

# More Spanning Tree Algorithms

Instructor: Krishna Venkatasubramanian

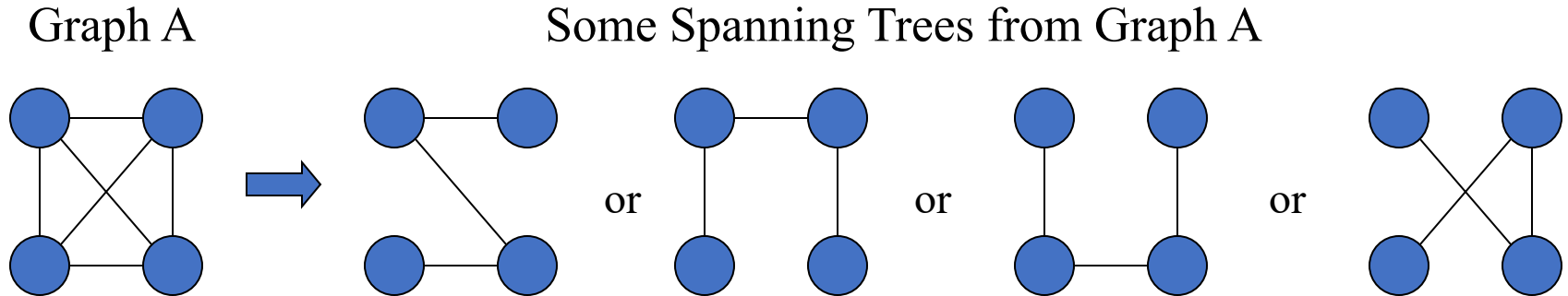
CSC 212

# Announcement

- Quiz 6 (Last quiz) next Tuesday
  - Dec 10
- Assignment 3 due next Tuesday by midnight
  - Dec 10
- Academic Accommodations
  - Please email me if you need accommodations for the final exam – we can work something out
  - Please do by this tomorrow, Friday (Dec 6) 5pm
- Course Evaluation

# Spanning Trees (RECAP)

- A spanning tree of a graph is just a subgraph that contains all the vertices and is a tree.
- A graph may have many spanning trees.
- Spanning trees are defined for *connected undirected* graphs
- Since there are trees → They have no cycles



# Algorithms for Obtaining the Minimum Spanning Tree

---

- **Kruskal's Algorithm**
- **Prim's Algorithm**



**Both of these are Greedy Algorithms**

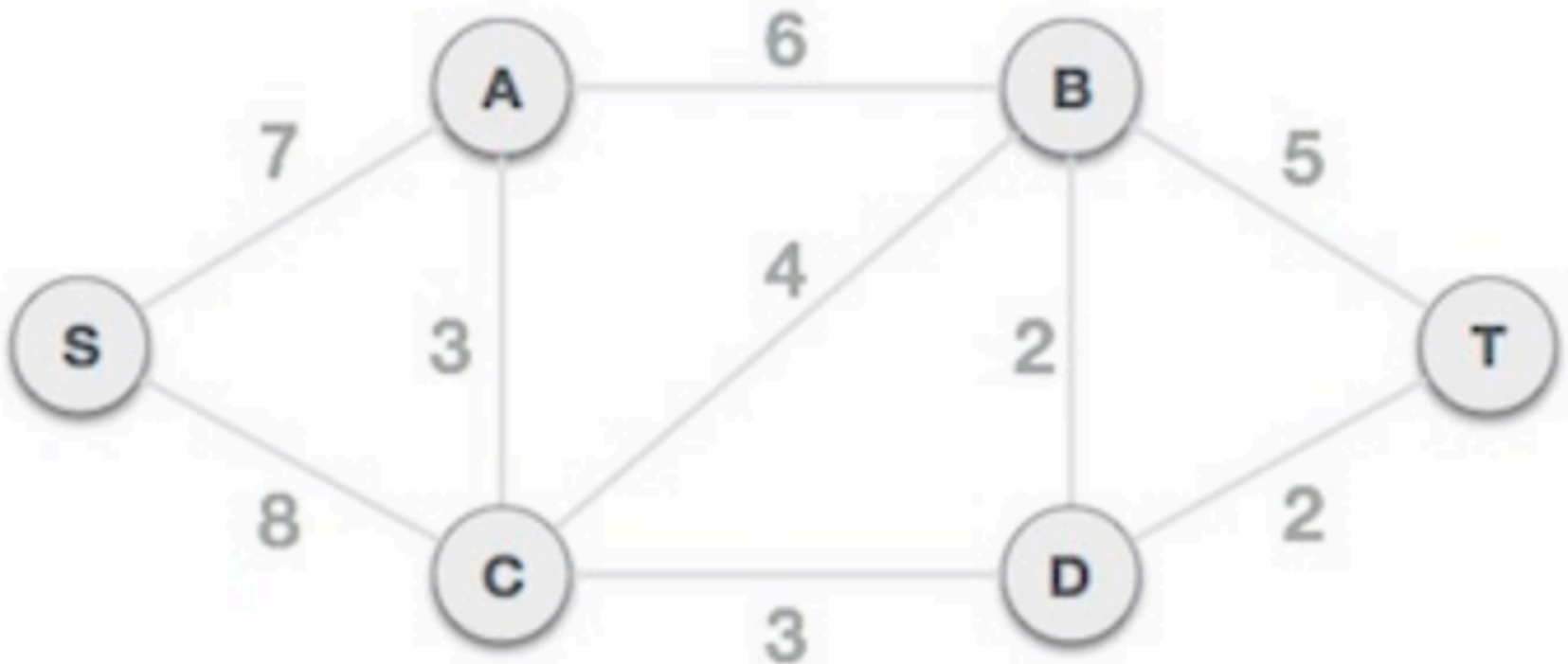
# Kruskal's Algorithm: Overview (RECAP)

