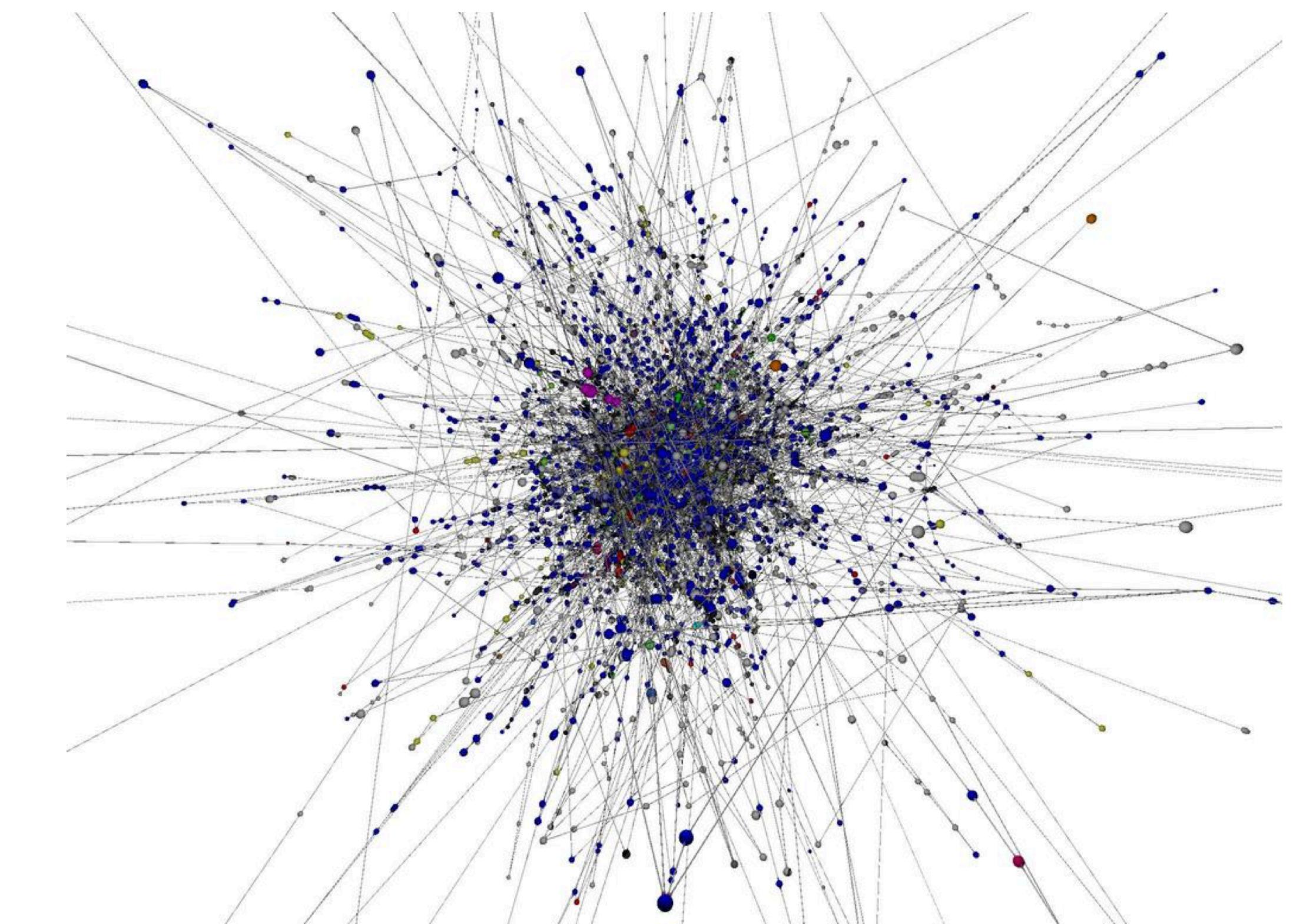


# **The Topology of Preferential Attachment**

## **How Random Interaction Begets Holes**

**Chunyin Siu**  
**Cornell University**  
**cs2323@cornell.edu**

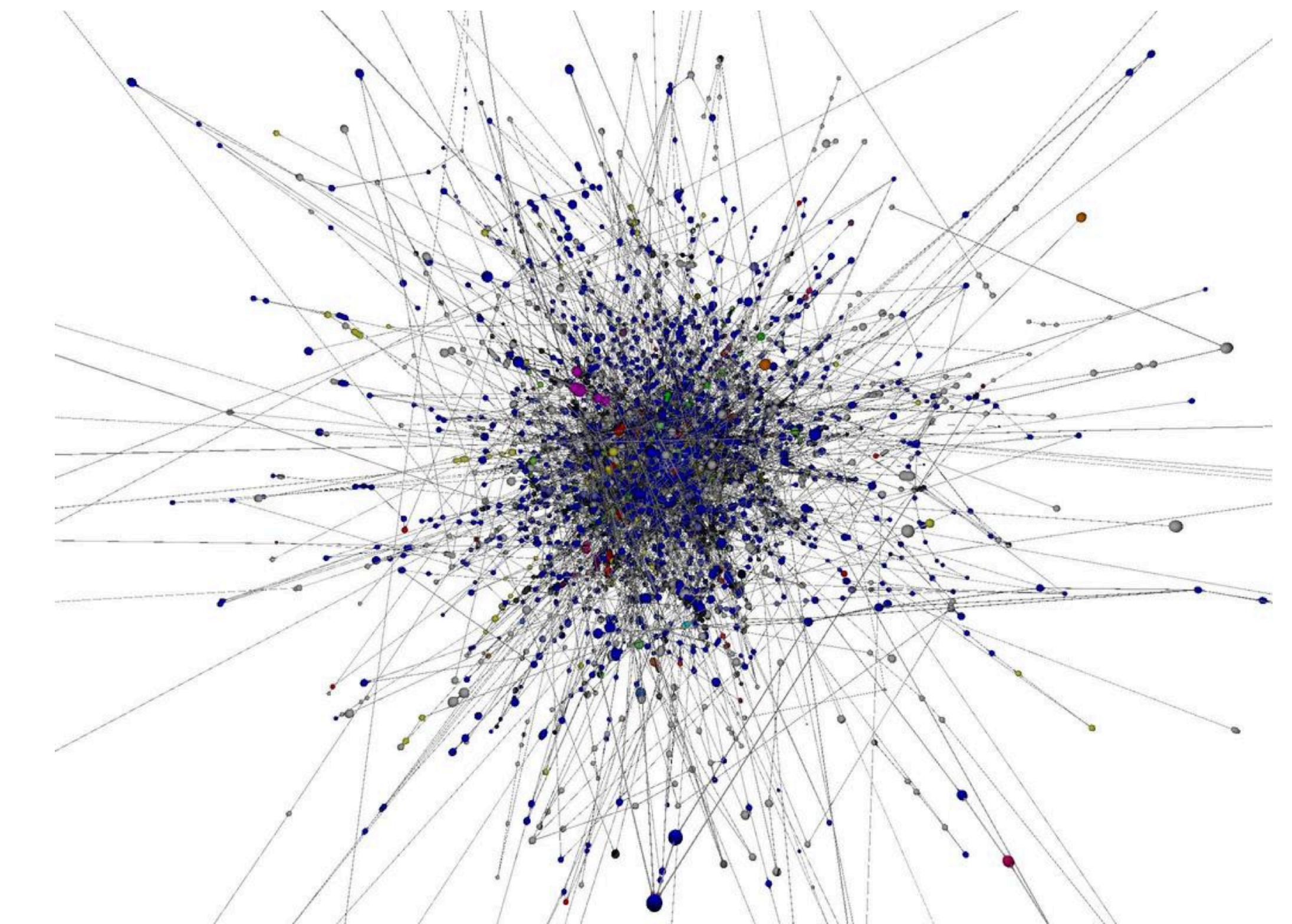
# So, preferential attachment...



(Stephen Coast  
<https://www.fractalus.com/steve/stuff/ipmap/>)

# So, preferential attachment...

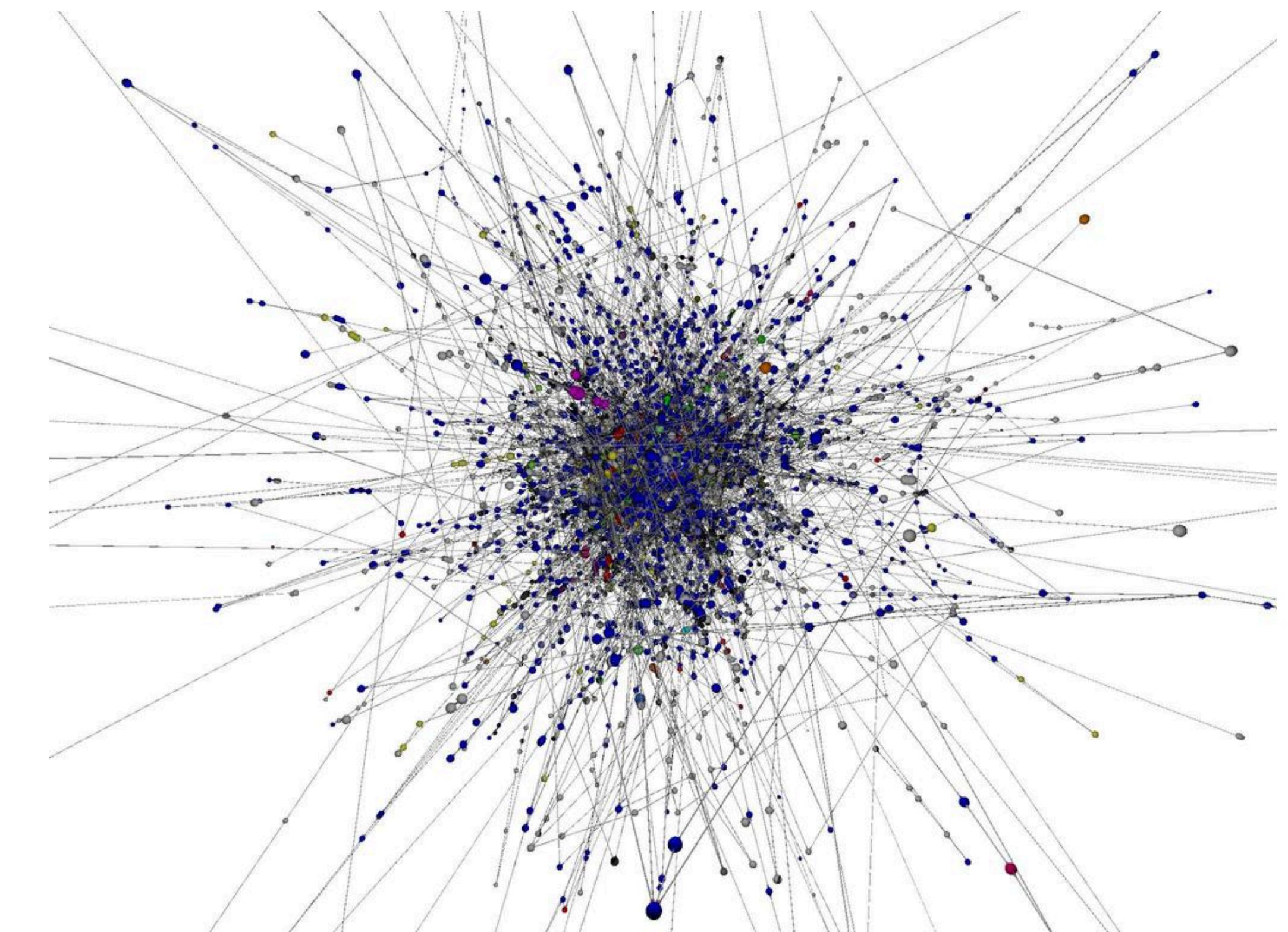
- Just a bouquet of circles?



(Stephen Coast  
<https://www.fractalus.com/steve/stuff/ipmap/>)

# So, preferential attachment...

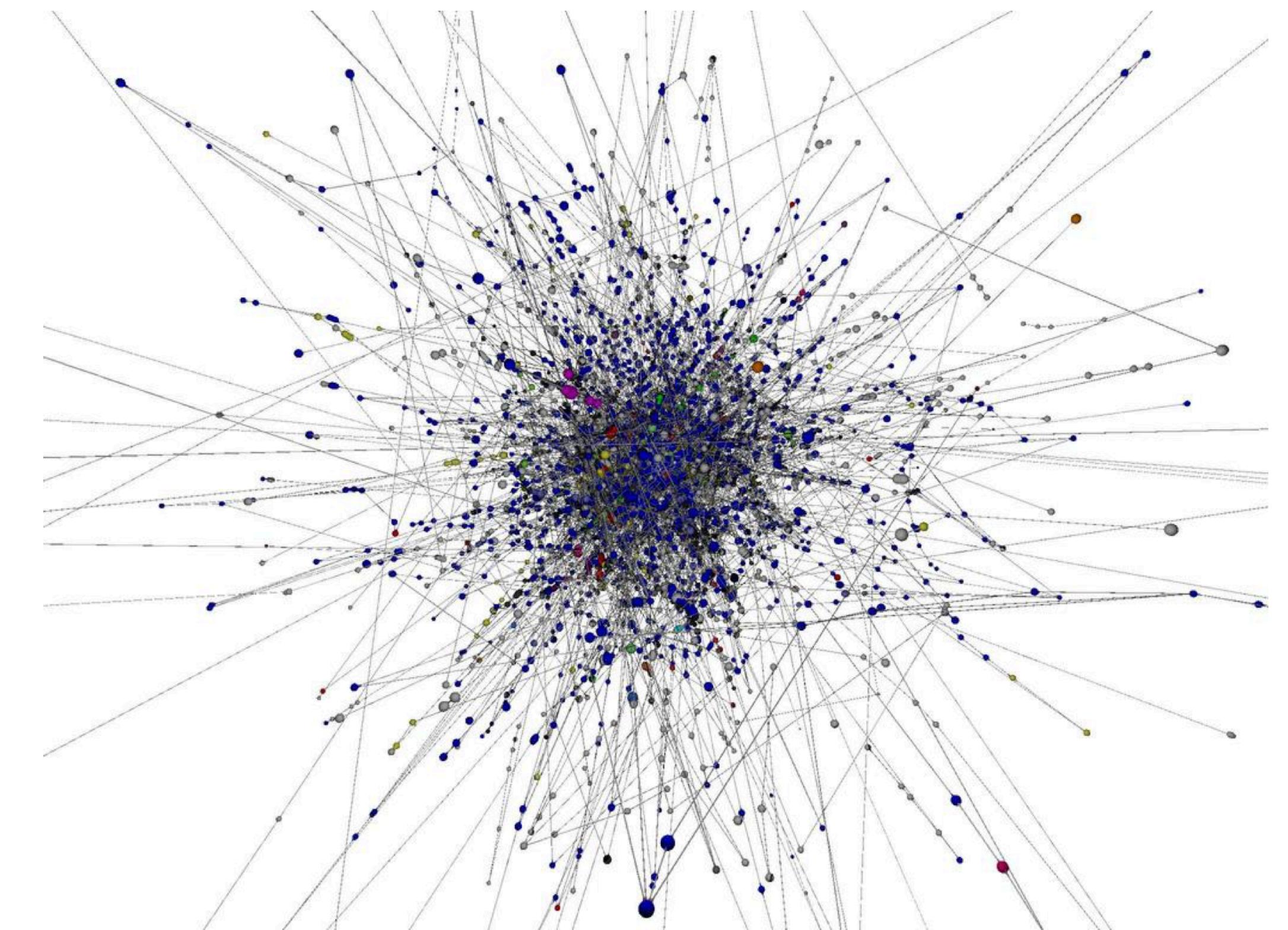
- Just a bouquet of circles?
- What is intrinsic and what is just random fluctuation?



(Stephen Coast  
<https://www.fractalus.com/steve/stuff/ipmap/>)

# So, preferential attachment...

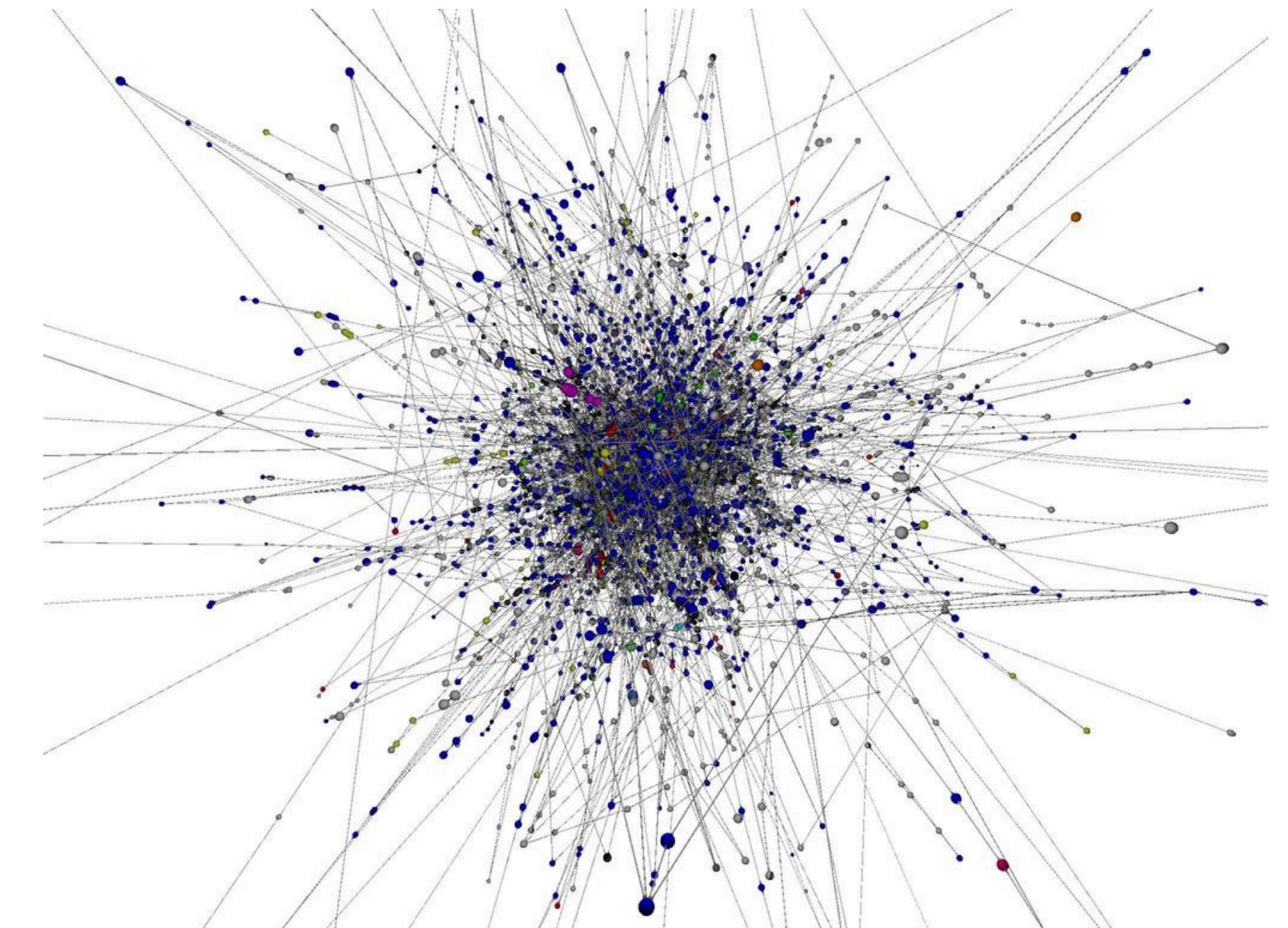
- Just a bouquet of circles?
- What is intrinsic and what is just random fluctuation?
- —> random topology



(Stephen Coast  
<https://www.fractalus.com/steve/stuff/ipmap/>)

# So, preferential attachment...

- Just a bouquet of circles?
- What is intrinsic and what is just random fluctuation?
- —> random topology
  - the random process of preferential attachment



(Stephen Coast  
<https://www.fractalus.com/steve/stuff/ipmap/>)

