

# THE AU MIC DEBRIS DISK: FAR-INFRARED AND SUBMILLIMETER RESOLVED IMAGING

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## ABSTRACT

We present far-infrared and submillimeter maps from the *Herschel Space Observatory* and the James Clerk Maxwell Telescope of the debris disk host star AU Microscopii. Disk emission is detected at 70, 160, 250, 350, 450 and 850  $\mu\text{m}$ . The disk is resolved at 70, 160 and 450  $\mu\text{m}$ . In addition to the planetesimal belt, we detect thermal emission from AU Mic’s halo for the first time. In contrast to the scattered light images, no asymmetries are evident in the disk. The fractional luminosity of the disk is  $3.9 \times 10^{-4}$  and its mm-grain dust mass is  $0.01 M_{\oplus}$  ( $\pm 20\%$ ). We create a simple spatial model that reconciles the disk SED as a blackbody of  $53 \pm 2$  K (a composite of 39 and 50 K components) and the presence of small (non-blackbody) grains which populate the extended halo. The best fit model is consistent with the “birth ring” model explored in earlier works, i.e., an edge-on dust belt extending from 8.8-40 AU, but with an additional halo component with an  $r^{-1.5}$  surface density profile extending to the limits of sensitivity (140 AU). We confirm that AU Mic does not exert enough radiation force to blow out grains. For stellar mass loss rates of 10-100x solar, compact (zero porosity) grains can only be removed if they are very small; consistently with previous work, if the porosity is 0.9, then grains approaching 0.1  $\mu\text{m}$  can be removed via corpuscular forces (i.e., the stellar wind).

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## 1. INTRODUCTION

Debris disks are one of the most prevalent signposts that a stellar system succeeded in building up planetary scale bodies during the protoplanetary disk phase. Debris disks are collisionally sustained distributions of planetesimals, smaller rocky bodies, and dust around main sequence (and more evolved) stars. Because dust grains can be removed from the system through various physical processes, their presence is direct evidence of an unseen population of larger planetesimals, and potentially planets, in orbit around the star. At current sensitivity levels, debris disks are found around  $\gtrsim 20\%$  of nearby solar and A-type stars (Eiroa et al. 2013; Thureau et al. 2014; Matthews et al. 2014b, Matthews et al. in prep), with enhanced detection rates around younger stars, e.g., the Pleiades: Gorlova et al. (2006) find 25% for B and A-type stars while Sierchio et al. (2010) find 32% for solar types; the 10-20 Myr old Sco-Cen association: Chen et al. (2011) and Chen et al. (2012) find rates from 25 – 33%, varying with spectral type; and ensembles of A star populations: Su et al. (2006) find a rate of 32% at 24 and 70  $\mu\text{m}$ . There is, however, a relative paucity of disks detected around M stars (Matthews et al. 2014b). Recent surveys for debris disks have revealed very low detection rates for M star hosted debris disks compared to earlier type stars (Low et al. 2005; Gautier et al. 2007). For example, data from the DEBRIS survey with the *Herschel Space Observatory*<sup>23</sup> reveal just two disks in a sample of 89 observed M stars within 8.6 pc (Matthews et al. 2015, in prep). The mass sensitivity to M star disks in existing surveys, however, has not yet matched those of earlier spectral types (see Fig. 2 of Matthews et al. 2014b).

Several factors affect the detectability of disks around M stars. For example, grain removal by stellar winds is more efficient around M stars (e.g., Plavchan et al. 2005), suggesting that small grains (i.e.,  $< 1 \mu\text{m}$ ) may be removed from these systems at a rate higher than expected purely from radiation forces (Augereau & Beust 2006; Matthews et al. 2007). This effect may explain why the highest detection rate of  $13^{+6}_{-8}\%$  is found for a combination of submillimeter studies since these are sensitive to larger grains (Lestrade et al. 2006). Forbrich et al. (2008) find that for the 30 – 40 Myr old cluster NGC 2547, the detection rates of M star disks at 24  $\mu\text{m}$  exceeds that of G and K stars of the same age, suggesting that at least around very young stars, M star disks may be just as detectable as disks around earlier type stars, consistent with the bright disk detected around the M3IVe (Pecaut & Mamajek 2013) star AU Mic, the M3IVe (Pecaut & Mamajek 2013) star TWA 7’s disk (Matthews et al. 2007), and the recently identified disk (Kennedy et al. 2014) around the M4 star Fomalhaut C (Mamajek et al. 2013). Nevertheless, a disk of high fractional luminosity has also been detected and resolved around the significantly older, multiple planet host GJ 581 (Lestrade et al. 2012). Therefore, AU Mic, as the first and youngest nearby M star to have a detected disk, retains particular importance as a representative of its class.

AU Mic (GJ 803, HD 197481) was the only M star

detected to have a far-IR excess above its stellar photosphere (indicative of circumstellar dust) with IRAS (Faint Source Catalogue, Moshir & et al. 1990). A sub-millimeter excess was detected with photometric-mode observations with the SCUBA camera, and the emission was not resolved in a relatively shallow mapping observation (Liu et al. 2004). An excess was also seen with the CSO at 350  $\mu\text{m}$  (Chen et al. 2005). Ground-based imaging by Kalas et al. (2004) and subsequent high-resolution imaging and polarimetry from the *Hubble Space Telescope* by Krist et al. (2005) and Graham et al. (2007) revealed it to be the second debris disk spatially resolved at optical wavelengths, hosting an edge-on disk that extends to over 100 AU in radius. The Graham et al. (2007) HST polarization study revealed evidence of a change in the polarization properties at a radius of  $\sim 35$  AU and a dearth of micron-sized grains interior to 40 AU. Wilner et al. (2012) imaged the disk with the Submillimeter Array (SMA) and most recently, imaging with the Atacama Large Millimeter/submillimeter Array (ALMA) yielded a well-resolved disk image modeled as a narrow “birth ring” or “parent belt” of planetesimals at 40 AU (MacGregor et al. 2013). The ALMA data do suggest a reasonably wide dust belt extending inward to  $\sim 9$  AU though the dust surface density is strongly peaked near 40 AU and the inner edge is poorly constrained. In recent STIS observations, Schneider et al. (2014) report an “out-of-plane bump” on one side of the disk at  $\sim 13$  AU, interpreting this as a dust density enhancement, in contrast to the ALMA data that revealed no significant asymmetries. Schneider et al. (2014) also observe a brightness asymmetry between the two sides of the scattered light disk interior to 15-20 AU, a region that had not been cleanly imaged in earlier scattered light detections. Finally, the ALMA data also revealed an unresolved excess at the position of the star which MacGregor et al. note could be attributable to unresolved emission from an asteroid-like warm belt near the star. Cranmer et al. (2013), however, suggest coronal thermal heating could alternatively account for the observed excess at the position of the star.

AU Mic attains additional importance as a member of the Beta Pictoris Moving Group since, as such, it has a relatively well-established young age of  $23 \pm 3$  Myr (Binks & Jeffries 2014; Malo et al. 2014; Mamajek & Bell 2014), revised upward from  $12^{+8}_{-4}$  Myr (Zuckerman et al. 2001). Due to its youth and proximity ( $9.91 \pm 0.10$  pc, van Leeuwen 2007), AU Mic is a favored target for study. As described in detail by Wilner et al. (2012), its disk also shares many qualitative characteristics with the  $\beta$  Pictoris disk, including its edge-on geometry and extended scattered light emission (Kalas et al. 2004). The age of AU Mic is the currently favored epoch for the formation of terrestrial planets (Chambers 2014; Raymond et al. 2012). While M stars do host planetary systems, including the multiple planets around GJ 876 (Rivera et al. 2005), GJ 581 (Udry et al. 2007) and GJ 676A (Anglada-Escudé & Tuomi 2012). No planets have been detected around AU Mic, although Schneider et al. (2014) do suggest a potential planetary origin for the observed asymmetry in scattered light.

AU Mic is similar to other bright debris disks in that it possesses a bright “halo”, seen in scattered light im-

<sup>23</sup> *Herschel* is an ESA space observatory with science instruments provided by European-led Principal Investigator consortia and with important participation from NASA.

ages. In debris disk systems where the smallest grains are blown out of the system as soon as they are created, and larger grains are unaffected, there necessarily exists an intermediate size range where newly created particles are placed on eccentric orbits. The specific sizes of these particles depends on the stellar luminosity and mass loss rate, but in general they are smaller than  $10\ \mu\text{m}$ . These particles have pericenters within the parent belt (or birth ring), but apocenters extending to the maximum allowed by the local environment. Thus, they form a small-grain “halo” that surrounds the parent belt. In the case of AU Mic, the radiation force is relatively weak and the halo is created by the radial force exerted on the dust by the stellar wind, which is thought to be 10-100 times greater than the Solar wind (Strubbe & Chiang 2006; Augereau & Beust 2006). A key prediction is therefore that halos should be relatively faint at mm wavelengths where the small grains emit inefficiently, and thus only the parent belt is detected. This hypothesis has been confirmed by mm-wave observations of AU Mic (Wilner et al. 2012; MacGregor et al. 2013), as well as for other systems (e.g., Vega,  $\beta$  Pic, and HR 8799; Holland et al. 1998; Williams & Andrews 2006; Dent et al. 2014, respectively), thus explaining how debris disks can have different apparent sizes at different wavelengths. For both Vega and HR 8799 the halo has only been detected in mid/far-IR emission (Sibthorpe et al. 2010; Su et al. 2009; Matthews et al. 2014a).

