



PERSONAL FINANCIAL STATEMENT

DATED AS OF:

SUBMITTED TO:

The attached Personal Financial Statement is provided to TD Bank, N. A. ("the Bank") in support of an application for (check one):

- ☐ Credit for which the applicant is the sole obligor
☐ Joint Credit (applicant and co-applicant)
☐ Personal Guarantee of the obligations of another person or entity

1 PERSONAL INFORMATION

Applicant

Applicant Name (First, MI, Last)

Principal Residence Address (Street)

Principal Residence Address (City, State, ZIP, Country)

Cell Phone

Home Phone

Business Phone

Email Address

Date Of Birth (mm/dd/yyyy)

Country of Citizenship¹

Social Security Number

If not U.S. citizen, please check one²: ☐ Resident Alien ☐ Non-resident Alien

Form of Identification: ☐ Drivers License ☐ Passport ☐ Government-Issued Photo ID

ID Number

Issuing Authority

Issue Date

Expiration Date (mm/dd/yyyy)

Employment Status:

- ☐ Full Time ☐ Part Time ☐ Self-employed ☐ Homemaker ☐ Retired
☐ Student Full Time ☐ Student Part Time ☐ Unemployed ☐ Minor

Employer (or school name, if student)

Industry/Occupation

Address of Employer (Street)

Address of Employer (City, State, ZIP)

Title/Position

No. of Years with Employer

Name of Previous Employer (if with current employer less than 3 yrs.)

Previous Position

No. of Years with Previous Employer

Name of your Accountant

Phone No. of your Accountant

Name of your Attorney

Phone No. of your Attorney

Investment Advisor/Broker Name

Investment Advisor/Broker Phone No.

Source of Wealth: ☐ Employment Income/Executive Compensation ☐ Inheritance
☐ Business Ownership Income ☐ Real Estate Appreciation/Sales ☐ Lottery Winnings
☐ Sale of Business ☐ Investment Portfolio ☐ Appreciation ☐ Pension ☐ Gift
☐ Insurance Payout ☐ Loan Proceeds ☐ Settlement/Law Suit ☐ Trust Fund
☐ Other (describe):

Provide detailed description of activity that generated source of wealth:

Co-applicant

Co-applicant Name (First, MI, Last)

Principal Residence Address (Street)

Principal Residence Address (City, State, ZIP, Country)

Cell Phone

Home Phone

Business Phone

Email Address

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Name of your Accountant

Phone No. of your Accountant

Name of your Attorney

Phone No. of your Attorney

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Source of Wealth: ☐ Employment Income/Executive Compensation ☐ Inheritance
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☐ Insurance Payout ☐ Loan Proceeds ☐ Settlement/Law Suit ☐ Trust Fund
☐ Other (describe):

Provide detailed description of activity that generated source of wealth:

1. Passport Number and country of issuance required for Non-US Citizen.
2. Non-Resident/Temporary Resident Alien - W-8 BEN Required.



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APPLICANT INFORMATION - CONTINUED

Co-applicant

Co-applicant Name (First, MI, Last)

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Address of Employer (City, State, ZIP)

Title/Position

No. of Years with Employer

Name of Previous Employer (if with current employer less than 3 yrs.)

Previous Position

No. of Years with Previous Employer

Name of your Accountant

Phone No. of your Accountant

Name of your Attorney

Phone No. of your Attorney

Investment Advisor/Broker Name

Investment Advisor/Broker Phone No.

Source of Wealth: ☐ Employment Income/Executive Compensation ☐ Inheritance
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Phone No. of your Accountant

Name of your Attorney

Phone No. of your Attorney

Investment Advisor/Broker Name

Investment Advisor/Broker Phone No.

Source of Wealth: ☐ Employment Income/Executive Compensation ☐ Inheritance
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☐ Other (describe):

Provide detailed description of activity that generated source of wealth:

1. Non-Resident/Temporary Resident Alien - W-8 BEN Required.
2. Passport Number and country of issuance required for Non-US Citizen

1 APPLICANT INFORMATION - CONTINUED

Applicant

Applicant Name (First, MI, Last)

If self-employed, how many business do you own?

