How often does a cubic hypersurface have a point?

Christopher Keyes (King's College London)

Show and Tell 15 March 2024 •0000

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A cubic hypersurface $X_f \subset \mathbb{P}^n$ is cut out by a cubic form f

$$X_f: f(x_0, \ldots, x_n) = \sum_{0 \le i \le j \le k \le n} a_{ijk} x_i x_j x_k = 0.$$

Cubic hypersurfaces

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As f varies, how often does X_f have a rational point?

Counting points

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Write $P \in X_f(\mathbb{Q})$ as $P = [x_0, \dots, x_n]$ with $x_i \in \mathbb{Z}$ coprime.

Define height of a point

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Natural point-counting function

$$N_f(B) = \#\{P \in X_f(\mathbb{Q}) \mid h(P) \leq B\}.$$

Heuristics

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- A "random" $\mathbf{x} \in \mathbb{P}^n(\mathbb{Q})$ with $h(\mathbf{x}) \leq B$ has $f(\mathbf{x}) = O_f(B^3)$.
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Expectation: $X_f(\mathbb{Q}) \neq \emptyset$ when $n \geq 4$ and $c_f > 0$.

Remark

When n = 2, f = 0 is an elliptic curve. In this case,

$$N_f(B) \sim c_f(\log B)^{\operatorname{rk}(E)/2}$$
.

Circle method

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Introduced by Hardy and Littlewood to count things.

$$N_f(B) = \sum_{\substack{\mathbf{x} \in \mathbb{Z}^{n+1} \\ h(\mathbf{x}) \le B}} \int_0^1 \left(e^{2\pi i f(\mathbf{x})\alpha} d\alpha \right) = \int_0^1 \sum_{\substack{\mathbf{x} \in \mathbb{Z}^{n+1} \\ h(\mathbf{x}) \le B}} e^{2\pi i f(\mathbf{x})\alpha} d\alpha$$

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Estimate sums when α is

- (major arcs) α well approximated by $\frac{a}{q} \in \mathbb{Q}$, otherwise
- (minor arcs) negligible contribution.

For major arc: count solutions modulo q.

When n large enough¹, circle method shows

$$N_f(B) \sim c_f B^{n-3}, \quad c_f > 0,$$

i.e. X_f always has a rational point.

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Let $h(f) = ||\mathbf{a}|| =$ Euclidean norm, define natural density

$$\rho_n = \lim_{B \to \infty} \frac{\#\{f \mid h(f) \le B, \ X_f(\mathbb{Q}) \ne \emptyset\}}{\#\{f \mid h(f) \le B\}}.$$

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Remark

ullet Counting primitive forms gets same answer, i.e. using $\mathbb{P}^{\binom{n+3}{3}-1}$

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$$X(\mathbb{R}) \neq \emptyset$$
 and $X(\mathbb{Q}_p) \neq \emptyset$ for all p .

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Density of ELS cubic forms in $\mathbb{Z}[x_0,\ldots,x_n]$:

$$\rho_n^{ELS} = \lim_{B \to \infty} \frac{\#\{f \mid h(f) \le B, \ X_f \text{ ELS}\}}{\#\{f \mid h(f) \le B\}}.$$

Conjecture (Poonen-Voloch, 2004)

When $n \ge 3$, $\rho_n^{ELS} = \rho_n$.

i.e. local-global principle holds for 100% of cubic hypersurfaces.

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Theorem (Browning–Le Boudec–Sawin, 2023)

When $n \geq 4$, $\rho_n^{ELS} = \rho_n$. In fact true for Fano deg. d $(d,n) \neq (3,3)$

Computing ρ^{ELS}

Let $\rho_n(p) = \text{density of } p\text{-adic cubic forms } f \text{ such that } X_f(\mathbb{Q}_p) \neq \emptyset.$

Theorem (Poonen-Voloch, 2004)

Let $n \geq 2$. We have

$$\rho_n^{\mathsf{ELS}} = \prod_{p} \rho_n(p).$$

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Think

Local probabilities independent...even though infinitely many!

