

# Neural Machine Translation



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## Neural Sequence-to-Sequence Models

### Decoding for Phrase-Based Machine Translation

#### Search state:

- The most recent n-1 target words (for n-gram language model)
- Coverage of source words (to ensure each word translated once)
- Most recent source position translated (for reordering)

#### Path score:

- Translation, language model, and reordering (distortion) scores
- Optimistic estimate of future translation & LM scores

#### Search strategy:

- Build target sentence left-to-right (to score language model)
- Each new state added by translating one untranslated phrase
- Extend a partial translation only if it's among the top K ways to translate N source words.

(Koehn Slides)

### Conditional Sequence Generation

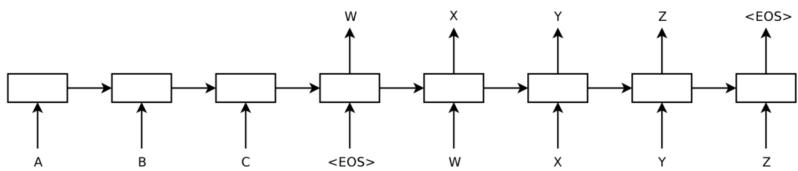
$P(e|f)$  could just be estimated from a sequence model  $P(f, e)$

<f> das Haus ist klein </f> | the house is small </e>

Run an RNN over the whole sequence, which first computes  $P(f)$ , then computes  $P(e, f)$ .

Encoder–Decoder: Use different parameters or architectures encoding  $f$  and predicting  $e$ .

"Sequence to sequence" learning (Sutskever et al., 2014)



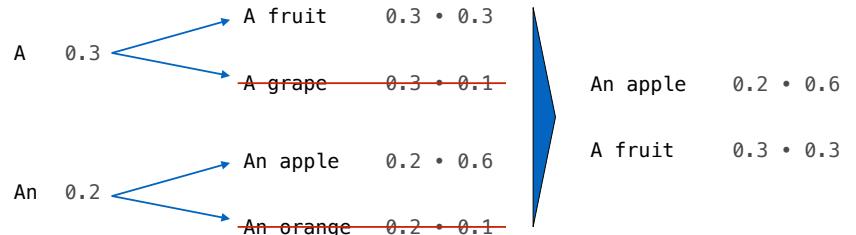
## Neural Decoding

## Search Strategies for Neural Machine Translation

For each target position, each word in the vocabulary is scored.  
(Alternatively, a restricted list of vocabulary items can be selected based on the source sentence, but quality can degrade.)

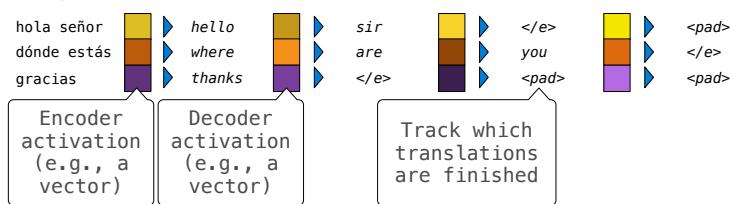
Greedy decoding: Extend a single hypothesis (partial translation) with the next word that has highest probability.

Beam search: Extend multiple hypotheses, then prune.

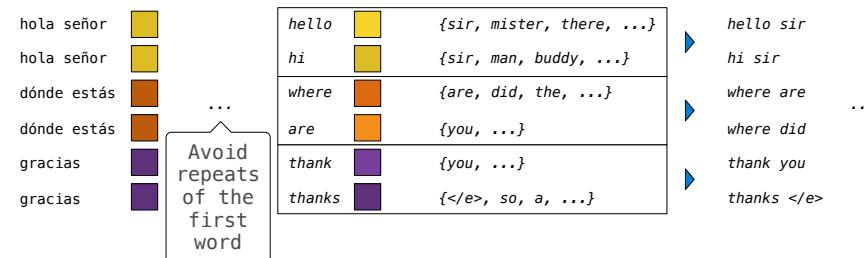


## Implementing Beam Search for Batch Decoding

### Greedy search:



### Beam search (beam width of 2):



## Beam Search Criteria to Compensate for Bad Models

NMT models often prefer translations that are too short.

