

## Lecture 5: CPU Scheduling

# multiprogramming system CPU utilization max help

# multiprogramming 1 process ready queue to

• CPU scheduling is the basis for multi-programming OS

But at certain time processor 1 will single process execute. ready queue to process on the other side

select the processor execution

selection process CPU scheduling

# Continuous Cycle:

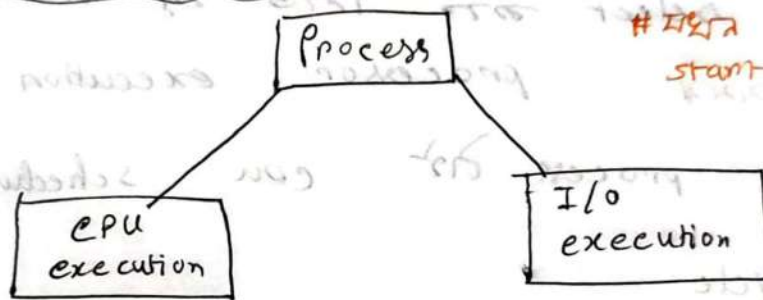
- One process has to wait (I/O)
- OS takes the CPU away
- Give CPU to another process
- This pattern continues

• CPU - I/O Burst cycle

process execution consists of a cycle of CPU execution & I/O wait

- The objective of multi programming is to have some process running at all times, to maximize CPU utilization.
- With multi programming, several processes are kept in memory at one time, when one process has to wait, the OS takes the CPU away from that process and gives the CPU to another process.

### • CPU - I/O Burst Cycle:



■ Almost all processes alternate between two states in a continuing cycle, as shown in figure below:

- A CPU burst of performing calculations, and
- A I/O burst of waiting for data transfer in or out of the system.

■ Process alternate between these two states

■ CPU burst distribution is of main concern

## CPU burst:

કાર્ય કરી process  
CPU નો સમય સ્પેન્ડ  
કરે.

## I/O burst:

કાર્ય કરી process I/O  
નો સમય સ્પેન્ડ કરે.

# Process starts and ends with CPU burst.

અંતે process load, store, ..., exit.

કાર્ય CPU થી, Terminate () code execute

કાર્ય CPU પર,

## Preemptive and Non Preemptive Scheduling.

### Non preemptive:

- Not forcefully
- Once CPU given to a process it can't be preempted until completes its CPU burst or finish its work.
- The process isn't interrupted until its life cycle is complete
- FCFS (First Come first Serve) preemptive.

load store  
add store  
read from file

} CPU burst

wait for I/O

} I/O burst

store increment

index

write

} CPU burst

wait for I/O

} I/O burst

load store

;

FCFS → Non preemptive

SJF → Preemptive (SRTF)

↘ Non preemptive

Priority → Preemptive

↘ Non preemptive

RR → Preemptive

## Preemptive - (Multi tasking)

It comes from the ability to remove a running process from CPU and allow another process to run in CPU.

- The process can be interrupted, even before the completion.

- Preempted process moves to ready queue.

- Preemptive scheduling is a CPU scheduling technique that works by dividing time slots of CPU to a given process.

The time slot given might be able to complete the whole process or might not be able to do it.

- Algorithms

- RR (Round Robin)



## CPU Scheduler :

- Selects the processes from ready queue and allocate CPU to them

- FIFO Queue
- Priority Queue
- Unordered linked list
- Tree

Who → short term scheduler  
Where → ready to run  
When → first 40 seconds

- CPU scheduling decisions may take place when a process :

✓ - When a process running to waiting state (I/O request)

- running → ready (Interrupt occurs)