# Agenda

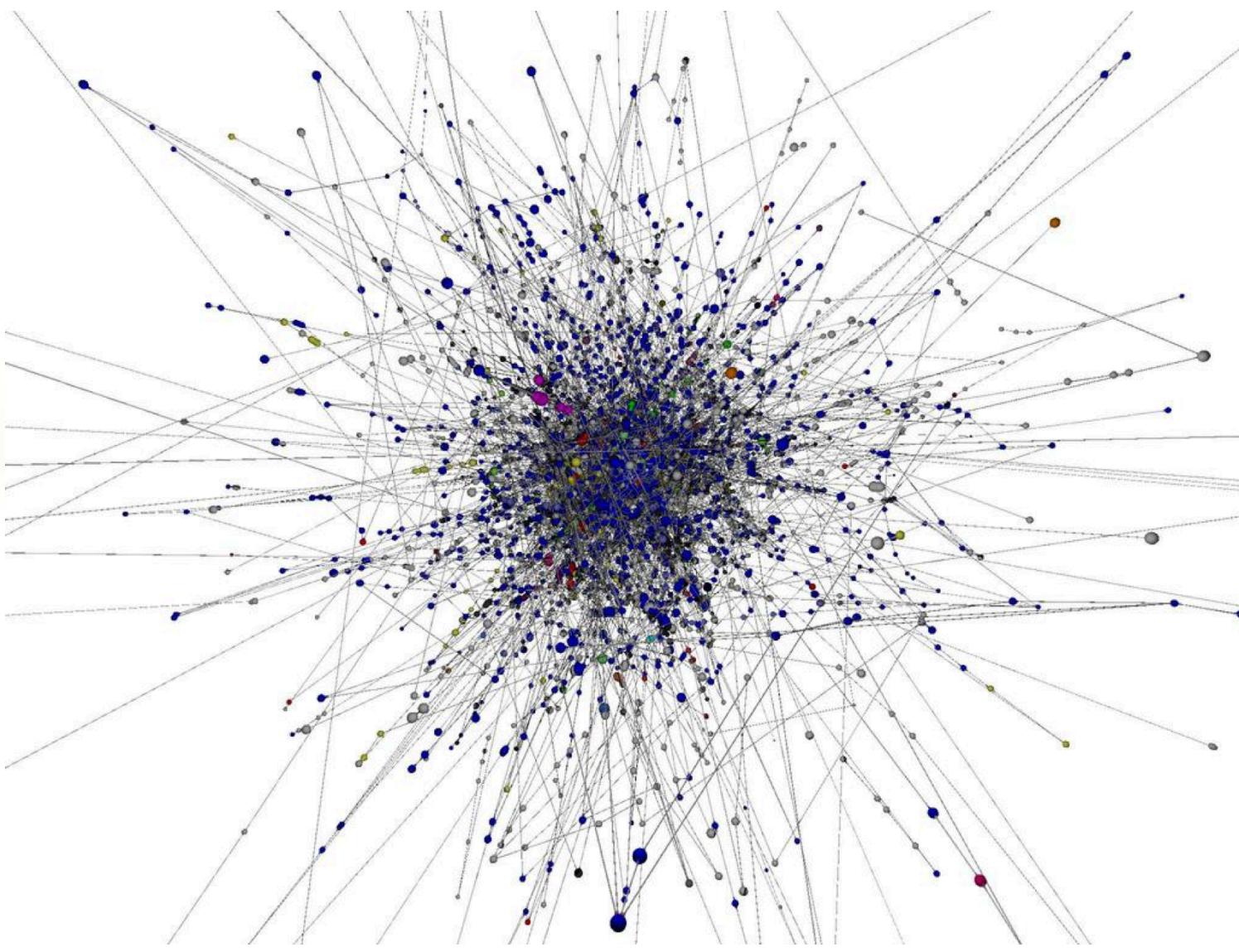


random topology

# Agenda



random topology

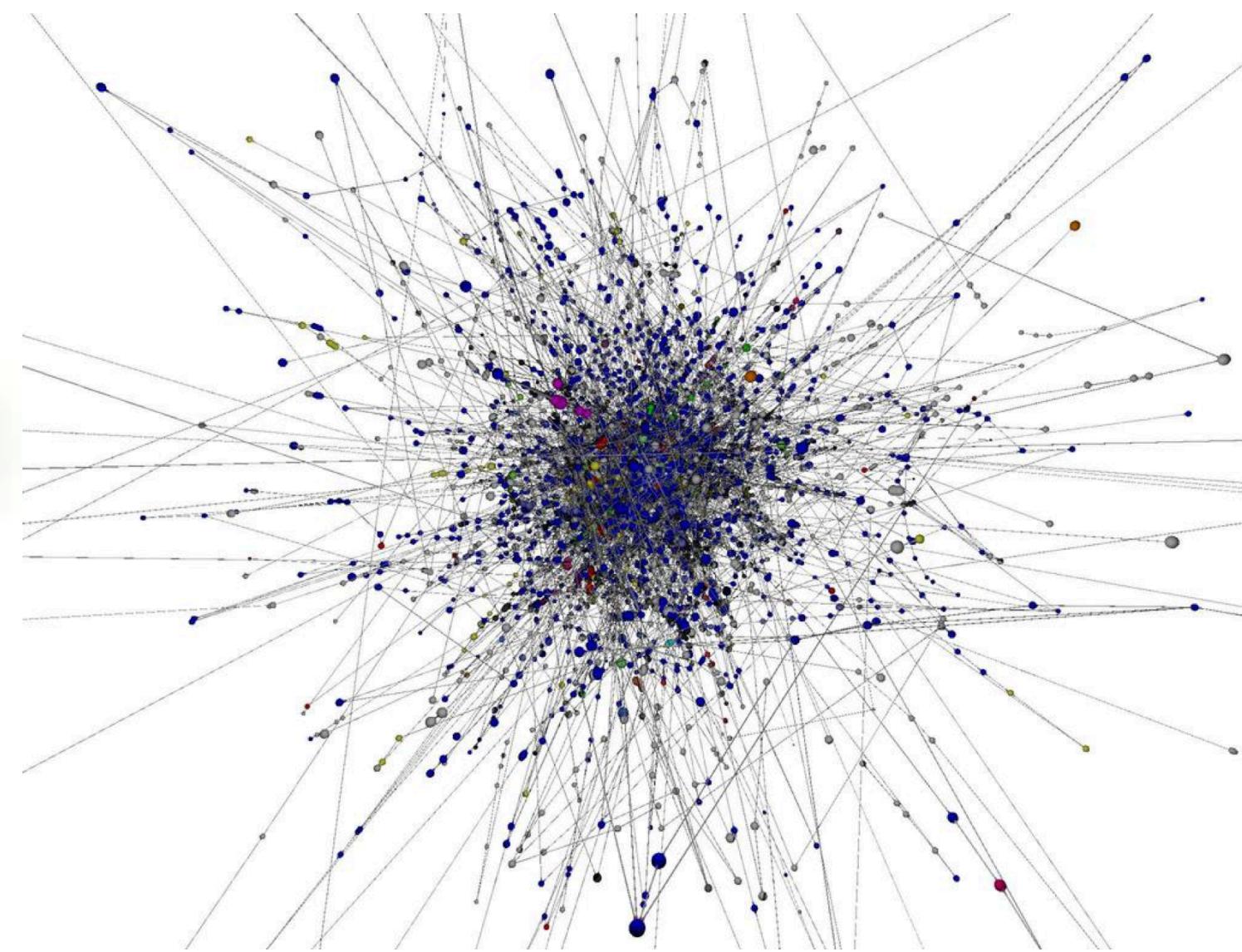


preferential attachment

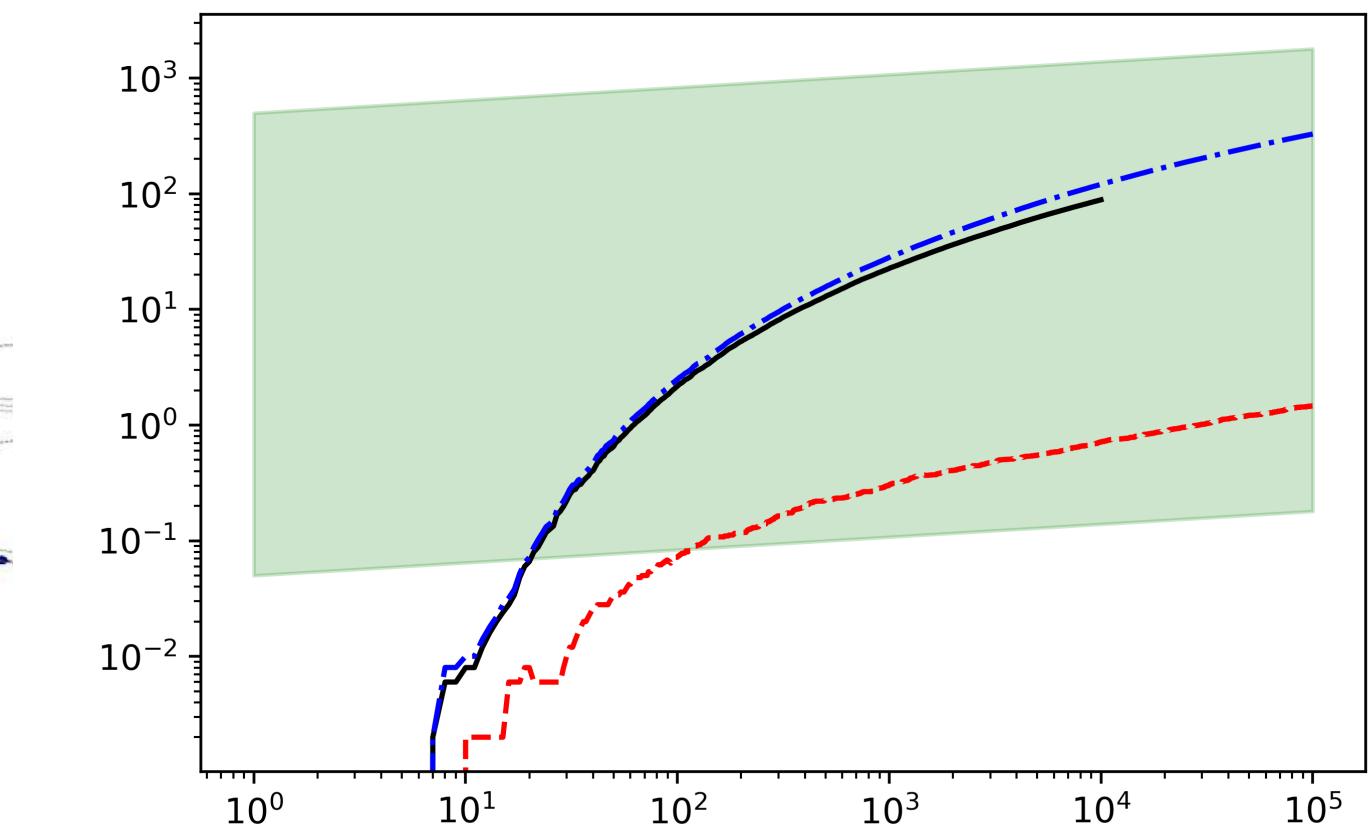
# Agenda



random topology



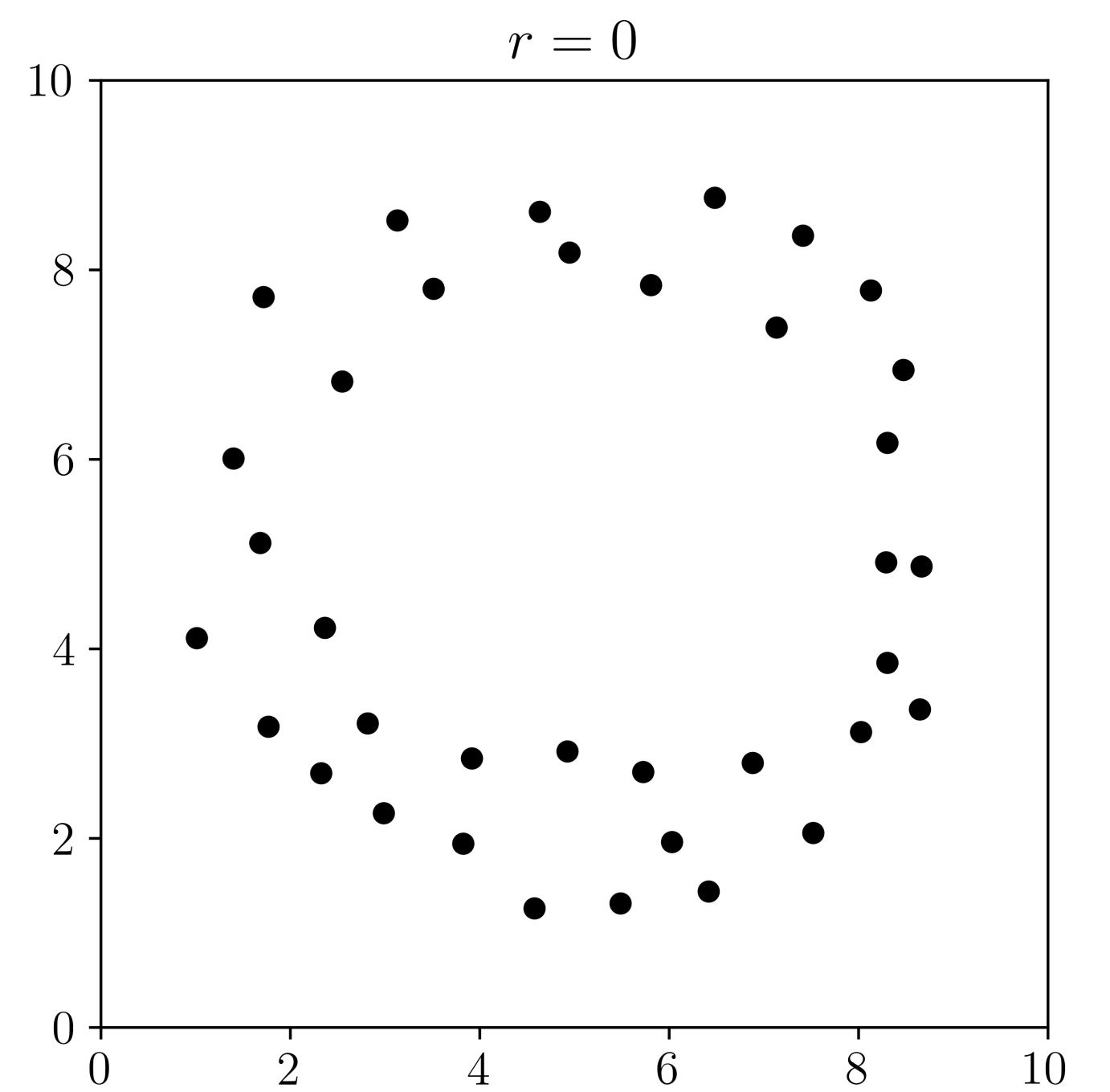
preferential attachment

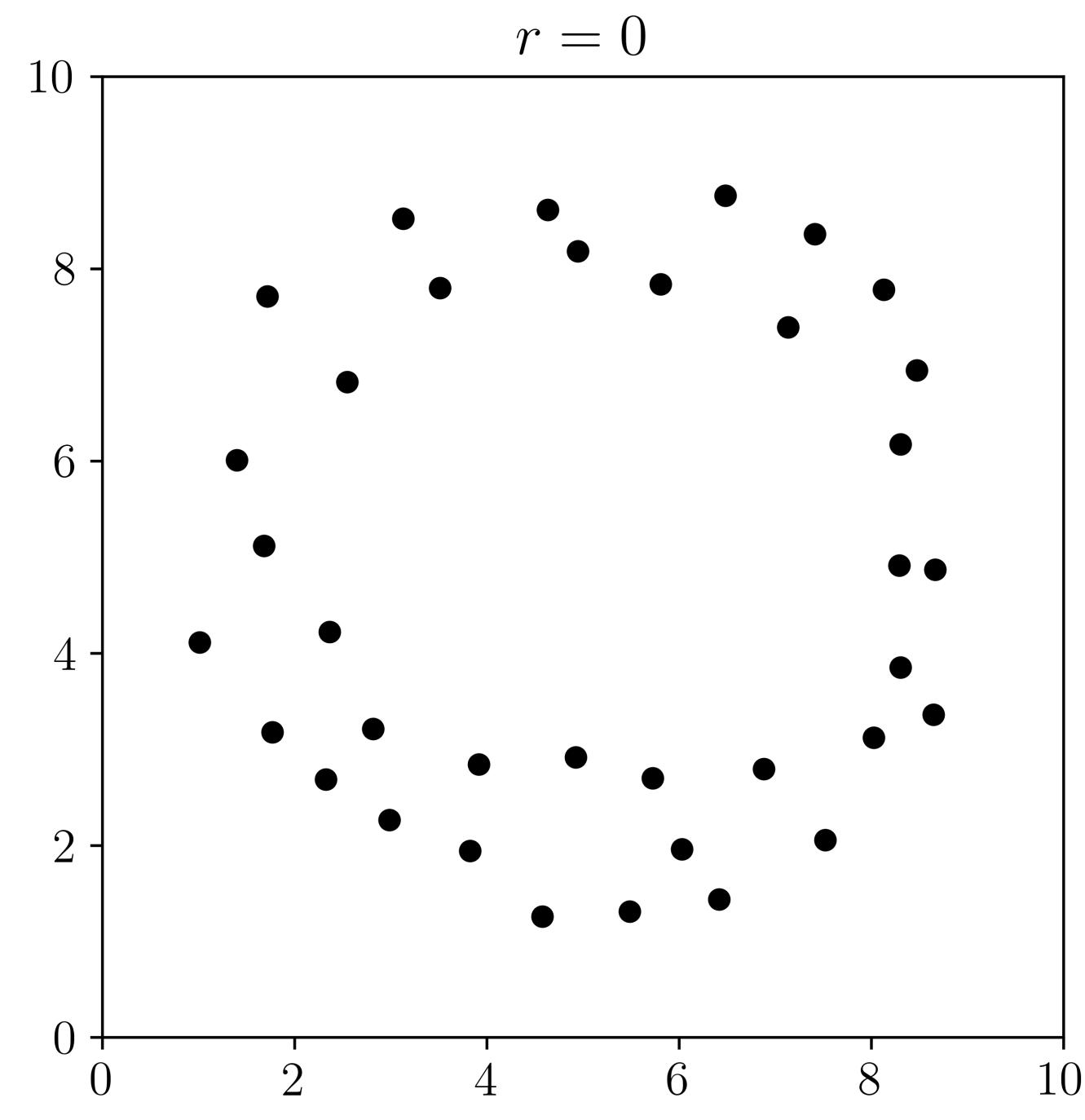
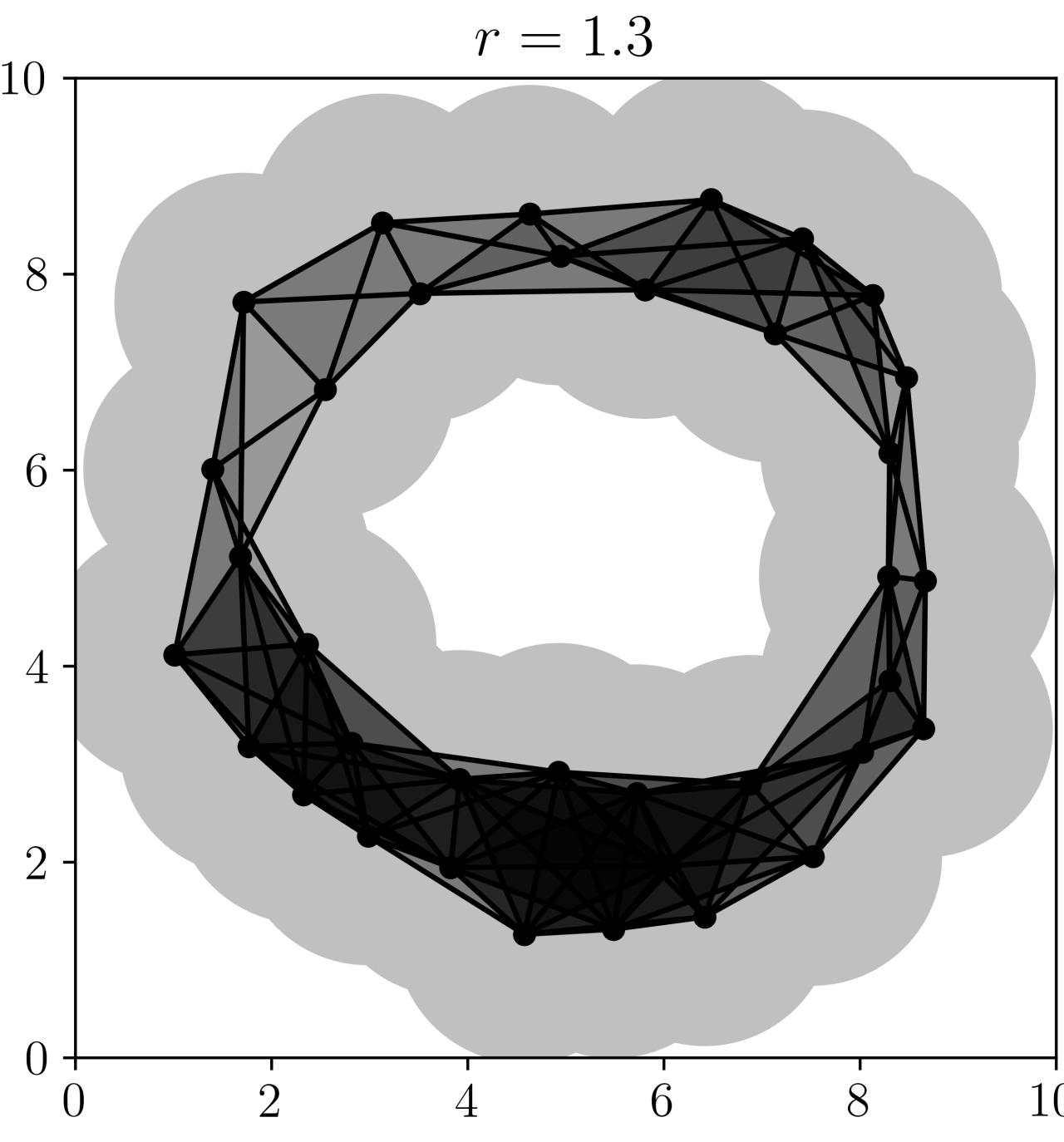
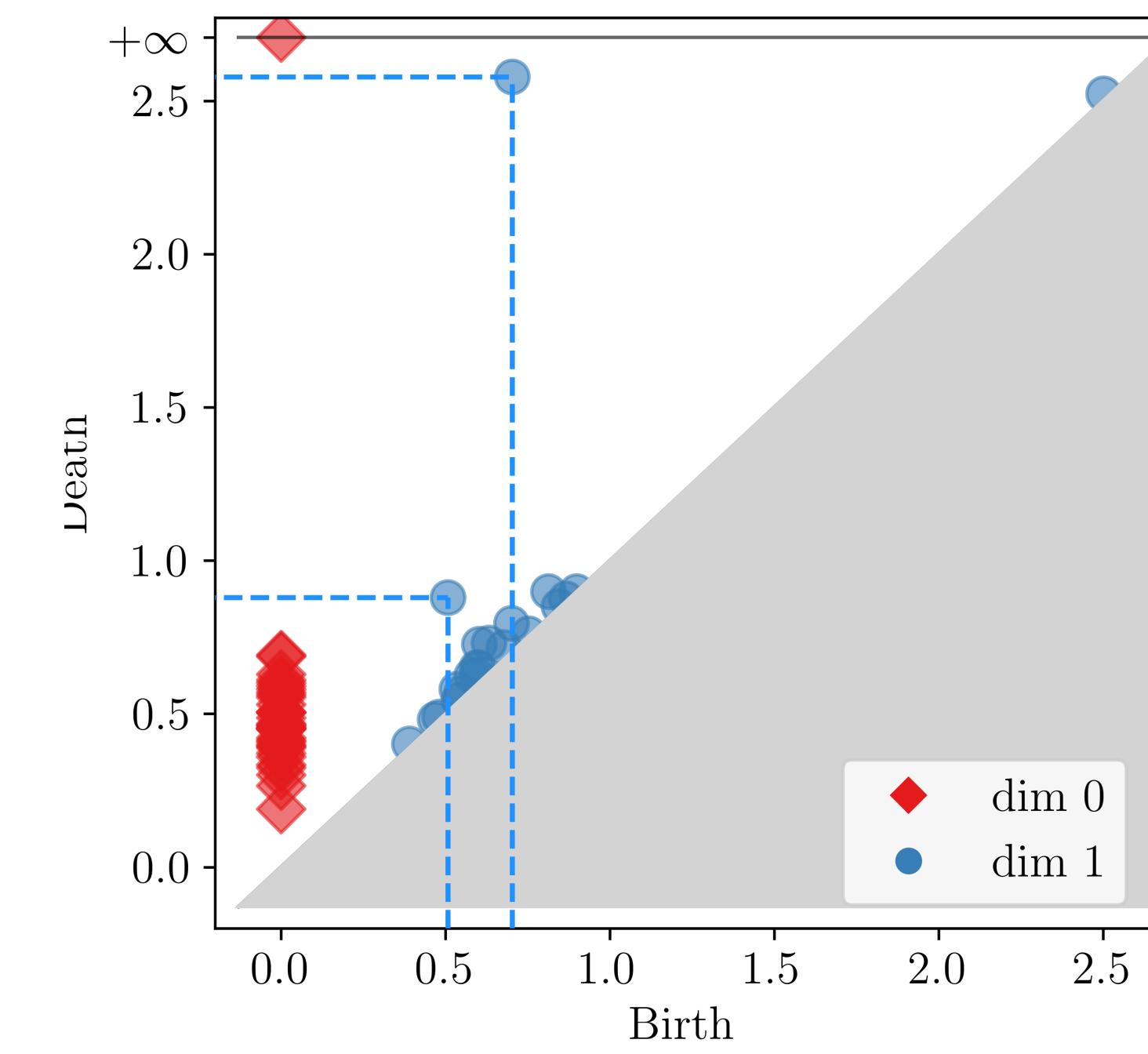


our result

# **I. A Probabilist's Apology**

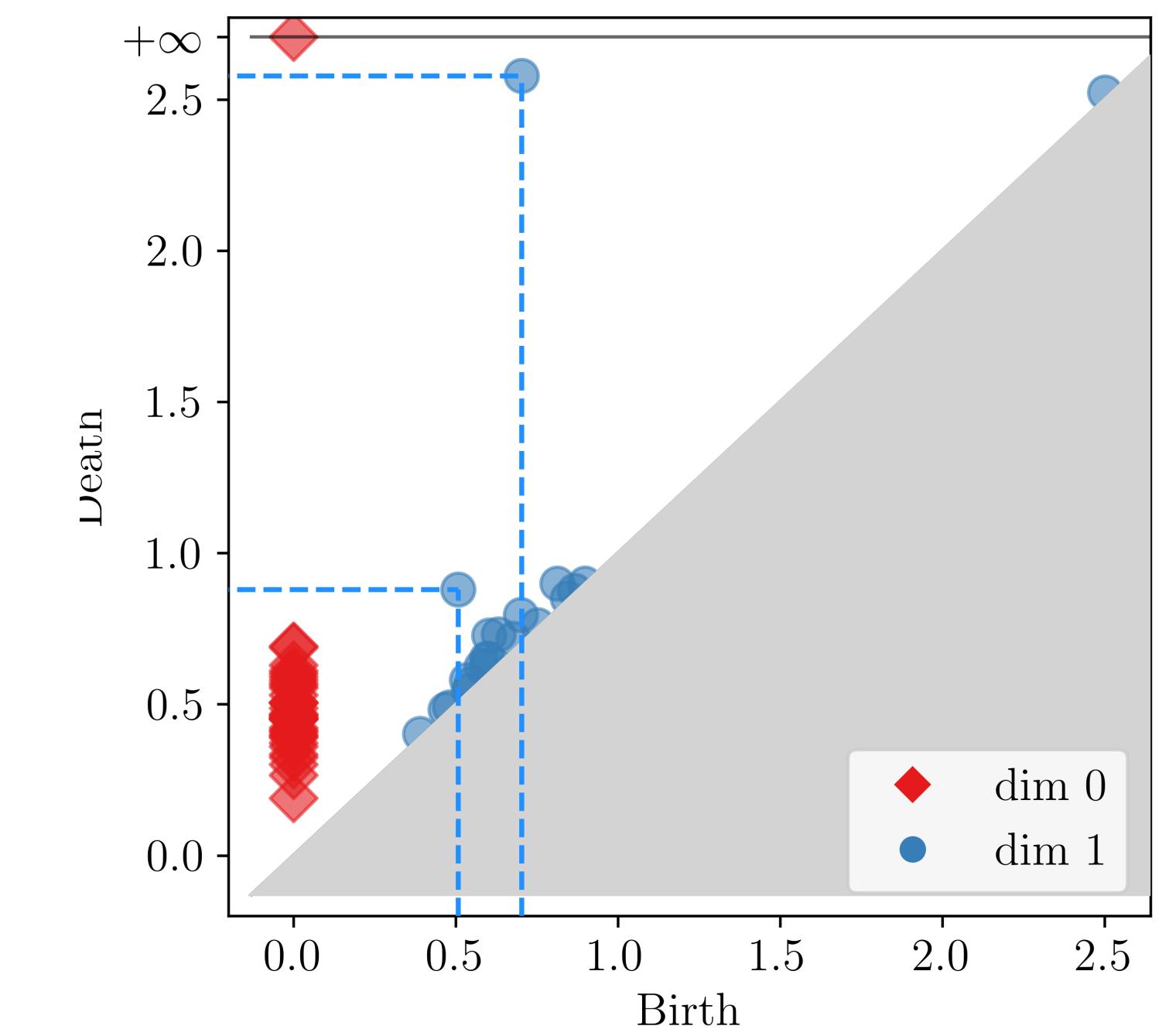
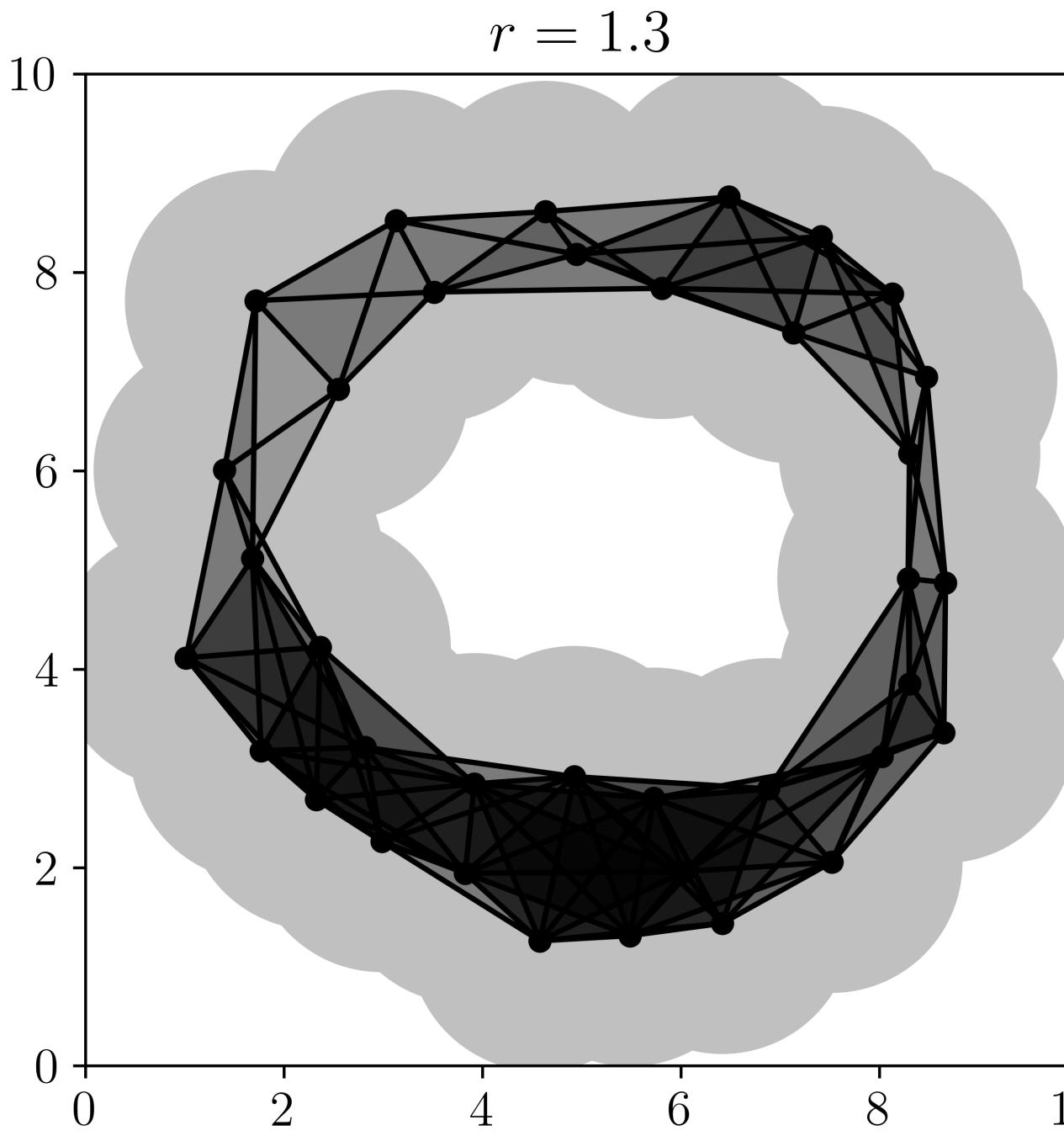
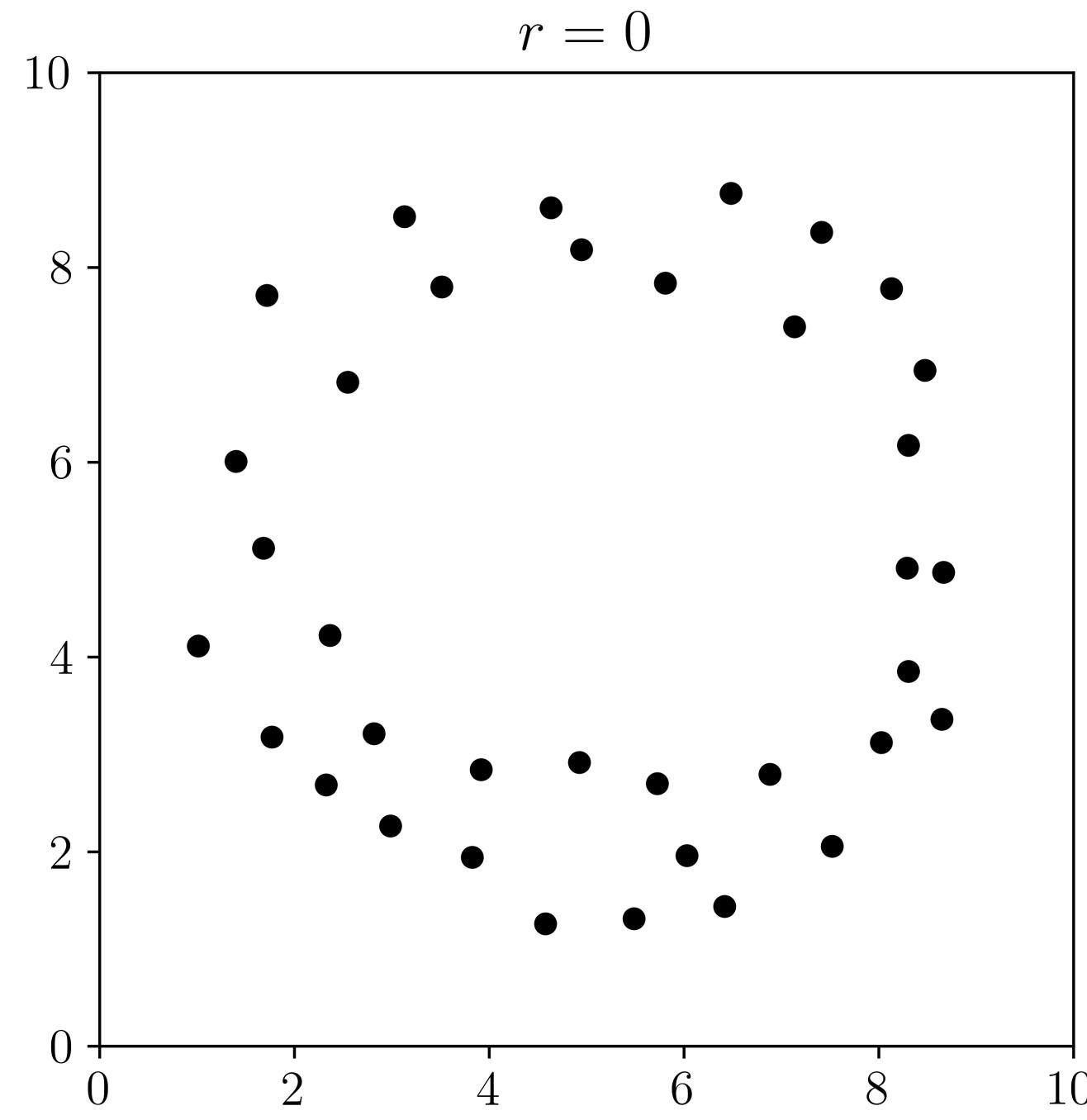
**Why Random Topology**



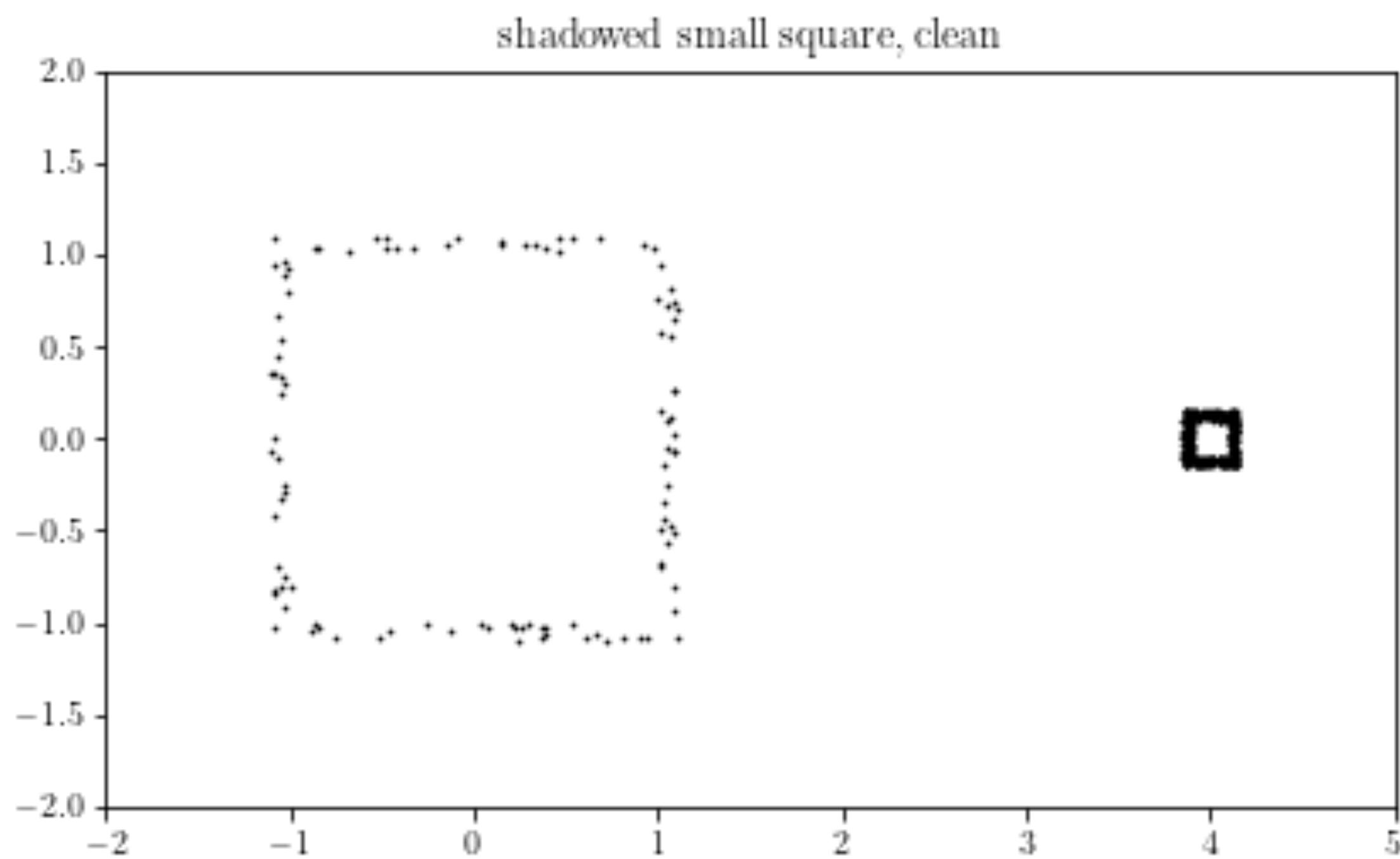

 $r = 0$ 

 $r = 1.3$ 


plots generated by Andrey Yao

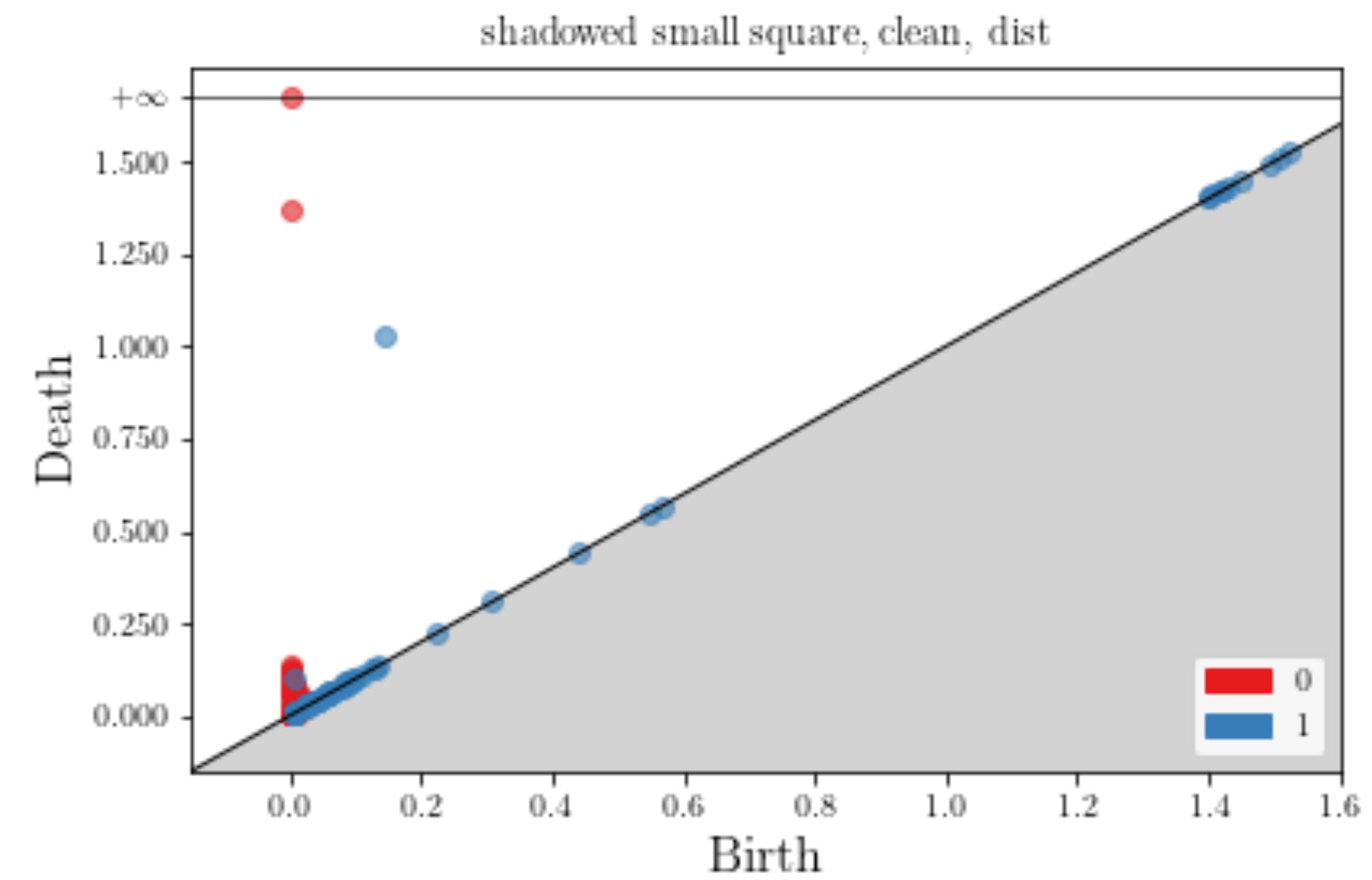
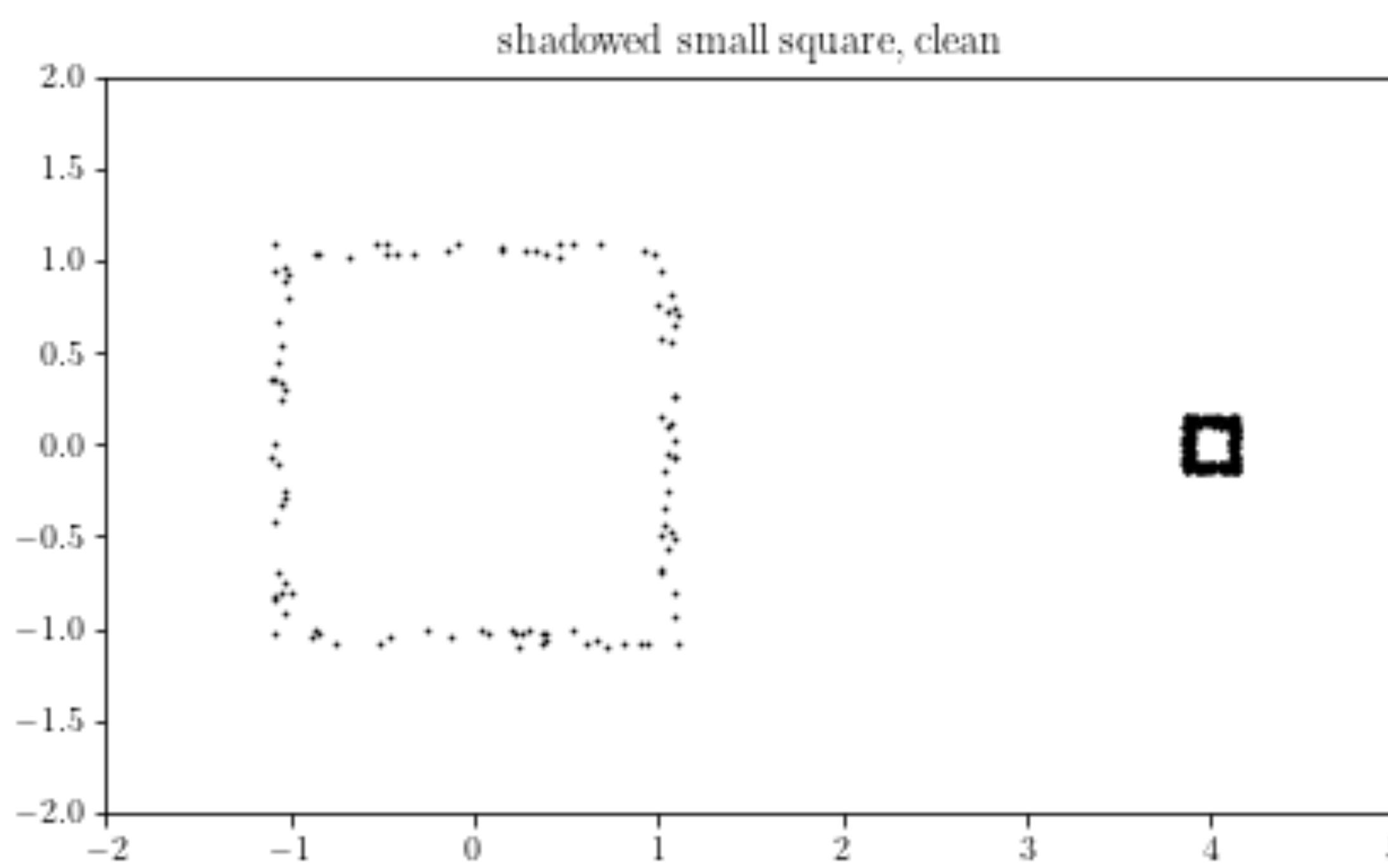
# Size is Signal



# Or is it?



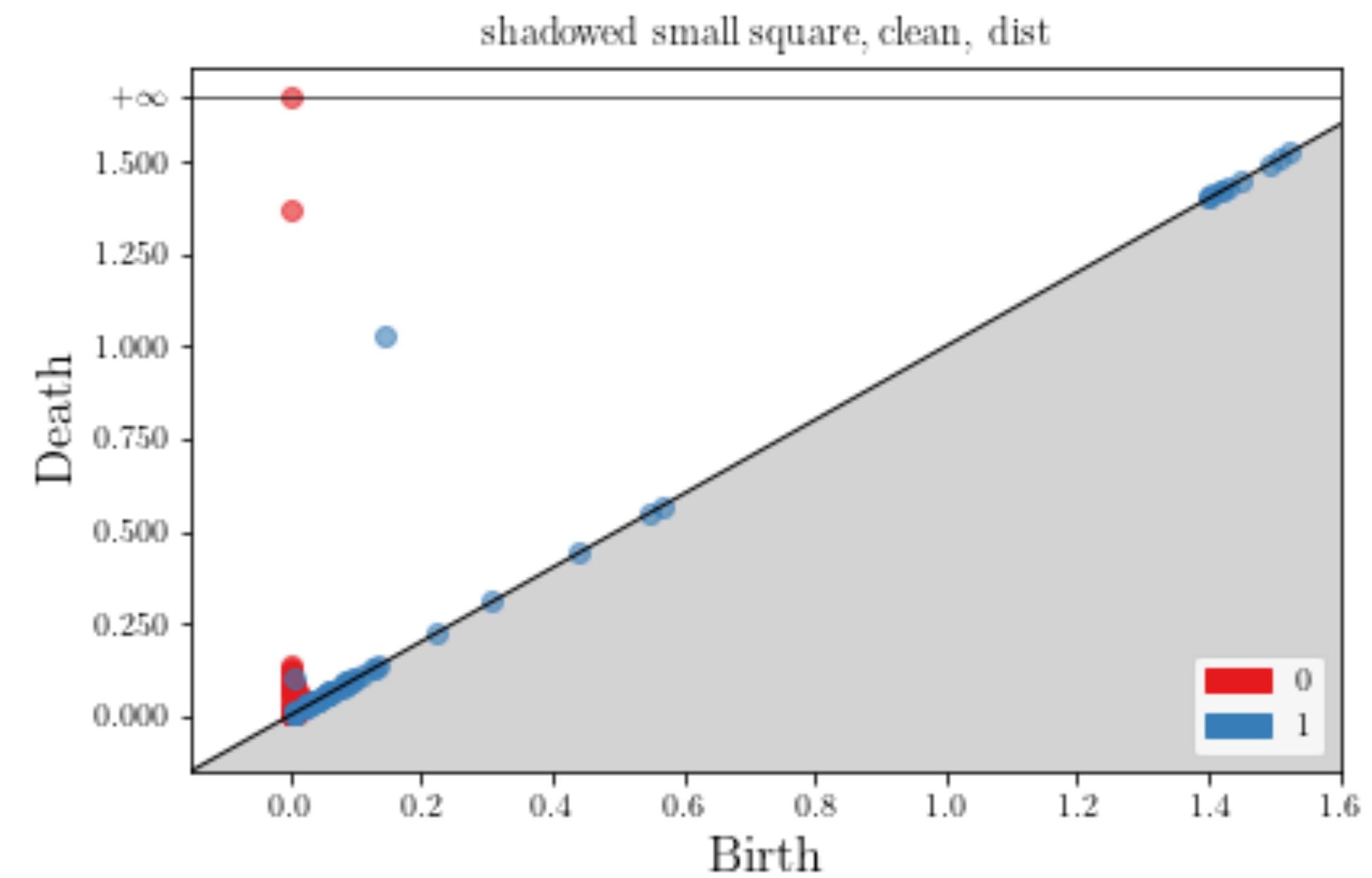
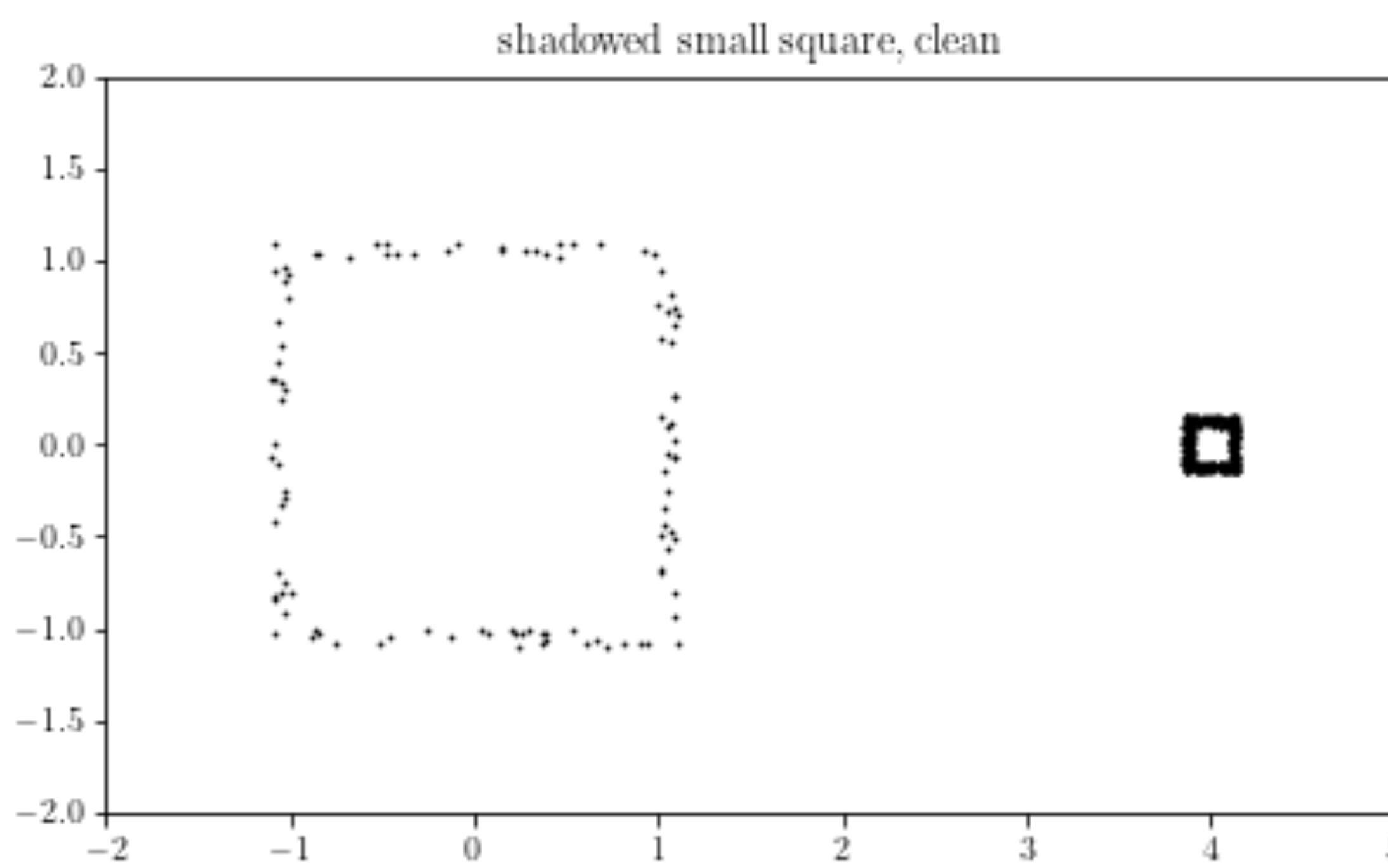
# Or is it?