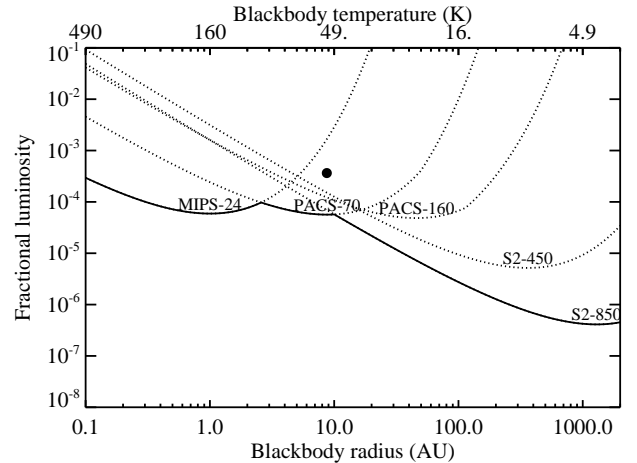
We present the first resolved far-infrared images of AU Mic’s debris disk from the *Herschel Space Observatory*’s PACS (Photodetector Array Camera and Spectrometer, Poglitsch et al. 2010) instrument at  $70\ \mu\text{m}$  and  $160\ \mu\text{m}$  and lower resolution submillimeter images from the SPIRE (Spectral and Photometric Imaging REceiver, Griffin et al. 2010) camera at  $250\ \mu\text{m}$ ,  $350\ \mu\text{m}$  and  $500\ \mu\text{m}$ . These data were taken during Guaranteed Time, as part of the Disk Evolution Key Program (PI: Olofsson). In addition, we present  $850\ \mu\text{m}$  and  $450\ \mu\text{m}$  data from the SCUBA-2 Observations of Nearby Stars (SONS) Survey and a PI program, taken with the SCUBA-2 camera (Holland et al. 2013). We describe our observations and data reduction in § 2 and present the data in § 3. In § 4, we discuss the new constraints these data place on models of the disk emission, namely the temperature of the planetesimal belt and halo and the extent of the halo in thermal emission. We summarize our conclusions in § 5.

## 2. OBSERVATIONS

A summary of details of the observations from *Herschel* (Pilbratt et al. 2010) and the James Clerk Maxwell Telescope (JCMT) is shown in Table 1. The relative sensitivities of *Spitzer*/MIPS24, *Herschel*/PACS and SCUBA-2 observations to the AU Mic disk in terms of fractional luminosity ( $L_{\text{disk}}/L_{\star}$ ) against blackbody radial dust location are shown in Figure 1.

### 2.1. *Herschel* Observations

Large area photometric mapping observations were performed using the PACS and SPIRE cameras at  $70\ \mu\text{m}$ ,  $160\ \mu\text{m}$ ,  $250\ \mu\text{m}$ ,  $350\ \mu\text{m}$  and  $500\ \mu\text{m}$ . The large scan-map mode was used and nearly perpendicular cross-linked scans were performed with both instruments. The



**Figure 1.** Relative sensitivities of *Spitzer* MIPS ( $24\ \mu\text{m}$ ), *Herschel* PACS and SCUBA-2 to dust emission at the level of AU Mic’s disk, shown as a black dot. Any disk above each instrument’s sensitivity curve will be detectable to that instrument. The best fit temperature and fractional luminosity of AU Mic render undetectable to MIPS at  $24\ \mu\text{m}$  (as reported by Chen et al. 2005), but detectable to PACS at  $70$  and  $160\ \mu\text{m}$  as well as SCUBA-2 at  $450$  and  $850\ \mu\text{m}$ .

total observing time was 3.8 hours. The images at each observed wavelength are shown in Figure 2.

The PACS observations used scan legs of  $7.4''$  with a scan-leg spacing of  $38''$ . Medium scan rate maps containing 11 scan legs were repeated 11 times in each scanning direction to achieve the required map depth. Data were obtained at both  $70\ \mu\text{m}$  and  $160\ \mu\text{m}$  simultaneously.

The nominal cross-linked large map observing parameters were used for the SPIRE observations, with a smaller map of 4 arcmin by 4 arcmin being executed. In total, ten repeat maps were used to reach the required depth.

The data were processed using version 13.0 of the *Herschel* interactive pipeline environment (HIPE, Ott 2010). The standard pipeline processing steps were used for both the PACS and SPIRE data. Versions 69 and 13.1 of the PACS and SPIRE calibration products were applied respectively. The final maps have pixel scales of 1, 2, 6, 10 and  $14''$  at  $70$ ,  $160$ ,  $250$ ,  $350$  and  $500\ \mu\text{m}$  respectively.

As part of the data processing, the PACS time-lines were first masked at the source location, as well as in other areas of bright emission, and then high-pass filtered to reduce the impact of  $1/f$  noise. The filtered data were then converted to maps using the ‘photProject’ task. Maps were likewise made from the SPIRE data using the ‘naiveMapper’ task. No filtering was performed on the SPIRE data.

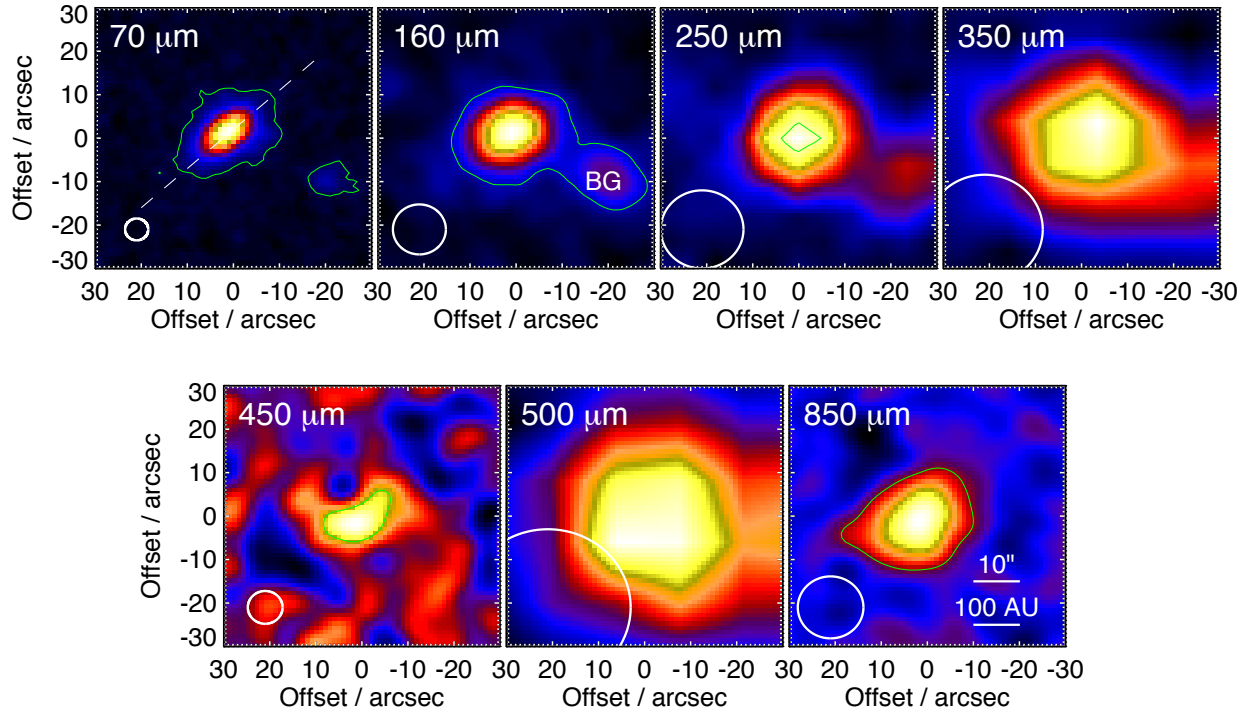
Observations of  $\alpha$  Tau and Neptune, adjusted to the correct spacecraft position angle, were used as the model PACS and SPIRE PSFs respectively, and used for model image convolution.

