

**PREDICTING TRUCK DRIVERS' CRITICAL EVENTS: EFFICIENT
BAYESIAN HIERARCHICAL MODELS**

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Dedication

I dedicate this dissertation to my parents, Zhimin Cai and Guizhen Xu, who believe in the power of higher education, hard work, and always support me.

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Chapter 1

INTRODUCTION

1.1 Transportation safety

Traffic safety is a pressing public health issue that involves huge lives losses and financial burden across the world and in the United States (US). As reported by the World Health Organization (WHO [2018b](#)), road injury was the eighth cause of death globally in 2016, killing approximately 1.4 million people, which consisted of about 2.5% of all deaths in the world. If no sustained action is taken, road injuries are predicted to be the seventh leading cause of death across the world by 2030 (WHO [2018a](#)). Compared to the victims who were claimed lives by diseases, people killed in traffic are mostly early- or middle-aged, particularly those aged 4 to 44 years old (Litman [2013](#); Evans [2014](#)). Without traffic accidents, these victims could have much longer lives with normal health.

Apart from fatal deaths, road traffic injuries were also reported to be the cause of 50 million non-fatal life injuries and approximately 75.5 million disability-adjusted life years globally (Staton et al. [2016](#)). In high-income countries, the majority of non-death costs were attributable to non-fatal crashes, with 2% of non-fatal events leading to over 40% of life-time medical costs (Ameratunga, Hajar, and Norton [2006](#)). Besides non-fatal injuries, traffic safety is a major economic burden. The global economic losses attributable to transportation safety were estimated to be 518 billion the United States Dollars (USD), which accounted for 1%

the gross domestic product (GDP) in low-income countries, 1.5% in middle-income countries, and 2% in high-income countries (Peden et al. 2004; Dalal et al. 2013).

Specifically in the United States, transportation contributed to the highest number of fatal occupational injuries, leading to 2,077 deaths and accounting for over 40% of all fatal occupational injuries in 2017 (The United States, Bureau of Labor Statistics 2017). The National Safety Council reported that the number of deaths attributable to car crashes will be at least 40,000 in 2018, which is the third straight year that this number is over 40,000 (The National Safety Council 2018). A comparison study of 26 developed countries revealed that 20 to 60 traffic deaths per billion kilometers were reduced from 2011 (Evans 2014). Even though fatality rates attributable to road traffic in the US were reduced by 40% during that period, the rates declined more rapidly in all other 25 countries. Given the large amounts of investments in roads, improved vehicle protection and traffic policy implementation, and advanced emergency and trauma care, the reduction in traffic associated fatality rates is nominal (Litman 2013). If the change of traffic fatality rates match those in other unremarkable countries, 20,000 traffic deaths could have been prevented each year (Evans 2014).

The impact of road injuries is even more impactful in developing countries than in developed countries (Goonewardene et al. 2010). Although low- and middle-income countries own only 48% of the registered vehicles in the world, 90% of road traffic fatalities and injuries were estimated to occur in these countries, which continue to be escalating due to rapid urbanization and motorization (Dalal et al. 2013; Staton et al. 2016). Ten developing countries, including Brazil, Cambodia, China, Egypt, India, Kenya, Mexico, Russia, Turkey, and Vietnam, account for almost half of all the road traffic in the world (Hyder et al. 2012). For example, China has around 100,000 traffic-related fatalities each year (Zhang et al. 2010), accounting for around 80% of all accidental deaths, with 87% of them were caused by motor vehicles in 2015 (Jiang et al. 2017). In comparison, 148,707 lives were claimed by road collisions in India in 2015, with the road fatality rate similar to the global average level of 17.4

deaths per 100,000 people (National Crime Records Bureau, Government of India [2015](#)).

