

More Spanning Tree Algorithms

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CSC 212

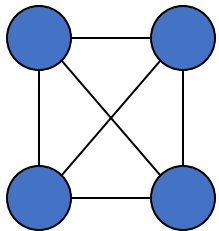
Announcement

- Quiz 6 (Last quiz) next Tuesday
 - Dec 10
- Assignment 3 due next Tuesday by midnight
 - Dec 10
- Academic Accommodations
 - Please email me if you need accommodations for the final exam – we can work something out
 - Please do by this tomorrow, Friday (Dec 6) 5pm
- Course Evaluation

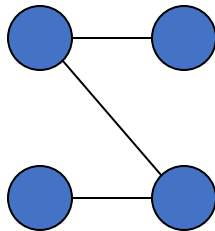
Spanning Trees (RECAP)

- A spanning tree of a graph is just a subgraph that contains all the vertices and is a tree.
- A graph may have many spanning trees.
- Spanning trees are defined for *connected undirected* graphs
- Since there are trees → They have no cycles

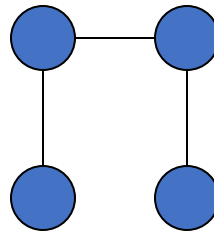
Graph A



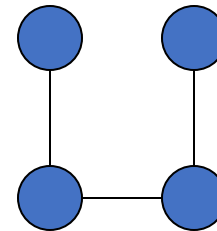
Some Spanning Trees from Graph A



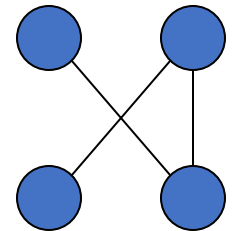
or



or



or



Algorithms for Obtaining the Minimum Spanning Tree

- **Kruskal's Algorithm**
- **Prim's Algorithm**



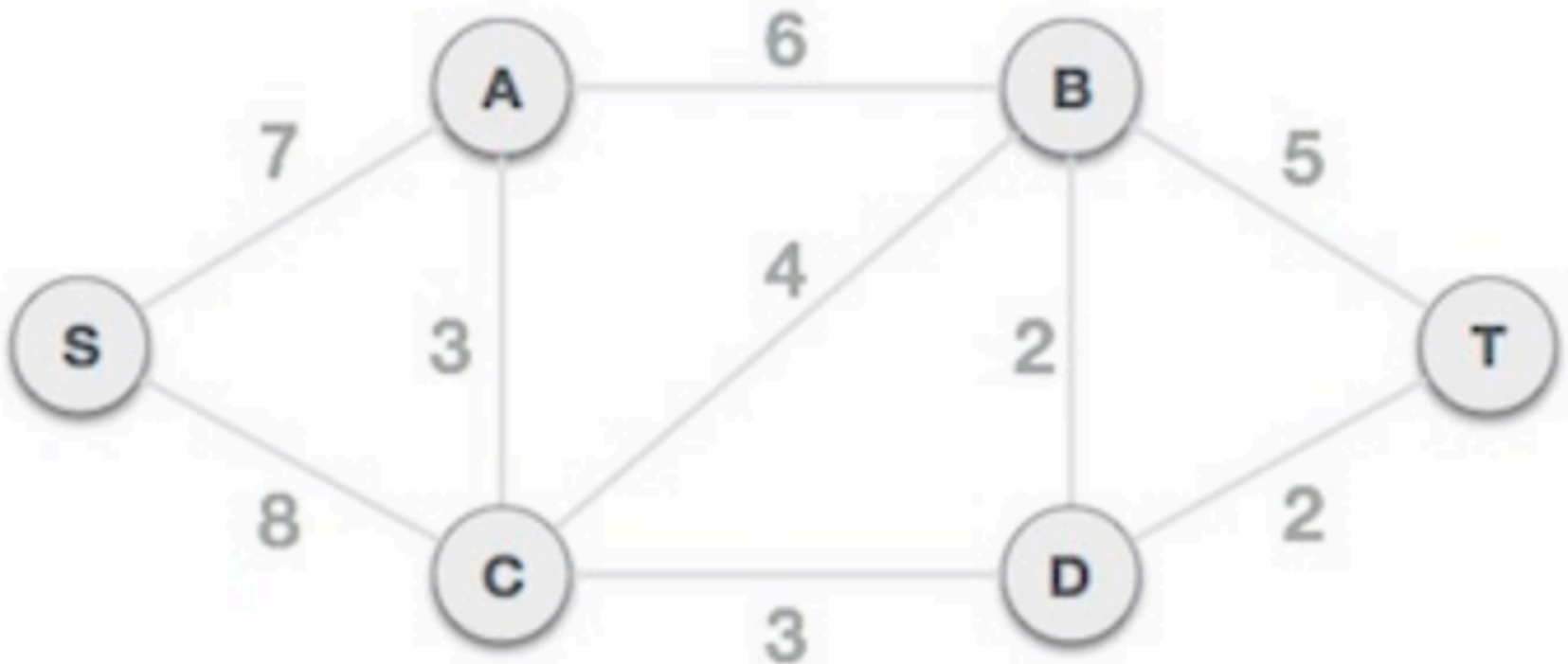
Both of these are Greedy Algorithms

Kruskal's Algorithm: Overview (RECAP)

- 1. The forest is constructed - with each node in a separate tree.**
- 2. The edges are placed in a min-priority queue.**
- 3. Until we've added $n-1$ edges,**
 - 1. Extract the cheapest edge from the queue,**
 - 2. If it forms a cycle, reject it,**
 - 3. Else add it to the forest. Adding it to the forest will join two trees together.**

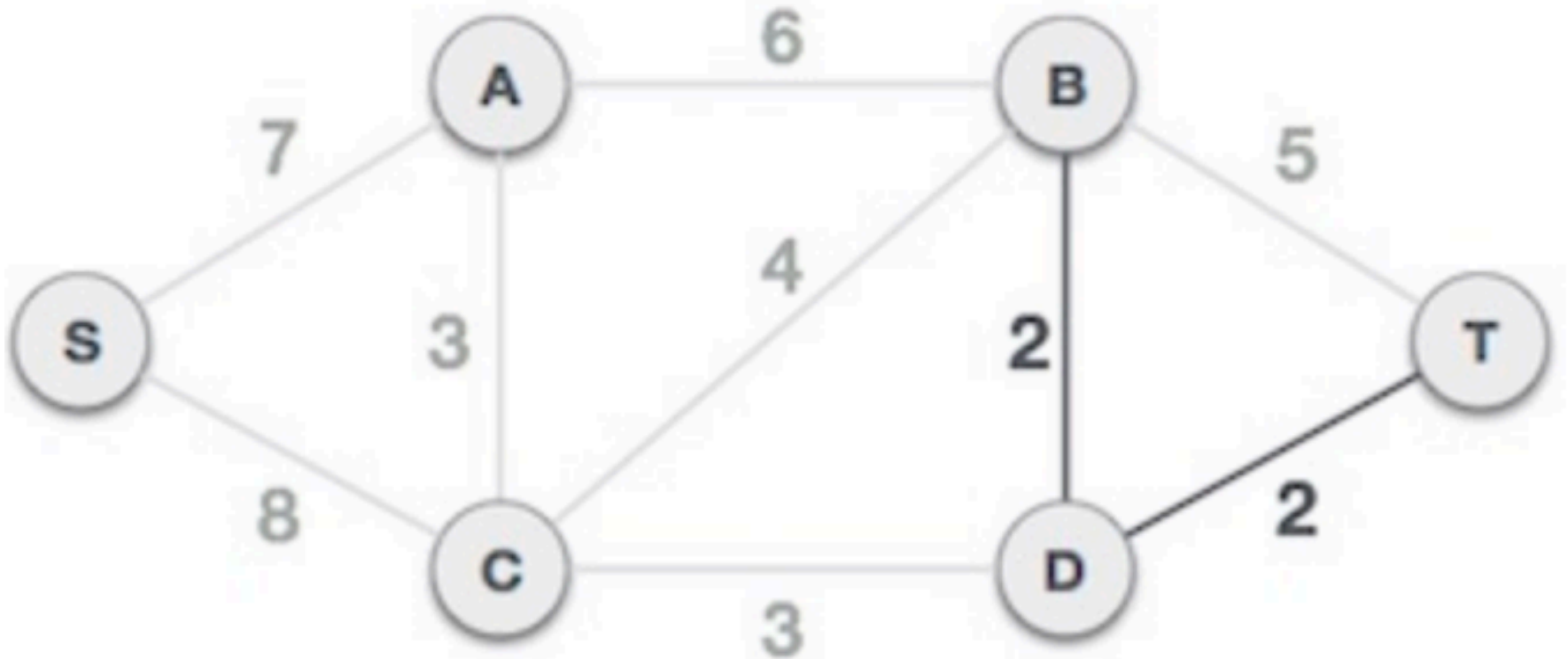
- If we start with n nodes (n separate trees)**
- Each step we connect two trees**
- Then we need $(n-1)$ edges to get a single tree**