# **Size is Signal?**

**Surprise  
Size is Signal.**

# Random points don't do that.



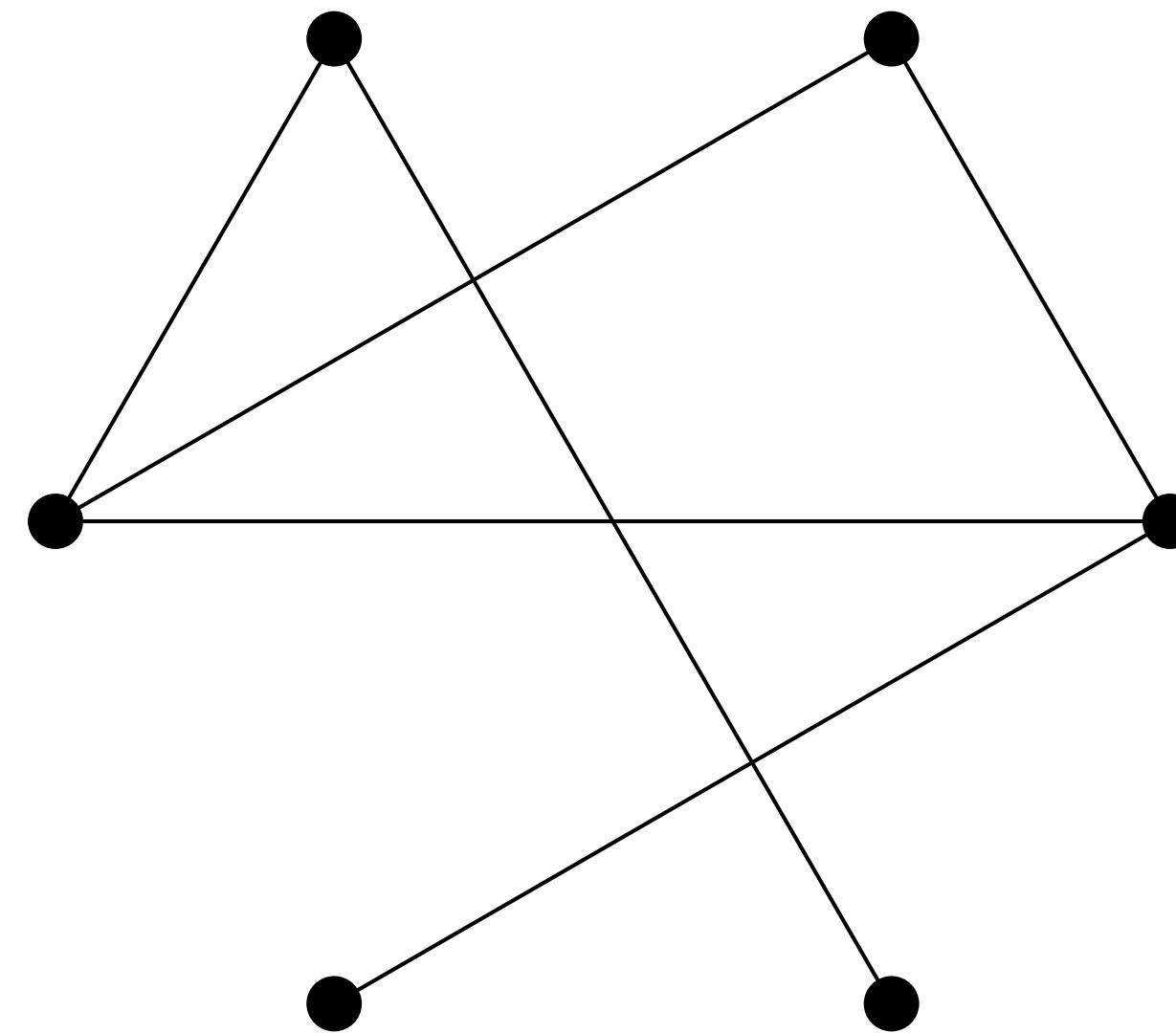
**Signal is what is not random.**

**Signal is what is not random.  
So what is random?**

## **II. Random Walk in the Literature**

### **What Random Topologists Already Know**

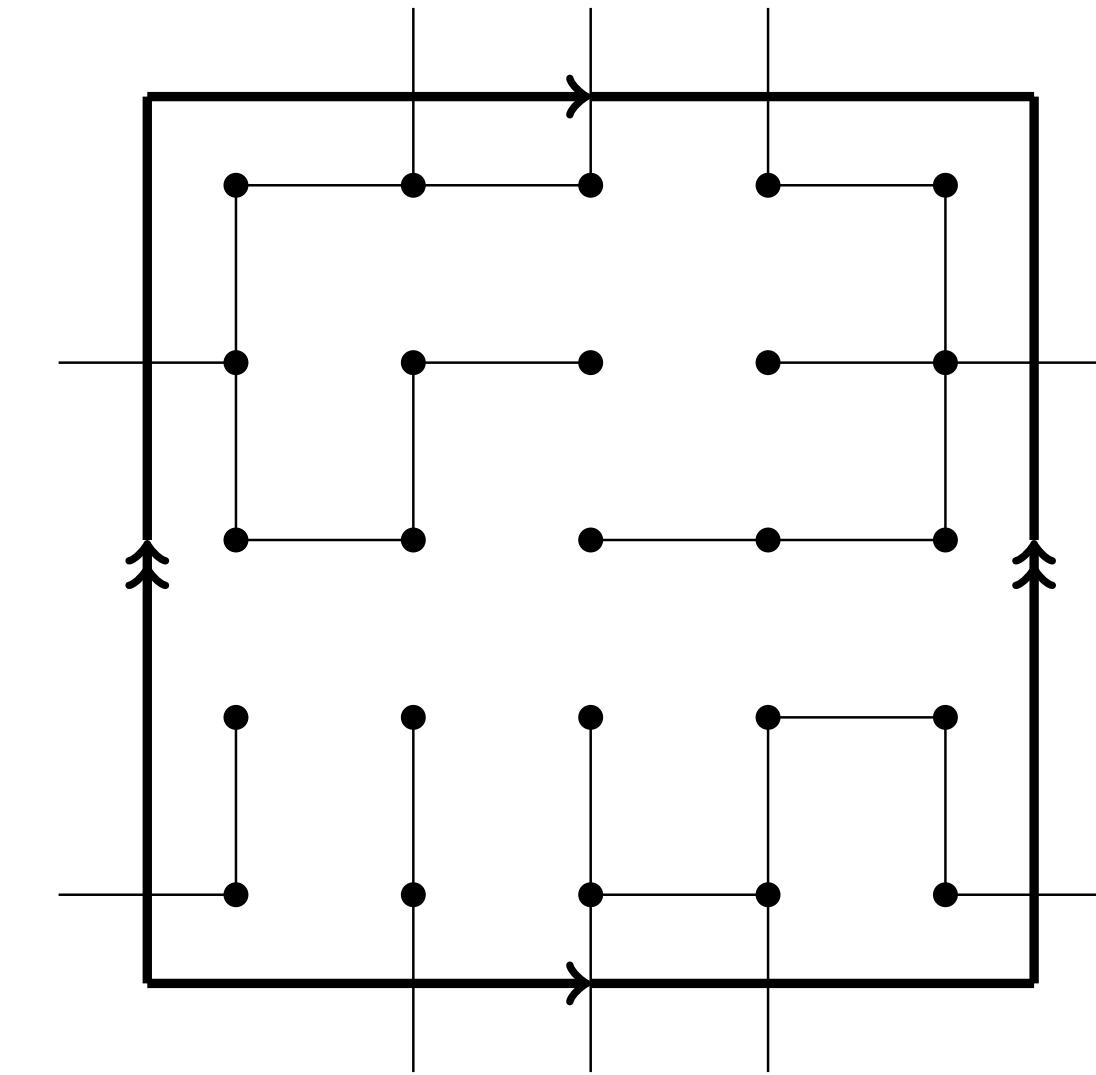
# Tapas de Random Topology



Erdo-Renyi Complexes

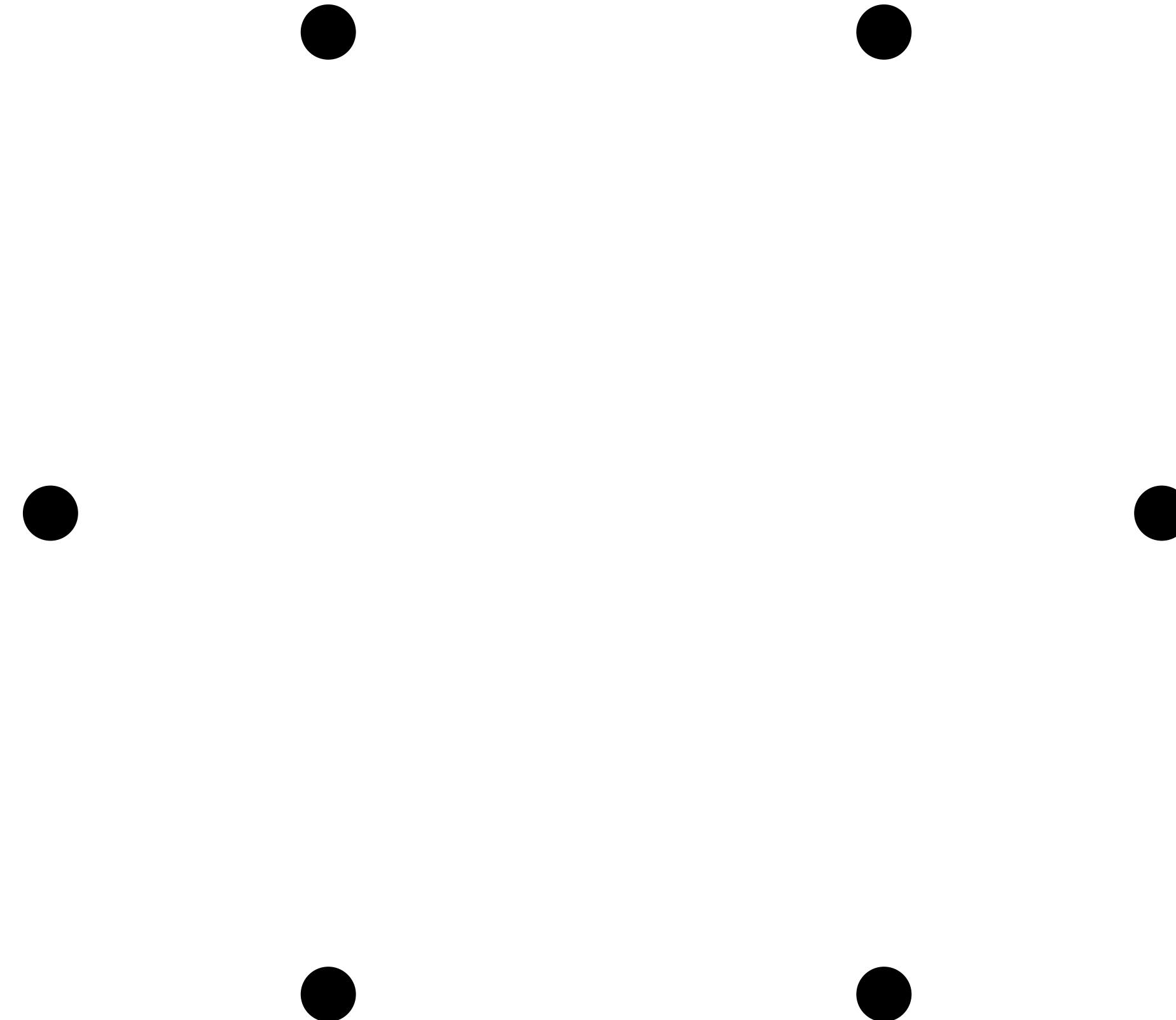


Geometric Complexes

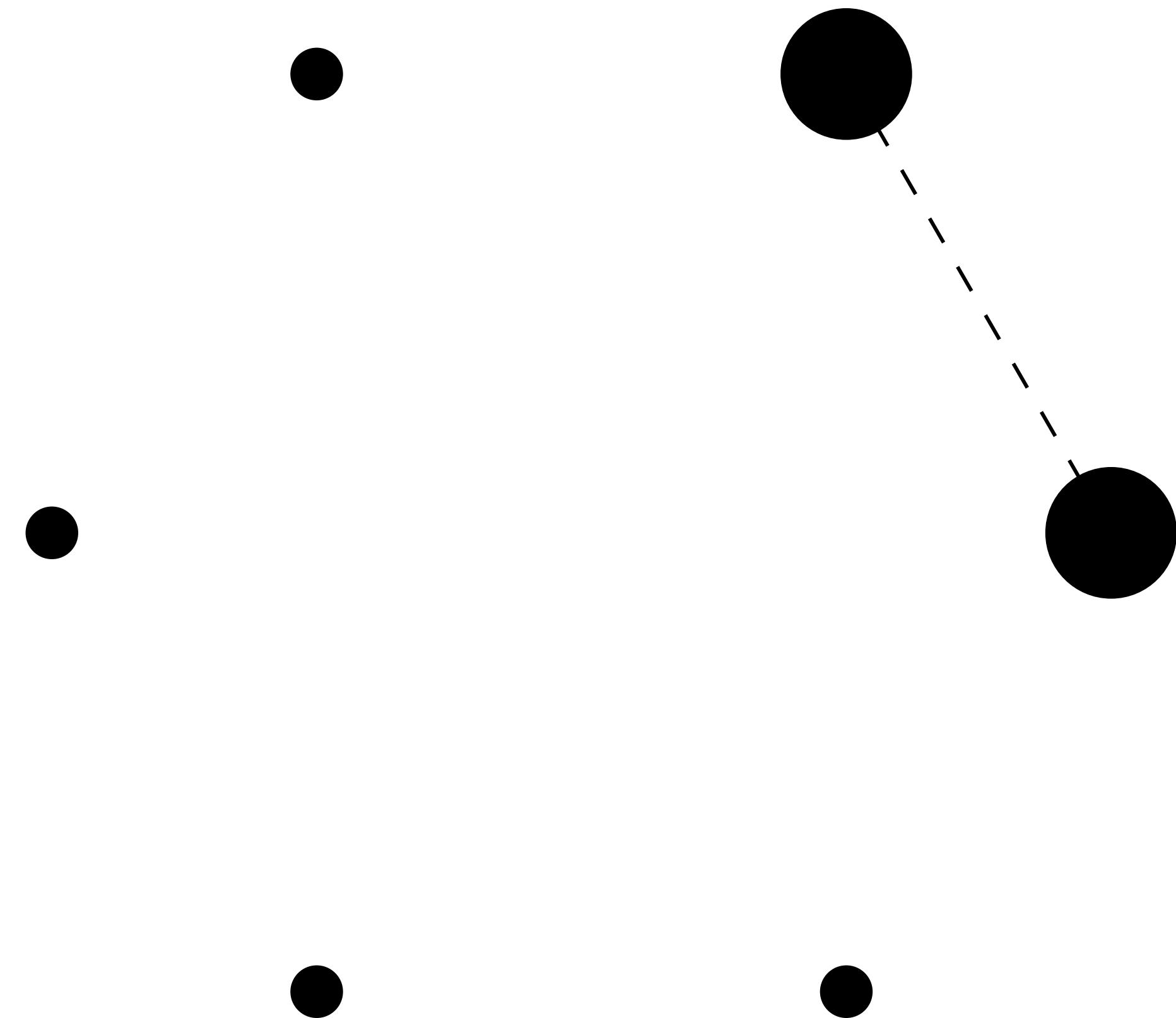


Topological Percolation

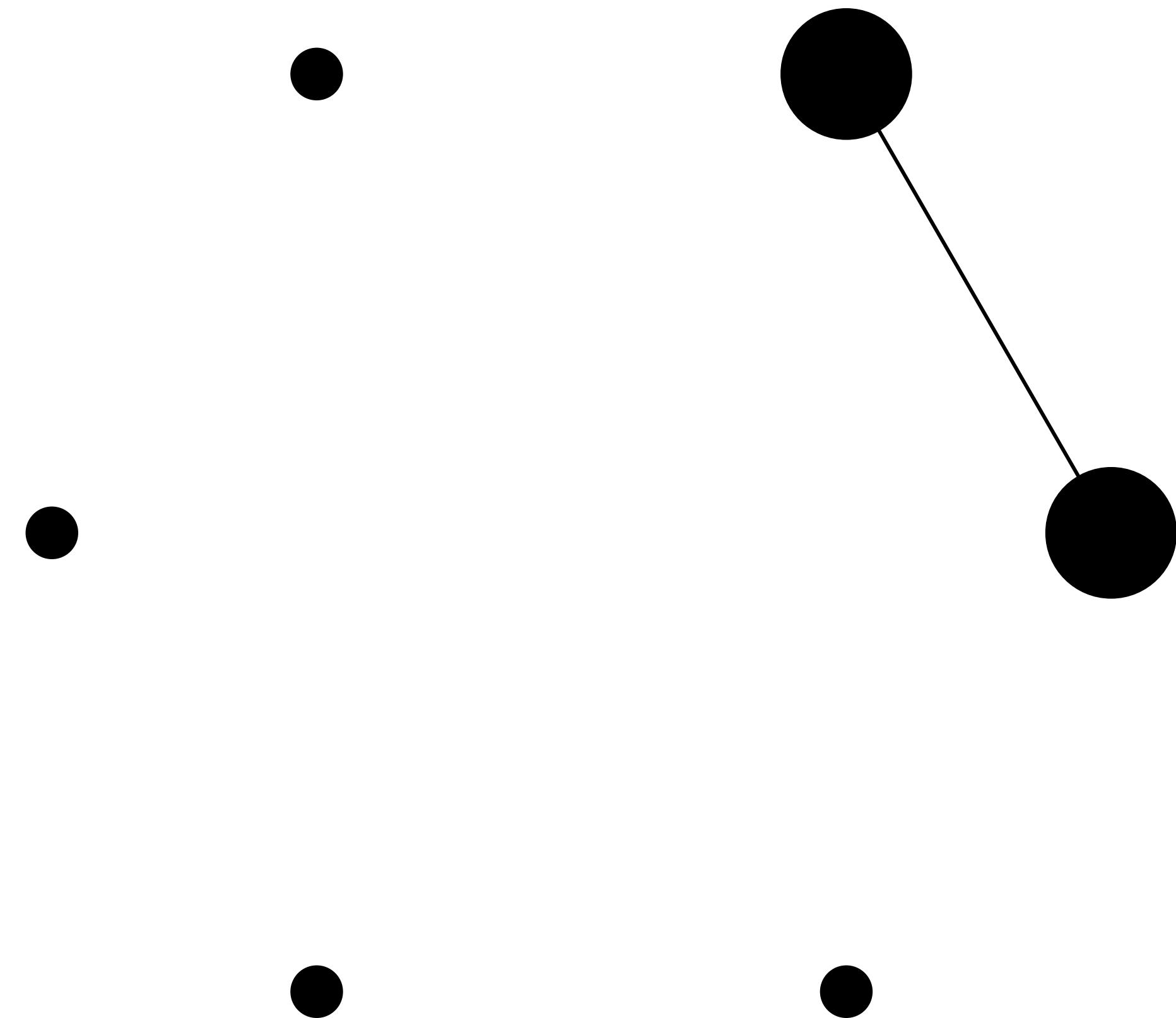
# Erdos-Renyi graphs



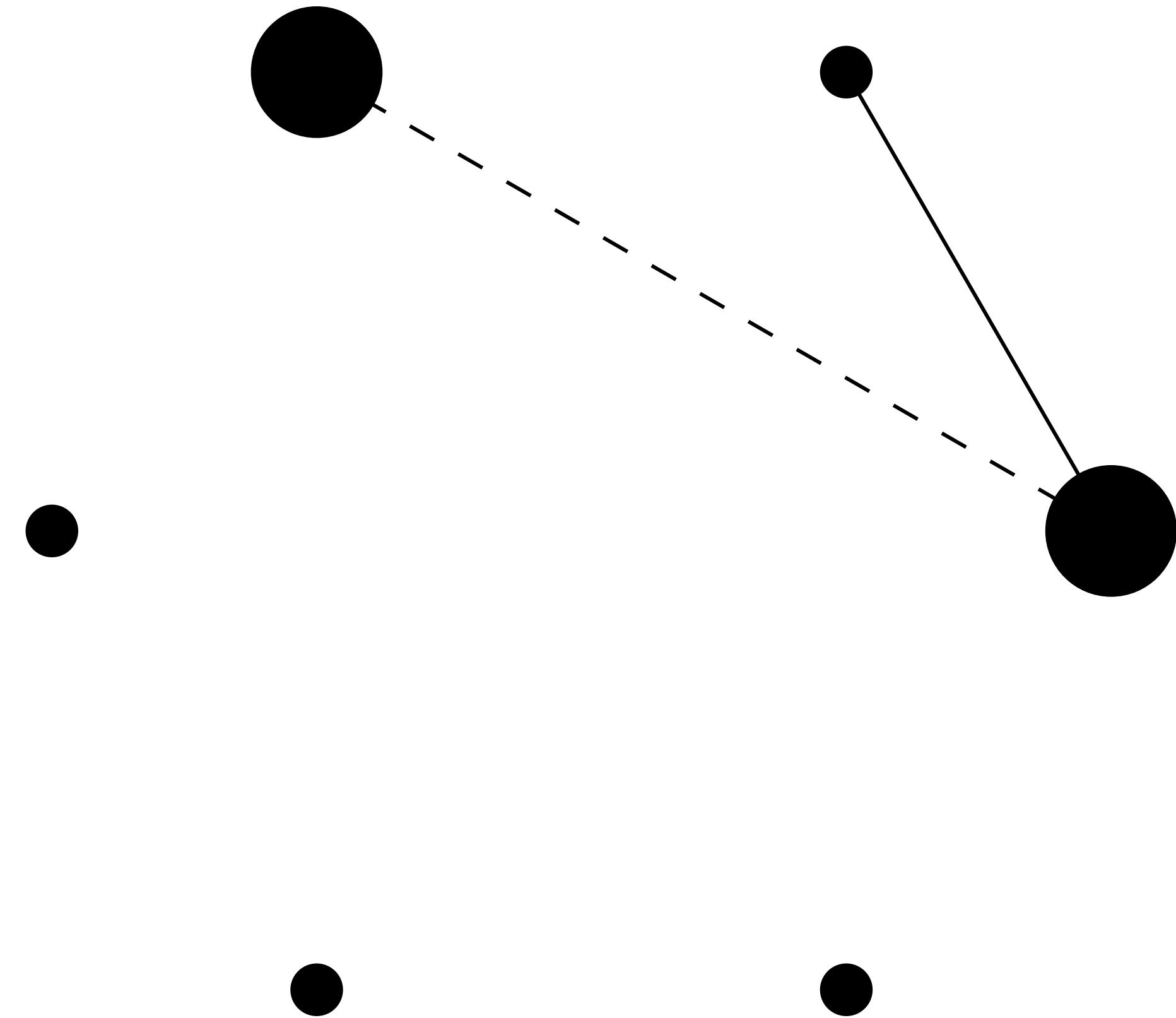
# Erdos-Renyi graphs



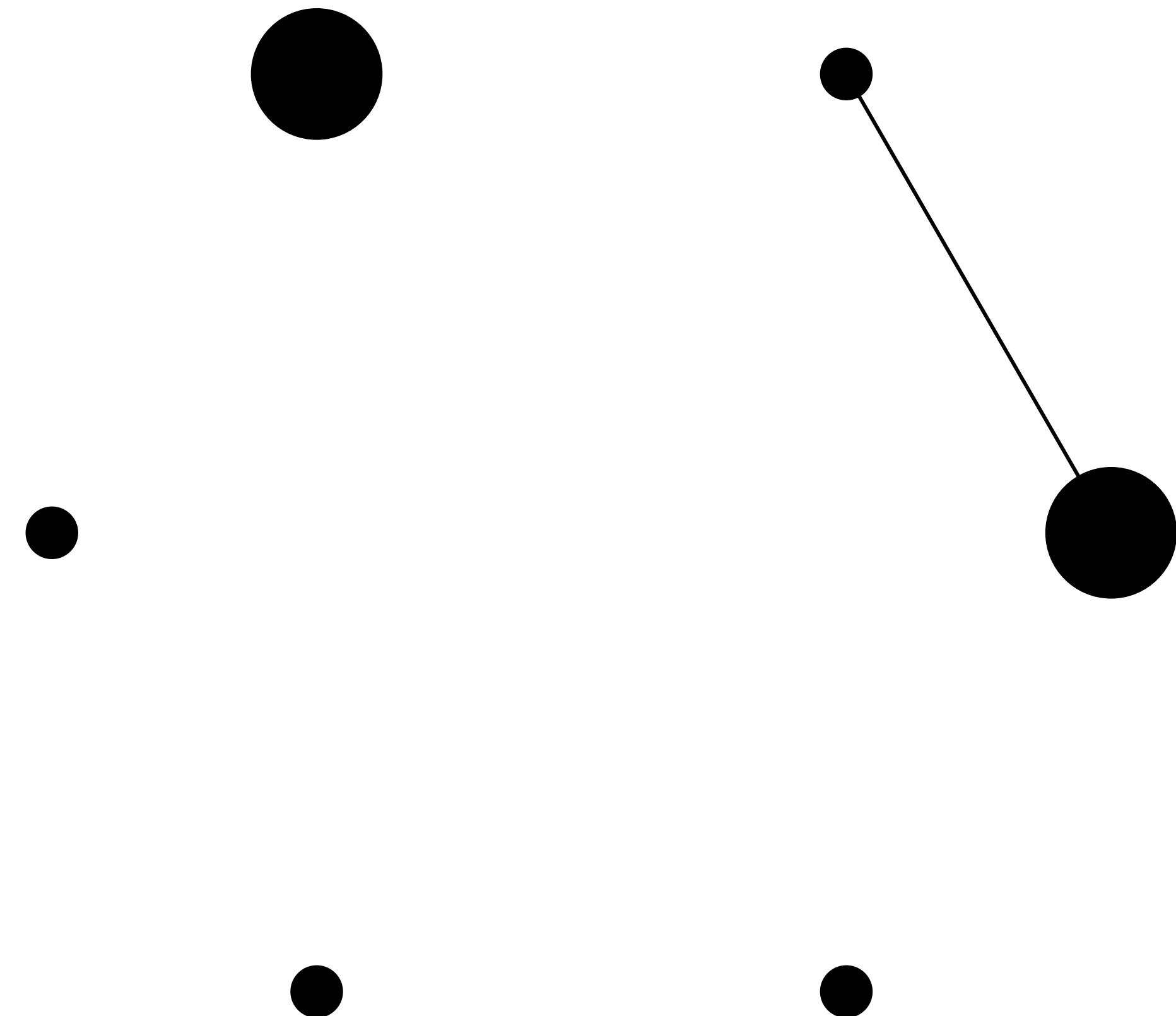
# Erdos-Renyi graphs



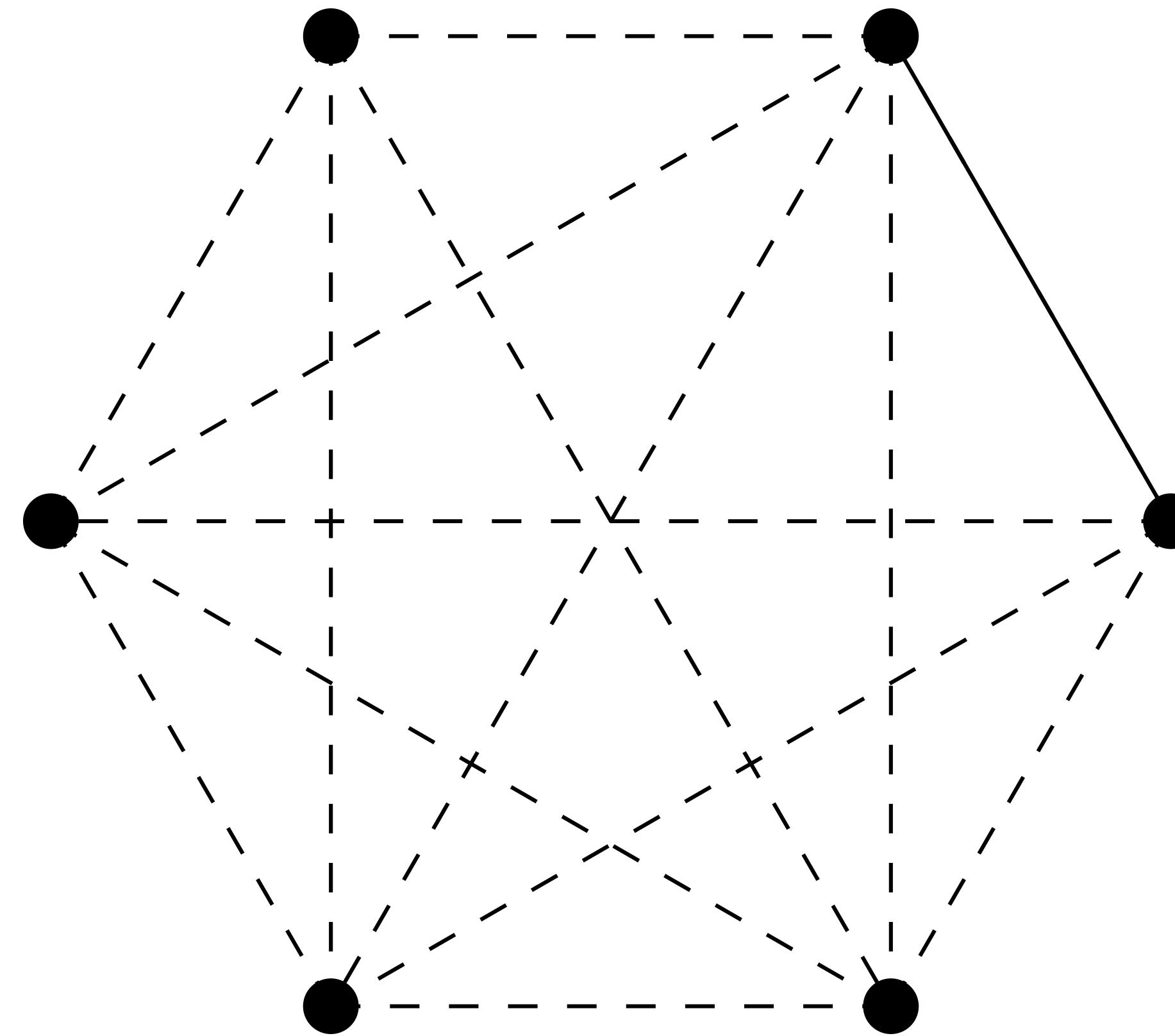
# Erdos-Renyi graphs



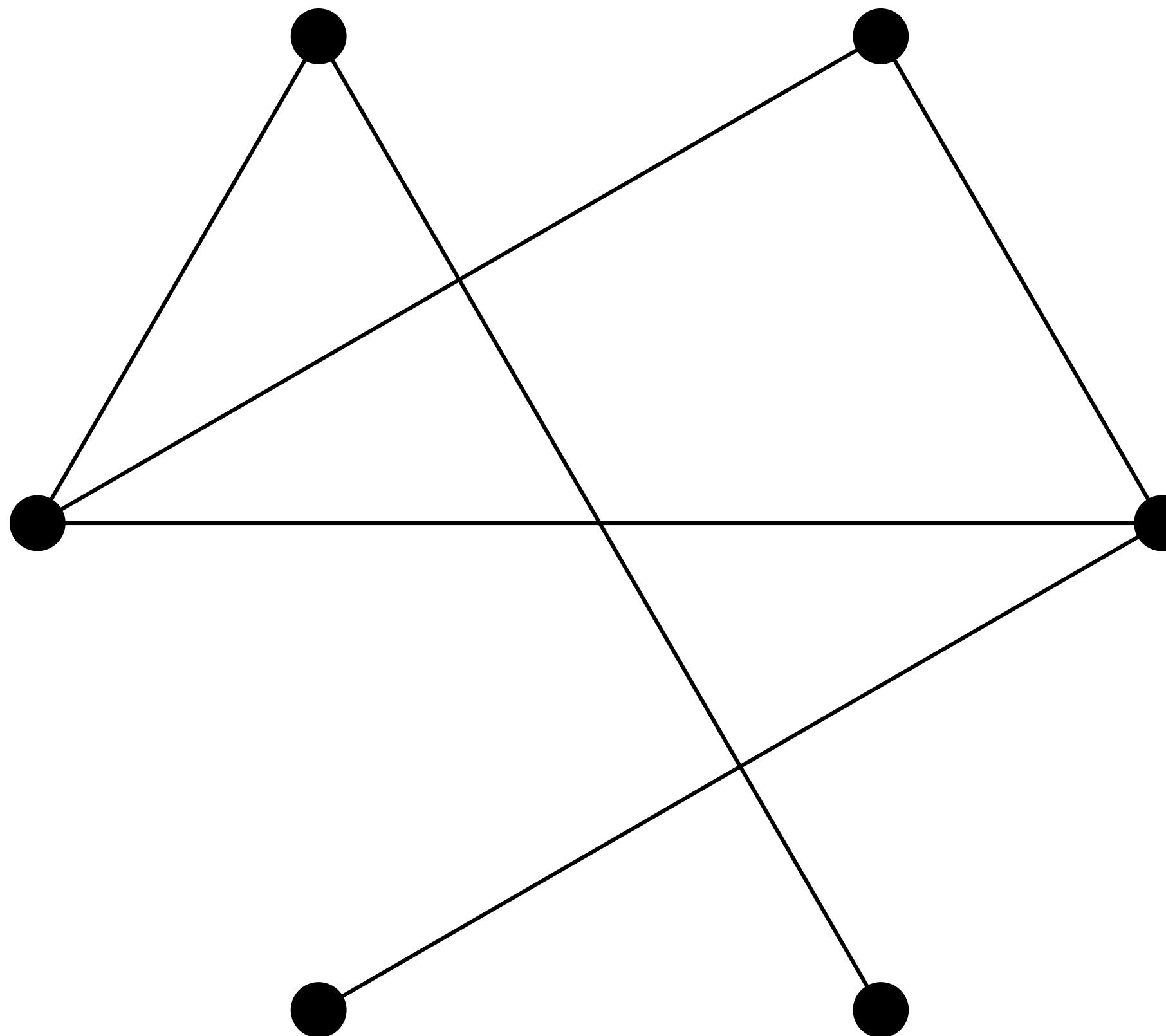
# Erdos-Renyi graphs



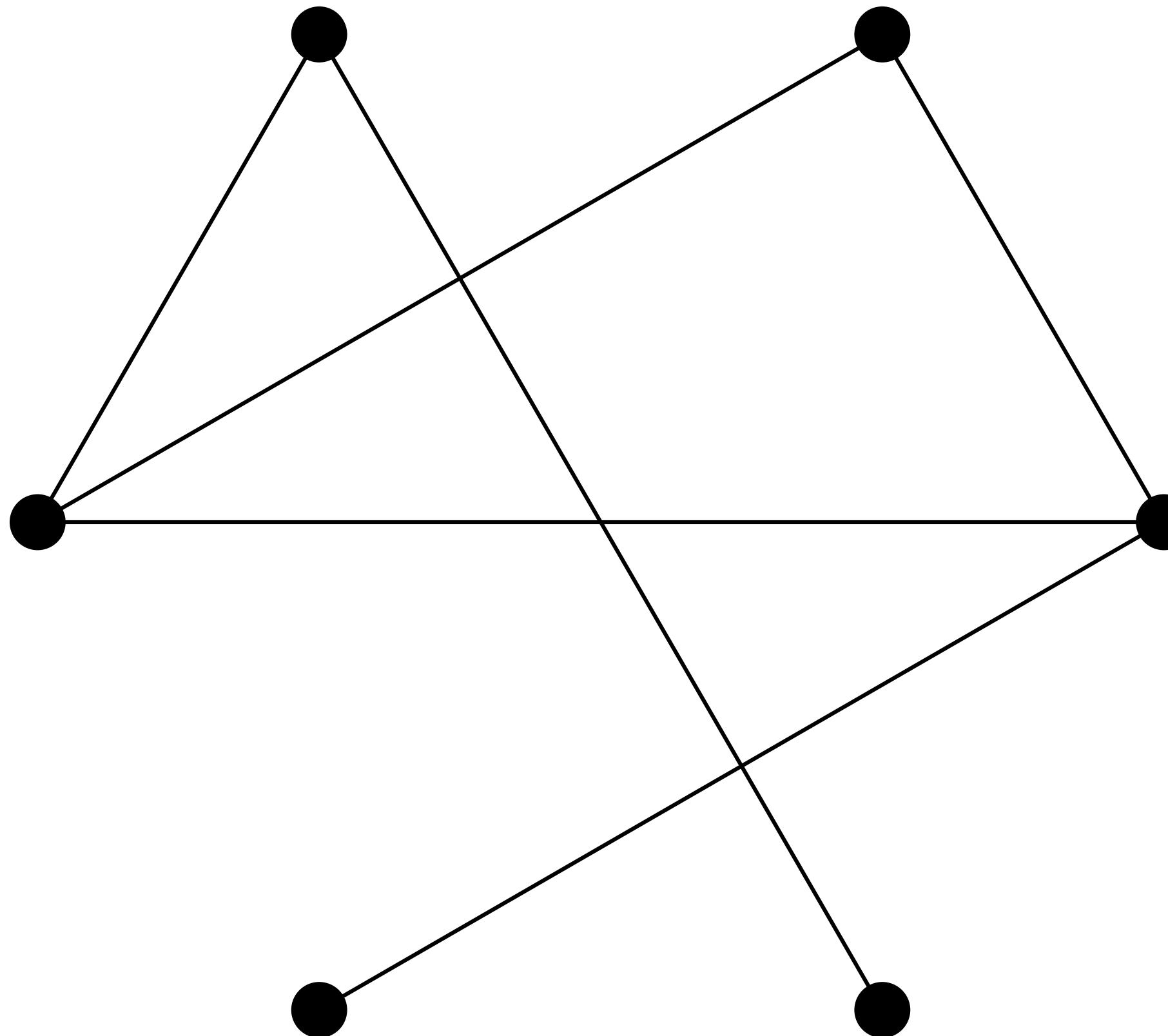
# Erdos-Renyi graphs



# Erdos-Renyi graphs



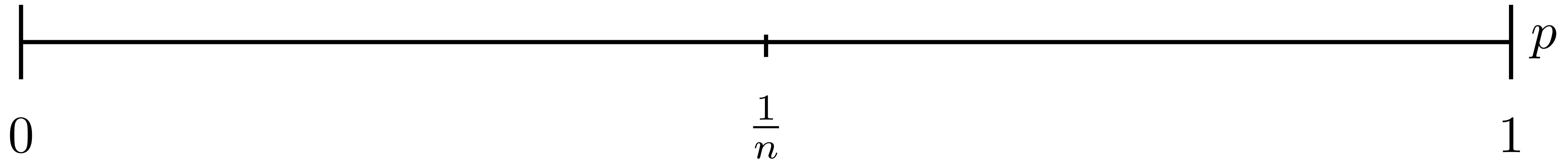
# Erdos-Renyi graphs



# Phase Transition

[Erdos-Renyi 1960]

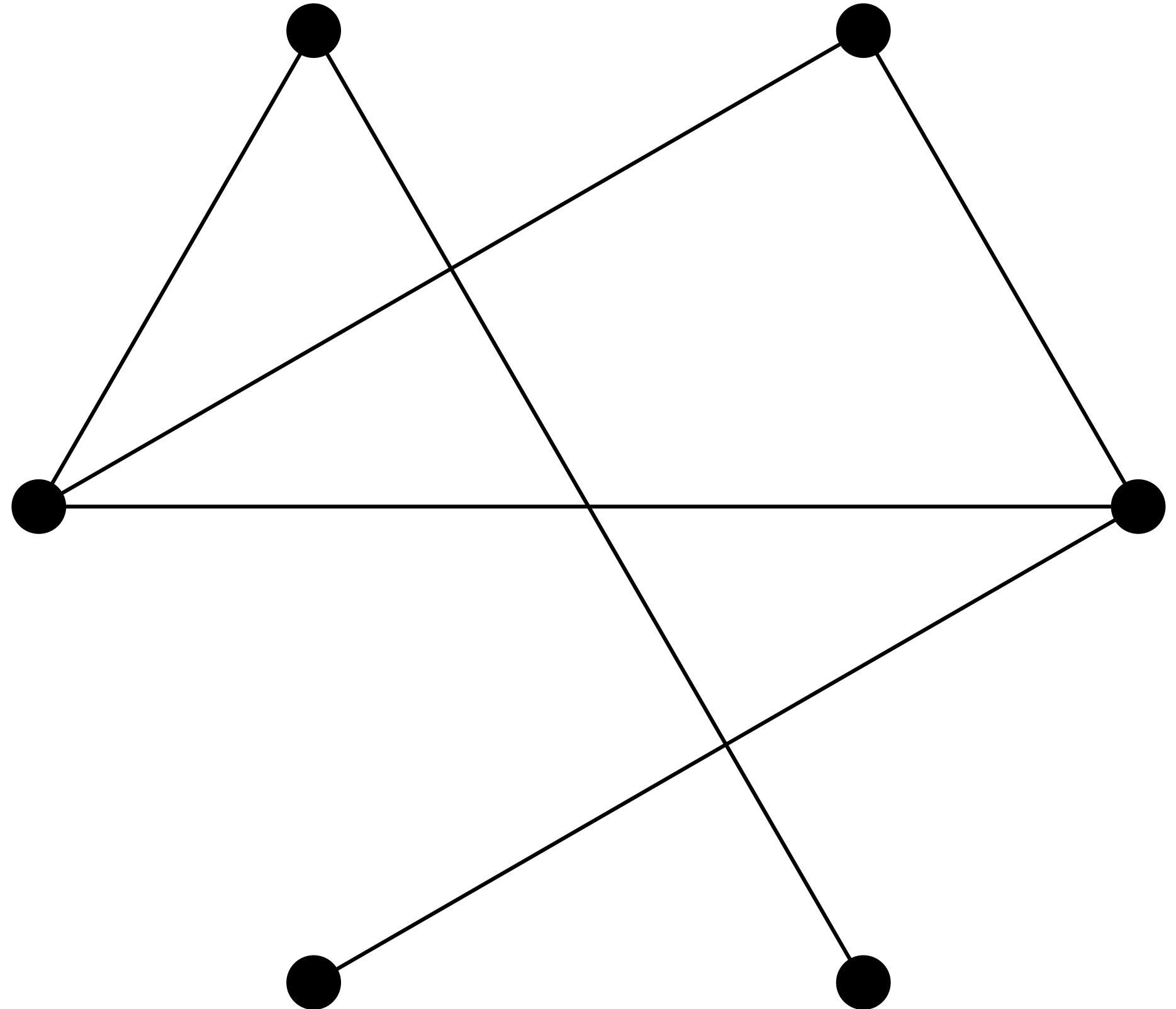
many components w.h.p.