1.2 Trucking safety

In the US, the large commercial truck industry is the backbone of the economy. Approximately 70% of freight is delivered via a truck at some point of their transportation, which account for 73.1% of value and 71.3% of volume of the domestic goods (Olson et al. [2016](#); Anderson et al. [2017](#)). However, among all vehicles, large trucks are associated with more catastrophic accidents and therefore are the primary concern of traffic safety. In 2016, the Federal Motor Carrier Safety Administration (FMCSA) reported that 27% fatal crashes in work zones involved large trucks (FMCSA [2018](#)). Among all 4,079 crashes involving large trucks or buses in 2016, 4,564 people (1.12 people per crash) were killed in the accidents (FMCSA [2016](#)). Large truck crashes approximately claim 5,000 lives and cause 120,000 injuries each year, but only 15% of these fatalities occur in the trucks, with a predominate 78% occurred in the other vehicles (Neeley and Richardson Jr [2009](#)). Besides, the economic losses associated with large truck crashes are also higher than those with passenger vehicles, with an estimated average cost of 91,000 US dollars per crash (Zaloshnja, Miller, and others [2008](#)).

The high risk of large trucks is attributed to two aspects of reasons (Huang et al. [2013](#)). First, large truck drivers generally need to drive alone for long routes, under on-time demands, challenging weather and traffic conditions. Professional truck drivers usually need to work in shifts, and sometimes unavoidable late-night or early-morning shifts (Pylkkönen et al. [2015](#)). These late-night or early-morning working shifts have been reported to be associated with sleep deprivation and disorders (Åkerstedt [1988](#); Mitler et al. [1997](#); Solomon et al. [2004](#); Sallinen et al. [2005](#)). Besides, commercial truck drivers are exposed to long route, constant concentration, and overtime work, which intertwines with sleep deprivation and disorder, and induce the fatigue symptoms among truck drivers. It is estimated that fatigue among long distance truck drivers caused up to 31% of single vehicle fatal truck crashes (National

Transportation Safety Board [1990](#); Mitler et al. [1997](#)).

On the other hand, trucks have huge weights, large physical dimensions, and potentially carry hazardous cargoes. Although these huge-size trucks boost the transportation efficiency by increasing cargo capacity and reducing fuel costs per trip, they also raise public safety concerns (Lemp, Kockelman, and Unnikrishnan [2011](#)). Large trucks can weight up to 80,000 pounds by federal law, which are twenty times as much as a passenger vehicle (Department of Transportation, Utah [2019](#)). If these trucks travel at the speed of 65 miles per hour on the highway, it will take them 525 feet to stop, which is about two times the length of a football field (Department of Transportation, Utah [2019](#)). The large physical size also creates large blind spots on both sides of the truck, which poses more threat on smaller-sized vehicles. When a crash occurs between a large truck and a smaller vehicle, the sheer size and weight of the truck result in the tragedy that the victims are from the smaller vehicle instead of the trucks in around 80% of the cases (Neeley and Richardson Jr [2009](#)). In even worse case, commercial trucks crashes can cause massive casualties and regional public health emergency when the carried hazardous materials (such as gasoline and sulfuric acid) are leaked.

The importance of truck industry and the potential catastrophic consequences of truck crashes underscore the need to reduce crash risk and improve the safety of truck transportation.

1.3 Crashes and critical events

To reduce the lives and economic losses associated with trucks, numerous studies attempted to screen the risk factors for truck-related traffic crashes and make accurate prediction. Data used in these studies are characterized by rare events (dozens to thousands of times fewer crashes than non-crashes) (Theofilatos et al. [2016](#), [2018](#)). Therefore, the most common study design is a case-control study, matching a crash with one to up to ten non-crashes, and use statistical models such as logistic regressions to explain the causes or predict the crashes (Braver et al. [1997](#); C. Chen and Xie [2014](#); Meulenens et al. [2015](#); Née et al. [2019](#)). However,

a case-control study is limited in estimating the incidence data. It may be contentious in selecting the ratio of controls to cases and how to select these controls (Grimes and Schulz 2005; Sedgwick 2014). Due to the retrospective nature of crash data, it is also unrealistic to trace back to the real-time traffic, weather, and other environmental factors that were associated with the crashes.