Kruskal's MST Example



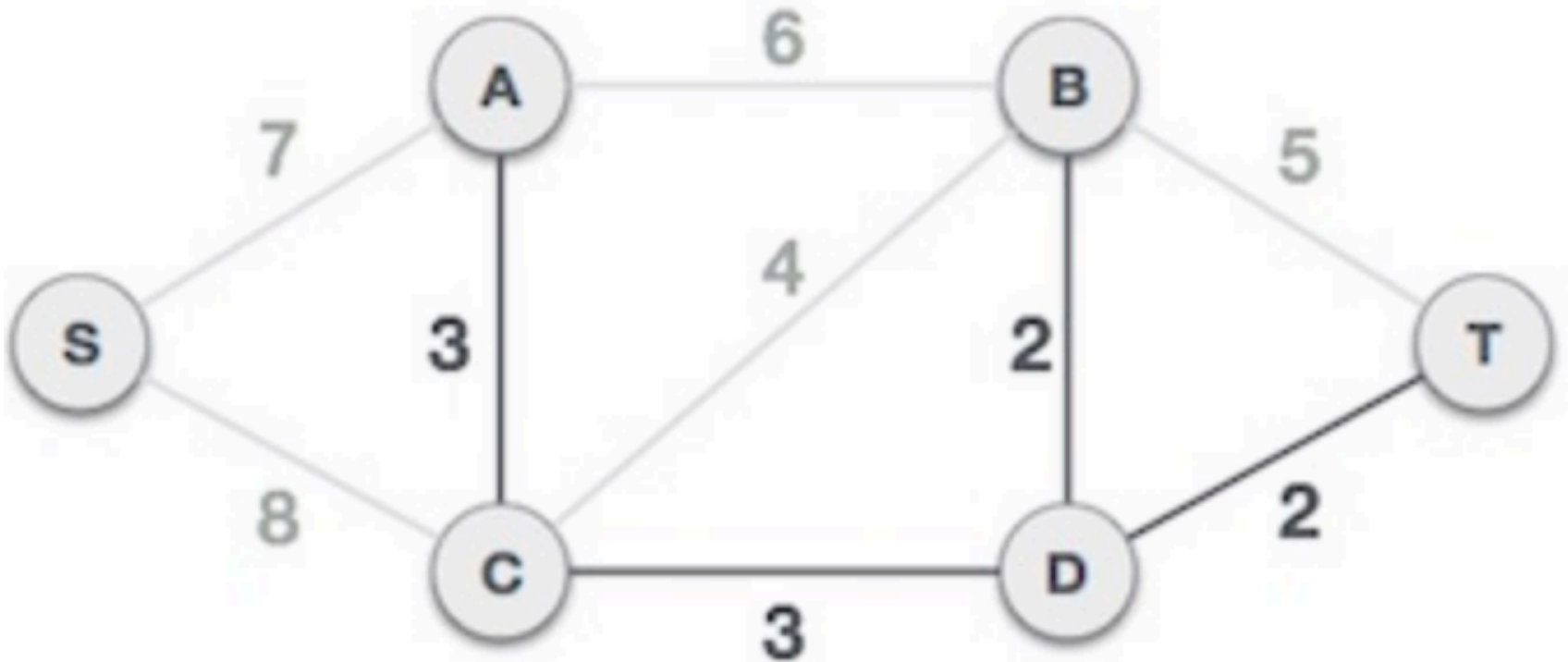
Find the minimum spanning tree for this graph using Kruskal's method

Kruskal's MST Example



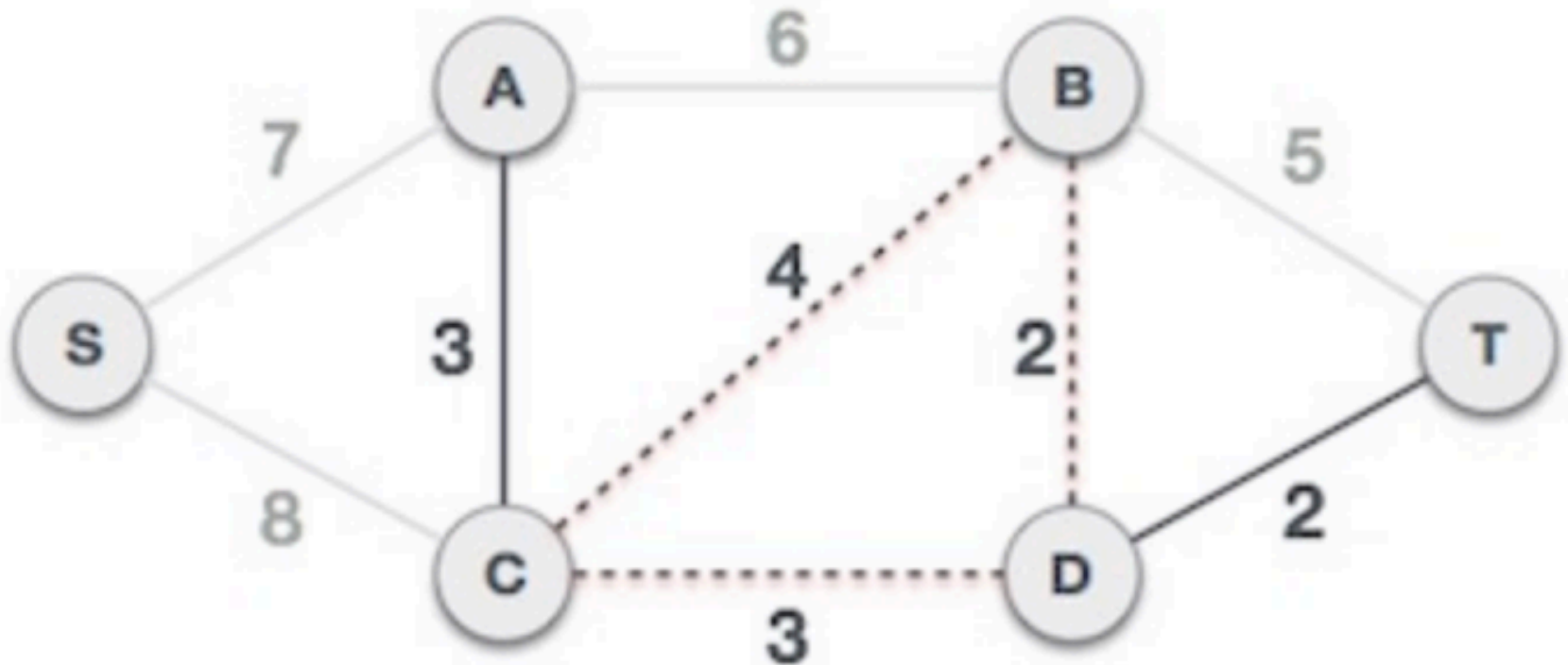
- The least cost is 2 and edges involved are B,D and D,T. We add them.
- Adding both these edges does not create loops

Kruskal's MST Example



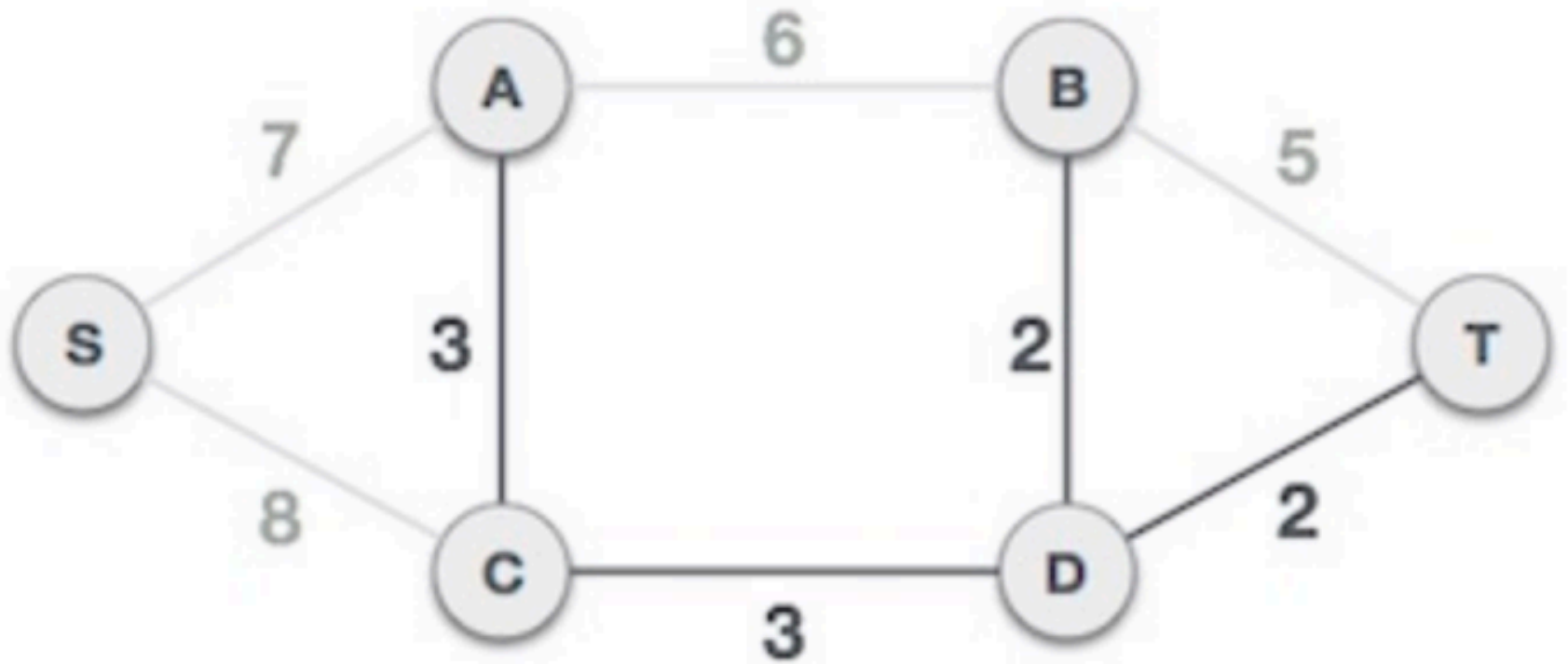
- Next cost is 3, and associated edges are A,C and C,D.
- Adding both edges to MST don't lead to loops