### 2.2. JCMT Observations

Observational data presented in this paper were also taken using the SCUBA-2 camera (Holland et al. 2013) on the JCMT. The data were obtained both as part of the SONS JCMT Legacy survey (Phillips et al. 2010) and a PI program. The observations were taken with the constant speed DAISY pattern (Holland et al. 2013), which maximizes exposure time and provides uniform cover-

**Table 1**  
Observations Log

Obs. ID	Observing date	Mode	Duration [s]	PWV [mm]
Herschel Space Observatory				
1342196038	9 May 2010	PacsPhoto 70/160 (scan 135)	5478	—
1342196103	9 May 2010	PacsPhoto 70/160 (scan 45)	5478	—
1342193786	5 April 2010	SpirePhoto	2906	—
James Clerk Maxwell Telescope				
SCUBA-2	22 April 2012	daisy scan 850/450	3910	1.06
	23 April 2012	daisy scan 850/450	3947	0.83 - 0.92
	17 May 2012	daisy scan 850/450	1960	0.92
	7 June 2012	daisy scan 850/450	1895	1.4
	16 August 2012	daisy scan 850/450	3787	0.86
	19 August 2012	daisy scan 850/450	3790	0.83

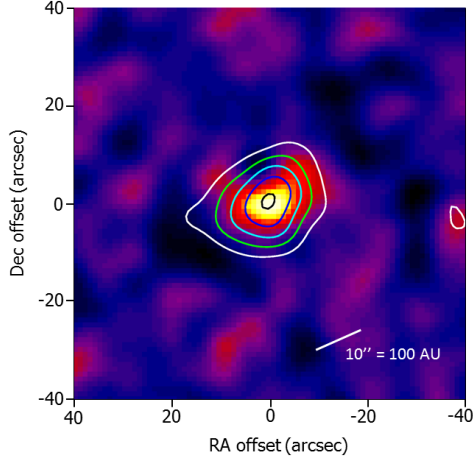


**Figure 2.** Far-infrared and submillimeter maps of AU Mic from *Herschel* and SCUBA-2. North is up and east is to the left. Different surface brightness scales are used for each map, and the pixel scales used are  $1''$  at  $70\ \mu\text{m}$ ,  $2''$  at  $160\ \mu\text{m}$ , and  $6''$ ,  $10''$  and  $14''$  at  $250\ \mu\text{m}$ ,  $350\ \mu\text{m}$  and  $500\ \mu\text{m}$ , respectively. The pixel scale of the JCMT maps is  $1''$ , and the maps are smoothed to a half- ( $850\ \mu\text{m}$ ) or full-width ( $450\ \mu\text{m}$ ) Gaussian. The green contours show the  $3 - \sigma$  level in each of the maps. The  $1 - \sigma$  rms levels are  $0.9\ \text{mJy beam}^{-1}$ ,  $9.0\ \text{mJy beam}^{-1}$ ,  $5.8\ \text{mJy beam}^{-1}$ ,  $6.3\ \text{mJy beam}^{-1}$ ,  $6.0\ \text{mJy beam}^{-1}$ ,  $6.8\ \text{mJy beam}^{-1}$  and  $0.9\ \text{mJy beam}^{-1}$  from  $70$  through  $850\ \mu\text{m}$ . The rms levels for the SPIRE data are the confusion limits of the instrument (*Herschel* Observers’ Manual). The background source, “BG”, is well isolated from the AU Mic disk emission at  $70\ \mu\text{m}$  and surrounded by a  $3 - \sigma$  contour at  $70\ \mu\text{m}$  and  $160\ \mu\text{m}$  (labeled).

age in the central  $3'$  diameter region of a field. The total integration time was just over 5 hours, split into 10 separate  $\sim 30$  minute observations. Observing conditions were generally excellent with precipitable water vapour levels less than 1 mm, corresponding to zenith sky opacities of around 1.0 and 0.2 at  $450$  and  $850$  microns respectively (equivalent to JCMT weather “grade 1”;  $\tau_{225\text{GHz}}$  of  $< 0.05$ ). The data were calibrated in flux density against the primary calibrator Uranus and also secondary calibrators CRL 618 and CRL 2688 from the JCMT calibrator list (Dempsey et al. 2013), with estimated calibration uncertainties amounting to 10% at  $450\ \mu\text{m}$  and 5% at  $850\ \mu\text{m}$ .

The SCUBA-2 data were reduced using the Dynamic

Iterative Map-Maker within the STARLINK SMURF package (Chapin et al. 2013) called from the ORAC-DR automated pipeline (Cavanagh et al. 2008). The map maker used a configuration file optimized for known position, compact sources. It adopts the technique of “zero-masking” in which the map is constrained to a mean value of zero (in this case outside a radius of  $60''$  from the center of the field), for all but the final iteration of the map maker (Chapin et al. 2013). The technique not only helps convergence in the iterative part of the map-making process but suppresses the large-scale ripples that can produce ringing artefacts. The data are also high-pass filtered at 1 Hz, corresponding to a spatial cut-off of  $\sim 150''$  for a typical DAISY scanning speed



**Figure 3.** SCUBA-2 imaging of the AU Mic disk. North is up and east is to the left. Composite image showing the extension of the disk along the known disk orientation (shown by the orientation of the scale bar) at  $450\ \mu\text{m}$  in greyscale with an overlay of the  $850\ \mu\text{m}$  contours. The contour levels are RMS levels of  $3\text{-}\sigma$  (white),  $6\text{-}\sigma$  (green),  $9\text{-}\sigma$  (light blue),  $12\text{-}\sigma$  (blue) and  $15\text{-}\sigma$  (black).

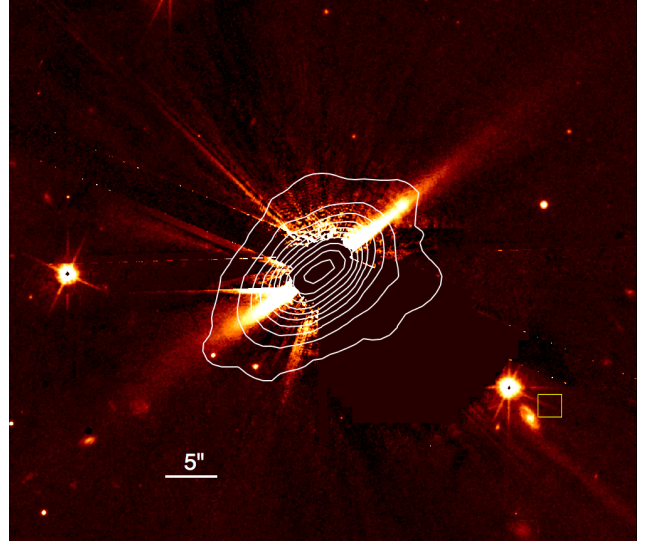
of  $155''/\text{s}$ . The filtering removes residual low-frequency (large spatial scale) noise and, along with the “zero-masking” technique, produces flat, uniform final images largely devoid of gradients and artefacts (Chapin et al. 2013).

To account for the attenuation of the signal as a result of the time series filtering, the pipeline re-makes each map with a fake 10 Jy Gaussian added to the raw data, but offset from the nominal map center by  $30''$  to avoid contamination with any detected source. The amplitude of the Gaussian in the output map gives the signal attenuation, and this correction is applied along with the flux conversion factor derived from the calibrator observations. The final images were made by coadding the 10 maps using inverse-variance weighting, re-gridded with 1-arcsec pixels at both wavelengths. The final images at both wavelengths have been smoothed with a  $7''$  FWHM Gaussian to improve the signal-to-noise ratio. The FWHMs of the primary beam are  $7.9''$  and  $13.0''$  at  $450\ \mu\text{m}$  and  $850\ \mu\text{m}$ , respectively.

### 3. RESULTS

Figure 2 shows the observed thermal emission on the sky toward AU Mic at seven wavelengths. The maps have been cropped to show only a  $1' \times 1'$  field centered on the star. AU Mic is detected at all wavelengths. The disk is resolved at 70 and  $160\ \mu\text{m}$  with *Herschel*. Two-dimensional Gaussian fits to the JCMT data yield sizes of  $16''.0 \times 8''.6$  at  $450\ \mu\text{m}$  (at  $PA \approx 135^\circ$ , aligned with the scattered light images) and  $16''.9 \times 14''.4$  at  $850\ \mu\text{m}$ , which suggest that the source is resolved along the major axis at  $450\ \mu\text{m}$  (as also indicated by the difference in the peak and integrated flux densities in Table 2) but only marginally at  $850\ \mu\text{m}$  (see composite Figure 3).