---

- 1. The forest is constructed - with each node in a separate tree.**
- 2. The edges are placed in a min-priority queue.**
- 3. Until we've added  $n-1$  edges,**
  - 1. Extract the cheapest edge from the queue,**
  - 2. If it forms a cycle, reject it,**
  - 3. Else add it to the forest. Adding it to the forest will join two trees together.**

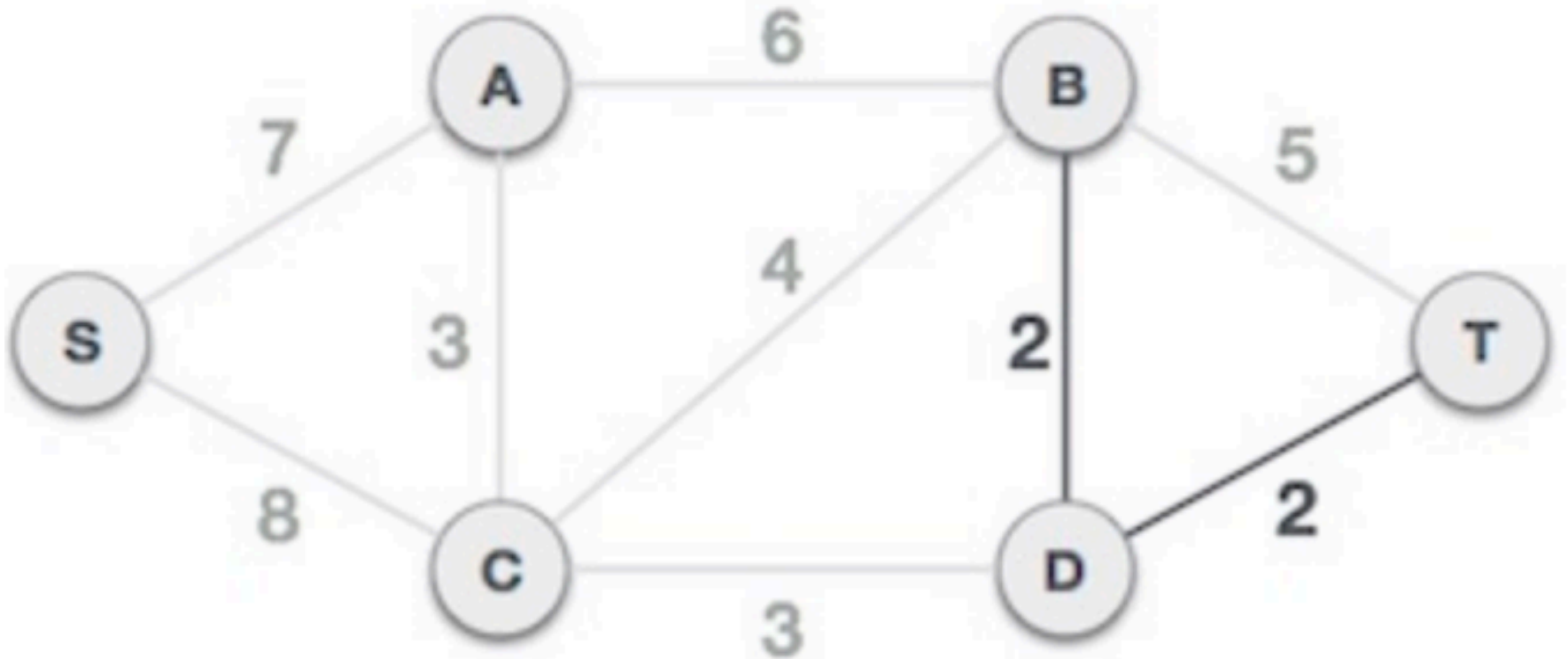
- If we start with  $n$  nodes ( $n$  separate trees)**
- Each step we connect two trees**
- Then we need  $(n-1)$  edges to get a single tree**