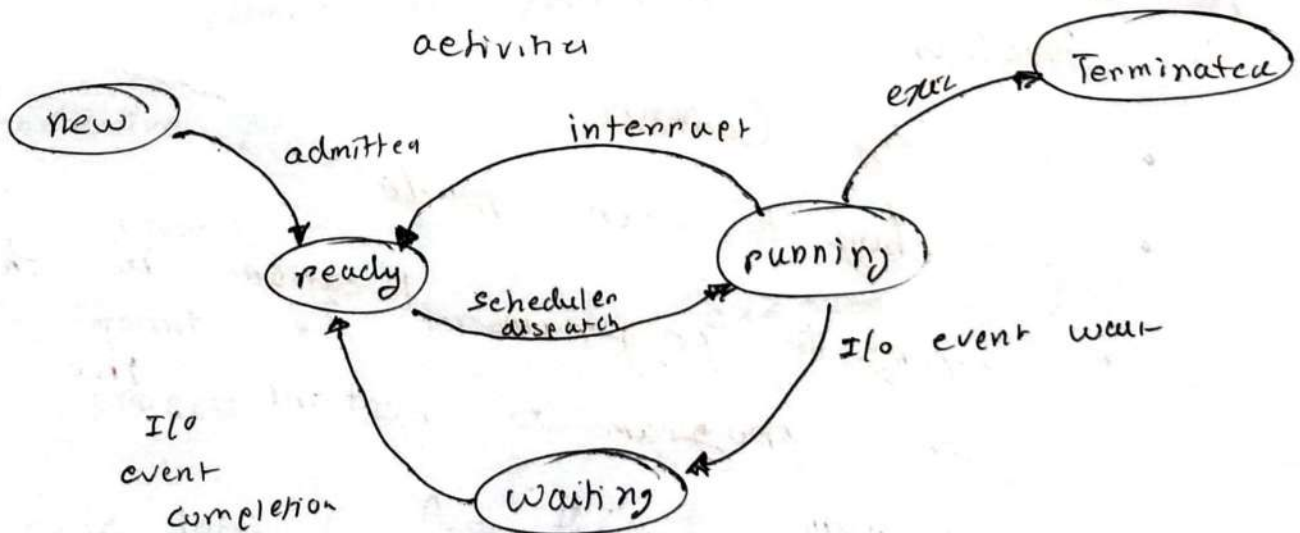
- Waiting → ready (after completion of I/O)

✓ - Terminator.

✓ star non preceptive.

- Preemptive approach,

- consider access to shared data
- " preemption while in kernel mode
- " interrupts occurring during crucial OS activities



## Dispatcher

• Ready state mein se process ko chun ke run karana.

# CPU scheduler se process ko select a process from ready queue; which will be executed next by the CPU.

↳ main mem se ready queue se process select karana.

# Dispatcher se kaam: Currently jo process jo CPU pe execute kar raha hai uska main memory se jo data chahiye hai CPU scheduler se process select karke jo process CPU pe chla raha hai.

- Gives control of CPU to the selected process which was selected by CPU scheduler. it involves

- Switching Context
- Switching to user mode
- Jumping to program location in the user program to restart that program.

- **Dispatch Latency**: Time it takes for the dispatcher to stop one process and start another running.

## Scheduling Criteria

ସଫଳ CPU scheduling କ୍ଷମା- ସବୁ ଡିସ୍ପାଚିଂ  
criteria ସଫଳ follow କ୍ଷମା must

- CPU Utilization
  - Throughput
  - Turnaround Time.
  - Waiting time.
  - Response time.
  - Fairness
- ସମସ୍ତ process କ୍ଷମା- ସବୁ systems  
wait କ୍ଷମା- & execute କ୍ଷମା.

■ **CPU Utilization**: Keeping the CPU as busy as possible

■ **Throughput**:

Number of processes that complete their  
execution per unit time.

■ **Turnaround time**:

• Amount of time to execute a particular process

• The interval from the time of submission of a process to the time of the completion

- Sum of the periods spent waiting to get into memory, waiting in the ready ~~are~~ queue, executing in the CPU, doing I/O

## Waiting Time.

- Amount of time a process has been waiting in the ready queue

## Response Time.

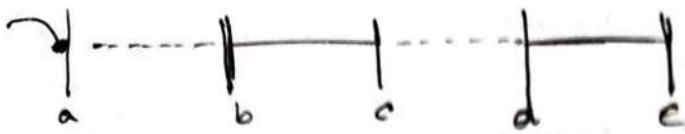
- amount of time it takes when a request was submitted until the first response is produced, not output (for time sharing environment)

## Fairness.

Give each process a fair amount of

CPU





$$\text{Waiting time} = (b - a) + (d - c)$$

$$\text{Response time} = (b - a)$$

$$\text{Turnaround time} = (e - b) + (e - d) = (e - a)$$

### Scheduling Algorithm Optimization Criteria

- Max CPU utilization.
- Max throughput.
- Min turnaround time
- Min waiting time
- Min response time

### Scheduling Algorithms

- FCFS Scheduling
- SJF Scheduling
  - ↳ SRTF
- Priority Scheduling
  - ↳ Non preemptive
  - ↳ preemptive
- Round Robin
- Multilevel Queue
- Multilevel Feedback Queue

## FCFS CPU Scheduling

⇒ FCFS ⇒ First come first serve

- The process that allocate the CPU first is allocated CPU first.
- Implementation is easily managed with a FIFO Queue.
- The FCFS scheduling algorithm is non-preemptive.
- Once the CPU has been allocated to a process that process keeps the CPU until it releases the CPU either by terminating or by requesting CPU.