The PACS flux densities were measured using aperture photometry, with radii of  $17''$  at  $70\ \mu\text{m}$  and  $34''$  at  $160\ \mu\text{m}$ . We used aperture corrections of 0.81 and 0.85 at 70 and  $160\ \mu\text{m}$ , which were derived from a large set of calibration observations processed in the same way as the data. At  $160\ \mu\text{m}$ , this aperture includes the background source, whose point source flux was estimated in



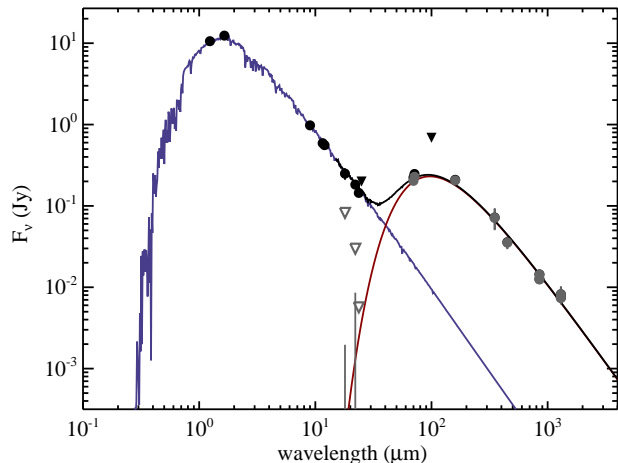
**Figure 4.** Optical image of AU Mic from HST showing the orientation and extent of the scattered light disk. North is up and east is to the left. The  $70\ \mu\text{m}$  emission from *Herschel* is overlaid. The disk is clearly resolved at  $70\ \mu\text{m}$ , and the disk orientations are consistent, to the limits of the *Herschel* resolution. The yellow box shows the position of the  $70\ \mu\text{m}$  source detected with *Herschel* at the epoch of the HST observations. The position is close to, but not coincident with, the optically visible galaxy approximately  $2''$  to the southeast.

the modelling described below and has been subtracted to estimate the AU Mic flux density alone. The RMS noise levels were estimated by integrating the flux within beam-sized circular apertures ( $\sim 10$  at  $70\ \mu\text{m}$ ), spaced by the FWHM beam, over the central  $2'$  diameter area. However, the PACS flux densities have an uncertainty that is largely set by the instrumental calibration, with some additional uncertainty at  $160\ \mu\text{m}$  to allow for subtraction of the background source. At  $70\ \mu\text{m}$  and  $160\ \mu\text{m}$ , the absolute flux calibration accuracies are 3% and 5% respectively (*Herschel* Observers’ Manual; Balog et al. 2014). Due to the depth of the image, the measurement errors are negligible compared to the calibration errors, so the combined residual sum of squares uncertainties are the same as the calibration errors. We attempted to measure SPIRE flux densities using PSF fits. Our flux extraction at SPIRE wavelengths, however, is severely impacted by our inability to separate the flux density of the AU Mic disk from nearby background objects, so we do not quote any fluxes. The JCMT flux density measurements were made using  $20''$  radius apertures, with the same method for uncertainty estimation. At these wavelengths, however, the calibration uncertainty ( $\sim 10\%$ ) is relatively unimportant.

Table 2 reports the peak flux densities and integrated flux densities of AU Mic at each observing wavelength. As well, we list the PSF-fit flux density of the “BG” background source identified in Figure 2. These measurements are found to be in good agreement with those derived from the image model of AU Mic described below.

Rebull et al. (2008) report flux densities of  $143 \pm 2$  mJy at  $24\ \mu\text{m}$ ,  $205 \pm 8$  mJy at  $70\ \mu\text{m}$ , and  $168 \pm 20$  at  $160\ \mu\text{m}$ . Plavchan et al. (2009) report updated flux densities of  $155.2 \pm 3.2$  mJy and  $223 \pm 26$  mJy at  $24$  and  $70\ \mu\text{m}$ .





**Figure 5.** SED of AU Mic and its disk. The fit to the stellar photosphere is given in blue; the disk fit is shown in red, and the composite stellar + disk spectrum is shown in black. Black symbols are observed flux densities and grey symbols show star-subtracted values. Inverted triangles show upper limits (the 100  $\mu\text{m}$  value is from IRAS). In the absence of resolved imaging, a single component disk would be a reasonable interpretation of this SED, for which the excess emission is well characterized by a pure blackbody.

The photospheric flux at 24  $\mu\text{m}$  is  $150 \pm 2$  mJy so there is no significant excess at this wavelength. Our measured flux density at 70  $\mu\text{m}$  from *Herschel* is consistent with the measured *Spitzer* flux density, while we measure a higher flux density (but consistent within  $2\text{-}\sigma$ ) than *Spitzer* at 160  $\mu\text{m}$ .

The only significant source of emission within  $1'$  of AU Mic is located at  $PA = 244.0^\circ$  and separation  $\sim 25''$ . To determine if this is a background galaxy, we checked the HST F814W observations made with ACS/WFC in 2004 (GO-10228; PI Kalas). Figure 4 shows the 70  $\mu\text{m}$  *Herschel* contours overlaid on the HST image. No galaxy is detected in these optical data that corresponds to the 70  $\mu\text{m}$  source to the southwest of AU Mic (position at epoch of optical data is given by the yellow box), although the galaxy in the optical image is quite close ( $\sim 2''$ , the pointing accuracy of *Herschel*). The absence of a coincident galaxy is not unexpected given the relative sensitivity to infrared faint galaxies of *Herschel* compared to optical observations.

The flux density distribution (which we loosely call the spectral energy distribution, or SED) of AU Mic and its disk is shown in Figure 5. To derive the stellar spectrum we compiled UBV, Hipparcos/Tycho-2, 2MASS, *Spitzer*, AKARI, and WISE photometry up to 12  $\mu\text{m}$ . We excluded the  $U-B$  color to avoid potential issues with variability due to flaring at the shortest wavelengths. The best fit to the BT-Settl stellar atmosphere models (Allard et al. 2012) yields  $T_{\text{eff}} = 3600 \pm 20$  K, a radius of  $0.83 R_\odot$ , and a luminosity of  $0.1 L_\odot$ . Low-res IRS *Spitzer* data range from 5 – 14  $\mu\text{m}$  and are consistent with the stellar photosphere, but not shown on Figure 5<sup>24</sup>.

We then subtracted the photospheric model from the flux densities at longer wavelengths, and fitted a pure blackbody to this star-subtracted photometry. The resulting model is shown in Figure 5, where the disk has a temperature of  $53 \pm 2$  K and a fractional luminosity of

$3.5 \times 10^{-4}$ . That the disk spectrum is well fit by a pure blackbody is surprising for two reasons; first, most debris disks have an emission spectrum steeper than Rayleigh-Jeans at long wavelengths (e.g., Wyatt 2008; Gáspár et al. 2012), and second, the disk is well known to be extended from scattered light imaging (Kalas et al. 2004; Liu 2004; Krist et al. 2005; Metchev et al. 2005; Fitzgerald et al. 2007; Graham et al. 2007; Schneider et al. 2014) so must comprise dust at a wide range of temperatures. The goal of the spatial modelling in the next section is to use a simple model to reconcile the disk extent and blackbody spectrum.

### 3.1. Modeling

We use a simple model to interpret the *Herschel*, SCUBA-2, and ALMA observations. Preliminary tests find that the *Herschel* 70  $\mu\text{m}$  image is significantly more extended than the parent belt imaged with ALMA at 1.3 mm, so the basic requirement of the model is that it reconciles the different extent of these images and produces a blackbody-like spectrum. As is already known, the solution is that the greater radial extent at 70  $\mu\text{m}$  originates in the halo of small grains also seen in scattered light, and that these are not seen with ALMA because these grains emit inefficiently at wavelengths significantly larger than their physical size. Thus, our model comprises two components; the first is the parent bodies for which we use the ALMA modelling results of MacGregor et al. (2013), and the second is a halo whose basic properties are to be informed by previous theory work and determined from the modelling.

With only six photometric points in the spectrum, and a only few beams of resolution in the new *Herschel* and JCMT images, our modelling approach is physically motivated but simple. It has been used previously to model many *Herschel*-resolved debris disks (e.g., Kennedy et al. 2012a,b; Matthews et al. 2014a). For each disk component a single azimuthally symmetric 3D dust distribution is used, which is simply a small scale height disk with a power-law surface density dependence between the inner and outer radii. At each radial location, the disk emission is assumed to arise from a modified blackbody, with a power-law radial temperature dependence. This approach is therefore largely empirical, and the derived blackbody parameters can be subsequently compared with more detailed dust models to draw conclusions about the dust properties, in particular their typical size. The limited resolution also precludes derivation of comparative radial profiles, as was done for HR 8799’s halo (Matthews et al. 2014a).