# Kruskal's MST Example



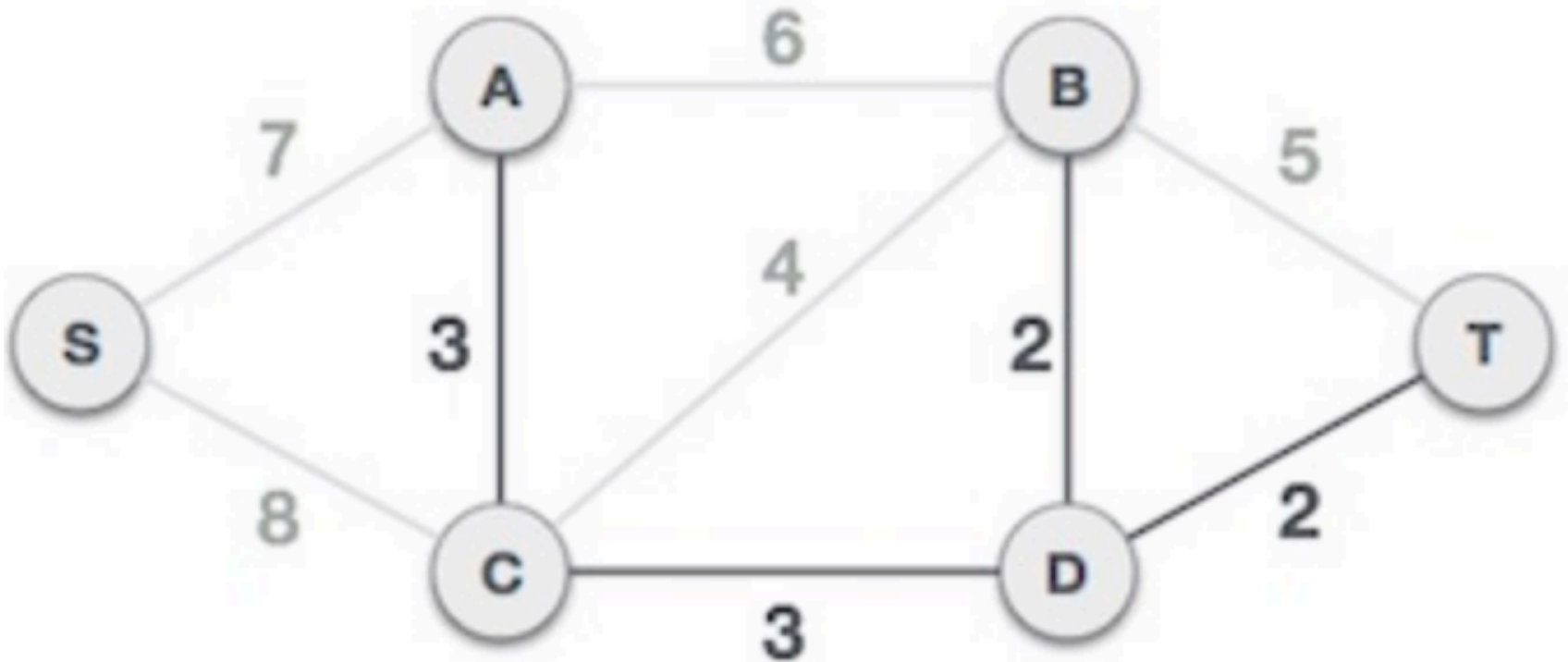
**Find the minimum spanning tree for this graph using Kruskal's method**

# Kruskal's MST Example



- The least cost is 2 and edges involved are B,D and D,T. We add them.
- Adding both these edges does not create loops