Past truck safety literature almost exclusively focused on crashes, while ignoring the precursors to crashes. A precursor to crashes, also known as a critical events, adverse events, or near-miss crashes, is a pattern or signature associated with an increasing chance of truck crash (Saleh et al. 2013; Janakiraman, Matthews, and Oza 2016). Truck critical events deserve more attention since they occur more frequently than crashes, suggest fatigue and a lapse in performance, and they can lead to giant crashes (Dingus et al. 2006).

With the rapid development of modern technology, real-time driving and critical events data are recorded more and more by truck companies (Janakiraman, Matthews, and Oza 2016). Although critical events do not always result in an accident, they could potentially be used as an early warning system to mitigate or prevent truck crashes (Kusano and Gabler 2012; Rome et al. 2018).

1.4 Proposal

I propose using real-time truck ping data provided by the J.B. Hunt, real-time weather and traffic data to

- 1) **quantify the association between truck crashes and critical events;**
- 2) **construct predictive models for truck critical events;**
- 3) **establish scalable Bayesian hierarchical models for large truck data.**

I believe that this work will contribute to statistical theories in constructing scalable Bayesian hierarchical statistical and reliability models using modern Markov Chain Monte Carlo methods. Realistically, these predictive models will inform policy-makers the functional

relationship between driver characteristics, traffic, weather, and other real-time driving environment. These predictive models can be further used to provide data-driven justification to optimize trucking routes and minimize unsafe driving behaviors.

Chapter 2

LITERATURE REVIEW

2.1 Precursors to crashes

2.2 Risk factors

2.2.1 Fatigue

Among all driver-related safety critical events, fatigue has become the most pressing problem of traffic accidents. It is estimated by National Sleep Foundation that approximately 32% of drivers in U.S drive with fatigue over twice a month (National Sleep Foundation [2008](#)). The American Automobile Association Foundation for Traffic Safety claimed that 16.5% of fatal traffic accidents and 12.5% of collisions related to injuries in the US in 2010 were associated with driving with fatigue (American Automobile Association Foundation for Traffic Safety [2010](#)).

(Anderson et al. [2017](#))

Federal Motor Carrier Safety Administration ([2017](#)) demands that property-carrying drivers should not drive more than 14 consecutive hours after coming on duty after 10 hours of rest. This 14-hour restriction cannot be extended by off-duty time.

Drowsy driving is an especially common practice in less-developed countries because

of cost control and tight schedule. Surveys of commercial and public road transportation companies in less-developed countries showed that employers were frequently forcing their employees to drive for longer hours and keep working even when they were exhausted (Zhang et al. 2016; Odero, Khayesi, and Heda 2003; Nantulya and Reich 2002). High proportions of drowsy driving have been found among Brazilian (22%) (Canani et al. 2005), Argentinean (44%) (Pérez-Chada et al. 2005), Pakistani (54%) (Azam et al. 2014), and Thai (75%) (Leechawengwongs et al. 2006) truck or bus drivers. The mechanism of fatigue leading to safety critical events is that a driver's capability to stay alert to ambient traffic and pedestrians will be largely impaired. The reaction time is subsequently prolonged in that situation (Zhang et al. 2014). It is estimated that 17 hours of continuous working lead to a deterioration of driving performance equivalent to a blood alcohol level of 0.05% (MacLean, Davies, and Thiele 2003). What makes the outcomes worse is that fatigue driving is more likely to happen on expressways and major highways where the speed limit is over 55 miles per hour (Knipling and Wang 1994). This is especially concerning because fatigue driving safety critical events are more likely to result in serious injuries and fatalities, compared with non-fatigue driving safety critical events.

Stern et al. (2018) reviewed the research related to fatigue of commercial motor vehicle drivers. Because of the difficulty of running a controlled experiment by imposing treatments, most research designs are observational studies, that is, they compare the effects of variables that are observed, not imposed. One exception to this is a *randomized encouragement design* where drivers are randomized to receive some sort of incentive to apply some treatment, but are not forced to do so. If an effect is observed, we would conclude that it is due to the incentive, not necessarily to the actual treatment. Many studies use a cohort design or a case-control study. In a cohort design, a number of drivers is identified and studied across time. In a case-control study, a number of cases (e.g., crashes, or some other safety measure) are identified and are matched with controls; focus is then placed on the differences between the cases and controls. Both cohort studies and case-control studies can be useful in assessing

safety.