Practically, we generate high resolution images at each observed wavelength, as viewed from a specific direction to produce the desired disk geometry. We can include an arbitrary number of disk components, as well as point sources to model unresolved sources such as warm inner disk regions or background sources. Each image is then convolved with the appropriate point spread function (PSF), which may be an observation of a bright calibration source (i.e., PACS, SPIRE), or simply a Gaussian with specified width(s) and position angle (i.e., JCMT, ALMA), and then resampled to the resolution of the observation. We model the ALMA image and not the visibilities, which is acceptable given the good  $uv$  coverage and dynamic range of the ALMA data. The models are

<sup>24</sup> A high-resolution IRS *Spitzer* scan was examined but was not useable.

**Table 2**  
Measured fluxes

Wavelength [ $\mu\text{m}$ ]	Peak Flux [mJy beam $^{-1}$ ]	Integrated Flux [mJy]	$F_{BG}$ [mJy]	$F_{phot}$ [mJy]	Disk Flux [mJy]
70	$91.6 \pm 2.7$	$231 \pm 7$	$11.6 \pm 0.35$	19.6	$219 \pm 7$
160	$176 \pm 8.8$	$228 \pm 15$	$47.1 \pm 2.3$	3.6	$226 \pm 15$
450	$32.3 \pm 5.5$	$49.2 \pm 8.5$	non-detection	0.44	$35.4 \pm 8.5$
850	$12.9 \pm 0.9$	$14.0 \pm 1.5$	non-detection	0.10	$12.5 \pm 1.5$

**Note.** — The columns contain (1) the observing wavelength; (2) the peak flux intensity as measured from the maps of Figure 2; the uncertainty represents the  $1-\sigma$  RMS in the maps; (3) the integrated intensity measured by aperture photometry; (4) the flux of the SW background source as derived from a PSF fit, color-corrected; (5) the predicted flux density of the stellar photosphere, color-corrected; and (6) the disk flux, color-corrected. The uncertainties on the extrapolated photospheric fit are of the order of a few percent and therefore negligible compared with the measured uncertainties.

fit to the data using a combination of by-eye variation of parameters and least-squares minimization. Since we do not search all parameter space, our best-fit models are not necessarily unique, but must be considered a reasonable interpretation.

Our model is guided by previous modelling work. The parent belt is modeled using the structure derived by MacGregor et al. (2013) based on ALMA data, here using a surface density distribution between 8.8 AU and 40 AU with a radial power law dependence of  $\Sigma \propto r^{2.8}$ . The inner edge is not tightly constrained. MacGregor et al. find that the inner edge of the parent belt could be as far out as 21 AU, suggesting a much narrower disk width, though we note that Schneider et al. (2014) find evidence of a stello-symmetric warp in the disk with an outer edge of 15 AU, which supports the idea that some component of the disk has an inner edge closer than 21 AU. Note that we are calling this component the parent belt for convenience, and that the extent inward of 40 AU may be due to stellar wind drag from a true source region that is much narrower, but likely still concentrated at  $\sim 40$  AU. The emission properties of grains in this belt are assumed to be blackbody-like, and follow  $T_{PB} = T_{0,PB}/\sqrt{r}$ , meaning that the surface brightness profile matches the power-law found by MacGregor et al. (2013). Because radiation and wind forces are relatively weak for AU Mic, small dust can reside in the planetesimal belt and  $T_{0,PB}$  can be higher than expected for blackbodies, so we leave it as a free parameter. We also add the unresolved point source component at the stellar position seen in the ALMA data (MacGregor et al. 2013), though this source is too faint to be detected at any other wavelength (see § 3.2).

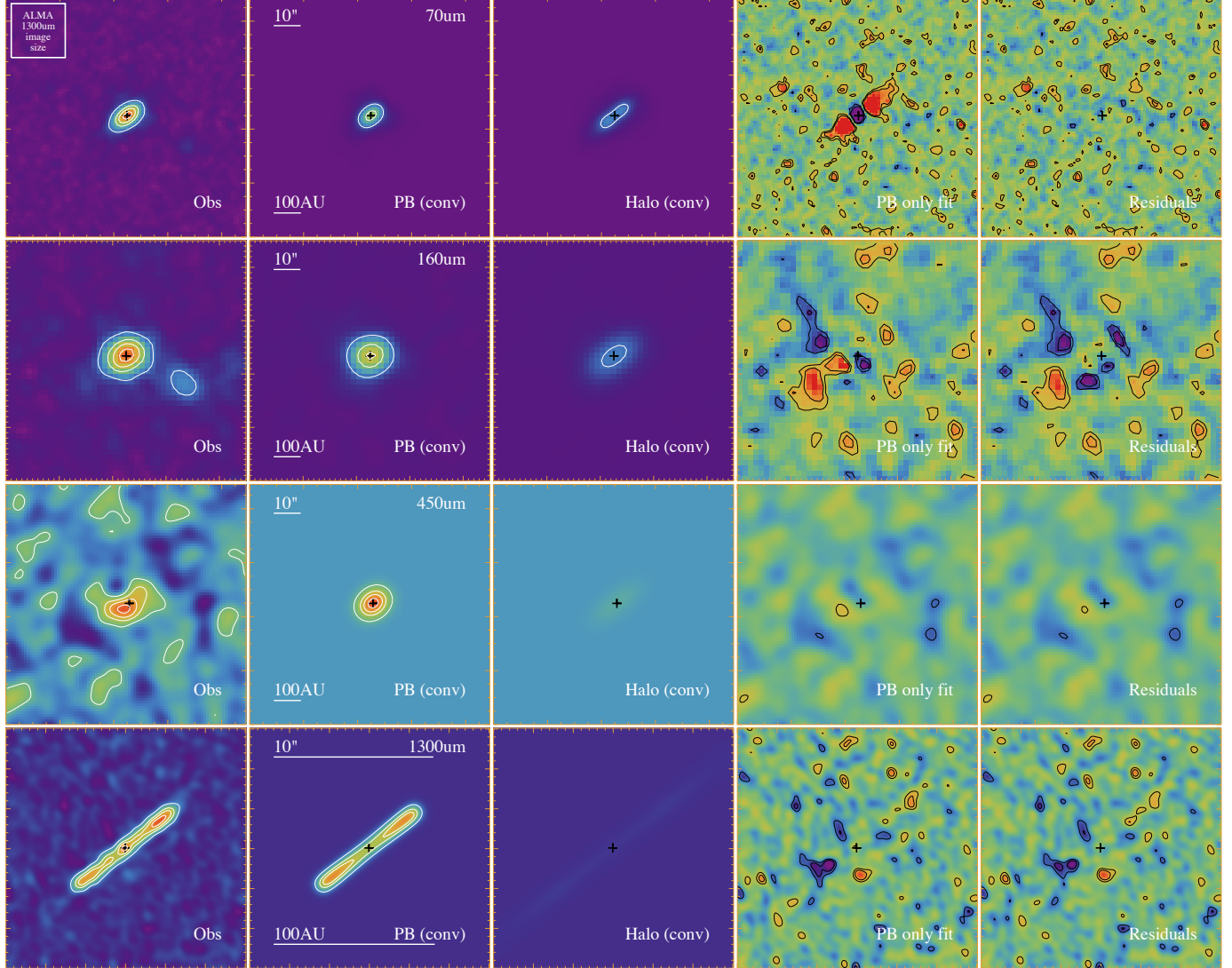
To reproduce the *Herschel* 70  $\mu\text{m}$  image we include the halo component, with a surface density profile fixed to  $\Sigma \propto r^{-1.5}$  (e.g., Strubbe & Chiang 2006), which extends from 40 AU to 140 AU. (The outer edge is poorly constrained by the data and fixed at 140 AU.) The surface density of this component is forced to join smoothly to the planetesimal belt, and the grain properties are allowed to vary via their temperatures and emission spectra. Their spectra are modified blackbodies (i.e., a Planck function multiplied by  $(\lambda_0/\lambda)^{\beta_\lambda}$  for  $\lambda > \lambda_0$ ), but we reduce the number of parameters by enforcing a temperature law suited for small grains,  $T_{\text{halo}} = T_{0,\text{halo}}r^{-1/3}$ . We vary  $\lambda_0$ ,  $\beta_\lambda$ , and  $T_{0,\text{halo}}$  as free parameters. As noted above we expect  $\lambda_0 \lesssim 100 \mu\text{m}$  and  $\beta_\lambda > 0$ , so that the halo is detected at 70  $\mu\text{m}$ , but not at 1.3 mm with ALMA.

These values are consistent with measured values of  $\lambda_0$  and  $\beta$  for other disks (i.e., Booth et al. 2013; Matthews et al. 2014a).

