

# Growth Functions and Asymptotic Analysis (I)

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CSC 212

# Announcements

- **Go to office hours.**
- Next Quiz Sept 24 (next Tuesday).
- Everything **covered on Sept 12 and this week** are fair game for the quiz.
- Same format as today's quiz.

# Running Time of Algorithms

- Running time of algorithm determines how “**quickly**” it executes.
- **Computed based on # of basic steps** in the algorithm that are executed
  - Loops result in repeated computational sets leading to larger running time than those without
- **Size of the inputs can also affect number of basic steps**
  - Sorting longer arrays need more time than shorter ones!
  - In such cases, **size of input usually dictates # of basic steps!**

# Runtime affected by # of Basic Steps

- Two algorithms for performing the same tasks can have different running times **depending upon # of steps it has**
- Here, the size of the input is the same --- **1 number** --- but the presence of loops dictates running time.

```
import time

def SumOfN(n):
    start = time.time()
    theSUM = 0

    for i in range(1,n+1):
        theSUM +=i

    end = time.time()

    return theSUM, end-start
```

w/ FOR LOOP

```
def directSumOfN(n):
    start = time.time()
    theSUM = (n*(n+1))/2
    end = time.time()

    return theSUM, end-start
```

DIRECT SUMMATION

```
def main():

    print("Sum of N with for loop")
    for i in range(5):
        print("Sum is %d required %10.7f seconds"%SumOfN(100000))

    print("Sum of N function direct ")
    for i in range(5):
        print("Sum is %d required %10.7f seconds"%directSumOfN(100000))
```

Sum of N with for loop

Sum is 500000500000 required	0.0627120	seconds
Sum is 500000500000 required	0.0636330	seconds
Sum is 500000500000 required	0.0593448	seconds
Sum is 500000500000 required	0.0563250	seconds
Sum is 500000500000 required	0.0615969	seconds

Sum of N function direct

Sum is 500000500000 required	0.0000012	seconds
Sum is 500000500000 required	0.0000000	seconds
Sum is 500000500000 required	0.0000000	seconds
Sum is 500000500000 required	0.0000012	seconds
Sum is 500000500000 required	0.0000007	seconds

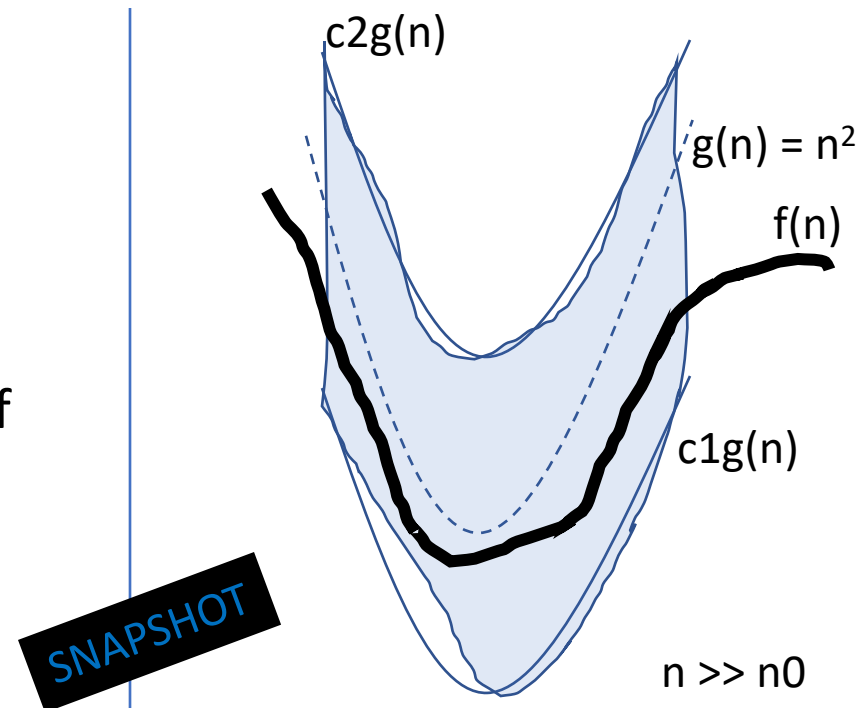
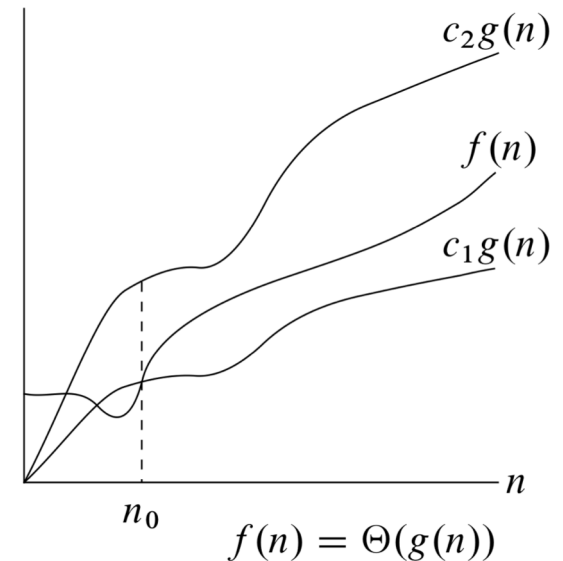
# Runtime affected by input size: Insertion Sort (Recap)

