

# Basic Sorting Algorithms (2)

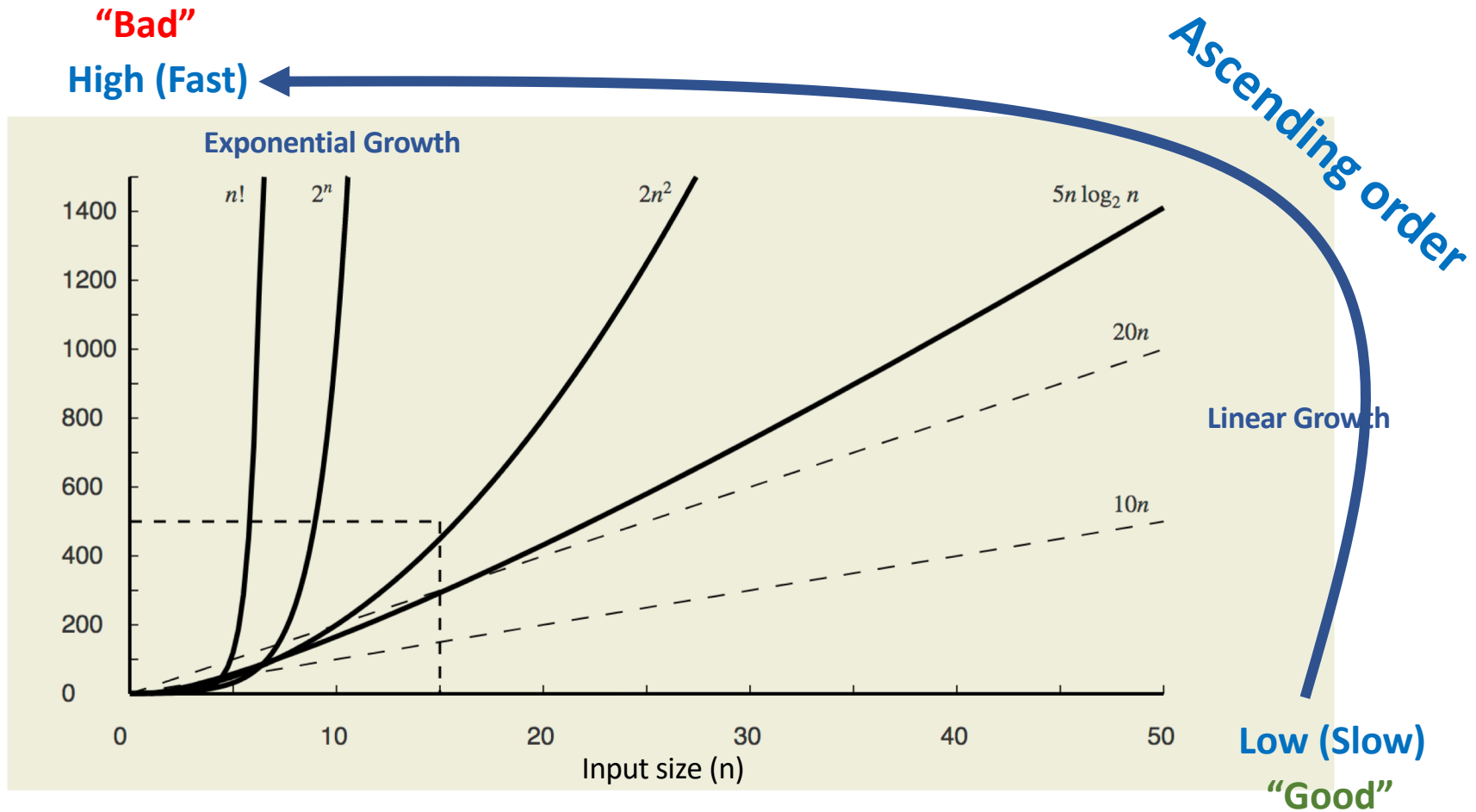
Instructor: Krishna Venkatasubramanian

CSC 212

# Announcement

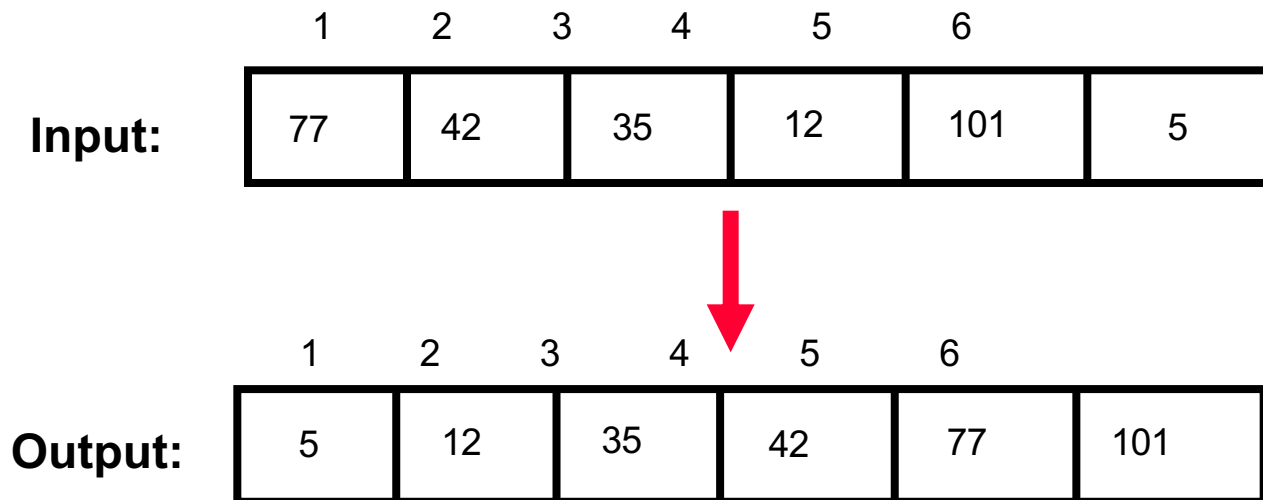
- Don't forget the office hours poll on Piazza.
  - Last day to do it today.
  - We will make our decision (to change or not to change) tomorrow.
- Who is Ming?
- Grade for Quiz 1 will be out soon.

## Clarification: Asymptotic Growth Rates



# Sorting: Problem Definition

- **Sorting takes an unordered collection and makes it an ordered one.**



# Sorting Algorithms

- *Insertion Sort --- covered already*
- *Bubble Sort --- covered already*
- **Selection Sort**
- Heap Sort
- Merge Sort
- Quick Sort
- ...

