

# Sequence alignment

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# Content

- 
1. Why to make sequence alignment?
  2. What is a sequence alignment?
  3. How to derive a mutation matrix-PAM
  4. How to derive a mutation matrix-BLOSUM
  5. Gap penalty
  6. Dynamic programming
    - a. Global alignment: Needleman-Wunsch
    - b. Local alignment: Smith-Waterman
  7. Heuristic algorithms

# Why to make sequence alignment?

>Protein a

MVLSEGEWQLVLHVWAKVEADVAGHGQD  
ILIRLFKSHPETLEKFDVRVKHLKTEAEMKAS  
EDLKKHGVTVLTALGAILKKKGHHEAELKP  
LAQSHATKHKIPIKY

>Protein b

MNIFEMLRIDEGRLRLKIYKDTEGYTIGIGHLLTKSPS  
 LNAAAKSELDKAIGRNTNGVITKDEAEKLFNQDVDA  
 AVRGILRNAKLKPVYDSLDAVRRALINMVFQMGET  
 GVAGFTNSLRMLQ

## Do they have similar structure and function?

```
Length of sequence 1: 104 ->a.fasta
Length of sequence 2: 123 ->b.fasta
Aligned length: 93
Identical length: 22
Sequence identity: 0.179 (= 22/ 123)
```

[illegible]

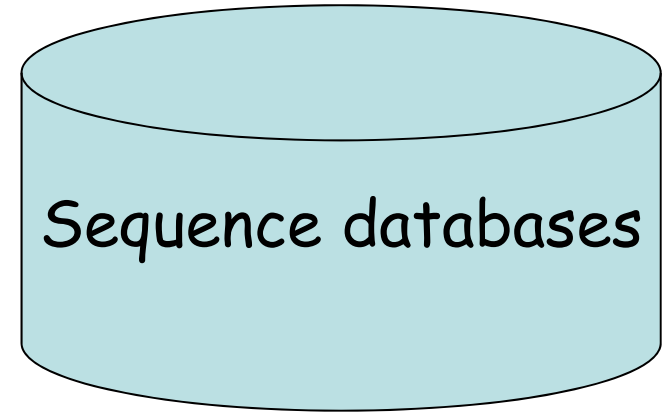
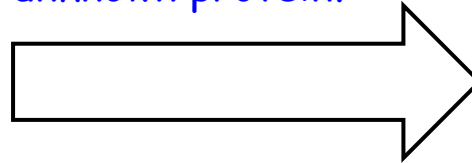
I. Sequence alignment can help establish relationship of two proteins (roughly speaking, sequences having higher sequence identity usually come from the same ancestor and therefore have similar structure and function). These proteins are called **homology**.

# Why to make sequence alignment?

## >Query sequence

MVLSEGEWQLVLHVWAKVEADVAGHGQD  
ILIRLFKSHPETLEKFDRVKHLKTEAEMKAS  
EDLKKHGVTVLTALGAILKKKGHHEAELKP  
LAQSHATKHKIPIKY

Can I find proteins in the  
databases, which are  
homologous to my  
unknown protein?



(GeneBank for DNA sequences)  
(UniProt for protein sequences)  
(PDB for protein structures)

II. Sequence alignment can help identify homologies from known databases, to generate structure and function predictions for the unknown proteins.

# Why to make sequence alignment?

## Many bioinformatics databases:

1. **GeneBank:** contains ~950M DNA sequences
2. **UniProt Swiss-Prot/trEMBL:** ~100M protein sequences (~550K with known function)
3. **Protein Data Bank (PDB):** contains ~140k protein structures

# Summary

## Purposes:

- Study the relationship between two proteins
- Scan a database with a query sequence and identify possible structure and function of the query protein

**If two sequences are similar, the following may be true**

- The proteins may share a common evolutionary origin
- The proteins may have a similar 3-dimensional structure
- The proteins may have the same or related function

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2. What is a sequence alignment?

3. How to derive a mutation matrix-PAM

4. How to derive a mutation matrix-BLOSUM

5. Gap penalty

6. Dynamic programming

a. Global alignment: Needleman-Wunsch

b. Local alignment: Smith-Waterman

7. Heuristic algorithms

# What is a sequence alignment?

Example 1: Sequence identity=78%

```
-MVLSEGEWQLVLHVWAKVEADVAGHGQDILIRLFKSHPETLEKFDRLKHLKTEAEMKASEDLKKHGVTVL
:: :::: :: :: :::: ::::: ::::: ::::: ::::: ::::: ::::: ::::: ::::: ::::: ::::: :::::
G--LSDGEWQQVLNVWGKVEADIAGHGQEVLIIRLFTGHPETLEKFDKFKHLKTEAEMKASEDLKKTGTTVL
```

Identical  
residue pair

Example 2: Sequence identity=22%

```
MNIFEMLRIDEG-----LRLKIYKDTEGYTIGIGHLLTKSPSLNAAAKSELDKAIGRNTNGVITKDEAEKLFNQDVDA
::          :   :   :           :   :           :   :           :   ::
-----MVLSEGEWQLVLHVWAKVEADVAGHGQDILIRLFKSHPE--TLEKFDRLKHL-----KTEAEMKAS-----
```