	Assume $n$ elements	Cost	Times
<i>def</i> InsertionSort( <i>A</i> )		c1	$n$
for <i>k in range(1, len(A))</i>		c2	$n-1$
<i>key = A[k]</i>		c4	$n-1$
<i>i = k - 1</i>			WHY?
while <i>i &gt; 0</i> and <i>A[i] &gt; key</i>		c5	$\sum_2^n t_j$ ✓
<i>A[i+1] = A[i]</i>		c6	$\sum_2^n (t_j - 1)$
<i>i = i - 1</i>		c7	$\sum_2^n (t_j - 1)$
<i>A[i+1] = key</i>		c8	$n-1$

$$T(n) = c1 * n + c2(n - 1) + c4(n - 1) + c5 \sum_2^n t_j + c6 \sum_2^n (t_j - 1) + c7 \sum_2^n (t_j - 1) + c8(n-1)$$

# $\Theta$ -notation

- **Definition:** For a given function  $g(n)$ ,  $\Theta(g(n))$  is a **set of functions** such that
  - $\Theta(g(n)) = \{f(n): \text{there exists positive constants } c_1, c_2, \text{ and } n_0 \text{ s.t. } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$
- This is called an asymptotic tight-bound for  $f(n)$ 
  - Really,  $f(n) \in \Theta(g(n))$
- For all values of  $n \geq n_0$  the value of  $f(n)$  is between the  $c_1g(n)$  and  $c_2g(n)$  belt.
- Focus on **large values of  $n$**

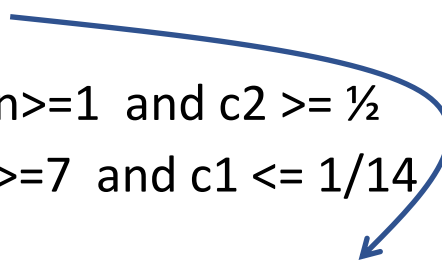


# $\Theta$ -notation: Example

- Assume  $f(n) = 1/2n^2 - 3n$
- We say  $f(n) = \Theta(n^2)$ , if this is true then
  - $c_1n^2 \leq 1/2n^2 - 3n \leq c_2n^2$
  - $c_1 \leq 1/2 - 3/n \leq c_2$

Remember:

$c_1, c_2$  and  $n$  are positive constants

- The right inequality is true for  $n \geq 1$  and  $c_2 \geq 1/2$
  - The left inequality is true for  $n \geq 7$  and  $c_1 \leq 1/14$
  - Thus if we choose
    - $c_1 = 1/14$ ,  $c_2 = 1/2$ , and  $n_0 = 7$  we can make the inequality true
  - Thus  $f(n) = \Theta(n^2)$
  - **Note, other  $c_1$ ,  $c_2$ , and  $n_0$  may also exist that make the inequality true**
  - Suffice it to say, that we can find one groups of values
- 

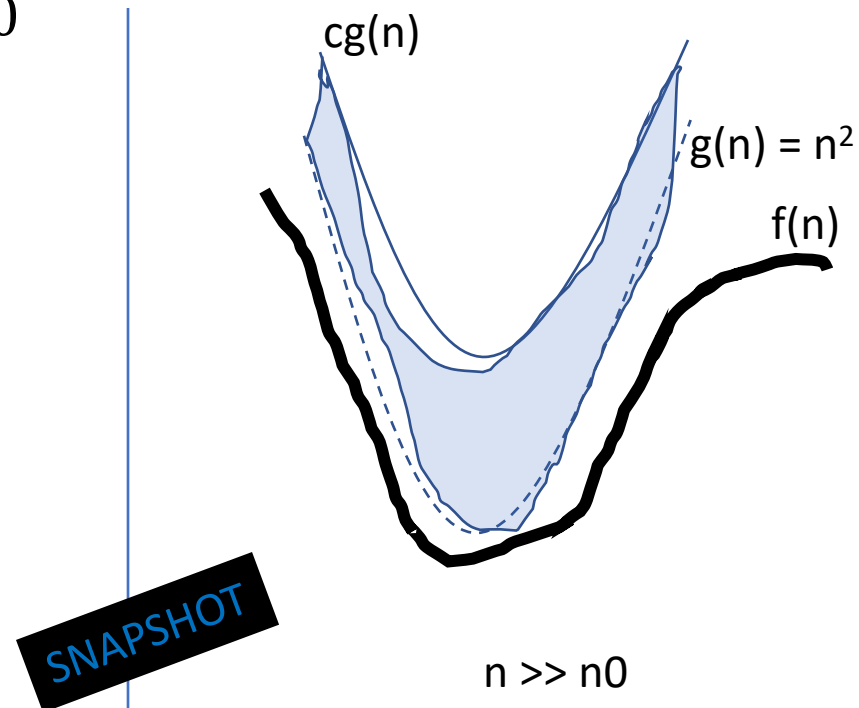
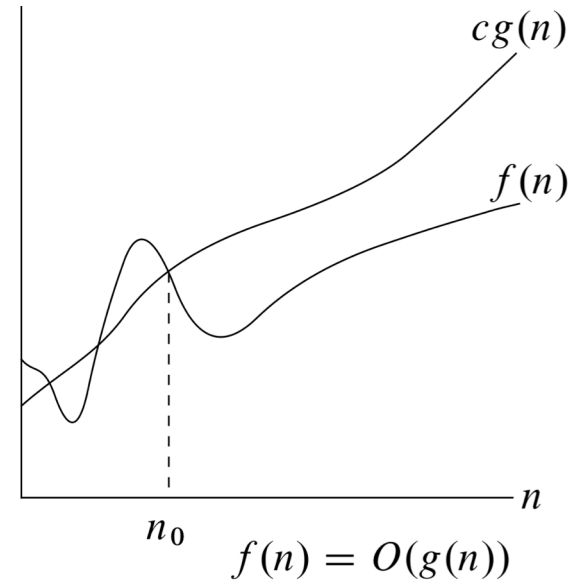
# $\Theta$ -notation

