

# Fiche Database

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## General

- A database should allow the user to specify their schemas using a **data definition language**, and allow to query and modify data using a **query/data manipulation language**
- Database transactions should respect **ACID**
  - **Atomicity** (all or nothing)
  - **Consistency** (regarding constraints & transactions preserve them)
  - **Isolation** (appear to be executed as if by itself)
  - **Durability** (transactions never lost once completed)
- A **Data Model** consists of :
  - A mathematical representation of data
  - Operation on data
  - Constraints
- **Attributes** are the column names
- **Component** is an instance of an attribute (a column cell)
- **tuple** is a row
- A **key** is a set of attributes that determine all other attributes of a tuple

# Algebra

- **Relational Algebra**

Ordered by Precedence

- $\sigma_c$  Selection
- $\pi_L$  Projection
- $\rho$  Renamming
- 
- $\times$  Cartesian Product
- $\bowtie_c$  Theta Join ( $R \bowtie_c S = \sigma_c(R \times S)$ )
- $\bowtie$  Natural Join ( $R \bowtie S = \pi_L(\sigma_c(R \times S))$ )
- 
- $\cup$  Union
- $\cap$  Intersection
- $-$  Difference

- **Extended Relational Algebra**

- $\delta$  Duplicate Elimination
- $\tau$  Sorting
- $\gamma$  Grouping and Aggrgation
- $\pi_L$  Extended Projection
- **correct symbol** Outer Join, Left Outer Join, Right Outer Join

- A relation under **bad semantics** contains a bag of tuples (allow duplicate)

- A relation under **set semantics** contains a set of tuples (no duplicate)

- **NULL** Values

Can be used for:

- Value Unknown
- Value Inapplicable
- Value Withheld

Other:

- Does not contributes to **SUM/AVG** but contributes to **COUNT**
- True is 1, False is 0 and Unknown is 0.5
- $A \text{ AND } B = \min(A, B)$
- $A \text{ OR } B = \max(A, B)$
- $\text{NOT } A = 1 - A$

# SQL

- Create a realtion

```
CREATE TABLE Name(  
    attribute1 type,  
    attribute2 type  
);
```

- Delete a relation

```
DROP TABLE Name
```

- Declare primary key

```
CREATE TABLE Name(
    attribute1 type primary key,
    attribute2 type
);
```

```
CREATE TABLE Name(
    attribute1 type,
    attribute2 type
    primary key(attribute1, attribute2)
);
```

- Unique COstraints
- Declare primary key

```
CREATE TABLE Name(
    attribute1 type unique,
    attribute2 type
);
```

```
CREATE TABLE Name(
    attribute1 type,
    attribute2 type
    unique(attribute1, attribute2)
);
```

Key Differences Between Primary key and Unique key:

- Primary key will not accept NULL values whereas Unique key can accept one NULL value.
- A table can have only primary key whereas there can be multiple unique key on a table.
- A Clustered index automatically created when a primary key is defined whereas Unique key generates the non-clustered index.
- Foreign Keys

```
CREATE TABLE name(
    attribute1 type,
    attribute2 type,
    attribute3 type,
    FOREIGN KEY (attribute1) REERENCES otherTableName(attribute1)
    FOREIGN KEY (attribute2) REERENCES otherTableName(attribute2)
)
```

- Insertion

```
INSERT INTO tableName VALUES(component1, component2, etc)
```

- Basic Queries

```
SELECT attribute1, attribute2 AS otherAttributeName
FROM tableName
WHERE condition;
```

```
...
WHERE LIKE 'Ma%' OR LIKE 'M_ke'
```

- Semantics
  - For Union, Intersection or Difference by default its set semantics
    - \* Under set semantics

```
(SELECT ... FROM ... WHERE)
UNION
(SELECT ... FROM ... WHERE)
```

- \* Under bag semantics

```
(SELECT ... FROM ... WHERE)
UNION ALL
(SELECT ... FROM ... WHERE)
```

- For selections bag semantics is by default

- \* Select under set semantics

```
SELECT DISTINCT ... FROM ... WHERE
```

- Subqueries

```
SELECT attribute1
FROM
  (SELECT ...
   FROM ...
   WHERE ...) A
WHERE A.attribute = 'smthg'
```

