

Fiche Database

Pierre Colson

Contents

General	1
Algebra	2
SQL	2
Have a good database	4
Concurrency and Transactions	5
View, Constraints, Assertions, Trigger	6
Schema design in the real world	7
Semistructured-Data Model	8
Query Languages for XML : Xpath and Xquery	9

Markdown version on *github*

Compiled using *pandoc* and *gpdf script*

General

- A database should allow the user to specify their schemas using a **data definition language**, and allow to query and modify data using a **query/data manipulation language**
- Database transactions should respect **ACID**
 - **Atomicity** (all or nothing)
 - **Consistency** (regarding constraints & transactions preserve them)
 - **Isolation** (appear to be executed as if by itself)
 - **Durability** (transactions never lost once completed)
- A **Data Model** consists of :
 - A mathematical representation of data
 - Operation on data
 - Constraints
- **Attributes** are the column names
- **Component** is an instance of an attribute (a column cell)
- **tuple** is a row
- A **key** is a set of attributes that determine all other attributes of a tuple

Algebra

- **Relational Algebra**

Ordered by Precedence

- σ_c Selection
- π_L Projection
- ρ Renamming
-
- \times Cartesian Product
- \bowtie_c Theta Join ($R \bowtie_c S = \sigma_c(R \times S)$)
- \bowtie Natural Join ($R \bowtie S = \pi_L(\sigma_c(R \times S))$)
-
- \cup Union
- \cap Intersection
- $-$ Difference

- **Extended Relational Algebra**

- δ Duplicate Elimination
- τ Sorting
- γ Grouping and Aggrgation
- π_L Extended Projection
- **correct symbol** Outer Join, Left Outer Join, Right Outer Join

- A relation under **bad semantics** contains a bag of tuples (allow duplicate)

- A relation under **set semantics** contains a set of tuples (no duplicate)

- **NULL** Values

Can be used for:

- Value Unknown
- Value Inapplicable
- Value Withheld

Other:

- Does not contributes to **SUM/AVG** but contributes to **COUNT**
- True is 1, False is 0 and Unknown is 0.5
- $A \text{ AND } B = \min(A, B)$
- $A \text{ OR } B = \max(A, B)$
- $\text{NOT } A = 1 - A$

SQL

- Create a realtion

```
CREATE TABLE Name(  
    attribute1 type,  
    attribute2 type  
);
```

- Delete a relation

```
DROP TABLE Name
```

- Declare primary key

```
CREATE TABLE Name(
    attribute1 type primary key,
    attribute2 type
);
```

```
CREATE TABLE Name(
    attribute1 type,
    attribute2 type
    primary key(attribute1, attribute2)
);
```

- Unique COstraints
- Declare primary key

```
CREATE TABLE Name(
    attribute1 type unique,
    attribute2 type
);
```

```
CREATE TABLE Name(
    attribute1 type,
    attribute2 type
    unique(attribute1, attribute2)
);
```

Key Differences Between Primary key and Unique key:

- Primary key will not accept NULL values whereas Unique key can accept one NULL value.
- A table can have only primary key whereas there can be multiple unique key on a table.
- A Clustered index automatically created when a primary key is defined whereas Unique key generates the non-clustered index.
- Foreign Keys

```
CREATE TABLE name(
    attribute1 type,
    attribute2 type,
    attribute3 type,
    FOREIGN KEY (attribute1) REERENCES otherTableName(attribute1)
    FOREIGN KEY (attribute2) REERENCES otherTableName(attribute2)
)
```

- Insertion

```
INSERT INTO tableName VALUES(component1, component2, etc)
```

- Basic Queries

```
SELECT attribute1, attribute2 AS otherAttributeName
FROM tableName
WHERE condition;
```

```
...
WHERE LIKE 'Ma%' OR LIKE 'M_ke'
```

- Semantics
 - For Union, Intersection or Difference by default its set semantics
 - * Under set semantics

```
(SELECT ... FROM ... WHERE)
UNION
(SELECT ... FROM ... WHERE)
```

- * Under bag semantics

```
(SELECT ... FROM ... WHERE)
UNION ALL
(SELECT ... FROM ... WHERE)
```

