

LING 572 Hw1  
Due: 11pm on Jan 16, 2019

**Q1 (25 points):** Let  $X$  and  $Y$  be two random variables. The values for  $P(X,Y)$  are shown in Table 1,  $H(X)$  is the entropy of  $X$ , and  $MI(X,Y)$  is the mutual information of  $X$  and  $Y$ . Please write down the formulas and the results for the following.

- (a) 1 pt:  $P(X)$
- (b) 1 pt:  $P(Y)$
- (c) 2 pt:  $P(X | Y)$
- (d) 2 pt:  $P(Y | X)$
- (e) 2 pts: Are  $X$  and  $Y$  independent? Why or why not?
- (f) 2 pts:  $H(X)$
- (g) 2 pts:  $H(Y)$
- (h) 2 pts:  $H(X, Y)$
- (i) 2 pts:  $H(X | Y)$
- (j) 2 pts:  $H(Y | X)$
- (k) 2 pts:  $MI(X, Y)$
- (l) 5 pts: The value for  $Q(X, Y)$  are shown in Table 2. What is the value for  $KL(P(X, Y) || Q(X, Y))$ ? What is the value for  $KL(Q(X, Y) || P(X, Y))$ ? Are they the same?

Table 1: The joint probability  $P(X, Y)$

	X=1	X=2	X=3
Y=a	0.10	0.20	0.30
Y=b	0.05	0.15	0.20

Table 2: The joint probability  $Q(X, Y)$

	X=1	X=2	X=3
Y=a	0.10	0.20	0.40
Y=b	0.01	0.09	0.20

**Q2 (10 points):** Let  $X$  be a random variable for the result of tossing a coin.  $P(X = h) = p$ ; that is,  $p$  is the possibility of getting a head, and  $1 - p$  is the possibility of getting a tail.

- (a) 1 pt:  $H(X)$  is the entropy of  $X$ . Write down the formula for  $H(X)$ .

- (b) **2 pts:** Let  $p^* = \arg \max_p H(X)$ ; that is,  $p^*$  is the  $p$  that results in the maximal value of  $H(X)$ . What is  $p^*$ ?
- (c) **7 pts:** Prove that the answer you give in (b) is correct. Hint: recall how you calculate the optimal solution for a function  $f(x)$  in your calculus class. In this case,  $H(X)$  is a function of  $p$ .

**Q3 (25 points):** Permutations and combinations:

- (a) **6 pts:** The class has  $n$  students, and  $n$  is an even number. The students are forming teams to work on their homework. Each team has exactly 2 students and each student has to appear in exactly one team. How many distinct ways are there to form the teams for the class? Write down the formula. Hint: when  $n=4$ , there are 3 ways. For instance, if student #1 and #2 are in the same team, students #3 and #4 would have to be in the same team too.
- (b) **5 pts:** There are 10 balls: 5 are red, 3 are blue, and 2 are white. Suppose you put the balls in a line, how many different color sequences are there?
- (c) **14 pts:** Suppose you want to create a document of length  $N$  by using only the words in a vocabulary  $\Sigma = \{w_1, w_2, \dots, w_n\}$ . Let  $[t_1, t_2, \dots, t_n]$  be a list of non-negative integers such that  $\sum_i t_i = N$ .
- (c1) **7 points:** How many different documents are there which satisfy the condition that, for each  $w_i$  in the vocabulary  $\Sigma$ , the occurrence of the word  $w_i$  in the document is exactly  $t_i$ ? That is, how many different word sequences are there which contain exactly  $t_i$   $w_i$ 's for each  $w_i$  in  $\Sigma$ ?

Hint: The answer to (c1) is very similar to the answer to (b).

- (c2) **7 pts:** Let  $P(X)$  be a unigram model on the vocabulary  $\Sigma$ ; that is,  $P(X = w_i)$  is the probability of a word  $w_i$ , and  $\sum_{w_i \in \Sigma} P(X = w_i) = 1$ .

Suppose a document of length  $N$  is created with the following procedure: for each position in a document, you pick a word from the vocabulary according to  $P(X)$ ; that is, the probability of picking  $w_i$  is  $P(X = w_i)$ . What is the probability that you will end up with a document where the occurrence of the word  $w_i$  (for each  $w_i \in \Sigma$ ) in the document is exactly  $t_i$ ?

Hint: As (c1) shows, there will be many documents that contain exactly  $t_i$   $w_i$ 's. The answer to (c2) should be the sum of the probabilities of all these documents.

**Q4 (10 points):** Suppose you want to build a trigram POS tagger. Let  $T$  be the size of the tagset and  $V$  be the size of the vocabulary.

- (a) **2 pts:** Write down the formula for calculating  $P(w_1, \dots, w_n, t_1, \dots, t_n)$ , where  $w_i$  is the  $i$ -th word in a sentence, and  $t_i$  is the POS tag for  $w_i$ .
- (b) **8 pts:** Suppose you will use an HMM package to implement a trigram POS tagger.
- What does each state in HMM correspond to? How many states are there?

- What probabilities in the formula for (a) do transition probability  $a_{ij}$  and emission probability  $b_{jk}$  correspond to?  $a_{i,j}$  is the transition probability from state  $s_i$  to  $s_j$ , and  $b_{jk}$  is the probability that State  $s_j$  emits symbol  $o_k$ .

**Q5 (10 points):** In a POS tagging task, let  $V$  be the size of the vocabulary (i.e., the number of words), and  $T$  be the size of the tagset. Suppose we want to build a classifier that predicts the tag of the current word by using the following features:

1. Previous word  $w_{-1}$
2. Current word  $w_0$
3. Next word  $w_{+1}$
4. Surrounding words  $w_{-1} w_{+1}$
5. Previous tag  $t_{-1}$
6. Previous two tags  $t_{-2} t_{-1}$

- (a) **3 pts:** How many unique features are there **in total**? You just need to give the answer in the Big-O notation (e.g.,  $O(V^3)$ ).
- (b) **2 pts:** A classifier predicts class label  $y$  given the input  $x$ . In this task, what is  $x$ ? what is  $y$ ?
- (c) **5 pts:** For the sentence **Mike/NN likes/VBP cats/NNS**, write down the feature vector for each word in the sentence. The feature vector has the format “InstanceName classLabel feat-Name1 val1 featName2 val2 ....”. For the instanceName, just use the current word.

**Q6 (10 points):** Suppose you want to build a language identifier (LangID) that determines the language code of a given document. The training data is a set of documents with the language code for each document specified. The test data is a set of documents, and your LangID needs to determine the language code of each document.

- (a) **7 pts:** How do you plan to build the LangID system? For instance, if you want to treat this as a classification problem, what would  $x$  (the input) be? what would  $y$  (the output) be? What would be good features? Name at least five types of features (e.g., one feature type is the word unigrams in the document).
- (b) **3 pts:** What factors (e.g., the amount of training data) could affect the system performance? Name at least three factors, excluding the amount of training data.

**Q7 (10 “free” points):** If you are not familiar with Mallet, please go over the Mallet slides at the course website<sup>1</sup>

Set up the package in your patas environment, run some experiments. We will use Mallet in later assignments. If you do not have a patas account, you should contact me right away.

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<sup>1</sup>.The third link at <https://canvas.uw.edu/courses/1257221/pages/slides-from-prior-ling570>

**Submission:** In your submission, include the following:

- `readme.(txt|pdf)` that includes your answers to Q1-Q6. No need to submit anything for Q7.
- Since this assignment does not require programming, there is no need to submit `hw.tar.gz`, and no need to run `check_hwX.sh` script.