- EXISTS(subquery) evaluates to true if subquery is not empty

## Have a good database

- $K$  is a **superkey** for a relation if  $K$  functionally determines all of  $R$
- $K$  is a **key** for  $R$  if  $K$  is a superkey but no proper subset of  $K$  is a superkey
- A functional dependency  $X \rightarrow Y$  such that  $Y$  is a subset of  $X$  is called **trivial**.
- Let  $S$  be a set in functional dependencies for  $R$  and let  $X$  be a set of attributes of  $R$ . The **closure** of  $X$  denoted  $X^+$  is the largest set of attributes such that every tuple in  $R$  that satisfies all functional dependencies in  $S$  also satisfies  $X \rightarrow X^+$
- To find keys and superkeys you have to compute all closure of all subsets of attributes
- Suppose some set of FD's  $S$  holds a relation  $R$ . Any set of equivalent FD's is called a basis for  $R$
- A **minimal Basis** for a relation is a basis  $B$  such that:
  - All the FD's have singleton right hand side
  - If any FD is removed from  $B$ , the result is no longer a basis
  - If for any FD  $F$  in  $B$  we remove one or more attributes from the left hand side of  $F$ , the result is no longer a basis
- The projection  $\pi_L(S)$  of FD  $S$  under  $\pi_L$  is the set of FD's that:
  - Follow from  $S$
  - Involve only attributes of  $\pi_L(R) = R_1$
- Goal of Decomposition :
  - NO anomalies
  - Lossless Join: we can recover  $R$  with a natural join  $R = R_1 \bowtie \dots \bowtie R_n$
  - Dependency preervations
- The **Chase Test** allow us to check if a decomposition is lossless or not:

- Apply FD's to tableau whenever possible to remove “subscripts/variables”
  - \* If we arrive at a completely subscript free row: lossless
  - \* If not, resulting tuples  $\{t_1, \dots, t_n\}$  provide an instance of  $R$  with a concrete counter example.
- Boyce Codd Normal Form **BCNF**, we say that a relation is Boyce-Codd Normal form (BCNF) if whenever  $X \rightarrow Y$  is a nontrivial FD that holds in  $R$  then  $X$  is a superkey. (The left hand side of any nontrivial FD's must contain a key)
- BCNF Algorithm
 

Input  $(R, F)$ : Relation  $R$  and FD  $F$

  - Search for a BCNF violation  $X \rightarrow Y$  in  $F$ , if there are none,  $R$  is in BCNF
  - Replace  $R$  by  $R_1 = X^+, R_2 = R - (X^+ - X)$
  - Compute projections of FD's  $F_1$  and  $F_2$  of the FD  $F$  onto  $R_1$  and  $R_2$  respectively.
  - Apply the algorithm to  $(R_1, F_1)$  and  $(R_2, F_2)$ , return the union of the decomposition as result.

Goal:

  - BCNF avoids anomalies
  - The result is lossless
  - Result may not be dependency preserving
- A **prime attribute** if this attribute is a member of any key.
- Third Normal Form **3NF**
  - We say nontrivial  $X \rightarrow A$  violates 3NF if and only if  $X$  is not a superkey and  $A$  is not prime.

Algo : Input: Relation  $R$  and FD  $F$

  - Find a minimal basis for  $F$ , say  $G$
  - For each FD  $X \rightarrow A$  in  $G$  use  $XA$  as the schema of one of the relations in the decomposition.
  - If one of the relations in step 2 is a superkey for  $R$ , add another relation whose attributes are a key for  $R$

Goal:

  - 3NF does not always avoid anomalies
  - 3NF is lossless
  - 3NF is dependency preserving

## Concurrency and Transactions

- **ACID** transactions enforce
  - **Atomic**: Whole transaction or nothing is executed
  - **Consistent**: Database constraints are preserved
  - **Isolated**: It appears to user as if no other transaction is happening concurrently
  - **Durable**: Robust under errors/crash
- Isolation Level:
 

Transactions:

  - **Serializable**: Transactions see committed data state as it is at the beginning of transaction. Only modifications done by the transactions themselves are visible from within transaction

```
BEGIN TRANSACTION
SET ISOLATION LEVEL SERIALIZABLE
...
COMMIT
```

- Read Uncommitted: Transaction may see even changes by other transactions that were not committed yet.

```
BEGIN ...
SET ISOLATION LEVEL READ UNCOMMITTED
...
```