- In the running time of an algorithm, lower order terms are ignored.
  - For large values of  $n$ , the lower order terms become minuscule compared to the highest-order term
  - E.g., For  $T(n) = an^2 + bn + c$ , the **value of  $n^2$  will dominate** values of  $b*n$  or  $c$  or  $a$  for large values of  $n$
- More generally, for any polynomial
$$p(n) = \sum_{i=0}^d a_i n^i$$
where  $a_i$  is a constant and  $a_d > 0$ ,  
 **$p(n) = \Theta(n^d)$**
- Similarly, for a zero—degree polynomial  $q(n)$  or a constant function --- e.g., a given algorithm step  
 **$q(n) = \Theta(n^0) = \Theta(1)$**
- Called the **Asymptotic Tight-bound!**
  - Asymptotic means for large  $n$
  - Tight-bound because we have found the function that describes the algorithm's running time to within a constant multiple above and below



# $O$ -notation

- **Definition:** For a given function  $g(n)$ ,  $O(g(n))$  is a **set of functions** such that
  - $O(g(n)) = \{f(n): \text{there exists positive constants } c, \text{ and } n_0 \text{ s.t. } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}$
- This is called an asymptotic upper-bound for  $f(n)$
- For all values of  $n \geq n_0$  the value of  $f(n)$  is always  $\leq cg(n)$
- Focus on **large values of  $n$**



# $O$ -notation: Example

- Assume  $f(n) = 1/2n^2 - 3n$

- We say  $f(n) = O(n^2)$ , if this is true then

- $0 \leq 1/2n^2 - 3n \leq cn^2$

- $0 \leq 1/2 - 3/n \leq c$

Remember:

$c$  and  $n$  are positive constants

- The right inequality is true for  $n \geq 1$  and  $c \geq 1/2$

- The left inequality is true for  $n \geq 4$

- Thus if we choose

- $c = 1/2$ , and  $n_0 = 4$  we can make the inequality true

- Thus  $f(n) = O(n^2)$

- Called the **Asymptotic Upper-bound!**

- Asymptotic means for large  $n$

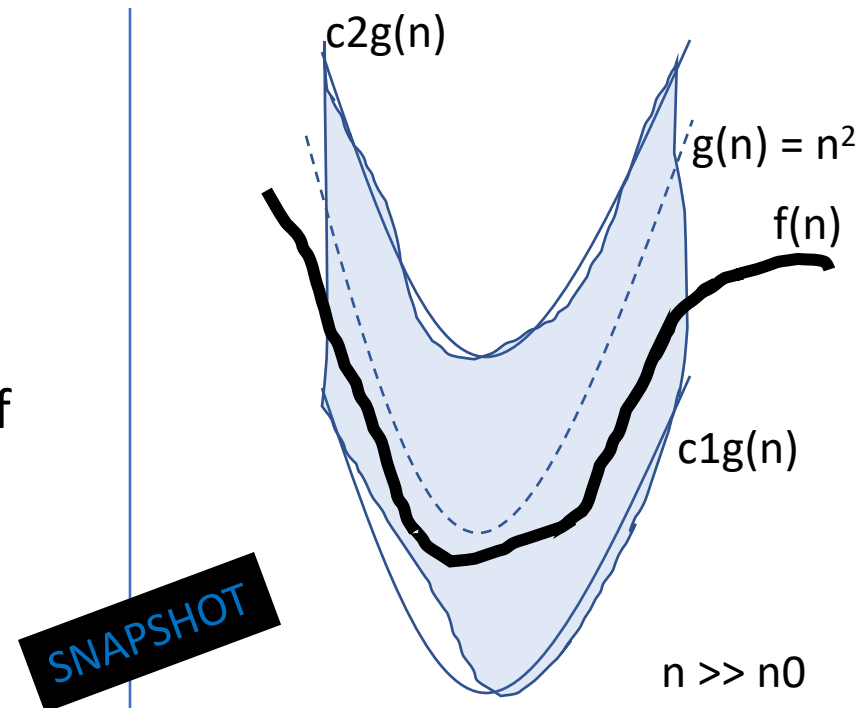
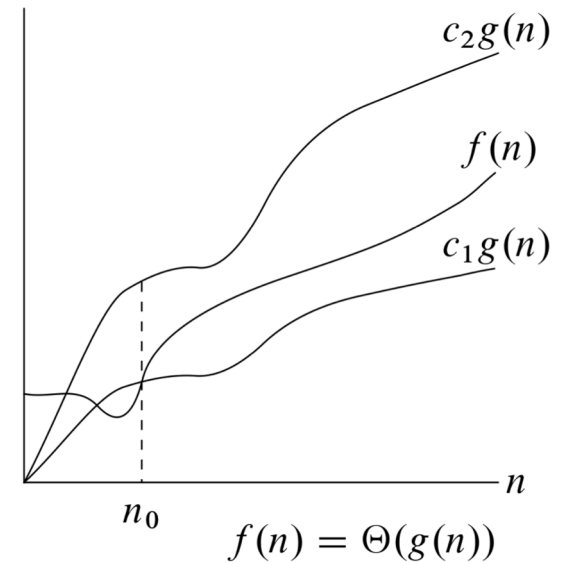
- Tight-bound because we have found the function that describes the algorithm's running time to within a constant multiple above

