

# Algorithm and Data Structure Coursework: K-Means Feature for Image Retrieval

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## ABSTRACT

This project implements a similar image search algorithm (image retrieval) based on multiclass classification and K-Means feature. Our training phase includes image resizing, image patch extraction, patch sampling, PCA whitening, K-Means for patches, feature extraction and multiclass SVM. We use 218-dimension K-Means and RGB, HSV color moment. The training phase takes no greater than one hour in time, 8GB in memory. Finally we obtained 69.82% accuracy on test data classification.

We have made our work open, and the full project codes can be found at <https://github.com/caiwaifung/lastcourse>.

## Keywords

Image Retrieval, Image Classification, SVM, Whitening, K-Means

## 1. INTRODUCTION

## 2. IMPLEMENTATION

We built a system to extracting features from images as well as training model and answering queries of finding related images. The whole system is written in MATLAB.

The system contains feature extracting part and SVM training and testing part. In the feature extracting part, we use both features from K-Means method [3], and the RGB and HSV color moments. We use 200-dimension K-Means features and 18-dimension color moment features (9 for RGB and 9 for HSV). The K-Means method contains patch extracting, patch whitening, patch sampling and K-Means clustering, and feature extrating. The color moment method makes use of 3 common color moments for each channel of RGB and HSV colors. At last, we put the features into SVM and make the classification possible. The related image search process is done by simply finding the closest feature in the same catelogy.

The following subsections includes the details of our algorithms.

### 2.1 Patch Extracting and Sampling

The key idea of K-Means featuring is to find the most common patches in all images, and build features based on that common patches (called centroids). Let  $w$  be the size of the centroids (set to 6 in our implementation). For an  $l$ -by- $m$  image, we will consider all its sub-images (patches) of size  $w$ -by- $w$ ; there are totally  $(l - w + 1)(m - w + 1)$  such patches. Each patch can be represented into a vector of length  $3w^2 = p$ , so one image can be represented into a  $n$ -by- $p$  matrix, where  $n = (l - w + 1)(m - w + 1)$ . We represent all images into this matrix form. This process is called *patch extracting*.

We need a large amount of sampling patches for the K-Means clustering process. We simply random selected  $t \approx 1000000$  patches from all the images' matrices. The sampled patches is a matrix  $P$  of size  $t$ -by- $p$ .

### 2.2 Whitening

### 2.3 K-Means Clustering

We want  $k = 200$  centroids of all sampled patches. We do the K-Means clustering for those patches, and take the final  $k$  "means". The final centroids can be represented by a matrix  $C$  of size  $k$ -by- $p$ .

### 2.4 Feature Extracting

Now consider an  $l$ -by- $m$  image. We know that it has  $n$  patches, so it's an matrix  $G$  of size  $n$ -by- $p$ . We first calculate the distance between each patch and each centroids, resulting in a distance matrix  $D \in \mathbb{R}^{n \times k}$  where  $D_{ij}$  is the distance from the  $i$ -th patch and the  $j$ -th centroid. Then, we normalize each row of  $D$  by subtracting its mean and dividing its standard variance. We negate each elements of the normalized  $D$ , eliminate all negative elements by setting them to zeros, and get the new matrix  $D^*$ . Here,  $D_{ij}^*$  being large means that the  $i$ -th patch looks close to the  $j$ -th centroid.

Finally, we sum up all rows and get a  $k$ -dimension feature for this image.

### 2.5 Color Moment

For each color channel, the three color moments is defined as following:

- The first is the mean of all pixels.
- The second is the standard deviation, that is:

$$\sqrt{\frac{1}{N} \sum_{i=1}^N (a_i - E[a])^2}.$$

- The second is the skewness, that is:

$$\sqrt[3]{\frac{1}{N} \sum_{i=1}^N (a_i - E[a])^3}.$$

## 2.6 Multiclass SVM

## 2.7 Related Image Search

After classification for a query image, we simply find the closest features in the same catelogy, and output the corresponding images as the related results.

Note that a trick can be used to calculate the distance between each row of a matrix  $A \in \mathbb{R}^{n \times k}$  and a matrix  $B \in \mathbb{R}^{m \times k}$ . We want  $C$  where  $C_{ij} = ||A_i - B_j||$ . Have

$$\begin{aligned} C_{ij} &= \sum_x (A_{ix} - B_{jx})^2 \\ &= \sum_x A_{ix}^2 + \sum_x B_{jx}^2 - 2 \sum_x A_{ix} B_{jx} \\ &= X_i + Y_j - 2Z_{ij}. \end{aligned}$$

Here,  $X$  and  $Y$  are easy to calculate.  $Z = AB^T$  can be fastly calculated using MATLAB (because MATLAB uses MKL internally). Thus the distance can be computed within a short period of time, which gives us no reason to use any advanced data structure to find the closest images.