- For selections bag semantics is by default

- * Select under set semantics

```
SELECT DISTINCT ... FROM ... WHERE
```

- Subqueries

```
SELECT attribute1
FROM
  (SELECT ...
   FROM ...
   WHERE ...) A
WHERE A.attribute = 'smthg'
```

- EXISTS(subquery) evaluates to true if subquery is not empty

Have a good database

- K is a **superkey** for a relation if K functionally determines all of R
- K is a **key** for R if K is a superkey but no proper subset of K is a superkey
- A functional dependency $X \rightarrow Y$ such that Y is a subset of X is called **trivial**.
- Let S be a set in functional dependencies for R and let X be a set of attributes of R . The **closure** of X denoted X^+ is the largest set of attributes such that every tuple in R that satisfies all functional dependencies in S also satisfies $X \rightarrow X^+$
- To find keys and superkeys you have to compute all closure of all subsets of attributes
- Suppose some set of FD's S holds a relation R . Any set of equivalent FD's is called a basis for R
- A **minimal Basis** for a relation is a basis B such that:
 - All the FD's have singleton right hand side
 - If any FD is removed from B , the result is no longer a basis
 - If for any FD F in B we remove one or more attributes from the left hand side of F , the result is no longer a basis
- The projection $\pi_L(S)$ of FD S under π_L is the set of FD's that:
 - Follow from S
 - Involve only attributes of $\pi_L(R) = R_1$
- Goal of Decomposition :
 - NO anomalies
 - Lossless Join: we can recover R with a natural join $R = R_1 \bowtie \dots \bowtie R_n$
 - Dependency preervations
- The **Chase Test** allow us to check if a decomposition is lossless or not:

- Apply FD's to tableau whenever possible to remove “subscripts/variables”
 - * If we arrive at a completely subscript free row: lossless
 - * If not, resulting tuples $\{t_1, \dots, t_n\}$ provide an instance of R with a concrete counter example.
- Boyce Codd Normal Form **BCNF**, we say that a relation is Boyce-Codd Normal form (BCNF) if whenever $X \rightarrow Y$ is a nontrivial FD that holds in R then X is a superkey. (The left hand side of any nontrivial FD's must contain a key)
- BCNF Algorithm

Input (R, F) : Relation R and FD F

 - Search for a BCNF violation $X \rightarrow Y$ in F , if there are none, R is in BCNF
 - Replace R by $R_1 = X^+$, $R_2 = R - (X^+ - X)$
 - Compute projections of FD's F_1 and F_2 of the FD F onto R_1 and R_2 respectively.
 - Apply the algorithm to (R_1, F_1) and (R_2, F_2) , return the union of the decomposition as result.

Goal:

 - BCNF avoids anomalies
 - The result is lossless
 - Result may not be dependency preserving
- A **prime attribute** if this attribute is a member of any key.
- Third Normal Form **3NF**
 - We say nontrivial $X \rightarrow A$ violates 3NF if and only if X is not a superkey and A is not prime.

Algo : Input: Relation R and FD F

 - Find a minimal basis for F , say G
 - For each FD $X \rightarrow A$ in G use XA as the schema of one of the relations in the decomposition.
 - If one of the relations in step 2 is a superkey for R , add another relation whose attributes are a key for R

Goal:

 - 3NF does not always avoid anomalies
 - 3NF is lossless
 - 3NF is dependency preserving

Concurrency and Transactions

- **ACID** transactions enforce
 - **Atomic**: Whole transaction or nothing is executed
 - **Consistent**: Database constraints are preserved
 - **Isolated**: It appears to user as if no other transaction is happening concurrently
 - **Durable**: Robust under errors/crash
- Isolation Level:

Transactions:

 - **Serializable**: Transactions see committed data state as it is at the beginning of transaction. Only modifications done by the transactions themselves are visible from within transaction

```
BEGIN TRANSACTION
SET ISOLATION LEVEL SERIALIZABLE
...
COMMIT
```

- Read Uncommitted: Transaction may see even changes by other transactions that were not committed yet.

```
BEGIN ...
SET ISOLATION LEVEL READ UNCOMMITTED
...
```

- Read Committed: Transaction may see only committed changes from other transactions (however, what is committed by others's may change during execution of our transaction)

```
SET ISOLATION LEVEL READ COMMITTED
```