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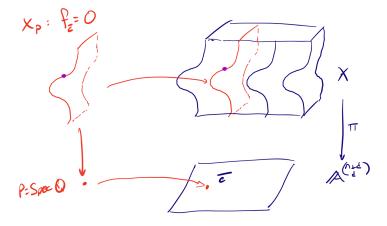
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2016 Bright–Browning–Loughran: flexible generalization to families given by fibers of maps to affine/projective space.

Varieties parameterized by fibers

Varying X_f



Main result

Theorem (Beneish-K.)

Let $4 \le n \le 8$. There exist explicit polynomials $g_n(t), h_n(t) \in \mathbb{Z}[t]$ describing ρ_n exactly as Euler product

$$\rho_n = \prod_p \rho_n(p) = \prod_p \left(1 - \frac{g_n(p)}{h_n(p)}\right).$$

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Remark

- We produce g_3 , h_3 , and conjectural formula for ρ_3 .
- Recovers $\rho_n(p) = 1$ for $n \ge 9$.

Example

When n = 4 we have

$$\begin{split} g_4(\rho) &= \left(\rho^{46} + 3\rho^{41} + \rho^{40} - \rho^{39} + \rho^{37} + \rho^{36} + \rho^{35} - 3\rho^{34} + 3\rho^{27} - \rho^{26} + \rho^{25} \right. \\ &+ \left. \rho^{19} \right) \left(\rho^2 + 1\right) \left(\rho + 1\right)^2 \left(\rho - 1\right)^4 \\ h_4(\rho) &= 9 \left(\rho^{19} - 1\right) \left(\rho^{17} - 1\right) \left(\rho^{10} + 1\right) \left(\rho^9 + 1\right) \left(\rho^9 - 1\right) \left(\rho^7 - 1\right) \left(\rho^5 + 1\right) \end{split}$$

Asymptotically, $rac{g_4(p)}{h_4(p)} \sim rac{1}{9p^{22}}.$

Numerically, $\rho_4 \approx 0.99999999497 = 1 - 5.022 \cdot 10^{-9}$.

Asymptotics and numerics

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n	$ ho_{\it n} pprox$	$g_n(p)/h_n(p) \sim$
3	0.999927 (conj.)	$1/3p^{10}$
4	$1 - 5.022 \cdot 10^{-9}$	$1/9p^{22}$
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[BLBS23, PV04, BBL16]

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- **3** How often does does $\overline{P} \in \overline{X_f}$ lift to $P \in X_f(\mathbb{Q}_p)$?

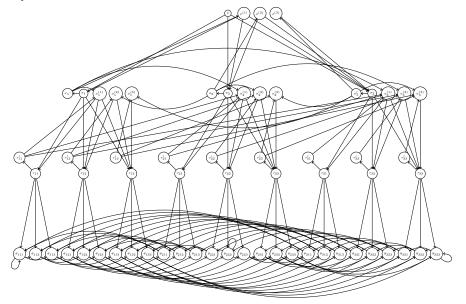
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Idea

Express lifting probabilities in terms of each other and recurse to get rational function $\rho_n(p)$.

Full picture



Related results

Plane cubic curves [BCF16a, Bha14]

- $\rho_2^{\it ELS},~\rho_2(\it p)$ computed by Bhargava–Cremona–Fisher
- Bhargava: pos. prop. fail Hasse principle (conj. 65%) [Bha14]

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Quadric hypersurfaces [BCF+16b]

- BCF-Jones-Keating: explicit Euler product for density of quadratic forms with integral zero [BCF+16b]
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- 98.3% of quadric surfaces in \mathbb{P}^3 soluble

Related results

Counting points

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More: certain cubic surfaces [Bro17], (2,2)-curves in $\mathbb{P}^1 \times \mathbb{P}^1$ [FHP21]

Computing the local factors

Goal

Compute local probability $\rho_n(p)$ that X_f has \mathbb{Q}_p -point.