Insertions

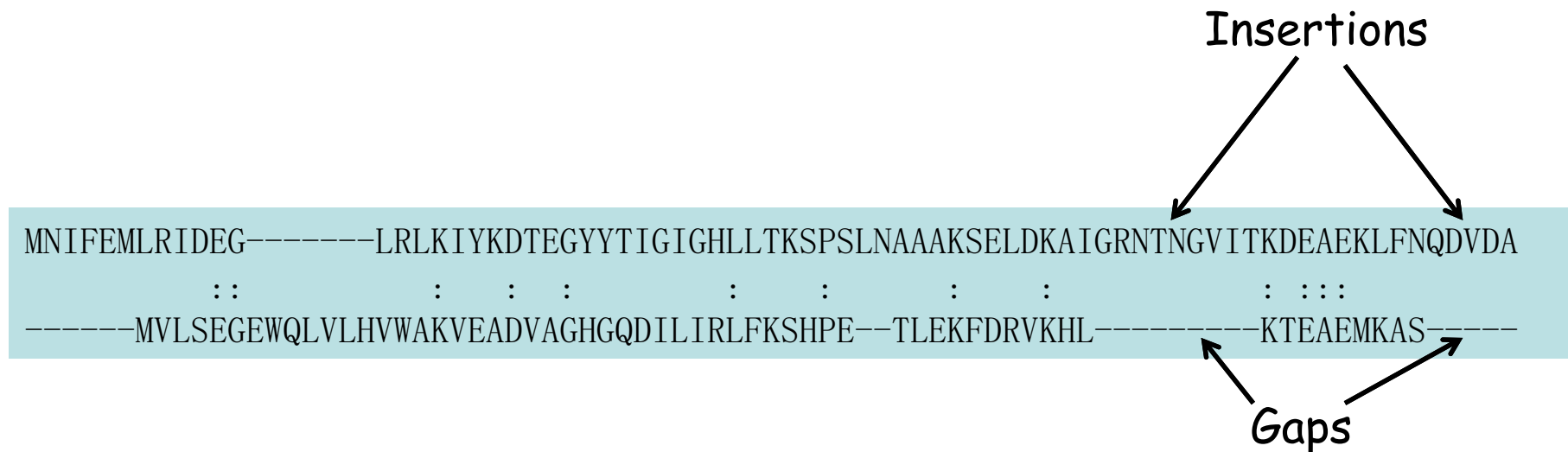
Gaps

**Sequence identity** = Number of identical residue pairs/Length of query sequence



# The principle of an alignment

- We want to align as many as possible THE SAME or THE SIMILAR residues
- We do not want gaps/insertions



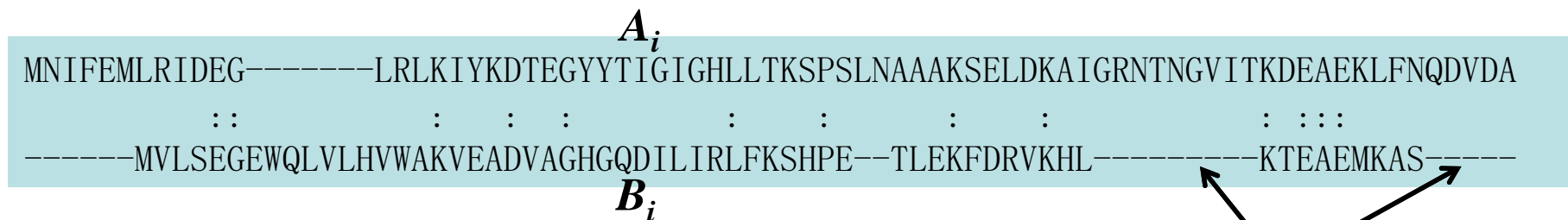
# The principle of an alignment

Mathematically, the goal is to maximize the following score:

$$Score = \sum_{i=1}^{N_{ali}} M(A_i, B_i) - GapPenalty$$

Residues of similar property  
should match together

Score for adding gap is  
always negative



$N_{ali}$ : number of aligned residue pairs

$A_i$ : amino acid identity of the  $i$ -th aligned residue at the first sequence

$B_i$ : amino acid identity of the  $i$ -th aligned residue at the second sequence

$M(A_i, B_i)$ : preference score of matching between amino acids  $A_i$  and  $B_i$

# Scoring matrix

$$Score = \sum_{i=1}^{N_{ali}} M(A_i, B_i) - GapPenalty$$


The simplest scoring matrix is the unit matrix:

$$M = \begin{bmatrix} 1 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 1 \end{bmatrix}_{20 \times 20}$$

Question: What will be the problem if we use this simple solution?

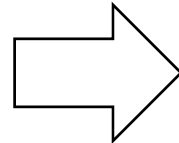
Answer: All the similarity due to the evolutionary mutation has been neglected.

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# The most often-used scoring matrices

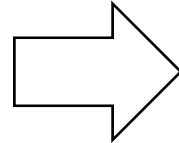
PAM250



DAYHOFF et al, 1978

	A	R	N	D	C	Q	E	G	H	I	L	K	M	F	P	S	T	W	Y	V
A	13	6	9	9	5	8	9	12	6	8	6	7	7	4	11	11	11	2	4	9
R	3	17	4	3	2	5	3	2	6	3	2	9	4	1	4	4	3	7	2	2
N	4	4	6	7	2	5	6	4	6	3	2	5	3	2	4	5	4	2	3	3
D	5	4	8	11	1	7	10	5	6	3	2	5	3	1	4	5	5	1	2	3
C	2	1	1	1	52	1	1	2	2	2	1	1	1	1	2	3	2	1	4	2
Q	3	5	5	6	1	10	7	3	7	2	3	5	3	1	4	3	3	1	2	3
E	5	4	7	11	1	9	12	5	6	3	2	5	3	1	4	5	5	1	2	3
G	12	5	10	10	4	7	9	27	5	5	4	6	5	3	8	11	9	2	3	7
H	2	5	5	4	2	7	4	2	15	2	2	3	2	2	3	3	2	2	3	2
I	3	2	2	2	2	2	2	2	10	6	2	6	5	2	3	4	1	3	9	
L	6	4	4	3	2	6	4	3	5	15	34	4	20	13	5	4	6	6	7	13
K	6	18	10	8	2	10	8	5	8	5	4	24	9	2	6	8	8	4	3	5
M	1	1	1	1	0	1	1	1	1	2	3	2	6	2	1	1	1	1	1	2
F	2	1	2	1	1	1	1	1	3	5	6	1	4	32	1	2	2	4	20	3
P	7	5	5	4	3	5	4	5	5	3	3	4	3	2	20	6	5	1	2	4
S	9	6	8	7	7	6	7	9	6	5	4	7	5	3	9	10	9	4	4	6
T	8	5	6	6	4	5	5	6	4	6	4	6	5	3	6	8	11	2	3	6
W	0	2	0	0	0	0	0	0	1	0	1	0	0	1	0	1	0	55	1	0
Y	1	1	2	1	3	1	1	1	3	2	2	1	2	15	1	2	2	3	31	2
V	7	4	4	4	4	4	4	4	5	4	15	10	4	10	5	5	5	72	4	17

