

Language Models



Dan Klein
UC Berkeley

1

Language Models

2

Language Models



3

Acoustic Confusions

the station signs are in deep in english
 the stations signs are in deep in english
 the station signs are in deep into english
 the station signs are in deep in english
 the station signs are indeed in english
 the station's signs are indeed in english
 the station signs are indeed in english
 the station signs are indians in english

-14732
 -14735
 -14739
 -14740
 -14741
 -14757
 -14760
 -14790

4

Noisy Channel Model: ASR

- We want to predict a sentence given acoustics:
$$w^* = \arg \max_w P(w|a)$$
- The noisy-channel approach:
$$w^* = \arg \max_w P(a|w)P(w)/P(a)$$

$$\propto \arg \max_w P(a|w)P(w)$$

Acoustic model: score fit between sounds and words Language model: score plausibility of word sequences



5

“Also knowing nothing official about, but having guessed and inferred considerable about, the powerful new mechanized methods in cryptography—methods which I believe succeed even when one does not know what language has been coded—one naturally wonders if the problem of translation could conceivably be treated as a problem in cryptography. When I look at an article in Russian, I say: ‘This is really written in English, but it has been coded in some strange symbols. I will now proceed to decode.’”

Warren Weaver (1947)

6

Perplexity

grease 0.5
sauce 0.4
dust 0.05
...
mice 0.0001
...
the 1e-100

3516 wipe off the excess
1034 wipe off the dust
518 wipe off the sweat
518 wipe off the mouthpiece
120 wipe off the grease
0 wipe off the sauce
0 wipe off the mice
28048 wipe off the *

7

N-Gram Models

8

N-Gram Models

- Use chain rule to generate words left-to-right

$$P(w_1 \dots w_n) = \prod_i P(w_i | w_1 \dots w_{i-1})$$

- Can't condition atomically on the entire left context

P(??? | The computer I had put into the machine room on the fifth floor just)

- N-gram models make a Markov assumption

$$P(w_1 \dots w_n) = \prod_i P(w_i | w_{i-k} \dots w_{i-1})$$

$$P(\text{please close the door}) = P(\text{please}|\text{START})P(\text{close}|\text{please}) \dots P(\text{STOP}|\text{door})$$

9

Empirical N-Grams

- Use statistics from data (examples here from Google N-Grams)

Training Counts	198015222 the first 194623024 the same 168504105 the following 158562063 the world ... 14112454 the door 23135851162 the *
-----------------	--

$$\hat{P}(\text{door}|\text{the}) = \frac{14112454}{23135851162} = 0.0006$$

- This is the maximum likelihood estimate, which needs modification
- N-gram models use such counts to compute probabilities on demand

10

Increasing N-Gram Order

- Higher orders capture more correlations

Bigram Model	Trigram Model
194623024 the first 194623024 the same 168504105 the following 158562063 the world 14112454 the door 23135851162 the *	197302 close the window 191125 close the door 152500 close the gap 116451 close the thread 87258 close the deal 3785230 close the *

$P(\text{door} | \text{the}) = 0.0006$

$P(\text{door} | \text{close the}) = 0.05$

11

Increasing N-Gram Order

Unigram	• to him swallowed confectioner bear both. Which. Of save on mail for are air device and into his hands. • Every enter now severely so, is. • Will be late seconds, or, a more to leg less first you enter. • Are where occurs and rights have free excellency look of.. Sleep I have we, will like.
---------	---

12



What's in an N-Gram?

- Just about every local correlation!
 - Word class restrictions: "will have been ____"
 - Morphology: "she ____", "they ____"
 - Semantic class restrictions: "danced a ____"
 - Idioms: "add insult to ____"
 - World knowledge: "ice caps have ____"
 - Pop culture: "the empire strikes ____"
- But not the long-distance ones
 - "The computer which I had put into the machine room on the fifth floor just ____."

13



Linguistic Pain

- The N-Gram assumption hurts your inner linguist
 - There are many linguistic arguments that language isn't regular
 - Long-distance dependencies
 - Recursive structure
 - At the core of the early hesitance in linguistics about statistical methods
- Answers
 - N-grams only model local correlations... but they get them all
 - As N increases, they catch even more correlations
 - N-gram models scale well – much more easily than combinatorially-structured LMs
 - Can build LMs from structured models, eg grammars (though people generally don't)

14



Structured Language Models

- Bigram model:
 - [texaco, rose, one, in, this, issue, is, pursuing, growth, in, a, boller, house, said, mr., gurria, mexico, is, motion, control, proposal, without, permission, from, five, hundred, fifty, five, yen]
 - [outside, new, car, parking, lot, of, the, agreement, reached]
 - [this, would, be, a, record, november]
- PCFG model:
 - [This, quarter, 's, surprisingly, independent, attack, paid, off, the, risk, involving, IRS, leaders, and, transportation, prices, .]
 - [It, could, be, announced, sometime, .]
 - [Mr., Toseland, believes, the, average, defense, economy, is, drafted, from, slightly, more, than, 12, stocks, .]