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$$ho_{\it n}(\it p)$$
 is $\it p$ -adic Haar measure with $\mu_{\it p}\left(\mathbb{Z}_{\it p}^{{n+3\choose 3}}\right)=1$:

$$\rho_n(p) = \mu_p \left(f \in \mathbb{Z}_p[x_0, \dots, x_n] \mid X_f(\mathbb{Q}_p) \neq \emptyset \right).$$

Think

Reduce mod p, try to decide solubility with Hensel's lemma.

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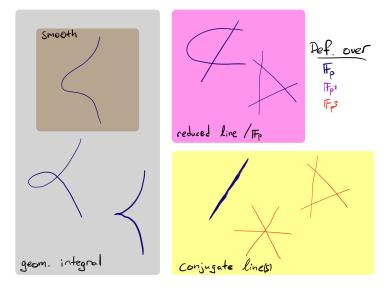
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Lemma (Hensel's Lemma)

If $P_0 \in \overline{X}^{sm}(\mathbb{F}_p)$, then there exists lift $P \in X(\mathbb{Z}_p)$ with $\overline{P} = P_0$.

Cubic hypersurfaces over \mathbb{F}_p



When are there always \mathbb{Q}_p -points?

Proposition

If $\overline{X_f}$ not a config. of conjugate hyperplanes, then $X_f(\mathbb{Q}_p) \neq \emptyset$.

Proof for curves (n = 2).

If geom. integral, use Hasse–Weil bounds on (normalization of) $\overline{X_f}$

$$\#X_f(\mathbb{F}_p)\geq p+1-2\sqrt{p}>0.$$

All other possibilities contain line defined over \mathbb{F}_p .

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Warning

There exist irreducible deg. d > 3 curves $X \subset \mathbb{P}^2$ with $X(\mathbb{Q}_p) = \emptyset$.

Observe 2 is not a cube in \mathbb{F}_7 . Let $u \in \mathbb{F}_{7^3}$ be root of $x^3 - 2 = 0$.

Example (n=2, p=7)

$$f(x, y, z) = x^3 + 3x^2y + 3xy^2 - 6xyz + 3y^3 - 6y^2z + 4z^3 = 0$$

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Over $\overline{\mathbb{F}_7}$ we have

$$\overline{f} = \prod_{\sigma \in \mathsf{Gal}(\mathbb{F}_{7^3}/\mathbb{F}_7)} \sigma\left(x + (1+u)y + u^2z\right).$$

$$\overline{X_f}(\mathbb{F}_p) = \emptyset \implies X_f(\mathbb{Z}_p) = \emptyset.$$

 $\overline{X_f}$ is a triangle

Example

Suppose $f = g_1(x_0, x_1, x_2) + pg_2(x_3, x_4, x_5) + p^2g_3(x_6, x_7, x_8)$ for $g_i = 0$ with no nontrivial \mathbb{F}_p -solutions.

If $[x_0, ..., x_8] \in X_f(\mathbb{Z}_p)$ then $p \mid x_0, x_1, x_2$

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If $[x_0,\ldots,x_8]\in X_f(\mathbb{Z}_p)$ then $p\mid x_0,x_1,x_2$

$$\frac{1}{p}f(px_0, px_1, px_2, x_3, \dots, x_8) = p_2(x_2, x_3)$$

$$= g_2(x_3, x_4, x_5) + pg_3(x_6, x_7, x_8) + p^2g_1(x_0, x_1, x_2)$$

$$\implies X_f(\mathbb{Z}_p) = \emptyset.$$

Example

Counting points

Suppose
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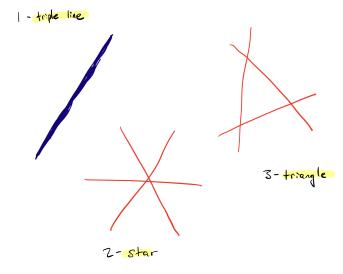
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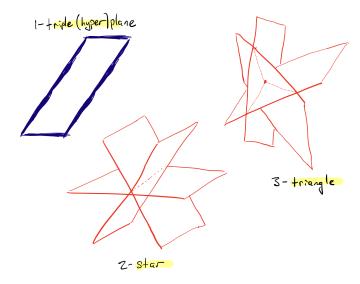
Remark

This generalizes, but it ignores cross terms...

