

# Assignment 4: A *Multi-Threaded* HTTP Server

## CSE 130: Principles of Computer Systems Design

Due: December 8, 2024 at 11:30 PM

**Goals** This assignment provides you with experience managing concurrency through synchronization. Nearly all modern systems are faced with managing concurrency to increase their hardware utilization. So, this project is a great way to have some *real-world* experience! We encourage you to consider the *design* of your server before you start building the code—design is extra important when dealing with multiple threads.

**Overview** This project will combine Assignments 2 and 3 to build a *multi-threaded* HTTP server. Namely, your server will add a **thread-safe** queue and some reader-writer locks (Asgn 3) to an **HTTP server** (Asgn 2) so that the server can serve multiple clients simultaneously. *Think: what performance metric does this form of multi-threading improve, latency or throughput?*

While your server should process multiple clients simultaneously, it must ensure that its responses conform to a coherent and atomic **linearization** of the client requests. In effect, this means that an outside observer could not differentiate the behavior of your server from a server that uses only a single thread. Your server must create an **audit log** that identifies the linearization of your server (See below for more details).

## Assignment Details

Your server must implement the functionality explained below subject to the limitations below.

### Functionality

Your new `httpserver` should take two command line arguments, `port`—the port to listen on (this is the same as Assignment 2)—and `threads`—the number of worker threads to use. The `port` argument is required and the `threads` argument is optional (defaulting to 4). We recommend that you use `getopt` to parse the command-line arguments.

```
./httpserver [-t threads] <port>
```

Like in Assignment 2, your server will create, listen, and accept connections on a *socket* that is listening on a *port*. However, it will also use `threads` worker threads to support up to `threads` multiple clients at the same time (as in Assignment 2, each client will send only one request in each connection) and will output an *audit log* to `stderr`.

### Audit Log

Your server should produce an audit log that indicates the order in which it processed requests; your server should output the audit log to `stderr`. When your server processes each request, it should add an entry to the log with the following format:

```
<Op>, <URI>, <Status-Code>, <RequestID header value>\n
```

The comma-separated single line of text starts with the type of operation that was performed, i.e., `PUT` or `GET`. Then, the line includes the URI (With the same limitations as in Assignment 2, i.e., the format `/%63[A-Za-z.-]s`). The line should then include the status code that your server intended to produce in the response to the request (for example, if your server intended to send a `200 Status-Code`, but the client closed before reading the entire message, the audit log entry should still contain a `200 Status-Code`). Finally, the

line should include the value of an optional HTTP header, called `RequestID`, that a client will specify (or the keyword 0 if the `RequestID` header was not found in the request associated with the line).

Log entries should be **Atomic** (i.e., there should not be any partial, overwritten, or otherwise corrupted lines in your log). The log should be durable: your server should ensure that the log entries for each request processed by your server are resident in the log. If you are doing something extra ambitious (e.g., adding your own buffering for your log), then here are the durability semantics: your server must ensure that log entries are resident in the log after we send your server a `SIGTERM` signal (you can add a signal handler to add code that runs when a signal arrives to your server). Otherwise, *we encourage you to use `fprintf` to produce audit-log entries; it provides the durability and atomicity requirements that you need.*

## Ordering Requirements

Your server should produce responses that are coherent and atomic with respect to the ordering specified in your audit log. That is, if an entry for a request  $R_1$ , is earlier than an entry for a request,  $R_2$ , in the log, then the server's response to  $R_2$  must be as though the processing for  $R_1$  occurred in its entirety before any of the processing for  $R_2$ . We call this ordering a **linearization** because it creates a single linear ordering of all client requests. We say that this ordering is a **total order** because it provides an ordering for all elements (i.e., for any two unique requests,  $R_1$  or  $R_2$ , your audit log will identify that either  $R_1$  happens-before  $R_2$  or that  $R_2$  happens-before  $R_1$ ).

Your server's linearization must conform to the order of requests that it received in the following way: if two requests,  $R_1$  and  $R_2$ , arrive such that the start time of  $R_2$  is after the end time of  $R_1$ , then your audit log should indicate the line for  $R_2$  after the log line for  $R_1$ . However, if  $R_1$  and  $R_2$  overlap (i.e., the start time of  $R_1$  is before the start time of  $R_2$  and the start time of  $R_2$  is before the end time of  $R_1$ ), then your server's audit log could produce audit log entries in either order (i.e.,  $R_1$  could be before  $R_2$  or  $R_2$  could be before  $R_1$ ).

## Efficiency

Your server should be *as efficient as possible* while still providing the ordering requirement. In other words, your server should only block request processing if either (1) there are already **thread** active clients or (2) blocking request processing is necessary to ensure the coherency/atomicity of your server's linearization of requests. This means that your server must concurrently process requests when it is safe to do so. It cannot simply spawn **threads** on demand, but only perform work in a single thread.