Business Name	
Business Type (S Corp ,LLC, Sole Proprietor, etc)	% Ownership
Nature of Business	
Approx. gross revenue for 20	Approx. gross revenue for 20
Approx. total expenses for 20	Approx. total expenses for 20
Approx. profit for 20	Approx. profit for 20

Co-applicant

Co-applicant Name (First, MI, Last)

If self-employed, how many business do you own?

Business Name	
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Approx. profit for 20	Approx. profit for 20

Business Name	
Business Type (S Corp ,LLC, Sole Proprietor, etc)	% Ownership
Nature of Business	
Approx. gross revenue for 20	Approx. gross revenue for 20
Approx. total expenses for 20	Approx. total expenses for 20
Approx. profit for 20	Approx. profit for 20

2 CASH INCOME & EXPENDITURES STATEMENT

For Year Ending:	(Omit cents)		(Omit cents)
Total Accumulated Salary	\$	Federal Income and Other Taxes	\$
Total Accumulated Bonuses & Commission		State Income and Other Taxes	
Rental Income		Rental Payments, Co-op or Condo Maintenance	
Interest Income		Mortgage Payments	Residential
Dividend Income			Investment
Capital Gains		Property Taxes	Residential
Partnership Income			Investment
Other Investment Income		Interest & Principal Payments on Loans	
Other Income (List) ¹		Insurance	
		Investments (including tax shelters)	
		Alimony/Child Support	
		Tuition	
		Other Living Expenses	
		Medical Expenses	
		Other Expense (List)	
TOTAL INCOME	\$	TOTAL EXPENSES	\$

Any significant changes in the next 12 months? ☐ Yes ☐ No (If yes, please attach information)

3 BALANCE SHEET

Dated as of:	(Omit cents)		(Omit cents)
Cash in this Bank (including money market accounts, CD's)	\$	Notes Payable to this Bank	\$
Cash in Other Financial Institutions (List) (including money market accounts, CD's)		Secured	
		Unsecured	
Readily Marketable Securities		Notes Payable to Others	
Non-Readily Marketable Securities		Secured	
Accounts and Notes Receivable		Unsecured	
Net Cash Surrender Value of Life Insurance		Accounts Payable (including credit cards)	
Residential Real Estate		Margin Accounts	
Real Estate Investments		Notes Due: Partnership	
Partnership / PC interests		Taxes Payable	
IRA, Keogh, Profit-Sharing & Other Vested Retirement Accts		Mortgage Debt	
Deferred income (number of years deferred _____)		Life Insurance Loans	
Personal Property (including automobiles)		Other Liabilities (List):	
Other Assets (List):			
TOTAL ASSETS	\$	TOTAL LIABILITIES	\$
		NET WORTH (Total Assets less Total Liabilities)	\$

1. Income from alimony, child support, or separate maintenance income need not be revealed if the applicant or co-applicant does not wish to have it considered as a basis for repaying this obligation. (These forms are intended for use for Private Banking transactions. Where any other use is contemplated, it is suggested that a careful review be made to ensure compliance with applicable laws and regulations).

4 CONTINGENT LIABILITIES

	Yes	NO	AMOUNT
Are you a guarantor, co-marker, or endorser for any debt of an individual, corporation or partnership?			\$
Do you have any outstanding letters of credit or surety bonds?			
Are there any suits or legal actions pending against you?			
Are you contingently liable on any lease or contract?			
Are any of your tax obligations past due?			
If yes for any of the above, please provide details:			

