

Notes

¹Dubois, M., Shi, C. Zhu, X., Wang, Y., Zhang, X. Observation of acoustic Dirac-like cone and double zero refractive index *Nature Communications*. **8**, 14871 (2017).

²Haberman, M, Guild, M. Acoustic Metamaterials. *Physics Today*. **69**, 42 (2016).

³“Positive phase speed” means that the intensity and the wave vector are parallel; “Negative phase speed” means that intensity and the wave vector are anti-parallel.

⁴Blackstock, D. Fundamentals of Physical Acoustics. *Wiley*, 67 (2000).

⁵Blackstock, D. Fundamentals of Physical Acoustics. *Wiley*, 50 (2000).

⁶Blackstock, D. Fundamentals of Physical Acoustics. *Wiley*, 110 (2000).

⁷DZ AMMs do not have this problem as long as both ρ and χ vanish at similar rates as functions of frequency. The normalized plots of $\rho(f)$ and $\chi(f)$ show that both vanish at very similar rates. See Dubois et al., Supplementary Figure 2.

⁸Photons are governed by Maxwell’s equations, which, in the absence of charges and currents, read $\nabla \cdot \mathbf{E} = 0$, $\nabla \cdot \mathbf{B} = 0$, $\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}$, and $\nabla \times \mathbf{B} = \mu\epsilon \frac{\partial \mathbf{E}}{\partial t}$. These four first-order equations can be combined into two second-order coupled PDEs by first taking the curl of the curl equations:

$$\begin{aligned}\nabla \times \nabla \times \mathbf{E} &= -\nabla \times \frac{\partial \mathbf{B}}{\partial t} \\ \nabla \times \nabla \times \mathbf{B} &= \mu\epsilon \left(\nabla \times \frac{\partial \mathbf{E}}{\partial t} \right)\end{aligned}$$

Applying the identity $\nabla \times \nabla \times \mathbf{P} = \nabla(\nabla \cdot \mathbf{P}) - \nabla^2 \mathbf{P}$ the left-hand-side becomes

$$\begin{aligned}\nabla (\nabla \cdot \mathbf{E}) - \nabla^2 \mathbf{E} &= -\nabla \times \frac{\partial \mathbf{B}}{\partial t} \\ \nabla (\nabla \cdot \mathbf{B}) - \nabla^2 \mathbf{B} &= \mu\epsilon \left(\nabla \times \frac{\partial \mathbf{E}}{\partial t} \right)\end{aligned}$$

But invoking the divergence equations gives

$$\begin{aligned}\nabla^2 \mathbf{E} &= \nabla \times \frac{\partial \mathbf{B}}{\partial t} \\ -\nabla^2 \mathbf{B} &= \mu\epsilon \left(\nabla \times \frac{\partial \mathbf{E}}{\partial t} \right)\end{aligned}$$

We can simplify further, noting that

$$\begin{aligned}\nabla \times \frac{\partial \mathbf{B}}{\partial t} &= \frac{\partial}{\partial t} (\nabla \times \mathbf{B}) \\ &= \mu\epsilon \frac{\partial^2 \mathbf{E}}{\partial t^2}\end{aligned}$$

and

$$\begin{aligned}\nabla \times \frac{\partial \mathbf{E}}{\partial t} &= \frac{\partial}{\partial t} (\nabla \times \mathbf{E}) \\ &= -\frac{\partial^2 \mathbf{B}}{\partial t^2}\end{aligned}$$

The vector Laplacian equations then become

$$\begin{aligned}\mu\epsilon \frac{\partial^2 \mathbf{E}}{\partial t^2} - \nabla^2 \mathbf{E} &= 0 \\ \mu\epsilon \frac{\partial^2 \mathbf{B}}{\partial t^2} - \nabla^2 \mathbf{B} &= 0\end{aligned}$$

⁹Huang, X., Lai, Y., Hang, Z. H., Zheng, H. Chan, C. T. Dirac cones induced by accidental degeneracy in photonic crystals and zero-refractive-index materials. *Nat. Mater.* **10**, 582–586 (2011).

¹⁰ \mathbf{q} is the so-called “crystal momentum.”

¹¹We have assumed that the Hamiltonian equals the total energy, i.e., that the fields are conservative. Dimensionally, our result makes sense: \hbar is in Joule-seconds, the gradient with respect to the wave vector factors in meters, and energy is in Joules. The dimensions of $\frac{1}{\hbar}\nabla_q E$ is therefore $[\text{J}^{-1} \cdot \text{s}^{-1}][m][J] = [m/s]$, which are the SI units of velocity.

¹²Wang, L., Wang, Z., Zhang, J. et al. Realization of Dirac point with double cones in optics *Optics Letters*, **34**, 2009.