$$s(e) = \sum_{i=1}^m \log P(e_i | e_{1:i}, f)$$

"For more than 50% of the sentences, the model in fact assigns its global best score to the empty translation"  
(Stahlberg & Byrne, 2019)

Alternatives for scoring items on the beam:

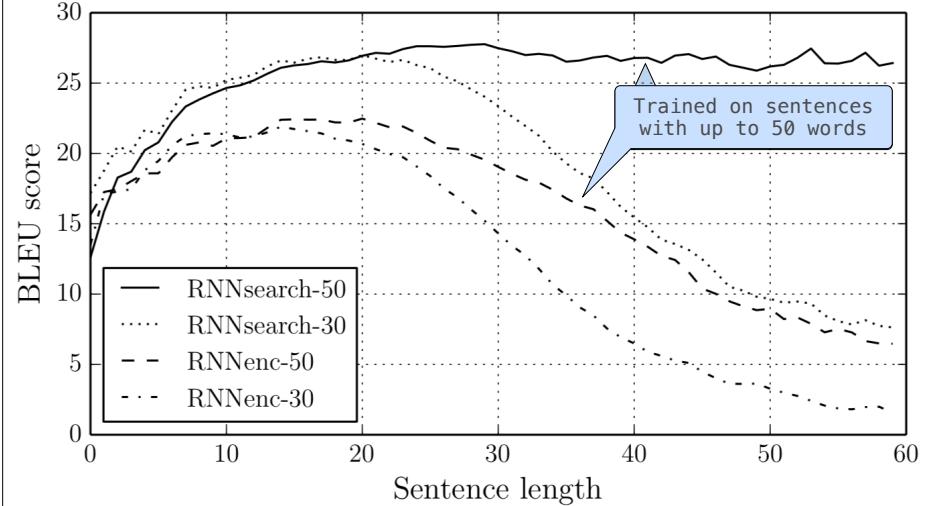
Length normalization:  $s(e)/m$

Google's correction (2016):  $\frac{s(e)}{(5+m)^\alpha}$

Word reward:  $s(e) + \gamma m$

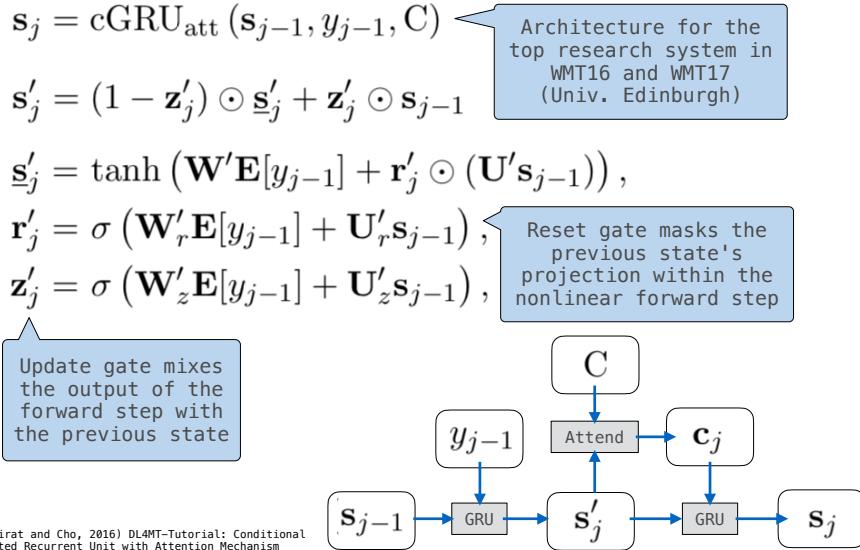
## Attention

### Impact of Attention on Long Sequence Generation

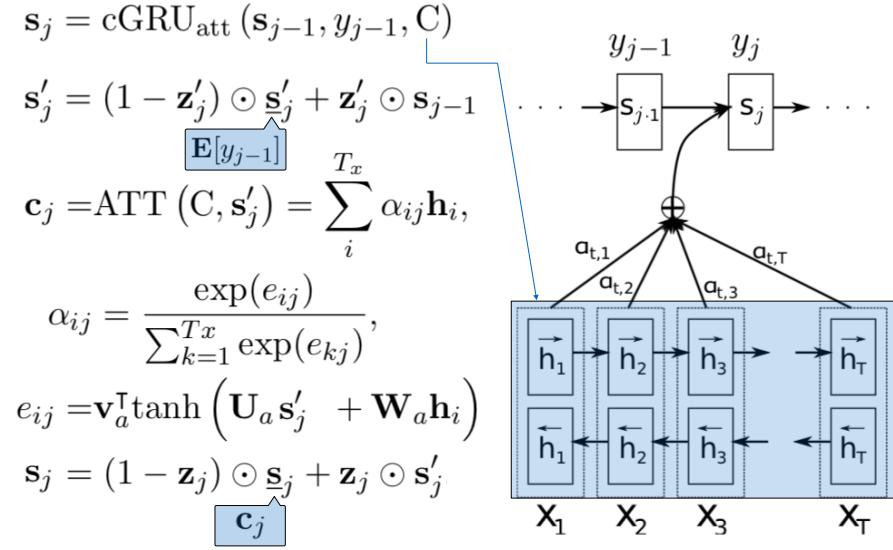


(Badhanu et al., 2015) Neural Machine Translation by Jointly Learning to Align and Translate

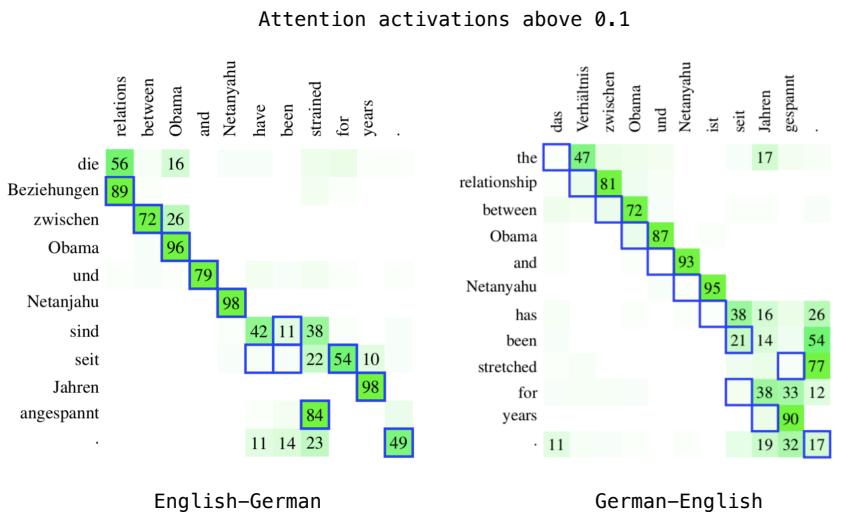