## Multi-threading

Your server should use a *thread-pool* design, a popular concurrent programming construct for implementing servers. A thread pool has two types of threads, *worker threads*, which process requests, and a *dispatcher thread*, which listens for connections and dispatches them to the workers. It would probably make sense to dispatch requests by having the dispatcher push them into a threadsafe queue (Assignment 3, see Resources below) and having worker threads pop elements from that queue.

**Worker Threads** Your server must create exactly **threads** worker threads (Note: this means that the server must have exactly **threads** + 1 threads). A worker thread should be idle (i.e., sleeping by waiting on a lock, conditional variable, or semaphore) if there are no requests to be processed. Each worker thread should perform HTTP processing for a request (Assignment 1, see Resources below). You will need to carefully implement synchronization to ensure that your server properly maintains a state that is shared across worker threads and to ensure coherency and atomicity.

**Dispatcher Thread** Your server should use a single dispatcher thread. A typical design uses the main thread (i.e., the function call `main`), as the dispatcher. The dispatcher should wait for connections from a client. Once a connection is initiated, the dispatcher should alert one of the worker threads and listen for a new client. If there are no idle worker threads, then the dispatcher should wait until a worker thread

finishes its current task. Your server will have to implement correct synchronization to ensure that the dispatcher thread and worker threads correctly “hand-off” client requests without dropping any client requests, corrupting any data, or crashing the server.

### Additional Functionality

In addition to supporting the methods listed above, your `httpserver` should not have any memory leaks. If you are feeling ambitious: the best way to ensure this is to write a signal handler that frees all memory. Otherwise, don’t worry, you can get all of your points as long as your server never uses more than 10 MBs of memory.

**You are not allowed to use the function, `flock` in this assignment.**

### Limitations

You must write `httpserver` using the ‘C’ programming language. Your program cannot use functions, like `system` or `execve`, that allow you to execute external programs. **If your submission does not meet these minimum requirements, then the maximum score that you can get is 5%.**

### Examples

We include a number of examples to illustrate the intended functionality of `httpserver`.

#### Example 1

This example focuses on the audit log format. Suppose that the server receives the following requests, one after the other, and that `a.txt` exists initially, but `b.txt` does not:

```
GET /a.txt HTTP/1.1\r\nRequest-Id: 1\r\n\r\n
GET /b.txt HTTP/1.1\r\nRequest-Id: 2\r\n\r\n
PUT /b.txt HTTP/1.1\r\nRequest-Id: 3\r\nContent-Length: 3\r\n\r\nbye
GET /b.txt HTTP/1.1\r\n\r\n
```

the server should produce the audit log:

```
GET,/a.txt,200,1
GET,/b.txt,404,2
PUT,/b.txt,201,3
GET,/b.txt,200,0
```

#### Example 2

This example focuses on times when your server should operate totally concurrently—i.e., when it should allow process multiple requests at the same time.

Suppose that we start your server with two threads in a directory containing the files `a.txt`, `b.txt`, and `c.txt`. Your server should create two worker threads and one dispatcher thread<sup>1</sup>. The worker threads should wait for a message from the dispatcher, while the dispatcher thread should wait for a connection on its listen socket. Then, suppose that the following three requests arrive at your server *concurrently* (i.e., at the same time):

```
GET /a.txt HTTP/1.1\r\nRequest-Id: 1\r\n\r\n
GET /b.txt HTTP/1.1\r\nRequest-Id: 2\r\n\r\n
GET /c.txt HTTP/1.1\r\nRequest-Id: 3\r\n\r\n
```

---

<sup>1</sup>one of these should be the *main thread*, the thread that called `main()`

The dispatcher thread should wake up one of the worker threads to handle one of the requests and wake up another worker thread to handle a second of the requests. The dispatcher should track that it has seen, but not processed, the third request <sup>2</sup>. Request processing should synchronize *as little as possible*—in this case, since the requests *do not* access the same URI, the processing should not need to synchronize at all! As soon as either worker finishes processing their request, that worker should begin processing the third request. The other thread should wait to be alerted by the dispatcher that there are more requests. Because the requests are operated concurrently, your server can produce any of the following six audit logs:

GET,/a.txt,200,1 GET,/b.txt,200,2 GET,/c.txt,200,3	GET,/a.txt,200,1 GET,/c.txt,200,3 GET,/b.txt,200,2	GET,/b.txt,200,2 GET,/a.txt,200,1 GET,/c.txt,200,3
GET,/b.txt,200,2 GET,/c.txt,200,3 GET,/a.txt,200,1	GET,/c.txt,200,3 GET,/a.txt,200,1 GET,/b.txt,200,2	GET,/c.txt,200,3 GET,/b.txt,200,2 GET,/a.txt,200,1

After all of this processing, the server should be in the steady state of having (1) the workers waiting for alerts from the dispatcher and (2) the dispatcher thread waiting for a connection (i.e., calling `listener_accept()` on the listen socket).

### Example 3

This example identifies scenarios when your server's request processing should synchronize.