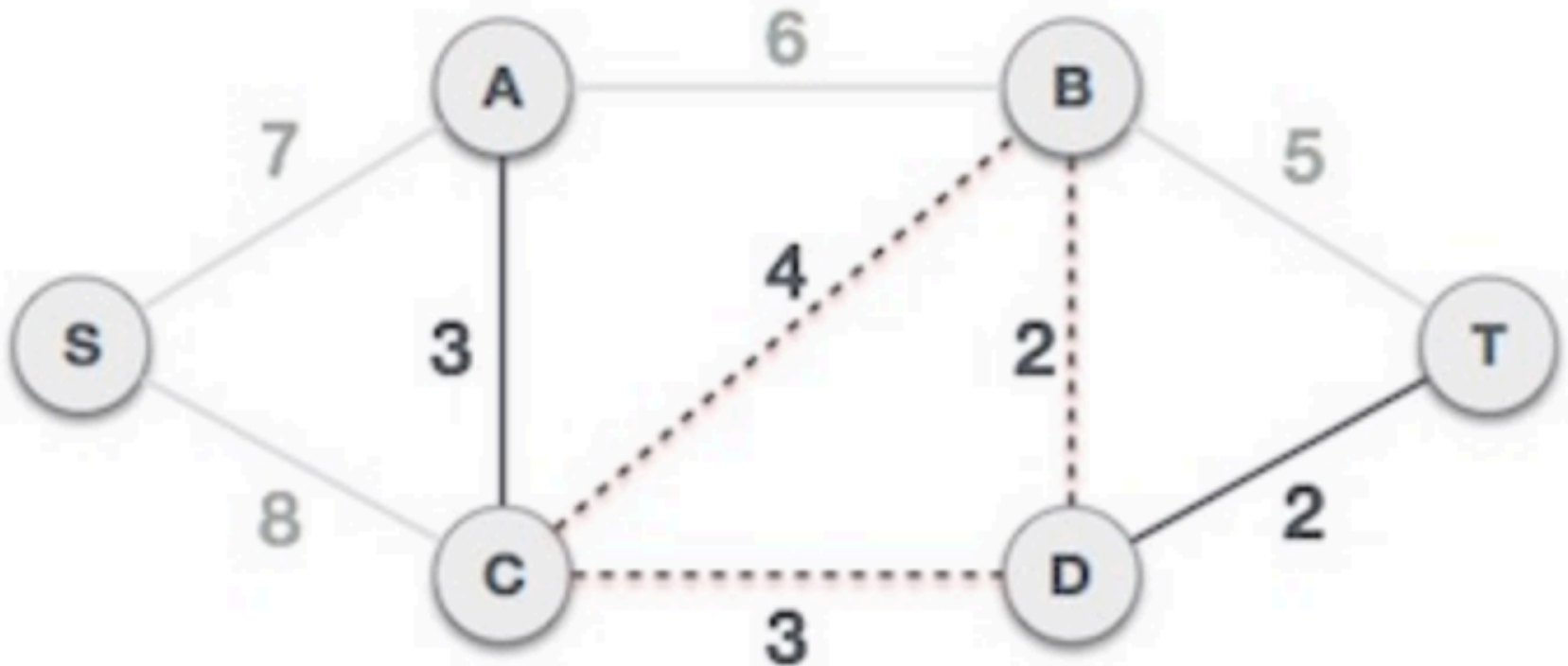
# Kruskal's MST Example



- Next cost is 3, and associated edges are A,C and C,D.
- Adding both edges to MST don't lead to loops