## Conditional Gated Recurrent Unit with Attention



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## Attention Activations



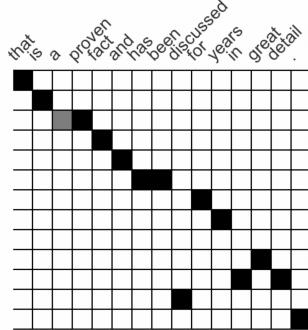
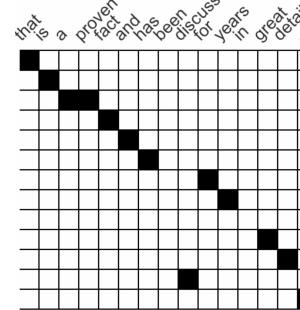
(Koehn & Knowles 2017) Six Challenges for Neural Machine Translation

## Better Alignments from Attention Activations

Ideas:

- (1) Find attention activations that would have led to correct word choice.
- (2) Choose target words conditioned only on source context.
- (3) Find attention activations that are good for both e->f and f->e.

dies\_this  
ist\_is  
übereinstimmende\_concordant  
erkenntnis\_insight  
und\_and  
wird\_is  
seit\_for  
jahren\_years  
auch\_also  
sehr\_very  
grundsätzlich\_fundamentally  
diskutiert\_discussed  
...