- Repeatable Read: Like Read Committed, but if a row is read again during transaction all original tuples are guaranteed to be returned again (but it may result in more tuples as well)

```
SET ISOLATION LEVEL REPEATABLE
```

Tuples

- Dirty Read: When data that is not yet committed by transaction 1 is read by another transaction 2. This causes problem when transaction 1 is aborted
- A non-repeatable read occurs, when during the course of a transaction, a row is retrieved twice and the values within the row differ between reads.
- A phantom read occurs when, in the course of a transaction, two identical queries are executed, and the collection of rows returned by the second query is different from the first.

	Dirty Reads	Non-repeatable Reads	Phantoms
Read Uncommitted	yes	yes	yes
Read Committed	no	yes	yes
Repeatable Read	no	no	yes
Serializable	no	no	no

Simple example:

- User A runs the same query twice.
- In between, User B runs a transaction and commits.
- Non-repeatable read: The A row that user A has queried has a different value the second time.
- Phantom read: All the rows in the query have the same value before and after, but different rows are being selected (because B has deleted or inserted some). Example: select sum(x) from table; will return a different result even if none of the affected rows themselves have been updated, if rows have been added or deleted.

View, Constraints, Assertions, Trigger

- A **View** is a relation defined in terms of stored tables and other views
 - Virtual: The view relation is just a query referencing source relations, not stored itself
 - Materialized: The view relation is constructed and explicitly stored
- A **Constraints** Is a relationship among data elements in DBMS that must hold at all times

- Can add a check on an attribute, works only on insertion or updated but not for deletion

```
CREATE TABLE tableName (
    attribute1 type CHECK (condition)
    ...
    CHECK (condition on attributeX and attributeY)
)
```

- **Assertions** check an arbitrary boolean valued SQL condition

```
CREATE ASSERTION assertionName (
    CHECK (condition)
)
```

- **Triger** or Event-Condition-Action

```
CREATE TRIGGER triggerName
    AFTER INSERT IN tableName
    REFERENCES NEW ROW AS newtuple
    FOR EACH ROW
    WHEN (newtuple.attribute NOT IN (SELECT attribute FROM otherClassName))
    INSERT INTO otherClassName VALUES(newtuple.attribute, otherAttribute, etc)
```

- Row level trigger: execute once for each modified tuple
- Statement-level triggers: execute once for an SQL statement

Schema design in the real world

- Entity/Realtion Models, this model allows us to sketch database schema designs
 - Includes some constraints, but not operations
 - Designs are pictures called Entity-Relationship diagrams
 - There is a structured process to turn ER diagrams into realtional database schemas
- ER Diagrams
 - Entity set: represented by a rectangle
 - Attribute: represented by oval with a line to the entity set rectangle
 - The key attribute are underlined, they are required for every entity set
 - Relationships represent connections between two or more entity sets, they are represented by a diamond
 - Many-one relationship indicated by arrow entering “one” side
 - One-one realtionship indicated by arrows entering both sides
 - If arrow tip rounded: each entity from source is realted to exactly one entity from the target
 - ISA triangles pointing to superclass indicate relationship
 - An entity set E is called weak ti, in order to identity entities of E uniquely
- Subclasses: Three conversion approaches
 - Use null: One relatin entitues have null in attributes that don’t belong to them
 - E/R Style: one reation for each subclass with key attributes and attributes of that subclass
 - Object oriented: One relation per subtree of subclasses with all relevant attributes

Semistructured-Data Model

- A database of semistructured data is a collection of nodes. Each node is either interior or a leaf
 - Leaf nodes have associated data of atomic type
 - Interior nodes have a number of arcs out
 - Arcs have labels describing how nodes at head and tail of arc are related

- **XML**

Different way to store data:

- `<Course code="DD1334"><Capacity>200</Capacity></Course>`
- `<Course code="DD1334" capacity=200></Course>`
- `<Course code="DD1334" capacity=200/>`

- DTD file :