BLOSUM62



Henikoff and Henikoff, PNAS, 1992

	A	R	N	D	C	Q	E	G	H	I	L	K	M	F	P	S	T	W	Y	V
A	4	-1	-2	-2	0	-1	-1	0	-2	-1	-1	-1	-1	-2	-1	1	0	-3	-2	0
R	-1	5	0	-2	-3	1	0	-2	0	-3	-2	2	-1	-3	-2	-1	-1	-3	-2	-3
N	-2	0	6	1	-3	0	0	0	1	-3	-3	0	-2	-3	-2	1	0	-4	-2	-3
D	-2	-2	1	6	-3	0	2	-1	-1	-3	-4	-1	-3	-3	-1	0	-1	-4	-3	-3
C	0	-3	-3	-3	9	-3	-4	-3	-3	-1	-1	-3	-1	-2	-3	-1	-1	-2	-2	-1
Q	-1	1	0	0	-3	5	2	-2	0	-3	-2	1	0	-3	-1	0	-1	-2	-1	-2
E	-1	0	0	2	-4	2	5	-2	0	-3	-3	1	-2	-3	-1	0	-1	-3	-2	-2
G	0	-2	0	-1	-3	-2	-2	6	-2	-4	-4	-2	-3	-3	-2	0	-2	-2	-3	-3
H	-2	0	1	-1	-3	0	0	-2	8	-3	-3	-1	-2	-1	-2	-1	-2	-2	2	-3
I	-1	-3	-3	-3	-1	-3	-3	-4	-3	4	2	-3	1	0	-3	-2	-1	-3	-1	3
L	-1	-2	-3	-4	-1	-2	-3	-4	-3	2	4	-2	2	0	-3	-2	-1	-2	-1	1
K	-1	2	0	-1	-3	1	1	-2	-1	-3	-2	5	-1	-3	-1	0	-1	-3	-2	-2
M	-1	-1	-2	-3	-1	0	-2	-3	-2	1	2	-1	5	0	-2	-1	-1	-1	-1	1
F	-2	-3	-3	-3	-2	-3	-3	-3	-1	0	0	-3	0	6	-4	-2	-2	1	3	-1
P	-1	-2	-2	-1	-3	-1	-1	-2	-2	-3	-3	-1	-2	-4	7	-1	-1	-4	-3	-2
S	1	-1	1	0	-1	0	0	0	-1	-2	-2	0	-1	-2	-1	4	1	-3	-2	-2
T	0	-1	0	-1	-1	-1	-1	-2	-2	-1	-1	-1	-1	-2	-1	1	5	-2	-2	0
W	-3	-3	-4	-4	-2	-2	-3	-2	-2	-3	-2	-3	-1	1	-4	-3	-2	11	2	-3
Y	-2	-2	-2	-3	-2	-1	-2	-3	2	-1	-1	-2	-1	3	-3	-2	-2	2	7	-1
V	0	-3	-3	-3	-1	-2	-2	-3	-3	3	1	-2	1	-1	-2	-2	0	-3	-1	4

Questions:

1. How these matrices are obtained?
2. What are the differences between PAM and BLOSUM?

# Margaret Dayhoff (1925 - 1983, US)



1945 - BA in [Mathematics](#) at NYU

1948 - PhD in Quantum Chemistry

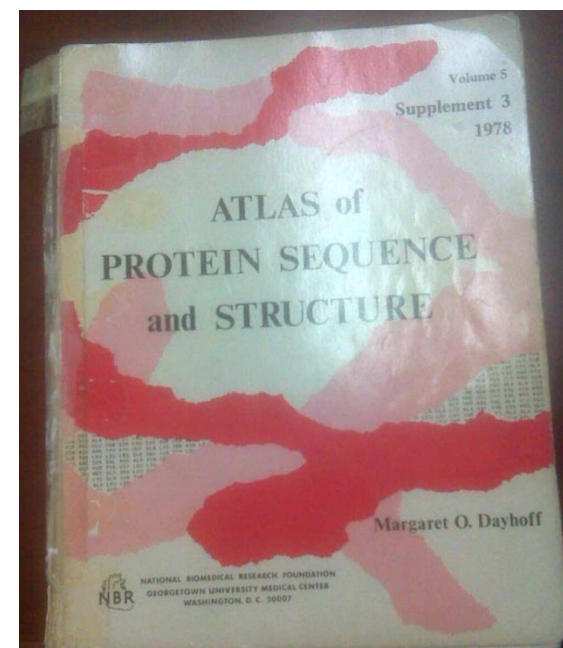
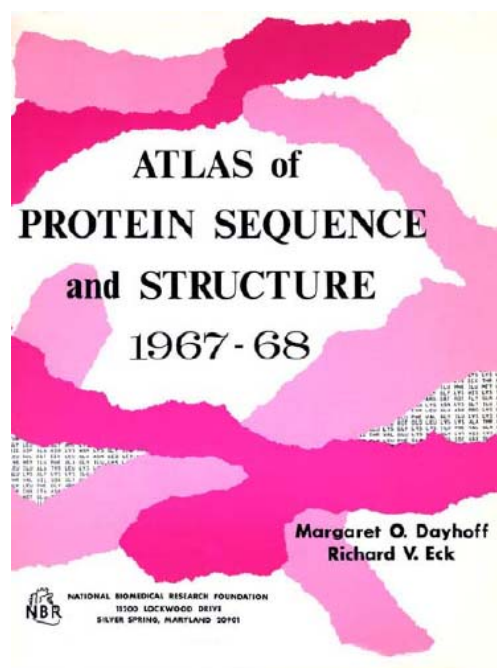
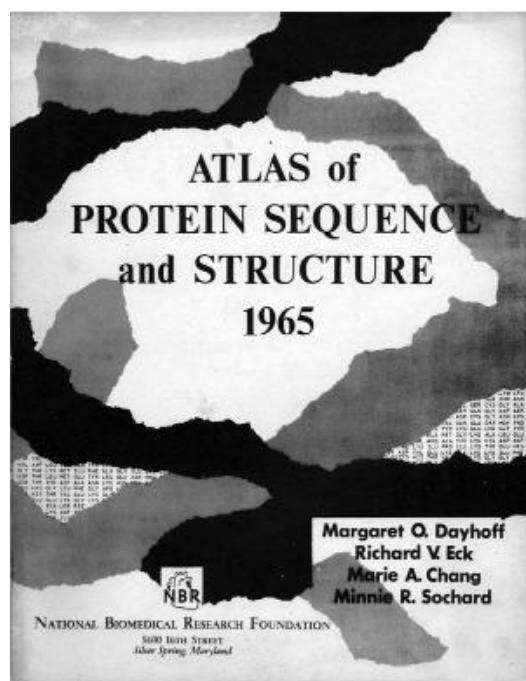
1965 - Protein Atlas (65 proteins) ([PIR](#))