2.2.2 Fatigue measurement

The reason why little has been done about drowsy driving is there is no simple way to objectively measure fatigue driving (Dement [1997](#)).

2.2.3 Driver characteristics

A study by Pack et al. ([1995](#)) revealed that the drivers were 25 years of age or younger in over a half of the 5,000 highway crashes in which they fell asleep whiling driving.

(Duke, Guest, and Boggess [2010](#)) Age-related safety.

Another driver-related risk factor of driving safety critical events is drivers' age. In many developing countries, to meet the huge demand services and supply chain management, it is very common to extend the retirement age or reemploy retired workers (Popkin et al. [2008](#)). Aging drivers increase the chance of the safety critical events in three aspects: impaired eyesight, prolonged reaction time to exogenous stimuli, and vulnerability to fatigue (Di Milia et al. [2011](#)). Aged drivers are associated with eyesight diseases or functionality impairment, such as cataracts, narrowed peripheral vision and decreasing visual acuity (Di Milia et al. [2011](#)). In addition, working for truck companies often means irregular shifts and taking the night schedules, which disrupt the circadian time-keeping systems, especially for the aged workers (Moneta et al. [1996](#)).

Aged drivers may find it much more difficult to adjust for the sleep-wake cycle to keep pace with the schedule required by the employer company. Therefore, this disruption of the circadian systems, in turn, increases the chances to feel sleepy or fatigue for workers. It is indicated by research that the “critical age” of shiftwork intolerance is about 45 to 50 years, at which sleep disorder, persisting fatigue and digestive problems become the most obvious (Di Milia et al. [2011](#)). Young drivers are much better in the sense of physical health and resistance to fatigue compared with aged drivers, however, they are more vulnerable regarding

the experience of driving. A study conducted by Clarke suggested that young drivers (17 – 19 years old), especially males, have significantly more accidents than other drivers during the hours of darkness, on rural curves, and rear-end shunts compared with male drivers aged 20 -25 years (Clarke et al. 2006). The reasons for these young driver accidents were not fully explained, but could largely be attributed to inexperience.

One more risk factor that could explain driving safety critical events is drivers' gender. Gender has been suggested to be related with outcomes in medical treatment, education, sports and other fields, and there is no exception for truck drivers' safety. In the first place, women are more likely to suffer from fatigue compared with men. A study found that women in general have 1.4 times higher chance of complaining of fatigue than men (Fjell et al. 2008). However, females are found to have longer sleeping hours than their male counterparts of the same race (Lauderdale et al. 2006). In that study, it was found that the mean sleep hours for white females was 6.7 hours compared with 6.1 hours for white males, and 5.9 hours for black female compared with 5.1 hours for black males even after adjusting for socioeconomic status, lifestyle and sleep apnea (Lauderdale et al. 2006). Gender differences are huge in terms of working conditions. Females had significantly fewer working hours per week, with 47 hours versus 52 hours per week (Rotenberg et al. 2008). In general, women tend to work fewer hours within a week but are more prone to feel fatigue and have a higher risk of traffic incidences.

2.2.4 Traffic

Although it is generally believed that lower **speed limits** can reduce the chances of traffic crashes, studies on speed limits and traffic fatalities showed inconsistent results (Neeley and Richardson Jr 2009; Korkut, Ishak, and Wolshon 2010; Zhu and Srinivasan 2011; Davis et al. 2015).