Finally, we include the point source visible to the west of AU Mic in Figure 2. This source is included in part to ensure it does not bias the model at 160  $\mu\text{m}$ , but is also used to derive a flux density to subtract from the 160  $\mu\text{m}$  aperture flux derived above. We also include the central point source seen in the 1.3 mm ALMA image. With this simple model we have four main parameters; one for the parent belt temperature and three for the halo component temperature and spectrum. There are in addition eight RA/Dec offsets for the *Herschel*, JCMT, and ALMA images, but these are relatively well constrained. The aim of the model fitting is therefore essentially to find the relative weight of the two components at each wavelength, thereby empirically deriving a coarse spectrum for each in a way that is independent of assumptions about grain properties. We found that the relatively poor resolution (excepting the ALMA data) means that the parameters are poorly constrained due to degeneracies, in particular for the halo component. However, all solutions we found are sufficiently similar that the ultimate interpretation is the same.

Figure 3 shows the original images, the parent belt and halo model components, and the model-subtracted residuals (for just the planetesimal belt and the full model) at wavelengths of 70  $\mu\text{m}$ , 160  $\mu\text{m}$ , 450  $\mu\text{m}$  and 1300  $\mu\text{m}$ . First, to make it clear that the halo is detected, the fourth column shows the data with only the parent belt subtracted. In this column the brightness of the parent belt is 1.8 and 1.4 times brighter than in the final model at 70 and 160  $\mu\text{m}$ . The 70  $\mu\text{m}$  residuals clearly show that the disk is more extended than the parent belt, and that a component with greater extent (i.e., the halo) is required. The fifth (rightmost) column shows the residuals with the addition of this component, and that our parent belt + halo model reproduces the data well. Thus, the halo contributes significant emission at 70  $\mu\text{m}$ , but little at longer wavelengths.

At all wavelengths, few residuals above the  $3-\sigma$  level are seen. The negative residuals at 160  $\mu\text{m}$  appear to lie along the scan directions (at roughly  $\pm 45^\circ$  relative to N) suggesting that they are artefacts of the imaging. The positive residual to the SE lies beyond the disk extent and is not seen on the opposite side of the star, so should not be considered as a deficiency of the model. The ALMA residual map has a bright point source offset from the



**Figure 6.** Resolved images of the AU Mic disk at (top to bottom) 70  $\mu\text{m}$ , 160  $\mu\text{m}$ , 450  $\mu\text{m}$  and 1300  $\mu\text{m}$  (left column, as in Figure 2) compared to the planetesimal belt (second column) and halo (third column) components. The fourth column shows residuals (and  $\pm 2$ - and  $3$ - $\sigma$  contours) when only the planetary belt model (multiplied by 1.8, 1.4, 1 and 1 for 70, 160, 450 and 1300  $\mu\text{m}$ , respectively) is removed from the data and the fifth column shows the residual when the full (parent belt + halo) model is subtracted from the data, and includes additional background components, which are most visible in the 160  $\mu\text{m}$  image. The color scale for the first three panels along each row is the same to show the relative contribution of each component. The color scale on each row is different, scaled to near the peak flux in the observed image at that wavelength. The color scale of the residual images are scaled to the same  $\pm\sigma$  level. While the residuals at 450  $\mu\text{m}$  are suggestively symmetric, they are not aligned with the known disk orientation and are barely at the  $2$ - $\sigma$  level. In addition, a point source at the stellar position was included in the model at 1.3 mm, consistent with the unresolved excess reported by MacGregor et al. (2013). The residual to the south of the star at 1.3 mm is assumed to be an unrelated background source.

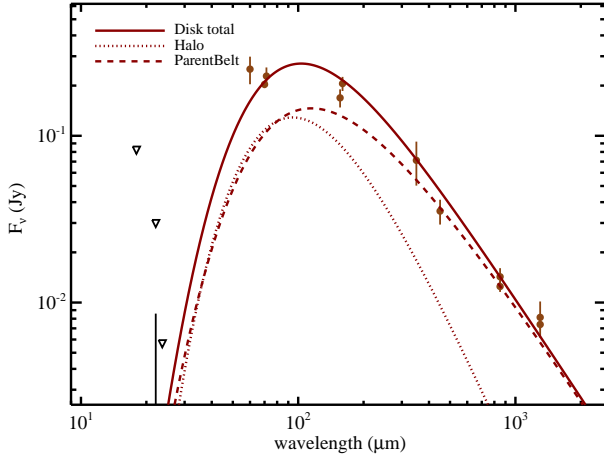
stellar position. This is a background source identified by MacGregor et al. (2013) as well. It is located  $0^{\circ}3'$  west and  $1^{\circ}8'$  south of the star and is detected with a flux density of 0.15 mJy (a  $5$ - $\sigma$  detection).

The main derived model parameters are  $T_{0,\text{PB}} = 245$  K,  $T_{0,\text{halo}} = 161$  K,  $\lambda_0 = 12$   $\mu\text{m}$ , and  $\beta_\lambda = 1$ . Because the structure of the parent belt is fixed,  $T_{0,\text{PB}}$  is moderately well constrained by the contribution required at 70  $\mu\text{m}$ . The halo properties, which are our primary interest, are less well constrained because it is only strongly detected at 70  $\mu\text{m}$ . However, the conclusion that  $\lambda_0$  is shorter than  $\sim 70$   $\mu\text{m}$ , and that  $\beta_\lambda \gtrsim 1$  is robust because the halo is required by the images to have little contribution

at 160  $\mu\text{m}$  and beyond. Given the conclusions of previous studies that the halo is populated by small dust on eccentric orbits these parameters are consistent with our expectations. The specific value of  $\lambda_0 = 12$   $\mu\text{m}$  suggests  $\sim 1$   $\mu\text{m}$  sized grains dominate the emission, but a poor constraint on this parameter means that the grains could be larger or smaller, though by no more than an order of magnitude. We return to the likely size of blowout grains when considering small-grain dynamics in § 4.2.

Figure 7 shows the star-subtracted SED and the disk model, and here the relative contributions of the two components as a function of wavelength can again be seen. We note that the ALMA fluxes (1300  $\mu\text{m}$ ) lie some-





**Figure 7.** Flux distribution of the disk around AU Mic. Subtraction of the stellar fit from Figure 5 reveals the distribution of the disk itself. Mid-infrared upper limits are shown from WISE (22  $\mu\text{m}$ ), *Spitzer* (24  $\mu\text{m}$ ) and *IRAS* (25  $\mu\text{m}$ ). Far-infrared detections at 60  $\mu\text{m}$  (*IRAS*) and 70  $\mu\text{m}$  and 160  $\mu\text{m}$  (*Spitzer* and *Herschel*) are shown. Submillimeter detections are from the CSO (350  $\mu\text{m}$ ), JCMT (450 and 850  $\mu\text{m}$ ) and ALMA (1.3 mm). The model components of the planetesimal belt (“parent belt”) and the halo are shown.

what above the fit, but are consistent with the model at the  $2 - \sigma$  level. We discuss the spectral index of the disk in § 4.3 below. As noted above, at 70  $\mu\text{m}$  the emission from the planetesimal belt and halo components is similar, but at longer wavelengths the planetesimal belt dominates. The planetesimal belt is dominated by emission at 40 AU, where the grains have a temperature of 39 K. The temperature of the halo component at that separation from the star is slightly higher (50 K), but poorly constrained due to the lack of resolution and degeneracy with  $\lambda_0$  and  $\beta_\lambda$ . The fact that these two components yield a composite spectrum that is a simple blackbody is a reminder of the power of resolved imaging, since much information can be hidden within a single component SED.

### 3.2. Central Asteroid Belt?

MacGregor et al. (2013) found evidence of an excess above the photosphere at the position of the star in their high resolution ALMA imaging, and we include this component in the ALMA model image. The residuals from fitting our dust model to the *Herschel* and JCMT images in Figure 6 show no sign of any other unresolved excess above the stellar photosphere, so the best we can do is place an upper limit on the emission from such a centralized component of the point-source sensitivity at a given wavelength (i.e.,  $3 - \sigma$ , MacGregor et al. 2013). It is also possible, given the considerably lower resolution of our observations, that such emission, if present, has been incorporated into the belt emission and removed, which adds uncertainty to the flux density of the belt.

From Fig. 4 of Cranmer et al. (2013), the coronal model of AU Mic predicts a flux of a few  $\mu\text{Jy}$  around 100  $\mu\text{m}$  with a relatively flat distribution shortward of 1300  $\mu\text{m}$  where the ALMA detection was made. Our point source sensitivity is on the order of 1 mJy at 70  $\mu\text{m}$  and  $\sim 10$  mJy at 160  $\mu\text{m}$ , meaning that, even in the absence of

the disk, we would not be able to detect a coronal thermal heating contribution to the total flux in the *Herschel* data.