the [first](#) public comprehensive, computerised and publicly available database of protein sequences. It is the model for GenBank and many other molecular databases.

1980 - President of Biophysical Society

[one of the founders in the field of Bioinformatics](#)

Margaret Oakley Dayhoff Award





# Scoring matrix PAM

PAM (Percent Accepted Mutation) Matrix (by Dayhoff et al 1978):

- **Reference:** DAYHOFF, M., R. SCHWARTZ, AND B. ORCUTT. 1978. A model of evolutionary change in proteins. Pages 345--352 in Atlas of protein sequence and structure, Volume 5 (M. Dayhoff, ed.). National Biomedical Research Foundation, Washington, D.C.
- **Database:** 1,572 mutations, 71 homologous sequence groups (trees), 34 superfamilies, minimum sequence identity is 85%
- **Purpose:** to derive the mutation probability between amino acids



# Scoring matrix PAM

Three steps for building the PAM matrix:

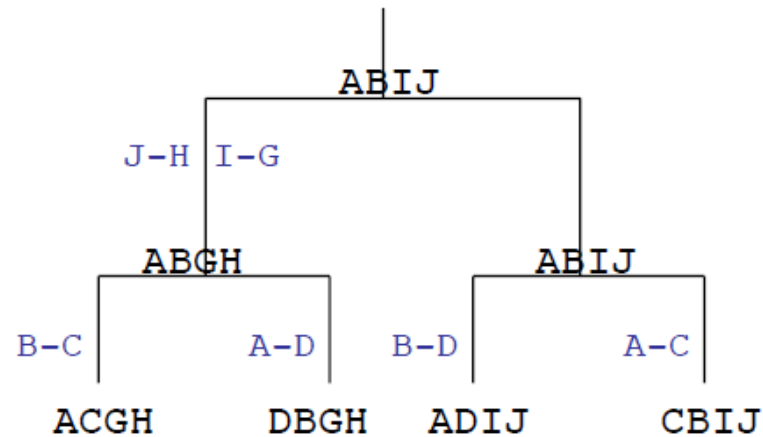
Step 1: Counting the number of mutations

Step 2: Relative mutability of amino acid

Step 3: Probability of mutations between amino acids ( $M_{ij}$ )

# Scoring matrix PAM

## Step 1: Counting the number of mutations



	A	B	C	D	G	H	I	J
A			1	1				
B			1	1				
C	1	1						
D	1	1						
G							1	
H								1
I					1			
J						1		

Figure 79. Matrix of accepted point mutations derived from the tree of Figure 78.

# Scoring matrix PAM

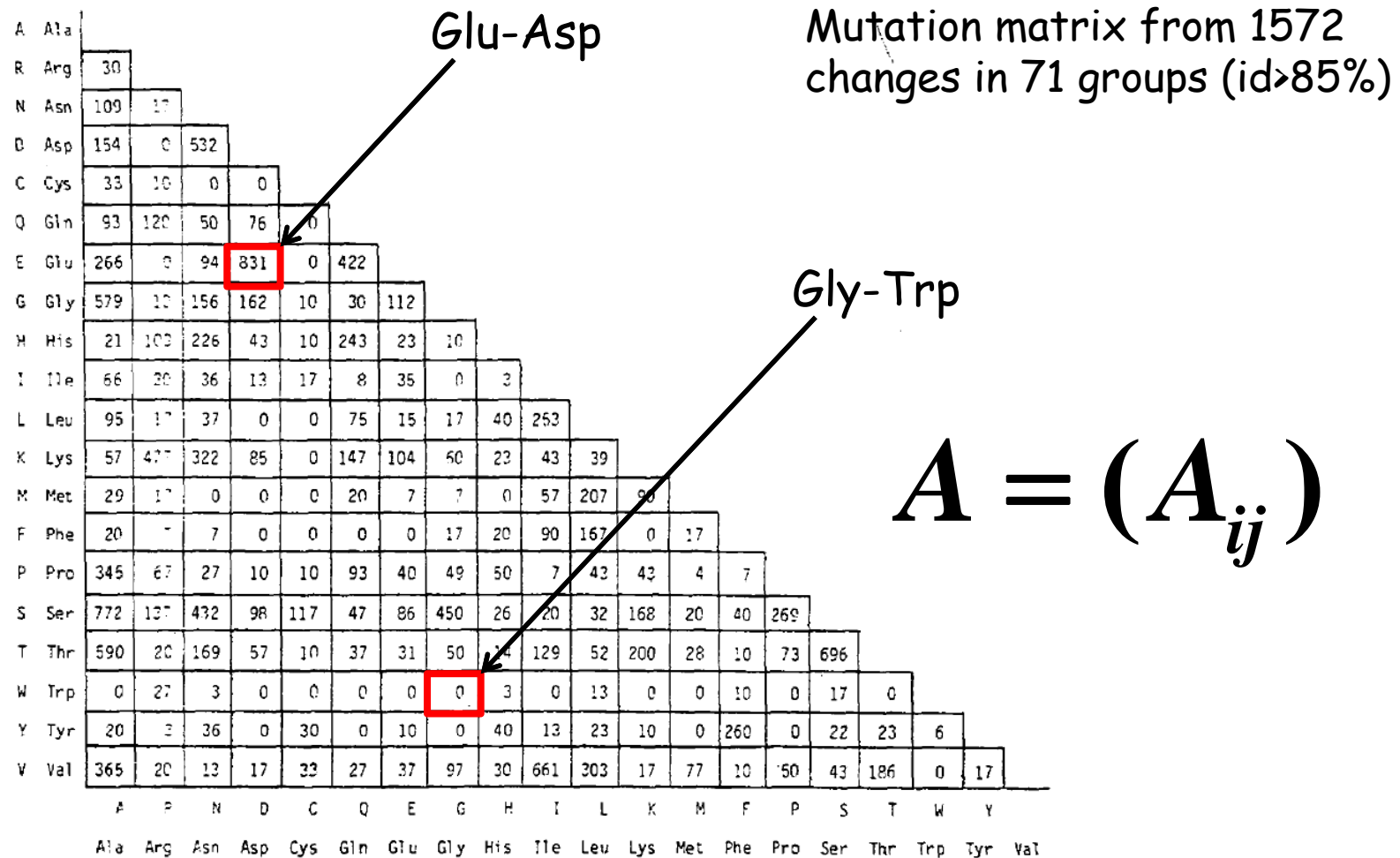
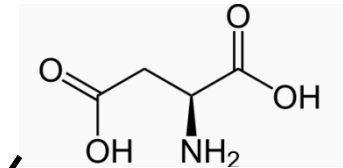
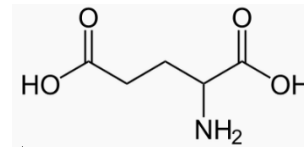