# Practice

- What is the Asymptotic Relationship ( $O$  or  $\Theta$  — *notation*) between
- $n^k$  *in terms of*  $c^n$  (assuming  $c > 1$  and  $k > 1$ )
- $\lg n^{\lg 17}$  *in terms of*  $\lg 17^{\lg n}$
- $\log_2 n$  *in terms of*  $\log_8 n$  --- [tricky work it out]
- $3n \log_8 n$  *in terms of*  $n^3 \lg n$

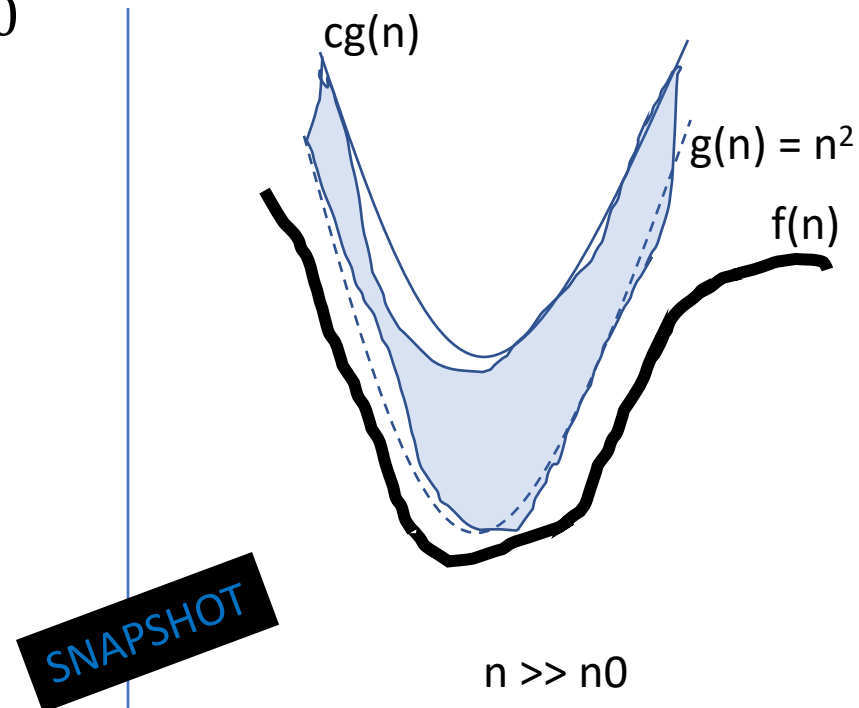
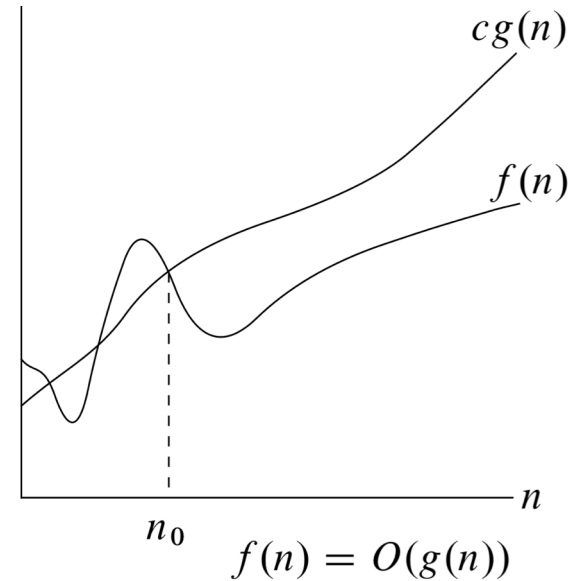
# $\Theta$ -notation (RECAP)

- **Definition:** For a given function  $g(n)$ ,  $\Theta(g(n))$  is a **set of functions** such that
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- This is called an asymptotic tight-bound for  $f(n)$ 
  - Really,  $f(n) \in \Theta(g(n))$
- For all values of  $n \geq n_0$  the value of  $f(n)$  is between the  $c_1g(n)$  and  $c_2g(n)$  belt.
- Focus on **large values of  $n$**



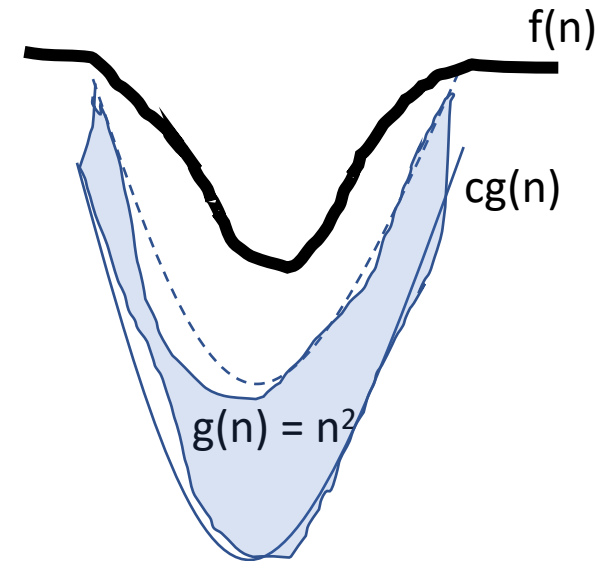
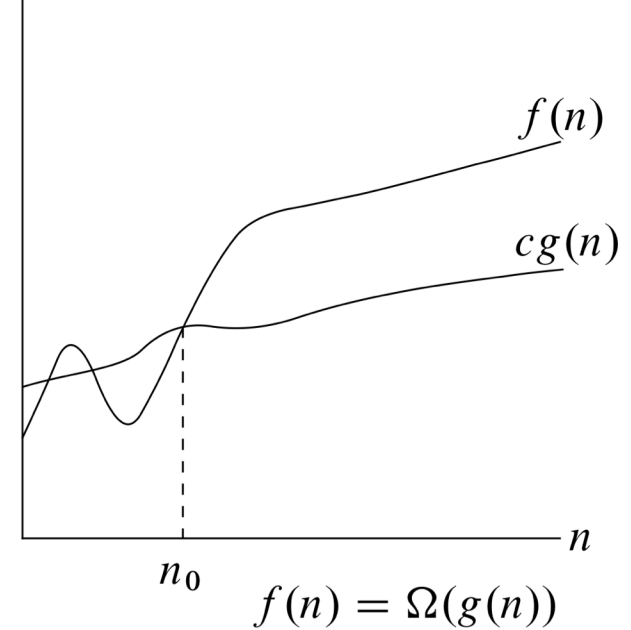
# $O$ -notation (RECAP)