# Selection Sort

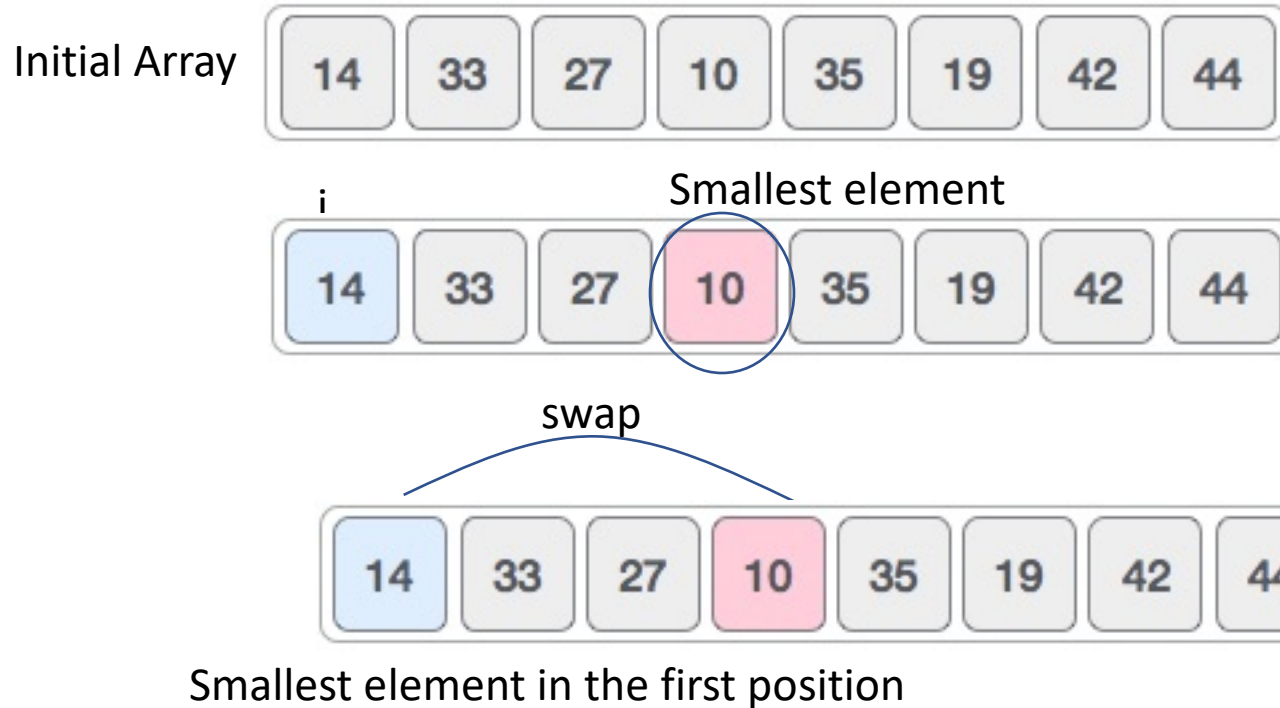
- Simple Algorithm --- has similarities to both Insertion-Sort and Bubble-Sort
  - Array divided into two parts – sorted and unsorted (like Insertion-Sort)
  - Stack the array from smallest to the largest (inverse of Bubble-Sort)
- **Invariants**
  - Elements in the sorted array are fixed
  - No element in the sorted array is greater than element in the unsorted array

|   |   |   |   |    |   |    |   |
|---|---|---|---|----|---|----|---|
| 1 | 2 | 4 | 5 | 18 | 9 | 10 | 7 |
|---|---|---|---|----|---|----|---|