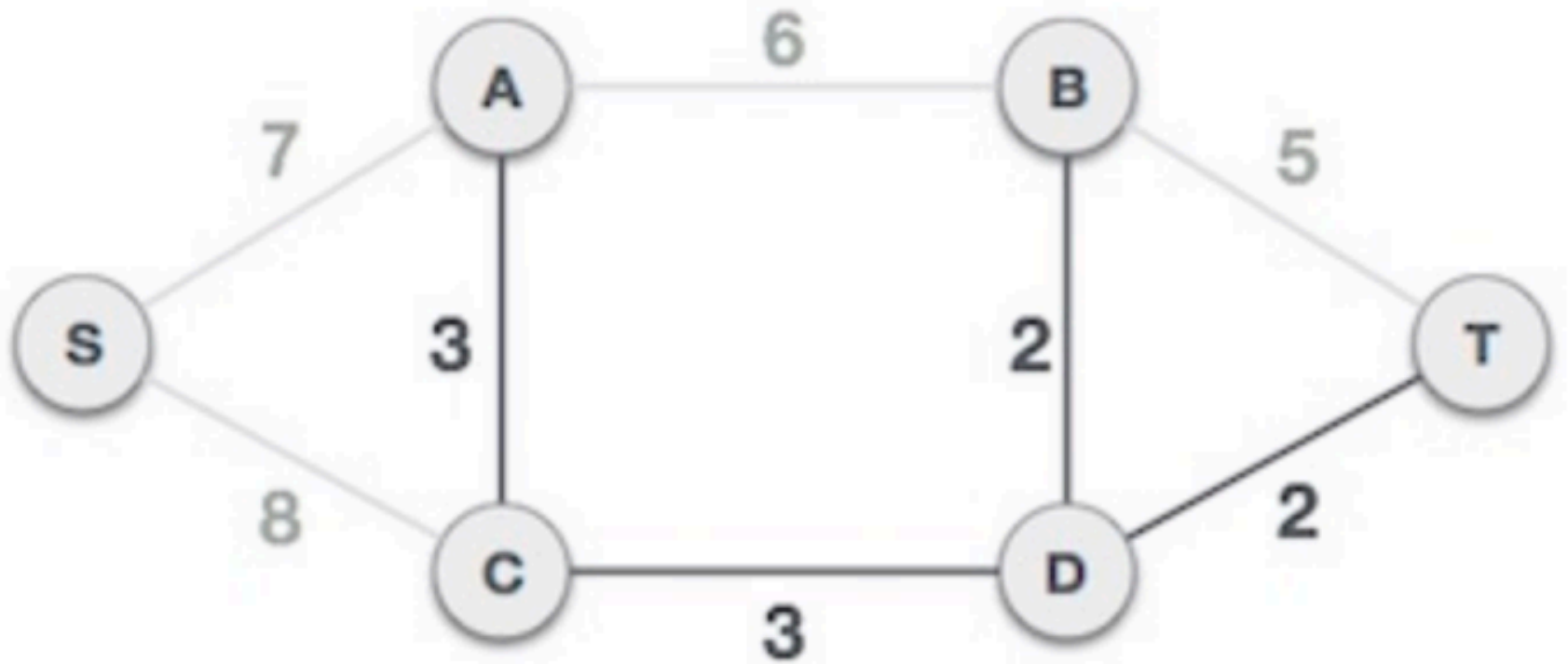


# Kruskal's MST Example



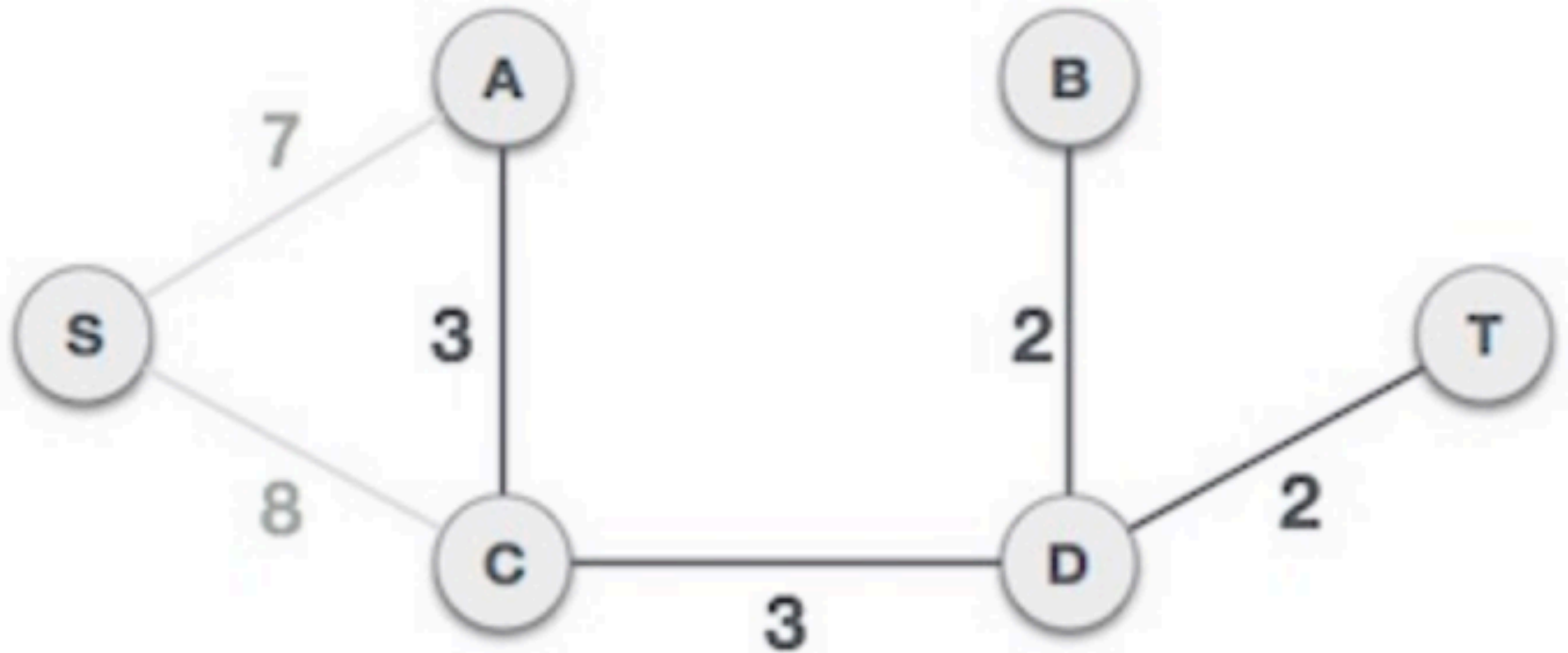
- Next cost in the table is 4, and we observe that adding it will create a loop in the MST
- Ignore edge C,B