15



N-Grams on the Web

The screenshot shows a web interface for searching n-grams. The query entered is "berkeley is a charming". The results list includes:

- 300: berkeley is a charming
- 242: berkeley is a city
- 391: berkeley is a place
- 135: berkeley is a very
- 324: berkeley is a good
- 123: berkeley is a neighbor
- 52: berkeley is a place
- 34: berkeley is a neighbor
- 56: berkeley is a wonderful
- 13: berkeley is a nice
- 50: berkeley is a paradise

16

N-Gram Models: Challenges



Sparsity

Please close the first door on the left.

```
3380 please close the door
1601 please close the window
1164 please close the new
1159 please close the gate
0 please close the first
13951 please close the *
```

18

Smoothing

- We often want to make estimates from sparse statistics:

$P(w \mid \text{denied the})$

3 allegations
2 reports
1 claims
1 request
7 total

$P(w \mid \text{denied the})$

2.5 allegations
1.5 reports
0.5 claims
0.5 request
2 other
7 total

- Very important all over NLP, but easy to do badly

19

Back-off

Please close the first door on the left.

4-Gram 3-Gram 2-Gram

3380 please close the door
1601 please close the window
1164 please close the new
1159 please close the gate
0" please close the first
13951 please close the "

197302 close the window
191125 close the door
152500 close the gap
116451 close the thread

198015222 the first
194623024 the same
168504105 the following
158562063 the world
...

0.0 0.002 0.009

Specific but Sparse Dense but General

$$\lambda P(w|w_{-1}, w_{-2}) + \lambda' P(w|w_{-1}) + \lambda'' P(w)$$

20

Discounting

- Observation: N-grams occur more in training data than they will later

Empirical Bigram Counts (Church and Gale, 91)

Count in 22M Words	Future c^* (Next 22M)
1	
2	
3	
4	
5	

- Absolute discounting: reduce counts by a small constant, redistribute "shaved" mass to a model of new events

$$P_{\text{dg}}(w|w') = \frac{c(w', w) - d}{c(w')} + \alpha(w') P(w)$$

21

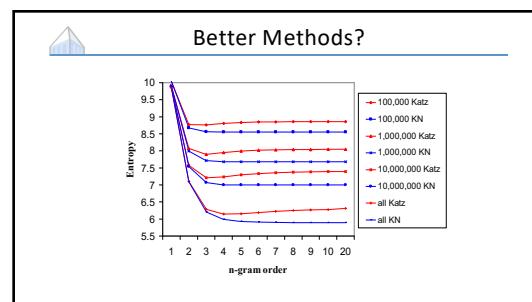
Fertility

- Shannon game: "There was an unexpected _____"
- delay? Francisco?
- Context fertility: number of distinct context types that a word occurs in
 - What is the fertility of "delay"?
 - What is the fertility of "Francisco"?
 - Which is more likely in an arbitrary new context?
- Kneser-Ney smoothing: new events proportional to context fertility, not frequency [Kneser & Ney, 1995]

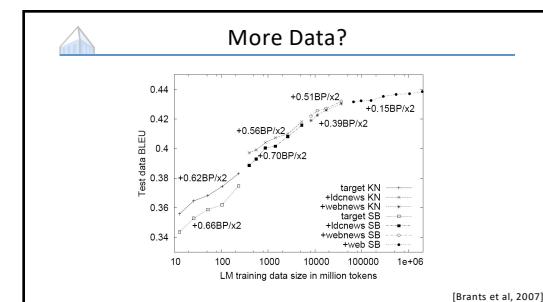
$$P(w) \propto |\{w': c(w', w) > 0\}|$$

- Can be derived as inference in a hierarchical Pitman-Yor process [Teh, 2006]

22



23



24

Storage

searching for the best 192593
 searching for the right 45805
 searching for the cheapest 44659
 searching for the most 25659
 searching for the truth 23165
 searching for the ... 19988
 searching for the most 15312
 searching for the latest 12670
 searching for the ... 10120
 searching for the lowest 10080
 searching for the name 8402
 searching for the finest 8171
 ...