- **Definition:** For a given function  $g(n)$ ,  $O(g(n))$  is a **set of functions** such that
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- This is called an asymptotic upper-bound for  $f(n)$
- For all values of  $n \geq n_0$  the value of  $f(n)$  is always  $\leq cg(n)$
- Focus on **large values of  $n$**



# $\Omega$ -notation

- **Definition:** For a given function  $g(n)$ ,  $\Omega(g(n))$  is a **set of functions** such that
  - $\Theta(g(n)) = \{f(n): \text{there exists positive constants } c, \text{ and } n_0 \text{ s.t. } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}$
- This is called an asymptotic lower-bound for  $f(n)$
- For all values of  $n \geq n_0$  the value of  $cg(n)$  is always  $\leq f(n)$
- Focus on **large values of  $n$**



SNAPSHOT

# Note: Correct but Meaningless

**You could say**

$$3n^2 + 2 = O(n^6) \text{ or } 3n^2 + 2 = O(n^7)$$

$O(n^2)$

is a tighter asymptotic  
upper bound

**But this is like answering:**

- **What is the world's record for running one mile?**
  - Less than 3 days.
- **How long does it take to drive from here to Chicago?**
  - Less than 11 years.

# Do not get confused: O-Notation

## $O(1)$ or “Order One”

- DOES NOT mean that it takes only one operation
- DOES mean that the work **doesn't change** as  $N$  changes
- Is notation for “**constant work**”

## $O(N)$ or “Order $N$ ”

- DOES NOT mean that it takes  $N$  operations
- DOES mean that the work changes in a way that is **proportional to  $N$**
- Is a notation for “work grows at a **linear** rate”



# Recap: Best Case Analysis of Insertion Sort

$$T(n) = c_1 * n + c_2(n - 1) + c_4(n - 1) + c_5 \sum_2^n t_j + c_6 \sum_2^n (t_j - 1) + c_7 \sum_2^n (t_j - 1) + c_8(n - 1)$$

- When will the algorithm take the least amount of time?
  - **When the array is already sorted**
  - So the  $T(n)$  will be

$$T(n) = c_1 * n + c_2(n - 1) + c_4(n - 1) + c_5(n - 1) + c_8(n - 1)$$

- Or  $T(n)$  is of the form  **$An + B$**
- **Linear function** of input size, which is  **$n$**

# Recap: Worst Case Analysis of Insertion Sort

$$T(n) = c_1 * n + c_2(n - 1) + c_4(n - 1) + c_5 \sum_2^n t_j + c_6 \sum_2^n (t_j - 1) + c_7 \sum_2^n (t_j - 1) + c_8(n - 1)$$

- When will the algorithm take the most amount of time?
  - **When the array sorted in inverse**

- So the  $T(n)$  will be

$$T(n) = c_1 * n + c_2(n - 1) + c_4(n - 1) + c_5 \sum_2^n j + c_6 \sum_2^n (j - 1) + c_7 \sum_2^n (j - 1) + c_8(n - 1)$$

$\begin{matrix} [n(n+1)/2] - 1 & n(n-1)/2 \\ \swarrow & \searrow \\ \sum_2^n j & \sum_2^n (j - 1) \end{matrix}$

- Or  **$T(n)$  is of the form  $An^2 + Bn + C$**
- **Quadratic function** of input size, which is  $n$