5 ADDITIONAL QUESTIONS

Please Answer the Following Questions.		Yes	NO
1	Are any Tax returns currently being audited?		
2	Have(either of) you or any firm in which you were a major owner ever declared bankruptcy? If yes please provide explanation below.		
3	Have(either of) you ever been convicted of or pleaded "no contest" to any crime (felony or misdemeanour)? If yes please provide an explanation below.		
4	Have(either of) you ever had your license to practice or conduct business suspended or revoked by a licensing agency? If yes, please provide an explanation below.		
5	Do(either of) you have a line of credit or unused credit facility at any other institution? If Yes please indicate how much and name of Lender :		
Amount: _____ Lender: _____			

6 SCHEDULE A – ALL ACCOUNTS (EXCLUDE PLEDGED COLLATERAL ACCOUNTS)

Account Number	Description	Owner(s)	Where Held	Cost	Current Market Value



7 REPRESENTATION, WARRANTIES, AUTHORIZATIONS, AND NOTICES WITH REGARD TO THE ATTACHED

The information contained in this statement is provided to induce TD Bank N.A. to extend credit or to continue the extension of credit to the undersigned or to others upon the guarantee of the undersigned.

The information contained in this statement is provided to induce TD Bank N.A. to extend or to continue the extension of credit to the undersigned or to others upon the guarantee of the undersigned. The undersigned acknowledge and understand that TD Bank N.A. is relying on the information provided herein in deciding to grant or continue credit or to accept a guarantee thereof. Each of the undersigned represents, warrants and certifies that the information provided herein is true, correct and complete. Each of the undersigned agrees to notify TD Bank N.A. immediately and in writing of any change in name, address, or employment of any material adverse change (1) in any of the information contained in this statement or (2) in the financial condition of any of the undersigned or (3) in the ability of any of the undersigned to perform its (or their) obligations to TD Bank N.A.. In the absence of such notice or a new and full written statement, this should be considered as a continuing statement and substantially correct. If the undersigned fail to notify you as required above, or if any of the information herein should prove to be inaccurate or incomplete in any material respect, you may declare the indebtedness of the undersigned or the indebtedness guaranteed by the undersigned, as the case may be, immediately due and payable.

By signing below, you authorize the Bank to make or have made any credit, employment or investigation inquiry that the Bank determines appropriate for the extension of credit, periodic evaluation of your account or the collection of amounts owed to the Bank on the account, or for any other legitimate purposes associated with the account, including without limitation, obtaining one or more credit reports. This authorization will also apply to the Bank's agents, affiliates, successors, and assigns. The Bank may furnish information concerning your account to consumer reporting agencies and others who may properly receive that information. If you ask, you will be informed whether a consumer report was requested, and if a report was requested, you will be informed of the name and address of the consumer reporting agency that furnished the report. Each of the undersigned authorizes the Bank to answer questions about your credit experience with the Bank. As long as any obligation or guarantee of the undersigned to the Bank is outstanding, the undersigned shall supply annually an updated financial statement. This personal financial statement and any other financial or other information that the undersigned give to the Bank shall be the Bank's property.

IMPORTANT NOTICE REGARDING INSURANCE: You are not required to purchase insurance from the Bank or any of its affiliates, but instead have the right to purchase any insurance required for this loan from an agent, broker, or insurer of your choice, whether or not that agent, broker or insurer is affiliated with the Bank. Your choice of an agent, broker or insurer will not affect the Bank's current or future credit decisions or terms in any way. The Bank may not and (except in the case of applications submitted by mail, fax or website) has orally disclosed to you that it may not condition an extension of credit on either: (1) your purchase of an insurance product or annuity from the Bank or from any of its affiliates or (2) your agreement not to obtain, or a prohibition on your obtaining, an insurance product or annuity from an unaffiliated entity. Insurance products are not deposits or other obligations of, or guaranteed by the Bank or any of its affiliates, and are not insured by FDIC or any other federal agency.