BT = Burst time

AT = Arrival time.

CT = Completion time.

RS = Response time

WT = Waiting time

TA = Turn Around time

$WT = TA - BT = RS - AT$

$TA = CT - AT$

# যদি arrival time দেয়া থাকে  
তাহলে তা উত্তর দেয়া  
এবং অঙ্কন করা,

# আর যদি AT না

দেয়া থাকে তাহলে সবার

arrival time ০ ধরে-

এবং

# BT = number of CPU  
time that is requested  
by the process

☑

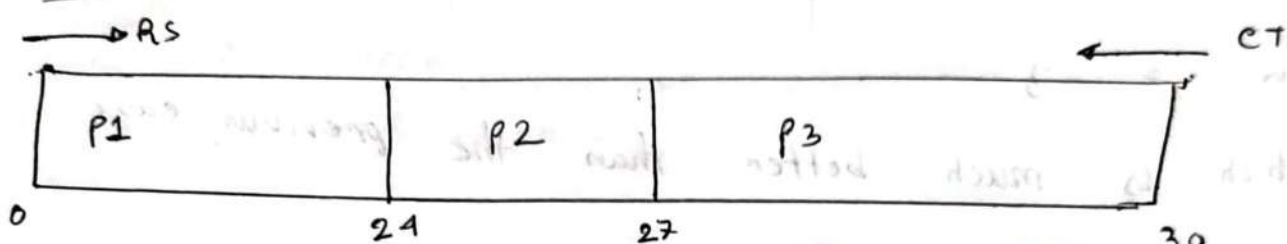
Process	BT
P1	24
P2	3
P3	3

# FCFD usually waiting time order  
24 27

⇒ We considered that the process arrive in the following

order: P1, P2, P3

Gantt Chart:



Waiting time of P1 =  $0 - 0 = 0$

" " " P2 =  $24 - 0 = 24$

" " " P3 =  $27 - 0 = 27$

∴ average waiting time =  $\frac{0 + 24 + 27}{3} = 17$

Turn around time;

∴ TA (P1) =  $24 - 0 = 24$

∴ TA (P2) =  $27 - 0 = 27$

∴ TA (P3) =  $30 - 0 = 30$

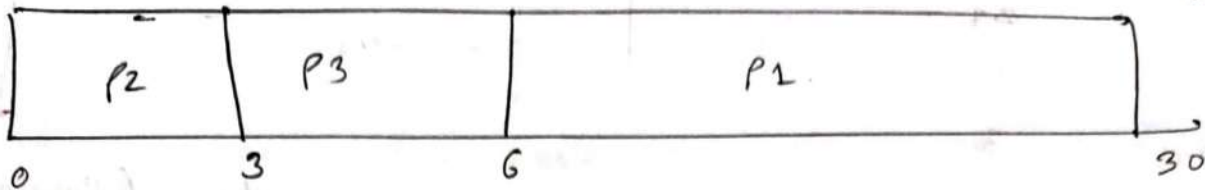
∴ average turn around time =  $\frac{24 + 27 + 30}{3} = 27$

# same math for process in order

P2, P3, P1 consider for order.

Gantt

Chart



$$WT(P2) = 0 - 0 = 0$$

$$WT(P3) = 3 - 0 = 3$$

$$WT(P1) = 6 - 0 = 6$$

$$TA(P2) = 3 - 0 = 3$$

$$TA(P3) = 6 - 0 = 6$$

$$TA(P1) = 30 - 0 = 30$$

$$\therefore \text{avg } W.T. = 3$$

Which is much better than the previous case

# The avg W.T. under FCFS is not minimal and may vary substantially if the processes can burst time vary gently.

Advantages

- Fair & Simple

Disadvantages

- Convoy effect:

A short process is behind long process.

- It would be disadvantageous to allow one process to keep the CPU for an extended period of time.

Consider one CPU bound and many I/O bound



## SJF Scheduling

→ SJF ⇒ Shortest Job First

- Associate with each process the length of its next CPU burst.

- Use these lengths to schedule the process with the shortest time

- 2 ways only.

- **Non Preemptive**: → Once CPU given to process it cannot be preempted until completion of its CPU burst.

- **Preemptive**:

↳ if new process arrives with CPU burst length less than remaining time of

current executing process, preempt.