Configurations of conjugate lines



Configurations of conjugate hyperplanes



Goal

$$\rho_n(p) = \xi_{n,0}\sigma_{n,0} + \xi_{n,1}\sigma_{n,1} + \xi_{n,2}\sigma_{n,2} + \xi_{n,3}\sigma_{n,3}$$

Goal

Look modulo p and try to decide solubility

$$\rho_n(p) = \xi_{n,0}\sigma_{n,0} + \xi_{n,1}\sigma_{n,1} + \xi_{n,2}\sigma_{n,2} + \xi_{n,3}\sigma_{n,3}$$

• $\xi_{n,i} = \text{prob. } f \text{ has type } i$

Goal

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Goal

$$\rho_n(p) = \xi_{n,0} \cdot 1 + \xi_{n,1} \sigma_{n,1} + \xi_{n,2} \sigma_{n,2} + \xi_{n,3} \sigma_{n,3}$$

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- $\xi_{n,0} = \text{prob. } f \text{ not config. of conj. hyperplanes}$
- $\sigma_{n,0} = 1$

Factorization probabilities

Counting points

$$\xi_{n,0} = 1 - \frac{q^{3n-3} + 2q^{n+3} + 2q^{n+2} + 2q^{n+1} - 2q^2 - 2q - 3}{3(q^2 + q + 1)(q^{\binom{n+3}{3}} - 1)}$$

$$\xi_{n,1} = \frac{q^{n+1} - 1}{q^{\binom{n+3}{3}} - 1}$$

$$\xi_{n,2} = \frac{(q^{2n+1} - q^{n+1} - q^n + 1)q}{3(q^{\binom{n+3}{3}} - 1)}$$

$$\xi_{n,3} = \frac{(q^{3n} - q^{2n} - q^{2n+1} - q^{2n-1} + q^{n+1} + q^{n-1} + q^n - 1)q^3}{3(q^2 + q + 1)(q^{\binom{n+3}{3}} - 1)}$$

Exercise

Convince yourself that probability of a polynomial factoring a certain way is given by a (uniform) rational function.

Repeat this process three times:

1 Reduce mod p, lift any "easy" solutions with Hensel's lemma

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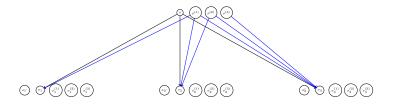
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- **②** Reduction is type j = 1, 2, 3 with explicit probability
- Introduce new lifting probability for each type
- Relate new lifting probabilities to others