NOTICE REGARDING USA PATRIOT ACT: The Bank complies with Section 326 of the USA Patriot Act. This law mandates that we obtain and verify certain information about you, including your name, legal address, date of birth and social security or tax identification number, while processing your account application

I authorize Lender to make inquiries as necessary to verify the accuracy of the statements made and to determine my credit worthiness. I certify the above and the statements contained in the attachments are true and accurate as of the stated date(s). These statements are made for the purpose of either obtaining a loan or guaranteeing a loan. I understand FALSE statements may result in forfeiture of benefits and possible prosecution by the U. S. Attorney General (Reference 18 U.S.C. 1001)

By signing below, you are also attesting that you have received a copy of the Privacy Notice - **WHAT DO THE TD BANK COMPANIES DO WITH YOUR PERSONAL INFORMATION?**

Applicant	Date	Applicant	Date	Applicant	Date
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Applicant	Date	Applicant	Date	Applicant	Date
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FACTS	WHAT DO THE TD BANK COMPANIES DO WITH YOUR PERSONAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> • Social Security Number and income • Account balances and payment history • Credit history and credit scores
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons the TD Bank Companies choose to share; and whether you can limit this sharing.

Reasons we can share your personal information.	Do the TD Bank Companies share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes – to offer our products and services to you	Yes	No
For joint marketing with other financial companies	Yes	No
For our affiliates' everyday business purposes – information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes – information about your creditworthiness	No	We Don't Share, Unless You Provide Consent
For our affiliates to market to you	Yes	Yes
For nonaffiliates to market to you	No	We Don't Share

To limit our sharing	<p>Call us as follows: TD Bank: 1-888-937-1050 TD Auto Finance: 1-888-837-2115</p> <p>Please note: If you are a new customer, we can begin sharing your information 45 days from the date we sent this notice. When you are no longer our customer, we continue to share your information as described in this notice.</p> <p>However, you can contact us any time to limit our sharing.</p>
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Questions?	<p>Call TD Bank: 1-888-937-1050 or go to tdbank.com/Privacy TD Auto Finance: 1-888-837-2115</p>
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Who we are

Who is providing this notice?	This privacy notice applies to the entities within the TD Bank US Holding Company family which are listed below (collectively the “TD Bank Companies”) to the extent that they do not have their own privacy notices.
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What we do

How do the TD Bank Companies protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How do the TD Bank Companies collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> • Open an account or deposit money • Pay your bills or apply for a loan • Use your credit or debit card <p>We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> • Sharing for affiliates' everyday business purposes – information about your creditworthiness • Affiliates from using your information to market to you • Sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.</p>
What happens when I limit sharing for an account I hold jointly with someone else?	Your choice to limit marketing offers from our affiliates will apply only to you, unless you tell us to apply it to everyone on your account.

Definitions

Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> • <i>Our affiliates include those companies that control, are controlled by or under common control with TD Bank US Holding Company or The Toronto-Dominion Bank, including TD Bank, N.A., TD Bank USA, N.A., TD Private Client Wealth LLC, TD Wealth Management Services Inc., Epoch Investment Partners, Inc., and TD Ameritrade, Inc., among others.</i>
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> • <i>The TD Bank Companies do not share with nonaffiliates so they can market to you.</i>
Joint Marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> • <i>Our joint marketing partners include companies that offer products and services such as credit cards, credit insurance and vehicle financing.</i>

Other important information

If you recently moved or changed your contact information, we encourage you to contact us to update your information. In accordance with TD Auto Finance LLC's commitment to legendary customer service, TD Bank, N.A. may service and collect TD Auto Finance accounts.

California and Vermont Residents: We only share information with third parties as permitted by the law of your state.

This privacy notice applies to the following TD Bank Companies, to the extent that they do not have their own privacy notices:

TD Bank USA, N.A.

TD Bank, N.A.

TD Bank, N.A. Subsidiaries

TD Private Client Wealth LLC

TD Wealth Management Services Inc.

TD Auto Finance LLC

This privacy notice applies to consumers and not to business entities or business transactions. The notice does not constitute a contract, nor does it modify or amend any agreement we have with you.