Suppose that we start your server with two threads in a directory that does not contain the file, `a.txt`. Your server should create two worker threads and one dispatcher thread. The worker threads should wait for a message from the dispatcher, while the dispatcher thread should wait for a connection on its listen socket. Suppose that the following two requests arrive at your server *concurrently*:

```
GET /a.txt HTTP/1.1\r\nRequest-Id: 1\r\n\r\n
PUT /a.txt HTTP/1.1\r\nRequest-Id: 2\r\nContent-Length: 3\r\n\r\nbye
```

Your server will need to produce an audit log and responses to requests that are consistent with each other. Namely, there are *only two* possible combinations of audit log and response:

#### Option 1.

Audit Log	Request	Response
GET,/goodbye.txt,404,1	1   HTTP/1.1 404 Not Found\r\nContent-Length: 10\r\n\r\nNot Found\r\n	
PUT,/goodbye.txt,201,2	2   HTTP/1.1 201 Created\r\nContent-Length: 8\r\n\r\nCreated\r\n	

#### Option 2.

Audit Log	Request	Response
PUT,/goodbye.txt,201,2	2   HTTP/1.1 201 Created\r\nContent-Length: 8\r\n\r\nCreated\r\n	
GET,/goodbye.txt,200,1	1   HTTP/1.1 200 OK\r\nContent-Length: 3\r\n\r\nOK\r\n	

After all of this processing, the server should be back in the steady state of having (1) the workers waiting for requests to arrive and (2) the dispatcher thread waiting for a connection (i.e., calling `accept()` on the listen socket).

## Rubric

We will use the following rubric for this assignment:

<sup>2</sup>We suggest (but do not require) that you track unprocessed requests in the queue from assignment 3

Category	Point Value
Makefile	10
Clang-Format	5
Files	5
Functionality	80
Total	100

**Makefile** Your repository includes a Makefile with the rules `all` and `httpserver`, which produce the `httpserver` binary, and the rule `clean`, which removes all `.o` and binary files. Additionally, your Makefile should use clang (i.e., it should set `CC=clang`), and should use the `-Wall`, `-Wextra`, `-Werror`, and `-pedantic` flags (i.e., it should set `CFLAGS=-Wall -Wextra -Werror -pedantic`).

**Clang-Format** All `.c` and `.h` files in your repository are formatted in accordance with the `.clang-format` file included in your repository.

**Files** The following files are included in your repository: `httpserver.c`, `Makefile`, and `README.md`. Your repository should not include binary files nor any object files (i.e., `.o` files), except for the file named `asn4_helper_funcs.a` (see Resources below). To make it easier for you to maintain tests, you can also include binary files in any directory whose name starts with the phrase `test`.

**Functionality** Your `httpserver` program performs the functionality described in Assignment Details.

## How to submit

1. Navigate to the `asn4` directory in your repo.
2. Configure your `config.json` file (see Canvas or the README in the resources repo for more information).
3. `python3 -m autograder.run.submit [file1] [file2] ...`

## Resources

Here are some resources to help you:

## Synchronization

Your server must use the POSIX threads library (`pthread`s) to implement multithreading. The `pthread` library is MASSIVE, but you'll only need a few things for this assignment. In particular, you might find the following groups of functions to be useful (you probably won't use them all, though):

- `pthread_create`: create a thread
- `pthread_mutex_init`, `pthread_mutex_lock`, and `pthread_mutex_unlock`: the `pthread` mutex implementation
- `sem_init`, `sem_wait`, and `sem_post`: the `pthread` semaphore implementation
- `pthread_cond_init`, `pthread_cond_signal`, and `pthread_cond_wait`: the `pthread` condition variable implementation

You are allowed to use the synchronization primitives that you wrote in assignment 3 (or, the ones that we provide for you). But, **you are not allowed to use the function `flock` in this assignment.**

## Testing

We provided you with three resources to test your own code:

1. An autograder, which is run each time you push code to GitLab, will show you the points that you will receive for your Makefile, Clang-Format, and Files.
2. A set of test scripts in the resources repository to check your functionality. You can use the tests to see if your functionality is correct by running them on your Ubuntu 22.04 virtual machine. We provided you with a subset of the tests that we will run, but, I bet you can figure the other ones out by adapting what we have given you :-)
3. Testing a concurrent server is difficult. So, we provided you with several powerful test scripts to help you test your code. We named this collection of tools “**olivertwist** and his Merrie Men”; the resources directory will contain a few examples of using them:
  - (a) **olivertwist** takes a sequence of **request commands** from a TOML file, passes these requests to a server, records the order in which it sent requests, and records the output of the requests. The TOML file allows you to specify very specific (and interesting) interleavings of requests from clients.
  - (b) **sherlock** takes an audit log and request order from **oliver** and determines if the audit log is possible w.r.t the request order that was sent (in particular, it determines if the audit log is a total ordering of what was sent).
  - (c) **watson** identifies if the audit log and responses are consistent with each other.