This scheme is known as the Shortest remaining time first (SRTF)

- SJF is optimal - gives minimum average waiting time for a given set of processes

# SJF implementation is difficult because next process to execute has CPU burst

ଅର୍ଥାତ୍ ଏହା ଏକ ଅନୁମିତ କାର୍ଯ୍ୟ, ଯାହାକୁ  
କ୍ଷମା କରାଯାଏ

This term comes from the ability to remove a running process from CPU and allow another process to run in CPU.



Process

BT

use SJF

P1

6

P2

8

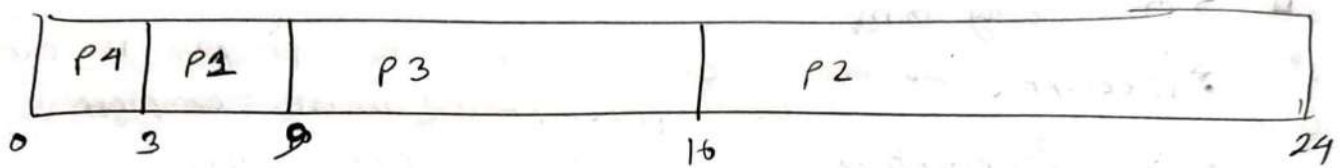
P3

7

P4

3

⇒ Gantt Chart:



as  $P1$  &  $P2$  same time  $\rightarrow$  arrive  $\rightarrow$  so,  $P1$

burst time  $\rightarrow$   $P1$   $\rightarrow$   $P2$  choose

$$WT(P1) = (3 - 0) = 3$$

$$WT(P2) = (16 - 0) = 16$$

$$WT(P3) = 9$$

$$WT(P4) = (0 - 0) = 0$$

$$TA(P1) = (9 - 0) = 9$$

$$TA(P2) = (24 - 0) = 24$$

$$TA(P3) = 16$$

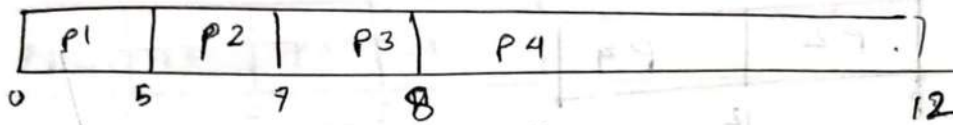
$$TA(P4) = 3$$

$$\text{avg. wt} = \frac{3 + 16 + 9 + 0}{4} = 7$$

☑ Use FCFS.

Process	AT	BT
P1	0	5
P2	2	2
P3	4	1
P4	6	1

⇒ Gantt Chart:



WT (P1) =

WT (P2) =

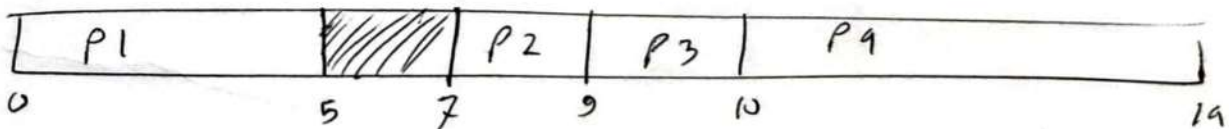
WT (P3) =

WT (P4) =

☑ Use FCFS:

Process	AT	BT
P1	0	5
P2	7	2
P3	9	1
P4	10	1

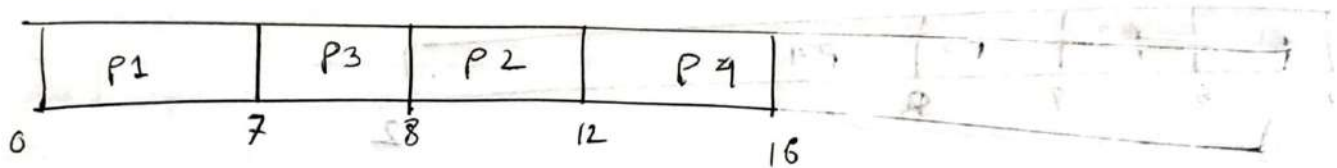
⇒ Gantt Chart:



Process	AT	BT
P1	0	7
✓ P2	2	4
✗ P3	4	1
✓ P4	5	4

if decide to run  
 or short then ~~run~~  
 error: error: error: error

⇒ Gantt Chart:



# SJF Job Scheduler → use ~~run~~

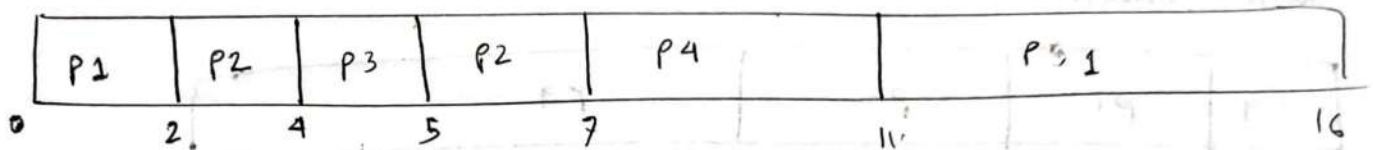
# next or process ~~run~~ CPU burst ~~run~~  
 possible or ~~run~~ SJF CPU  
 Scheduler → use ~~run~~



## Example of Preemptive SJF (SRTF)

Process	AT	BT
✓ P1	0	<del>5</del> 5
✓ ✓ P2	2	<del>2</del> 2 ×
× P3	4	1 ×
× ✓ P4	5	4

⇒ Gantt Chart:



$$WT(P1) = (0-0) + (11-2) = 9$$

$$WT(P2) = (2-2) + (5-4) = 1$$

$$WT(P3) = (4-4) = 0$$

$$WT(P4) = (7-5) = 2$$

$$\therefore TA(P1) = 16 - 0 = 16$$

$$\therefore TA(P2) = 7 - 2 = 5$$

$$\therefore TA(P3) = 5 - 4 = 1$$

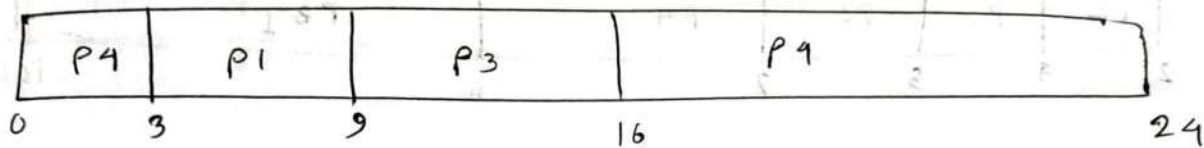
$$\therefore TA(P4) = 11 - 5 = 6$$

- First 1 start
- Next not run process because currently executing process arrival process 2 and 3 but burst time 2 and 1 to pick 2.
- Then process 2 remaining time 2 and 3 and 4 but 2 and 3.
- Then 2 process explored 2 and normal SJF.
- SRTF always compare the remaining time to 2.

## Example of SJF.

Process	AT	BT
✓ P1	0	6
P2	0	8
✓ P3	0	7
✓ P4	0	3

### Gantt Chart



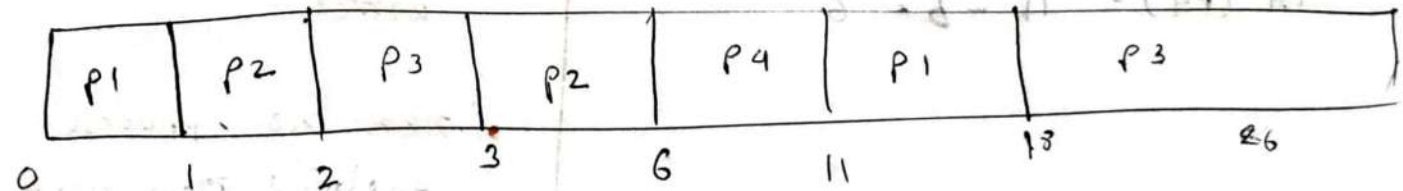
$$\text{avg. w.t.} = (3 + 9 + 16 + 0) / 4 = 7$$

## Example of SRTF.

Process	AT	BT
✓ P1	0	<del>8</del> 7
✓ P2	1	<del>4</del> 3
✓ P3	2	<del>9</del> 8
✓ P4	3	5

P1 - 8	7
P2 - 4	3
P3 - 9	8
P4 - 5	

### Gantt Chart



### Example of SRTF

Process	AT	BT
P1	4	2
P2	7	3
P3	24	2
P4	10	4
P5	1	5

⇒ Gantt Chart

### Example of SRTF

Process	AT	BT
P1	18	40
P2	29	17
P3	0	28
P4	21	37
P5	12	31

⇒ Gantt Chart

## Priority Scheduling

- ⇒ A priority number is associated with each process.
- The CPU is allocated to the process with the highest priority (smallest integer  $\equiv$  highest priority).
  - Preemptive
  - Nonpreemptive.
  - SJF is priority scheduling where priority is the inverse of predicted next CPU burst time.
  - Priority can be defined either internally or externally.
    - Factors for internal priority assignment:
      - Time limit, memory requirements, the number of open files, etc.
    - Factors for external priority assignment:
      - Importance of the process, the type and amount of funds being paid for computer use, department sponsoring works, etc.
  - If two processes have same priority then FCFS follow order.



