



Universidade de Coimbra  
Faculdade de Ciências e Tecnologia  
Departamento de Engenharia Informática

# Human-Centered Artificial Intelligence

Master in Data Science and Engineering

## Human-AI Communication

### Text Mining

Hugo Gonalo Oliveira

[hroliv@dei.uc.pt](mailto:hroliv@dei.uc.pt)

# Overview

- 1 Introduction
- 2 Text Representation
- 3 Text Mining Tasks
- 4 Language Modelling

# Introduction

- Human language is a natural way for **humans to communicate**
  - Used for **encoding** knowledge and **reasoning**
  - Large digital repositories of documents **written in human language**
    - Digital libraries
    - The Web
    - Newswire services



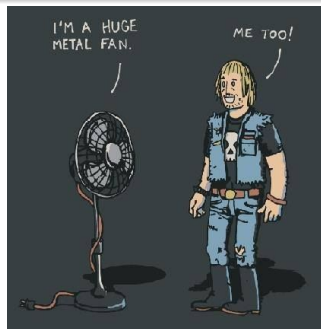
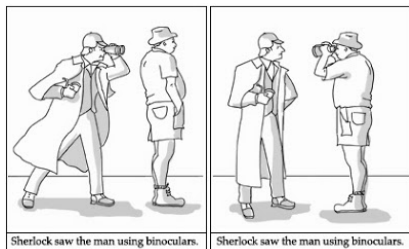
## Text Mining

- Applying Data Mining techniques to textual data!

# Natural Language

## Processing Human Language offers several challenges...

The GW4-40 is a smartwatch with an elegant design, extremely comfortable to use. It has 1.5GB of RAM and 16GB of internal memory. It weighs only 26 grams, has a 1.1" screen and a 247mAh battery, with an estimated autonomy of 40 hours. On the other hand, GW4C-46 has the same memory as the GW4-40, but a 1.4" screen, with twice the weight. Despite the 361mAh battery, autonomy is similar. Both devices come with Wear OS operative system preinstalled.



# Text Representation

- How to apply Data Mining to Text?
- *Bag-of-Words*: documents can be represented by their **terms**
  - Each new **term** = a **feature**
  - **Frequency** of the term = **value** of the feature

I love this movie! It's sweet, but with satirical humor. The dialogue is great and the adventure scenes are fun... It manages to be whimsical and romantic while laughing at the conventions of the fairy tale genre. I would recommend it to just about anyone. I've seen it several times, and I'm always happy to see it again whenever I have a friend who hasn't seen it yet!



it	6
I	5
the	4
to	3
and	3
seen	2
yet	1
would	1
whimsical	1
times	1
sweet	1
satirical	1
adventure	1
genre	1
fairy	1
humor	1
have	1
great	1
...	...

From: [Jurafsky and Martin, 2024]

# Text Representation

## Traditional Preprocessing

### Sentence

User warning: a new threat is affecting millions of users.

### Tokenization: split text into smaller meaningful units

User warning : a new threat is affecting millions of users .

### Stopword removal: ignore tokens that contribute little to meaning

User warning new threat affecting millions users

### Stemming: consolidate variations of the same word

user warning new threat affect million user

# Text Representation

## Vector-space model

### Corpus

D	Content
d1	social web technologies
d2	web programming
d3	languages and technologies for the web
d4	web applications
d5	web applications development
d6	databases and web applications
d7	semantic web and social web
d8	web design

### Term-document matrix

Vocabulary	d1	d2	d3	d4	d5	d6	d7	d8
technologies	1	0	1	0	0	0	0	0
web	1	1	1	1	1	1	2	1
social	1	0	0	0	0	0	1	0
programming	0	1	0	0	0	0	0	0
languages	0	0	1	0	0	0	0	0
applications	0	0	0	1	1	1	0	0
development	0	0	0	0	1	0	0	0
databases	0	0	0	0	0	1	0	0
semantic	0	0	0	0	0	0	1	0
design	0	0	0	0	0	0	0	1

- $\vec{d}_1 = [1, 1, 1, 0, 0, 0, 0, 0]$

- $\vec{d}_2 = [0, 1, 0, 1, 0, 0, 0, 0]$

...