Figure 80. Numbers of accepted point mutations ( $\times 10$ ) accumulated from closely related sequences. Fifteen hundred and seventy-

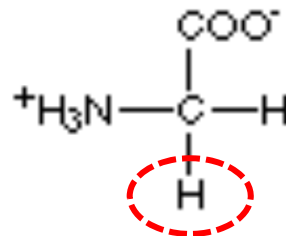
two exchanges are shown. Fractional exchanges result when ancestral sequences are ambiguous.

# Scoring matrix PAM

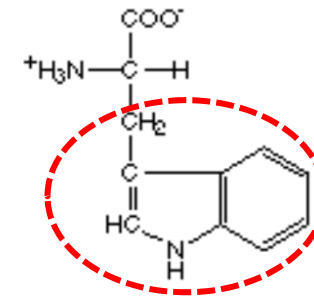
Two factors may influence the mutation numbers:



- Codon reason: mutation between Glu (=GAA, GAG) and Asp (=GAC, GAU) is the most frequent
- Physical reason: due to the volume difference, mutation between Gly (=GGG) and Trp (=UGG) never happens



Glycine  
(gly)



Tryptophan  
(trp)

# Scoring matrix PAM

## Step 2: Relative mutability of amino acid

$$m_i = \frac{N_{mut}(i)}{N_{comp}(i)}, i = 1, 2, \dots, 20$$

Example:

Aligned	A	D	A	
sequences	A	D	B	
Amino acids	A		B	D
Changes	1		1	0
Frequency of occurrence (total composition)	3		1	2
Relative mutability	.33		1	0

Figure 81. Sample computation of relative mutability. The two aligned sequences may be two experimentally observed sequences or an observed sequence and its inferred ancestor.

# Scoring matrix PAM

**Table 21**  
**Relative Mutabilities of the Amino Acids<sup>a</sup>**

Asn	134	His	66
Ser	120	Arg	65
Asp	106	Lys	56
Glu	102	Pro	56
Ala	100	Gly	49
Thr	97	Tyr	41
Ile	96	Phe	41
Met	94	Leu	40
Gln	93	Cys	20
Val	74	Trp	18

<sup>a</sup>The value for Ala has been arbitrarily set at 100.

# Scoring matrix PAM

**Step 3:** Probability of mutations between amino acids ( $M_{ij}$ )  
: probability of  $j$  being replaced by  $i$

$$M_{ij} = \begin{cases} \lambda \frac{m_j A_{ij}}{\sum_{k \neq i} A_{kj}}, & 1 \leq i, j \leq 20; \quad i \neq j \\ 1 - \lambda m_j, & i = j \end{cases}$$

$A_{ij}$ : Observed number of mutations between  $a_i$  and  $a_j$

$m_j$ : Relative mutate probability of  $a_j$  to all other amino acids

$\lambda$ : A constant to decide **the evolution distance**

# PAM1

	ORIGINAL AMINO ACID																			
	A	R	N	D	C	Q	E	G	H	I	L	K	M	F	P	S	T	W	Y	V
	Ala	Arg	Asn	Asp	Cys	Gln	Glu	Gly	His	Ile	Leu	Lys	Met	Phe	Pro	Ser	Thr	Trp	Tyr	Val
A Ala	9867	2	9	10	3	8	17	21	2	6	4	2	6	2	22	35	32	0	2	18
R Arg	1	9913	1	0	1	10	0	0	10	3	1	19	4	1	4	6	1	8	0	1
N Asn	4	1	9822	36	0	4	6	6	21	3	1	13	0	1	2	20	9	1	4	1
D Asp	6	0	42	9859	0	6	53	6	4	1	0	3	0	0	1	5	3	0	0	1
C Cys	1	1	0	0	9973	0	0	0	1	1	0	0	0	0	1	5	1	0	3	2
Q Gln	3	9	4	5	0	9876	27	1	23	1	3	6	4	0	6	2	2	0	0	1
E Glu	10	0	7	56	0	35	9865	4	2	3	1	4	1	0	3	4	2	0	1	2
G Gly	21	1	12	3	7	993	1	0	1	2	1	1	3	21	1	0	0	5		
H His	1	8	18	20	1	9912	1	1	1	1	1	1	1	1	1	1	1	1	4	1
I Ile	2	2	3	1	2	1	0	9	2	9	2	12	7	0	1	1	0	1	33	
L Leu	3	1	3	0	0	6	1	1	4	22	9947	2	45	13	3	1	3	4	2	15
K Lys	2	37	25	6	0	12	7	2	2	4	1	9926	20	0	3	8	11	0	1	1
M Met	1	1	0	0	0	2	0	0	0	5	8	4	9874	1	0	1	2	0	0	4
F Phe	1	1	1	0	0	0	0	1	2	8	6	0	4	9946	0	2	1	3	28	0
P Pro	13	5	2	1	1	8	3	2	5	1	2	2	1	1	9926	12	4	0	0	2
S Ser	28	11	34	7	11	4	6	16	2	2	1	7	4	3	17	9840	38	5	2	2
T Thr	22	2	13	4	1	3	2	2	1	11	2	8	6	1	5	32	9871	0	2	9
W Trp	0	2	0	0	0	0	0	0	0	0	0	0	0	1	0	1	0	9976	1	0
Y Tyr	1	0	3	0	3	0	1	0	4	1	1	0	0	21	0	1	1	2	9945	1
V Val	13	2	1	1	3	2	2	3	3	57	11	1	17	1	3	2	10	0	2	9901