Google N-grams
 • 1 billion < 2³⁰ words
 • 2 billion < 2³¹ 5-grams
 • 770 000 < 2²⁰ unique counts
 • 4 billion n-grams total

25

Storage

For 5+gram models, need to store between 100M and 10B context-word-count triples

w	c	val	Δw	Δc	Δval	$ \Delta w $	$ \Delta c $	$ \Delta val $
1931	15176585	3	1931	15176585	3	24	40	3
1931	15176587	2	-0	+2	1	2	3	3
1931	15176593	1	-0	+5	1	2	3	3
1931	15176594	1	-0	-40	1	2	9	6
1931	15176595	1	-0	-158	1	2	12	3
1931	15176595	298	-2	15176595	298	4	36	15
1931	15176599	1	-0	-4	1	2	6	3

Make it fit in memory by *delta encoding* scheme: store deltas instead of values and use variable-length encoding

Pauls and Klein (2011), Heafield (2011)

Slide: Greg Durrett

26

Graveyard of Correlations

- Skip-grams
- Cluster models
- Topic variables
- Cache models
- Structural zeros
- Dependency models
- Maximum entropy models
- Subword models
- ...

27

Entirely Unseen Words

- What about totally unseen words?
- Classical real world option: systems are actually closed vocabulary
 - ASR systems will only propose words that are in their pronunciation dictionary
 - MT systems will only propose words that are in their phrase tables (modulo special models for numbers, etc)
- Classical theoretical option: build open vocabulary LMs
 - Models over character sequences rather than word sequences
 - N-Grams: back-off needs to go down into a "generate new word" model
 - Typically if you need this, a high-order character model will do
- Modern approach: syllable-sized subword units (more later)

30

Neural LMs: Preview

31

A GPT2 Continuation

- The computer I had put into the machine room on the fifth floor just outside our landing was taken by a lot of people. It was going to be recovered from outside the machine room as soon as we could, but after the machine room was shut down, we had to open all of the windows and doors to save ourselves, and the computer would have been lost. Luckily we are prepared for this. We had five steel doors on each side of the landing to hold any rain and wind that might have hit, so we weren't affected by the storm.

The situation was really grim for days. A few days before, the Apache at Qush Tefah had been shot. This was the most damaged machine in the Armies Corps. The command was only meant to repair Qush

A GPT2 Continuation

- I shall go seek the traitor Gloucester, when he hath declared his vengeance. Cunegund Shall I back her to kill my cousin in my stead? Witbane Far first shall the brother take his Queen's soul and that in the flames. Clotilda For to slay the King of Scotland with, the blood of my cousin, lie true; And she shall have the right to my feather." Sefton leapt into the rushes, and stole along in the sunlight: the small figure flashed like lightning in the west. In the likeness of a snare he had laid to catch the rushes and made of them a snares, a road to flee from his pursuers; but he now came to an oak where the branches were wreathed in an oak-

33

Neural LMs: Three Key Ideas

- Word embeddings**
 - Different words are not entirely unrelated events
 - Words can be more or less similar, in complex ways
- Partially factored representations**
 - Multiple semi-independent processes happen in parallel in language
 - It's too expensive to track language in an unfactored way, and too inaccurate to assume everything of interest is independent
- Long distance dependencies**
 - Information can be relevant without being local
 - Different notions of locality are important at different times

34

Words: Clusterings and Embeddings

35

Stuffing Words into Vector Spaces?



Cartoon: Greg Durrett

36

Distributional Similarity

- Key idea in clustering and embedding methods: characterize a word by the words it occurs with (cf Harris' distributional hypothesis, 1954)
 - "You can tell a word by the company it keeps." [Firth, 1957]
 - Harris / Chomsky divide in linguistic methodology

(The president said that the downturn was over.)

w M

context counts

president
governor
the
a
said
reported

37

Clusterings

Clustering

- Automatic (Finch and Chater 92, Shuetze 93, many others)

word	nearest neighbors
accompanied	submitted banned financed developed authorized headed canceled awarded barred
almost	virtually merely formally fully quite officially just nearly only less
cannot	not able to do something because it is difficult or impossible, particularly
classes	elections courses payments losses computers performances violations levels pictures
directors	professionals investigations materials competitors agreements papers transactions
get	in possession of (something) by buying, receiving, or being given
japanese	chinese iraq american western arab foreign european federal soviet indian
represent	reveal attend deliver reflect choose constain impose manage establish retain
task	work or job, especially one that is done by a computer program
york	angela franco sex song kong deep rose vegas inning layer
on	through in at over into with from for by across
most	the words could cancel will should can may does helps
they	i we you he she they them
- Manual (e.g. thesauri, WordNet)