- $\text{similarity}(d_1, d_2) = \cos(\vec{d}_1, \vec{d}_2) = \frac{1}{\sqrt{6}} = \frac{\sqrt{6}}{6}$

# Text Representation

## TF-IDF

- Are **all** the features **equally** important?
- Some tokens are more **frequent** than the others...
  - Frequent in document  $\rightarrow$  more relevant for the document!
  - Frequent in corpus  $\rightarrow$  less relevant for any document! (e.g., stopwords)

### Term Frequency (TF)

$$tf_{i,d} = \frac{f_{i,d}}{\sum_{j=0}^{|d|} f_{j,d}}$$

$tf_i$  frequency of term  $i$  in the document

$f_{i,d}$  number of times term  $i$  occurs in document  $d$

$|d|$  number of distinct terms in document  $d$

### Inverse Document Frequency (IDF)

$$idf_i = \log \frac{N}{n_i}$$

$idf_i$  inverse document frequency of term  $i$  in the corpus

$N$  total number of documents in the collection

$n_i$  number of documents where term  $i$  occurs

$$weight(i, d) = tf_{i,d} \times idf_i$$



# Text Representation

## TF-IDF

D	Content
d1	social web technologies
d2	web programming
d3	languages and technologies for the web
d4	web applications
d5	web applications development
d6	databases and web applications
d7	semantic web and social web
d8	web design

	d1	d2	d3	d4	d5	d6	d7	d8
technologies	4/3	0	4/3	0	0	0	0	0
web	1/3	0.5	1/3	0.5	1/3	1/3	0.5	0.5
social	4/3	0	0	0	0	0	2	0
programming	0	4	0	0	0	0	0	0
languages	0	0	8/3	0	0	0	0	0
applications	0	0	0	4/3	8/9	8/9	0	0
development	0	0	0	0	8/3	0	0	0
databases	0	0	0	0	0	8/3	0	0
semantic	0	0	0	0	0	0	2	0
design	0	0	0	0	0	0	0	4

$$df('web')^* = \frac{8}{8} = 1$$

$$df('semantic')^* = \frac{1}{8}$$

$$df('applications')^* = \frac{3}{8}$$

\* ignoring log in idf

**d5**

$$tf('web') = \frac{1}{3}$$

$$tf('applications') = \frac{1}{3}$$

$$tf.idf('web') = \frac{1}{3} \times 1 = \frac{1}{3}$$

$$tf.idf('applications') = \frac{1}{3} \times \frac{8}{3} = \frac{8}{9}$$

**d7**

$$tf('web') = \frac{2}{4} = 0.5$$

$$tf('semantic') = \frac{1}{4}$$

$$tf.idf('web') = \frac{2}{4} \times 1 = 0.5$$

$$tf.idf('semantic') = \frac{1}{4} \times \frac{8}{1} = 2$$

# Word Vectors

## Distributional hypothesis [Harris, 1970]

*You shall know a word by the company it keeps [Firth, 1957]*

→ *Words that occur in the same contexts tend to convey similar meanings.*

### What is a 'steltnah'?

- \* *tasty steltnah*
- \* *steltnah sprinkled with cinnamon*
- \* *crispy steltnah*
- \* *coffee and a steltnah*
- \* *a steltnah with a smoother filling*
- \* *best steltnah in Portugal*