(Zenkel et al., 2020) End-to-End Neural Word Alignment Outperforms GIZA++

## Transformer Architecture

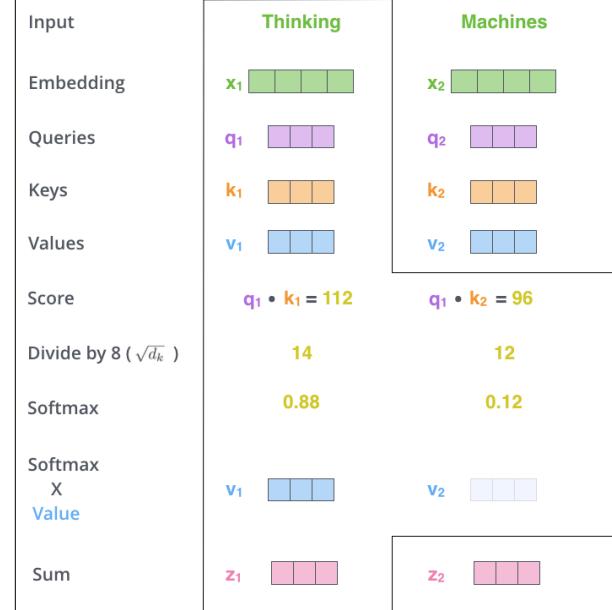
### Transformer

In lieu of an RNN,  
use attention.

High throughput &  
expressivity:  
compute queries,  
keys and values as  
(different) linear  
transformations of  
the input.

Attention weights  
are queries  $\cdot$  keys;  
outputs are sums of  
weighted values.

$$\text{Attention}(Q, K, V) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$



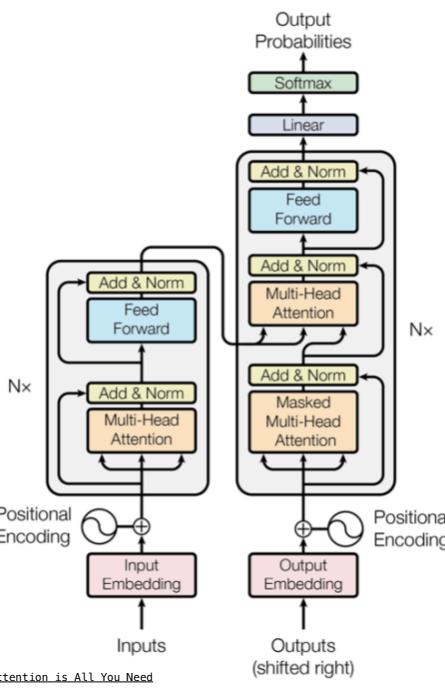
(Vaswani et al., 2017) Attention is All You Need  
Figure: <http://jalammar.github.io/illustrated-transformer/>

## Transformer Architecture

- Layer normalization ("Add & Norm" cells) helps with RNN+attention architectures as well.
- Positional encodings can be learned or based on a formula that makes it easy to represent distance.

	EN-DE
ByteNet [18]	23.75
Deep-Att + PosUnk [39]	24.6
GNMT + RL [38]	25.16
ConvS2S [9]	26.03
MoE [32]	26.30
Deep-Att + PosUnk Ensemble [39]	26.30
GNMT + RL Ensemble [38]	26.36
ConvS2S Ensemble [9]	27.3
Transformer (base model)	28.4
Transformer (big)	28.4

(Vaswani et al., 2017) Attention is All You Need



## Some Transformer Concerns

**Problem:** Bag-of-words representation of the input.  
**Remedy:** Position embeddings are added to the word embeddings.

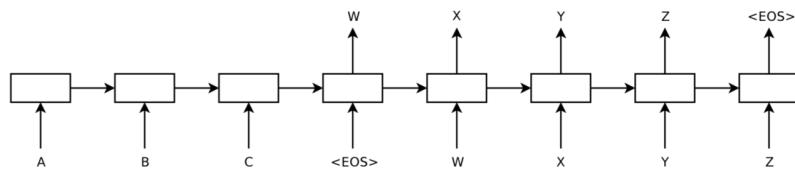
**Problem:** During generation, can't attend to future words.  
**Remedy:** Masked training that zeroes attention to future words.

**Problem:** Deep networks needed to integrated lots of context.  
**Remedies:** Residual connections and multi-head attention.