Kruskal's MST Example



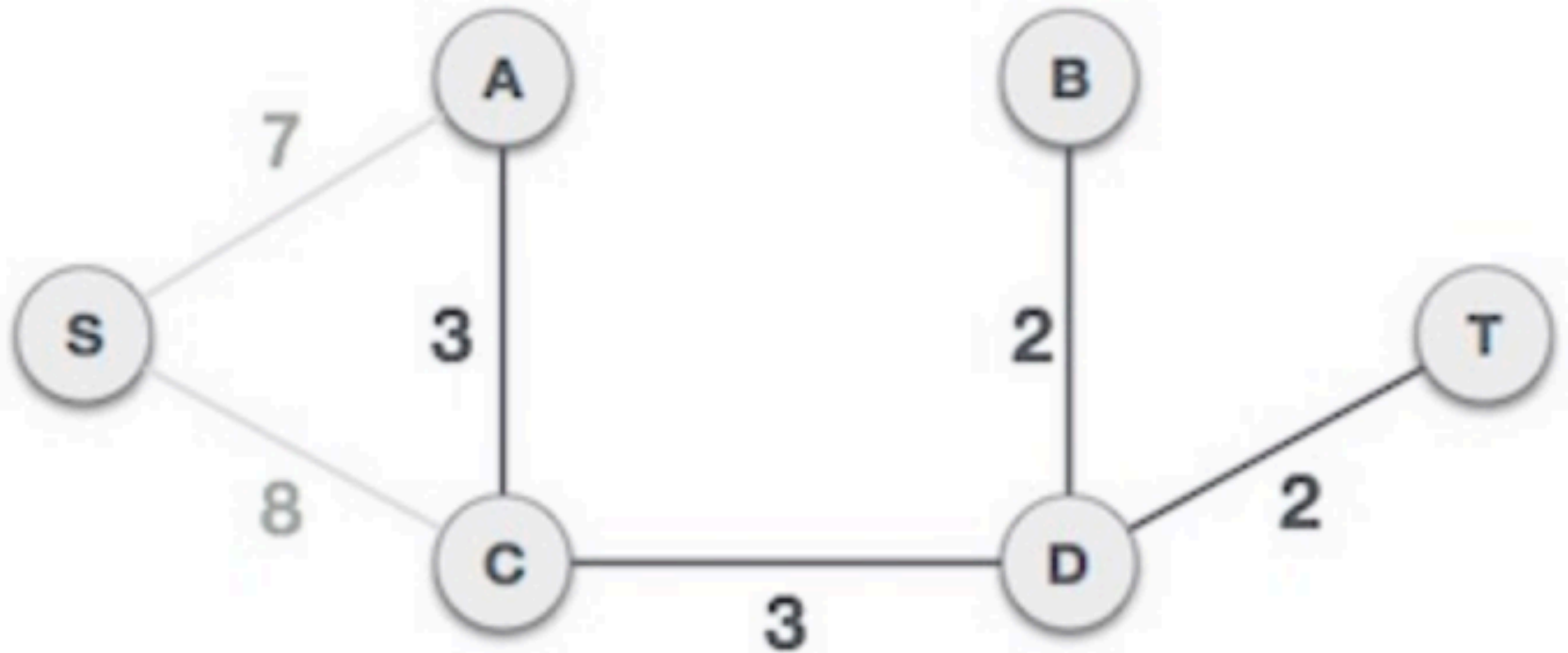
- Next cost in the table is 4, and we observe that adding it will create a loop in the MST
- Ignore edge C,B

Kruskal's MST Example



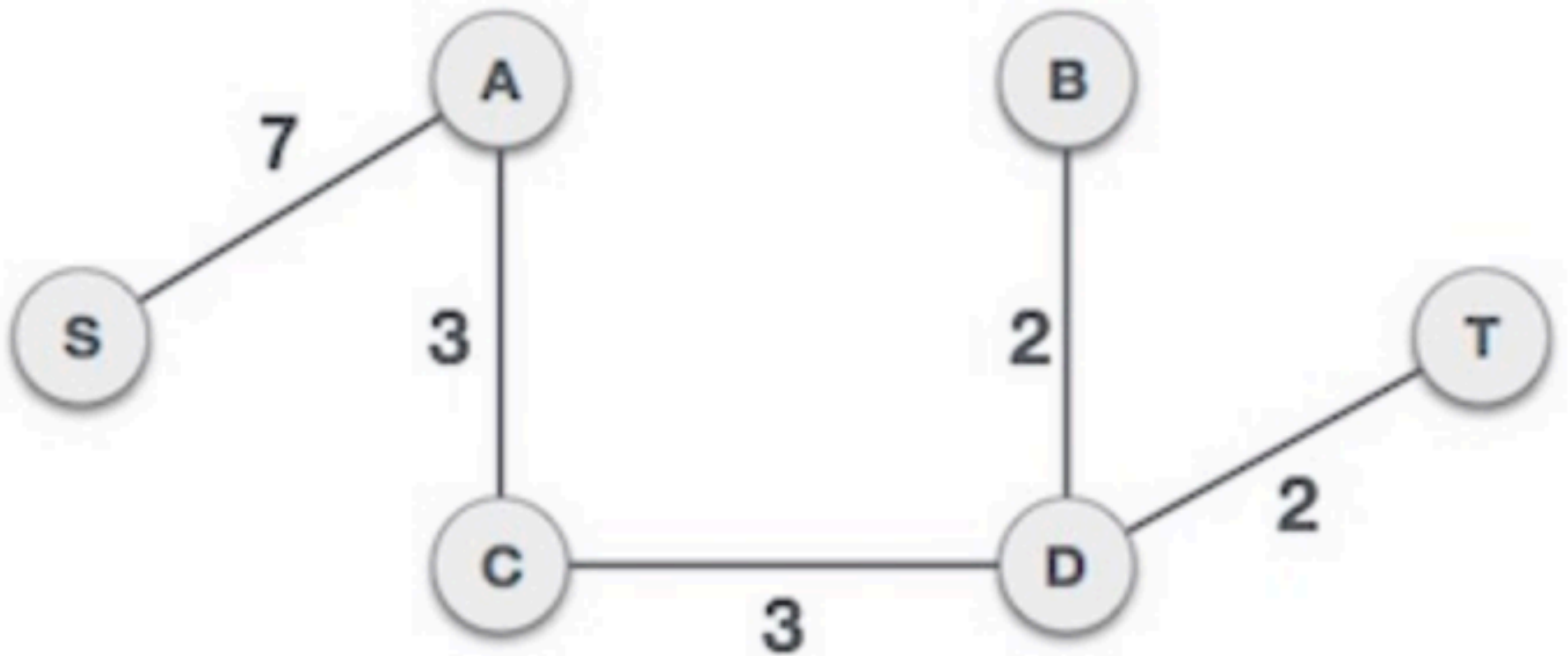
- We observe that edges with cost 5 and 6 also create circuits. We ignore them and move on.

Kruskal's MST Example



- Now we are left with only one node to be added.
- Between the two least cost edges available 7 and 8, we shall add the edge with cost 7.

Kruskal's MST Example



COST = 17

Priority Queues (Recap)

Priority Queues

- A **heap-based** way for prioritizing things
 - It's called Queues, but it's implemented using a HEAP
- A queue where we add objects, each with a value ("priority").
- Priority queues are very common for *job scheduling*
- Two Types:
 - **Max-Priority Queue** ← we use MAX-HEAPS
 - **Min-Priority Queue** ← we use MIN-HEAPS

Operations on Priority Queues

(Assume MIN-HEAP Priority Queue)

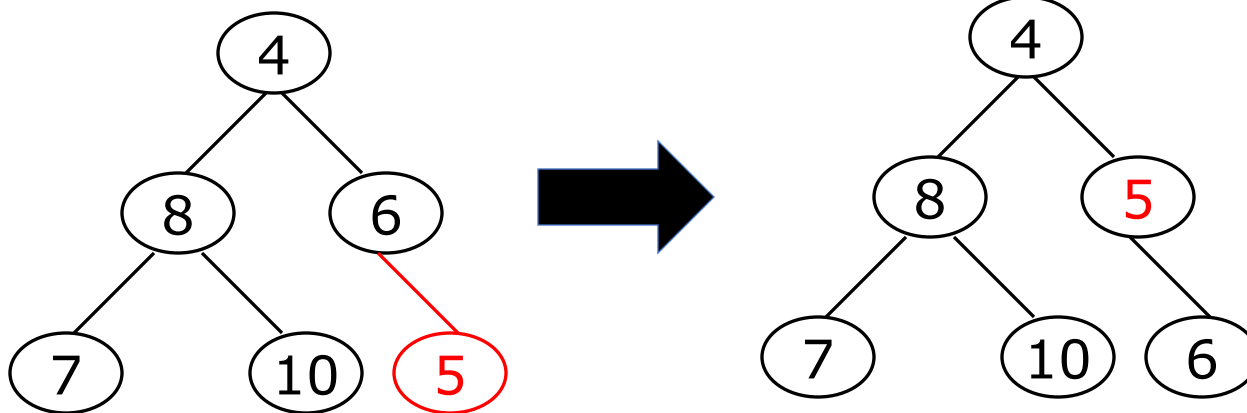
- 1 → **Add** a **new** object with priority K
- 2 → **Return/Extract** the object with the **lowest** priority
- 3 → **Remove** the object with the **lowest** priority
- 4 → **Decrease** the **priority** of object O