2.2.5 Weather

(Naik et al. 2016)

Weather has both direct and indirect effects on drivers' safety critical events. On one hand, the increase of ambient temperature places risks on drivers' occupational safety, and possibly leads to cognition loss, heat stroke, and impairment of wakefulness. Evidence showed that the risk of mistakes and safety critical events increase in hot weather (Kjellstrom et al. 2009; Basagaña et al. 2015). Leard and Roth found that for a day with temperature above 80F there is a 9.5% increase in fatality rates compared with a day at 50-60 F (Leard, Roth, and others 2015). A literature review found that 11 out of 13 studies indicated an increase in unintentional injuries associated with high temperatures (Kampe, Kovats, and Hajat 2016). On the other hand, real-time extreme weather conditions such as heavy rain, fog, storm, and snow can either impair the driver's visual capability or reduce the safety of driving on the road (Chang and Chen 2005; Al-Ghamdi 2007; Baker and Reynolds 1992). It is to noted that the cumulative time of driving in such extreme weather conditions could increase the chances of safety critical events. Studies that explore the association between precipitation and driving safety critical events consistently find a negative relationship. The positive linear relationship between precipitation and traffic accidents can be observed in both driver accidents and pedestrian accidents (Al-Ghamdi 2007; Graham and Glaister 2003). Abdel-Aty et al. used detector and sensor data to successfully predict more than 70% of accidents with low visibility conditions (Abdel-Aty et al. 2012). The common problem for the literature exploring the relationship between ambient weather and safety driving critical events is the failure to include the cumulative effect of weather conditions. Instead, they all use an indicator variable to represent whether extreme weather happened during the trip or not, which could lead to potential bias in prediction models.

2.2.6 Time of the day

Pack et al. (1995)'s analysis reported the crashes in which the drivers fell asleep occurred primarily from mid-night to 7 a.m. and from 2 p.m. to 4 p.m.

2.3 Predictive models

2.3.1 Bayesian models

In contrast to traditional frequentist models that view parameters as unknown but fixed values, Bayesian models view parameters as random variables that have probability distributions (Gelman et al. 2013).

$$\begin{aligned} p(\theta|\mathbf{X}) &= \frac{p(\theta)p(\mathbf{X}|\theta)}{p(\mathbf{X})} \\ &= \frac{p(\theta)p(\mathbf{X}|\theta)}{\int p(\theta)p(\mathbf{X}|\theta)d\theta} \end{aligned} \tag{2.1}$$

Where $p(\theta|\mathbf{X})$ is the posterior distribution of the parameters, $p(\theta)$ is the prior distribution of the parameters, $p(\mathbf{X}|\theta)$ is the likelihood function, and $\int p(\theta)p(\mathbf{X}|\theta)d\theta$ is a normalizing constant.

The prior distribution reflects the researcher's subjective belief on the parameters before observing any data. The likelihood function reflects the data generating process that gives rise to the data observed. The posterior distribution is an unconditional distribution that includes the researcher's belief on the parameters after observing the data. The prior and likelihood function are straightforward since they both have analytical forms. The most tricky part of Bayesian inference is the normalizing constant in the denominator (Gelman et al. 2013).

The normalizing constant need to make the posterior distribution integrate to one since the posterior is supposed to be a probability density distribution. When there are more than

two parameters in the model, the normalizing constant often becomes intractable since it involves integration in multiple dimensions. Modern Bayesian inference often uses numerical methods, such as Markov chain Monte Carlo (MCMC) methods, to calculate or approximate this constant.

Advantages of Bayesian inference

Posterior predictive distribution.

2.3.2 Hierarchical models

Driver-focused statistical models (Cantor et al. [2010](#)).

2.4 Scalable Bayesian models

In modern statistics, Bayesian inference almost indispensably relies on Markov chain Monte Carlo (MCMC) sampling to overcome the intractable denominator in the Bayes Theorem (Equation (2.1)). MCMC can characterize an unknown unconditional distribution without knowing its all mathematical properties by sampling from the distribution (Van Ravenzwaaij, Cassey, and Brown [2018](#)). MCMC has been widely applied in multiple fields such as statistics, physics, chemistry, and computer science (Craiu and Rosenthal [2014](#)). The most notable application of MCMC is probably in Bayesian inference, in which it has been used to draw samples from the posterior distribution and calculate relevant statistics (such as mean, standard deviation, and intervals).