$M_{ij}(j \rightarrow i)$

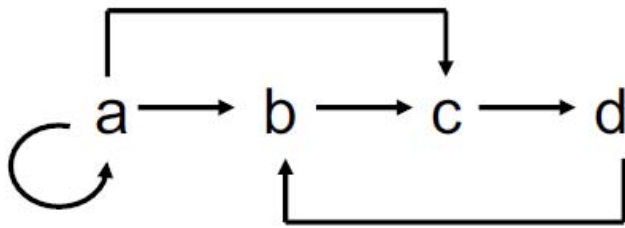
Asymmetric?

For clarity, the values have been multiplied by 10000



# From PAM1 to PAM2, PAM100, PAM250, etc...

**Remark** (from graph theory)



	a	b	c	d
a	1	1	1	0
b	0	0	1	0
c	0	0	0	1
d	0	1	0	0

Matrix **Q** indicates the number of paths going from one node to another in 1 step

	a	b	c	d
a	1	1	2	1
b	0	0	0	1
c	0	1	0	1
d	0	1	1	1

Matrix **Q<sup>2</sup>** indicates the number of paths going from one node to another in 2 steps

	a	b	c	d
a	...	...	...	...
b	...	...	...	...
c	...	...	...	...
d	...	...	...	...

Matrix **Q<sup>n</sup>** indicates the number of paths going from one node to another in  $n$  steps

From PAM1 to PAM2, PAM100, PAM250, etc...

$$\text{PAM2} = \text{PAM1}^2$$

$$\text{PAM100} = \text{PAM1}^{100}$$

$$\text{PAM200} = \text{PAM1}^{250}$$

# PAM250

	A	R	N	D	C	Q	E	G	H	I	L	K	M	F	P	S	T	W	Y	V
A	13	6	9	9	5	8	9	12	6	8	6	7	7	4	11	11	11	2	4	9
R	3	17	4	3	2	5	3	2	6	3	2	9	4	1	4	4	3	7	2	2
N	4	4	6	7	2	5	6	4	6	3	2	5	3	2	4	5	4	2	3	3
D	5	4	8	11	1	7	10	5	6	3	2	5	3	1	4	5	5	1	2	3
C	2	1	1	1	52	1	1	2	2	2	1	1	1	1	2	3	2	1	4	2
Q	3	5	5	6	1	10	7	3	7	2	3	5	3	1	4	3	3	1	2	3
E	5	4	7	11	1	9	12	5	6	3	2	5	3	1	4	5	5	1	2	3
G	12	5	10	10	4	7	9	27	5	5	4	6	5	3	8	11	9	2	3	7
H	2	5	5	4	2	7	4	2	15	2	2	3	2	2	3	3	2	2	3	2
I	3	2	2	2	2	2	2	2	2	10	6	2	6	5	2	3	4	1	3	9
L	6	4	4	3	2	6	4	3	5	15	34	4	20	13	5	4	6	6	7	13
K	6	18	10	8	2	10	8	5	8	5	4	24	9	2	6	8	8	4	3	5
M	1	1	1	1	0	1	1	1	1	2	3	2	6	2	1	1	1	1	1	2
F	2	1	2	1	1	1	1	1	3	5	6	1	4	32	1	2	2	4	20	3
P	7	5	5	4	3	5	4	5	5	3	3	4	3	2	20	6	5	1	2	4
S	9	6	8	7	7	6	7	9	6	5	4	7	5	3	9	10	9	4	4	6
T	8	5	6	6	4	5	5	6	4	6	4	6	5	3	6	8	11	2	3	6
W	0	2	0	0	0	0	0	0	1	0	1	0	0	1	0	1	0	55	1	0
Y	1	1	2	1	3	1	1	1	3	2	2	1	2	15	1	2	2	3	31	2
V	7	4	4	4	4	4	4	4	5	4	15	10	4	10	5	5	5	72	4	17

For clarity, the values have been multiplied by 100

# Interpretation of the PAM250 matrix

	A	R	N	D	...
A	13	6	9	9	...
R	3	17	4	3	...
N	4	4	6	7	...
D	5	4	8	11	...
C	2	1	1	1	...
Q	3	5	5	6	...
E	5	4	7	11	...
G	12	5	10	10	...
H	2	5	5	4	...
I	3	2	2	2	...
L	6	4	4	3	...
K	6	18	10	8	...
M	1	1	1	1	...
F	2	1	2	1	...
P	7	5	5	4	...
S	9	6	8	7	...
T	8	5	6	6	...
W	0	2	0	0	...
Y	1	1	2	1	...
V	7	4	4	4	...