# Running Time for insertion sort

- BEST CASE

$$T(n) = c1 * n + c2(n - 1) + c4(n - 1) + c8(n-1)$$

- $T(n) = An + B = O(n)$
- Where  $A = c1+c2+c4+c8$  and  $B = -(c2+c4+c8)$

- WORST CASE

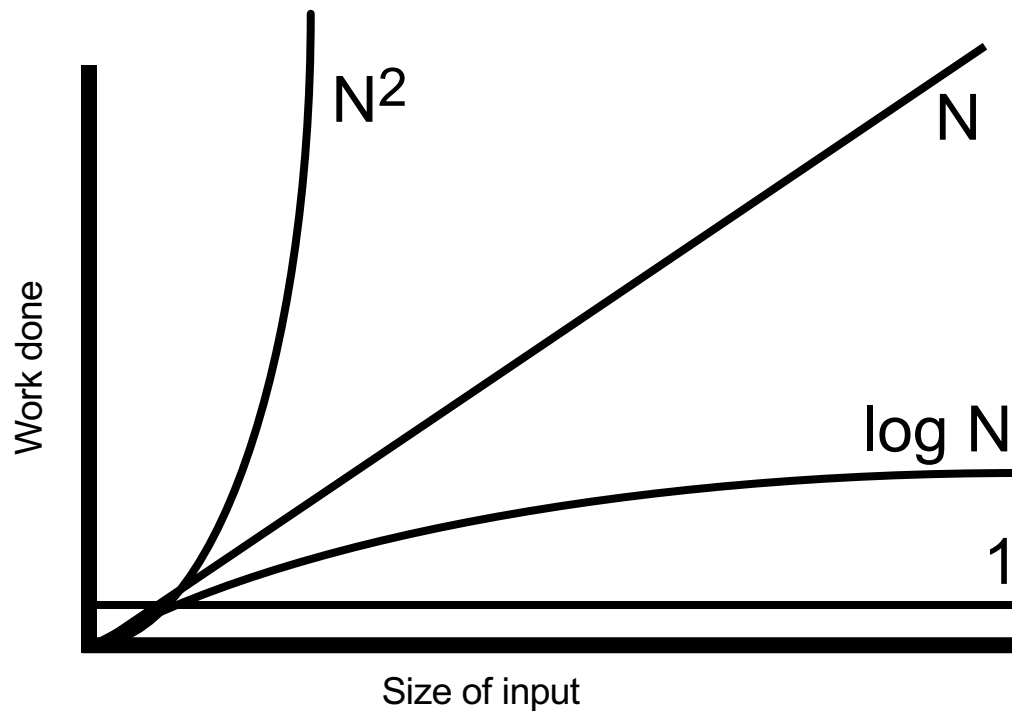
$$T(n) = c1 * n + c2(n - 1) + c4(n - 1) + c5 \frac{n(n+1)}{2} + c6 \frac{n(n-1)}{2} + c7 \frac{n(n-1)}{2} + c8 (n-1)$$

- $T(n) = An^2 + Bn + C3 = O(n^2)$
- What are A, B, and C ???

# Comparing Algorithms

- **We will use O-notation from now on to describe algorithm running time.**
- **We can compare different algorithms that solve the same problem:**
  1. Determine the  $O(\cdot)$  for the time complexity of each algorithm
  2. Compare them and see which has “better” performance

# Comparing Asymptotic Growth

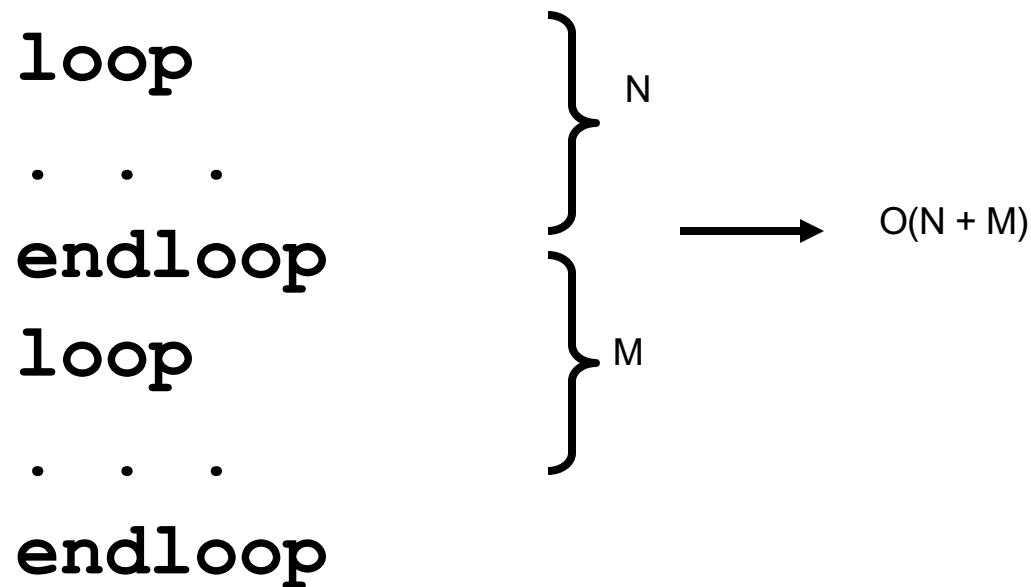


# Modular Analysis

- **Algorithms typically consist of a sequence of logical steps/sections/modules**
- **We need a way to analyze these more complex algorithms...**
- **It's easy – analyze the sections and then combine them**

# Sequential Steps

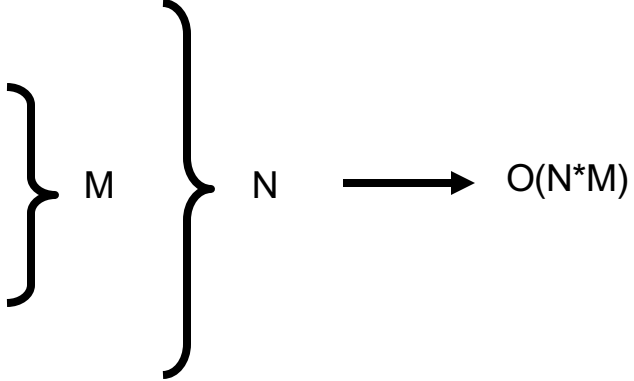
- If steps appear sequentially (one after another), then **add** their respective  $O()$ .



# Embedded Steps

- If steps appear embedded (one inside another), then **multiply** their respective  $O()$ .

```
loop
  loop
    . . .
  endloop
endloop
```



The diagram illustrates the complexity of nested loops. A large right-facing curly brace on the left groups the inner loop structure (from the first 'loop' to the first 'endloop'). To its right is a smaller right-facing curly brace that groups the outer loop structure (from the 'loop' to the final 'endloop'). The label 'M' is placed between the two braces, indicating the complexity of the inner loop. The label 'N' is placed to the right of the larger brace, indicating the complexity of the outer loop. An arrow points from the 'N' brace to the final complexity expression  $O(N*M)$ .