The first proposal of using MCMC dates back to the paper by Metropolis et al. ([1953](#)), in which they tried to solve an intractable integral with a random walk MCMC. They defined a proposal probability $a(q, q')$.

$$a(q, q') = \min \left(1, \frac{\pi(q')}{\pi(q)} \right)$$

After decades of successful empirical trials in physics (Betancourt [2019](#)), Hastings ([1970](#))

later proposed a more generalized form of the Metropolis algorithm, in which the proposal distribution can be arbitrary, with a modified acceptance probability $a^*(q, q')$:

$$a^*(q, q') = \min \left(1, \frac{Q(q|q')\pi(q')}{Q(q'|q)\pi(q)} \right)$$

A few years later, Gibbs sampler was proposed with the idea that each parameter is updated one at a time by generating samples from the conditional distribution (Geman and Geman 1987; Gelfand and Smith 1990). It decomposes a multivariate probability distribution into univariate conditional distributions (Betancourt 2019), which boosted applied Bayesian statistical models at that time. The development of the software *Bayesian inference Using Gibbs Sampler (BUGS)* (Lunn et al. 2000, 2009) was critical in increasing the popularity of applied Bayesian analyses by supporting a variety of statistical distributions and automatically applying Gibbs Sampler.

The limitation of these traditional methods. (Quiroz 2015)

2.4.1 Hamiltonian Monte Carlo

(Betancourt 2017, 2019; Neal and others 2011)

2.4.2 Integrated Laplace Approximation (INLA)

(Rue, Martino, and Chopin 2009; Lindgren, Rue, and Lindström 2011; Martins et al. 2013; De Coninck et al. 2016; Rue et al. 2017; Verbosio et al. 2017; Kourounis, Fuchs, and Schenk 2018)

2.4.3 Subsampling MCMC

Stochastic Gradient HMC

(Quiroz et al., n.d., 2016; Gunawan et al. 2018; Quiroz, Tran, et al. 2018; Quiroz, Kohn, et al. 2018; Dang et al. 2017; Quiroz 2015)

T. Chen, Fox, and Guestrin (2014)

2.5 Conceptual framework

Cantor et al. (2010) suggested three factors that cause truck crashes: driver factors, vehicle factors (type and condition), and environmental factors.

Roshandel, Zheng, and Washington (2015) proposed five factors that affect traffic safety: (a) behavioral characteristics of the driver, e.g., impairment, fatigue, distractions; (b) vehicle — the condition of the vehicle; (c) traffic — the traffic conditions; (d) geometry — geometric characteristics of the road, e.g. curve, hill, ramps, etc.; and (e) environmental — characteristics of the surrounding environment, such as weather conditions (rain, snow, night-time driving, etc.). Traffic conditions are the most studied of these and we focus on discussing them in this subsection.

2.6 Gaps in literature

- A focus on crashes instead of precursors of crashes
- A focus on road segments rather than drivers
- A focus on case-control comparison given the rareness of truck crashes rather than rates

2.7 Research aims

Aim1: Explore the association between truck crashes and critical events.

Aim2: Predict critical events using hierarchical statistical models.

Aim3: scalable hierarchical Bayesian models using subsampling MCMC.

Chapter 3

METHODS

3.1 Data source

3.1.1 Real-time ping data

The 496 over-the-road drivers

3.1.2 Weather data

The DarkSky API.