# Word Vectors

## Contexts – 5-word window

... dig a [hole. The	<b>car</b>	drove away] leaving behind ...
... to directly [drive the	<b>car</b>	wheel angle] 3. Force ...
... celebrity status, [drove fast	<b>cars</b>	and partied] with some ...
... but there [are police	<b>cars</b>	that chase] you. Each ...
... world of [money, fast	<b>cars</b>	and excitement] and, under ...
... to pet [the family's	<b>cat</b>	and dog,] who tended ...
... and then[wanted a	<b>cat</b>	to eat] the many ...
... murmur is [detectable. The	<b>cat</b>	often eats] and drinks ...
... behaviour of [a domestic	<b>cat</b>	playing with] a caught ...
... have never[seen a	<b>cat</b>	eat so] little and ...
... bank, children [playing with	<b>dogs</b>	and a] man leading ...
... sure you [encourage your	<b>dog</b>	to play] appropriate chase ...
... Truth, Lord: [yet the	<b>dogs</b>	eat of] the crumbs ...
... vegetable material [and enzymes.	<b>Dogs</b>	also eat] fruit, berries ...
... hubby once [ate the	<b>dog</b>	food and] asked for ...
... were back [at the	<b>van</b>	and drove] down to ...
... go down [as the	<b>van</b>	droveoff.] Ashe ...
... heavy objects, [driving transit	<b>vans</b>	, wiring plugs] and talking ...
... of the [fast food	<b>van</b>	being located] outside their ...
... each of [the six	<b>van</b>	wheels , and] also under ...

Example from <http://lope.linguistics.ntu.edu.tw/courses/nlp/slides/Lenci.tutorial.gwc2014>

# Word Vectors

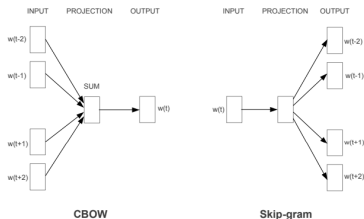
## Term-term matrix

	dog	drive	eat	fast	play	...	the	wheel
car	0	3	0	2	0	...	2	1
cat	1	0	3	0	1	...	2	0
dog	0	0	3	0	2	...	2	0
van	0	3	0	1	0	...	3	1

- Co-occurrence matrices can be very **large** ...
  - $|V| \times |V|$ , where  $|V|$  is the vocabulary size
  - **Sparse** vectors  $\rightarrow$  many cells are zero!
- Dimensionality-reduction techniques, towards
  - **Smaller, dense** vectors
  - **Fixed-dimension** vectors, regardless of vocabulary size

# Word Embeddings

- **Word embedding**: efficient methods for learning **dense, lower-dimension word vectors** from large corpus
  - Word2Vec [Mikolov et al., 2013]
  - GloVe [Pennington et al., 2014]
- Two methods for learning Word2Vec models



- Known for keeping **linguistic regularities**
  - $\vec{king} - \vec{man} + \vec{woman} \approx \vec{queen}$



# Words in a Vector Space

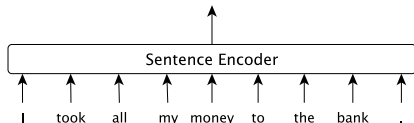


[Jurafsky and Martin, 2024]

# Document / Sentence Embeddings

- Word embeddings represent words...
  - One vector for each word, capturing all of its possible senses
  - How to embed longer sequences?
- Document / sentence embedding
  - doc2vec [Le and Mikolov, 2014]: documents represented by the **average word2vec vectors of their words**
  - Models trained specifically for sentence embedding:
    - Sentence Transformers<sup>1</sup> [Reimers and Gurevych, 2019]

[0.5, 0.11, -0.09, -0.23, -0.21, 0.08, 0.29, -0.21, -0.08, ..., -1.22]



<sup>1</sup><https://sbert.net>

# Sentence-Level tasks

- Tasks where sentence embeddings are typically trained

## Semantic Textual Similarity

Sim	Interpretation	Example
5	Completely equivalent (same meaning)	The bird is bathing in the sink. Birdie is washing itself in the water basin.
4	Mostly equivalent, but some unimportant details differ	Two boys on a couch are playing video games. Two boys are playing a video game.
3	Roughly equivalent, but some important information differs/missing	John said he is considered a witness but not a suspect. "He is not a suspect anymore." John said.
2	Not equivalent, but share some details	They flew out of the nest in groups. They flew into the nest together.
1	Not equivalent, but are on the same topic	The woman is playing the violin. The young lady enjoys listening to the guitar.
0	Completely dissimilar	The black dog is running through the snow. A race car driver is driving his car through the mud.