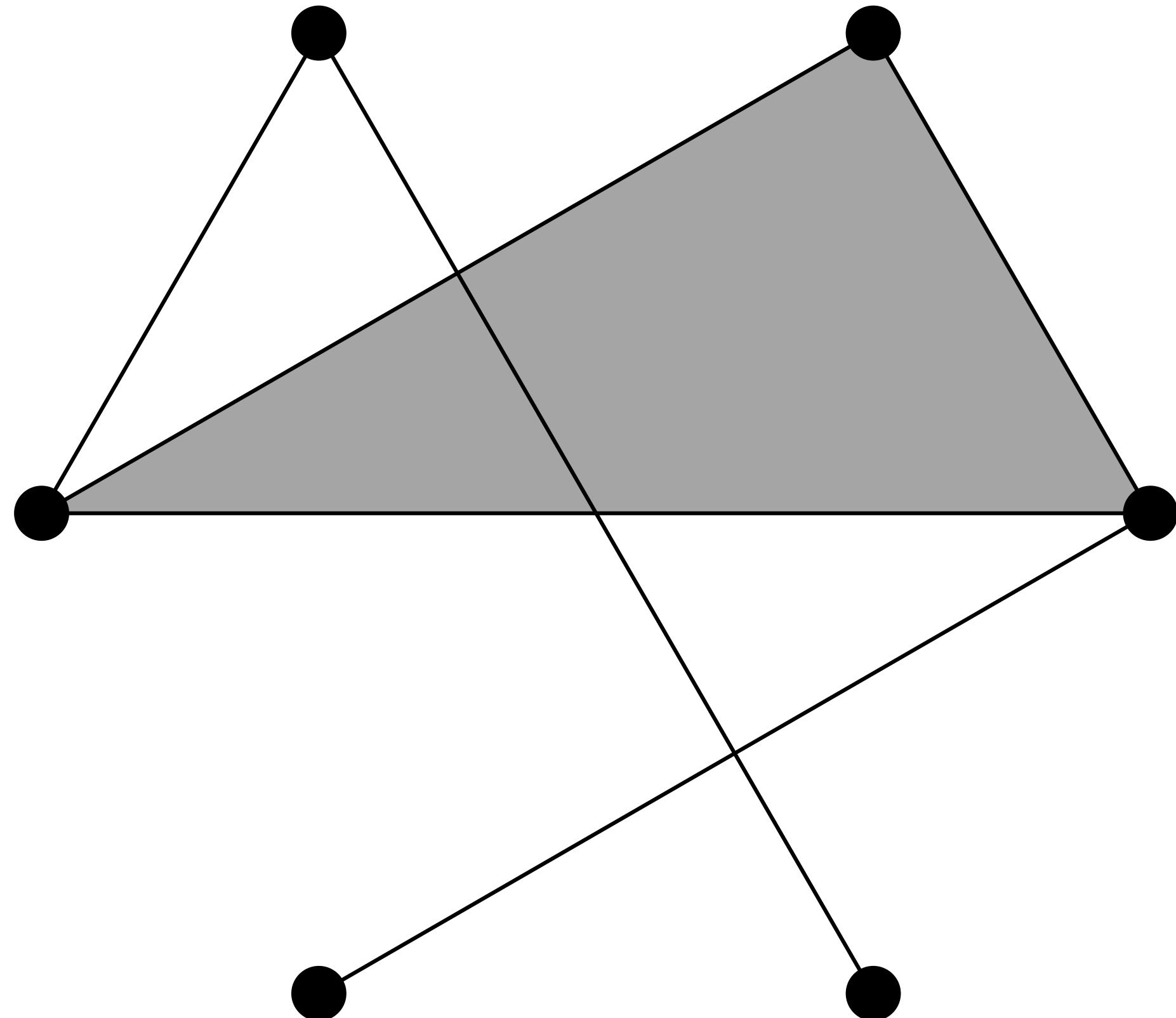
connected w.h.p.

all log terms and constants forgone

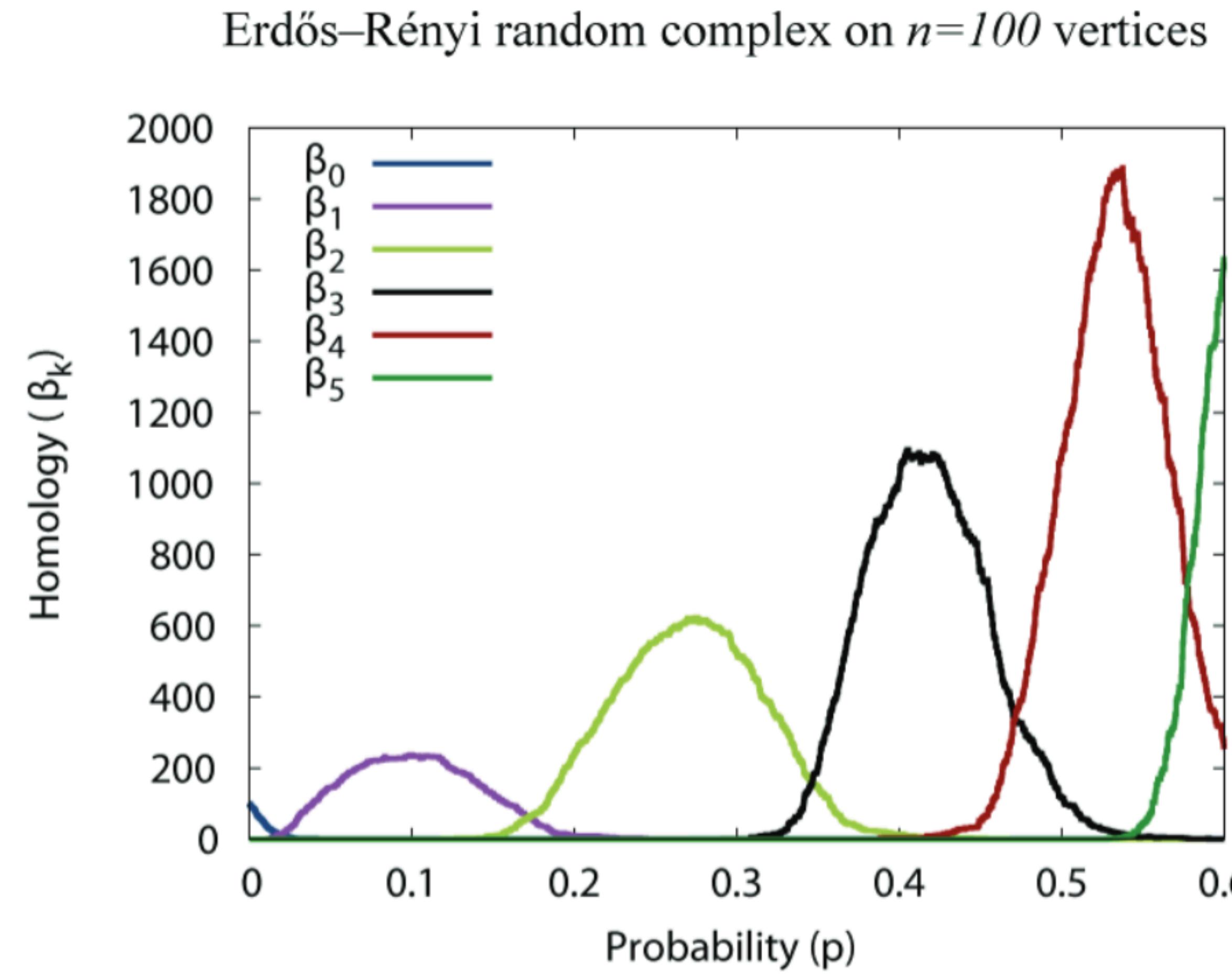
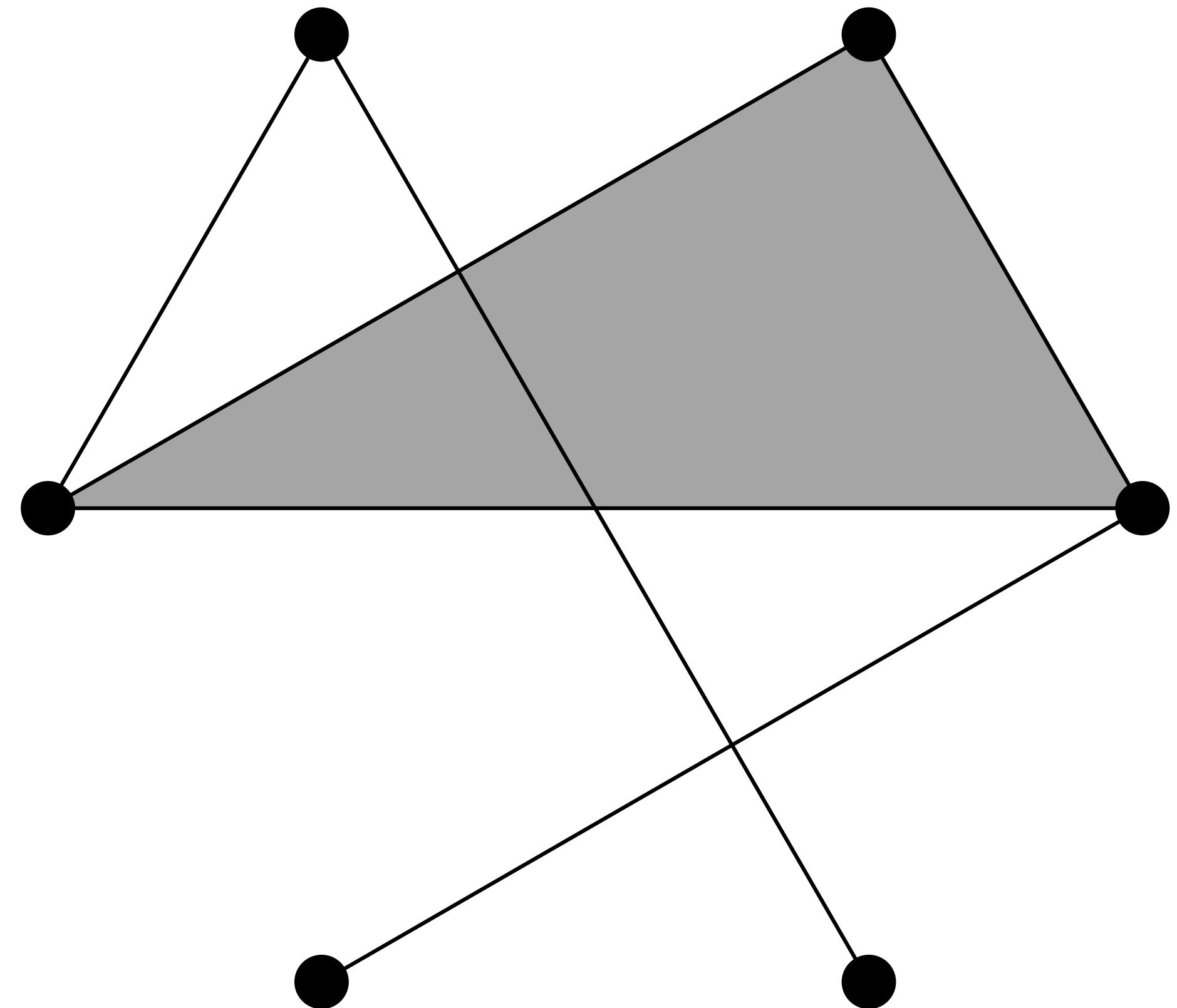
# Erdos-Renyi Clique Complex



# Erdos-Renyi Clique Complex



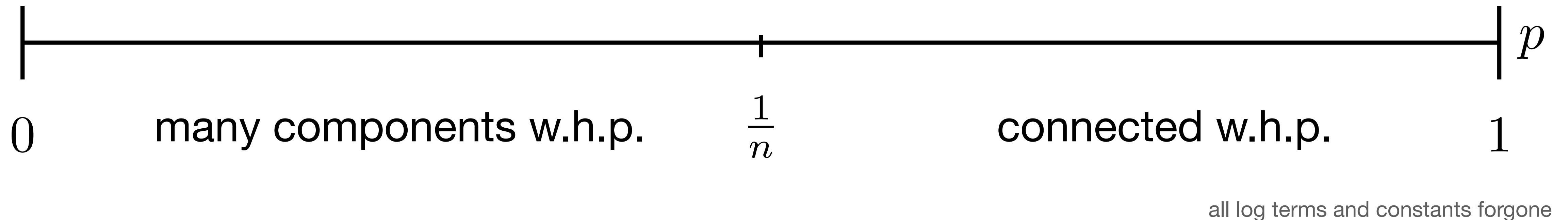
# Betti Numbers



computation and plotting done by Zomorodian

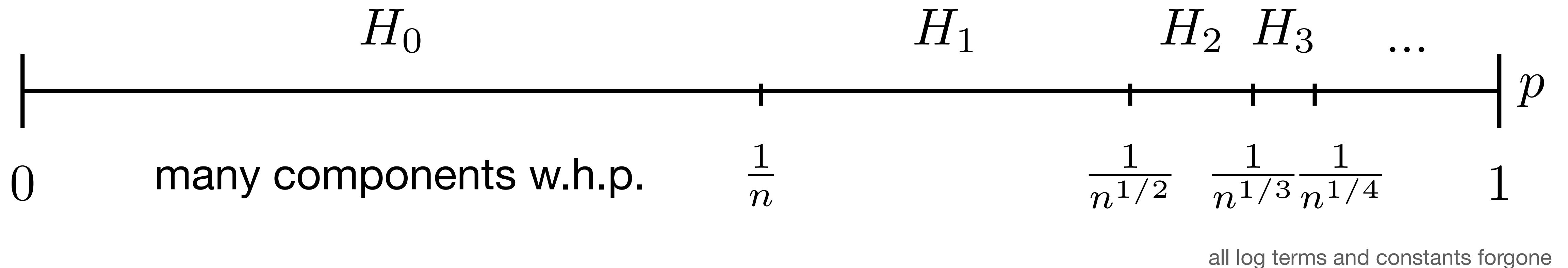
# Phase Transition

[Erdos-Renyi 1960]



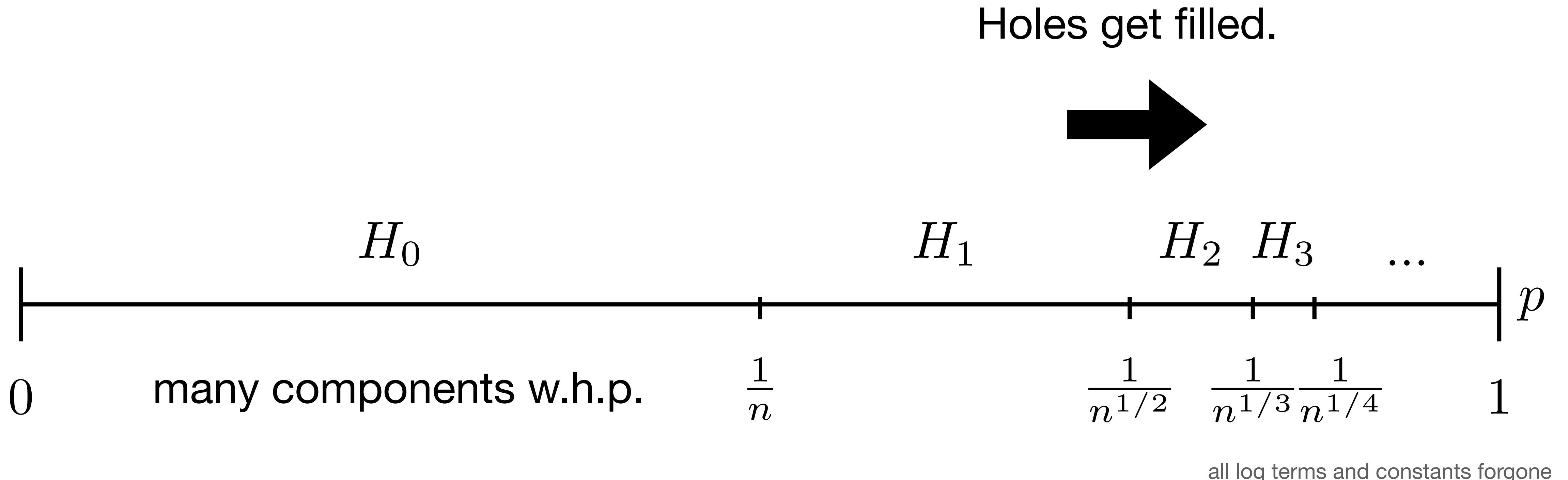
# Phase Transition

[Kahle 2009, 2014]



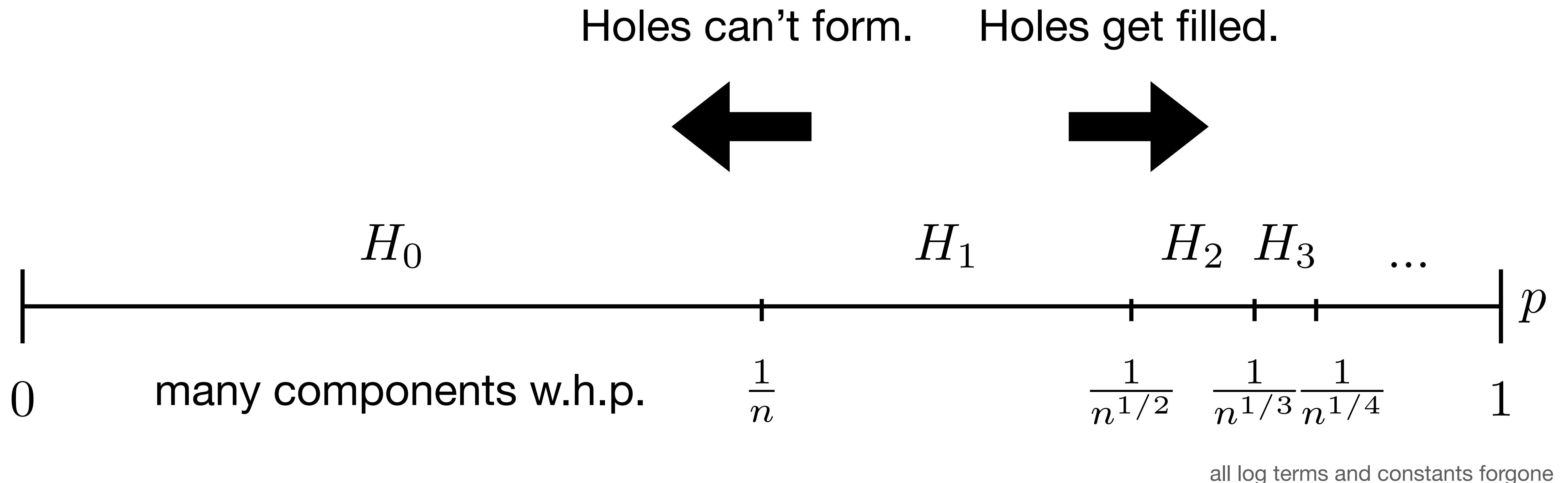
# Phase Transition

[Kahle 2009, 2014]



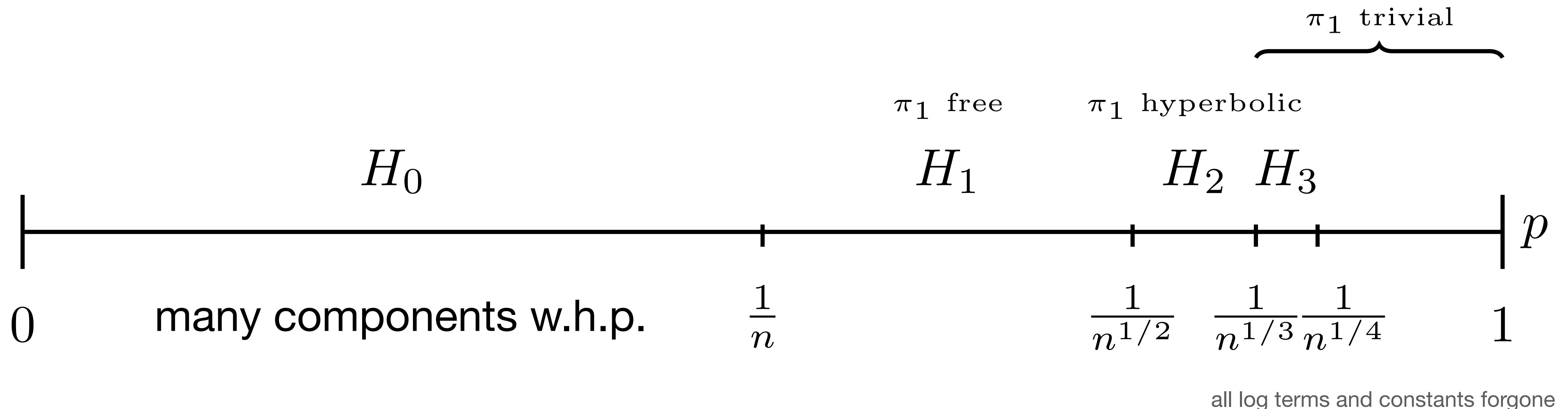
# Phase Transition

[Kahle 2009, 2014]



# Fundamental Group

[Kahle 2009, Babson 2012, Costa-Farber-Horak 2015]



# Geometric Complexes

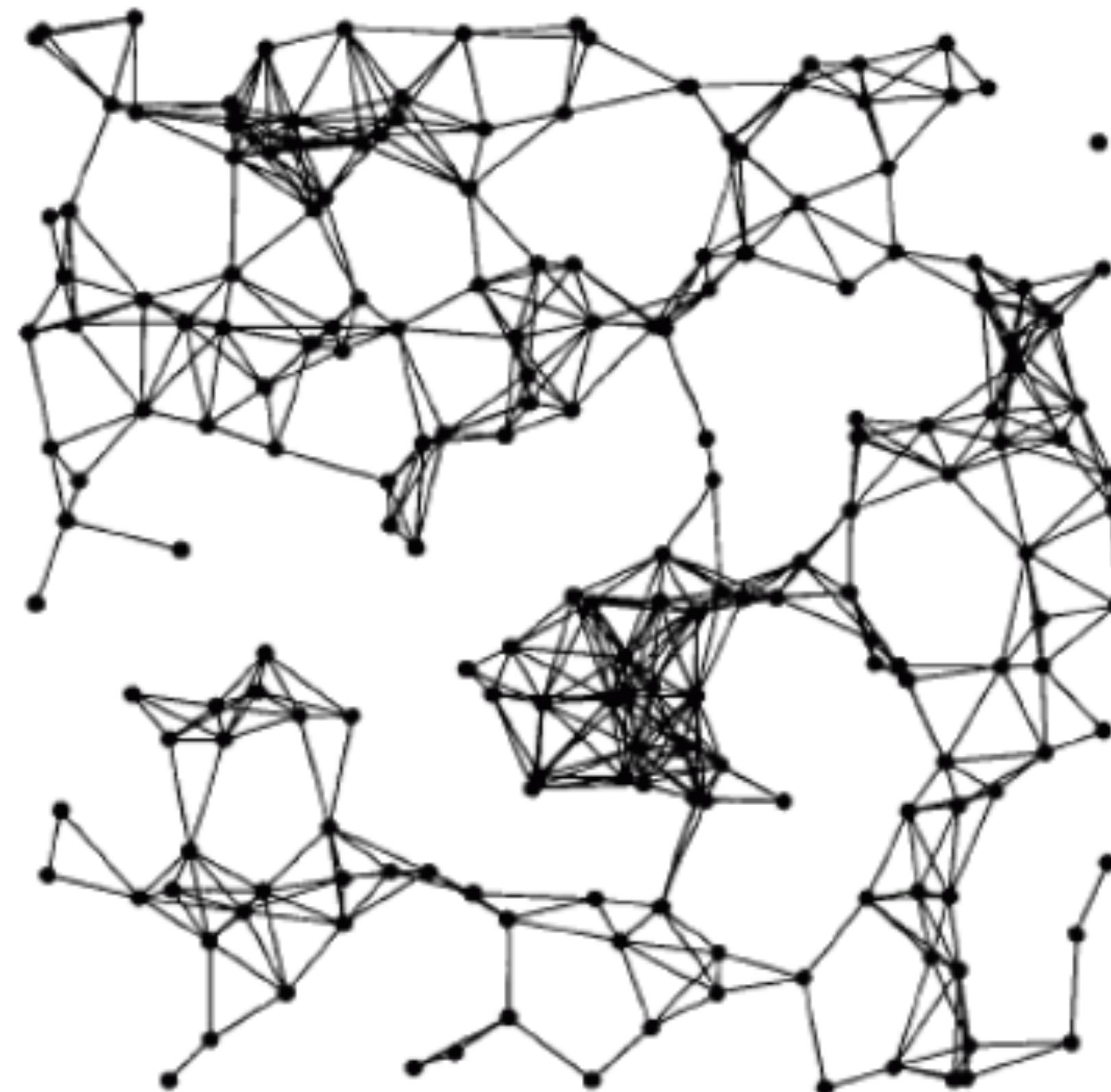


image credit: Penrose

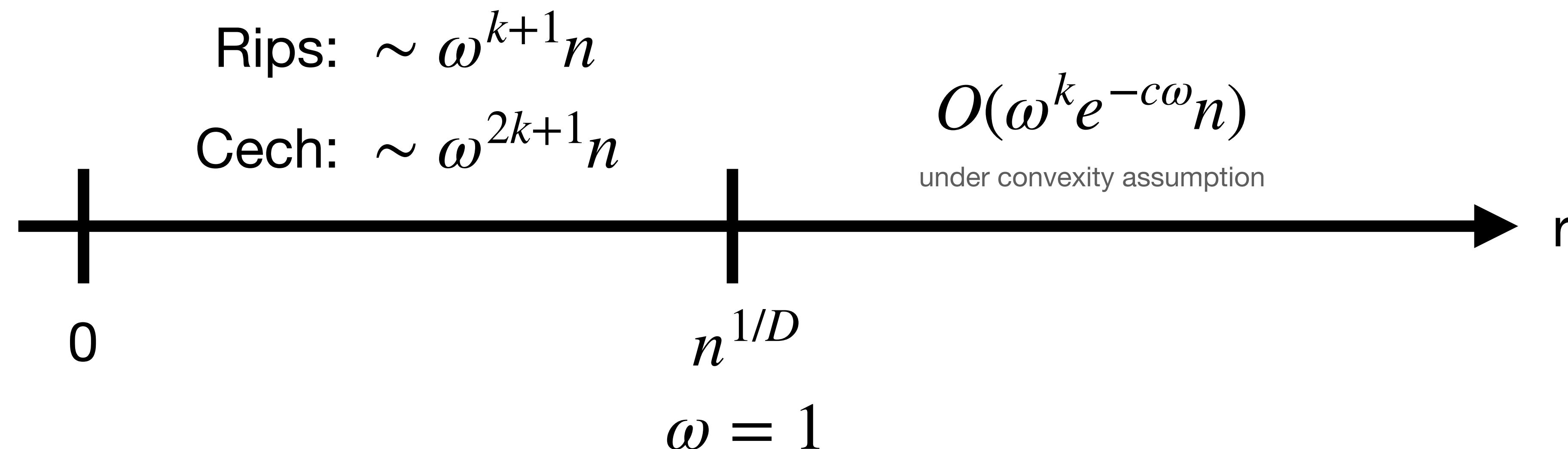
# Expected Betti numbers at dimension k

- Let  $\omega = nr^D$ , where D is the ambient dimension

# Expected Betti numbers at dimension k

[Kahle 2011]

- Let  $\omega = nr^D$ , where D is the ambient dimension



# Maximally Persistent Cycles

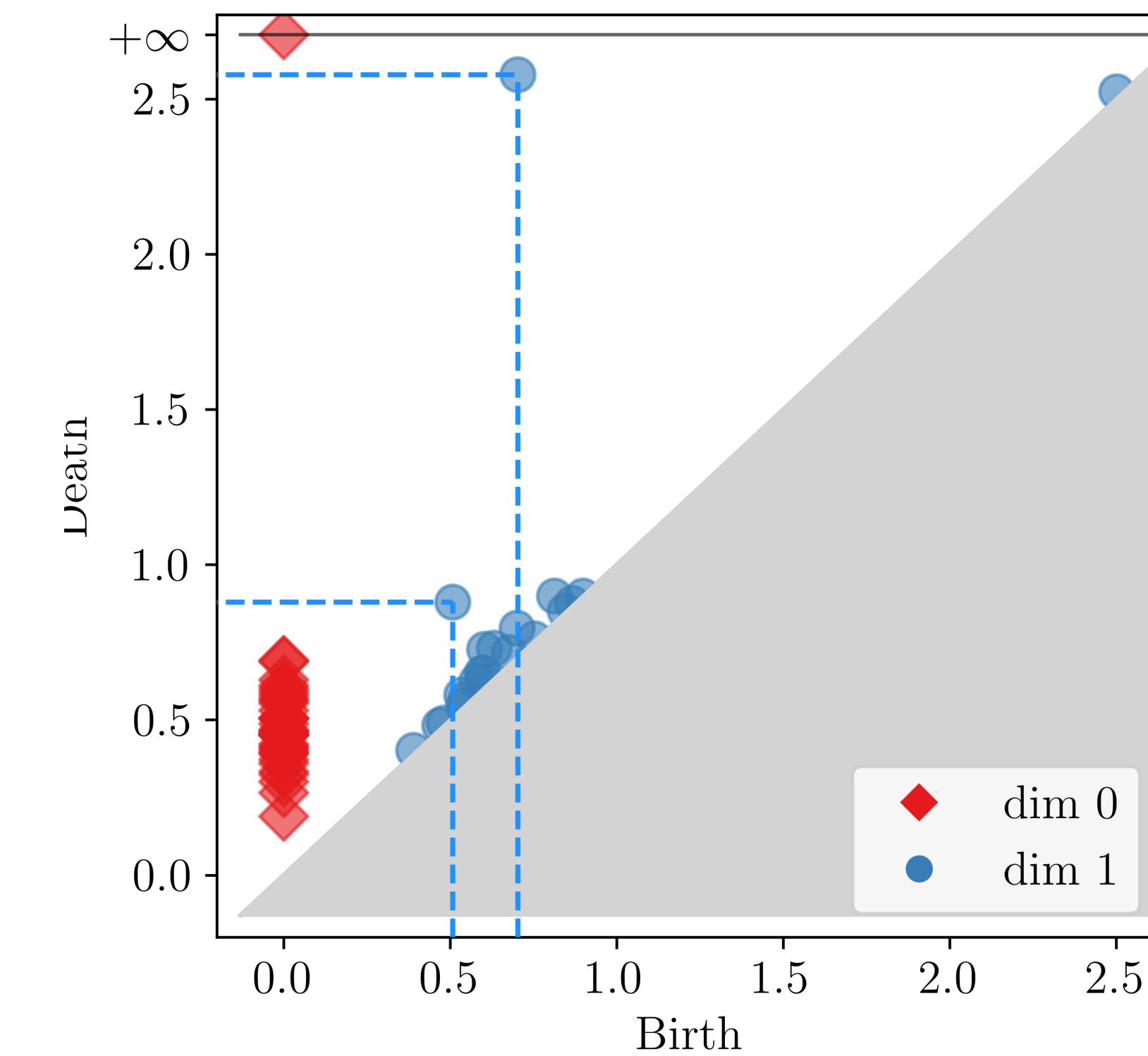
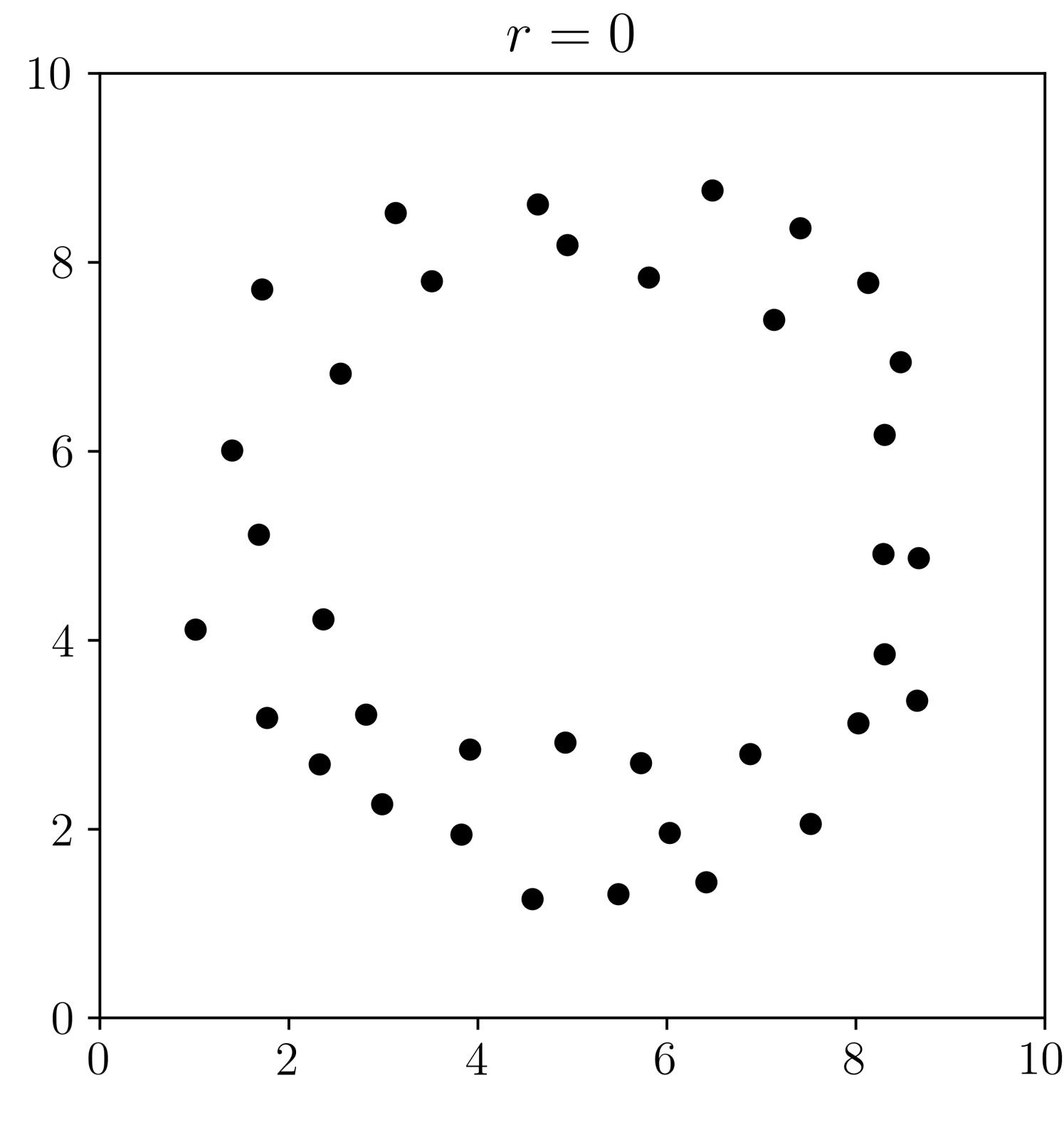


image credit: Andrey Yao

# Maximally Persistent Cycles

n points in expectation

k-cycle

# Maximally Persistent Cycles

[Bobrowski-Kahle-Skraba 2017]