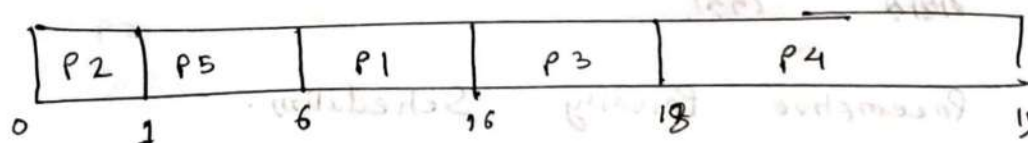
↓ Preemptive priority scheduling algo. will preempt the CPU if the priority of the newly arrived process is higher than the priority of the currently running process.

### Example of Non Preemptive Priority Scheduling.

Process	BT	Priority
x P1	10	3
x P2	1	1
x P3	2	4
P4	1	5
< P5	5	2

as 2/1/2 AT 0 to 2/1/2 priority after 2/2 choose.

⇒ Gantt Chart:



$$WT(P2) = (0-0) = 0$$

$$WT(P1) = (6-0) = 6$$

$$WT(P3) = 16$$

$$WT(P4) = 18$$

$$WT(P5) = 1$$

## Priority Scheduling Problem

Starvation

↳ process to priority low or might never execute.

## Priority Scheduling Solution

Aging

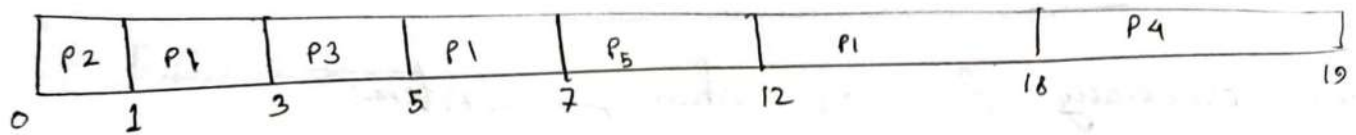
↳ As time progresses increases the priority of the process

↳ If process waiting for specific time or its priority will increase.

## Example of Preemptive Priority Scheduling:

Process	AT	BT	Priority
P1	0	10	4
* P2	0	1	1
* P3	3	2	3
P4	5	1	5
P5	7	5	2

⇒ Gantt Chart:



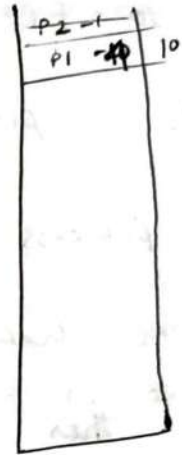
$$WT(P1) = (1-0) + (5-3) + (12-7) = 8$$

$$WT(P2) = 0$$

$$WT(P3) = 0$$

$$WT(P4) = 13$$

$$WT(P5) = 0$$



Example on Preemptive Priority Scheduling

Process	AT	Priority	BT
P1	0	2	11
P2	5	0	28
P3	12	3	2
P4	2	1	10
P5	9	4	16

⇒ Gantt Chart

## Round Robin (RR) algo

- Designed especially for time sharing systems.
- Similar to FCFS; but preemption is added to switch between processes.
- Each process gets a small amount of time; known as Time Quantum,  $q$ ; usually 10-100 milliseconds. After this time elapses; process preempted & added to the end of ready queue.

Timer interrupts every quantum to schedule its process

If ready queue has  $n$  number of processes & time quantum,  $q$ . Then each process gets  $1/n$  of the CPU time in chunks of at most  $q$  time units at once. No process waits  $(n-1)q$  time units.

↳ Starvation problem is,

RR is gantt chart more like queue & better.



# Time Quantum,  $q$  and its queue structure follow.

# Time Quantum,  $q$  and its queue structure, context switch is also a result overhead time.

### Performance

•  $q >>$  and queue follow

•  $q <<$  and context switch overhead time.

• The average waiting time under the RR policy is often long. It depends on the size of time quantum.

• Typically higher average turn around than SJF; but better response time.

•  $q$  should be large compared to context switching time.