<http://alt.qcri.org/semeval2015/task2/index.php?id=semantic-textual-similarity-for-english>

## Natural Language Inference

Label	Premise	Hypothesis
Neutral	The Old One always comforted Ca'daan, except today.	Ca'daan knew the Old One very well.
Entailment	At the other end of Pennsylvania Avenue, people began to line up for a White House tour.	People formed a line at the end of Pennsylvania Avenue.
Contradiction	Vrenna and I both fought him and he nearly took us.	Neither Vrenna nor myself have ever fought him.

<https://cims.nyu.edu/~showman/multinli/>



# Bag-of-Words vs Embeddings

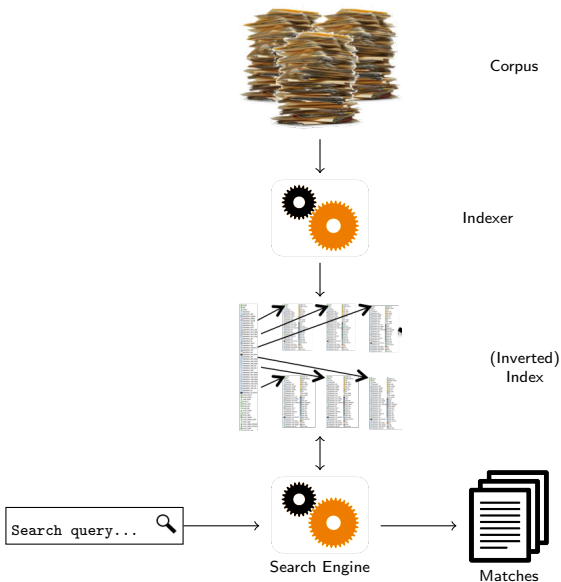
## Bag-of-Words

- Simple and easy to implement
- Human-interpretable

## Embeddings

- More efficient representations
  - Fixed-size dense vectors
- Better generalisation
  - incl. similarity and synonymy
- Generally better performance

# Information Retrieval



- **Query:**

nice web applications

- **Vector space model...**

- \*  $\vec{q} = [0, 0.5, 0, 0, 0, 1.5, 0, 0, 0, 0]$

- \*  $\text{sim}(d_i, q) = \cos(\vec{d}_i, \vec{q})$

# Topic Modelling

Uncovering abstract themes in a collection of documents...



## Song lyrics dataset...

- What topics are present in the lyrics of each artist?
- What artists cover similar topics?

Topic	Signature
1	time, back, home, night, feel, gonna, face, life, wait, miss, things, make, ...
2	bitch, fuck, back, ass, shit, make, man, love, bad, pussy, girls, big, ...
3	love, baby, yeah, time, girl, make, gonna, hey, wanna, world, good, heart...
4	man, night, day, sun, lie, heart, sweet, love, sea, light, true, sky, ...
5	baby, yeah, girl, wanna, feel, work, boy, make, gimme, ooh, night, music...
..	...

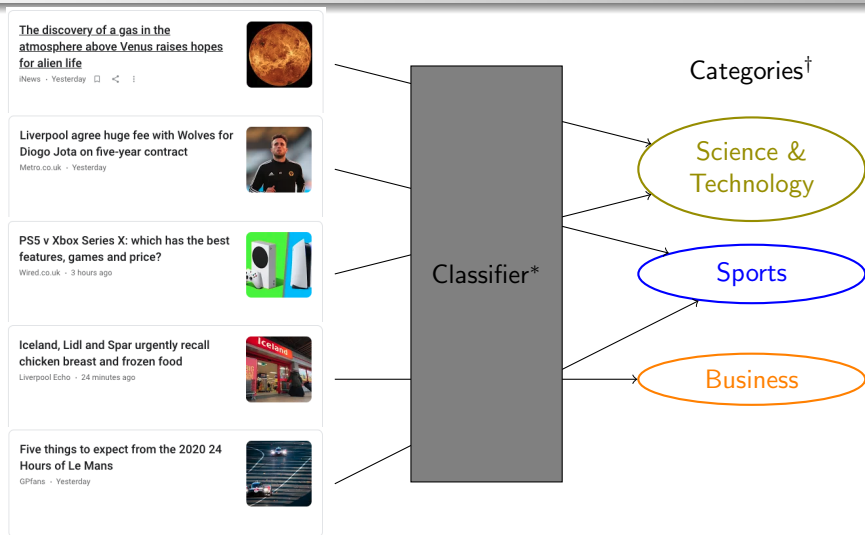
### Topic



...