39

“Vector Space” Methods

- Treat words as points in \mathbb{R}^n (eg Shuetze, 93)
 - Form matrix of co-occurrence counts
 - SVD or similar to reduce rank (cf LSA)
 - Cluster projections
 - People worried about things like: log of counts, U vs $U\Sigma$
- This is actually more of an embedding method (but we didn't want that in 1993)

40

Models: Brown Clustering

- Classic model-based clustering (Brown et al, 92)
 - Each word starts in its own cluster
 - Each cluster has co-occurrence stats
 - Greedyly merge clusters based on a mutual information criterion
 - Equivalent to optimizing a class-based bigram LM.
- $P(w_i|w_{i-1}) = P(c_i|c_{i-1})P(w_i|c_i)$
- Produces a dendrogram (hierarchy) of clusters

41

Embeddings

Most slides from Greg Durrett

Embeddings

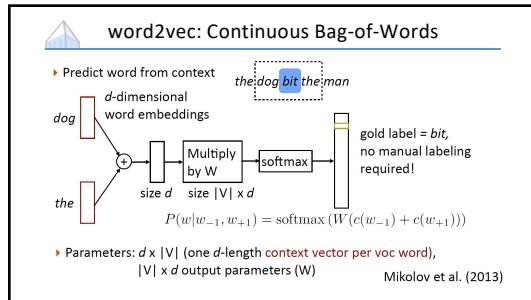
- Embeddings map discrete words (eg $|V| = 50k$) to continuous vectors (eg $d = 100$)
- Why do we care about embeddings?
 - Neural methods want them
 - Nuanced similarity possible; generalize across words
- We hope embeddings will have structure that exposes word correlations (and thereby meanings)

43

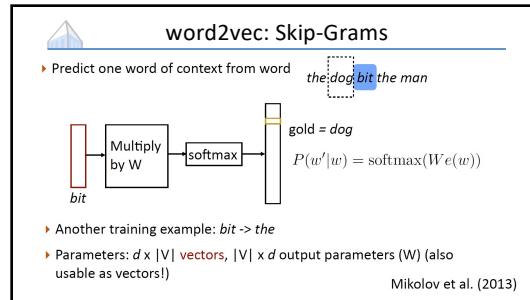
Embedding Models

- Idea: compute a representation of each word from co-occurring words
- the dog bit the man
 - Token-Level
 - Type-Level
- We'll build up several ideas that can be mixed-and-matched and which frequently get used in other contexts

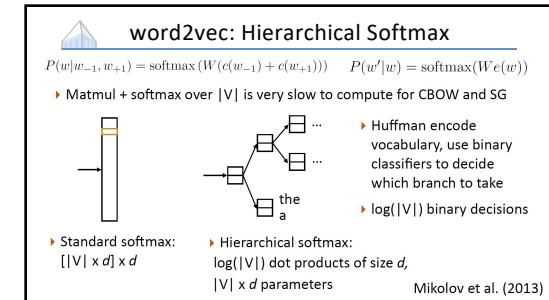
44



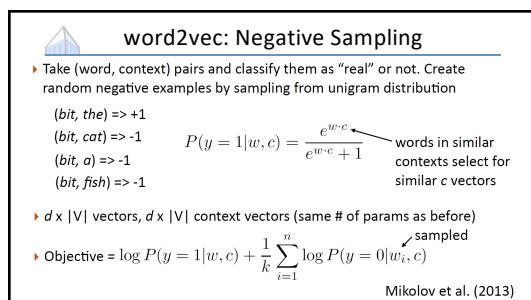
45



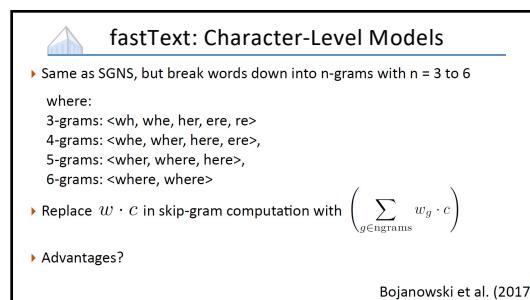
46



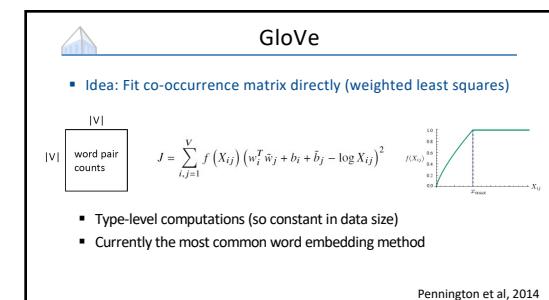
47



48



49



50



Bottleneck vs Co-occurrence

- Two main views of inducing word structure
- Co-occurrence: model which words occur in similar contexts
- Bottleneck: model latent structure that mediates between words and their behaviors
- These turn out to be closely related!

