRF over  $(K, X, X)$  then  $E_{\text{ctr}}$  is a sem.sec. under CPA over  $(K, X^L, X^{L+1})$

- In particular, for a  $q$ -query adversary  $A$  attacking  $E_{ctr}$  there exists a PRF adversary  $B$  s.t.:
    - $Adv_{cpa}[A, E_{ctr}] \leq 2 * Adv_{prf}[B, F] + 2 q^2 L / |X|$
  - Note: ctr mode only secure as long as  $q^2 L \ll |X|$ . Better than CBC!
- An example
  - $Adv_{cpa}[A, E_{ctr}] \leq 2 * Adv_{prf}[B, E] + 2 q^2 L / |X|$
  - $Q$  number of messages encrypted with  $k$ ,  $L$  = length of max message
  - Suppose we want  $Adv_{cpa}[A, E_{ctr}] \leq 1/(2^{32}) \leq q^2 L / |X| < 1/(2^{32})$
- AES:  $|X| = 2^{128} \Rightarrow q L^{1/2} < 2^{48}$ 
  - So, after  $2^{32}$  CTs each of len  $2^{32}$ , must change key (total of  $2^{64}$  AES blocks)
- Comparison: ctr vs. CBC

## Comparison: ctr vs. CBC

	CBC	ctr mode
uses	PRP	PRF
parallel processing	No	Yes
Security of rand. enc.	$q^2 L^2 \ll  X $	$q^2 L \ll  X $
dummy padding block	Yes	No
1 byte msgs (nonce-based)	16x expansion	no expansion

- Summary
  - PRPs and PRFs: a useful abstraction of block ciphers
  - We examined two security notions:
    - 1. Semantic security against one-time CPA
    - 2. Semantic security against many-time CPA.
    - Note: neither mode ensures data integrity
  - Stated security results summarized in the following table:
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Goal \ Power	one-time key	Many-time key (CPA)	CPA and integrity
<b>Sem. Sec.</b>	stream-ciphers det. ctr-mode	rand CBC rand ctr-mode	later

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