Heap data structure can implement all these operations efficiently

1- Add New Object With Priority 5

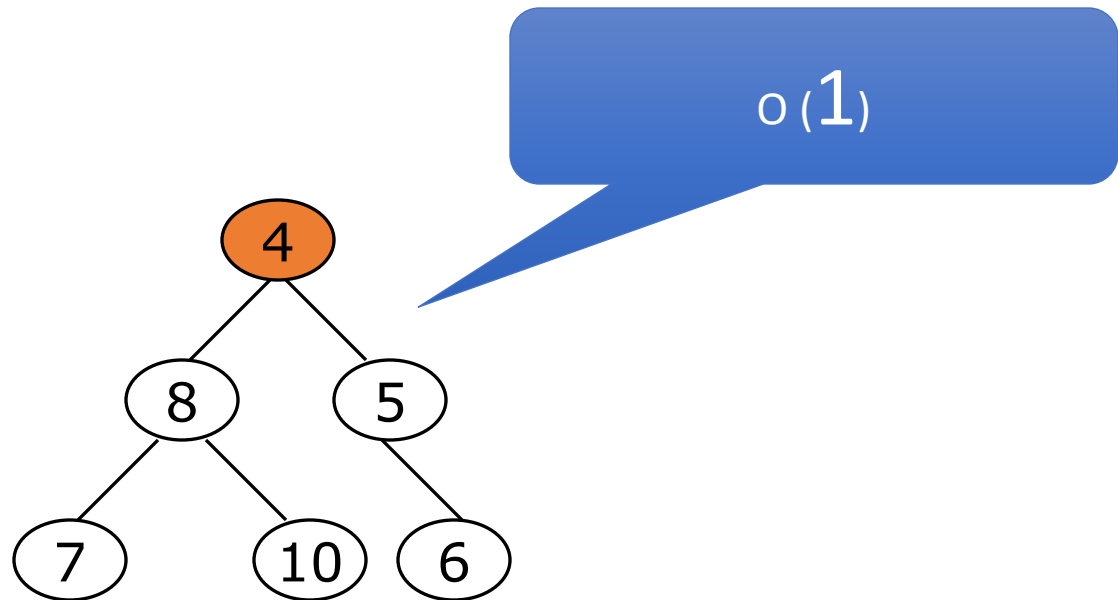
- Add the object to the heap
- Check parent and move node **upward iteratively**

$O(\log n)$



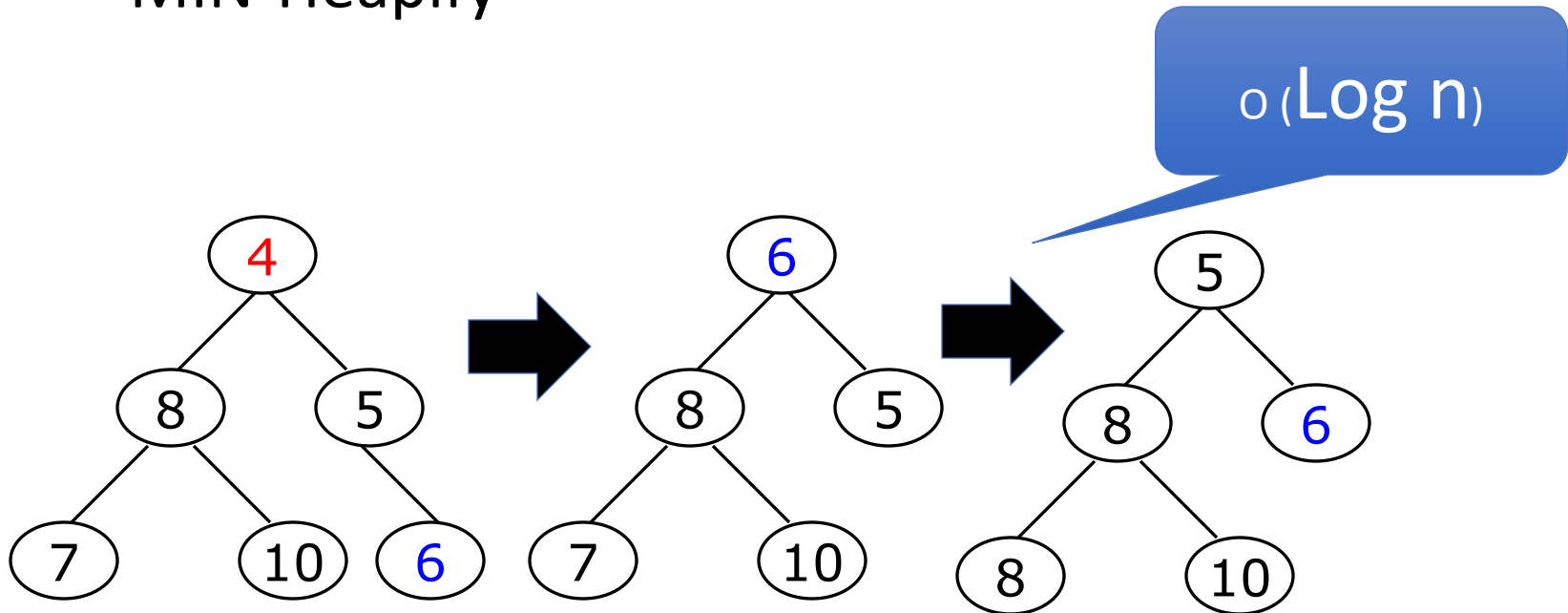
2- Return/Extract the Lowest-Priority Object

- Return the root of the tree
- Same as: Return the first element in the Heap array
- In our example, return 4



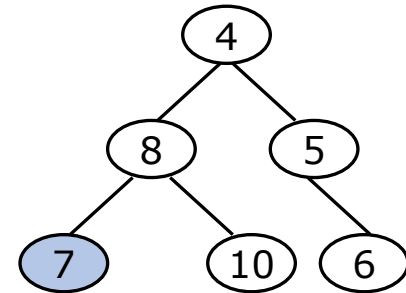
3- Remove the Lowest-Priority Object

- Remove the root of the tree
- Replace it with the lowest right-most object
- MIN-Heapify

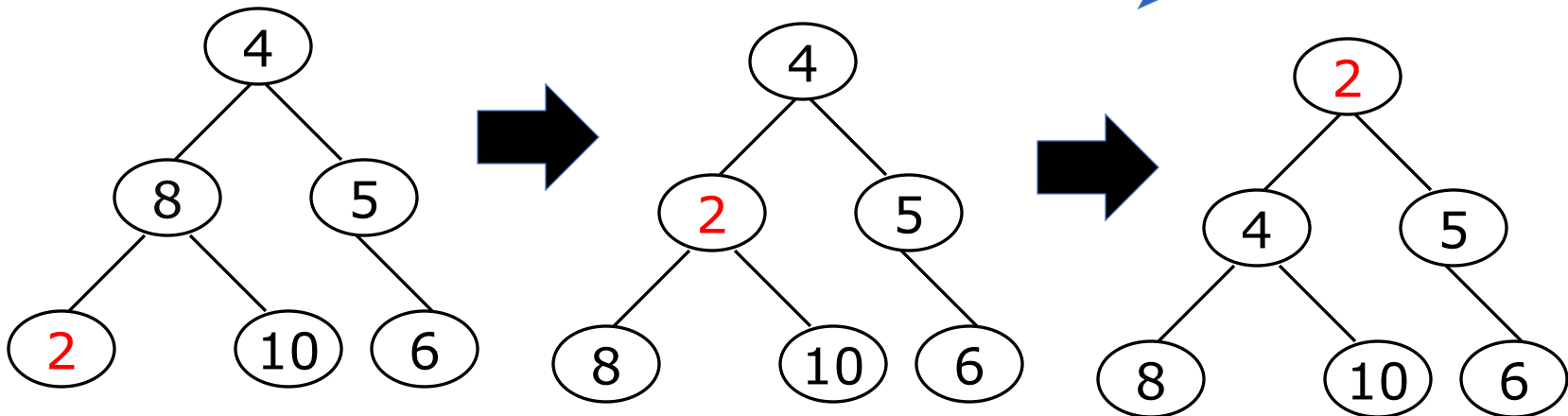


4- Decrease the Priority of Object 0

- Change 7 to 2
- Check parent and move node **upward iteratively**



$O(\log n)$



Graph Asymptotic Growth Rates

More Asymptotic Growth Rates

- A graph G has two elements
 - V – vertices
 - E – edges
- Complexity of graph algorithms is usually expressed in terms of a function of V and E
- So it's good to have an intuition for the **relative run-time complexity** seen in graph algorithms

Order the following (in the worst case)

- **$O(E)$, $O(EV)$, $O(E^2)$, $O(V^2)$, $O(V)$**

- **$O(V) < [O(E) \sim O(V^2)] < O(EV) < O(E^2)$**
- Observation:
 - $E = V^2 - V/2$. Therefore $V < E$ and $O(V^2) < O(E^2)$
 - However, $O(E) \sim O(V^2)$
 - $O(EV) \sim O(V^3)$, $O(E^2) \sim O(V^4)$, therefore $O(EV) < O(E^2)$
 - \sim is the symbol for equivalence here
 - $O(E) < O(EV)$, of course.