In comparing 2 sequences at this evolutionary distance (250 PAM), there is:

\* \* \* \* **A** \* \* \* \* \*



250 PAM

\* \* \* \* **A** \* \* \* \* \*

\* \* \* \* **R** \* \* \* \* \*

\* \* \* \* **N** \* \* \* \* \*

\* \* \* \* **W** \* \* \* \* \*

...

probability of 13%

probability of 3%

probability of 4%

probability of 0%

# Log-odds of PAM250


C	12																			
S	0	2																		
T	-2	1	3																	
P	-3	1	0	6																
A	-2	1	1	1	2															
G	-3	1	0	-1	1	5														
N	-4	1	0	-1	0	0	2													
D	-5	0	0	-1	0	1	2	4												
E	-5	0	0	-1	0	0	1	3	4											
Q	-5	-1	-1	0	0	-1	1	2	2	4										
H	-3	-1	-1	0	-1	-2	2	1	1	3	6									
R	-4	0	-1	0	-2	-3	0	-1	-1	1	2	8								
K	-5	0	0	-1	-1	-2	1	0	0	1	0	3	5							
M	-5	-2	-1	-2	-1	-3	-2	-3	-2	-1	-2	0	0	6						
I	-2	-1	0	-2	-1	-3	-2	-2	-2	-2	-2	-2	-2	2	5					
L	-8	-3	-2	-3	-2	-4	-3	-4	-3	-2	-2	-3	-3	4	2	8				
V	-2	-1	0	-1	0	-1	-2	-2	-2	-2	-2	-2	-2	2	4	2	4			
F	-4	-3	-3	-5	-4	-5	-4	-6	-5	-5	-2	-4	-5	0	1	2	-1	9		
Y	0	-3	-3	-5	-3	-5	-2	-4	-4	-4	0	-4	-4	-2	-1	-1	-2	7	10	
W	-8	-2	-5	-6	-6	-7	-4	-7	-7	-5	-3	2	-3	-4	-5	-2	-6	0	0	17
	C	S	T	P	A	G	N	D	E	Q	H	R	K	M	I	L	V	F	Y	W

$$S_{ij} = 10 \log_{10} \frac{M_{ij}}{P_i}$$

$P_i$ : Probability of  $a_i$  in sequences

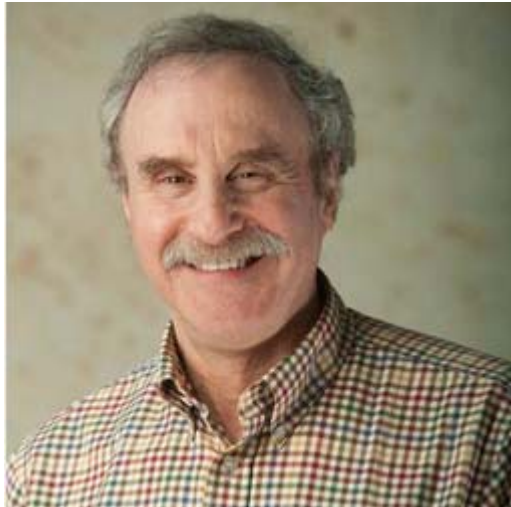
Log-odds matrix backs to symmetric

# Content

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# Scoring matrix BLOSUM

**Henikoff S, Henikoff JG.** Amino acid substitution matrices from protein blocks. Proc Natl Acad Sci U S A. 1992 Nov 15;89(22):10915-9



Steve Henikoff



Jorja G. Henikoff

HHMI Investigator  
NAS member

# Henikoff



## Steven Henikoff

Member in Basic Sciences, [Fred Hutchinson Cancer Research Center](#)  
在 fhcrc.org 的电子邮件经过验证 - [首页](#)  
[Genetics](#)

### 引用次数

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	总计	2013 年至今
引用	71761	26214
h 指数	125	76
i10 指数	291	218

标题	引用次数	年份
<a href="#">Amino acid substitution matrices from protein blocks</a> S Henikoff, JG Henikoff Proceedings of the National Academy of Sciences 89 (22), 10915-10919	5740	1992
<a href="#">Unidirectional digestion with exonuclease III creates targeted breakpoints for DNA sequencing</a> S Henikoff Gene 28 (3), 351-359	4110	1984
<a href="#">Predicting the effects of coding non-synonymous variants on protein function using the SIFT algorithm</a> P Kumar, S Henikoff, PC Ng Nature protocols 4 (7), 1073	3763	2009
<a href="#">SIFT: Predicting amino acid changes that affect protein function</a> PC Ng, S Henikoff Nucleic acids research 31 (13), 3812-3814	3062	2003



# Scoring matrix BLOSUM

Dataset: >2000 blocks

Four steps for building the BLOSUM matrix:

Step 1: Count frequency table  $f_{ij}$

Step 2: Calculate the **observed** occurrence probability  $q_{ij}$

Step 3: Calculate the **expected** occurrence probability  $e_{ij}$

Step 4: Calculate the log-odds matrix  $S_{ij}$

# Scoring matrix BLOSUM

## Step 1: Count frequency table $f_{ij}$

A block of known conserved sequences (*gapless*):

LVLHVWAKVEADVAGHGQDILIRLFKSHPETLE  
LVLWDWAKVEADVAGHGQDILIRLFKSHPETLE  
LDLHVWAKVGGDVAGHGQAALIRLFKSHPETLE  
LCLHVWAKVEADVAGGGQGGLIRLFKSHPETLE  
DVLHVWAKVEADVAGHGQDILIRLFKSHPETLE  
LVLHVWAKVEADVAGHGQDILIRLFKSHPETLE

DD pairs: 6

DA pairs: 4

DG pairs: 4

AG pairs: 1

Total pairs at this column:  $6 \times 5 / 2 = 15$

Total pairs in all columns:

$$w \times s(s-1) / 2$$

$s$ : number of sequences,  
 $w$ : number of columns

# Scoring matrix BLOSUM

**Step 2:** Calculate the **observed** occurrence probability  $q_{ij}$

Probability of occurrence of each i-j pairs:

$$q_{ij} = \frac{f_{ij}}{\sum_{i=1}^{20} \sum_{j=1}^{20} f_{ij}}, \quad 1 \leq j \leq i \leq 20$$

Comparison with PAM

$$M_{ij} = \begin{cases} \lambda \frac{m_j A_{ij}}{\sum_{k \neq i} A_{kj}}, & 1 \leq i, j \leq 20; \quad i \neq j \\ 1 - \lambda m_j, & i = j \end{cases}$$