## 3. EXPERIMENTS

### 3.1 Data Set

Class labels  $1 \leq C \leq 10$ :

1. Bird.
2. Insect.
3. Butterfly.
4. Waterwheel.
5. Construction.
6. Piano.
7. Airplane.
8. Wine.
9. Woman.
10. Flower.

### 3.2 Without Whitening

### 3.3 With Whitening

### 3.4 Final Test

The distribution of predicted labels:

1-1: 41  ##### 71.93 %	6-1: 1
1-2: 3  #	6-2: 2
1-3: 1	6-3: 0
1-4: 3  #	6-4: 6  ##
1-5: 0	6-5: 1
1-6: 1	6-6: 38  ##### 58.46 %
1-7: 2	6-7: 0
1-8: 2	6-8: 7  ##
1-9: 1	6-9: 10  ####
1-10: 3  #	6-10: 0
2-1: 7  ##	7-1: 3  #
2-2: 28  ##### 49.12 %	7-2: 1
2-3: 8  ##	7-3: 1
2-4: 1	7-4: 1
2-5: 0	7-5: 1
2-6: 0	7-6: 2
2-7: 2	7-7: 43  ##### 81.13 %
2-8: 4  #	7-8: 0
2-9: 3  #	7-9: 0
2-10: 4  #	7-10: 1
3-1: 0	8-1: 2
3-2: 5  ##	8-2: 1
3-3: 46  ##### 85.19 %	8-3: 0
3-4: 1	8-4: 2
3-5: 0	8-5: 5  ##
3-6: 1	8-6: 4  #
3-7: 0	8-7: 0
3-8: 0	8-8: 53  ##### 74.65 %
3-9: 1	8-9: 3  #
3-10: 0	8-10: 1
4-1: 0	9-1: 3  #
4-2: 1	9-2: 1
4-3: 3  #	9-3: 0
4-4: 38  ##### 73.08 %	9-4: 0
4-5: 4  #	9-5: 0
4-6: 2	9-6: 5  ##
4-7: 0	9-7: 1
4-8: 2	9-8: 4  #
4-9: 2	9-9: 35  ##### 71.43 %
4-10: 0	9-10: 0
5-1: 0	10-1: 3  #
5-2: 0	10-2: 7  ##
5-3: 0	10-3: 2
5-4: 7  ##	10-4: 4  #
5-5: 40  ##### 68.97 %	10-5: 1
5-6: 2	10-6: 1
5-7: 1	10-7: 1
5-8: 5  ##	10-8: 5  ##
5-9: 3  #	10-9: 7  ##
5-10: 0	10-10:66 ##### 68.04 %

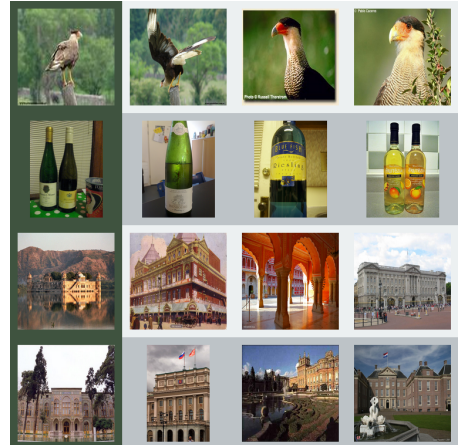
Final Accuracy of 10-classification:

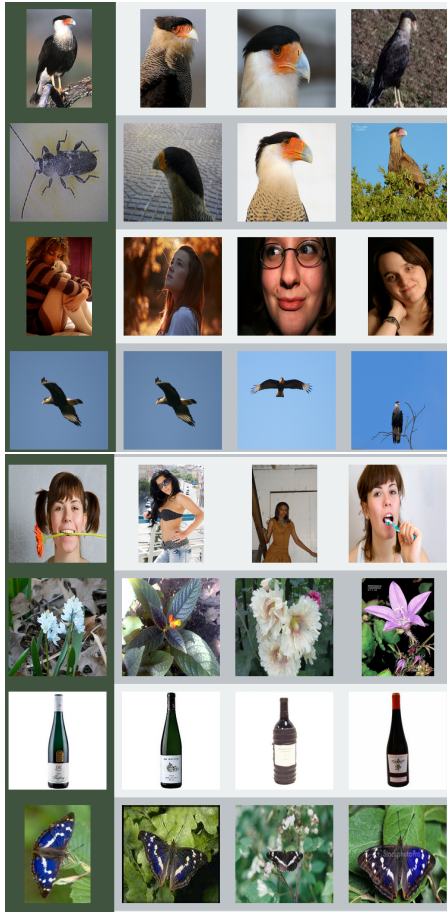
Accuracy = 69.8206% (428/613) (classification)

On training set:

Accuracy = 88.96% (4448/5000) (classification)

Query image | 3 closest images in predicted class





Please see `a.html` under `result.zip` for a more detailed demo.

#### 4. CONCLUSION AND FUTURE WORK

We have implemented a full workflow of image retrieval problem. Our program is integrated in one matlab module, and almost all parameters can be adjusted. We implemented our own K-Means algorithm, and visualized our K-Means result on patch clustering into  $K$  images. The centroids we got prove to meet clear patterns, which is similar to other successful convolutionary computer vision systems. Our training phase and feature extraction process are highly optimized, so that training 5000 images takes less than one hour, and classifying 2000 test images takes less than one minutes. Our final accuracy rate 69.82% is also competitive in all current image 10-classification algorithms using the same level of computing resources. The closest retrieval demo brings very reasonable results as well.

However there are still many wrong predictions that are trivial for human. If we use deeper machine learning model such as CNN, we may further improve our accuracy. We can also generate small noises, random rotation, flipping, and many other tricks to enrich the dataset for larger machine learning framework. So if time permitting, we will try those algorithms.

#### 5. REFERENCES

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