3.2 Study design

3.3 Analytical Plan for Aim 1

3.4 Analytical Plan for Aim 2

3.5 Analytical Plan for Aim 3

Chapter 4

The Probable Content

This dissertation will be completed according to the three-paper model. The expected chapter headings are as follows:

Chapter 1: Introduction: The problem

A. Epidemiology of head and neck squamous cell carcinoma and its association with second primary malignancies

1. Cancer
2. Head and neck squamous cell carcinoma
3. Definition of second primary malignancies
4. second primary malignancies and Head and neck squamous cell carcinoma

B. Risk factors for second primary malignancies

1. Tobacco use
2. Excessive alcohol use
3. Human papillomavirus infection

C. Existing literature and gaps that exist in the area of second primary malignancies in patients with head and neck squamous cell carcinoma

Chapter 2: Literature review

A. Introduction

B. Methods

1. Source of data and eligibility/exclusion criteria
2. Definitions of primary and secondary outcomes and covariates
3. Statistical analysis

C. Results

1. Description of studies included in the study
2. Primary outcome results
3. Secondary outcome results
4. Publication bias and study quality assessment

D. Discussion/Conclusions

1. Implications
2. Strengths and limitations
3. Future research

Chapter 3: Aim 1 - Truck crashes and critical events

A. Introduction

B. Methods

1. Source of data and eligibility/exclusion criteria
2. Definitions of primary and secondary outcomes and covariates
3. Statistical analysis

C. Results

1. Demographics of study population

CHAPTER 4. THE PROBABLE CONTENT

2. Primary outcome results
3. Secondary outcome results

D. Discussion/Conclusions

1. Implications
2. Strengths and limitations
3. Future research

Chapter 4: Aim 2 - Statistical models predicting truck critical events

A. Introduction

B. Methods

1. Source of data and eligibility/exclusion criteria
2. Definitions of primary and secondary outcomes and covariates
3. Statistical analysis

C. Results

1. Demographics of study population
2. Primary outcome results
3. Secondary outcome results

D. Discussion/Conclusions

1. Implications
2. Strengths and limitations
3. Future research

Chapter 5: Aim 3 - Subsampling Markov Chain Monte Carlo methods

Chapter 6: DISCUSSION

A. Conclusion

B. Strengths and limitation

C. Future research

Chapter 5

TRUCK CRASHES AND CRITICAL EVENTS

Chapter 6

THREE STATISTICAL MODELS PREDICTING TRUCK CRITICAL EVENTS

6.1 Hierarchical logistic model

6.1.1 Model set up

6.1.2 Bayesian estimation based on simulated data

6.2 Hierarchical Poisson model

6.3 Hierarchical power law process

*Mean function of a point process**:

$$\Lambda(t) = E(N(t))$$

$\Lambda(t)$ is the expected number of failures through time t .

Rate of Occurrence of Failures (ROCOF): When Λ is differentiable, the ROCOF is:

$$\mu(t) = \frac{d}{dt}\Lambda(t)$$

The ROCOF can be interpreted as the instantaneous rate of change in the expected number of failures.

Intensity function: The intensity function of a point process is

$$\lambda(t) = \lim_{\Delta t \rightarrow 0} \frac{P(N(t, t + \Delta t] \geq 1)}{\Delta t}$$

When there is no simultaneous events, ROCOF is the same as intensity function.

Nonhomogeneous Poisson Process (NHPP): The NHPP is a Poisson process whose intensity function is non-constant.

Power law process (PLP): When the intensity function of a NHPP is:

$$\lambda(t) = \frac{\beta}{\theta} \left(\frac{t}{\theta} \right)^{\beta-1}$$

Where $\beta > 0$ and $\theta > 0$, the process is called the power law process (PLP).

Therefore, the mean function $\Lambda(t)$ is the integral of the intensity function:

$$\Lambda(t) = \int_0^t \lambda(t) dt = \int_0^t \frac{\beta}{\theta} \left(\frac{t}{\theta} \right)^{\beta-1} = \left(\frac{t}{\theta} \right)^{\beta}$$

6.3.1

6.3.2 Bayesian estimation based on simulated data

Chapter 7

HIERARCHICAL BAYESIAN MODELS USING SUBSAMPLING MARKOVE CHAIN MONTE CARLO METHODS

- Comparison with results given by frequentist models using REML (`lmer4`)
- Comparison with results given by Bayesian models using HMC (`rstan`)
- Comparison with results given Bayesian models using INLA (`INLA`)

Chapter 8

DISCUSSION

APPENDIX

R code

Another appendix

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