SORTED ARRAY

UNSORTED ARRAY

# Example (of basic steps)

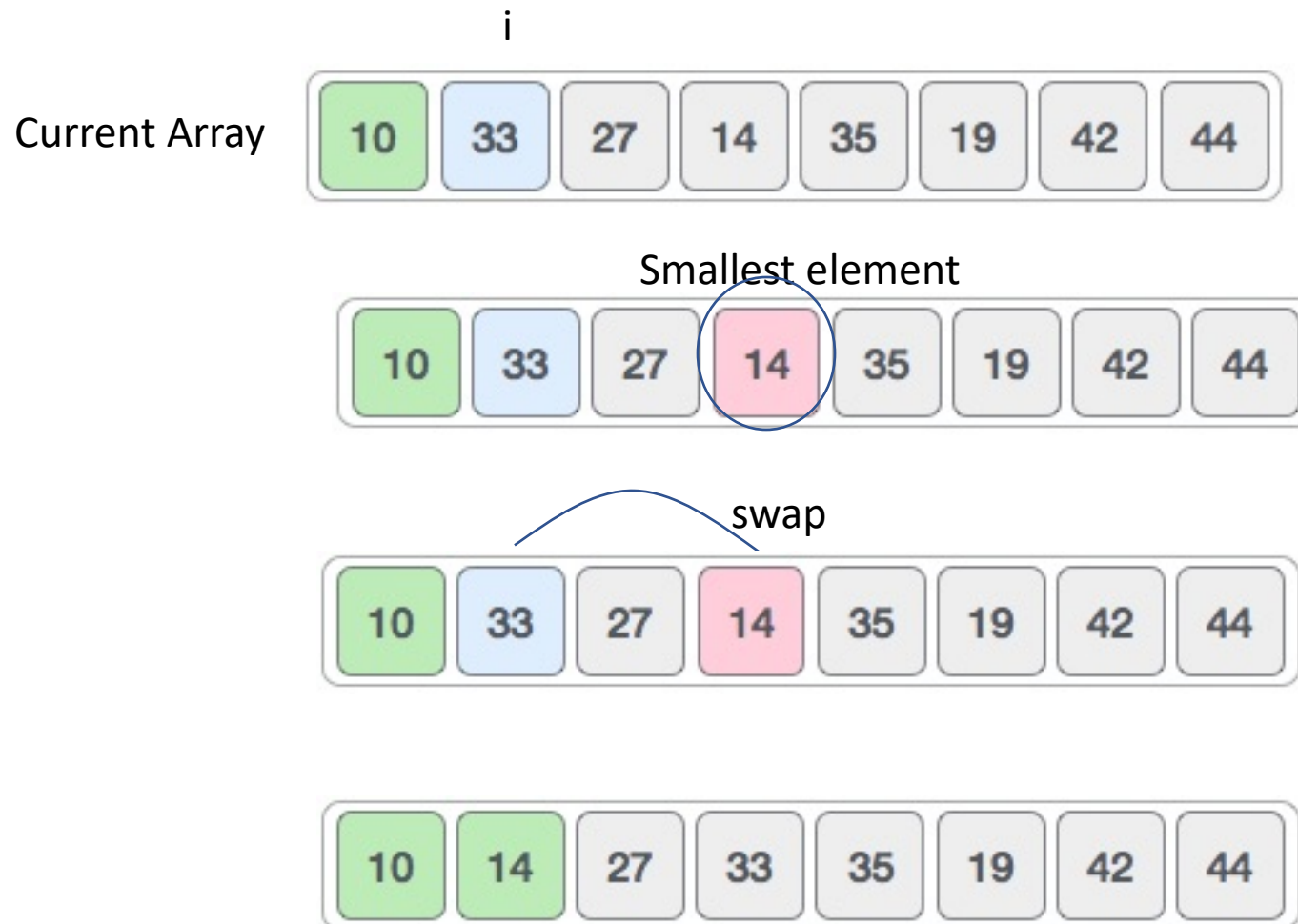


i = denotes position which is to be brought into the sorted array (initially the first element, as the entire array is unsorted)



The first element is in it's correct spot

# Next Step



The first two element is in it's correct spot



# Continuing On...



**Green** = already sorted (numbers in correct position)

**Blue** =  $i^{\text{th}}$  position

**Red** = smallest element, which swaps with the  $i^{\text{th}}$  position element

# The Selection Sort Algorithm

Selection Sort( A ):

for i in range(len(A)-1):

Why len(A) - 1?

# Find the minimum element in remaining unsorted array

min\_id = i

for j in range(i+1, len(A)):

if A[min\_id] > A[j]:

min\_id = j

Why len(A) here ?

# Swap the found minimum element with the first element  
A[i], A[min\_id] = A[min\_id], A[i]

# Selection Sort Time Complexity

- **Best-Case Time Complexity**

- The scenario under which the algorithm will do the least amount of work (finish the fastest)

- **Worst-Case Time Complexity**

- The scenario under which the algorithm will do the largest amount of work (finish the slowest)

# Selection Sort Time Complexity

- **Best-Case Time Complexity**

- Array is already sorted
- Need N-1 iterations
- $(N-1) + (N-2) + \dots + 1 = (N-1) * N / 2$   
comparisons

