

# Theory of Computation fiche

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## Cours

### Automata and Languages

- A **deterministic finite automaton (DFA)** is a 5-tuples  $(Q, \Sigma, \delta, q_0, F)$ , where :
  - 1)  $Q$  is a finite set called the *states*
  - 2)  $\Sigma$  is a finite set called the *alphabet*
  - 3)  $\delta : Q \times \Sigma \longrightarrow Q$  is the *transition function*
  - 4)  $q_0 \in Q$  is the *start state*
  - 5)  $F \subseteq Q$  is the *set of accept states*
- A **nondeterministic finite automaton (NFA)** is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$  where :
  - 1)  $Q$  is a finite set called the *states*
  - 2)  $\Sigma$  is a finite set called the *alphabet*
  - 3)  $\delta : Q \times \Sigma \longrightarrow P(Q)$  is the *transition function*
  - 4)  $q_0 \in Q$  is the *start state*
  - 5)  $F \subseteq Q$  is the *set of accept states*
- Deterministic and nondeterministic finite automata recognize the same class of languages. Say that two machines are **equivalent** if they recognize the same language.
- A language is called a **regular language** if some finite automaton recognizes it.
- Every nondeterministic finite automaton has an equivalent deterministic finite automaton.
- Let  $A$  and  $B$  be languages. We define the regular operations **union**, **intersection**, **concatenation** and the **star** as follows:
  - 1) **Union:**  $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$
  - 2) **intersection**  $A \cap B = \{x \mid x \in A \text{ and } x \in B\}$
  - 3) **Concatenation:**  $A \circ B = \{xy \mid x \in A \text{ and } y \in B\}$

- 4) **Star:**  $A^* = \{x_1x_2...x_k \mid k \geq 0 \text{ and each } x_i \in A\}$
- The class of regular language is closed under the union operation, intersection operation, concatenation operation, start operation.
  - The **complement** ( $\bar{L} = \{w \in \Sigma^* : w \text{ is not in } L\}$ ) of a regular language is also regular.
  - Say that  $R$  is a **regular expression** if  $R$  is:
    - 1)  $a$  for some  $a$  in the alphabet  $\Sigma$
    - 2)  $\epsilon$
    - 3)  $\emptyset$
    - 4)  $\bar{R}_1$  where  $R_1$  is a regular language
    - 5)  $(R_1 \cup R_2)$  where  $R_1$  and  $R_2$  are regular expressions
    - 6)  $(R_1 \cap R_2)$  where  $R_1$  and  $R_2$  are regular expressions
    - 7)  $(R_1 \circ R_2)$  where  $R_1$  and  $R_2$  are regular expressions
    - 8)  $(R_1^*)$  where  $R_1$  is a regular expression
  - A language is regular if and only if some regular expression describes it.
  - **Pumping Lemma:** If  $A$  is a regular language, then there is a number  $p$  (the pumping lemma) where if  $s$  is any string in  $A$  of length at least  $p$ , then the  $s$  may be divided into three pieces,  $s = xyz$ , satisfying the following conditions:
    - 1) for each  $i \geq 0, xy^iz \in A$
    - 2)  $|y| > 0$ , and
    - 3)  $|xy| \leq p$