### • Advantages:

- Every process gets an equal amount of CPU.
- RR is cyclic in nature; so no starvation
- Performs best in terms of average response time

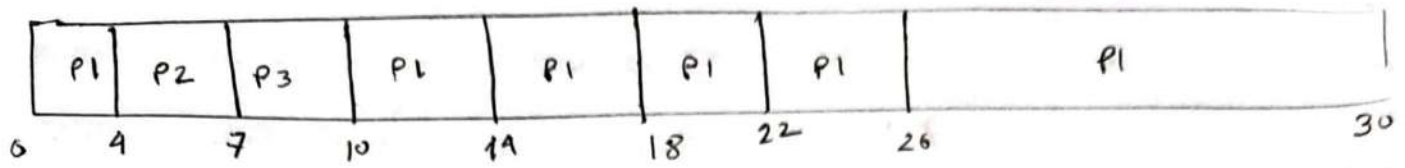
### • Disadvantages:

- Setting the quantum to short; increases the overhead and lowers the CPU efficiency; but setting it too long may cause poor response to short processes.
- No idea of priority.

■ Time Quantum = 4 ; Do RR scheduling

Process	BT
P1	24
P2	3
P3	3

⇒ Gantt Chart:



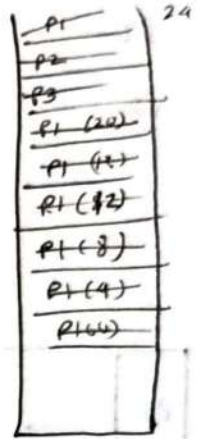
• average waiting time =  $17/3 = 5.66 \text{ ms}$ .

$$WT(P1) = (0-0) + (10-4) = 6$$

$$WT(P2) = (4-0) = 4$$

$$WT(P3) = (7-0) = 7$$

• Typically better average turnaround time than SJF; but response better.

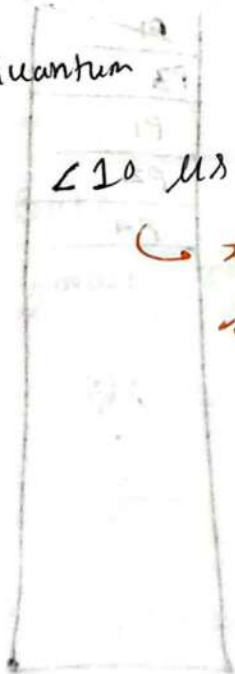


• Quantum should be large compared to context switching time.

• Quantum usually 10 ms to 100 ms, Context switch

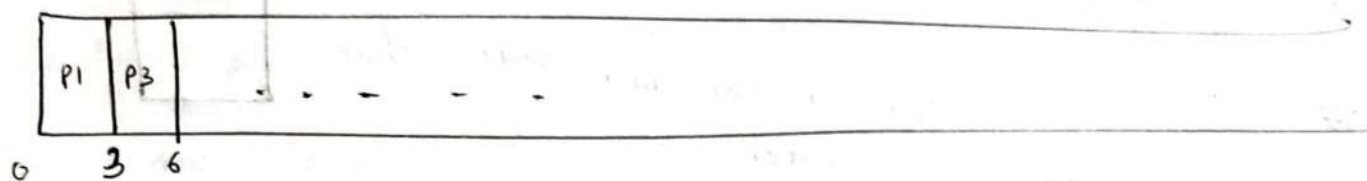
< 10  $\mu\text{sec}$ .

→ 10 ms to 100 ms context switch per second.

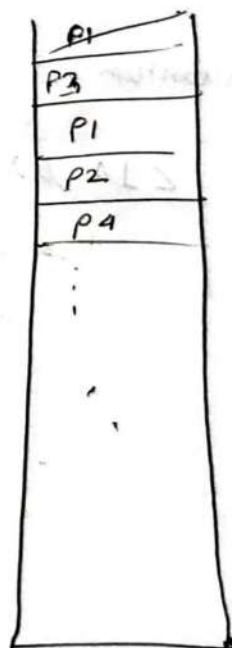


Process	AT	BT
P1	0	<del>5</del>
P2	5	2
P3	1	<del>7</del> 1
P4	6	3
P5	8	5

$T_a = 3$



Context switches



Throughput formula:  $= \frac{\text{number of process}}{\sum BT}$

↪ Total time specific time 1 process

मिटर

# Context switch time



## Multilevel Queue

- ⇒ ଏଠି ready queue ମିଶି କାମ କରେ,
- ⇒ main memory ଓ queue ଠାରେ କାର୍ଯ୍ୟକାରୀ  
ଆଉ ତାର କାର୍ଯ୍ୟ; that's why multilevel  
queue.