### 3.3. Mass of the Disk

A direct estimate of the mass of dust in AU Mic’s debris disk can be made from its submillimeter flux densities. Debris disks are optically thin at these wavelengths, and so the mass of the disk is directly proportional to the emission, following the relation:

$$M_d = \frac{F_\nu d^2}{\kappa_\nu B_\nu(T_d)} \quad (1)$$

In the Rayleigh-Jeans limit, equation 1 reduces to

$$M_d[M_\oplus] = 5.8 \times 10^{-10} \frac{F_\nu[\text{mJy}] (d[\text{pc}])^2 (\lambda[\mu\text{m}])^2}{\kappa_\nu[\text{cm}^2\text{g}^{-1}] T_d[\text{K}]} \quad (2)$$

While the AU Mic SED supports a single temperature fit of 53 K, our image modeling requires a separate planetesimal belt of 39 K and a warmer halo, which contributes negligible flux density to the total emission at 850  $\mu\text{m}$  (see Fig. 7). This temperature is comparable to the 40 K adopted by Liu et al. (2004) in the absence of an SED temperature fit. The color corrected flux density measured with SCUBA-2 at 850  $\mu\text{m}$  (12.5 mJy) is within the  $1 - \sigma$  limit of the value measured by Liu et al. (2004) with SCUBA ( $14.4 \pm 1.8$  mJy), although the SCUBA measurement relied on single bolometer “photometry” mode, rather than a mapping technique, which could have missed some emission.

Taking 12.5 mJy as the 850  $\mu\text{m}$  flux density of the disk yields a slightly lower 1-mm grain dust mass of 0.01  $M_\oplus$  relative to the Liu et al. (2004) measurement, for the same dust opacity of  $1.7 \text{ cm}^2 \text{ g}^{-1}$ . The dominant sources of uncertainty in the mass are the flux density and the temperature of the planetesimal component of the disk, amounting to a 20% uncertainty in the disk mass.

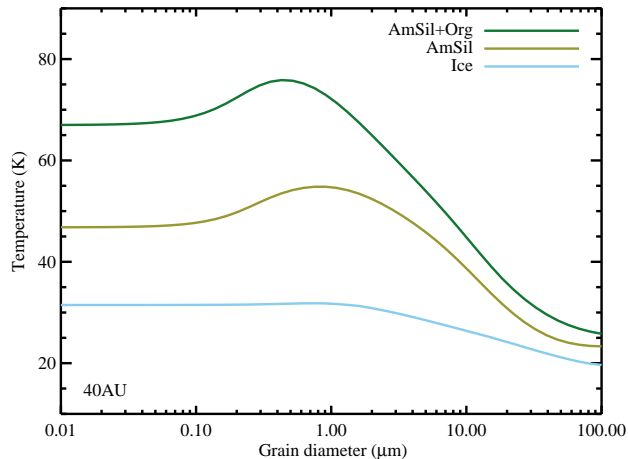
## 4. DISCUSSION

We now briefly consider the dust properties in more detail, using realistic grain models to compare the probable dust temperatures and sizes with those derived from the modelling.

### 4.1. Temperature of AU Mic Dust Grains

The SED fit to the disk around AU Mic requires only a single temperature component. The emission is well fit ( $\chi^2$  is minimized) from mid-IR through submillimeter wavelengths by a pure blackbody with a temperature of  $53 \pm 2$  K. Figure 7 shows, however, that the halo and planetesimal belt components could still be segregated in temperature, with the parent bodies of the belt colder ( $\sim 39$  K) than the halo (see also Fitzgerald et al. 2007).

Figure 8 shows the distribution of temperature with grain size for three grain compositions at the location of the planetesimal belt. The temperature distributions were calculated as in Augereau et al. (1999) and Wyatt & Dent (2002). We used three different compositions: i) a mix of 1 part amorphous astronomical silicates to 2 parts organics, ii) pure astronomical silicates, and iii) pure ice (Li & Greenberg 1997). The models shown in Figure 8



**Figure 8.** Temperature of grains as a function of diameter for three dust grain compositions. For the planetesimal belt temperature of 39 K, pure icy grains are ruled out for all considered grain sizes for compact, spherical grains, although other compositional mixes including ices could potentially satisfy the modeled temperature. See the text for an explanation of the grain compositions.

are not porous. Porous grains do not have the peak in temperature near 1  $\mu\text{m}$ , instead decreasing steadily to 20 – 25 K from maximum temperatures similar to the non-porous case. It is clear that pure water ice grains are ruled out at 39 K for all grain sizes, although we note that other compositional mixes could be modeled to satisfy the temperature distribution we have derived.

#### 4.2. Small-grain dynamics

Orbiting dust is subject to forces from both radiation and stellar winds. Around early-type stars radiation dominates, while stellar winds are thought to be more important for late-type stars such as AU Mic. These forces are commonly split into radial and tangential components. The radial component is called “radiation pressure” or the “radiation force”, and effectively reduces the stellar mass seen by the particle, with an analogous effect for the stellar wind. The tangential component is called Poynting-Robertson drag, and causes dust grains to spiral into the star, again with an analogous (and much stronger) effect for stellar wind. See Burns et al. (1979) for a detailed review.

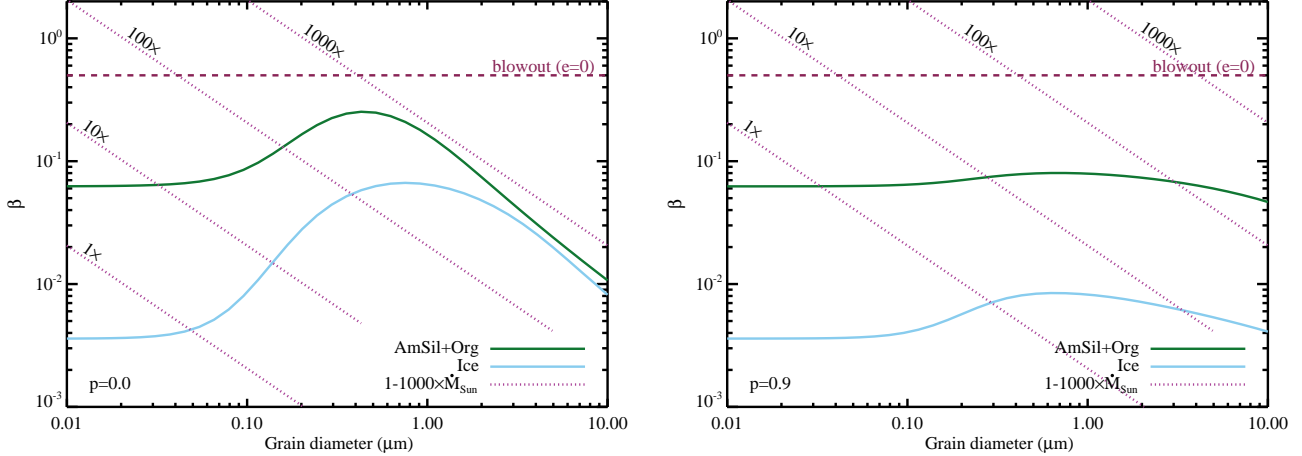
The small grain halo seen previously in scattered light and confirmed here in the far-IR is similar in morphology to those seen around other debris disks (e.g.,  $\beta$  Pic: Pantin et al. (1997); Augereau et al. (2001); HR 8799: Su et al. (2009); Matthews et al. (2014a)). In other systems, the halo is attributed to the effect of the radial component of the radiation force from the star, which increases the eccentricity of smallest bound grains. The key measure of this effect is the parameter  $\beta$ , the ratio of the radiation force to the gravitational attraction of the star (distinct from the mm-wave spectral slope  $\beta_\lambda$  used above). Grains with  $\beta > 1$  are unbound, and grains liberated from a parent body on a circular orbit are unbound if  $\beta > 0.5$ . Figure 9 shows  $\beta$  as a function of grain diameter, calculated for amorphous silicates + organics, and ice as described above. The solid curves show  $\beta$  for the effect of radiation force on solid grains (left panel) and porous grains (right panel). It is clear that a range of  $\beta$  is possible due to the poorly constrained grain com-

positions. Also, the assumption of Strubbe & Chiang (2006) that the radiation force blowout grain size exists (i.e., that  $\beta > 0.5$  for any size) is not well founded, particularly if the grains are porous. Essentially, the low luminosity of an M type star does not produce a strong enough radiation force to significantly affect the small grains. Even taking into account the flaring of the star is not enough to remove grains via the radiation force (Augereau & Beust 2006).

Unlike early-type stars, an M star can have a relatively strong stellar wind (Plavchan et al. 2005). The force from this particle wind causes a similar effect as the radiation force and is able to reproduce the scattered light halo around AU Mic (Augereau & Beust 2006). The dotted lines in Figure 9 demonstrate the effective  $\beta_{\text{SW}}$  parameter for the stellar wind force (using the prescription of Strubbe & Chiang 2006). The left panel shows that for compact grains the wind blowout size is very small,  $< 0.1 \mu\text{m}$  for the favored AU Mic mass loss rate of  $10 \times \dot{M}_\odot$  (Cully et al. 1994, based on EUV flaring). Such small grains are extremely poor scatterers of light, even at optical wavelengths, so they would appear very faint. Augereau & Beust (2006) get around this problem by including flares, which increase the time-averaged mass loss rate to  $300 \times \dot{M}_\odot$ . Such a high mass loss rate would however make the system transport-dominated rather than collision-dominated, i.e., stellar wind drag would also become important, filling the region interior to 30 AU with small dust grains in a manner contrary to the scattered light observations (Strubbe & Chiang 2006). Lim & White (1996) also point out that radio flares would be obscured if the stellar winds of M stars were many orders of magnitude more massive than the Sun’s.