- **$O(EV)$, $O(V \log V)$, $O(E \log E)$, $O(E \log V)$, $O(E+V)$**

- **$O(V \log V) < O(E+V) < [O(E \log E) \sim O(E \log V)] < O(EV)$**
- Observation:
 - $O(E+V) \sim O(V^2)$. Therefore, $O(V \log V) < O(E+V)$
 - $O(E+V) \sim O(E)$. Therefore, $O(E+V) < O(E \log E)$
 - $O(E \log E) \sim O(E \log V)$. Since $E = O(V^2)$, then $O(\log E) = O(2 \log V) = O(\log V)$

Order the following (in the worst case)

- So what's the relationship between
- $\{O(V) < [O(E) \sim O(V^2)]\}$ and $\{O(V \log V) < O(E+V) < [O(E \log E) \sim O(E \log V)]\}$
 - $O(V) < O(V \log V) < [O(E) \sim O(V^2) \sim O(E+V)] < [O(E \log E) \sim E (\log V)]$
 - Observation:
 - $E \sim V^2$. Therefore $O(V \log V) < O(E)$
 - $O(V) < O(V \log V)$ just like $O(n) < O(n \log n)$
 - $O(E+V) \sim O(E)$ and $O(E+V) \sim O(V^2)$

Overall Ordering (in the worst case)

$$[O(\log E) \sim O(\log V)] < O(V) < O(V \log V) < \underline{[O(E) \sim O(V^2) \sim O(E+V)]} < \underline{[O(E \log E) \sim O(E \log V)]} < O(EV) < O(E^2)$$

$O(E \log E)$ and $O(E \log V)$ are equivalent as $O(\log E)$ and $O(\log V)$ are equivalent
 $O(E)$, $O(V^2)$, and $O(E+V)$ are equivalent

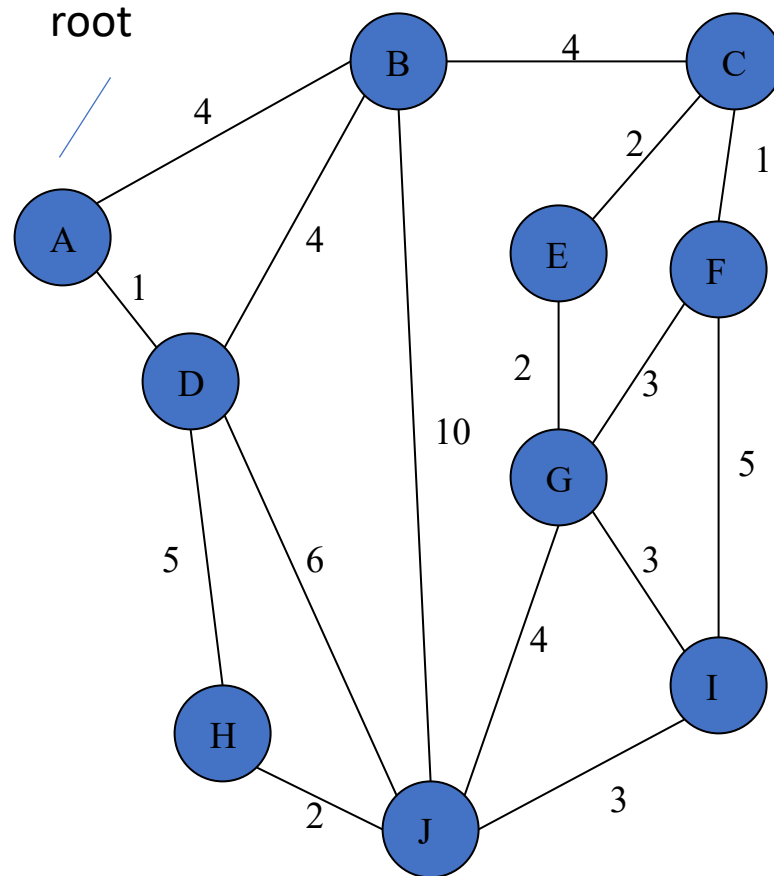
Back to MST: Prim's Algorithm

Prim's Algorithm

- 1) Assign a key value to all vertices in the input graph. Initialize all **key values as INFINITE**.
- 2) Assign **key value as 0 for the first vertex** so that it is picked first and call it ROOT
 - a) The **parent** of the root is NIL
- 3) Add all nodes into a MIN-HEAP Priority Queue → Q (**slide 16**)
- 4) While Q not empty
 -a) Pick a vertex u that has **minimum key value (slide 18)**
 -b) Include u to mstSet (set that lists MST).
 -c) Update key value of **all adjacent vertices (v)** of u (which is not its parent).
 - For every adjacent vertex v , if weight of edge $u-v$ is **less** than the previous key value of v , update the key value as weight of edge $u-v$
 - *Make u the **parent** of v*
 - **Update the priority Q (slide 20)** (*i.e., min heap as we have new weights*)
 - ... d) Remove u from Q

The greedy choice

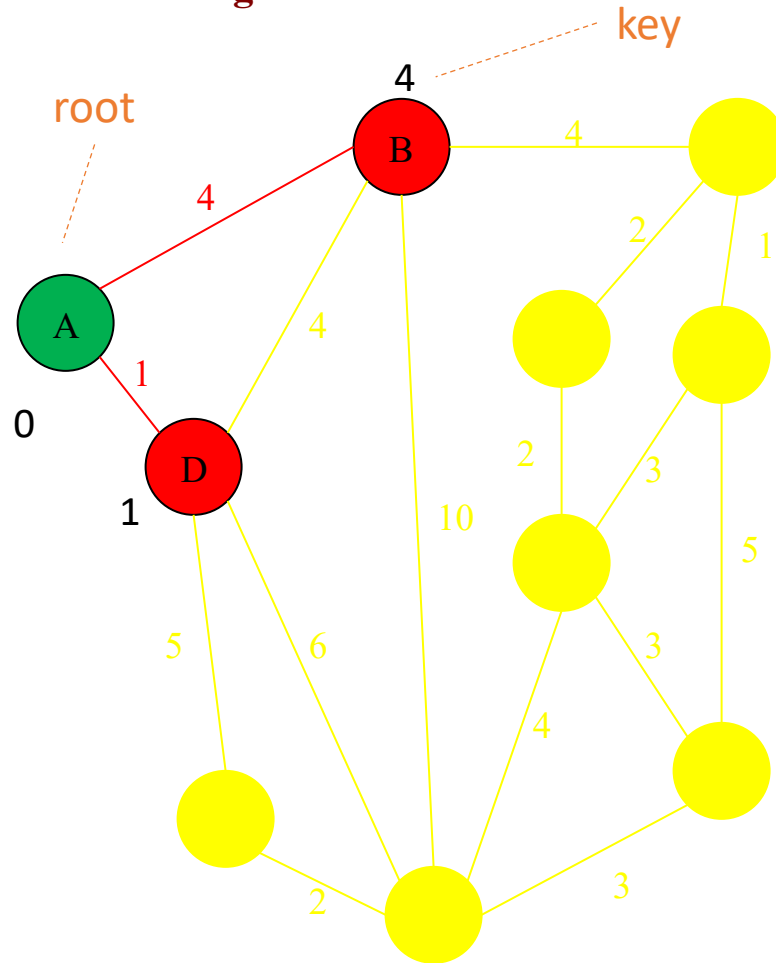
Prim's Algorithm Example



$mstSet = \{NULL\}$

Prim's Algorithm Example

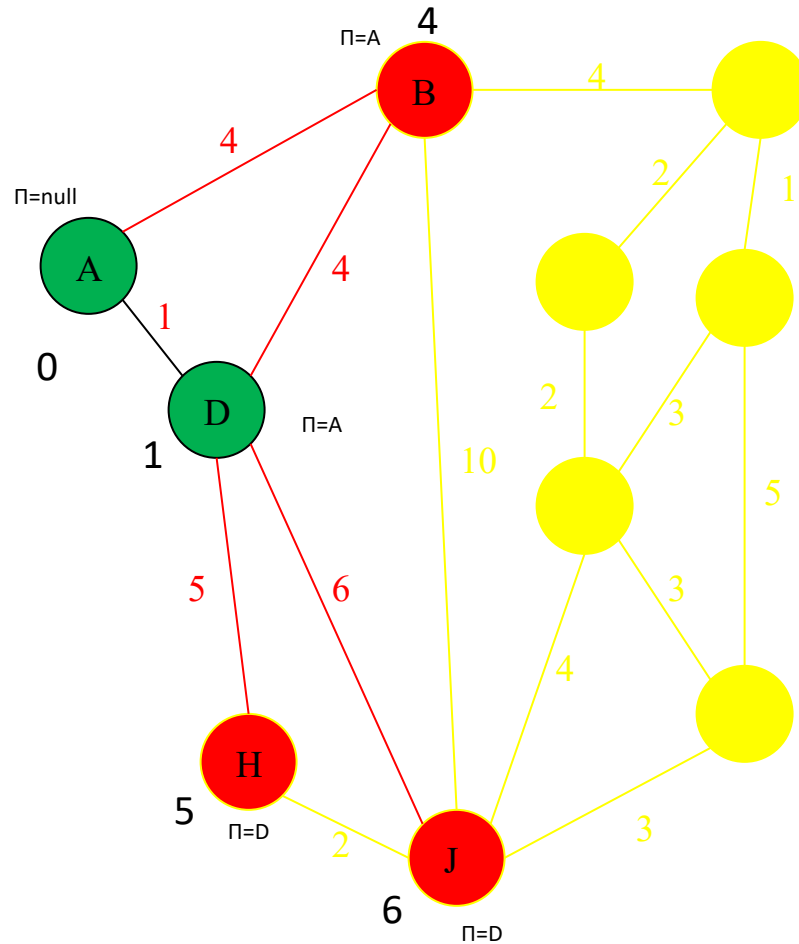
- Think of the yellow nodes as cost ∞ (not valid)
- Only the red ones are being considered