Called Quadratic Time  
 $O(N^2)$   
Order-of-N-square

- **Worst-Case Time Complexity**

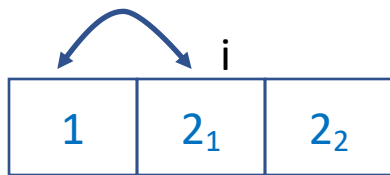
- Array reverse sorted
- Still need N-1 iterations
- $(N-1) + (N-2) + \dots + 1 = (N-1) * N / 2$

Called Quadratic Time  
 $O(N^2)$   
Order-of-N-square

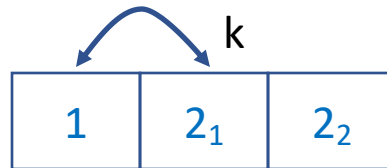
# Sorting Algorithm Additional Properties

- Memory Requirement:
  - **Denotes the amount of auxiliary storage** needed beyond that used by the list itself, under the same assumption.
    - No extra storage required. **Sorting in place.**
- Stability:
  - **Stable sorting algorithms sort repeated elements in the same order that they appear** in the **input**.
    - When sorting some kinds of data, only part of the data is examined when determining the sort order.
    - If two items compare as equal if one came before the other in the input, it will also come before the other in the output.

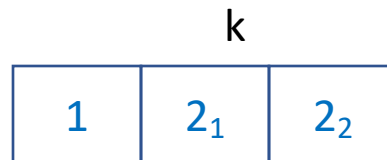
# Stability Example



Insertion Sort

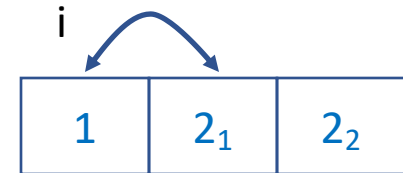


$i=0$   
 $N=3$



$i=1$   
 $N=2$

Bubble Sort



Selection Sort

# Memory Use and Stability of Basic Sorting Algorithms

- Insertion Sort
  - Memory =  $O(1)$  *# in place sorting (small amount of auxiliary space allowed, like to temp swap a variable)*
  - Stability = Yes
  - Best-case Running Time =  $O(n)$
  - Worst-case Running Time =  $O(n^2)$
- Bubble Sort
  - Memory =  $O(1)$  *# in place sorting*
  - Stability = Yes
  - Best-case Running Time =  $O(n^2)$
  - Worst-case Running Time =  $O(n^2)$
- Selection Sort
  - Memory =  $O(1)$  *# in place sorting*
  - Stability = Yes
  - Best-case Running Time =  $O(n^2)$
  - Worst-case Running Time =  $O(n^2)$

# Exchange Sorts

- All three sorting algorithms seen thus far are examples of **Exchange sorting** algorithms
  - They swap (exchange) elements to sort
- Other algorithms exist that are faster than these for typical conditions
- So why are these algorithms slow?



# General Slowness of Exchange Sort

- The crucial bottleneck is that only *adjacent* records are compared.
  - Thus, comparisons and moves (for Insertion and Bubble Sort) are by single steps.
- The cost of any exchange sort can be *at best* **the total number of inversions**
  - The number of elements with key value greater than the current record's key value appears before it that need to be moved.

# Quiz

- How many **inversions** are in the following array?

|    |   |    |    |     |   |
|----|---|----|----|-----|---|
| 14 | 5 | 81 | 92 | 101 | 7 |
|----|---|----|----|-----|---|

5 inversions

|   |   |   |   |    |    |
|---|---|---|---|----|----|
| 4 | 5 | 1 | 9 | 11 | 12 |
|---|---|---|---|----|----|

2 inversions

|    |     |    |    |   |   |
|----|-----|----|----|---|---|
| 63 | -51 | -9 | 17 | 1 | 0 |
|----|-----|----|----|---|---|