1	0.115	0.777	0.111	0.218	0.309	0.123	0.069	0.143	...
2	0.011	0.012	0.012	0.012	0.357	0.005	0.004	0.011	...
3	0.714	0.123	0.281	0.167	0.077	0.720	0.832	0.301	...
4	0.008	0.041	0.011	0.490	0.050	0.068	0.072	0.008	...
5	0.095	0.025	0.561	0.007	0.055	0.023	0.007	0.517	...
..	...	...	...	...	...	...	...	...	...

# Text Classification



\* Generally a supervised model (e.g., Naive Bayes, SVM, Transformer)

† Any human-defined classes, e.g.: Positive, Negative, Neutral

# Probabilistic Language Models

- What is the **probability** of ...

- ... a sentence?

$$P(S = \text{"I study artificial intelligence"}) = P(I, \textit{study}, \textit{artificial}, \textit{intelligence})$$

- ... an upcoming word?

$$P(\textit{intelligence} | I, \textit{study}, \textit{artificial})$$

- **Markov assumption**

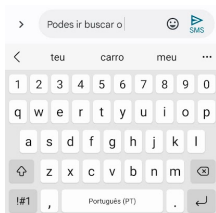
- Unigram model:  $P(w_1, w_2, w_3, \dots, w_n) = \prod_i P(w_i)$
  - Bigram model:  $P(w_1, w_2, w_3, \dots, w_n) = \prod_i P(w_i | w_{i-1})$
  - Trigram model:  $P(w_1, w_2, w_3, \dots, w_n) = \prod_i P(w_i | w_{i-1}, w_{i-2})$
  - ...

- Maximum Likelihood Estimate:  $P(w_i | w_{i-1}) = \frac{\text{count}(w_{i-1}, w_i)}{\text{count}(w_{i-1})}$

# Probabilistic Language Models

## Applications

- Machine Translation
  - PT: *com grande prazer*
  - EN:  $P(\text{with great pleasure}) > P(\text{with big pleasure})$
- Spell Correction
  - $P(\text{about fifteen minutes from}) > P(\text{about fifteen minuets from})$
- Speech Recognition
  - $P(\text{I saw a van}) \gg P(\text{eyes awe of an})$
- Text Prediction



# Probabilistic Language Models

## Limitations

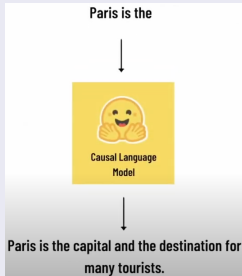
- Towards more fluency,  $N$  needs to increase!
  - Need to deal with sequences not appearing in the training data
  - More and more data required
  - Much memory necessary for storing the probabilities
  - May still not be enough due to **long range dependencies**
- *The **man** seating in the shadow of the big orange tree near the city centre lost **his** hat.*
- *The **woman** seating in the shadow of the big orange tree near the city centre lost **her** hat.*
- For some applications, still a good approximation!



# Large Language Models

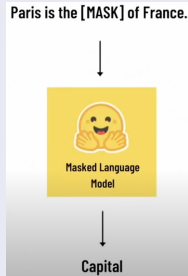
- Today, (Large) Language Models are used in a broader range of tasks
  - Based on the Transformer architecture [Vaswani et al., 2017]
  - May have hundreds of billions of parameters
  - Pretrained on large quantities of text
  - Can be fine-tuned on specific tasks, such as following instructions
- Two types of language modelling