$\rightarrow O(N*M)$



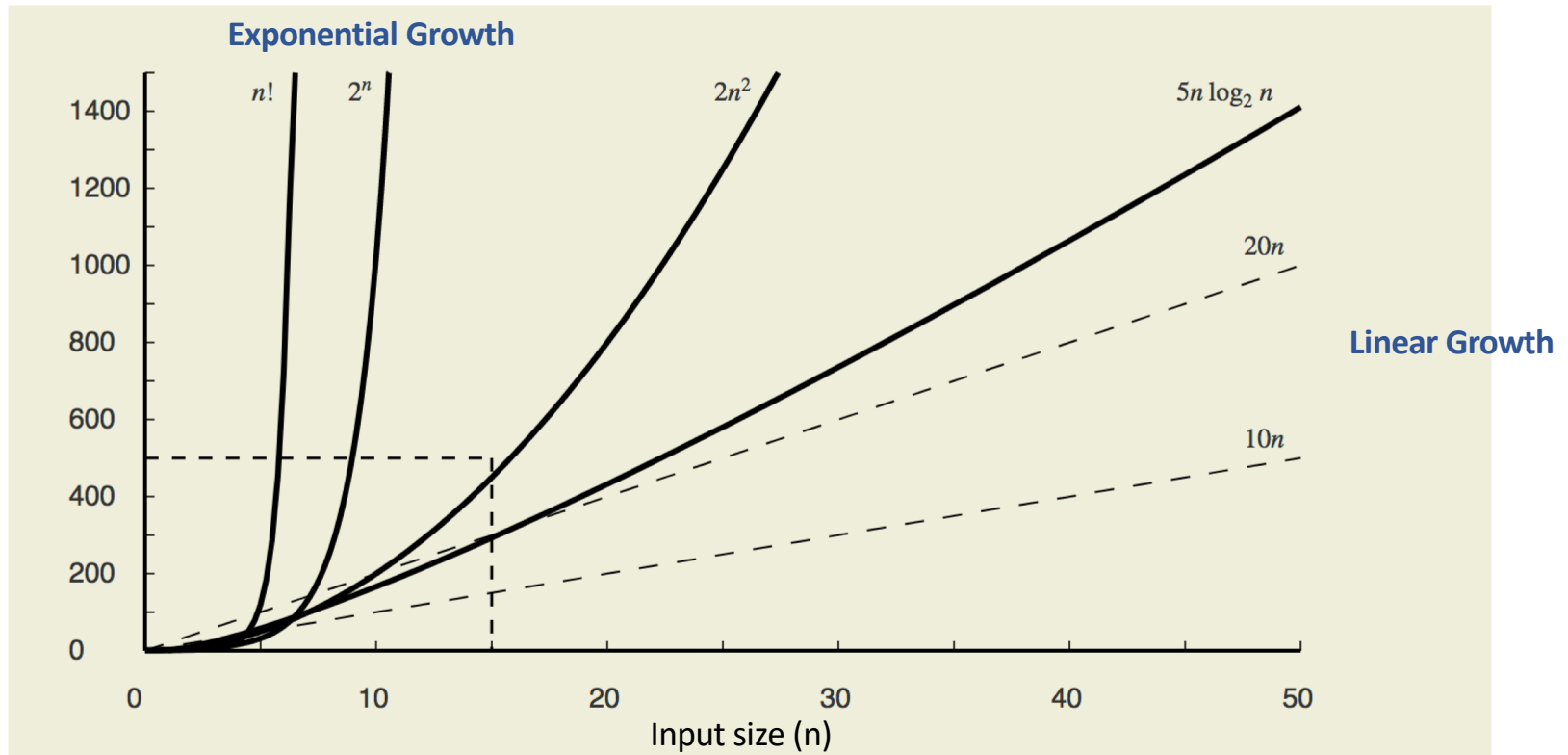
# Correctly Determining Big-O

- **Can have multiple factors** (variables that measure input size)
  - $O(N * M)$
  - $O(\log P + N^2)$
- **But keep only the dominant factors:**
  - $O(N + N \log N) \rightarrow O(N \log N)$
  - $O(N * M + P) \rightarrow$  remains the same
  - $O(V^2 + V \log V) \rightarrow O(V^2)$
- **Drop constants:**
  - $O(2N + 3N^2) \rightarrow O(N + N^2) \rightarrow O(N^2)$

# Growth Functions

- Using O-notation, we are characterizing an algorithm's running time using a polynomial of some kind!
  - $O(n)$  --- worst case sumOfN function (in slide 3)
  - $O(n^2)$  --- worst case insertion sort
- One can have algorithms that have other running times as well such as
  - $O(2^n)$  --- worst case traveling salesman problem
  - $O(n \lg n)$  – worst case merge sort
  - ....
- So, which of the running times are ok, and which are not?

# More Asymptotic Growth Rates



# Puzzle

- Imagine a pond.
- Moss starts to grow on it and doubles in size every day.
- On the 10<sup>th</sup> day the moss fully covers the pond.

On what day, was the pond half-covered with moss?