# Scoring matrix BLOSUM

**Step 3:** Calculate the **expected** occurrence probability  $e_{ij}$

1. Probability of occurrence of the  $i$ -th amino acid:

$$p_i = q_{ii} + \frac{1}{2} \sum_{j \neq i} q_{ij}, \quad 1 \leq i \leq 20$$

2. Expected probability of  $i$ - $j$  pairs:

$$e_{ij} = \begin{cases} p_i^2, & \text{if } i = j \\ 2p_i p_j, & \text{otherwise} \end{cases}$$

# Scoring matrix BLOSUM

**Step 4:** Calculate the log-odds matrix  $S_{ij}$

$$S_{ij} = 2 \log_2 \frac{q_{ij}}{e_{ij}}, \quad 1 \leq j \leq i \leq 20$$

Comparison with PAM

$$S_{ij} = 10 \log_{10} \frac{M_{ij}}{P_i}$$

# Scoring matrix BLOSUM62

Sequence identity of the blocks is at least 62%

	A	R	N	D	C	Q	E	G	H	I	L	K	M	F	P	S	T	W	Y	V
A	<b>4</b>	-1	-2	-2	0	-1	-1	0	-2	-1	-1	-1	-1	-2	-1	1	0	-3	-2	0
R	-1	<b>5</b>	0	-2	-3	1	0	-2	0	-3	-2	2	-1	-3	-2	-1	-1	-3	-2	-3
N	-2	0	<b>6</b>	1	-3	0	0	0	1	-3	-3	0	-2	-3	-2	1	0	-4	-2	-3
D	-2	-2	1	<b>6</b>	-3	0	2	-1	-1	-3	-4	-1	-3	-3	-1	0	-1	-4	-3	-3
C	0	-3	-3	-3	<b>9</b>	-3	-4	-3	-3	-1	-1	-3	-1	-2	-3	-1	-1	-2	-2	-1
Q	-1	1	0	0	-3	<b>5</b>	2	-2	0	-3	-2	1	0	-3	-1	0	-1	-2	-1	-2
E	-1	0	0	2	-4	2	<b>5</b>	-2	0	-3	-3	1	-2	-3	-1	0	-1	-3	-2	-2
G	0	-2	0	-1	-3	-2	-2	<b>6</b>	-2	-4	-4	-2	-3	-3	-2	0	-2	-2	-3	-3
H	-2	0	1	-1	-3	0	0	-2	<b>8</b>	-3	-3	-1	-2	-1	-2	-1	-2	-2	2	-3
I	-1	-3	-3	-3	-1	-3	-3	-4	-3	<b>4</b>	2	-3	1	0	-3	-2	-1	-3	-1	3
L	-1	-2	-3	-4	-1	-2	-3	-4	-3	2	<b>4</b>	-2	2	0	-3	-2	-1	-2	-1	1
K	-1	2	0	-1	-3	1	1	-2	-1	-3	-2	<b>5</b>	-1	-3	-1	0	-1	-3	-2	-2
M	-1	-1	-2	-3	-1	0	-2	-3	-2	1	2	-1	<b>5</b>	0	-2	-1	-1	-1	-1	1
F	-2	-3	-3	-3	-2	-3	-3	-3	-1	0	0	-3	0	<b>6</b>	-4	-2	-2	1	3	-1
P	-1	-2	-2	-1	-3	-1	-1	-2	-2	-3	-3	-1	-2	-4	<b>7</b>	-1	-1	-4	-3	-2
S	1	-1	1	0	-1	0	0	0	-1	-2	-2	0	-1	-2	-1	<b>4</b>	1	-3	-2	-2
T	0	-1	0	-1	-1	-1	-1	-2	-2	-1	-1	-1	-1	-2	-1	1	<b>5</b>	-2	-2	0
W	-3	-3	-4	-4	-2	-2	-3	-2	-2	-3	-2	-3	-1	1	-4	-3	-2	<b>11</b>	2	-3
Y	-2	-2	-2	-3	-2	-1	-2	-3	2	-1	-1	-2	-1	3	-3	-2	-2	2	<b>7</b>	-1
V	0	-3	-3	-3	-1	-2	-2	-3	-3	3	1	-2	1	-1	-2	-2	0	-3	-1	<b>4</b>

$S_{ij} < 0$ , probability is less than expected

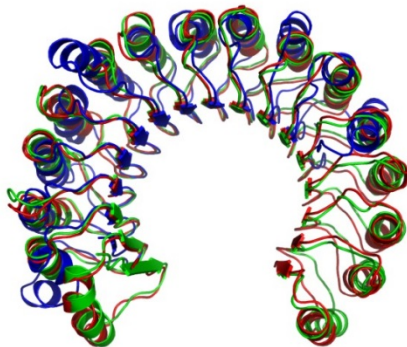
$S_{ij} > 0$ , probability is more than expected

# A potential research project

One of the major difficulty in the field is to detect **remote-homology** proteins.

How can we derive a matrix that is more suitable for aligning **remote-homology** proteins?

One way is probably to use **structure alignment** to construct blocks for the mutation matrix construction.




## **mTM-align**

*for*

**multiple protein structure alignment**

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# Gap penalty

- What is alignment gap?

MVLSEGEWQLVLHVWAKVEADVAGHGQDILIRLFKSHPETLEKFDRVKHLKTEAEMKASEDLK  
SLEWMVNWAMVNWAAVV-----DDFYQELFKAHPEYQNKFGFFKAHPEYQNKFGFKGVALG

Gap opening

Gap extension

- Gap penalty:

$$w(k) = a + b(k - 1)$$

- $a$ : gap-opening penalty
- $b$ : gap-extension penalty (usually  $b \leq a$ )
- $k$ : length of the gaps


# Gap penalty

$$\textit{Score} = \sum_{i=1}^{N_{ali}} M(A_i, B_i) - \textit{GapPenalty}$$

## Question:

For a given score matrix and gap penalty protocol, how to find the best alignment of two protein sequences?

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# Prepare for next class

Please read P19-P23 of the first textbook