51



Language Models

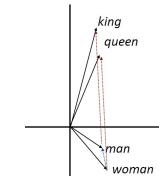


52



Structure of Embedding Spaces

- How can you fit 50K words into a 64-dimensional hypercube?
- Orthogonality: Can each axis have a global “meaning” (number, gender, animacy, etc)?
- Global structure: Can embeddings have algebraic structure (eg king – man + woman = queen)?



53



Bias in Embeddings

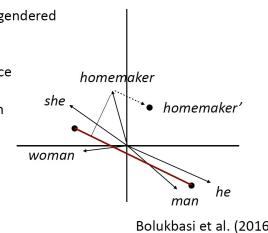
- Embeddings can capture biases in the data! (Bolukbasi et al 16)
- $\vec{\text{man}} - \vec{\text{woman}} \approx \vec{\text{king}} - \vec{\text{queen}}$
- Debiasing methods (as in Bolukbasi et al 16) are an active area of research

54



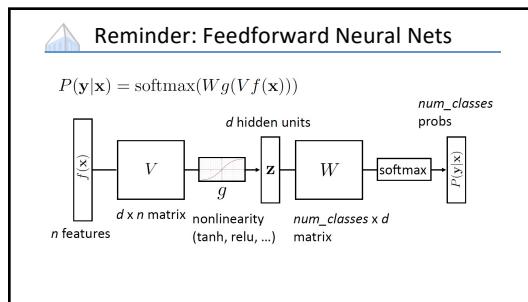
Debiasing?

- ▶ Identify gender subspace with gendered words
- ▶ Project words onto this subspace
- ▶ Subtract those projections from the original word

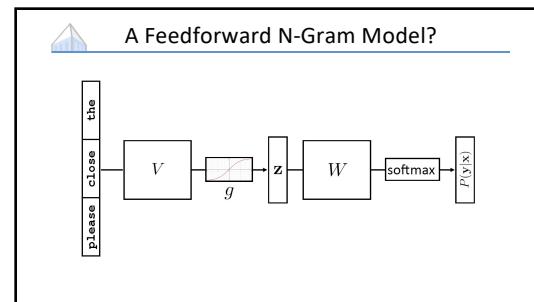


55

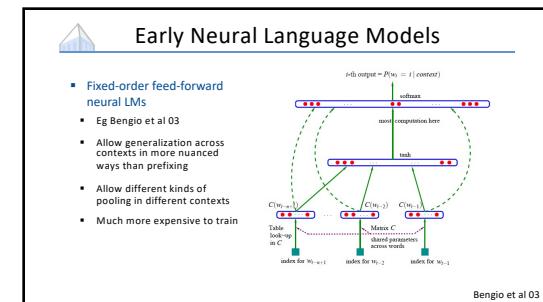
Neural Language Models



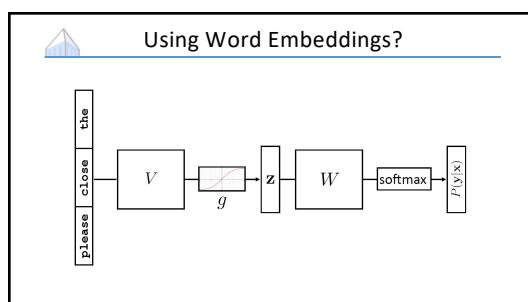
57



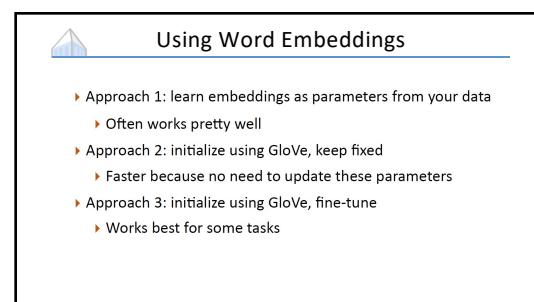
58



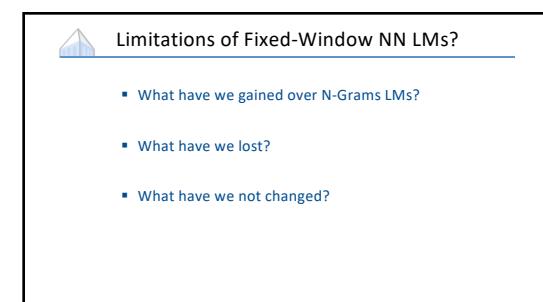
59



60



61



62

Recurrent NNs

Slides from Greg Durrett / UT Austin, Abigail See / Stanford

63

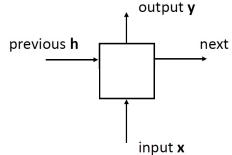
RNNs

- Feedforward NNs can't handle variable length input: each position in the feature vector has fixed semantics
 