- ready queue
- job queue
- device queue

- ⇒ Multilevel Queue scheduling approach.
- ⇒ different different process to different  
level ଏ assign କରା,
- ⇒ higher priority ପ୍ରକାର ଉପରେ (level 0,  
level 1, ... ) ଏ lower priority ପ୍ରକାର  
bottom ଏ,

### ■ foreground process.

- interactive process; priority କାର୍ଯ୍ୟ;

### ■ Background Process.

- Batch process
- gmail ଠାରେ drive ଏ sync କରା
- ଏହା ଉପରେ କାମ କରି background ଏ ହୋଇ ଯାଏ,
- ଏହା ଏହା execute ହୁଏ.
- priority କର.

I/O bound process  
CPU bound process  
Independent process

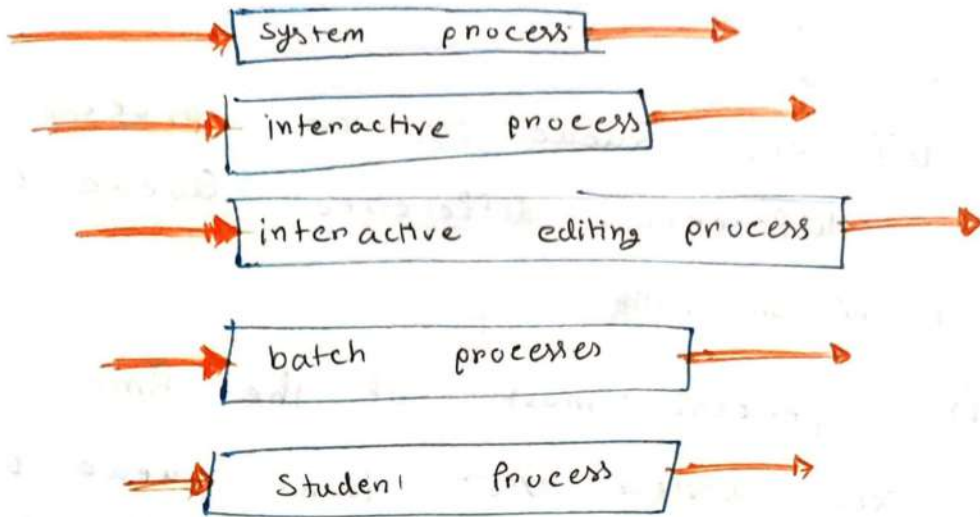
Foreground process  
Background process

# Foreground process ଠାରେ ଉପରେ level 1 ଏ  
ହୋଇ, background process ଠାରେ ମିଶି  
level 1 ଏ ହୁଏ

# process to level 0  
assign level 0 permanent.

- Foreground process, Round Robin use and background process, FCFS use.
- Scheduling must be done between the queues or there must be scheduling among themselves.
- If priority is not in queue then execute it : preemptive approach use.
- When a queue is empty only then move to the next queue, for execution.
- Suppose P3 and P4 execute first then P1 is ready to execute. Then process is not direct P1 is move to preemptive priority scheduling. And then level 0 priority is.

highest priority



Disadvantage.

Starvation.

- ↳ lower priority process will not get execution.
- ↳ lower priority Queue will not get CPU for execution. That's why starvation.

## Multi level Feedback Queue Scheduling.

- Starvation problem arise;
- difference difference Queue to to process  
ଅନ୍ୟ ତାହା difference difference Queue to  
move to permission ନାହିଁ.
- ଡିମୋନ୍ସ ପ୍ରକାର process ; most of the time cpu  
ହା ଖୋସି ରହେ ନାହିଁ ତାହା lower queue to  
move କରାଯାଏ ନାହିଁ.
- Between the queue , priority scheduling, foreground  
process RR use, then SJF use ନାହିଁ; then  
background process FCFS use.
- ପ୍ରଥମ parameter (number of queue; ତାହା  
queue to ନି ଡିମୋନ୍ସ algo ଚାଲେ; method to  
determine when to upgrade a process,  
when to degrade a process, initially ତାହା  
Queue to ତାହା process ~~execute~~ arrive ହେବା  
ପାରିବ ନାହିଁ ନାହିଁ.



RR

Process

AT

BT

Q = 5

~~XP1~~

0

~~30~~

~~XP4~~

2

~~20~~

~~XP2~~

3

~~20~~

~~XP5~~

5

~~30~~

P3

7

~~72~~

⇒ Ready Queue

~~P1~~ ~~P4~~ ~~P2~~ ~~P5~~ ~~P3~~ P3

Gantt Chart

0 3 5 7 10 15 17

P1 P4 P2 P5 P3 P3