- Read Committed: Transaction may see only committed changes from other transactions (however, what is committed by others's may change during execution of our transaction)

```
SET ISOLATION LEVEL READ COMMITTED
```

- Repeatable Read: Like Read Committed, but if a row is read again during transaction all original tuples are guaranteed to be returned again (but it may result in more tuples as well)

```
SET ISOLATION LEVEL REPEATABLE
```

Tuples

- Dirty Read: When data that is not yet committed by transaction 1 is read by another transaction 2. This causes problem when transaction 1 is aborted
- A non-repeatable read occurs, when during the course of a transaction, a row is retrieved twice and the values within the row differ between reads.
- A phantom read occurs when, in the course of a transaction, two identical queries are executed, and the collection of rows returned by the second query is different from the first.

	Dirty Reads	Non-repeatable Reads	Phantoms
Read Uncommitted	yes	yes	yes
Read Committed	no	yes	yes
Repeatable Read	no	no	yes
Serializable	no	no	no

Simple example:

- User A runs the same query twice.
- In between, User B runs a transaction and commits.
- Non-repeatable read: The A row that user A has queried has a different value the second time.
- Phantom read: All the rows in the query have the same value before and after, but different rows are being selected (because B has deleted or inserted some). Example: select sum(x) from table; will return a different result even if none of the affected rows themselves have been updated, if rows have been added or deleted.

## View, Constraints, Assertions, Trigger

- A **View** is a relation defined in terms of stored tables and other views
  - Virtual: The view relation is just a query referencing source relations, not stored itself
  - Materialized: The view relation is constructed and explicitly stored
- A **Constraints** Is a relationship among data elements in DBMS that must hold at all times

- Can add a check on an attribute, works only on insertion or updated but not for deletion

```
CREATE TABLE tableName (
    attribute1 type CHECK (condition)
    ...
    CHECK (condition on attributeX and attributeY)
)
```

- **Assertions** check an arbitrary boolean valued SQL condition

```
CREATE ASSERTION assertionName (
    CHECK (condition)
)
```

- **Triger** or Event-Condition-Action

```
CREATE TRIGGER triggerName
    AFTER INSERT IN tableName
    REFERENCES NEW ROW AS newtuple
    FOR EACH ROW
    WHEN (newtuple.attribute NOT IN (SELECT attribute FROM otherClassName))
    INSERT INTO otherClassName VALUES(newtuple.attribute, otherAttribute, etc)
```

- Row level trigger: execute once for each modified tuple
- Statement-level triggers: execute once for an SQL statement

## Schema design in the real world

- Entity/Realtion Models, this model allows us to sketch database schema designs
  - Includes some constraints, but not operations
  - Designs are pictures called Entity-Relationship diagrams
  - There is a structured process to turn ER diagrams into realtional database schemas
- ER Diagrams
  - Entity set: represented by a rectangle
  - Attribute: represented by oval with a line to the entity set rectangle
  - The key attribute are underlined, they are required for every entity set
  - Relationships represent connections between two or more entity sets, they are represented by a diamond
  - Many-one relationship indicated by arrow entering “one” side
  - One-one realtionship indicated by arrows entering both sides
  - If arrow tip rounded: each entity from source is realted to exactly one entity from the target
  - ISA triangles pointing to superclass indicate relationship
  - An entity set E is called weak ti, in order to identity entities of E uniquely
- Subclasses: Three conversion approaches
  - Use null: One relatin entitues have null in attributes that don’t belong to them
  - E/R Style: one reation for each subclass with key attributes and attributes of that subclass
  - Object oriented: One relation per subtree of subclasses with all relevant attributes

# Semistructured-Data Model

- A database of semistructured data is a collection of nodes. Each node is either interior or a leaf
  - Leaf nodes have associated data of atomic type
  - Interior nodes have a number of arcs out
  - Arcs have labels describing how nodes at head and tail of arc are related

- **XML**

Different way to store data:

- `<Course code="DD1334"><Capacity>200</Capacity></Course>`
- `<Course code="DD1334" capacity=200></Course>`
- `<Course code="DD1334" capacity=200/>`

- DTD file :