- These don't look related ('great' is in two different orthogonal subspaces)
- Instead, we need to:
 - Process each word in a uniform way
 - ...while still exploiting the context that that token occurs in

64

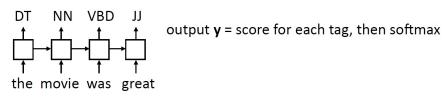
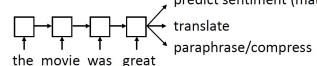
General RNN Approach

- Cell that takes some input x , has some hidden state h , and updates that hidden state and produces output y (all vector-valued)



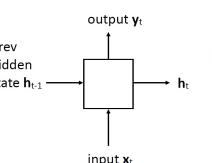
65

RNN Uses

- Transducer: make some prediction for each element in a sequence
 
- output y = score for each tag, then softmax
- Acceptor/encoder: encode a sequence into a fixed-sized vector and use that for some purpose
 

66

Basic RNNs

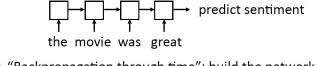


- $h_t = \tanh(Wx_t + Vh_{t-1} + b_h)$
- Updates hidden state based on input and current hidden state
- $y_t = \tanh(Uh_t + b_y)$
- Computes output from hidden state

Long history! (invented in the late 1980s) Elman (1990)

67

Training RNNs



- "Backpropagation through time": build the network as one big computation graph, some parameters are shared
- RNN potentially needs to learn how to "remember" information for a long time!
- it was my favorite movie of 2016, though it wasn't without problems -> +
 - "Correct" parameter update is to do a better job of remembering the sentiment of *favorite*

68

Problem: Vanishing Gradients

predict sentiment
the movie was great

- Contribution of earlier inputs decreases if matrices are contractive (first eigenvalue < 1), non-linearities are squashing, etc
- Gradients can be viewed as a measure of the effect of the past on the future
- That's a problem for optimization but also means that information naturally decays quickly, so model will tend to capture local information

Next slides adapted from Abigail See / Stanford

69

Core Issue: Information Decay

- The main problem is that *it's too difficult for the RNN to learn to preserve information over many timesteps.*
- In a vanilla RNN, the hidden state is constantly being **rewritten**

$$h^{(t)} = \sigma(W_h h^{(t-1)} + W_x x^{(t)} + b)$$
- How about a RNN with separate **memory**?

70

Problem: Exploding Gradients

predict sentiment
the movie was great

- Gradients can also be too large
 - Leads to overshooting / jumping around the parameter space
 - Common solution: gradient clipping

71

Key Idea: Propagated State

Cell State
Gating

- Information decays in RNNs because it gets **multiplied** each time step
- Idea: have a channel called the **cell state** that by default just gets propagated (the "conveyer belt")
- Gates make explicit decisions about what to add / forget from this channel