**Problem:** Optimization is hard.  
**Remedies:** Large mini-batch sizes and layer normalization.

## Training Loss Function

Teacher forcing: During training, only use the predictions of the model for the loss, not the input.



Label smoothing: Update toward a distribution in which

- 0.9 probability is assigned to the observed word, and
- 0.1 probability is divided uniformly among all other words.

Sequence-level loss has been explored, but (so far) abandoned.

## Training Data

## Subwords

The sequence of symbols that are embedded should be common enough that an embedding can be estimated robustly for each, and all symbols have been observed during training.

**Solution 1:** Symbols are words with rare words replaced by UNK.

- Replacing UNK in the output is a new problem (like alignment).
- UNK in the input loses all information that might have been relevant from the rare input word (e.g., tense, length, POS).

**Solution 2:** Symbols are subwords.

- Byte-Pair Encoding is the most common approach.
- Other techniques that find common subwords aren't reliable much better (but are somewhat more complicated).
- Training on many sampled subword decompositions can improve out-of-domain translations.

(Sennrich et al., 2016) Neural Machine Translation of Rare Words with Subword Units  
(Kudo, 2018) Subword Regularization: Improving Neural Network Translation Models with Multiple Subword Candidates

## BPE Example

system	sentence
source	health research institutes
reference	Gesundheitsforschungsinstitute
word-level (with back-off)	Forschungsinstitute
character bigrams	Fo rs ch un gs in st it ut io ne n
BPE	Gesundheits forsch ungs in stit ute

Example from Rico Sennrich

**Initialize:** Split each word into symbols that are individual characters

**Repeat:** Convert the most frequent symbol bigram into a new symbol

```
vocab = {'l o w </w>': 5,
         'l o w e r </w>': 2,
         'n e w e s t </w>': 6,
         'w i d e s t </w>': 3}

('e', 's') appears 9 times and is now 'es'
('es', 't') appears 9 times and is now 'est'
('est', '</w>') appears 9 times and is now 'est</w>'
('l', 'o') appears 7 times and is now 'lo'
('lo', 'w') appears 7 times and is now 'low'
('n', 'e') appears 6 times and is now 'ne'
('ne', 'w') appears 6 times and is now 'new'
('new', 'est</w>') appears 6 times and is now 'newest</w>'
('low', '</w>') appears 5 times and is now 'low</w>'
('w', 'i') appears 3 times and is now 'wi'

{'low</w>': 5, 'low e r </w>': 2, 'newest</w>': 6, 'wi d est</w>': 3}
```

(Sennrich et al., 2016) Neural Machine Translation of Rare Words with Subword Units

## Back Translations

Synthesize an *en-de* parallel corpus by using a *de-en* system to translate monolingual *de* sentences.

- Better generating systems don't seem to matter much.
- Can help even if the *de* sentences are already in an existing *en-de* parallel corpus!

system	EN→DE		DE→EN	
	dev	test	dev	test
baseline	22.4	26.8	26.4	28.5
+synthetic	25.8	31.6	29.9	36.2
+ensemble	27.5	33.1	31.5	37.5
+r2l reranking	<b>28.1</b>	<b>34.2</b>	<b>32.1</b>	<b>38.6</b>

Table 2: English↔German translation results (BLEU) on dev (newstest2015) and test (newstest2016). Submitted system in bold.

(Sennrich et al., 2015) Improving Neural Machine Translation Models with Monolingual Data  
(Sennrich et al., 2016) Edinburgh Neural Machine Translation Systems for WMT 16

## Multilingual Neural Machine Translations

Bilingual Baselines →

Translation quality improvement of a single massively multilingual model as we increase the capacity (number of parameters) compared to 103 individual bilingual baselines.

<https://ai.googleblog.com/2019/10/exploring-massively-multilingual.html>

## First Large-Scale Massively Multilingual Experiment

Trained on Google-internal corpora for 103 languages.

1M or fewer sentence pairs per language; 95M examples total.

Evaluated on "10 languages from different typological families: Semitic – Arabic (Ar), Hebrew (He), Romance – Galician (Gl), Italian (It), Romanian (Ro), Germanic – German (De), Dutch (Nl), Slavic – Belarusian (Be), Slovak (Sk) and Turkic – Azerbaijani (Az) and Turkish (Tr)."