Eventually this terminates: 64 $\mathbb{Q}(p)$ -linear relations in 64 unknowns

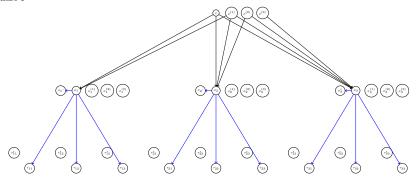
Setup





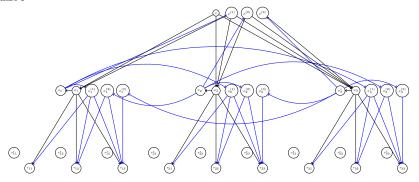


Phase I



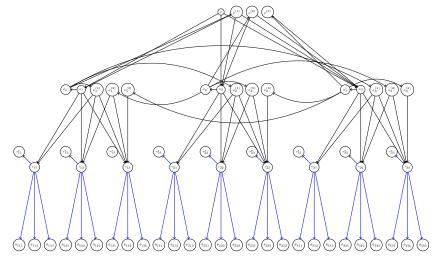


Phase I

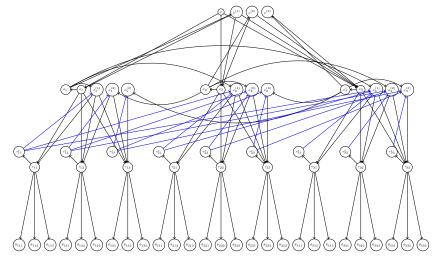




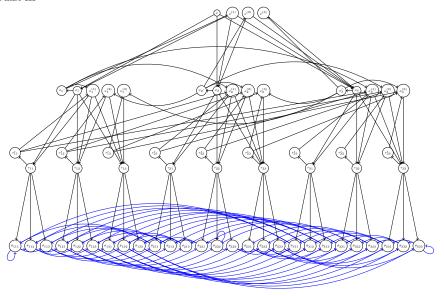
Phase II



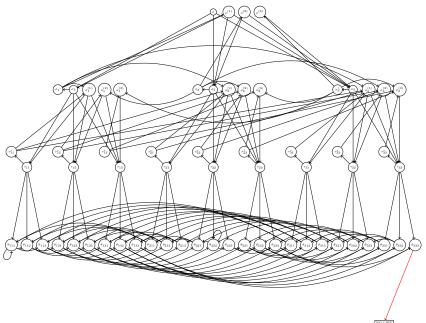
Phase II



Phase III



When n = 8



Some remarks

Practicalities:

- Solve with Sage symbolic solver
- Block variables (27 + 27 + 10) to speed up

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In principle, $\rho_n(p) = 1$ for $n \ge 9$ can be seen "by hand"

- No "no lift" sink in the flow chart!
- Capture and describe explicitly Heath–Brown's observation of necessary/sufficient conditions for f to have local solutions

Density of cubics with a point

Theorem (Beneish-K.)

Let $n \ge 4$ (conjecturally $n \ge 3$). Then $\rho_n = 1$ when $n \ge 9$ and

$$ho_n = \prod_{p \; prime} \left(1 - rac{g_n(p)}{h_n(p)}
ight) \; ext{ when } n \leq 8$$

for explicit polynomials $g_n(t), h_n(t) \in \mathbb{Z}[t]$.

n	$ ho_{n}^{(ELS)} pprox$	$1- ho_n(p)\sim$
2	0.9726 [BCF16a]	$1/3p^{3}$
3	0.999927 (conj.)	$1/3p^{10}$
4	$1 - 5.022 \cdot 10^{-9}$	$1/9p^{22}$
5	$1 - 1.343 \cdot 10^{-15}$	$1/9p^{43}$
6	$1 - 3.502 \cdot 10^{-26}$	$1/9p^{78}$
7	$1 - 5.152 \cdot 10^{-42}$	$1/27p^{129}$
8	$1 - 6.222 \cdot 10^{-64}$	$1/27p^{201}$

Further questions

Let $\rho_{d,n}=$ density of degree d hypersurfaces in \mathbb{P}^n with \mathbb{Q} -point

How far can this approach go to compute $\rho_{d,n}$?

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- Lose uniformity in p in general
- Heath–Brown: $\rho_{4,n}(p) = 1$ for $n \geq 9126$, $\rho_{5,n}(p) = 1$ known for n > 25, p > 17 [HB09]
- Can we predict asymptotics/numerics with less effort?

Further questions

Counting points

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Structure of $\rho_{d,n}(p)$

- Always rational function? (for $p \gg 0$)
- Known for binary forms, $\rho_{d,1}(p) = \rho_{d,1}(1/p)$ [BCFG22]

Thank you I

Thank you for the invitation and for your attention!



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