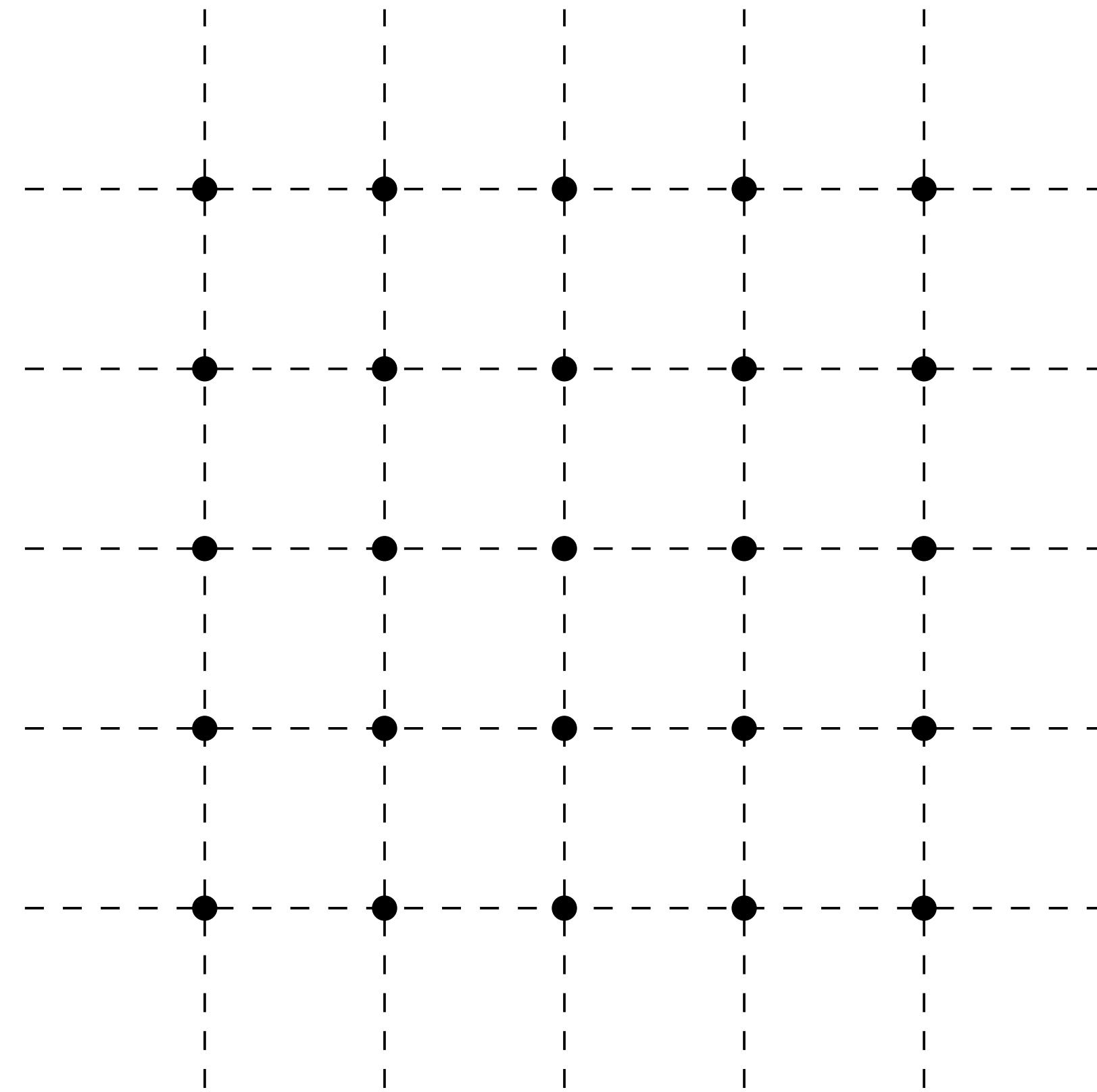
$n$  points in expectation

$k$ -cycle

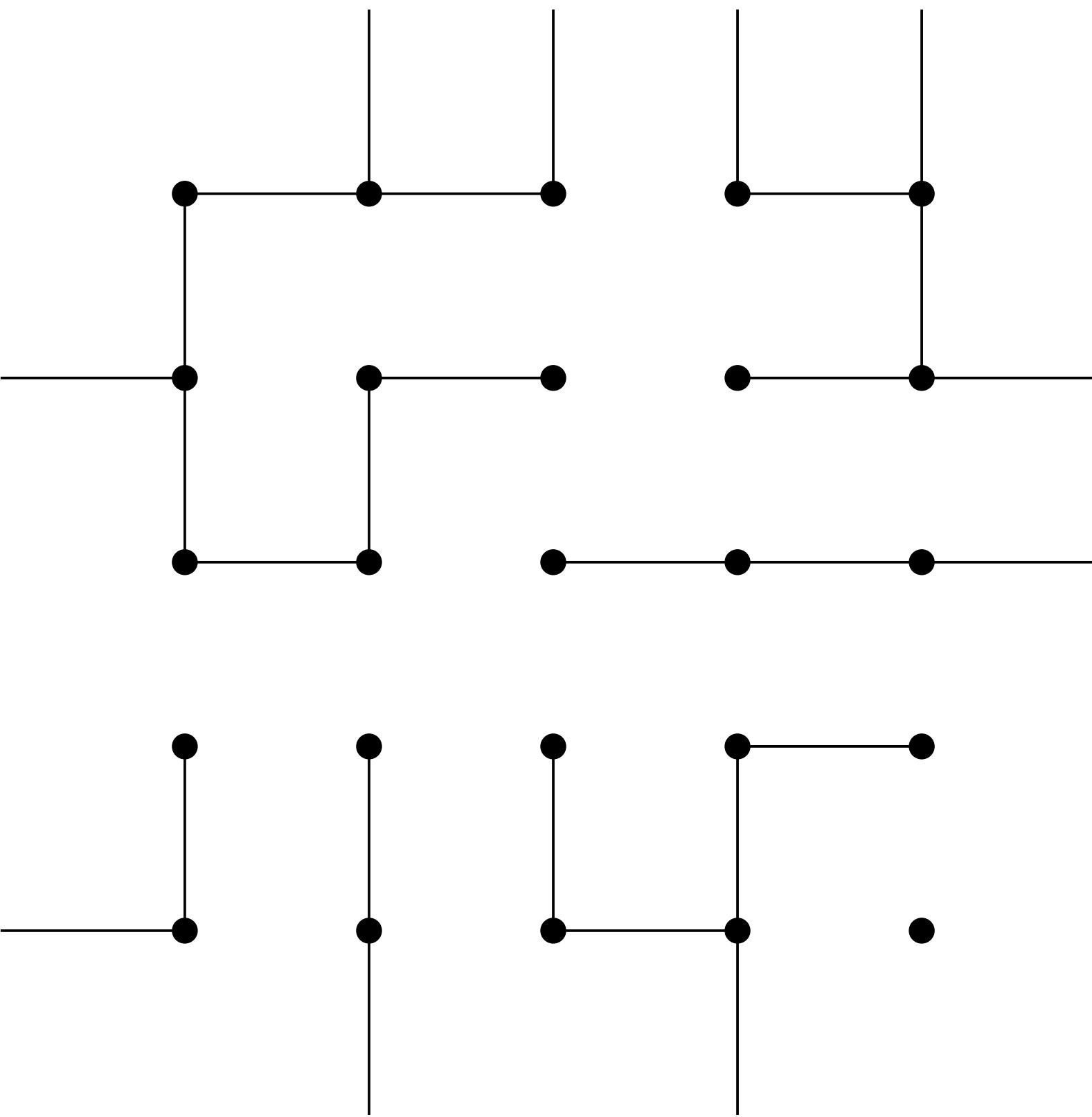
$$c \left( \frac{\log n}{\log \log n} \right)^{1/k} \leq \text{max persistence} \leq C \left( \frac{\log n}{\log \log n} \right)^{1/k}$$

a.a.s.

# Bernoulli Bond Percolation

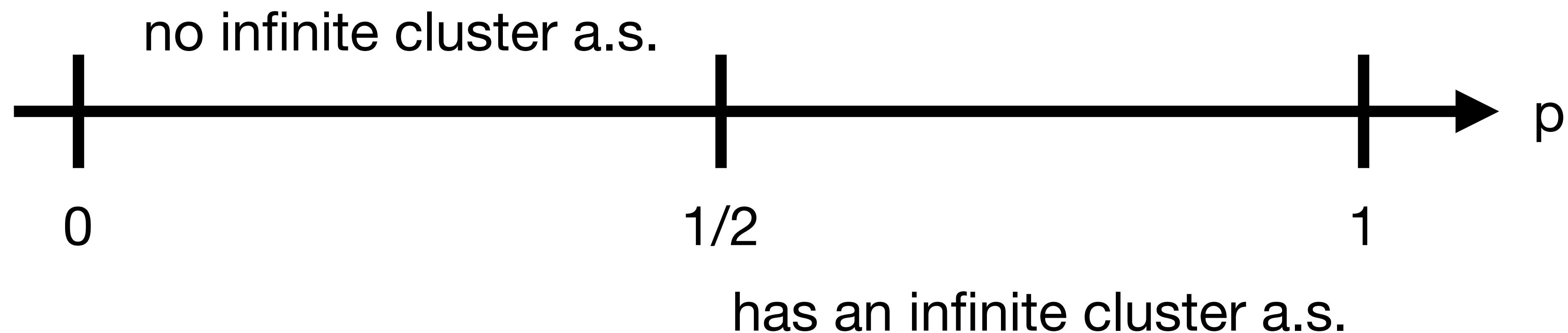


# Bernoulli Bond Percolation



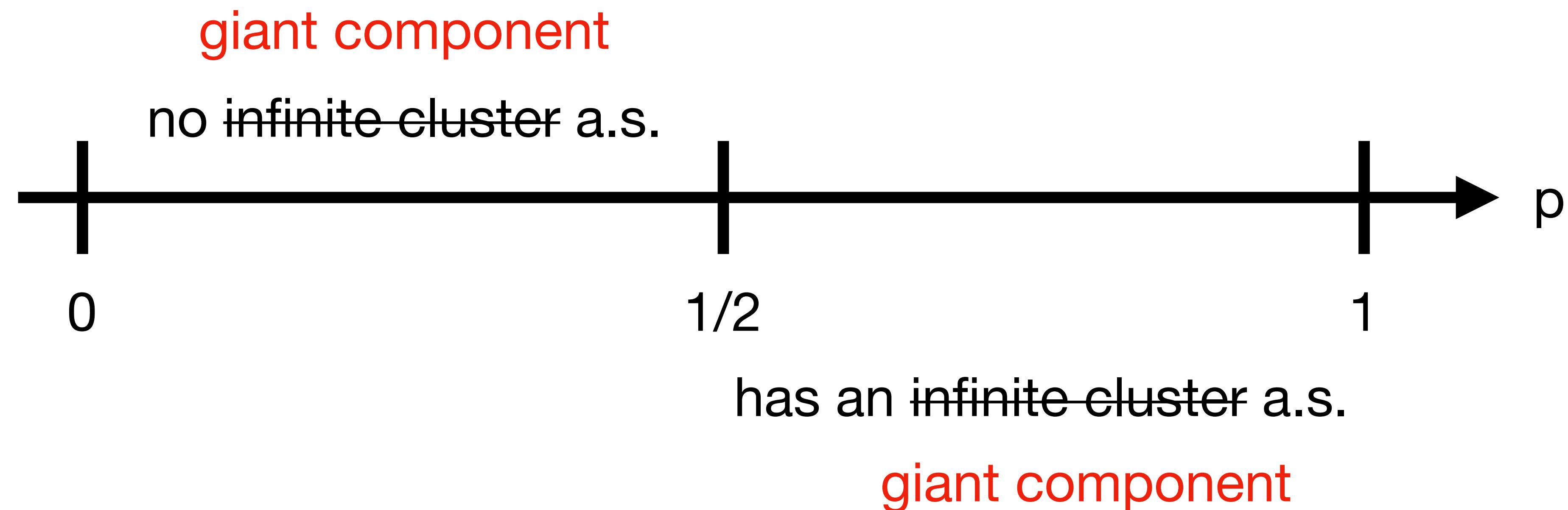
# Phase Transition

[Harris 1960, Kesten 1980]



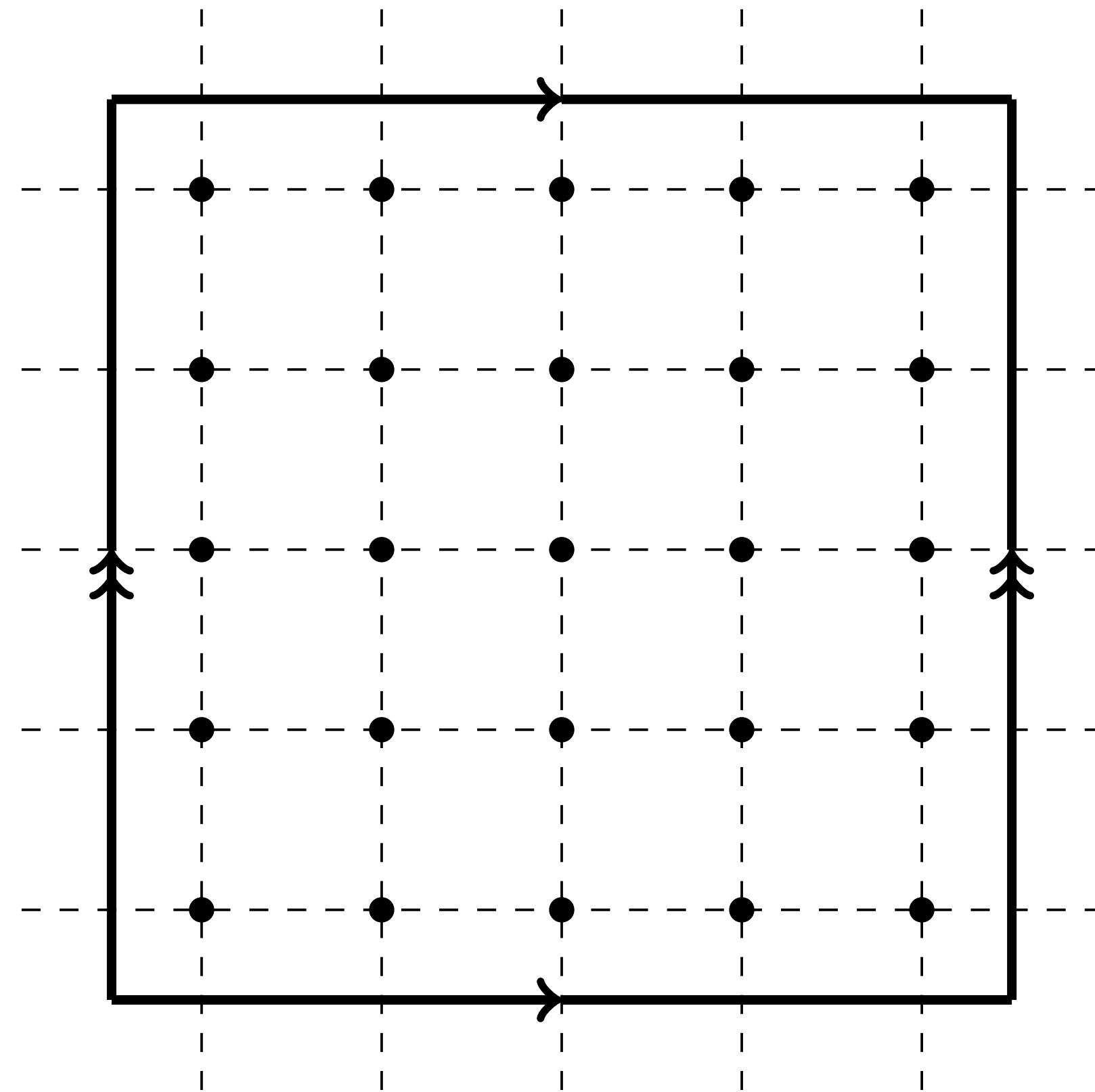
# Phase Transition

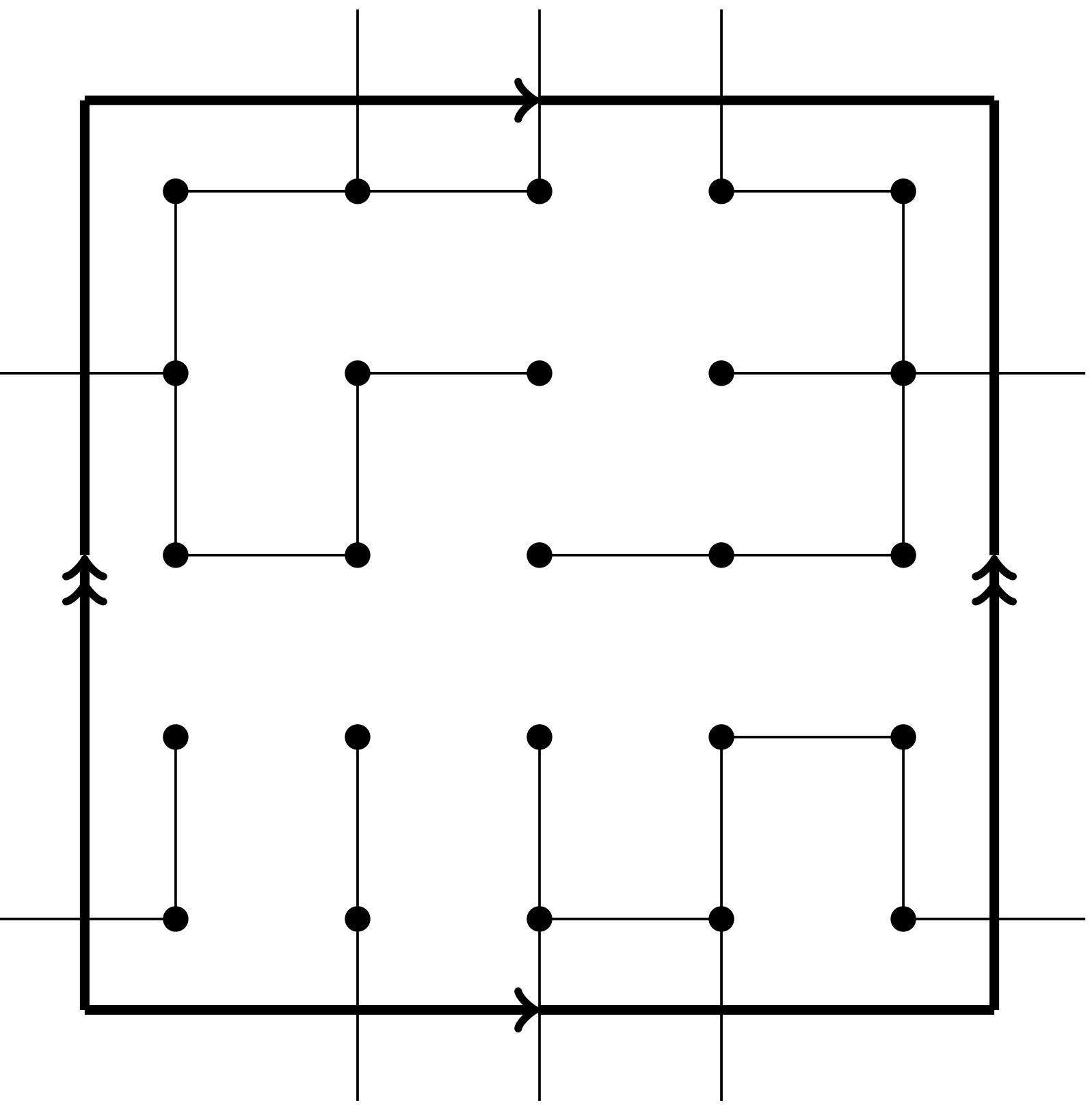
[Harris 1960, Kesten 1980]



# Giant Cycles?

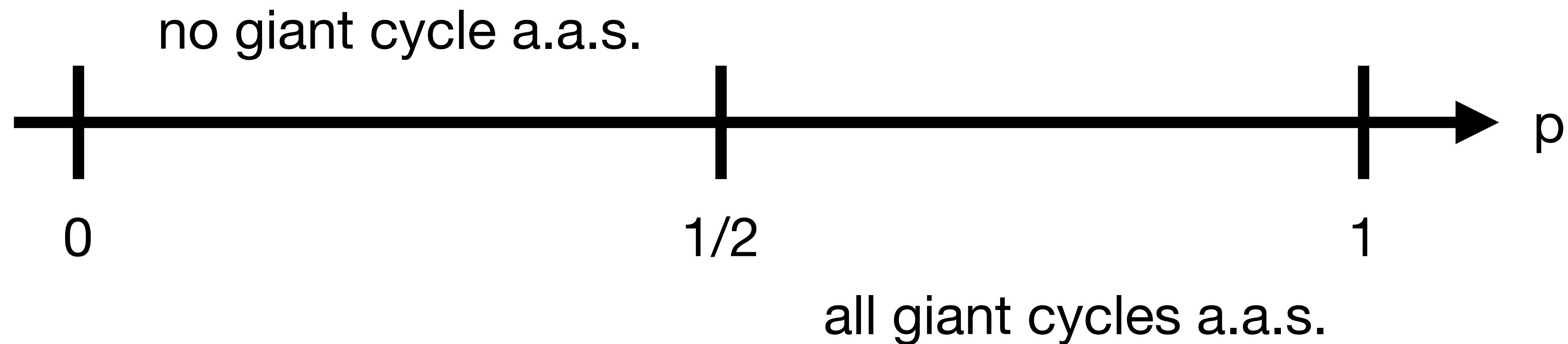
# Bernoulli Bond Percolation



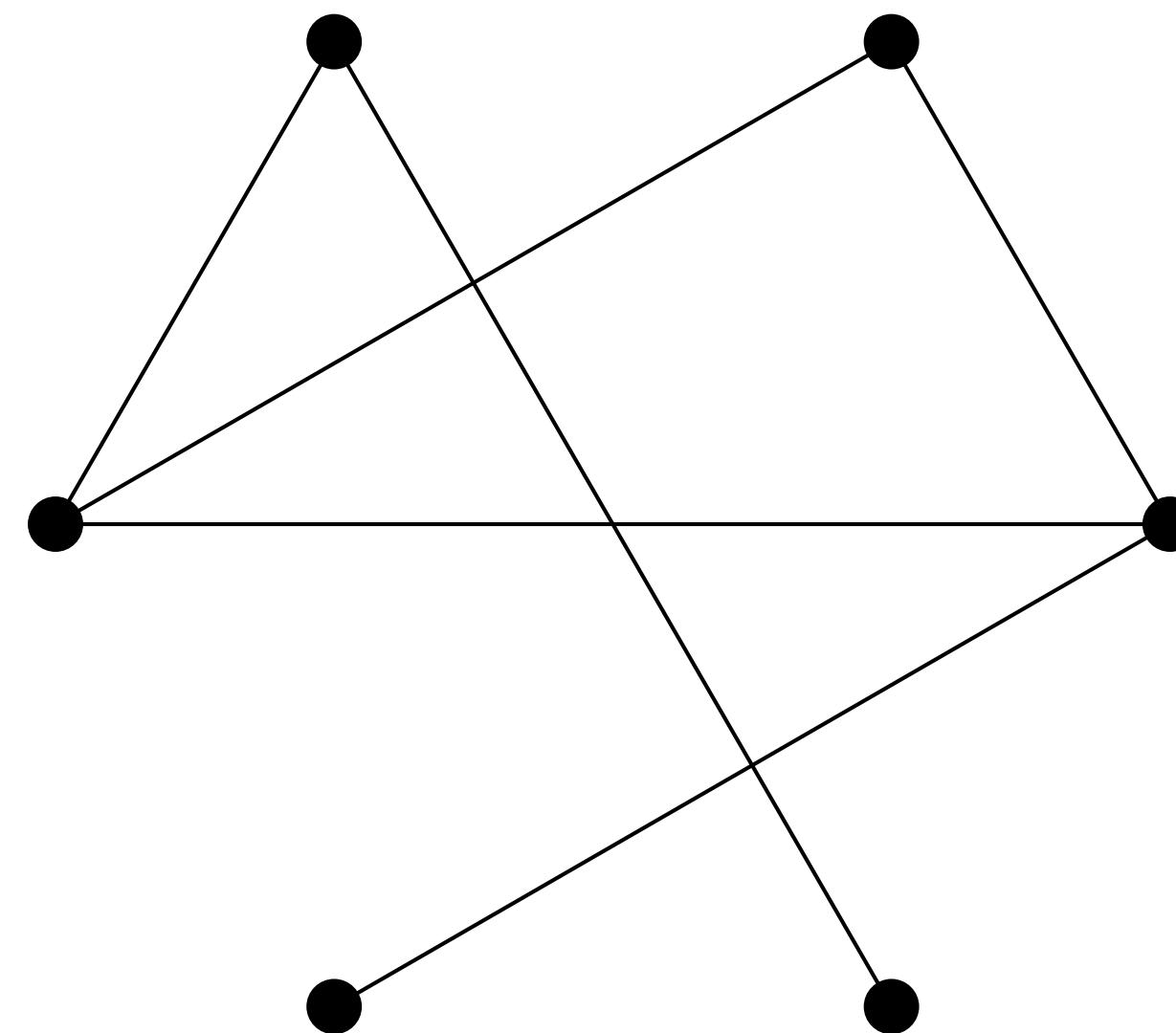


# Phase Transition

[Duncan-Kahle-Schweinhart, 2021]



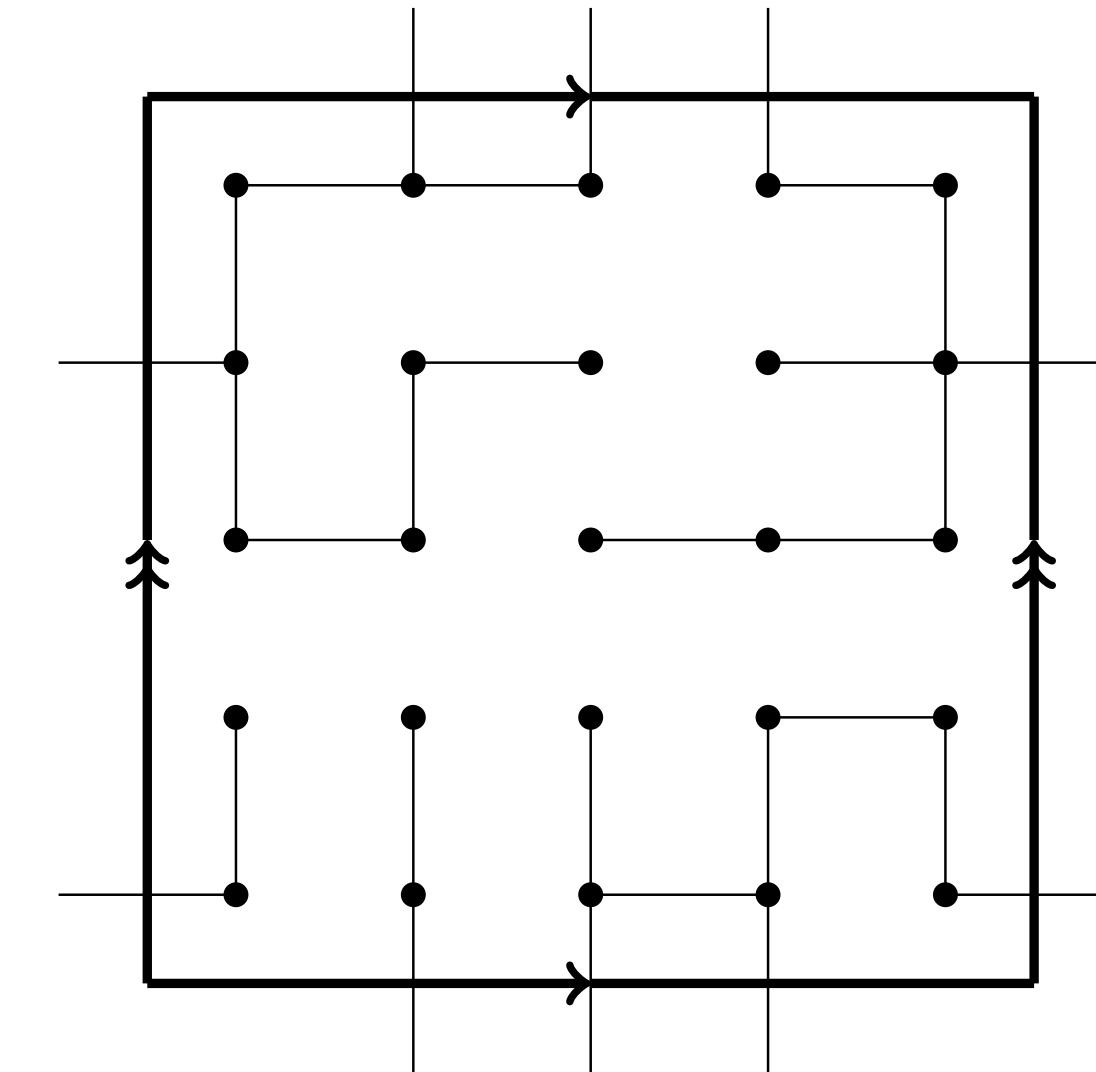
# Tapas de Random Topology



Erdo-Renyi Complexes



Geometric Complexes



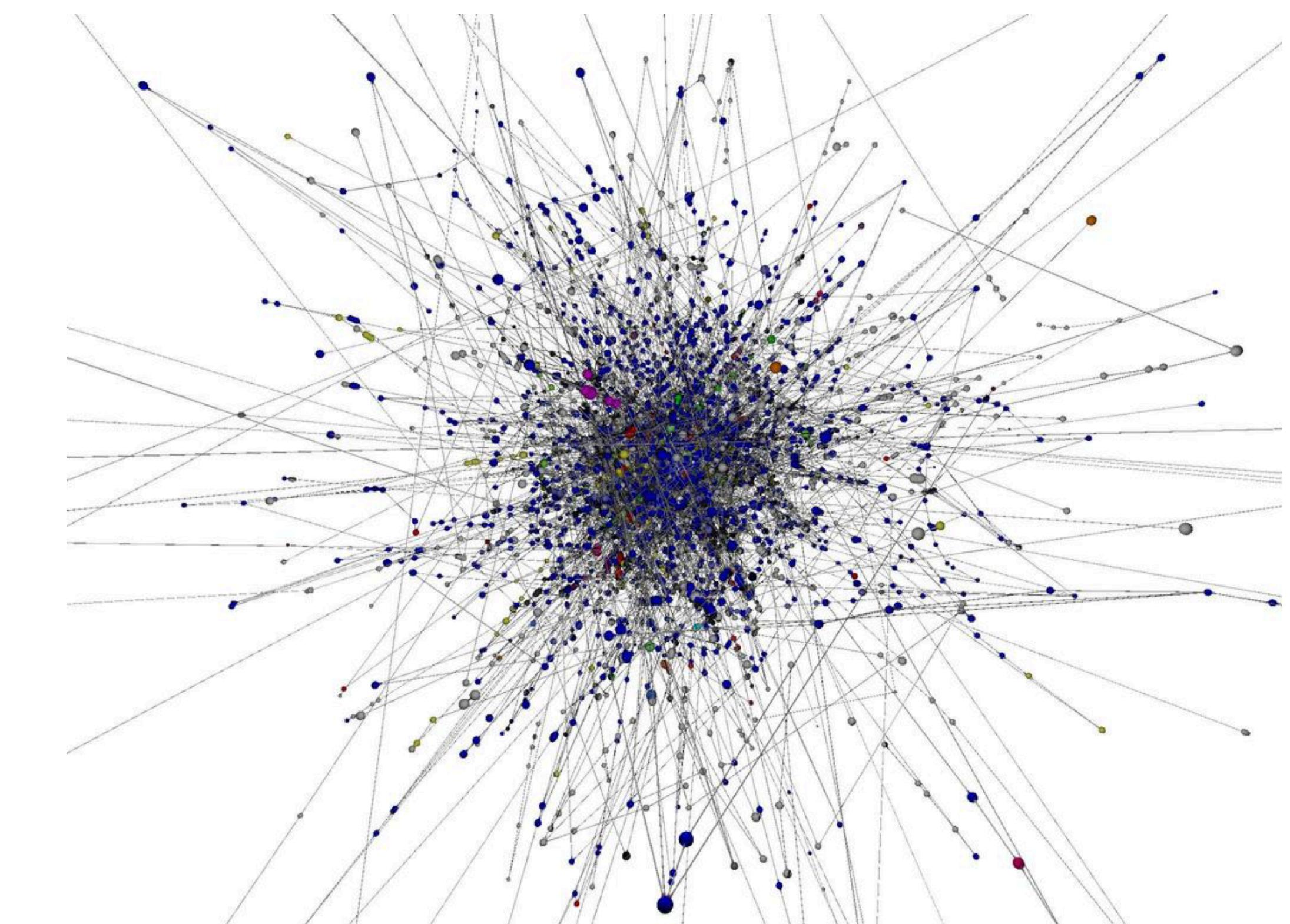
Topological Percolation

## **II. Preferential Attachment**

**Beyond independence and homogeneity**

# Independent and identically distributed?

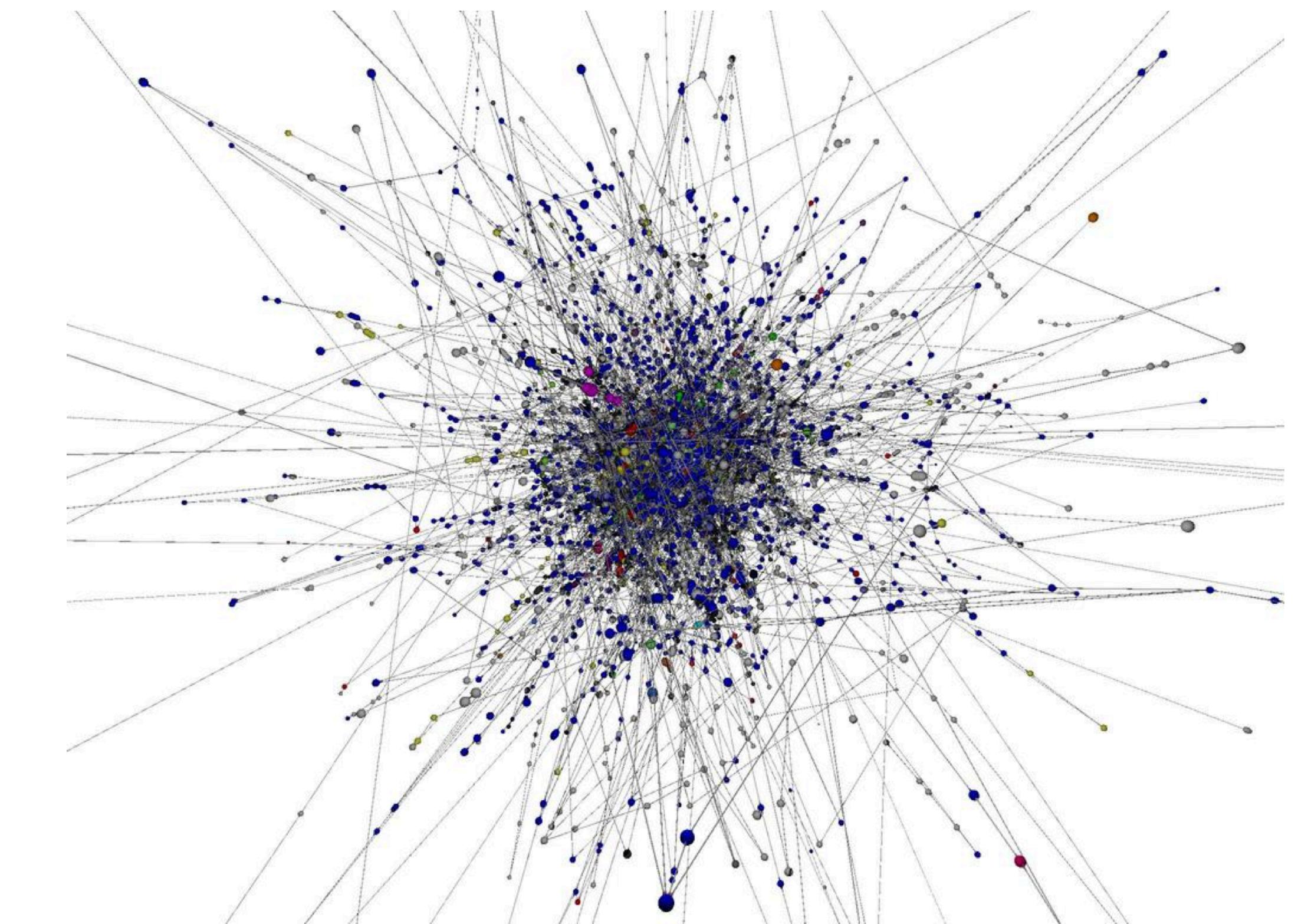
# Independent and identically distributed?