$mstSet = \{A\}$

Prim's Algorithm Example

- Think of the yellow nodes as cost ∞ (not valid)
- Only the red ones are being considered

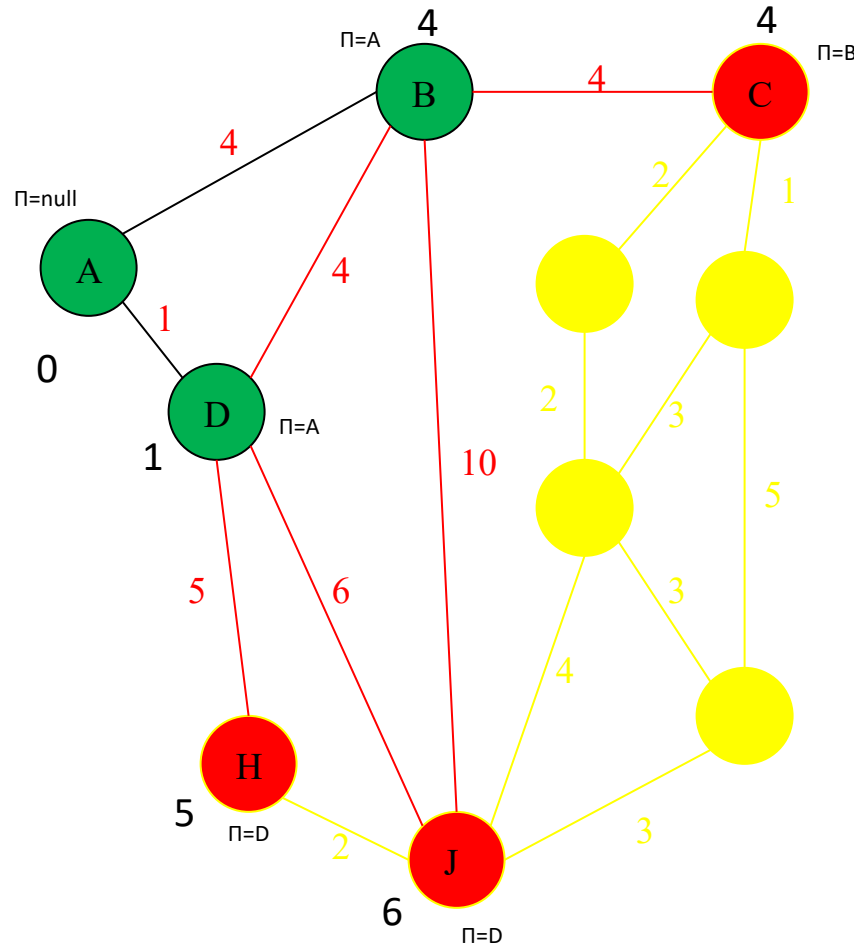


$mstSet = \{A, D\}$

The symbol Π is the parent of the vertex

Prim's Algorithm Example

- Think of the yellow nodes as cost ∞ (not valid)
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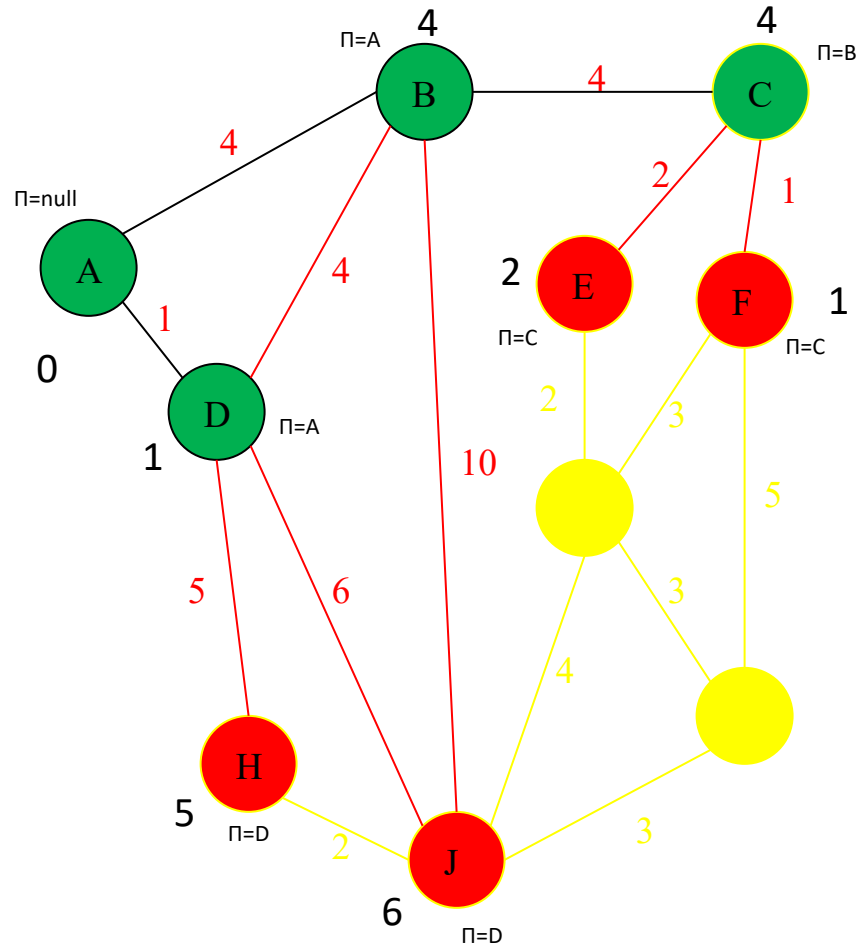


$mstSet = \{A, D, B\}$

The symbol Π is the parent of the vertex

Prim's Algorithm Example

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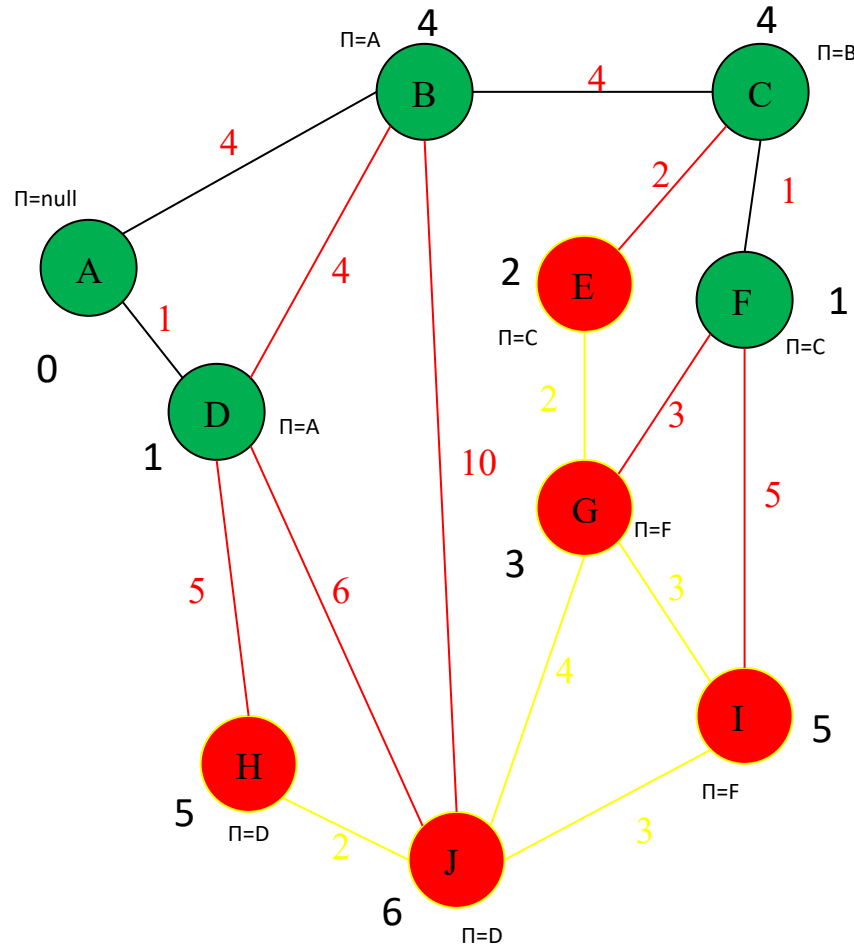