- Theory
 - * Subtags must appear in order shown
 - * '*' = zero or more times
 - * '+' = one or more times
 - * '?' = zero or once
 - * used to indicate "or" alternative tags
 - * #IMPLIED = optional attribute
 - * #REQUIRED = necessary attribute
 - * ID = a name for a unique id
 - * IDREF = a reference to an ID taking that value
 - * IDREFS = a reference to a set of Ids separated by space
 - * CDATA = character string data with special chars escaped
 - * `<!DOCTYPE yourRootTagName SYSTEM "filename.dtd">`

```
<!-- DOCTYPE root-tag [  
  <!-- ELEMENT element-name (components) >  
  <!-- ATTLIST element-name  
    att-name1 type1  
    att-name2 type2  
  >  
  ...more elements  
>  
>
```

- Full example

```
* DTD  
  
<!-- DOCTYPE StarMovieData [  
  <!--ELEMENT StarMovieData (Star* , Movie*)>  
  <!--ELEMENT Star (Name, Address+)>  
  <!--ATTLIST Star  
    starId ID #REQUIRED  
    starredIn IDREFS #IMPLIED  
  >  
  <!--ELEMENT Name (#PCDATA)>  
  <!--ELEMENT Address (Street, City)>  
  <!--ELEMENT Street (#PCDATA)>  
  <!--ELEMENT City (#PCDATA)>  
  <!--ELEMENT Movie (Title, Year)>  
  <!--ATTLIST Movie  
    movieId ID #REQUIRED  
    starsOf IDREFS #IMPLIED  
>
```



```

>
<!ELEMENT Title (#PCDATA)>
<!ELEMENT Year (#PCDATA)>
]>

```

* XML

```

<StarMovieData>
  <Star starID = "cf" starredIn = "sw">
    <Name> Carrie Fisher </name>
    <Address>
      <Street> 123 Mapple St. </Street>
      <City> Hollywood </City>
    </Address>
  </Star>
  <Star starId = "mh" starredIn = "sw">
    <Name> Mark Hamill </Name>
    <Address>
      <Street> 456 Oak Rd. </Street>
      <City> Brentwood </City>
    </Address>
  </Star>
  <Movie movieID = "sw" starsOf = "cf mh">
    <Title> Star Wars </Title>
    <Year> 1977 </Year>
  </Movie>
</StarMovieData>

```

- XML Schema elements

```

<xs:element name="Name" type="xs:String">
  <xs:key name = "key name">
    <xs:selector xpath = "path">
    <xs:field xpath = "path">
  </xs:key>
  <xs:attribute name = "title" type = "xs:string"
    use = "required"/>
  <xs:attribute name = "year" type = "xs:int"
    use = "required"/>
  <xs:restriction base = "xs:integer">
    <xs:minInclusive value = "1915" />
  </xs:restriction>
  etc
</xs:element>

```

Query Languages for XML : Xpath and Xquery

- XPath

- Simple Path expressions are sequences of slashes / and tags, starting with /. To construct result, start with doc node and process each tag left to right
- Indicated with ? to get an attribute instead of subelement
- Instead of searching for matches from root node, we can use //X, then the first step can begin at the root or any subelement of the root as long as the tag is X.

- We can use wildcard symbol * to match any tag.
- A condition [...] may follow a tag to only select results that satisfy condition. We refer to current element content with “.”

```
/StarMovieData/Movie/Year[.>1976]
/StarMovieData/Movie[@movieID = "sw"]
```

- Xquery

- Each for creates a loop and let produces a local definition
- At each iteration of nested loop, if any, evaluate where clause
- If where clause returns true, or in all cases if no where clause, evaluate return clause
- For variable in expression variables begin with \$
- Surround variable name by {...} to return value held

```
let $d:=document("file.xml")
for $data in $d/root/bla/bla/exmaple
order by $data descending
let $temp := (
  for $data in $d/root/bla/bla/exmaple
  where $data/@id = $blabla
  return $data
)
return
  <NewData>
    {$temp}
  </NewData>
```

- Indices

- An index on an attribute A is a datastructure making it efficient to search for tuple with a particular value for that attribute. We may also specify indices for multiple attributes at once.
- This typically comes at the cost of more expensive update/delete/ insert operations.
- In fact, indices on keys are extremely common as we expect to search by key attributes.

```
CREATE INDEX GradeIndex ON Grades(studentId, courseId)
```