(Stephen Coast  
<https://www.fractalus.com/steve/stuff/ipmap/>)

# Preferential Attachment

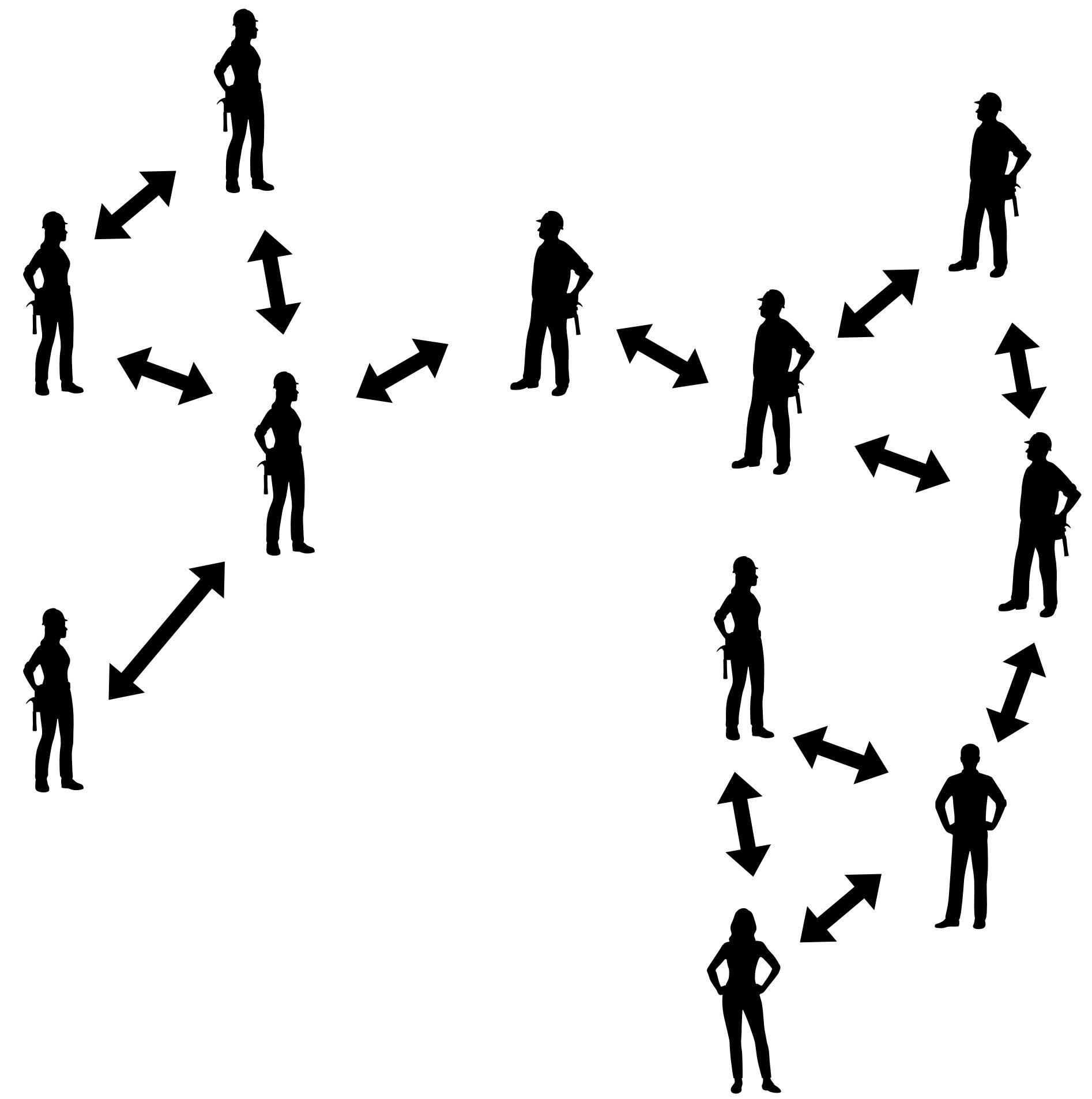
[Albert and Barabasi 1999]



(Stephen Coast  
<https://www.fractalus.com/steve/stuff/ipmap/>)

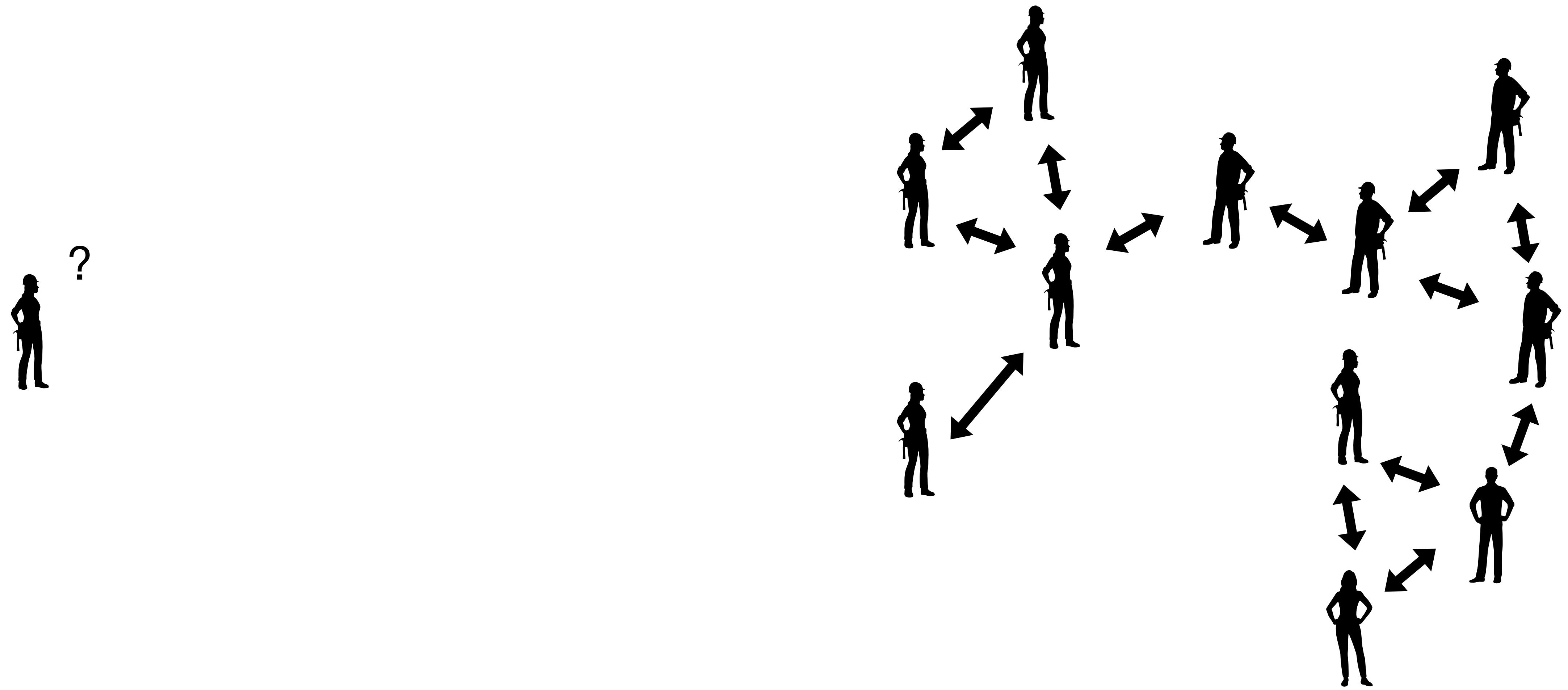
# Preferential Attachment

[Albert and Barabasi 1999]



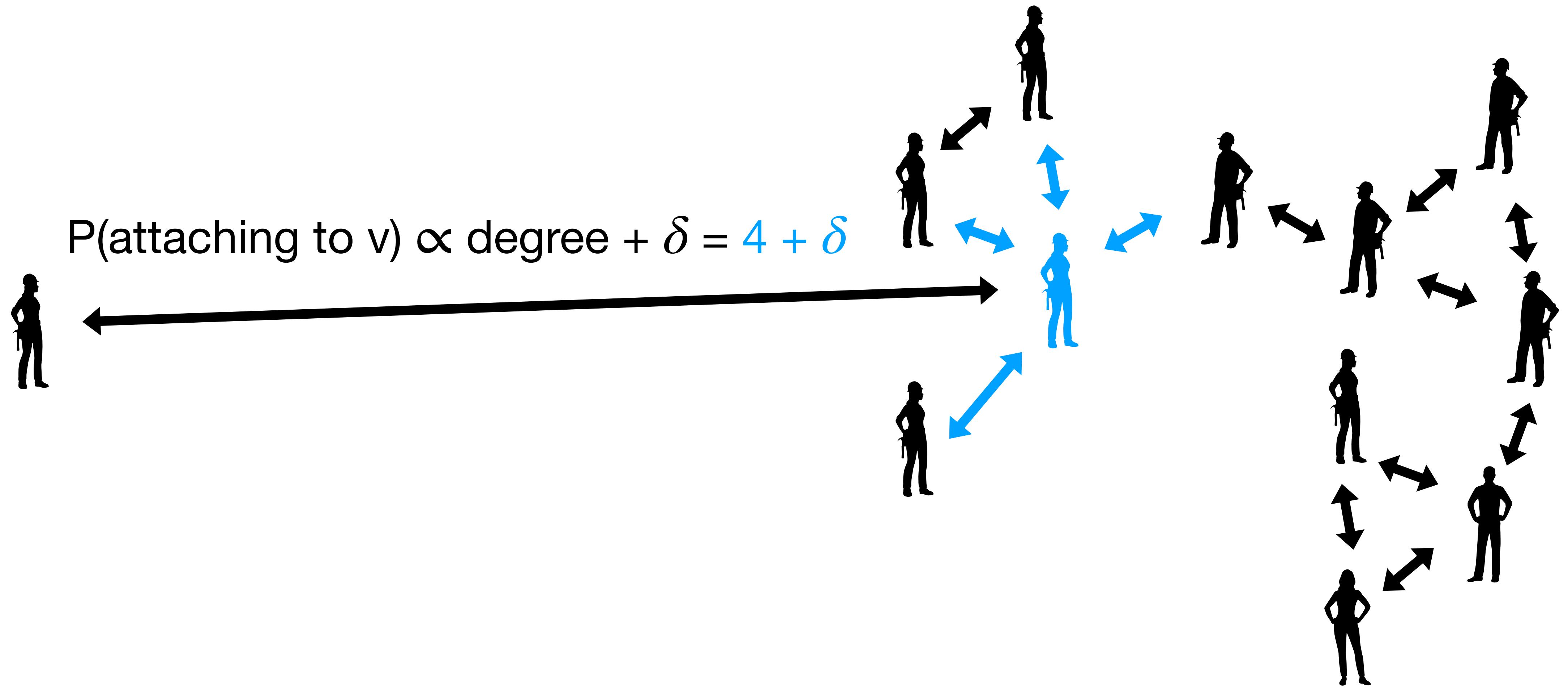
# Preferential Attachment

[Albert and Barabasi 1999]



# Preferential Attachment

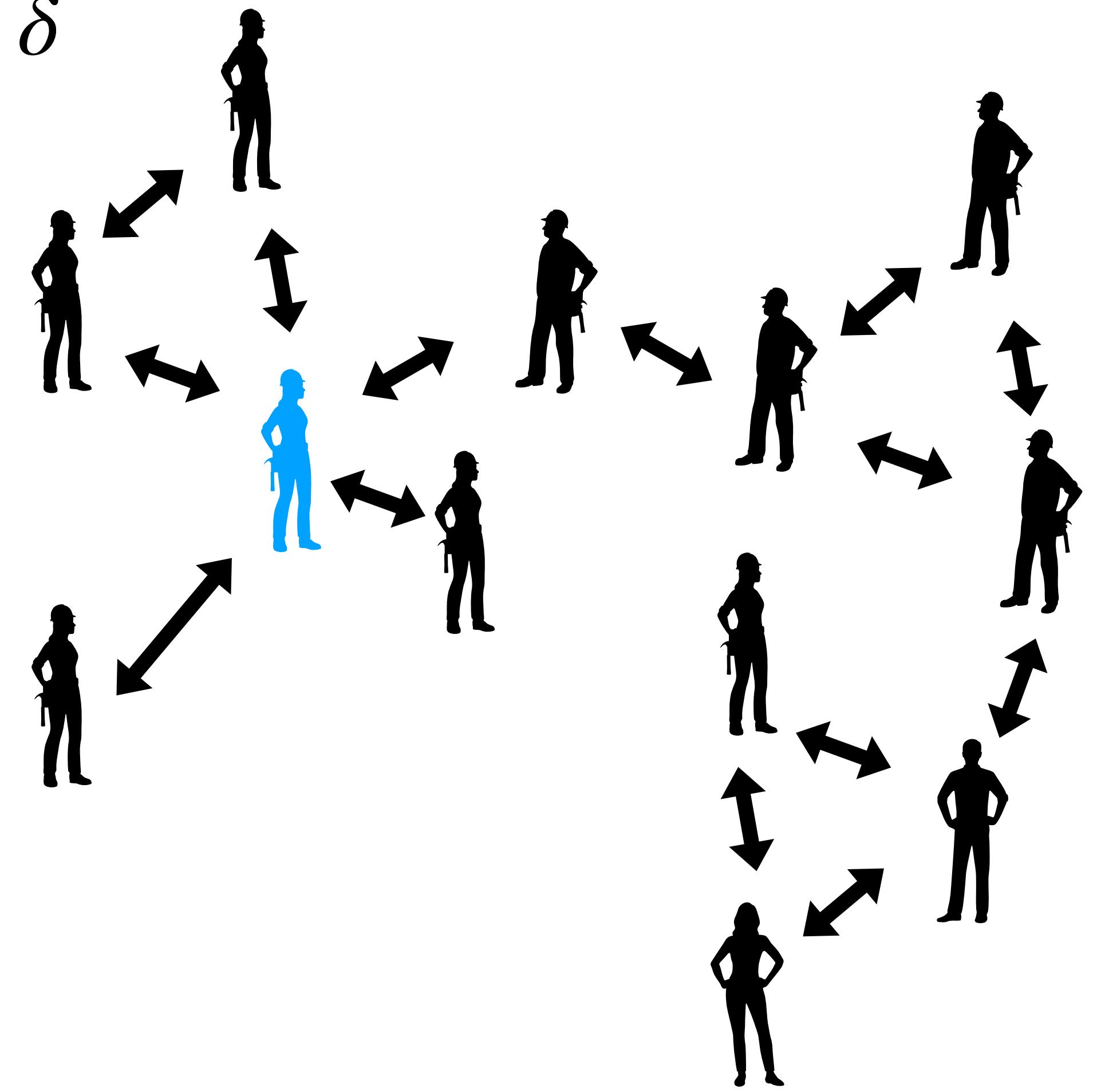
[Albert and Barabasi 1999]



# Preferential Attachment

[Albert and Barabasi 1999]

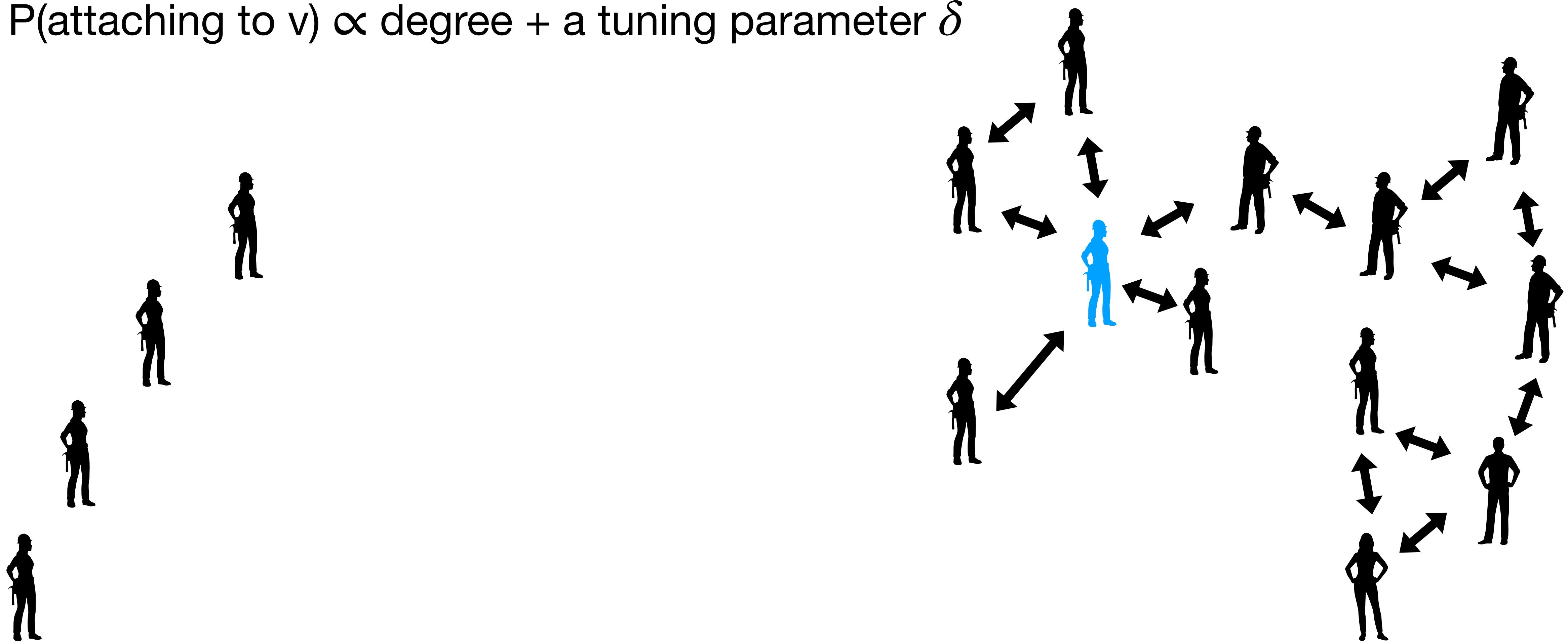
$P(\text{attaching to } v) \propto \text{degree} + \text{a tuning parameter } \delta$



# Preferential Attachment

[Albert and Barabasi 1999]

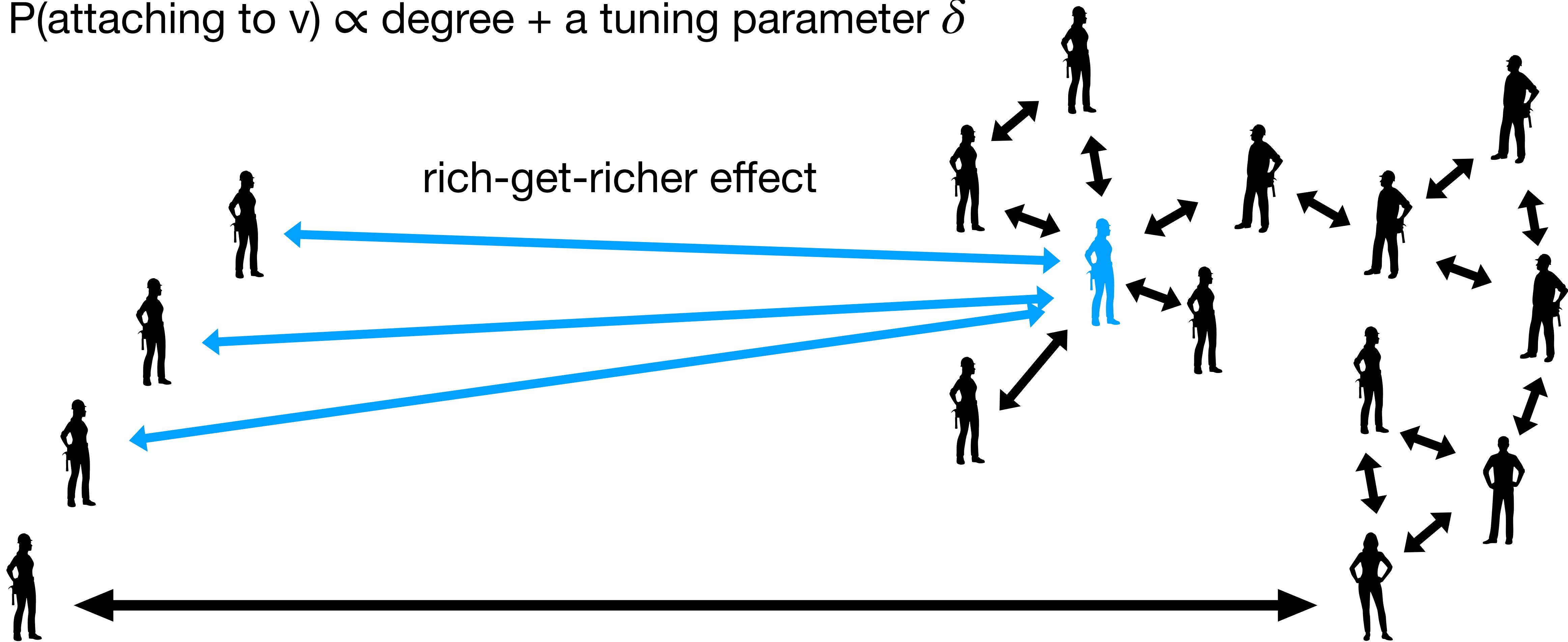
$P(\text{attaching to } v) \propto \text{degree} + \text{a tuning parameter } \delta$



# Preferential Attachment

[Albert and Barabasi 1999]

$$P(\text{attaching to } v) \propto \text{degree} + \text{a tuning parameter } \delta$$



# **What do we know?**

# What do we know?

- triangle counts and clustering coefficient [Bollobas and Ridden 2002, Prokhorenkova et al 2013]

# What do we know?

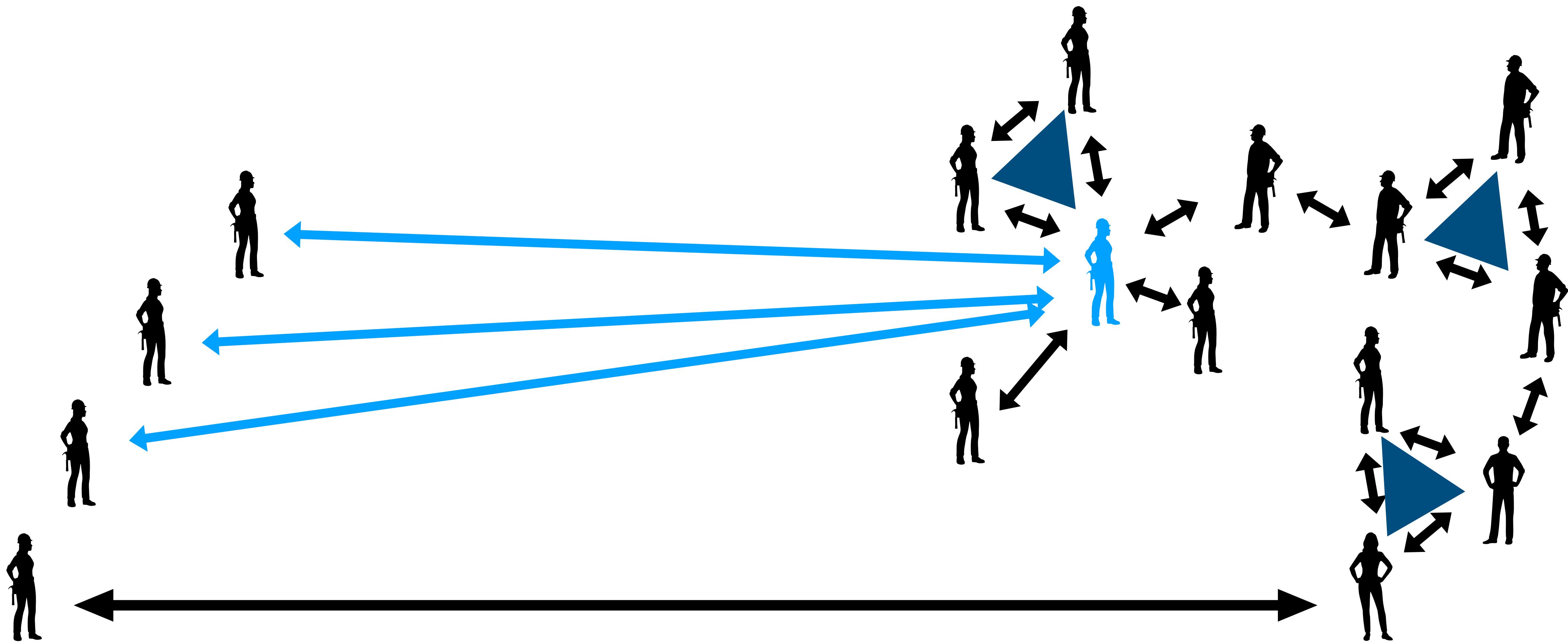
- triangle counts and clustering coefficient [Bollobas and Ridden 2002, Prokhorenkova et al 2013]
- subgraph counts [Garavaglia and Steghuis 2019]

# What do we know?

- triangle counts and clustering coefficient [Bollobas and Ridden 2002, Prokhorenkova et al 2013]
- subgraph counts [Garavaglia and Steghuis 2019]
- and more...

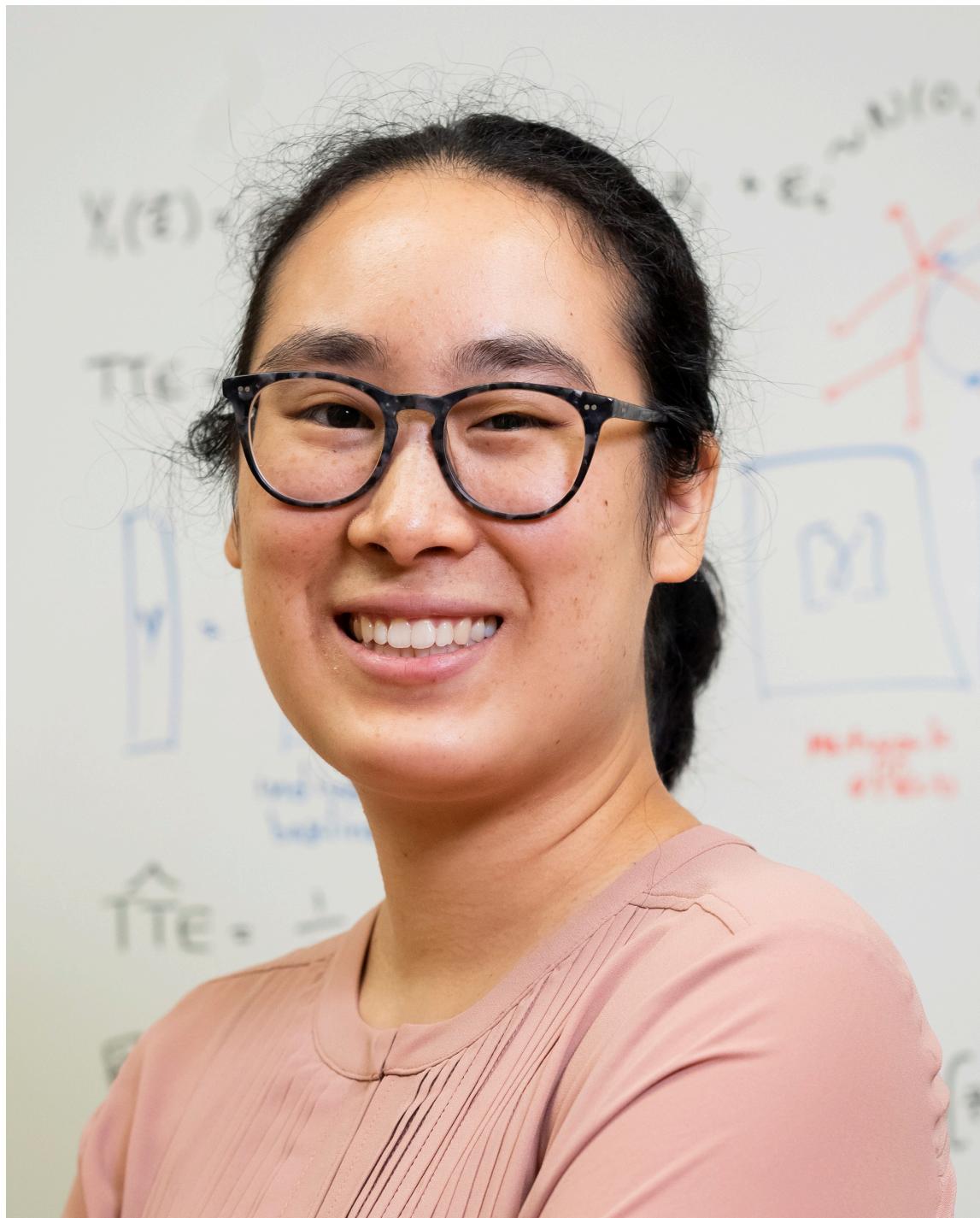
# Clique Complex

aka Flag Complex



# **III Topology of Preferential Attachment**

# My Lovely Collaborators



Christina Lee Yu



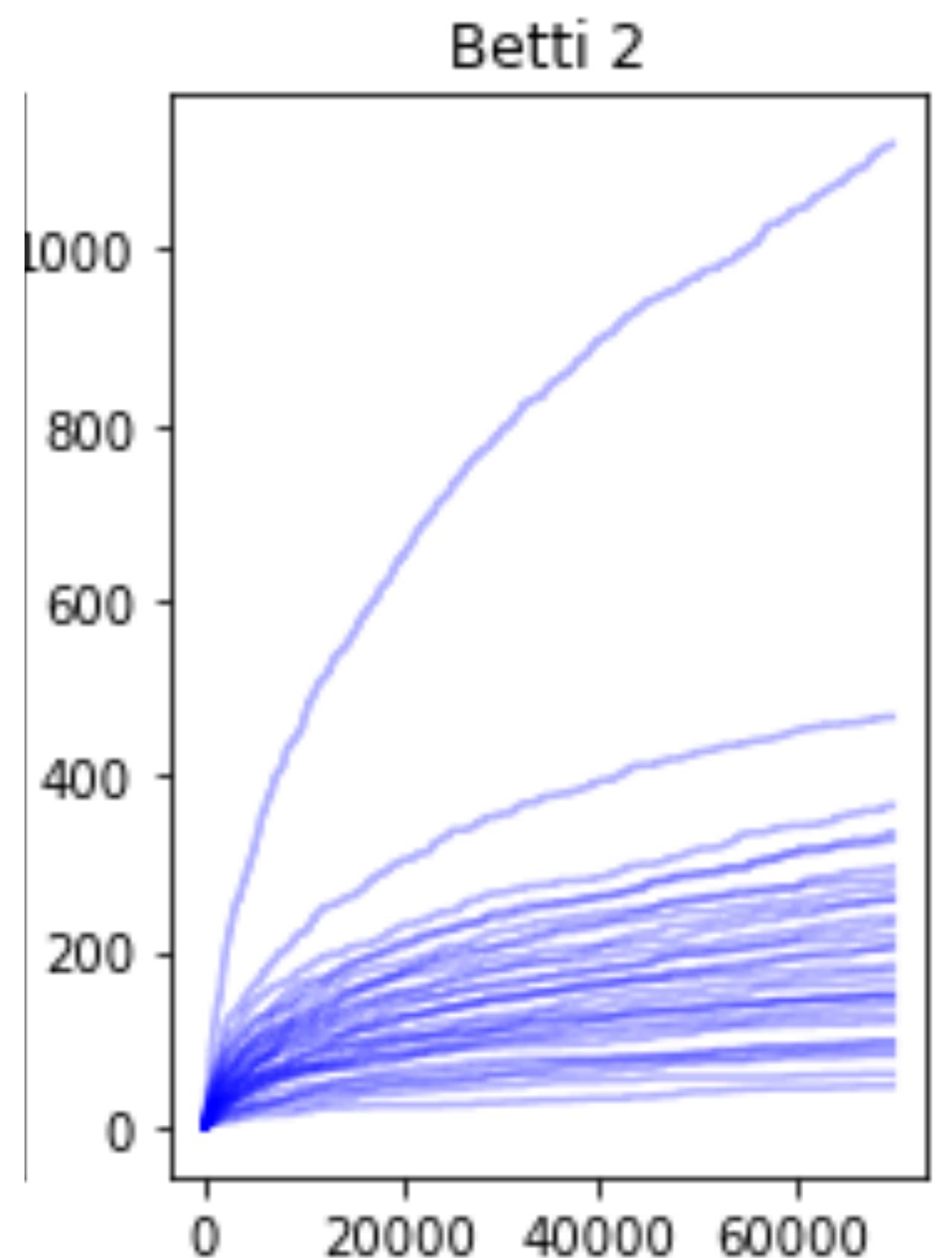
Gennady Samorodnitsky



Rongyi He (Caroline)

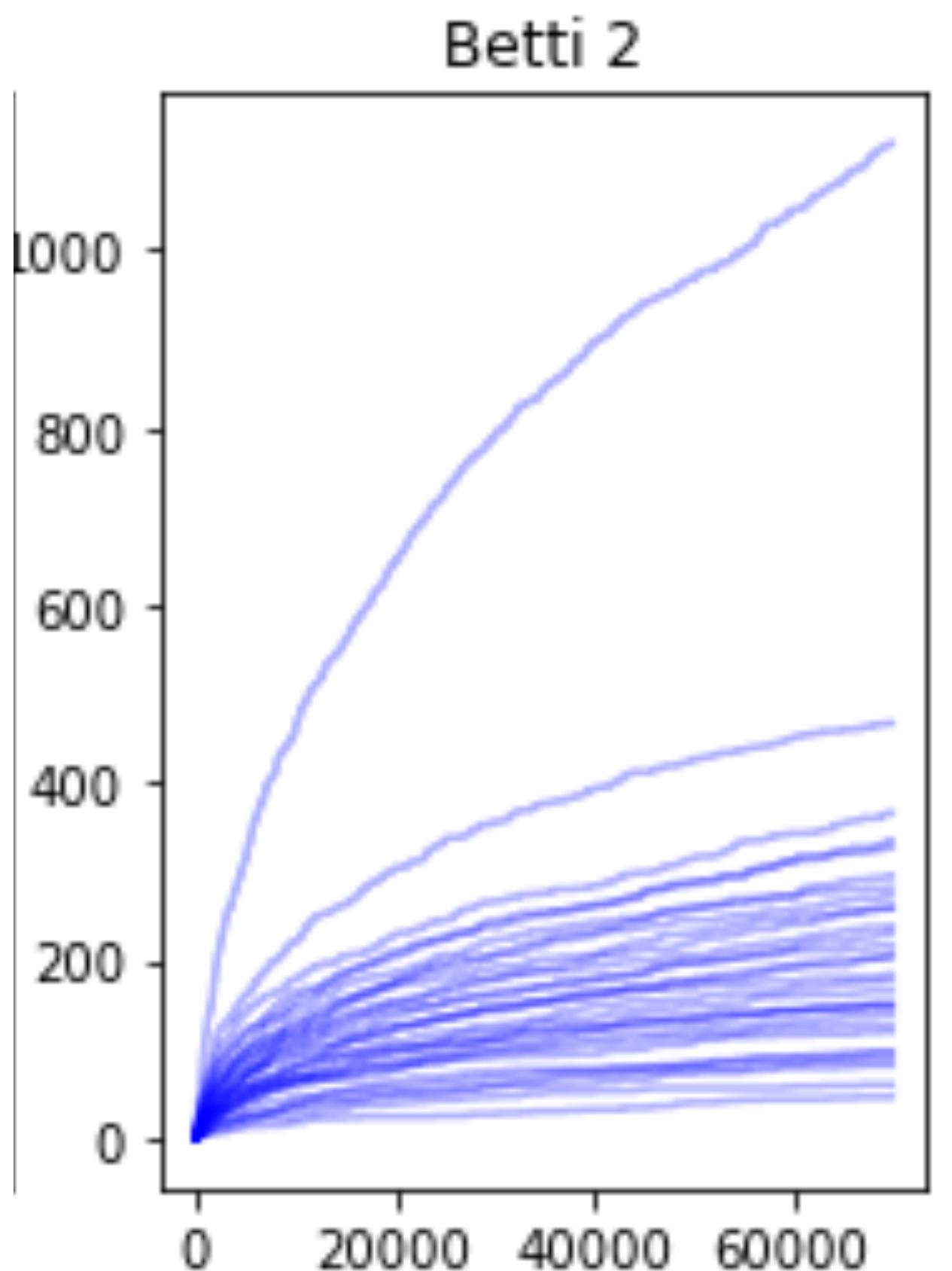
# Expected Betti Number $E[\beta_q]$

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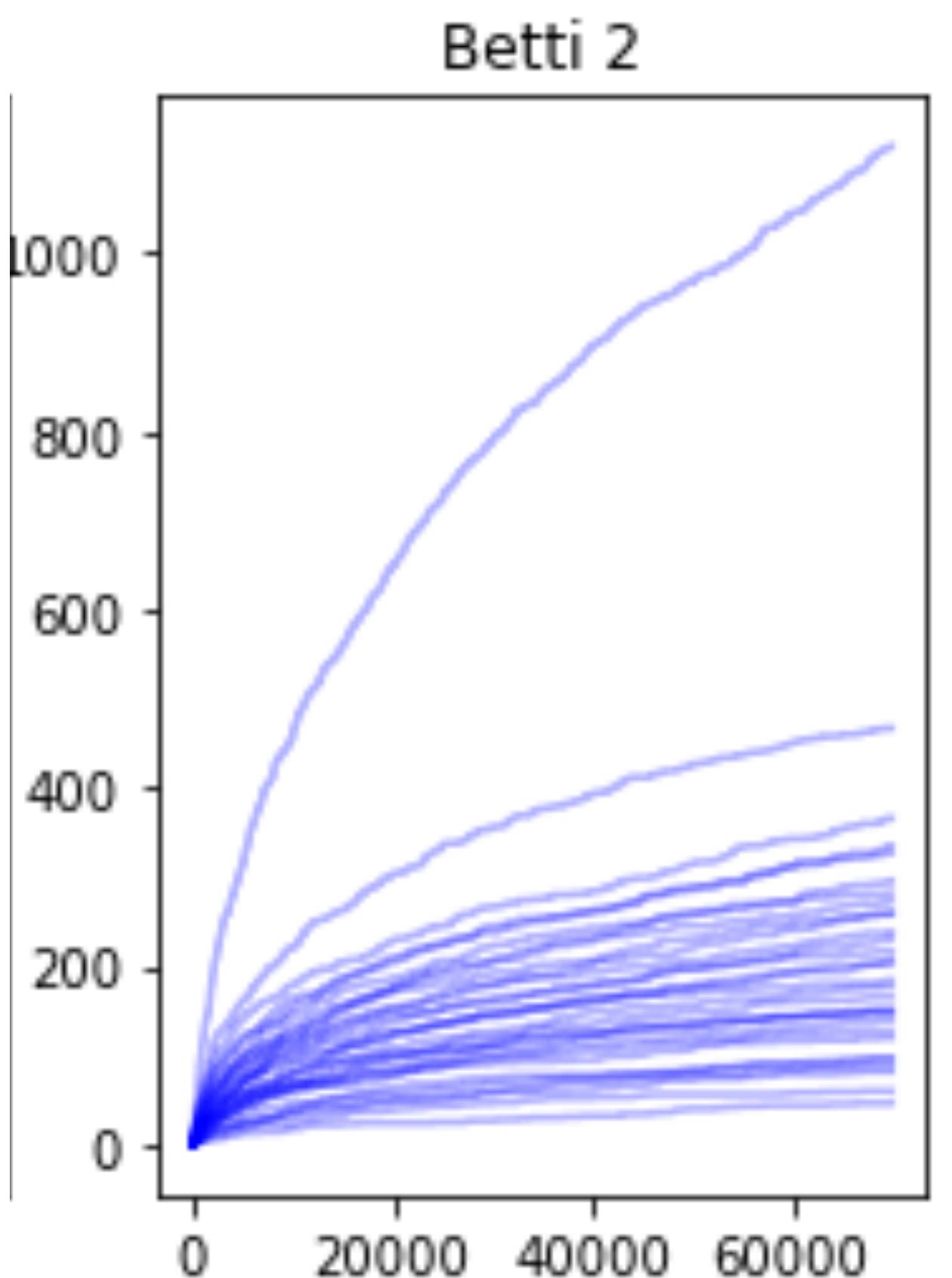
# Expected Betti Number $E[\beta_q]$