## Causal Language Modelling



<https://www.youtube.com/watch?v=Vpjb1lu0MDk>

## Masked Language Modelling

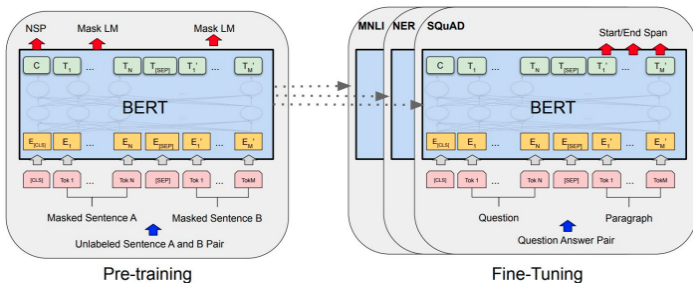


<https://www.youtube.com/watch?v=mqElG5QJWUg&t=1s>



# BERT [Devlin et al., 2019]

- **B**idirectional **E**ncoder **R**epresentations from **T**ransformers
- Pre-trained in two tasks:
  - Masked Language Modelling, Next Sentence Prediction
- **F**ine-tunable in other tasks...
  - Sentence Classification, Natural Language Inference, Semantic Textual Similarity, Question Answering, ...



# GPT



## Generative Pre-Training

2018 GPT, 117M parameters, trained in 8M web pages

- Fluent text generation (causal language model)

2019 GPT-2 [Radford et al., 2019], 1.5B params, trained in 40GB of text

- *Unsupervised learner*, i.e., generates answers for questions in the topics it was trained on
- Opened to the community  $\approx$ 1 year after release

2020 GPT-3 [Brown et al., 2020], 175B params, trained in 570GB of text

- *Few-shot learner*, i.e., can learn (virtually) any task given a few examples
- Accessible through an API (paid after certain usage)

2023 GPT-4 [OpenAI, 2023], ?1.76T? params (many unknown details...)

- Multimodal processing (text, image)
- Accessible through a paid API

### \* ChatGPT

- GPT model trained for following instructions / chatting

# Prompt Engineering

- Larger instruction-tuned models may perform several tasks
- Many Text Mining tasks can be cast as a text generation task!

<https://www.promptingguide.ai/>

*Prompt Engineering is a relatively new discipline for developing and optimizing prompts to efficiently use language models for a wide variety of applications and research topics.*

## Zero-shot prompting

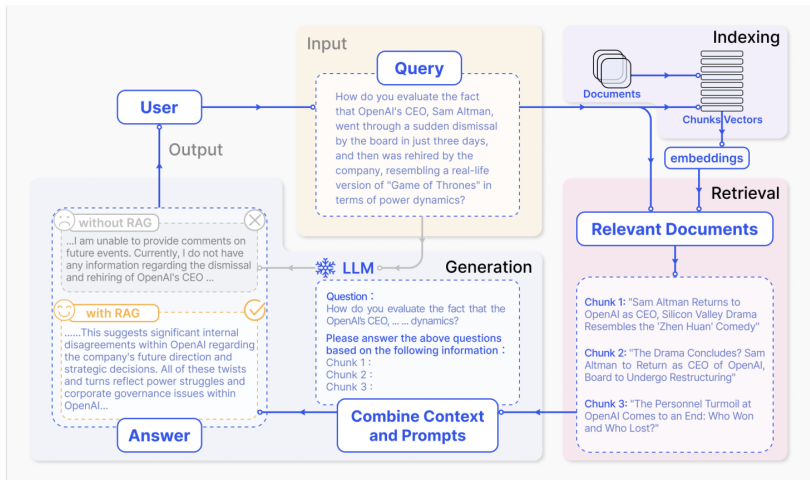
Classify the sentiment transmitted by the following text as: Positive, Negative, or Neutral.  
Text: I like chocolate.  
Sentiment:

## Few-shot prompting

Classify the sentiment transmitted by the following text as: Positive, Negative, or Neutral.  
Text: I saw a squirrel.  
Sentiment: Neutral  
Text: She doesn't like soup.  
Sentiment: Negative  
Text: The meal that did not go well.  
Sentiment: Negative  
Text: The service was excellent!  
Sentiment: Positive  
Text: They opted for a classic decoration.  
Sentiment: Neutral  
Text: I like chocolate.  
Sentiment:

# Retrieval Augmented Generation

- Retrieval-Augmented Generation (**RAG**)
  - Information Retrieval + Large Language Model



[Gao et al., 2024]

# Bibliography I



Aggarwal, C. C. (2015).