Image: <https://colah.github.io/posts/2015-08-Understanding-LSTMs/>

72

RNNs

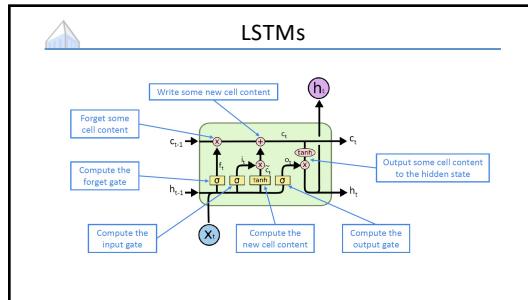
A
X
 h_0
 tanh
 h_1
 h_2

73

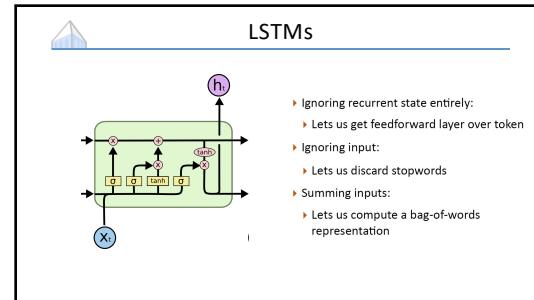
LSTMs

A
X
 h_0
 c_0
 f
 i
 tanh
 c_1
 h_1
 h_2

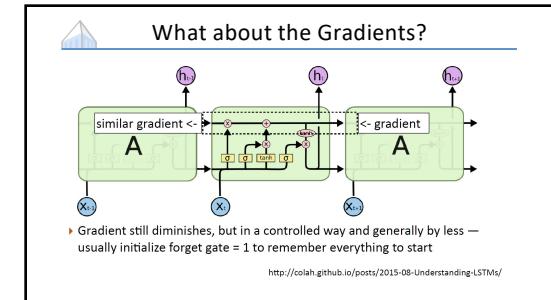
74



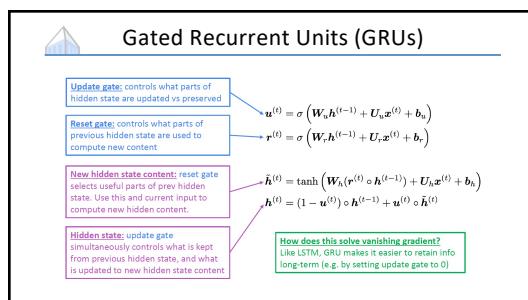
75



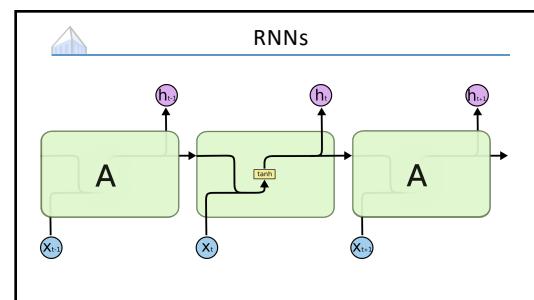
76



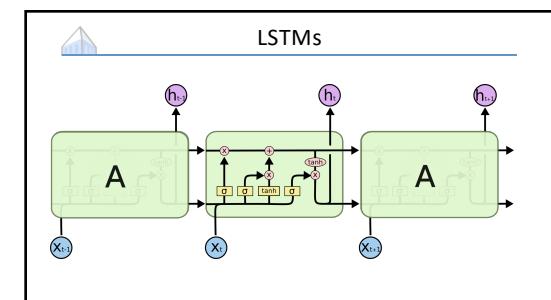
77



78



80



81

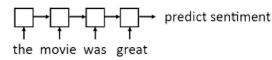
Uses of RNNs

Slides from Greg Durrett / UT Austin

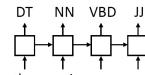
82

Reminder: Tasks for RNNs

- Sentence Classification (eg Sentiment Analysis)



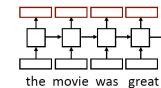
- Transduction (eg Part-of-Speech Tagging, NER)



- Encoder/Decoder (eg Machine translation)

83

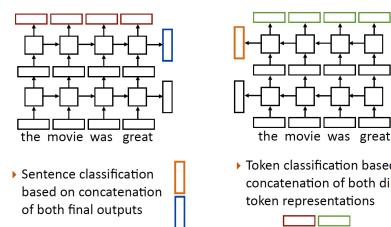
Encoder / Decoder Preview



- Encoding of the sentence — can pass this a decoder or make a classification decision about the sentence
- Encoding of each word — can pass this to another layer to make a prediction (can also pool these to get a different sentence encoding)
- RNN can be viewed as a transformation of a sequence of vectors into a sequence of context-dependent vectors

84

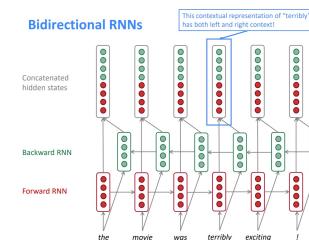
Multilayer and Bidirectional RNNs



- Sentence classification based on concatenation of both final outputs
- Token classification based on concatenation of both directions' token representations

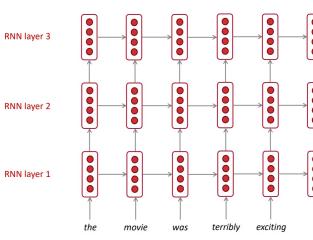
85

Bi-Directional RNNs



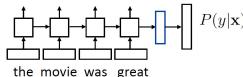
86

Multi-Layer RNNs



87

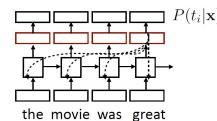
Training for Sentential Tasks



- Loss = negative log likelihood of probability of gold label (or use SVM or other loss)
- Backpropagate through entire network
- Example: sentiment analysis