8 inversions

Worst Case

|            |           |           |      |   |
|------------|-----------|-----------|------|---|
| N elements |           |           |      |   |
| $X_n$      | $X_{n-1}$ | $X_{n-2}$ | .... | 1 |

$$1+2+3\ldots+n-1 = n(n-1)/2 \\ = O(n^2) \text{ inversions}$$

$$X_n > X_{n-1} > X_{n-2} \ldots > 1$$

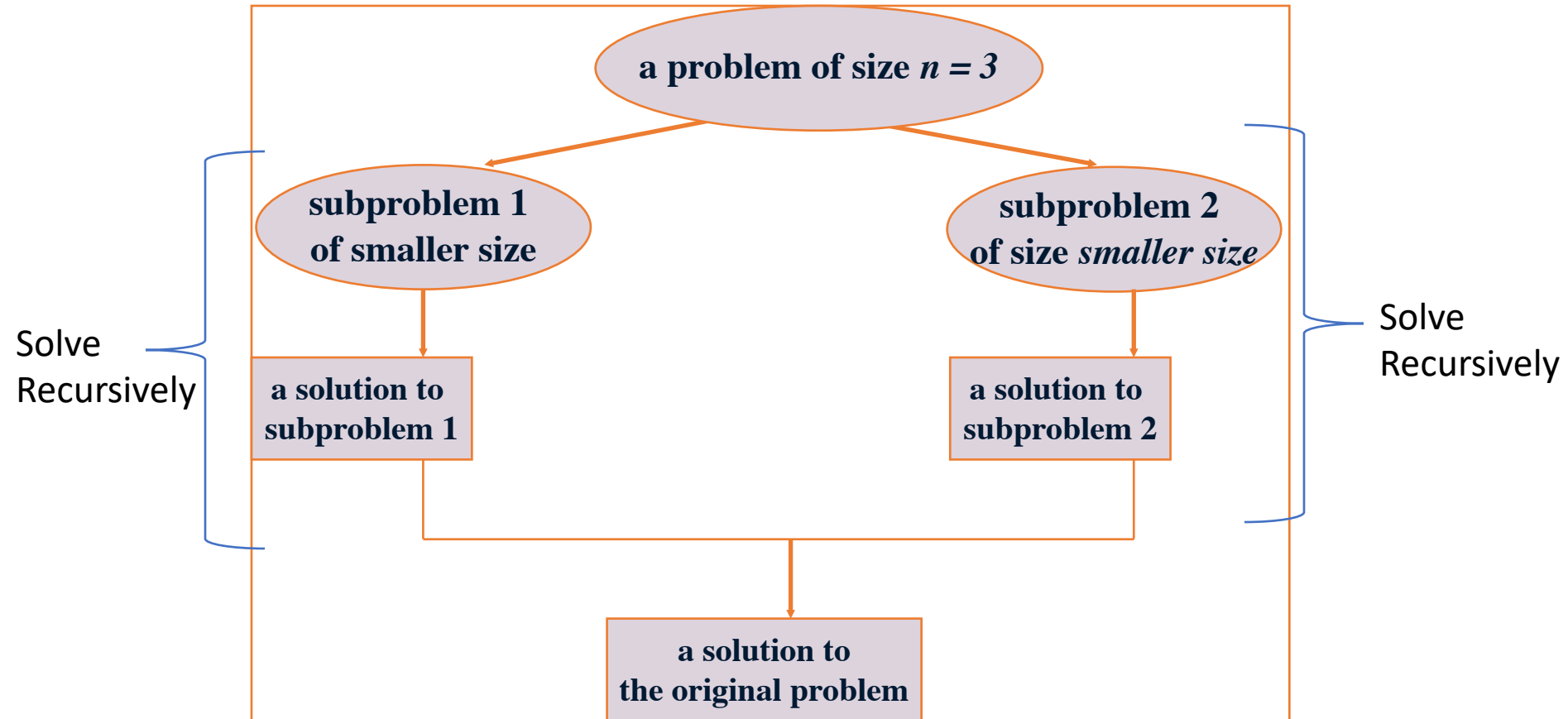
# Basic Sorting algorithms

- Insertion, selection and bubble sort have quadratic worst-case performance
  - That is  $O(n^2)$
- The faster comparison based algorithm ?
  - $O(n \cdot \log_2 n)$  or  $O(n \lg n)$  complexity
  - REMEMBER:  $O(n \lg n)$  more efficient than  $O(n^2)$
- Examples Mergesort and Quicksort