*Data mining: the textbook.*  
Springer.



Brown, T., Mann, B., Ryder, N., Subbiah, M., Kaplan, J. D., Dhariwal, P., Neelakantan, A., Shyam, P., Sastry, G., Askell, A., Agarwal, S., Herbert-Voss, A., Krueger, G., Henighan, T., Child, R., Ramesh, A., Ziegler, D., Wu, J., Winter, C., Hesse, C., Chen, M., Sigler, E., Litwin, M., Gray, S., Chess, B., Clark, J., Berner, C., McCandlish, S., Radford, A., Sutskever, I., and Amodei, D. (2020).

Language models are few-shot learners.

In *Advances in Neural Information Processing Systems*, volume 33, pages 1877–1901. Curran Associates, Inc.



Devlin, J., Chang, M.-W., Lee, K., and Toutanova, K. (2019).

BERT: Pre-training of deep bidirectional transformers for language understanding.

In *Proc. of 2019 Conference of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies, Volume 1 (Long and Short Papers)*, pages 4171–4186, Minneapolis, Minnesota. ACL Press.



Firth, J. R. (1957).

*Studies in linguistic analysis.*  
Wiley-Blackwell.



Gao, Y., Xiong, Y., Gao, X., Jia, K., Pan, J., Bi, Y., Dai, Y., Sun, J., Wang, M., and Wang, H. (2024).

Retrieval-augmented generation for large language models: A survey.



Harris, Z. (1970).

Distributional structure.

In *Papers in Structural and Transformational Linguistics*, pages 775–794. D. Reidel Publishing Company, Dordrecht, Holland.

# Bibliography II



Jurafsky, D. and Martin, J. H. (2024).

*Speech and Language Processing: An Introduction to Natural Language Processing, Computational Linguistics and Speech Recognition.*

Prentice Hall, Pearson Education International, 3rd edition.

Online manuscript released August 20, 2024: <https://web.stanford.edu/~jurafsky/slp3/>.



Le, Q. and Mikolov, T. (2014).

Distributed representations of sentences and documents.

In *Proceedings of the 31st International Conference on International Conference on Machine Learning - Volume 32*, ICML'14, pages II–1188–II–1196. JMLR.org.



Mikolov, T., Chen, K., Corrado, G., and Dean, J. (2013).

Efficient estimation of word representations in vector space.

In *Proceedings of the Workshop track of the International Conference on Learning Representations (ICLR)*, Scottsdale, Arizona.



OpenAI (2023).

GPT-4 Technical Report.

[arXiv:2303.08774](https://arxiv.org/abs/2303.08774) [cs].



Pennington, J., Socher, R., and Manning, C. D. (2014).

Glove: Global vectors for word representation.

In *Empirical Methods in Natural Language Processing (EMNLP)*, pages 1532–1543.



Radford, A., Wu, J., Child, R., Luan, D., Amodei, D., and Sutskever, I. (2019).

Language models are unsupervised multitask learners.

*OpenAI Blog*, 1(8):9.

# Bibliography III



Reimers, N. and Gurevych, I. (2019).

Sentence-BERT: Sentence embeddings using siamese BERT-networks.

*In Proceedings of the 2019 Conference on Empirical Methods in Natural Language Processing.* Association for Computational Linguistics.

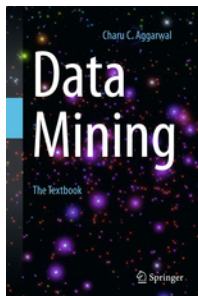


Vaswani, A., Shazeer, N., Parmar, N., Uszkoreit, J., Jones, L., Gomez, A. N., Kaiser, Ł., and Polosukhin, I. (2017).

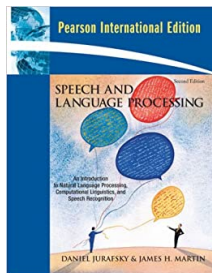
Attention is all you need.

*In Advances in neural information processing systems*, pages 5998–6008.

# Bibliography (books)



[Aggarwal, 2015]  
(chapter 13)



[Jurafsky and Martin, 2024]



# Questions?