## Turing Machine

- A **Turing Machine** is a 7-tuple,  $(Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$ , where  $Q, \Sigma, \Gamma$  are all finite sets and :
  - 1)  $Q$  is the set of *states*,
  - 2)  $\Sigma$  is the input *alphabet* not containing the blank symbol  $\_$
  - 3)  $\Gamma$  is the tape *alphabet* where  $\_ \in \Gamma$  and  $\Sigma \subset \Gamma$
  - 4)  $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$  is the *transition function*,
  - 5)  $q_0 \in Q$  is the *start state*,
  - 6)  $q_{accept} \in Q$  is the *accept state*, and
  - 7)  $q_{reject} \in Q$  is the *reject state*, where  $q_{reject} \neq q_{accept}$ .
- Call a language **Turing recognizable** or simply **recognizable** if some Turing machine recognizes it. A Turing machine  $M$  recognizes a language  $L \subseteq \Sigma^*$  if and only if all inputs  $w \in \Sigma^*$  :
  - 1) if  $w \in L$  then  $M$  accepts  $w$  and
  - 2) if  $w \notin L$  then  $M$  either rejects  $w$  or never halts
- Call a language **Turing decidable** or simply **decidable** if some Turing machine decides it. A Turing machine  $M$  decides a language  $L \subseteq \Sigma^*$  if and only if all inputs  $w \in \Sigma^*$  :
  - 1)  $M$  halts on  $w$ , and
  - 2)  $M$  accepts  $w$  if and only if  $w \in L$
- Every multitape Turing machine has an equivalent single-tape Turing machine
- Every nondeterministic Turing machine has an equivalent deterministic Turing machine

## Decidable languages

- $A_{DFA} = \{\langle B, w \rangle \mid B \text{ is a DFA that accepts input string } w\}$ .  $A_{DFA}$  is a decidable language.
- $A_{NFA} = \{\langle B, w \rangle \mid B \text{ is an NFA that accepts input string } w\}$ .  $A_{NFA}$  is a decidable language.

- $EQ_{DFA} = \{\langle A, B \rangle \mid A, B \text{ are DFAs s.t. } L(A) = L(B)\}$ .  $EQ_{DFA}$  is decidable.
- $E_{DFA} = \{\langle D \rangle : L(D) = \emptyset\}$ .  $E_{DFA}$  is decidable. (not verify yet)
- $L_{DIAG} = \{\langle M_i \rangle : M_i \text{ doesn't accept } \langle M_i \rangle\}$ .  $L_{DIAG}$  is undecidable
- $A_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w\}$ .  $A_{TM}$  is undecidable.
- $REG_{TM} = \{\langle N \rangle \mid L(N) \text{ is regular}\}$ .  $REG_{TM}$  is undecidable.
- $EQ_{TM} = \{\langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs s.t. } L(M_1) = L(M_2)\}$ .  $EQ_{TM}$  is undecidable.
- $E_{TM} = \{\langle M \rangle : L(M) = \emptyset\}$ .  $E_{TM}$  is undecidable. (not verify yet)
- **Halting problem**, let  $HALT_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on input } w\}$ .  $HALT_{TM}$  is undecidable.
- A language is **decidable** if and only if it is Turing recognizable and co Turing recognizable. In other words, a language is decidable exactly when both it and its complement are Turing recognizable.
- A function  $f : \Gamma^* \rightarrow \Gamma^*$  is a **computable function** if some Turing machine  $M$ , on every input  $w$ , halts with just  $f(w)$  on its tape.
- Language  $A$  is **mapping reducible** to language  $B$ , written  $A \leq_m B$ , if there is a computable function  $f : \Gamma^* \rightarrow \Gamma^*$ , where for every  $w$ ,  $w \in A \iff f(w) \in B$ . The function  $f$  is called the **reduction** from  $A$  to  $B$ .
- If  $A \leq_m B$  and  $B$  is decidable, then  $A$  is decidable (same for recognizable)
- If  $A \leq_m B$  and  $A$  is undecidable, then  $B$  is undecidable (same for recognizable)
- If  $B \leq_m \bar{B}$  then  $\bar{B} \leq_m B$

## Time complexity

- $P$  is the class of languages that are *decidable* in *polynomial time* on a *deterministic* Turing machine :

$$P = \bigcup_{k=1}^{\infty} TIME(n^k)$$

- A **verifier** for a language  $A$  is an algorithm  $V$ , where:

$$A = \{w \mid V \text{ accepts } \langle w, c \rangle \text{ for some string } c\}$$