$mstSet = \{A, D, B, C\}$

The symbol Π is the parent of the vertex

Prim's Algorithm Example

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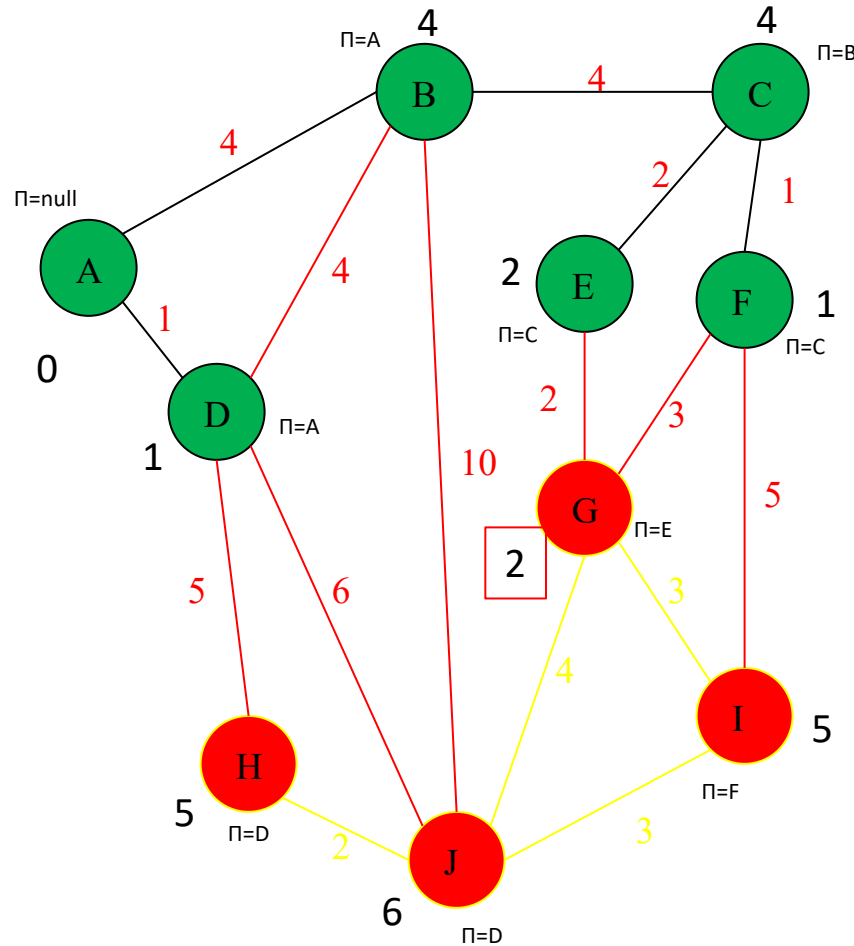


$mstSet = \{A, D, B, C, F\}$

The symbol Π is the parent of the vertex

Prim's Algorithm Example

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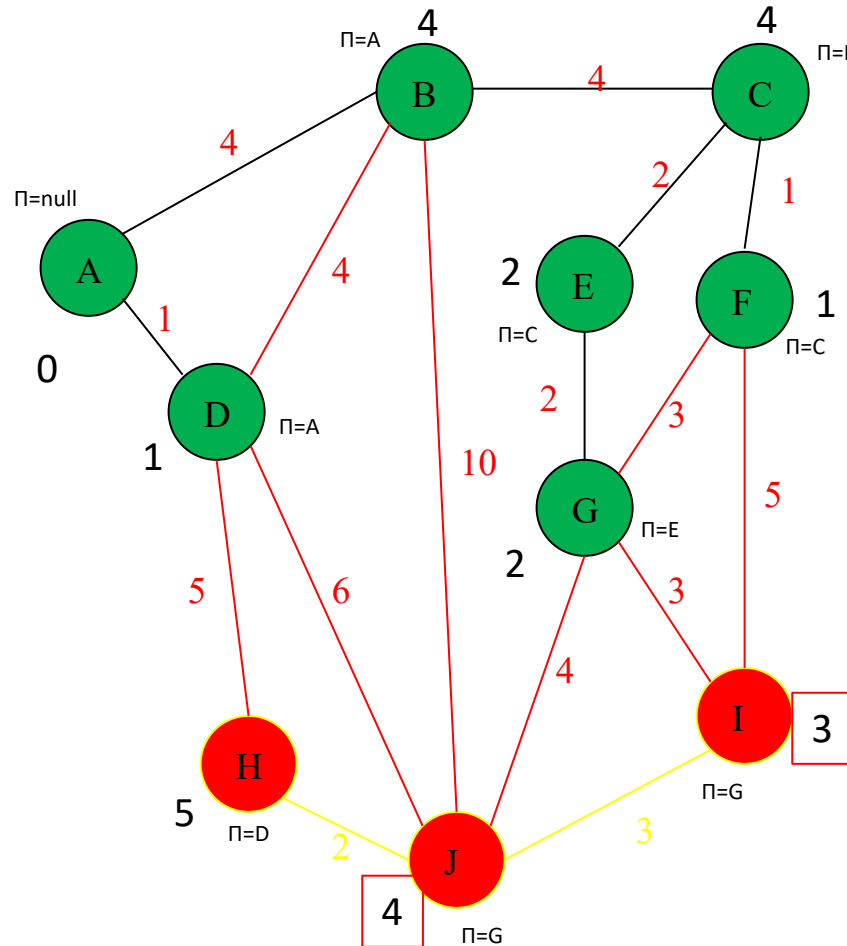


$mstSet = \{A, D, B, C, F, E\}$

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Prim's Algorithm Example

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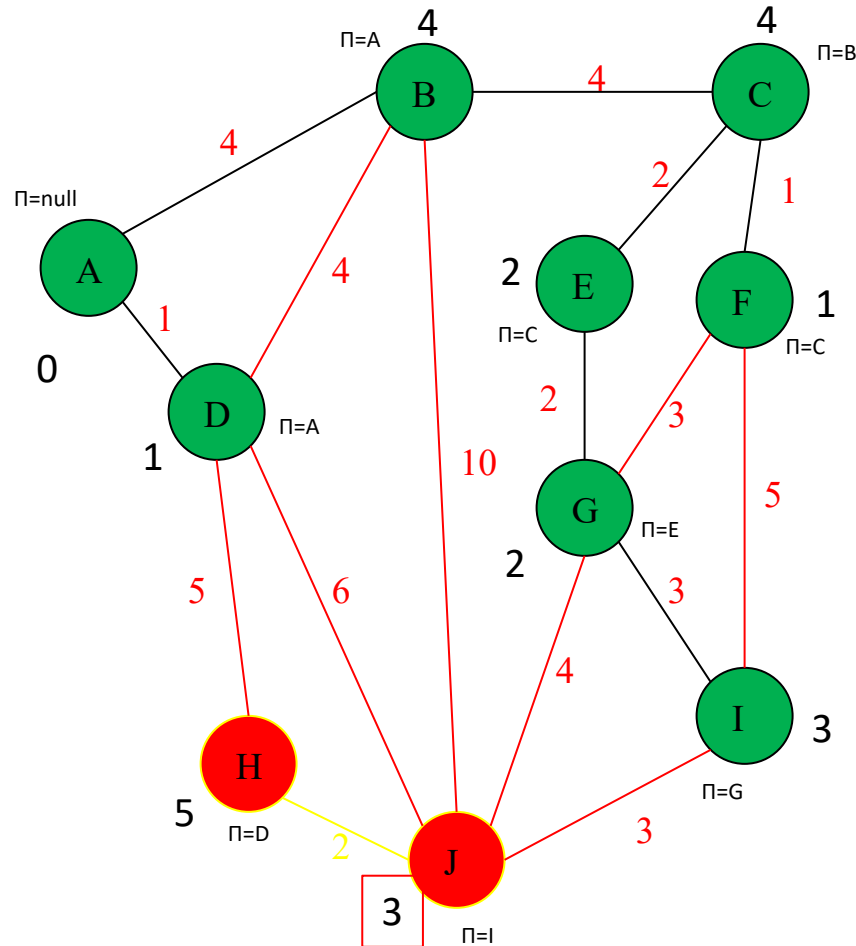


$mstSet = \{A, D, B, C, F, E, G\}$

The symbol Π is the parent of the vertex

Prim's Algorithm Example

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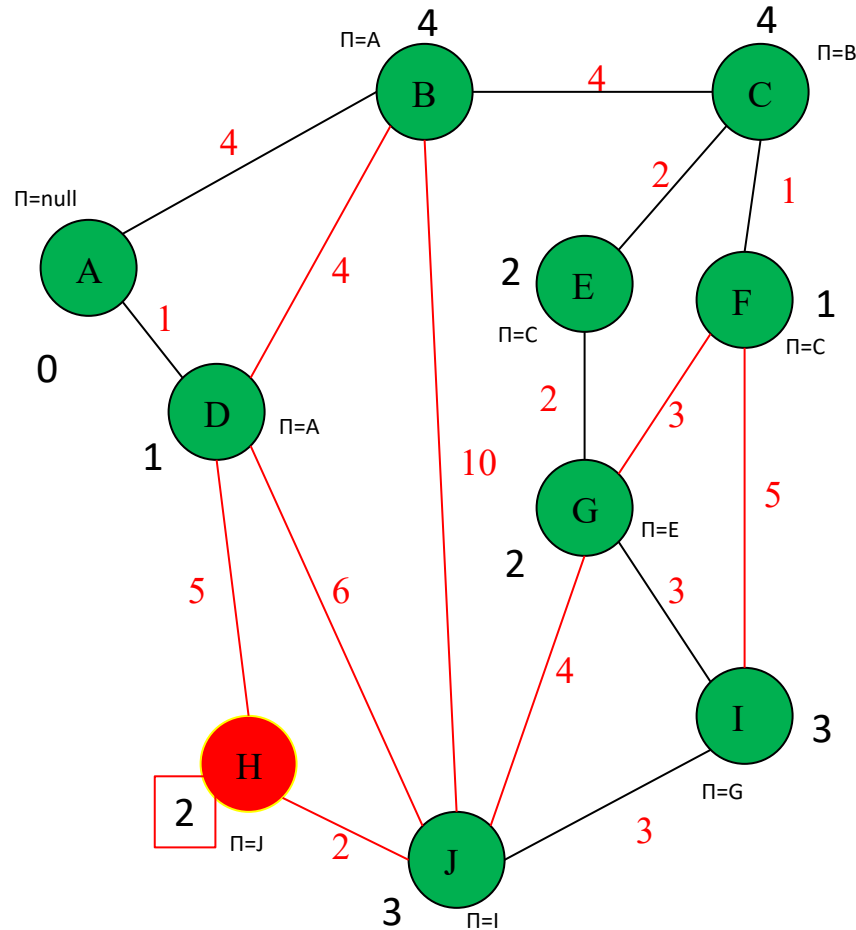