- Theory
  - \* Subtags must appear in order shown
  - \* '\*' = zero or more times
  - \* '+' = one or more times
  - \* '?' = zero or once
  - \* used to indicate "or" alternative tags
  - \* #IMPLIED = optional attribute
  - \* #REQUIRED = necessary attribute
  - \* ID = a name for a unique id
  - \* IDREF = a reference to an ID taking that value
  - \* IDREFS = a reference to a set of Ids separated by space
  - \* CDATA = character string data with special chars escaped
  - \* `<!DOCTYPE yourRootTagName SYSTEM "filename.dtd">`

```
<!-- DOCTYPE root-tag [  
  <!-- ELEMENT element-name (components) >  
  <!-- ATTLIST element-name  
    att-name1 type1  
    att-name2 type2  
  >  
  ...more elements  
>  
>
```

- Full example

```
* DTD  
  
<!-- DOCTYPE StarMovieData [  
  <!--ELEMENT StarMovieData (Star* , Movie*)>  
  <!--ELEMENT Star (Name, Address+)>  
  <!--ATTLIST Star  
    starId ID #REQUIRED  
    starredIn IDREFS #IMPLIED  
  >  
  <!--ELEMENT Name (#PCDATA)>  
  <!--ELEMENT Address (Street, City)>  
  <!--ELEMENT Street (#PCDATA)>  
  <!--ELEMENT City (#PCDATA)>  
  <!--ELEMENT Movie (Title, Year)>  
  <!--ATTLIST Movie  
    movieId ID #REQUIRED  
    starsOf IDREFS #IMPLIED  
>
```



```

>
<!ELEMENT Title (#PCDATA)>
<!ELEMENT Year (#PCDATA)>
]>

```

\* XML

```

<StarMovieData>
  <Star starID = "cf" starredIn = "sw">
    <Name> Carrie Fisher </name>
    <Address>
      <Street> 123 Mapple St. </Street>
      <City> Hollywood </City>
    </Address>
  </Star>
  <Star starId = "mh" starredIn = "sw">
    <Name> Mark Hamill </Name>
    <Address>
      <Street> 456 Oak Rd. </Street>
      <City> Brentwood </City>
    </Address>
  </Star>
  <Movie movieID = "sw" starsOf = "cf mh">
    <Title> Star Wars </Title>
    <Year> 1977 </Year>
  </Movie>
</StarMovieData>

```

- XML Schema elements

```

<xs:element name="Name" type="xs:String">
  <xs:key name = "key name">
    <xs:selector xpath = "path">
    <xs:field xpath = "path">
  </xs:key>
  <xs:attribute name = "title" type = "xs:string"
    use = "required"/>
  <xs:attribute name = "year" type = "xs:int"
    use = "required"/>
  <xs:restriction base = "xs:integer">
    <xs:minInclusive value = "1915" />
  </xs:restriction>
  etc
</xs:element>

```

## Query Languages for XML : Xpath and Xquery

- XPath

- Simple Path expressions are sequences of slashes / and tags, starting with /. To construct result, start with doc node and process each tag left to right
- Indicated with @attributename to get an attribute instead of subelement
- Instead of searching for matches from root node, we can use //X, then the first step can begin at the root or any subelement of the root as long as the tag is X.

- We can use wildcard symbol \* to match any tag.
- A condition [...] may follow a tag to only select results that satisfy condition. We refer to current element content with “.”

```
/StarMovieData/Movie/Year[.>1976]
/StarMovieData/Movie[@movieID = "sw"]
```

- Xquery

- Each for creates a loop and let produces a local definition
- At each iteration of nested loop, if any, evaluate where clause
- If where clause returns true, or in all cases if no where clause, evaluate return clause
- For variable in expression variables begin with \$
- Surround variable name by {...} to return value held

```
let $d:=document("file.xml")
for $data in $d/root/bla/bla/exmaple
order by $data descending
let $temp := (
  for $data in $d/root/bla/bla/exmaple
  where $data/@id = $blabla
  return $data
)
return
  <NewData>
  {$temp}
  </NewData>
```

- Indices

- An index on an attribute A is a datastructure making it efficient to search for tuple with a particular value for that attribute. We may also specify indices for multiple attributes at once.
- This typically comes at the cost of more expensive update/delete/ insert operations.
- In fact, indices on keys are extremely common as we expect to search by key attributes.

```
CREATE INDEX GradeIndex ON Grades(studentId, courseId)
```