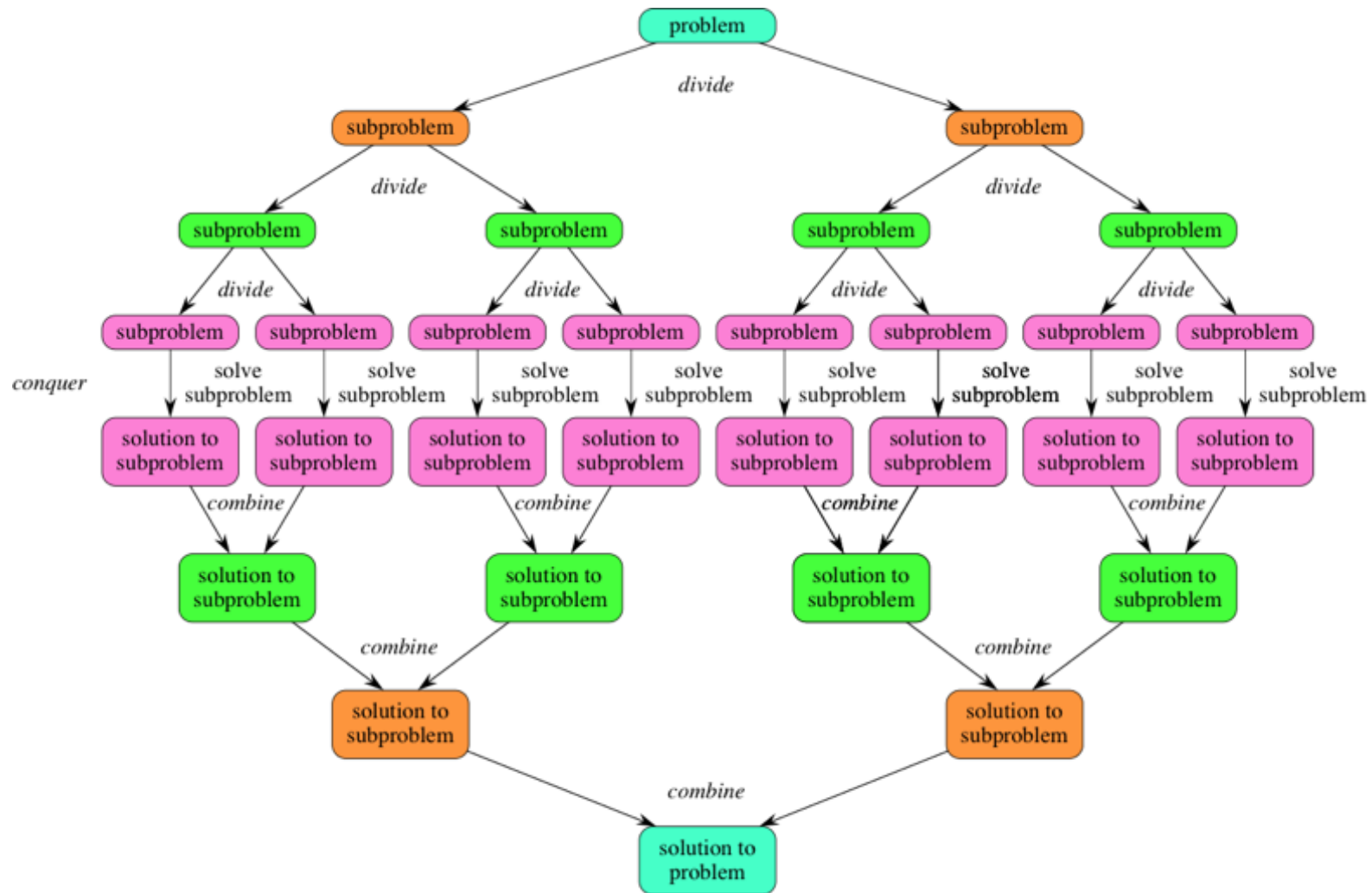
# Divide and Conquer Algorithms

- Before going to the details it is useful to understand the concept of **Divide and Conquer Algorithms** and **Recursion**
- Divide and Conquer Algorithms
  - **Divide:** Break the larger problem into sub-problems that are smaller instances of the same problem
  - **Conquer:** the sub-problems are solved *recursively*
    - If the sub-problem is really small, then solve in a straightforward manner
  - **Combine:** combine the solutions of the sub-problems to find the solution of the original problem!

# Visually Speaking!



# Expand Further



# Recursive Problem Solving

- What is Recursion?
  - a method of solving a problem where the solution depends on **solutions to smaller instances of the same problem**
- In effect
  - it is **basically a function calling itself** with a slightly smaller set of parameters
  - Can you see why Divide and Conquer Algorithms use it?
- **Recursive Algorithms MAY NOT be intuitive and need a lot of practice to work with!**

# Example: Factorial

- Factorial of a positive number  $p$  (written as  $n!$ ) is
  - $p! = p * p-1 * p-2 * ... * 1$
  - $p! = 1$ , if  $p = 0$

- Non-recursive Algorithm

```
def fact(n):  
    for i in range(1,n+1):  
        fact = fact * i  
    return fact
```

- Recursive Algorithm

```
def fact(n):  
    if n == 1:  
        return 1  
    else:  
        return n*fact(n-1)
```



# Example: Summing an Array

- Given an array A, sum of an array is a number which is a sum of all its values.

- Non-recursive Algorithm

```