The right panel of Figure 9 shows that porosity can also play an important role. By increasing the grain porosity, the effect of wind force increases, in turn increasing the blowout grain size. For  $p = 0.9$  the blowout size is an order of magnitude larger for the same mass loss rate, and the scattering efficiency of the grains greater, thus providing the likely solution. For a stellar wind rate of  $\sim 100 \dot{M}_\odot$  the size of halo grains is roughly 0.1 to 1  $\mu\text{m}$ . These grains have emission properties consistent with those derived from the image modelling above. This conclusion of high porosity compares well with Graham et al. (2007), Fitzgerald et al. (2007) and Shen et al. (2009) who all find that porosity is necessary to explain the scattering and polarization properties of the grains, although Shen et al. (2009) find that a composition of random aggregates require a porosity of just  $\sim 0.6$  compared to the 0.9-0.94 required by Graham et al. (2007) for Mie theory applied to spherical grains or aggregates.

The Strubbe & Chiang (2006) model of the disk as a collision-dominated, narrow birth ring from which the smallest grains are blown out does a good job of explaining the halo and lack of small grains interior to 30 AU. The recent ALMA results of MacGregor et al. (2013), however, show that there are indeed larger grains interior to the presumed birth ring. Given the 1300  $\mu\text{m}$  observing wavelength, those observations should be dominated by grains with  $a \sim \lambda/2\pi$ , or 200  $\mu\text{m}$ . Drag forces, which can act on larger grains over long timescales, are therefore a likely cause of this interior emission. Augereau & Beust (2006) concluded that Poynting-Robertson drag is



**Figure 9.** Effects of Porosity. The ratio of radiative to gravitational forces ( $\beta$ ) as a function of grain composition is shown. For zero porosity grains, there is no grain size that reaches the blowout condition ( $\beta = 0.5$ ) around AU Mic, although non-zero porosity can either decrease or enhance the  $\beta$  ratio, depending on grain size. For the higher porosity grains, the  $\beta$  values ultimately converge to be similar, following an inverse relation to grain size. Stellar wind effects on  $\beta_{\text{SW}}$  for 1, 10,  $10^2$  and  $10^3 \times \dot{M}_{\odot}$  are shown as dotted lines. Empirical evidence for other stars suggests that AU Mic may have a stellar wind level as high as, but not much higher than,  $10 \times \dot{M}_{\odot}$  (Strubbe & Chiang 2006).

negligible for AU Mic and that stellar wind drag may evacuate the inner regions (see also Strubbe & Chiang 2006). However, more recent work suggests that stellar wind drag can fill the interior regions to some degree, without seriously violating the scattered light constraints (Schüppler et al. 2015). Alternatively, the radially increasing surface density of this disk is reminiscent of a “self-stirred” disk that collisionally grinds down from the inside out (Kenyon & Bromley 2002; Kennedy & Wyatt 2010). Schüppler et al. (2015) also find that the self-stirred model is plausible for AU Mic’s disk.

#### 4.3. Spectral Index at millimeter wavelengths

The data compiled in this work provide a long lever arm to measure the spectral index,  $\alpha$  where  $F_{\nu} \propto \lambda^{-\alpha}$  is the emission from submillimeter through millimeter wavelengths. As noted above, the  $1300 \mu\text{m}$  fluxes from the SMA and ALMA both lie above the nominal “best fit” line of the models produced by fits to the SED and the images. Comparisons of the 350/1300 spectral index and the 450/1300 spectral index yields  $\alpha$  values ranging from  $\sim 1.5$  to close to 2.0, which was the inferred spectral index of 350 vs. 1300 (SMA) by Wilner et al. (2012). It is clear from the SED that these wavelengths are in the Rayleigh-Jeans part of the spectrum, which wasn’t definite based on the data available to Wilner et al. (2012) and Gáspár et al. (2012).

A spectral index of  $\sim 2$  is lower than most other debris disks. Based on the compiled data of Gáspár et al. (2012), the three A stars in that study ( $\beta$  Pictoris, Vega and Fomalhaut) have the highest value of  $\alpha$ , which could suggest that the size distribution is different around A stars, being more consistent with a collisional cascade. Gáspár et al. (2012) show that for a collisional quasi steady-state at a single temperature, the Rayleigh-Jeans tail of the SED should yield a spectral index of 2.65, for a self-similar collisional differential size distribution index of 3.65. The shallower slopes measured by Wilner et al. (2012) and a comparison of the  $450 \mu\text{m}$  flux density from SCUBA-2 of Table 2 (which actually seems to lie somewhat below the SED fit) and the elevated flux

densities measured by the SMA and ALMA at  $1300 \mu\text{m}$  suggest a size distribution index of 3.0, i.e., that the size distribution is not the product of a collisional cascade. The shallow slope may however arise from the way that the parent belt and halo components add to yield the total spectrum (Fig. 7, Fitzgerald et al. 2007). Such a shallow distribution constraint has also been noted for two IR bright disks (HD 377 and HD 104680) not detected with the VLA at 9 mm (Greaves et al. 2012). In AU Mic, it appears the grains may be very large ( $>> 1 \text{ mm}$ ). Longer wavelength observations of the disk beyond  $1300 \mu\text{m}$  would be very beneficial to further constrain the size distribution.

The spectral slope is also dependent on composition. Schüppler et al. (2015) present more detailed modeling of the AU Mic disk, including investigation of the impact of compositional dependence on the derived spectral slope. They find a best fit for a combination of silicate, carbon and vacuum in equal measure, with little change in the spectral slope fit with small additions of ice or variations of these materials. Their test also provides clear evidence for porous grains. As in our analysis, their SED fits also underestimate the  $1300 \mu\text{m}$  flux densities from SMA and ALMA, though as in our case, this result should be interpreted with caution since the exploration of the parameter space is limited.

#### 5. CONCLUSIONS

AU Mic’s halo has been known since the earliest scattered light images of the disk (Kalas et al. 2004), which revealed a radial extent of 210 AU. ALMA imaging has confirmed a planetesimal belt at  $\sim 40 \text{ AU}$ , as predicted by Strubbe & Chiang (2006) and Augereau & Beust (2006) and consistent with a break in the surface brightness profiles observed in scattered light (Metchev et al. 2005; Fitzgerald et al. 2007; Graham et al. 2007). Using *Herschel* and SCUBA-2 at the JCMT, we have detected and modeled the thermal emission from both the planetesimal belt and the halo at wavelengths of  $70 \mu\text{m}$ ,  $160 \mu\text{m}$ ,  $450 \mu\text{m}$  and  $1300 \mu\text{m}$ , the latter being ALMA data as presented by MacGregor et al. (2013).

We present a simple spatial model that utilizes the existing model of the planetesimal belt from ALMA imaging to reconcile the ostensibly single-temperature black-body SED of AU Mic's disk with the presence of emission from the extended halo. The best fit model is consistent with the birth ring model explored in Wilner et al. (2012) and MacGregor et al. (2013), a planetesimal belt extending from 8.8-40 AU, but with the addition of a shallow surface density profile halo dominated by grains roughly  $1\ \mu\text{m}$  in size.

We observe no asymmetries in the disk images, and the residual images all show that there is negligible emission unaccounted for by a smooth disk.

We confirm that AU Mic does not exert enough radiation force to blow out grains. We also find that for the inferred stellar mass loss rate of 10 times solar, compact (porosity = 0) grains can only be removed if they are very small; if the porosity reaches 0.9 or higher, then grains approaching  $0.1\ \mu\text{m}$  can be removed. This result suggests that a higher mass loss is favoured to place larger  $\sim 1\ \mu\text{m}$  grains in the halo, and a high degree of porosity in the grains of AU Mic, consistent with previous work on the scattering and polarization properties of the disk at optical wavelengths.

The spectral index of the planetesimal belt of AU Mic may be more shallow than our modeling suggests, if the  $450\ \mu\text{m}$  diminished flux density and  $1300\ \mu\text{m}$  elevated flux densities are real. The spectral index established from  $350\ \mu\text{m}$  and  $850\ \mu\text{m}$  data was already shallow at a value of  $\sim 2$ , but may be as low as 1.5, suggesting that the disk may have a grain size distribution inconsistent with that expected of a quasi steady-state collisional cascade.

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