Model architecture: Sequence-to-sequence Transformer with a target-language indicator token prepended to each source sentence to enable multiple output languages.

- 6 layer encoder & decoder; 1024/8192 layer sizes; 16 heads

- 473 million trainable model parameters

- 64k subwords shared across 103 languages

Baseline: Same model architecture trained on bilingual examples.

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	Ar	Az	Be	De	He	It	Nl	Ro	Sk	Tr	Avg.
baselines	23.34	16.3	21.93	30.18	31.83	<b>36.47</b>	36.12	34.59	25.39	27.13	28.33
many-to-one	<b>26.04</b>	<b>23.68</b>	<b>25.36</b>	35.05	<b>33.61</b>	35.69	<b>36.28</b>	36.33	28.35	<b>29.75</b>	<b>31.01</b>
many-to-many	22.17	21.45	23.03	<b>37.06</b>	30.71	35.0	36.18	<b>36.57</b>	<b>29.87</b>	27.64	29.97

Table 5: X→En test BLEU on the 103-language corpus

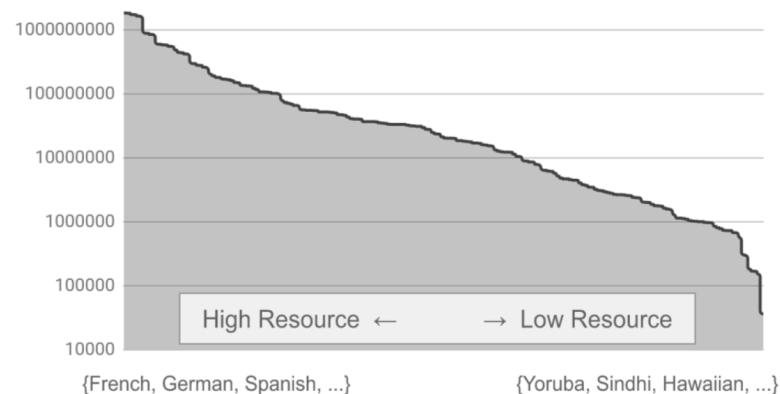
	Ar	Az	Be	De	He	It	Nl	Ro	Sk	Tr	Avg.
baselines	10.57	8.07	15.3	23.24	19.47	31.42	28.68	27.92	11.08	15.54	19.13
one-to-many	<b>12.08</b>	<b>9.92</b>	<b>15.6</b>	<b>31.39</b>	<b>20.01</b>	<b>33</b>	<b>31.06</b>	<b>28.43</b>	<b>17.67</b>	<b>17.68</b>	<b>21.68</b>
many-to-many	10.57	9.84	14.3	28.48	17.91	30.39	29.67	26.23	18.15	15.58	20.11

Table 6: En→X test BLEU on the 103-language corpus

## Full-Scale Massively Multilingual Experiment

25 billion parallel sentences in 103 languages.

Data distribution over language pairs



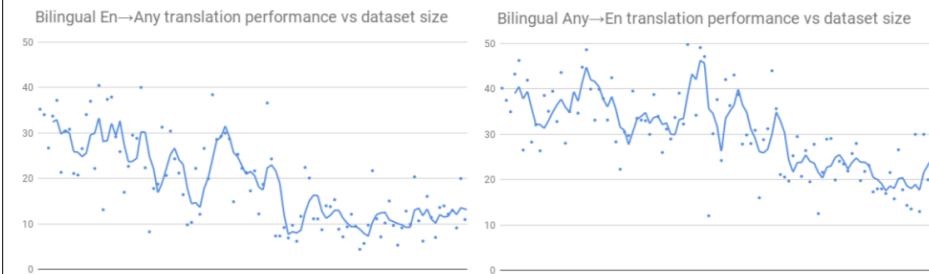
Arivazhagan, Bapna, Firat, et al. (2019) "Massively Multilingual Neural Machine Translation in the Wild: Findings and Challenges"

## Full-Scale Massively Multilingual Experiment

25 billion parallel sentences in 103 languages.