# Kruskal's MST Example



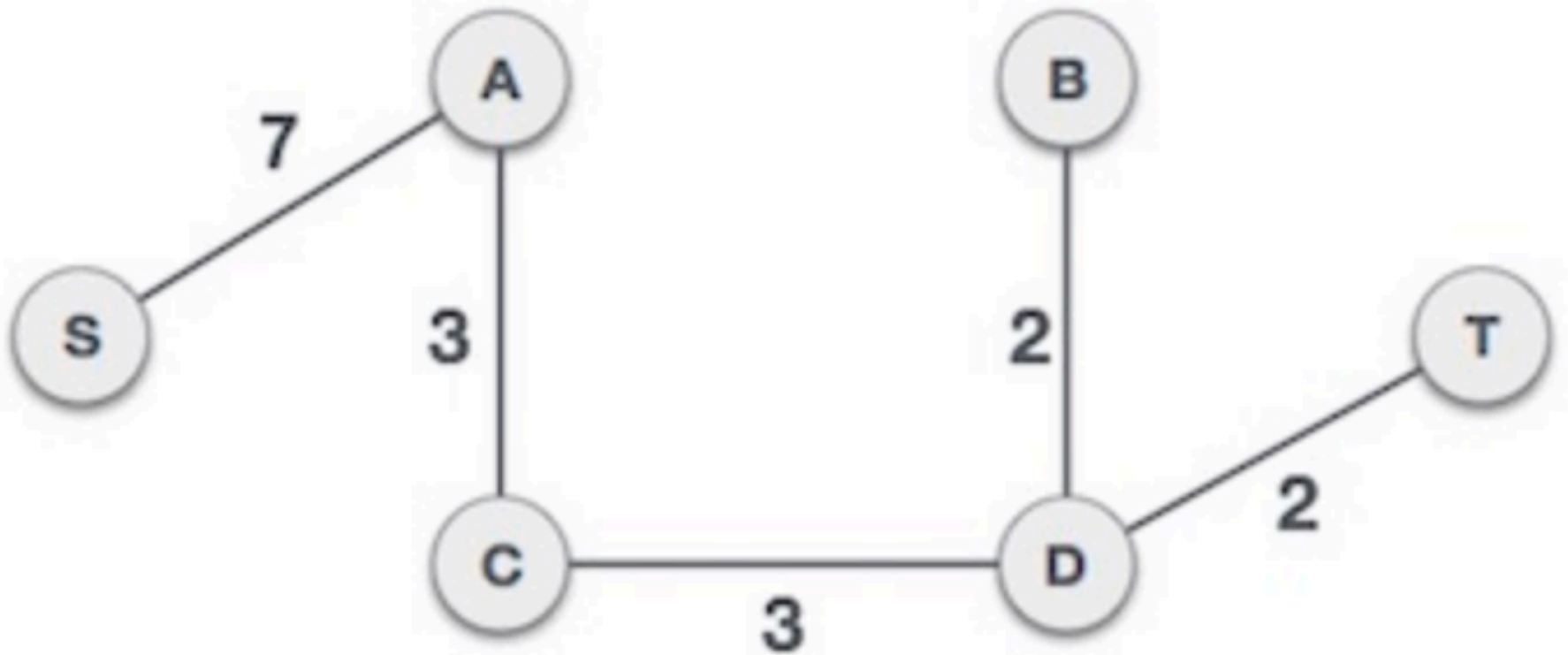
- We observe that edges with cost 5 and 6 also create circuits. We ignore them and move on.