- increasing trend



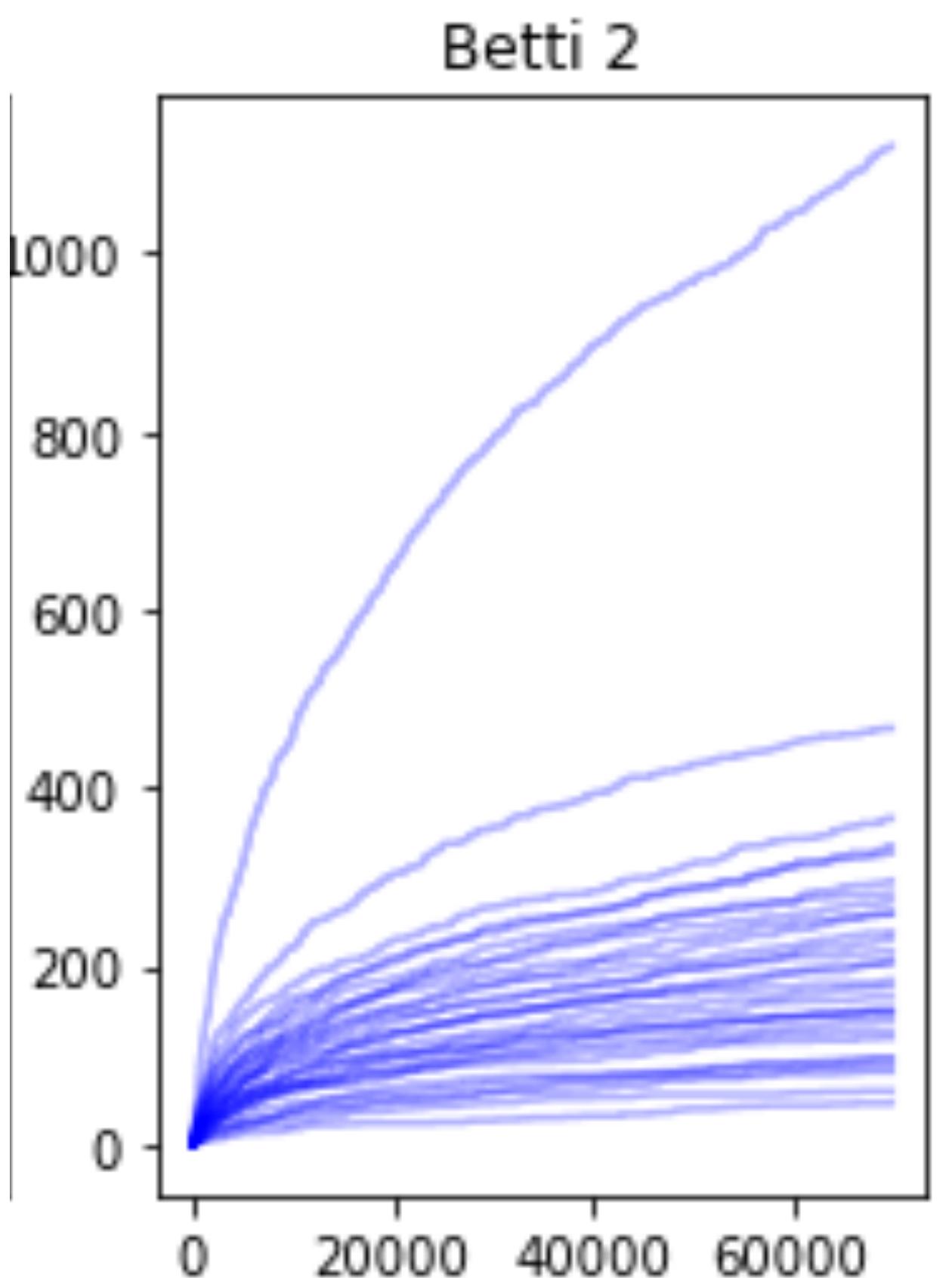
# Expected Betti Number $E[\beta_q]$

- increasing trend
- concave growth



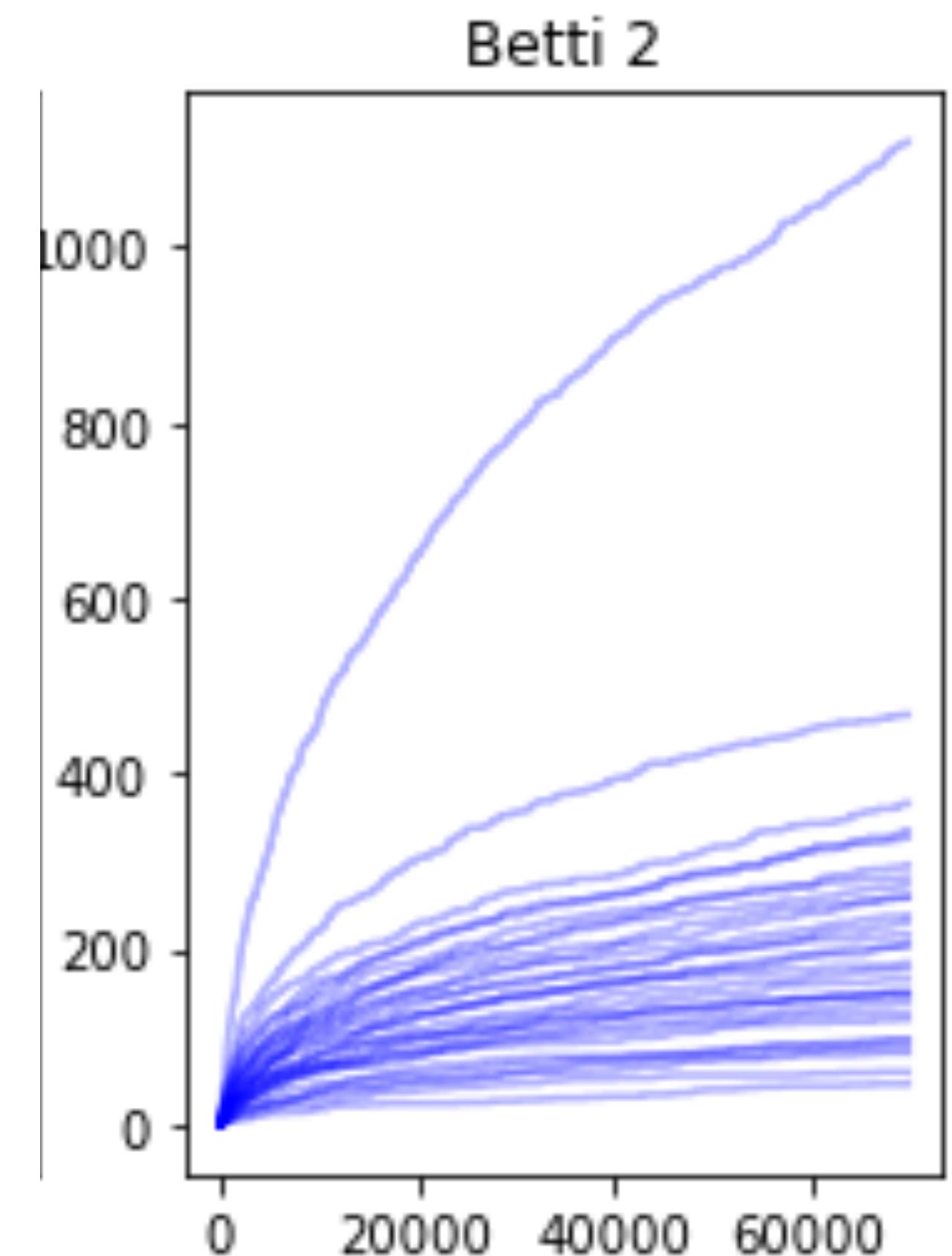
# Expected Betti Number $E[\beta_q]$

- increasing trend
- concave growth
- outlier



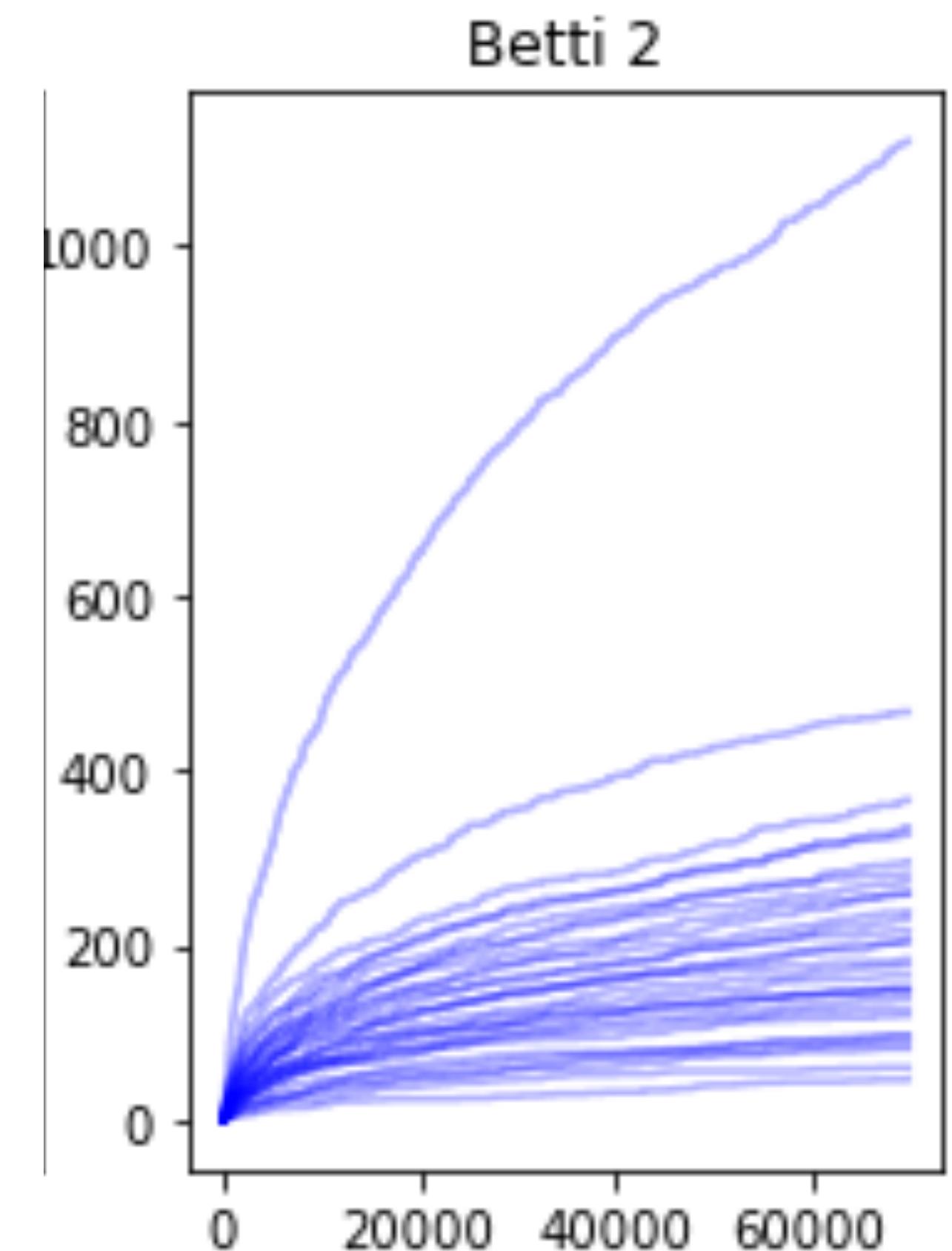
# Expected Betti Number $E[\beta_q]$

- $c(\text{num of nodes}^{1-4x}) \leq E[\beta_2] \leq C(\text{num of nodes}^{1-4x})$   
under mild assumptions
- $x \in (0, 1/2)$  depends on model parameters



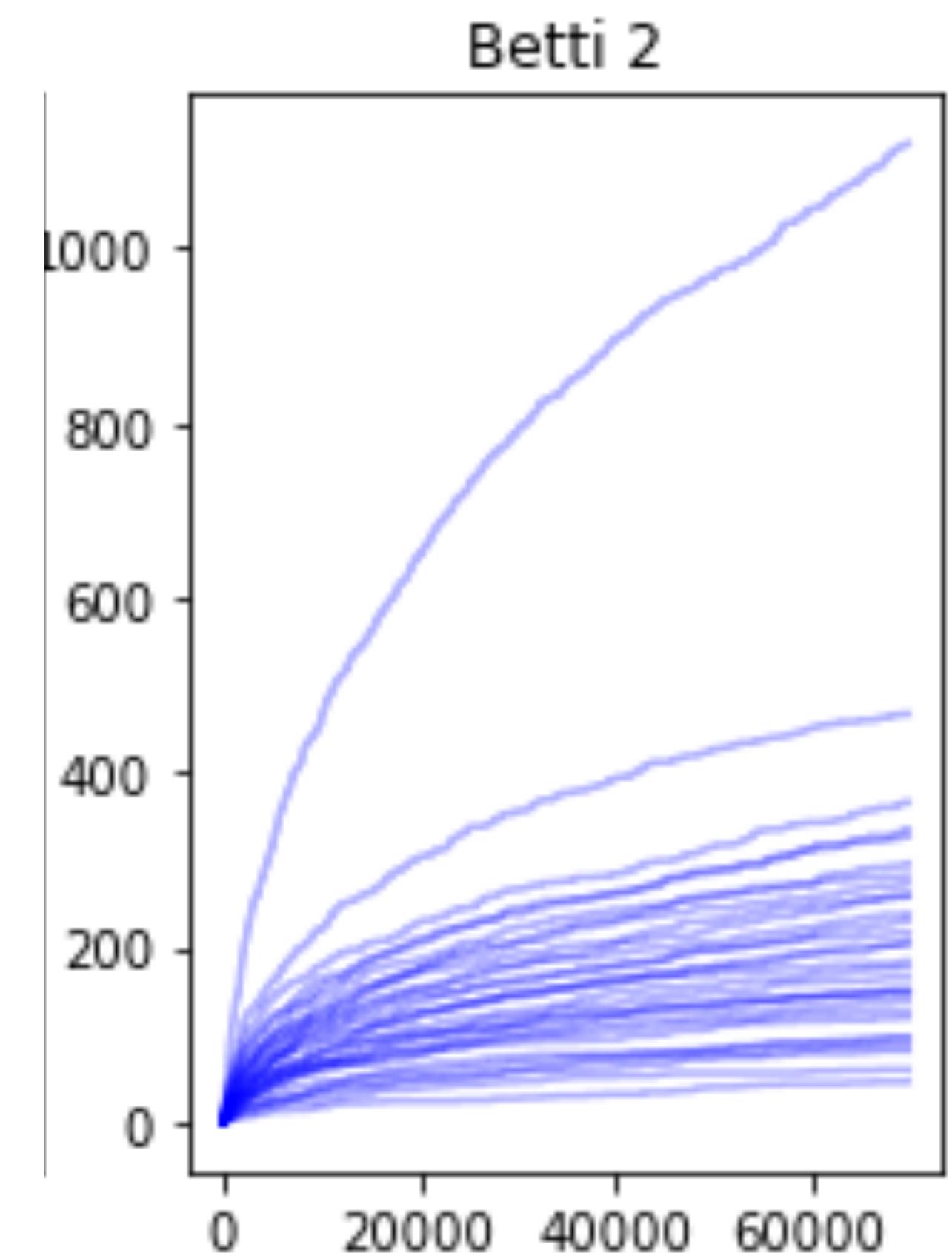
# Expected Betti Number $E[\beta_q]$

- $c(\text{num of nodes}^{1-4x}) \leq E[\beta_2] \leq C(\text{num of nodes}^{1-4x})$   
under mild assumptions
- $x \in (0, 1/2)$  depends on model parameters
- If  $1 - 4x < 0$ , then  $E[\beta_2] \leq C$ .



# Expected Betti Number $E[\beta_q]$

- $c(\text{num of nodes}^{1-4x}) \leq E[\beta_2] \leq C(\text{num of nodes}^{1-4x})$   
under mild assumptions
- $x \in (0, 1/2)$  depends on model parameters
- If  $1 - 4x < 0$ , then  $E[\beta_2] \leq C$ .
- $c(\text{num of nodes}^{1-2qx}) \leq E[\beta_q] \leq C(\text{num of nodes}^{1-2qx})$   
for  $q \geq 2$  if  $1 - 2qx > 0$

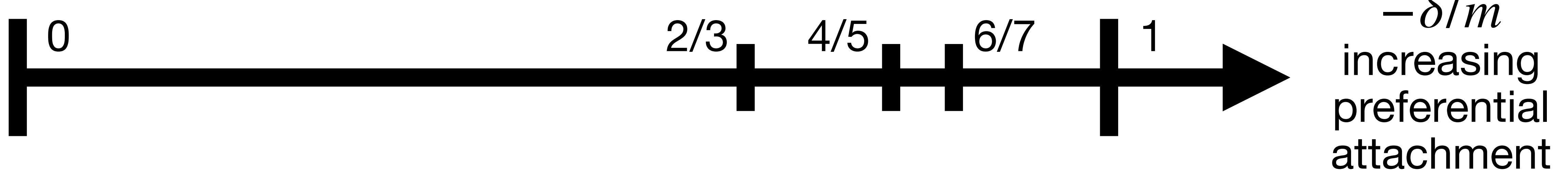


# Phase transition

Recall

$P(\text{attaching to } v) \propto \text{degree} + \delta$

$m = \text{number of edges per new node}$

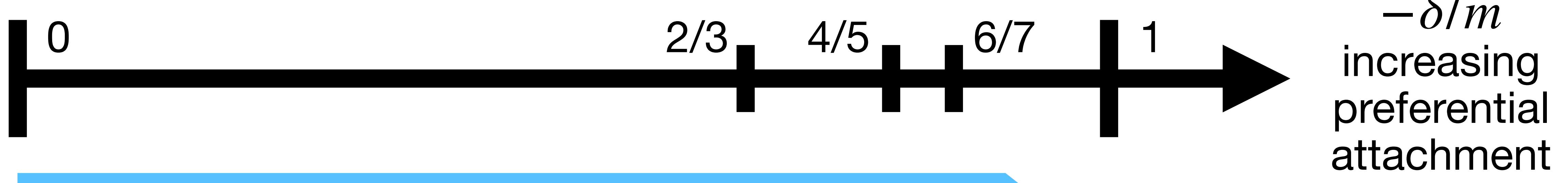


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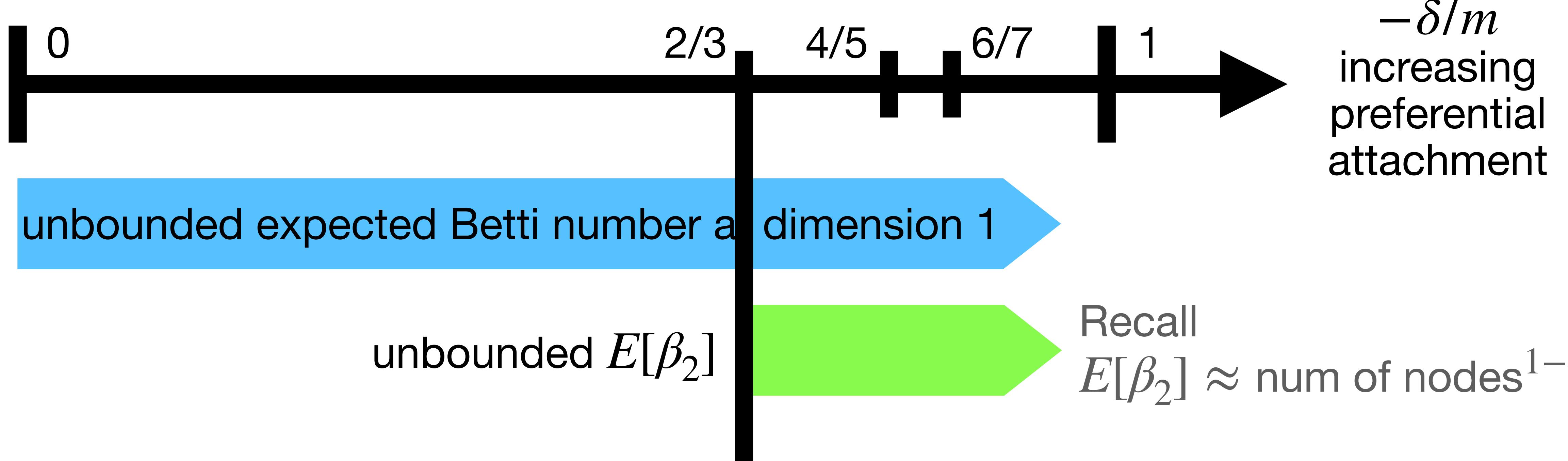
unbounded expected Betti number at dimension 1

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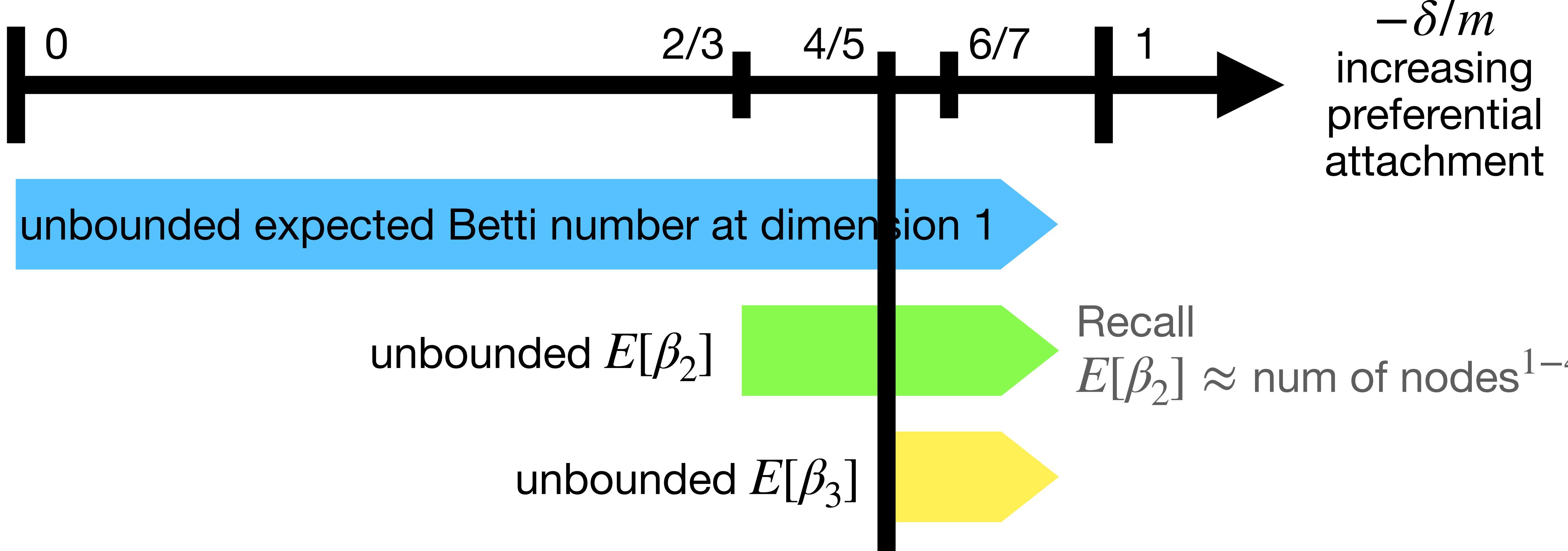
$$E[\beta_2] \approx \text{num of nodes}^{1-4x}$$

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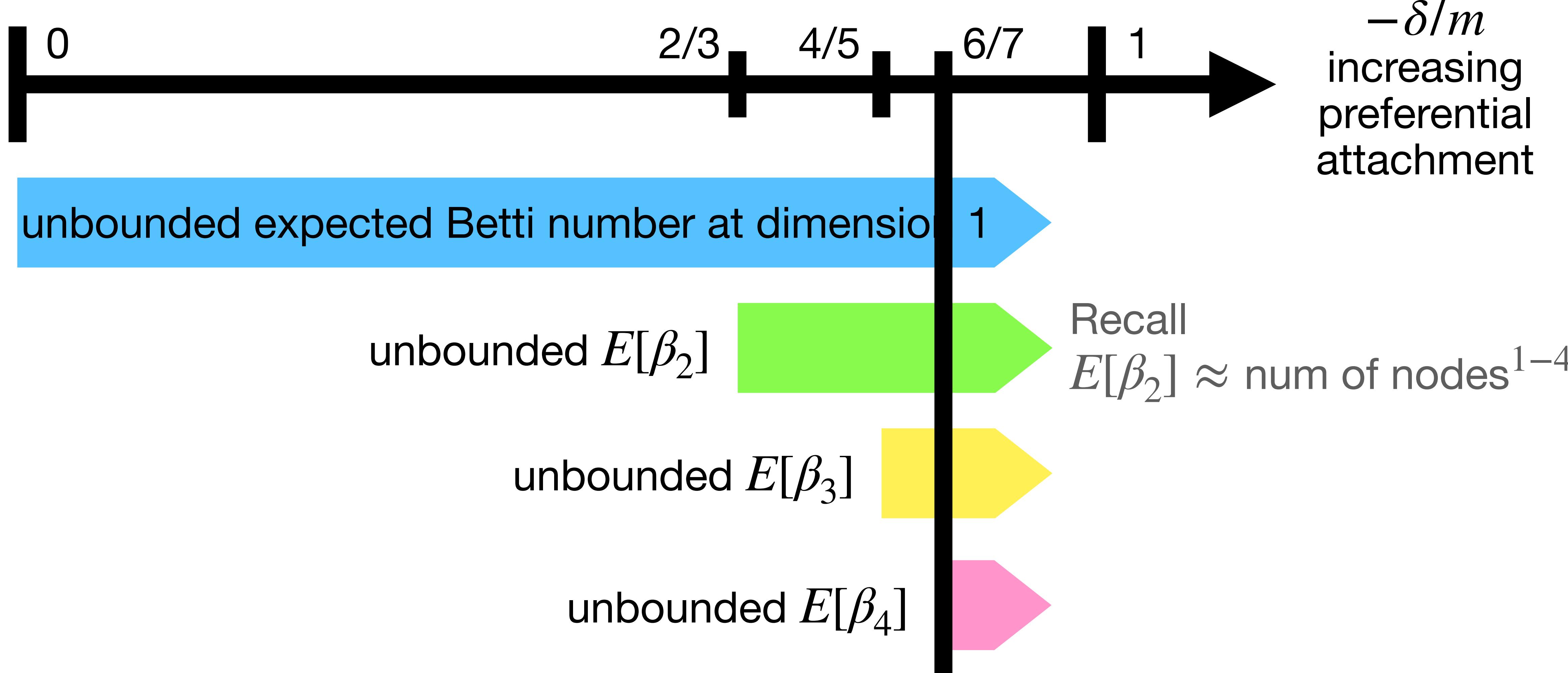


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$-\delta/m$   
increasing  
preferential  
attachment

unbounded expected Betti number at dimension 1

unbounded  $E[\beta_2]$

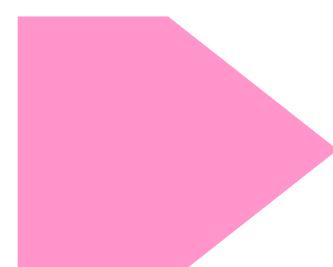


Recall  
 $E[\beta_2] \approx \text{num of nodes}^{1-4x}$

unbounded  $E[\beta_3]$



unbounded  $E[\beta_4]$

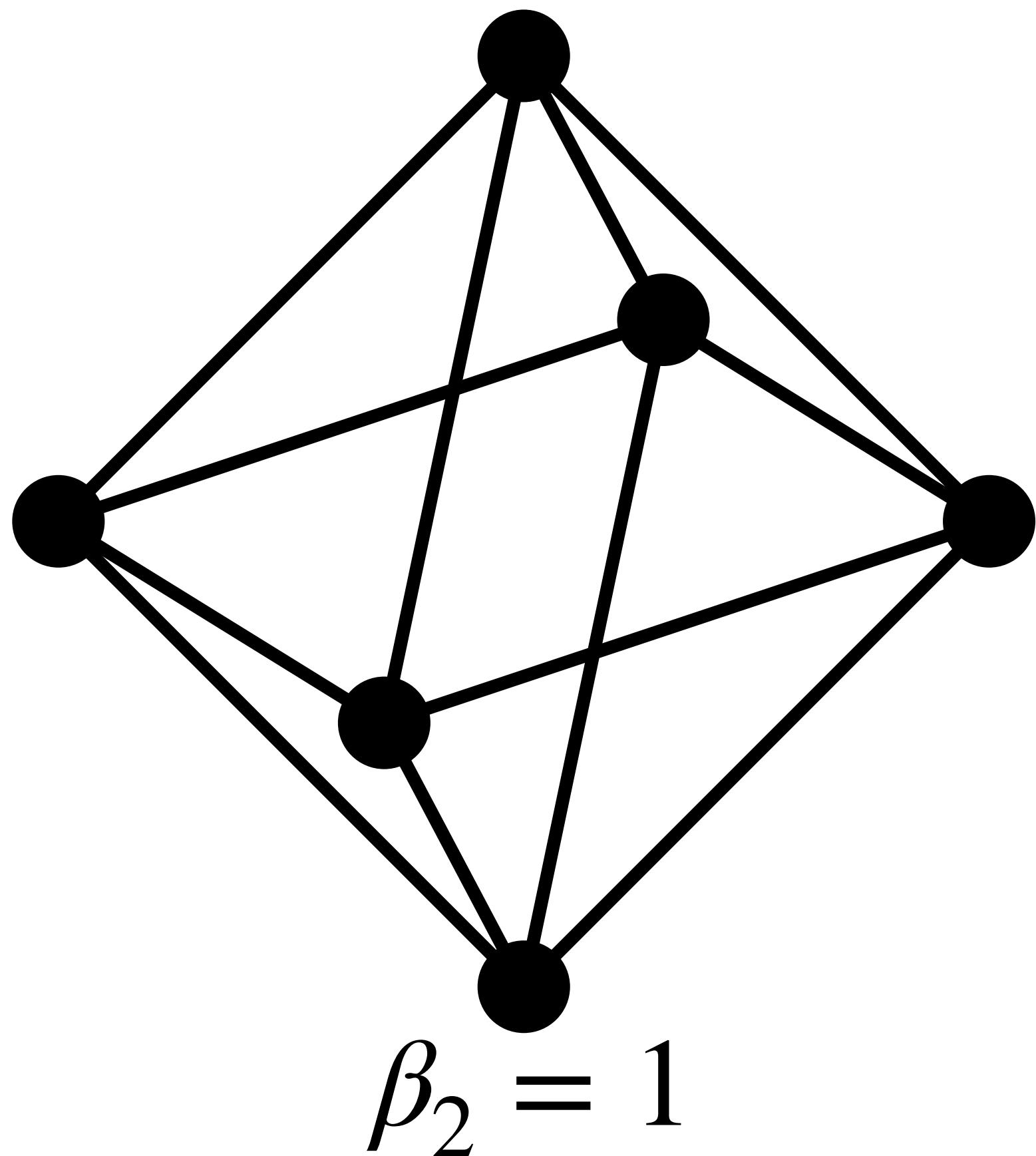


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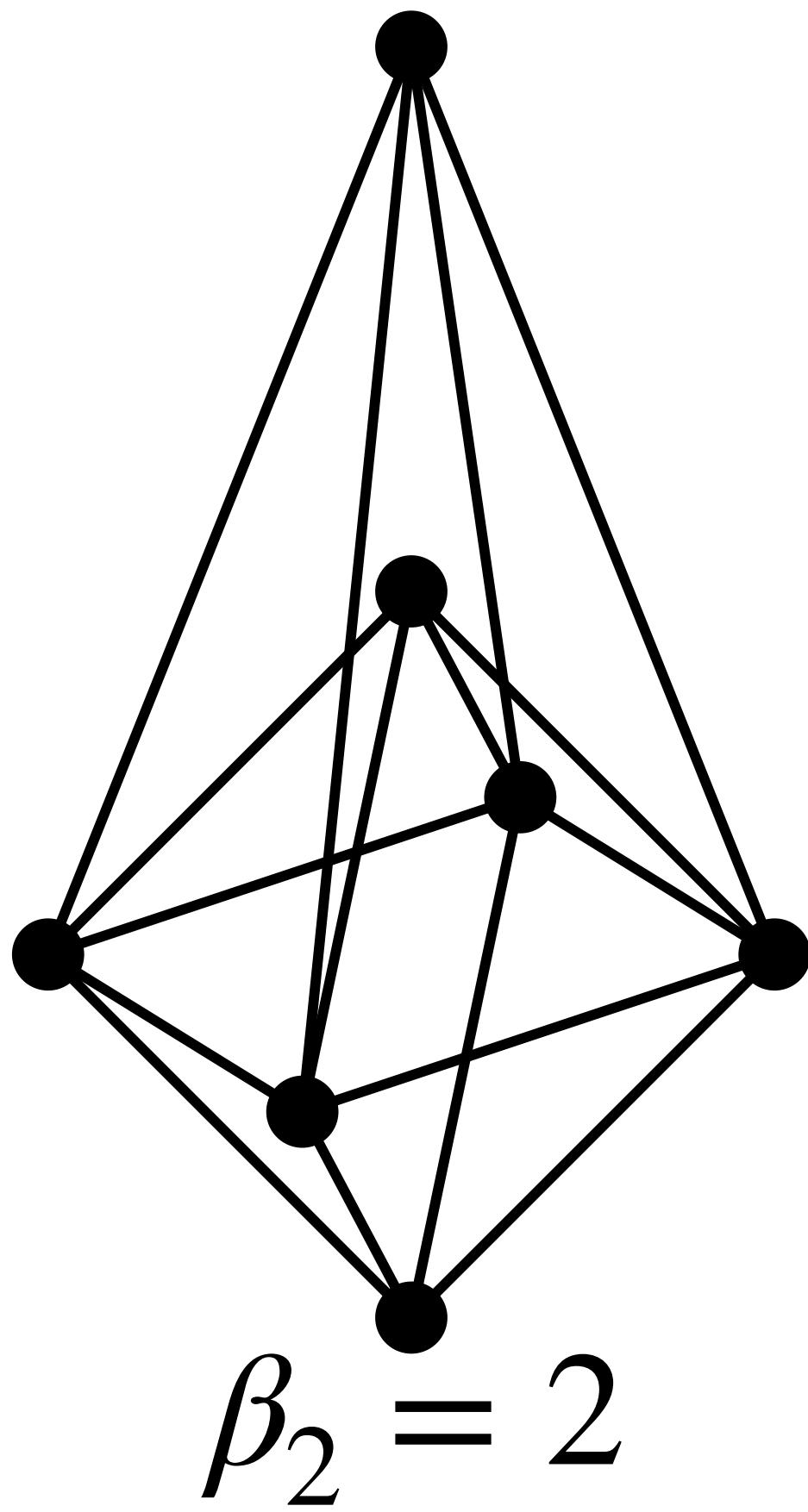
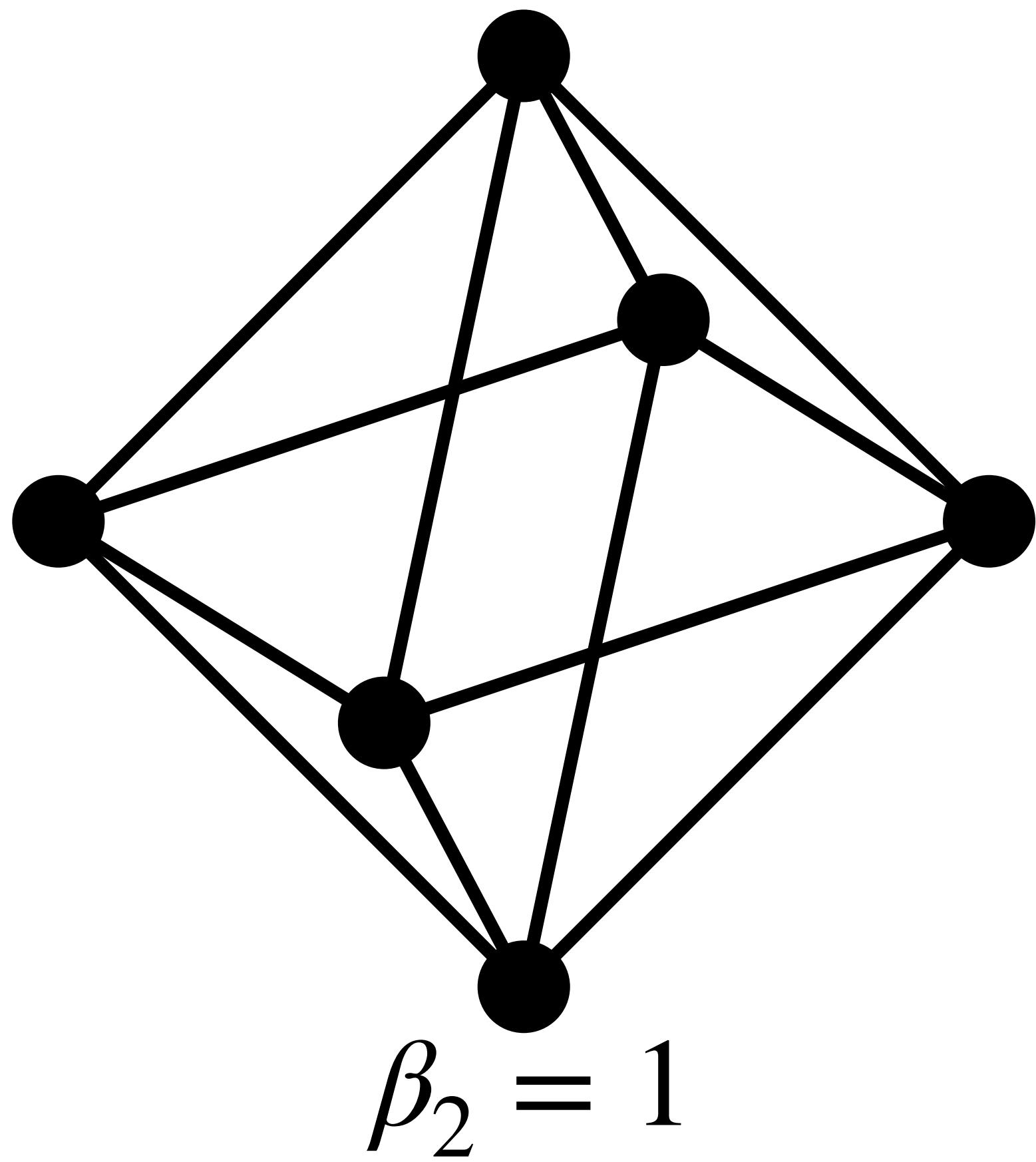
**Theorem:**  $E[\beta_2] \approx \text{num of nodes}^{1-4x}$