Baselines: Bilingual Transformer Big w/ 32k Vocab (~375M params) for most languages; Transformer Base for low-resource languages.

Evaluation: Constructed multi-way dataset of 3k-5k translated English sentences.



"Performance on individual language pairs is reported using dots and a trailing average is used to show the trend."

Arivazhagan, Bapna, Firat, et al. (2019) "Massively Multilingual Neural Machine Translation in the Wild: Findings and Challenges"

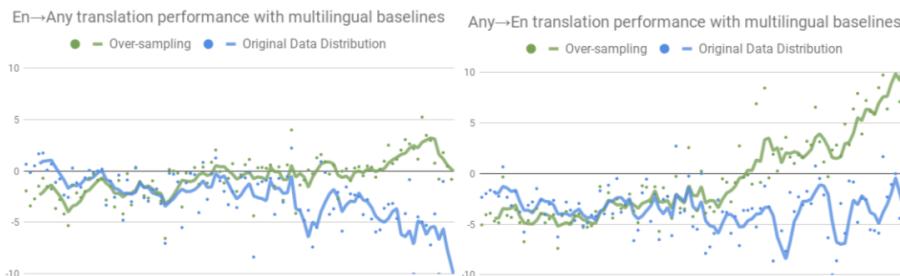
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Multilingual system: Transformer Big w/ 64k Vocab trained 2 ways:

- All the available training data is combined as it is.
- We over-sample (up-sample) low-resource languages so that they appear with equal probability in the combined dataset.



Arivazhagan, Bapna, Firat, et al. (2019) "Massively Multilingual Neural Machine Translation in the Wild: Findings and Challenges"

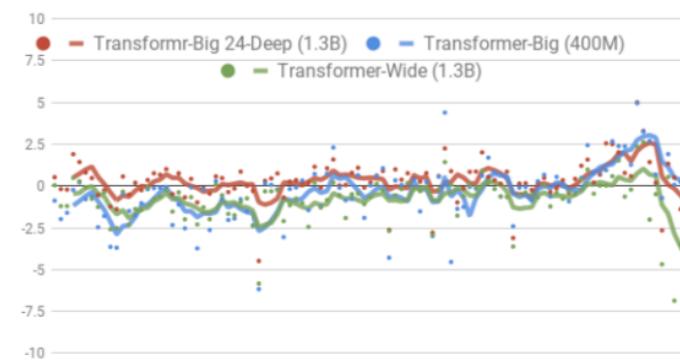
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Multilingual systems: Transformers of varying sizes.

En→Any translation performance with model size



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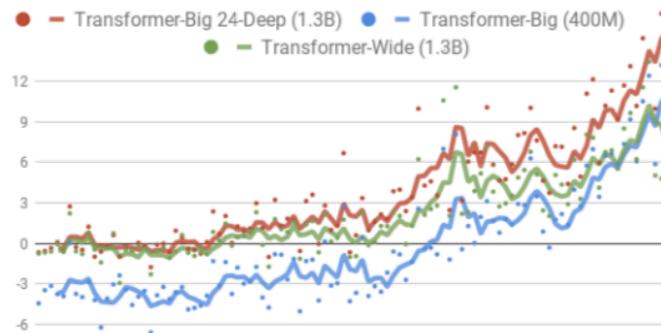
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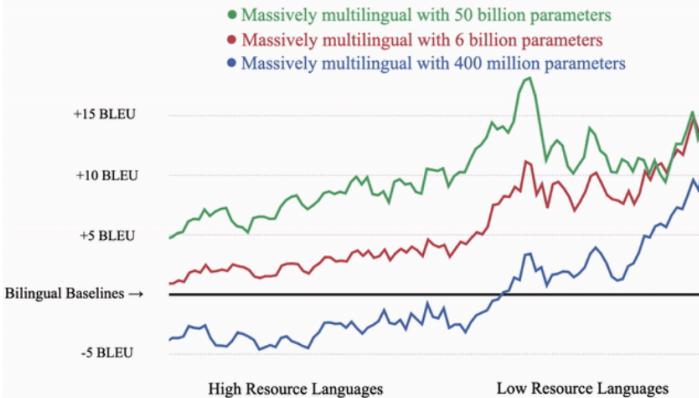
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