# Kruskal's MST Example



- Now we are left with only one node to be added.
- Between the two least cost edges available 7 and 8, we shall add the edge with cost 7.

# Kruskal's MST Example



COST = 17

# Priority Queues (Recap)

# Priority Queues

- A **heap-based** way for prioritizing things
  - It's called Queues, but it's implemented using a HEAP
- A queue where we add objects, each with a value ("priority").
- Priority queues are very common for *job scheduling*
- Two Types:
  - **Max-Priority Queue** ← we use MAX-HEAPS
  - **Min-Priority Queue** ← we use MIN-HEAPS

# Operations on Priority Queues

(Assume MIN-HEAP Priority Queue)

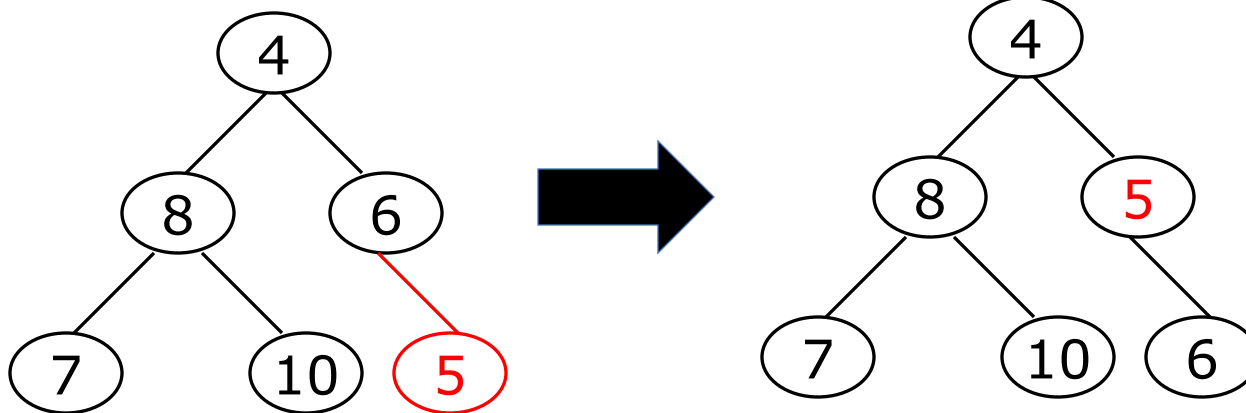
- 1 → **Add** a **new** object with priority K
- 2 → **Return/Extract** the object with the **lowest** priority
- 3 → **Remove** the object with the **lowest** priority
- 4 → **Decrease** the **priority** of object O