**Exponential Growth and Linear Growth are very different**

**We are used to thinking in terms of linear functions, exponential functions behave differently**

# Quiz

- You are given this set of functions:
- $n!$
- $2^n$
- $2n^2$
- $5n \log n$
- $20n$
- $10n$

Organize them by ascending order of growth rate

$$5n \log n > 10n > 20n > 2n^2 > 2^n > n!$$

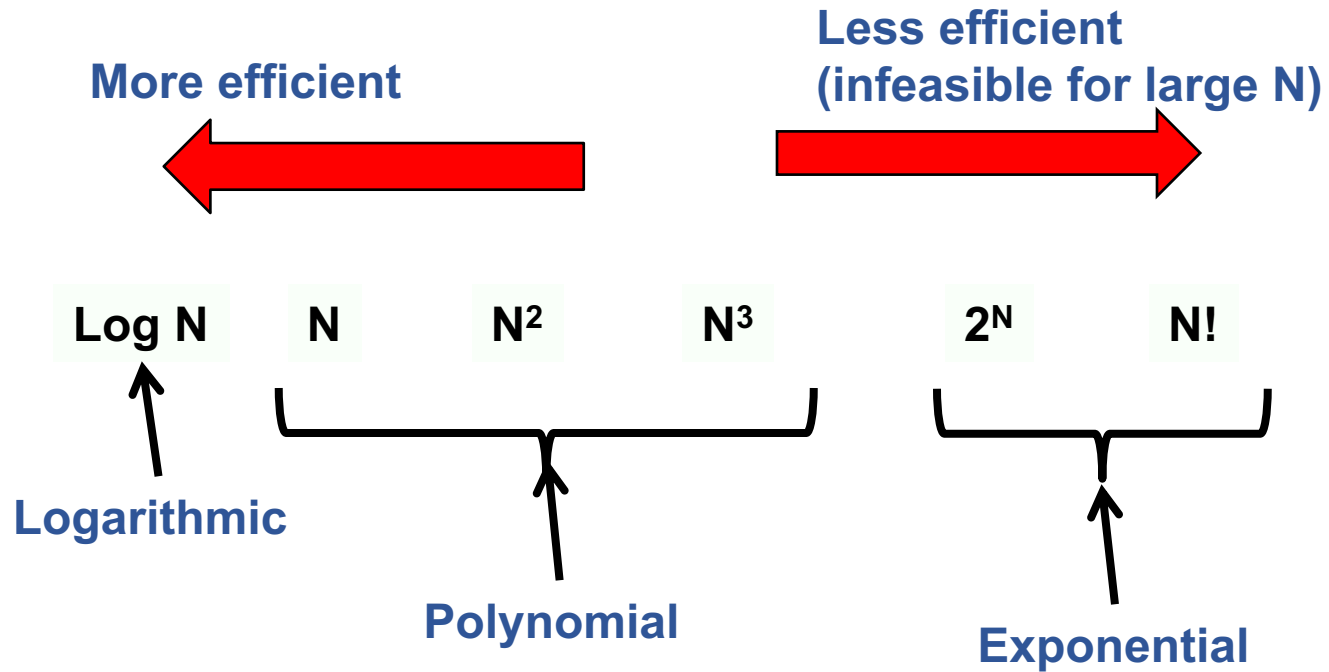
# Growth Rates Table

$n$	$\log \log n$	$\log n$	$n$	$n \log n$	$n^2$	$n^3$	$2^n$
16	2	4	$2^4$	$4 \cdot 2^4 = 2^6$	$2^8$	$2^{12}$	$2^{16}$
256	3	8	$2^8$	$8 \cdot 2^8 = 2^{11}$	$2^{16}$	$2^{24}$	$2^{256}$
1024	$\approx 3.3$	10	$2^{10}$	$10 \cdot 2^{10} \approx 2^{13}$	$2^{20}$	$2^{30}$	$2^{1024}$
64K	4	16	$2^{16}$	$16 \cdot 2^{16} = 2^{20}$	$2^{32}$	$2^{48}$	$2^{64K}$
1M	$\approx 4.3$	20	$2^{20}$	$20 \cdot 2^{20} \approx 2^{24}$	$2^{40}$	$2^{60}$	$2^{1M}$
1G	$\approx 4.9$	30	$2^{30}$	$30 \cdot 2^{30} \approx 2^{35}$	$2^{60}$	$2^{90}$	$2^{1G}$

# Comparing Computational Cost

Size of Input	$2^n$	$n^3$	$n^2$	$n$	$n \log_2 n$	$\log_2 n$
1	2	1	1	1	0	0
10	1024	1000	100	10	33.21928095	3.321928095
100	1.26765E+30	1000000	10000	100	664.385619	6.64385619
1000	1.0715E+301	1000000000	1000000	1000	9965.784285	9.965784285
10000	Are you crazy!	1E+12	100000000	10000	132877.1238	13.28771238
100000	Stop it!	1E+15	10000000000	100000	1660964.047	16.60964047
1000000	NO!	1E+18	1E+12	1000000	19931568.57	19.93156857
10000000	This is nuts!	1E+21	1E+14	10000000	232534966.6	23.25349666
100000000	I give up!	1E+24	1E+16	100000000	2657542476	26.57542476

# Order Of Growth





# Practice

- Consider this program. What is it doing?

```
sum = 0;  
for (i=1; i<=n; i++)  
    for (j=1; j<=n; j++)  
        sum++;
```

Input size = n

- What is the running time here?
  - The basic operation here is `sum++` → can be done in constant time, say **c**
  - Ignore the operation `sum = 0` → it's so simple → the time take to do is **<< c**
- $T(n) = O(?)$ 
  - For a given input size  $n$ , how many steps will be taken?

# Practice: What is the O-notation for the following?

```
for i in range(n):  
    for j in range(n):  
        for k in range(n):  
            k = 2+j+i
```

```
i = n  
while i > 0:  
    k = 2+2  
    i = i//2
```

```
for i in range(n):  
    k = k + i
```

```
for i in range(n):  
    k = k+i  
    for j in range(n):  
        k = k + j  
        for k in range(n):  
            k = k+ k
```

```
i = 2  
i = i*i+2*(5^6)/(i*9)
```



*That's all Folks!*  
*Any Question?*