88

Training for Transduction Tasks



- Loss = negative log likelihood of probability of gold predictions, summed over the tags
- Loss terms filter back through network
- Example: language modeling (predict next word given context)

89

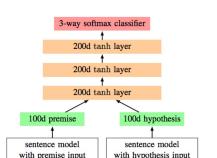
Example Sentential Task: NL Inference

Premise		Hypothesis
A boy plays in the snow	<i>entails</i>	A boy is outside
A man inspects the uniform of a figure	<i>contradicts</i>	The man is sleeping
An older and younger man smiling	<i>neutral</i>	Two men are smiling and laughing at cats playing
<ul style="list-style-type: none"> Long history of this task: "Recognizing Textual Entailment" challenge in 2006 (Dagan, Glickman, Magnini) Early datasets: small (hundreds of pairs), very ambitious (lots of world knowledge, temporal reasoning, etc.) 		

90

SNLI Dataset

- Show people captions for (unseen) images and solicit entailed / neutral / contradictory statements
 - >500,000 sentence pairs
 - Encode each sentence and process
 - 100D LSTM: 78% accuracy
 - 300D LSTM: 80% accuracy
(Bowman et al., 2016)
 - 300D BiLSTM: 83% accuracy
(Liu et al., 2016)
 - Later: better models for this
- Bowman et al. (2015)



91

Visualizing RNNs

Slides from Greg Durrett / UT Austin

92

LSTMs Can Model Length

- Train character LSTM language model (predict next character based on history) over two datasets: War and Peace and Linux kernel source code
- Visualize activations of specific cells (components of c) to understand them
- Counter: know when to generate $\text{\textbackslash}n$

The sole importance of the crossing of the Meuse river in the face of the sole importance of the crossing of the Rhine in the face of cutting off the enemy's retreat and the safety of all the lines for example - namely simply to follow the enemy up. The French crowds fled like sheep, like lambs, like children, like women, like old people, reaching its goal. It fled like a wounded animal and it was impossible to stop it. It was a massacre. The French army had no chance for crossing as by what took place at the bridges. When the bridges were cut, when the French transports, all carried on by vise, inertia, force of habit, were unable to stop, they were forced to surrender.

Karpfathy et al. (2015)

93

 **LSTMs Can Model Long-Term Bits**

- ▶ Train *character* LSTM language model (predict next character based on history) over two datasets: War and Peace and Linux kernel source code
- ▶ Visualize activations of specific cells to see what they track
- ▶ Binary switch: tells us if we're in a quote or not

```
*You seem to imply that I have nothing to set out of... On the  
contrary, you supply me with everything even if you want to give  
dinner parties,* I warmly replied, which always who tried by every word to  
convey the same desire.  
Kuzov, shrugging his shoulders, replied with his subtle penetrating  
look.
```

Karpathy et al. (2015)

94

 **LSTMs Can Model Stack Depth**

- ▶ Train *character* LSTM language model (predict next character based on history) over two datasets: War and Peace and Linux kernel source code
- ▶ Visualize activations of specific cells to see what they track
- ▶ Stack: activation based on indentation

```
#ifdef CONFIG_AUDITSYSCALL  
static inline int __audit_wkup_bitmask(int class, __s32 *mask)  
{  
    int i, n;  
    n = class < 0 ? -class : 1;  
    for (i = 0; i < AUDIT_BITMASK_SIZE; i++)  
        if ((i + 1) > n || (i + 1) > class)  
            mask[i] = 0;  
    return 0;  
}  
#endif  
if (*bufp >= (len + 8)) || (*len > PATH_MAX)  
    return -1;  
bufp -= len + 8;  
len = 0;  
while (*bufp >= '\0') || (*len > PATH_MAX)  
    if (*bufp == '/') {  
        if (*bufp >= '\0') || (*len > PATH_MAX)  
            return -1;  
        bufp++;  
        len++;  
    }  
    bufp++;  
    len++;  
}
```

Karpathy et al. (2015)

95

 **LSTMs Can Be Completely Inscrutable**

- ▶ Train *character* LSTM language model (predict next character based on history) over two datasets: War and Peace and Linux kernel source code
- ▶ Visualize activations of specific cells to see what they track
- ▶ Uninterpretable: probably doing double-duty, or only makes sense in the context of another activation

```
if (len > PATH_MAX) {  
    if (bufp >= '\0') || (*len > PATH_MAX)  
        return -1;  
    bufp++;  
    len++;  
}  
if (*bufp >= '\0') || (*len > PATH_MAX)  
    return -1;  
bufp++;  
len++;  
}
```

Karpathy et al. (2015)

96