def sum(A, size):  
    sum = 0  
    for i in range(size):  
        sum = sum + A[i]  
    return sum
```

- Recursive Algorithm

```
def sum(A, size):  
    if size == 1:  
        return A[0]  
    else:  
        return A[size-1] + sum(A, size-1)
```

# Example: Power of a Number

- Power of a number  $b^n$  (where  $n \geq 0$ ) is defined as
  - $b^n = b * b * b * b \dots * b$  (multiplied  $n$  times with itself)
  - $b^n = 1$ , if  $n = 0$

- Non-recursive Algorithm

```
def power(b,n):  
    power = ??  
    for i in range(n):  
        power = power * b  
    return power
```

- Recursive Algorithm

```
def power(b,n):  
    if n == 0:  
        return 1  
    else:  
        return b*power(b,n-1)
```

# The Selection Sort Algorithm

```
Selection_Sort( A ):
```

```
    for i in range(len(A)-1):
```

```
        min_id = i
```

```
        for j in range(i+1, len(A)):
```

```
            if A[min_id] > A[j]:
```

```
                min_id = j
```

```
    swap(A[i], A[min_id])
```

**Non-recursive Algorithm**

*find\_min\_index* is a function to find the min element in an Array A, starting at l and ending at n. It needs to be written separately

*i* is the index of the array at which we want to “correctly” populate. Initially ZERO

**Recursive Algorithm**

```
Selection_Sort_Rec( A, n, i ):
```

```
    if i == n:
```

```
        return
```

```
    else:
```

```
        k = find_min_index(A, n, i)
```

```
        if k != i:
```

```
            A[i], A[k] = A[k], A[i]
```

```
        Selection_Sort_Rec(A, n, i+1)
```



*That's all Folks!*  
*Any Question?*