**Proof?**

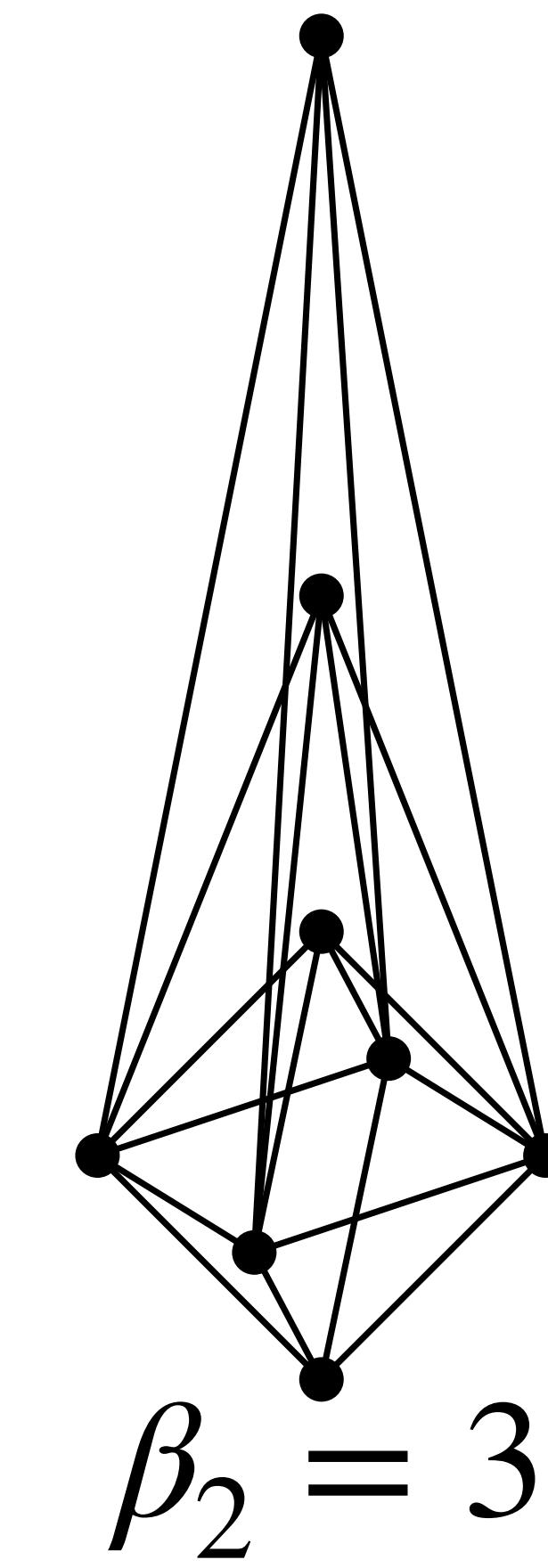
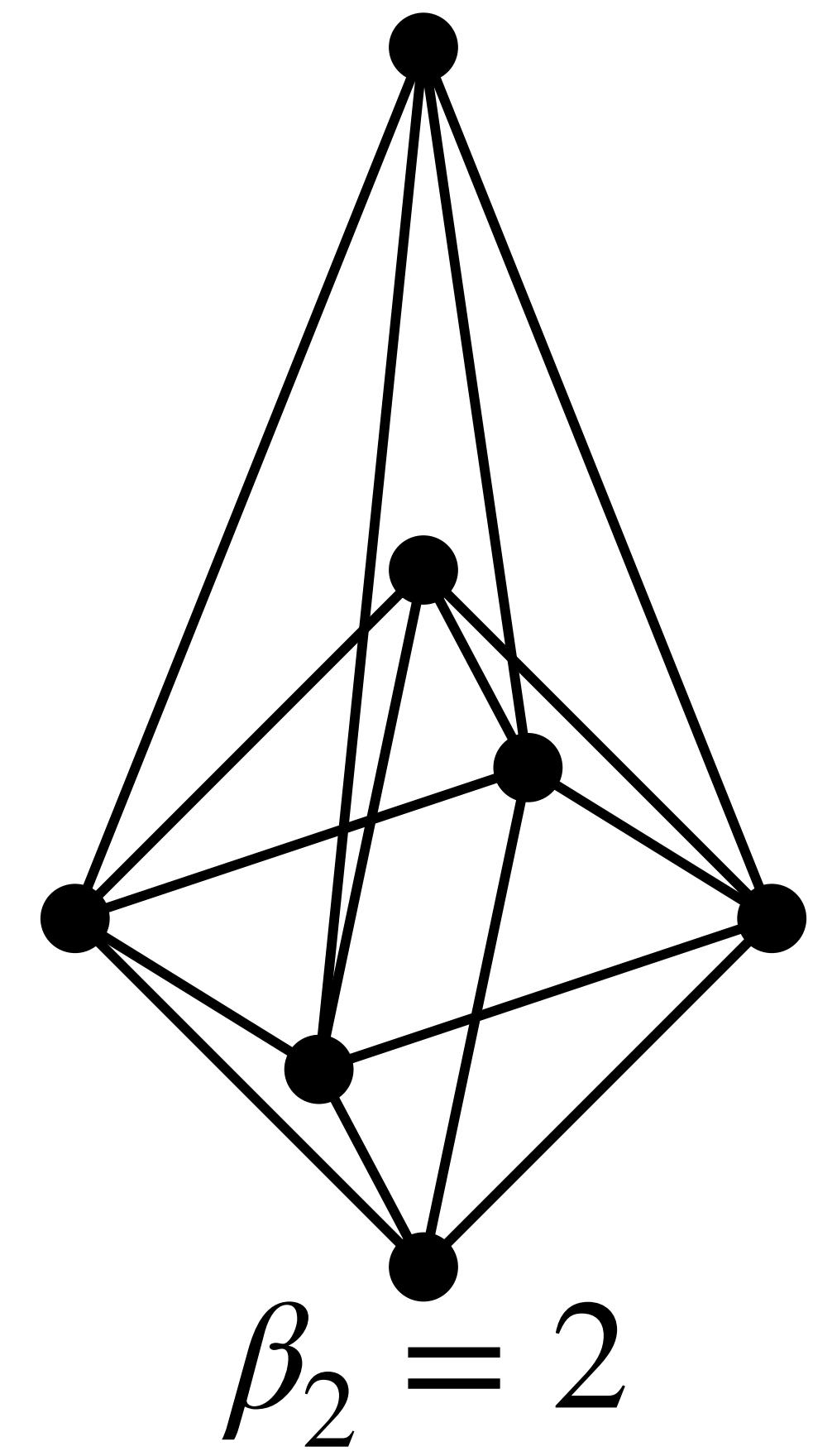
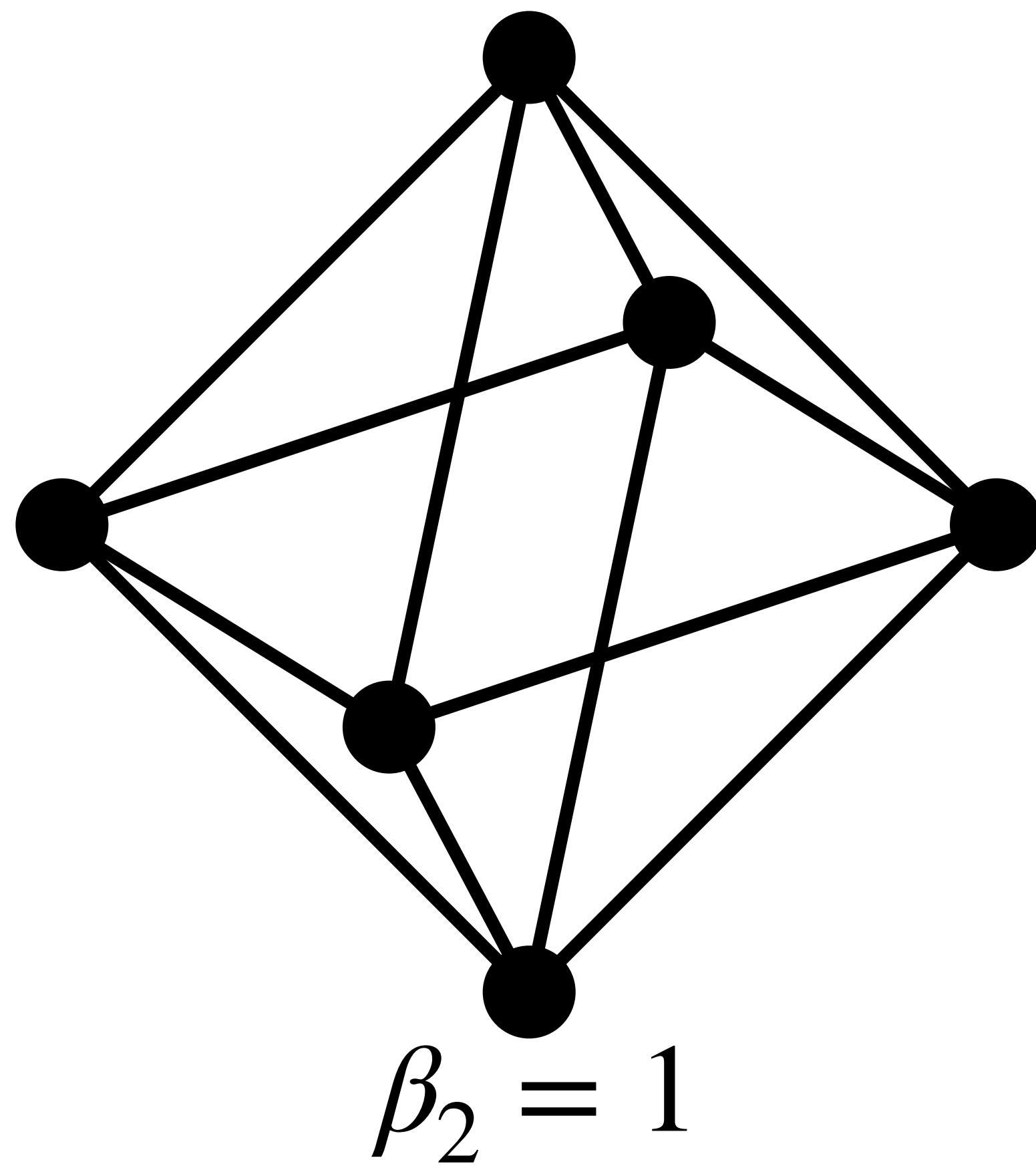
**Proof of  $E[\beta_2] \approx \text{num of nodes}^{1-4x}$**



# Proof of $E[\beta_2] \approx \text{num of nodes}^{1-4x}$



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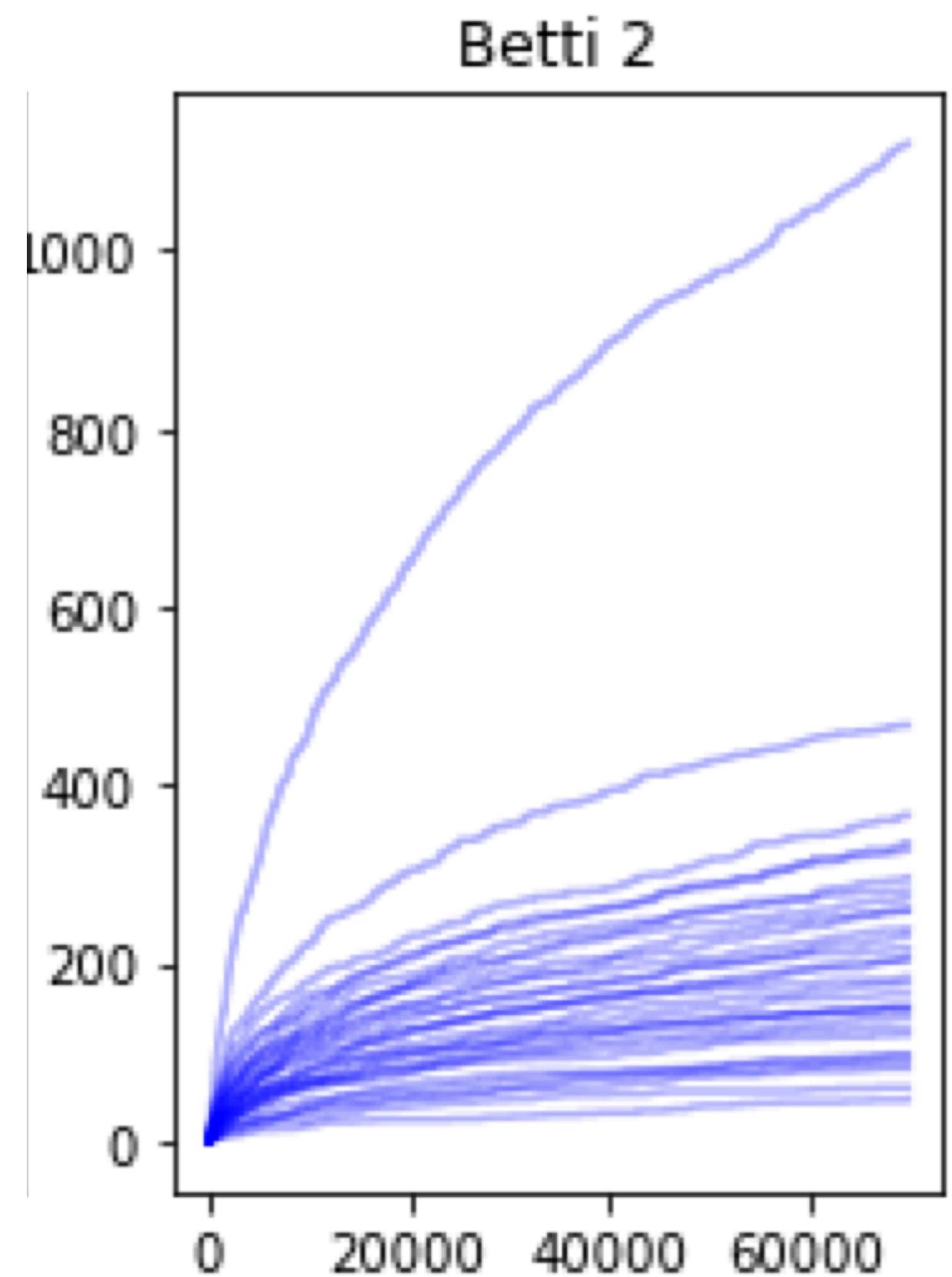
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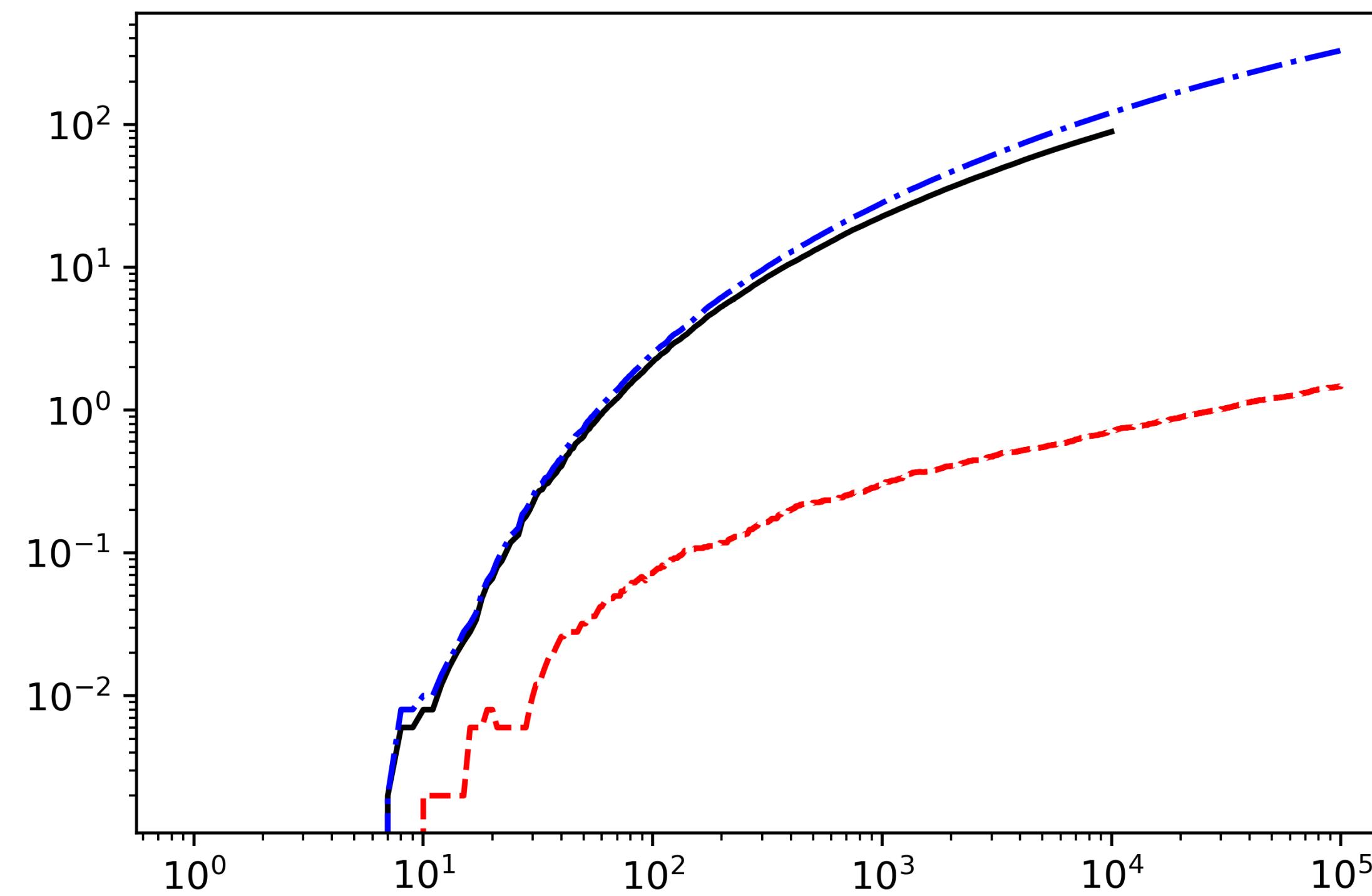
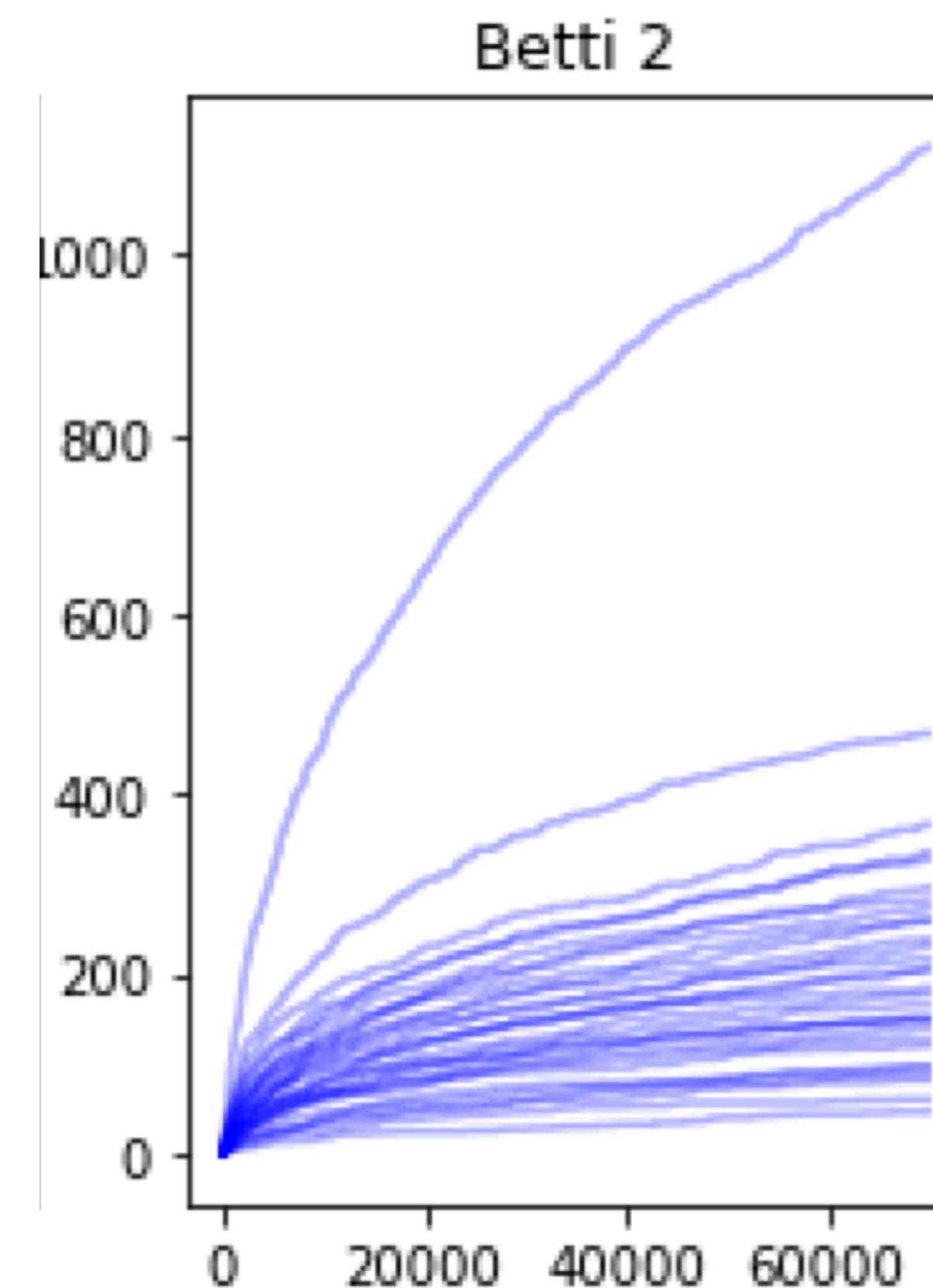
- Need homological algebra to relate Betti numbers with counts
- Identify the “square count” as the main term with minimal cycle results in [Gal 2005] and [Kahle 2009]
- Generalize minimal cycle results in the language of homological algebra
- Apply graph counting result in [Garavaglia and Stegehuis 2019] on a large class of subgraphs

**Theorem:**  $E[\beta_2] \approx \text{num of nodes}^{1-4x}$   
**In practice???**

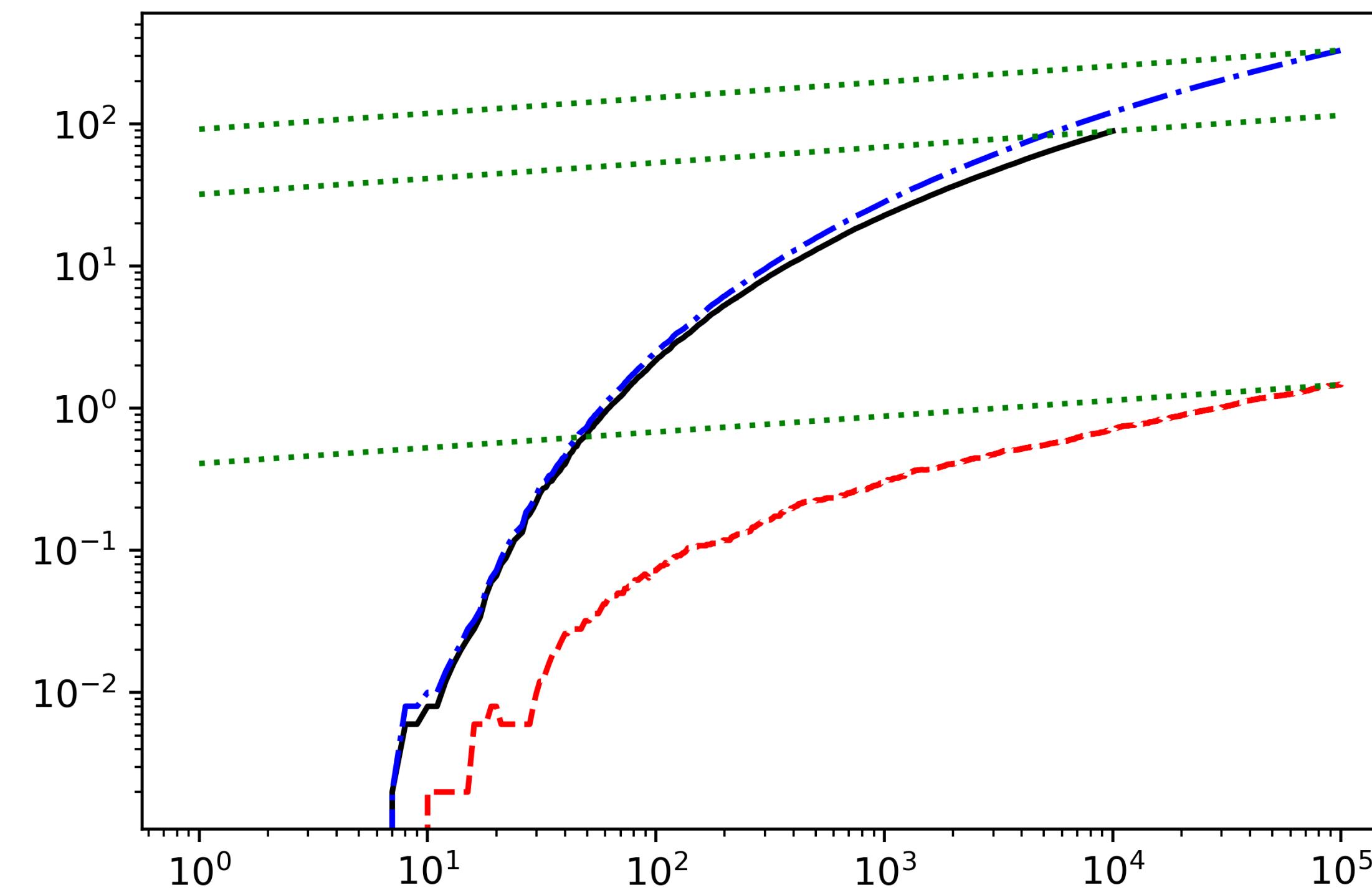
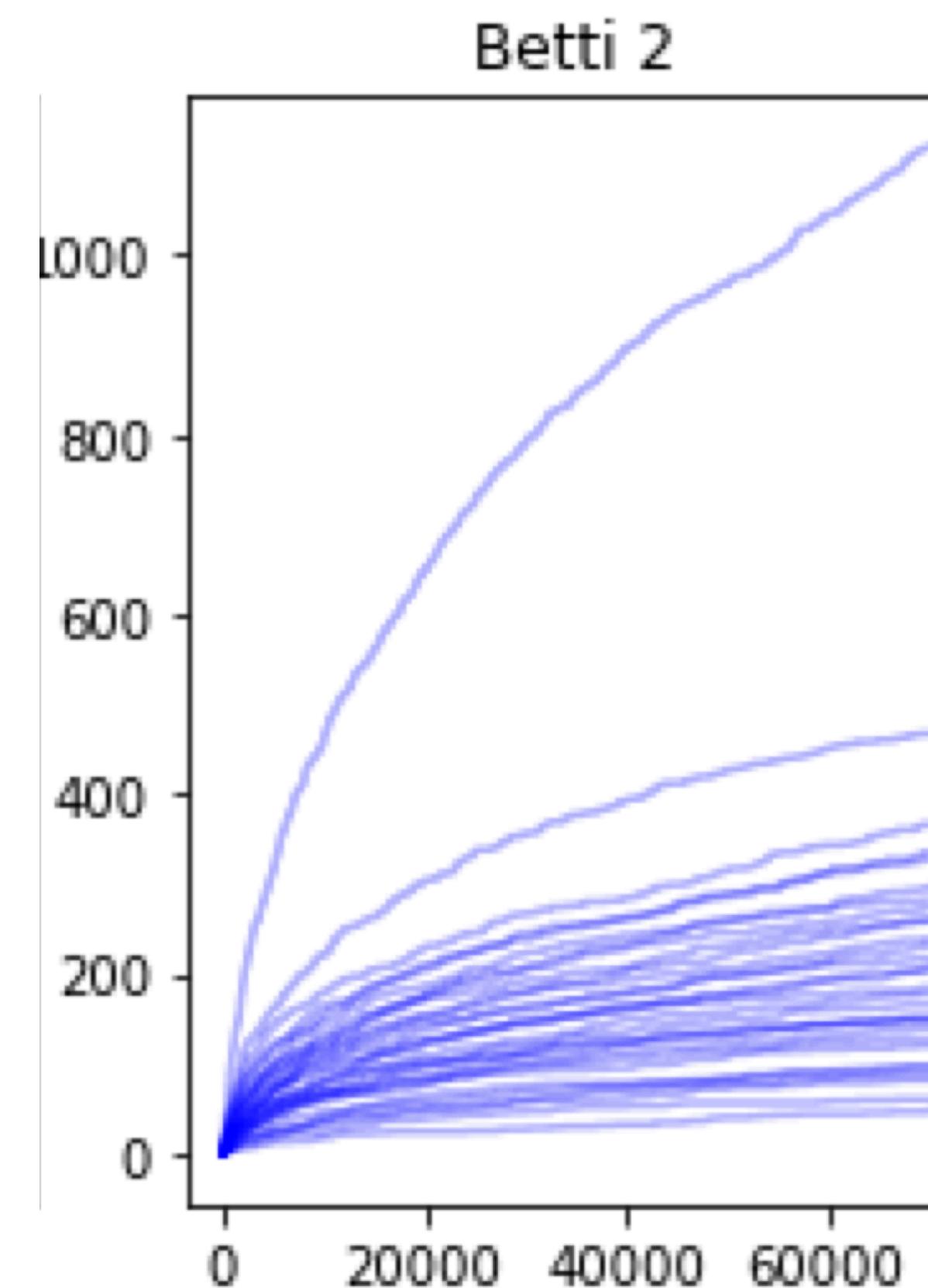
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# **IV. What lies ahead**

order of magnitude of  
expected Betti numbers

homotopy connectedness  
of the infinite complex?

order of magnitude of  
expected Betti numbers

homotopy connectedness  
of the infinite complex?

order of magnitude of  
expected Betti numbers

parameter estimation?

homotopy connectedness  
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order of magnitude of  
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parameter estimation?

simplicial preferential  
attachment?

homotopy connectedness  
of the infinite complex?

order of magnitude of  
expected Betti numbers

parameter estimation?

simplicial preferential  
attachment?

other non-homogeneous  
complexes?

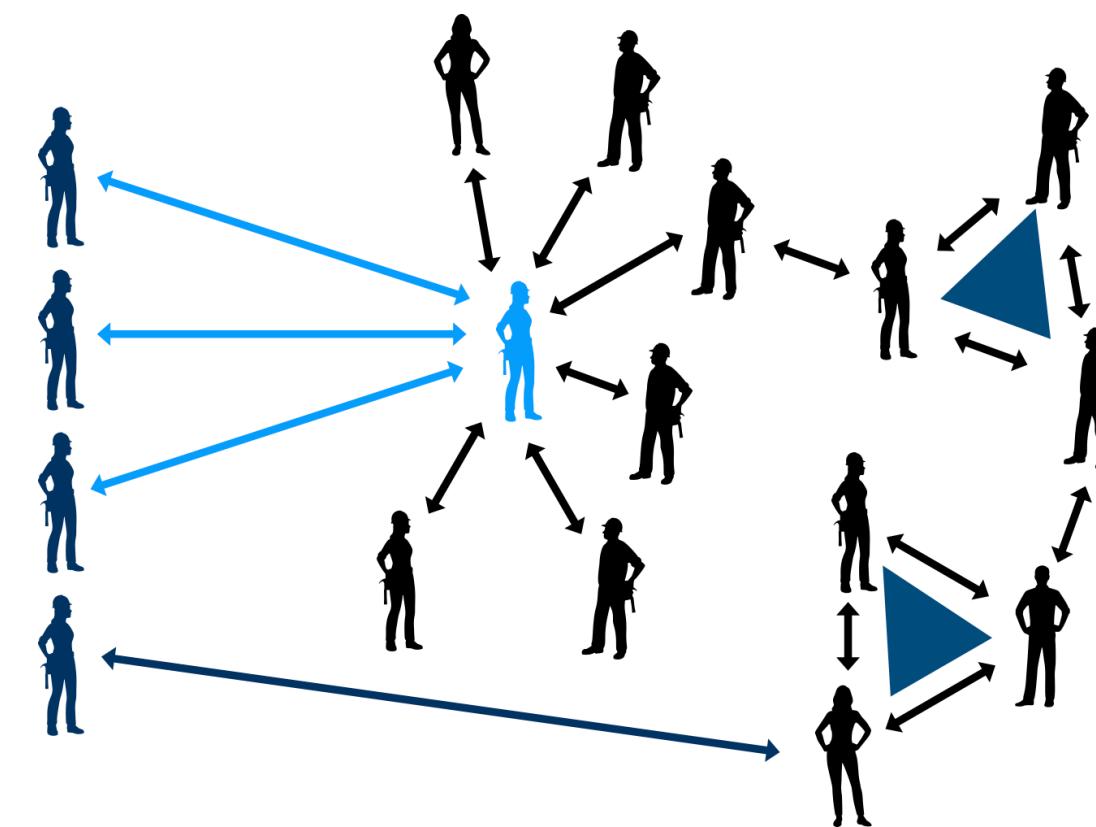
# What did we learn today?

- Random topology is cool.
- Preferential attachment graph has interesting topology.
- More interesting things are waiting to be discovered.

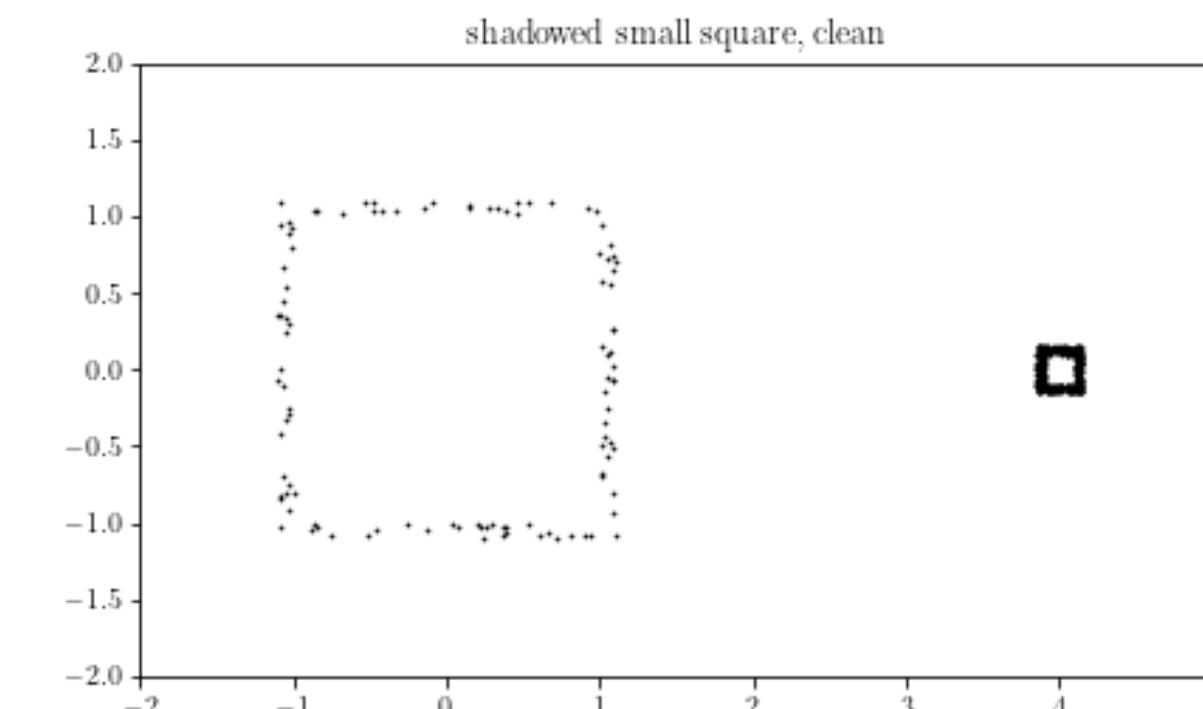
**Chunyin Siu**

**cs2323@cornell.edu**

**Cornell University**



arxiv paper



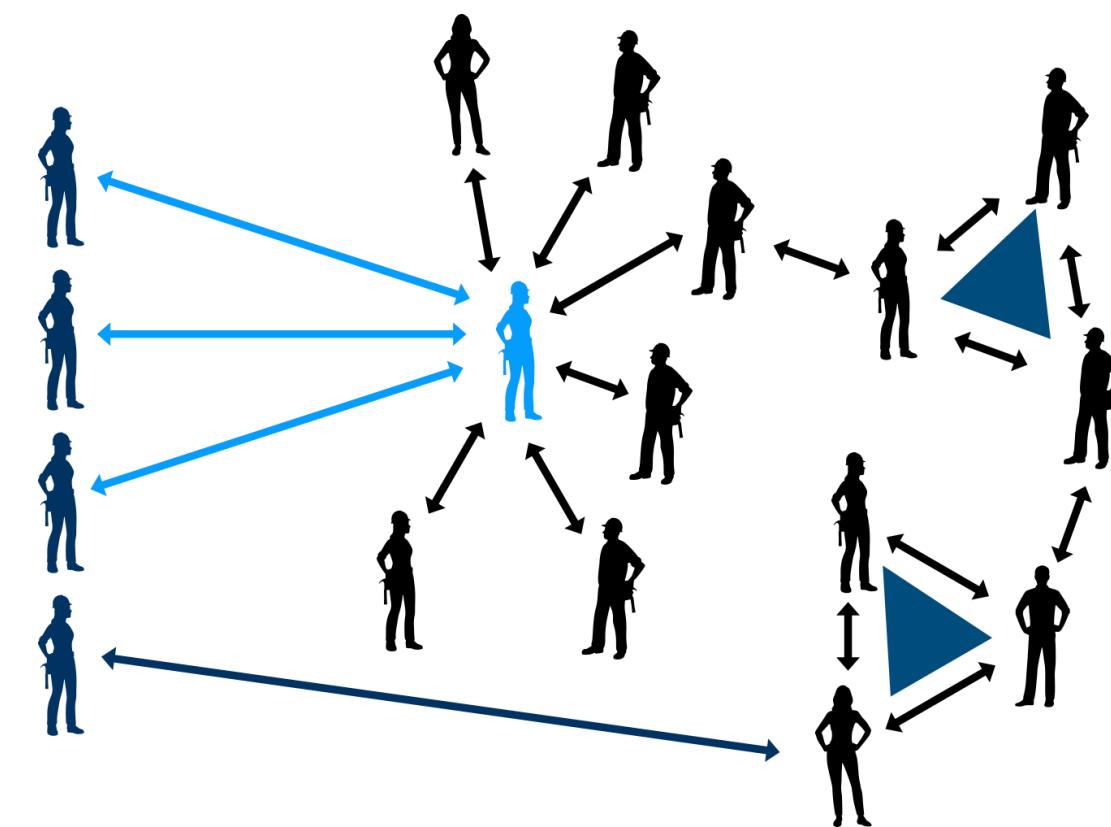
my video about small holes

# Gracias!

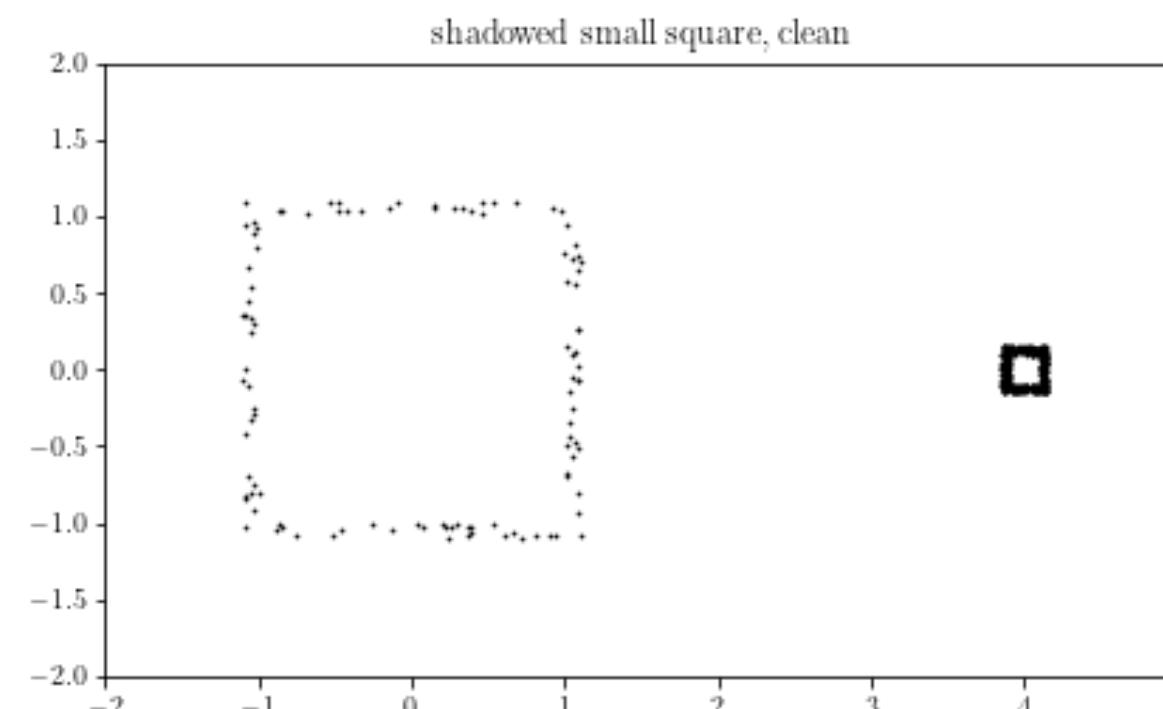
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