$mstSet = \{A, D, B, C, F, E, G, I\}$

The symbol Π is the parent of the vertex

Prim's Algorithm Example

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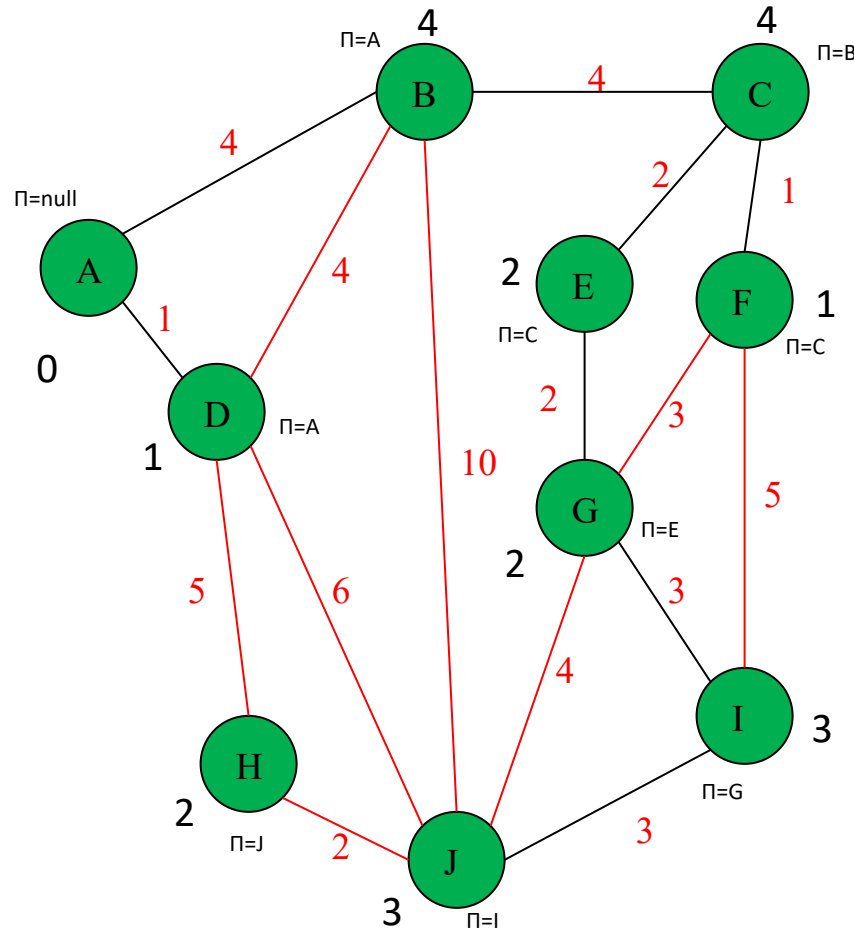
$mstSet = \{A, D, B, C, F, E, G, I, J\}$

The symbol Π is the parent of the vertex

Prim's Algorithm Example

-Think of the yellow nodes as cost ∞ (not valid)

-Only the red ones are being considered

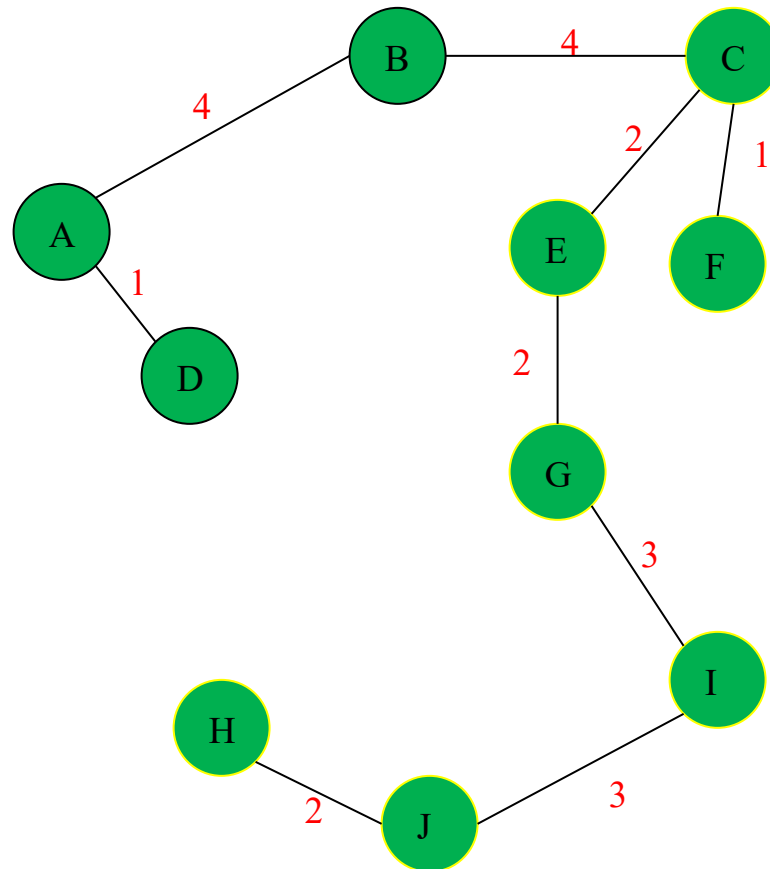


$mstSet = \{A, D, B, C, F, E, G, I, J, H\}$

The symbol Π is the parent of the vertex

Prim's Algorithm Example

- Think of the yellow nodes as cost ∞ (not valid)
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Shows the order in which vertices added to the MST

Of course this set should have all the vertices in the graph

$mstSet = \{A, D, B, C, F, E, G, I, J, H\}$

Total cost = 22

Kruskal's and Prim's need not produce the same MST but cost will be the same

Prim's Algorithm

1: $O(V)$

1) Assign a key value to all vertices in the input graph. Initialize all **key values as INFINITE**.

2: $O(1)$

2) Assign **key value as 0 for the first vertex** so that it is picked first and call it **ROOT**
a) The **parent** of the root is NIL

3: $O(V \log V)$

3) Add all nodes into a MIN-HEAP Priority Queue $\rightarrow Q$ (slide 16)

The loop repeats V times

4) While Q not empty

....a) Pick a vertex u that has **minimum key value (slide 18)**

....b) Include u to $mstSet$ (set that lists MST).

....c) Update key value of **all adjacent vertices (v)** of u (*which is not in $mstSet$*)

- For every adjacent vertex v , if weight of edge $u-v$ is **less** than key value of v , update the key value as weight of edge
- Make u the **parent** of v
- Update the priority Q (slide 20)** (i.e., min heap as we have new weights)

4a: $O(\log V)$.

4(a) is called **V times in the loop.**
Total cost = $O(V \log V)$

4b: $O(1)$.

... d) Remove u from Q

4(c): $O(\log V)$

4(c): Has to update for each edge in the graph.
Total cost = $O(E \log V)$

Prim's Algorithm

1: $O(V)$

- 1) Assign a key value to all vertices in the input graph. Initialize all **key values as INFINITE**.

2: $O(1)$

- 2) Assign **key value as 0 for the first vertex** so that it is picked first and call it **ROOT**
a) The **parent** of the root is NIL

3: $O(V \log V)$

- 3) Add all nodes into a MIN-HEAP Priority Queue $\rightarrow Q$ (slide 16)

4) **Total: $O(V) + O(1) + O(V \log V) + O(V \log V) + O(1) + O(E \log V) \rightarrow O(E \log V)$**

- ...c) Update key value of **all adjacent vertices (v)** of *u* (*which is not in Q*)
 - For every adjacent vertex *v*, if weight of edge *u-v* is **less** than key value of *v*, update the key value as weight of edge
 - Make *u* the **parent** of *v*
 - Update the priority Q (slide 20)** (i.e., min heap as we have new weights)

4(a) is called **V** times in the loop.
Total cost = $O(V \log V)$

4b: $O(1)$.

- ... d) Remove *u* from *Q*

4(c): $O(\log V)$

4(c): Has to update for each edge in the graph.
Total cost = $O(E \log V)$

Algorithms for Obtaining the Minimum Spanning Tree

✓ **Kruskal's Algorithm**

✓ **Prim's Algorithm**

Both of these are Greedy Algorithms