- $NP$  is the class on languages that have a *polynomial time verifiers*
- **NP-complete** A language  $B$  is NP-complete if it satisfies two conditions :
  - 1)  $B$  is in  $NP$ , and
  - 2) every  $A$  in  $NP$  is polynomial time reducible to  $B$
- **SAT** Conjunctive Normal Form (CNF) Formula :

$$\varphi = (\bar{X} \vee \bar{y} \vee z_0) \wedge (x \vee \bar{y} \vee z_2) \wedge (x \vee y \vee z_3)$$

$$SAT = \{\langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula}\}$$

SAT is *NP-complete*

- **CLIQUE** The CLIQUE problem is to determine whether a graph contains a clique (fully connected subgraph) of a specified size. Let :

$$CLIQUE = \{\langle G, k \rangle \mid G \text{ is an undirected graph with } k\text{-clique}\}$$

CLIQUE is *NP-complete*

- **SUBSET-SUM** We want to determine whether the collection contains a subcollection that adds up to  $t$ . Thus :

$$SUBSET-SUM = \{\langle S, t \rangle \mid S = \{x_1, \dots, x_k\} \text{ and for some } \{y_1, \dots, y_l\} \subseteq \{x_1, \dots, x_k\} \text{ we have } \sum y_i = t\}$$

SUBSET-SUM is *NP-complete*

- **3SAT** 3cnf-formula: all the clauses have three literals. Let :

$$3SAT = \{\langle \phi \rangle \mid \phi \text{ is a satisfiable 3cnf-formula}\}$$

3SAT is polynomial time reducible to CLIQUE. Thus it is *NP-complete*

- **INDESET**

$$INDESET = \{(G, k) : G \text{ has an independent subset of size } k\}$$

INDESET is *NP-complete*

- **VERTEX-COVER** If  $G$  is an undirected graph, a *vertex cover* of  $G$  is a subset of the nodes where every edges of  $G$  touches one of those nodes. The vertex cover problem asks whether a graph contains a vertex cover of a specified size.

$$VERTEX-COVER = \{\langle G, k \rangle \mid G \text{ is an undirected graph that has } k\text{-node vertex cover}\}$$

VERTEX-COVER is *NP-complete*

- **SET-COVER** Let  $U = \{1, \dots, N\}$  and  $F = \{T_1, \dots, T_m\}$  be a family of subsets  $\forall i, T_i \subseteq U$ . A subset  $\{T_{i_1}, \dots, T_{i_k}\} \subseteq F$  is called a *set cover* of size  $k$  if  $\bigcup_{j=1}^k T_{i_j} = U$
- If  $A \leq_p B$  and  $B \in P$ , then  $A \in P$
- If  $B$  is *NP-complete* and  $B \leq_p C$  for  $C$  in *NP*, then  $C$  is *NP-complete*

## Example

- **Pumping Lemma** : Let  $B$  be the languages  $\{0^n 1^n \mid n \geq 0\}$ . We use the pumping lemma to prove that  $B$  is not regular. The proof is by contradiction. Assume to the contrary that  $B$  is regular. Let  $p$  be the pumping length given by the pumping lemma. Choose  $s$  to be the string  $0^p 1^p$ . Because  $s$  is a member of  $B$  and  $s$  has length more than  $p$ , the pumping lemma guarantees that  $s$  can be split into three pieces,  $s = xyz$  where  $|xy| \leq p$ ,  $|y| \geq 1$  and for any  $i \geq 0$  the string  $xy^i z$  is in  $B$ . We consider three cases to show that this result is impossible:
  - 1) The string  $y$  consists only of 0s. In this case, the string  $xyyz$  has more 0s than 1s and so is not a member of  $B$ , violating condition 1 of the pumping lemma. This case is a contradiction.
  - 2) The string  $y$  consists only of 1s. This case also gives a contradiction.
  - 3) The string  $y$  consists of both 0s and 1s. In this case, the string  $xyyz$  may have the same number of 0s and 1s, but they will be out of order with some 1s before 0s. Hence it is not a member of  $B$ , which is a contradiction.