¹³The massless Dirac equation can be formulated by first taking the Fourier transform of equation (7). Note that we are assuming polarity in the z -direction to make the analogy to the acoustical system in the Dubois et. al paper more direct.

$$\left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}\right) E_z(x, y, \omega) + k^2(\omega) E_z(x, y, \omega) = 0 \quad (20)$$

Recall that the x - and y -Pauli spin matrices are

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

$$\sigma_y = \begin{pmatrix} 0 & -j \\ j & 0 \end{pmatrix}$$

The Laplacian is factored in the style of P.A.M. Dirac. The Laplacian in the spin- $\frac{1}{2}$ basis equals Dirac’s factored Laplacian:

$$\begin{aligned}
\left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}\right) \mathbf{1} &\stackrel{?}{=} \left(\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \frac{\partial}{\partial x} + \begin{pmatrix} 0 & -j \\ j & 0 \end{pmatrix} \frac{\partial}{\partial y} \right) \left(\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \frac{\partial}{\partial x} + \begin{pmatrix} 0 & -j \\ j & 0 \end{pmatrix} \frac{\partial}{\partial y} \right) \\
&= \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \frac{\partial^2}{\partial^2 x} + \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & -j \\ j & 0 \end{pmatrix} \frac{\partial^2}{\partial x \partial y} + \\
&\quad + \begin{pmatrix} 0 & -j \\ j & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \frac{\partial^2}{\partial x \partial y} + \begin{pmatrix} 0 & -j \\ j & 0 \end{pmatrix} \begin{pmatrix} 0 & -j \\ j & 0 \end{pmatrix} \frac{\partial^2}{\partial^2 y} \\
&= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \frac{\partial^2}{\partial^2 x} + \begin{pmatrix} j & 0 \\ 0 & -j \end{pmatrix} \frac{\partial^2}{\partial x \partial y} + \begin{pmatrix} -j & 0 \\ 0 & j \end{pmatrix} \frac{\partial^2}{\partial x \partial y} + \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \frac{\partial^2}{\partial^2 y} \\
&= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \frac{\partial^2}{\partial^2 x} + \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \frac{\partial^2}{\partial^2 y} \tag{\checkmark}
\end{aligned}$$

Therefore

$$\left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}\right) \mathbf{1} = \left(\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \frac{\partial}{\partial x} + \begin{pmatrix} 0 & -j \\ j & 0 \end{pmatrix} \frac{\partial}{\partial y} \right) \left(\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \frac{\partial}{\partial x} + \begin{pmatrix} 0 & -j \\ j & 0 \end{pmatrix} \frac{\partial}{\partial y} \right)$$

Equation (20) then becomes

$$\left(\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \frac{\partial}{\partial x} + \begin{pmatrix} 0 & -j \\ j & 0 \end{pmatrix} \frac{\partial}{\partial y} \right) \left(\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \frac{\partial}{\partial x} + \begin{pmatrix} 0 & -j \\ j & 0 \end{pmatrix} \frac{\partial}{\partial y} \right) \begin{pmatrix} E_{z,1} \\ E_{z,2} \end{pmatrix} = -k^2(\omega) \begin{pmatrix} E_{z,1} \\ E_{z,2} \end{pmatrix}$$

Since each of the matrix operators above (so-called “Dirac operators”) is a functional square

root of the Laplacian, each one generates an eigenvalue of $\sqrt{-k^2(\omega)} = jk(\omega)$. Taking the functional square root of the above gives

$$\begin{aligned} \frac{1}{j} \begin{pmatrix} 0 & \frac{\partial}{\partial x} - j \frac{\partial}{\partial y} \\ \frac{\partial}{\partial x} + j \frac{\partial}{\partial y} & 0 \end{pmatrix} \begin{pmatrix} E_{z,1} \\ E_{z,2} \end{pmatrix} &= k(\omega) \begin{pmatrix} E_{z,1} \\ E_{z,2} \end{pmatrix} \\ \begin{pmatrix} 0 & -j \left(\frac{\partial}{\partial x} - j \frac{\partial}{\partial y} \right) \\ -j \left(\frac{\partial}{\partial x} + j \frac{\partial}{\partial y} \right) & 0 \end{pmatrix} \begin{pmatrix} E_{z,1} \\ E_{z,2} \end{pmatrix} &= k(\omega) \begin{pmatrix} E_{z,1} \\ E_{z,2} \end{pmatrix} \quad (\text{massless Dirac equation}) \end{aligned}$$

¹⁴Adapted from the *Supplementary Information* of the Huang et. al paper.

¹⁵In this section, we will switch to the $e^{i(kx-\omega t)}$ convention to match the paper by Huang et. al. The final result is entirely real, so comparison to the results in the previous section should be straightforward.

¹⁶The supplementary information includes important derivations and details about the experiment.

¹⁷Solving $\frac{\omega}{c} = \sqrt{\frac{\omega^2}{c_0^2} - \frac{\pi^2}{h^2}}$ for c , the phase speed of the lowest-order waveguide mode,

$$c = \frac{\omega}{k_x} = \left(\sqrt{\frac{1}{c_0^2} - \frac{\pi^2}{\omega^2 h^2}} \right)^{-1} \quad (\text{Dubois et. al equation 1})$$

This note was included for the sake of reproducing the only equation that appears in the Dubois et. al paper.