Heap data structure can implement all these operations efficiently

# 1- Add New Object With Priority 5

- Add the object to the heap
- Check parent and move node **upward iteratively**

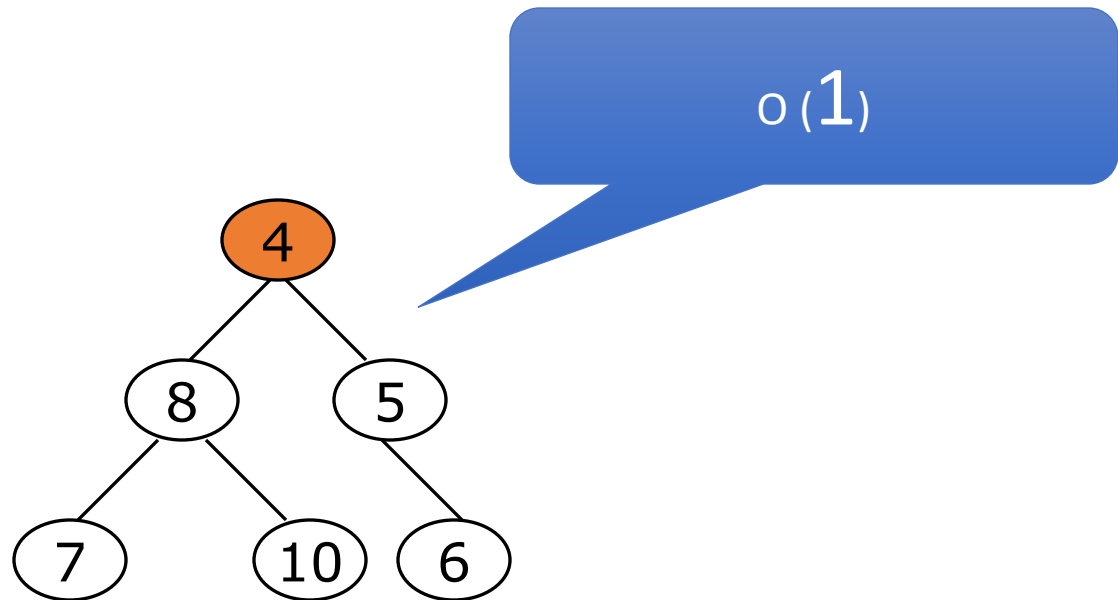
$O(\log n)$





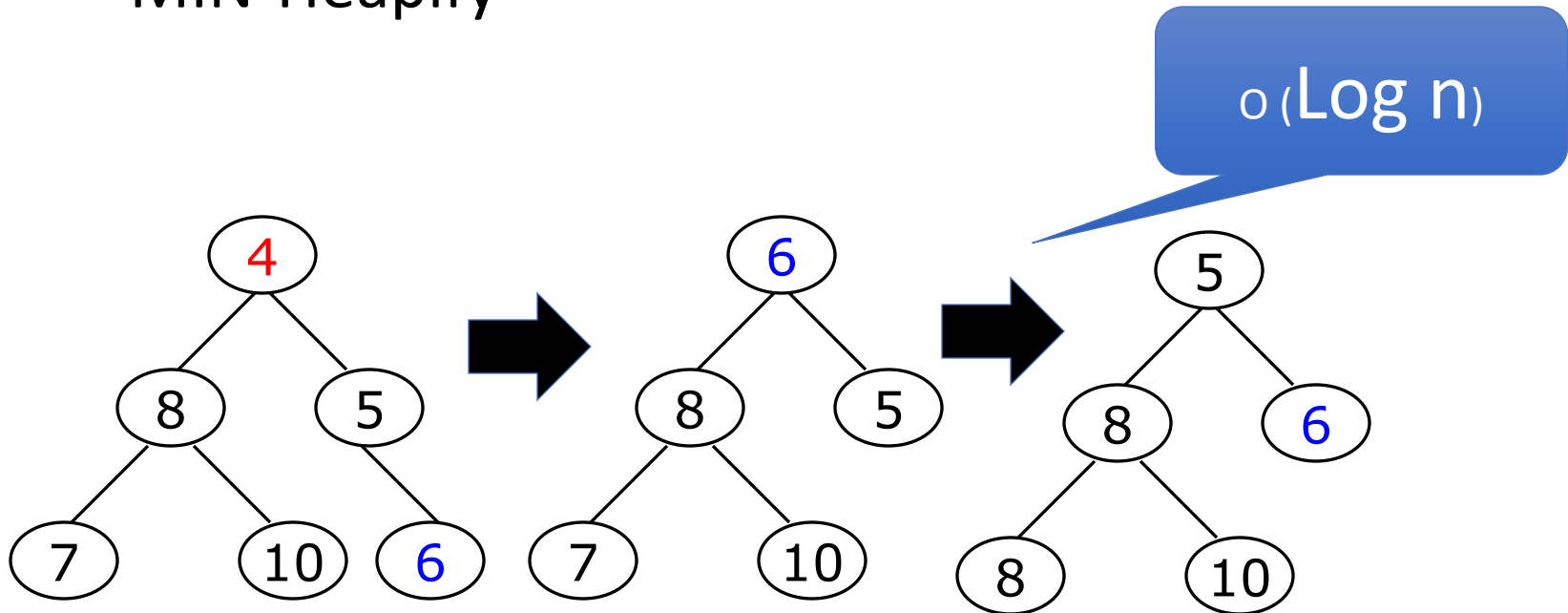
## 2- Return/Extract the Lowest-Priority Object

- Return the root of the tree
- Same as: Return the first element in the Heap array
- In our example, return 4



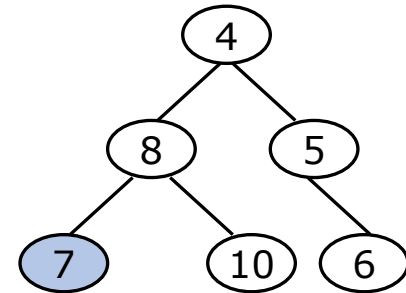
# 3- Remove the Lowest-Priority Object

- Remove the root of the tree
- Replace it with the lowest right-most object
- MIN-Heapify

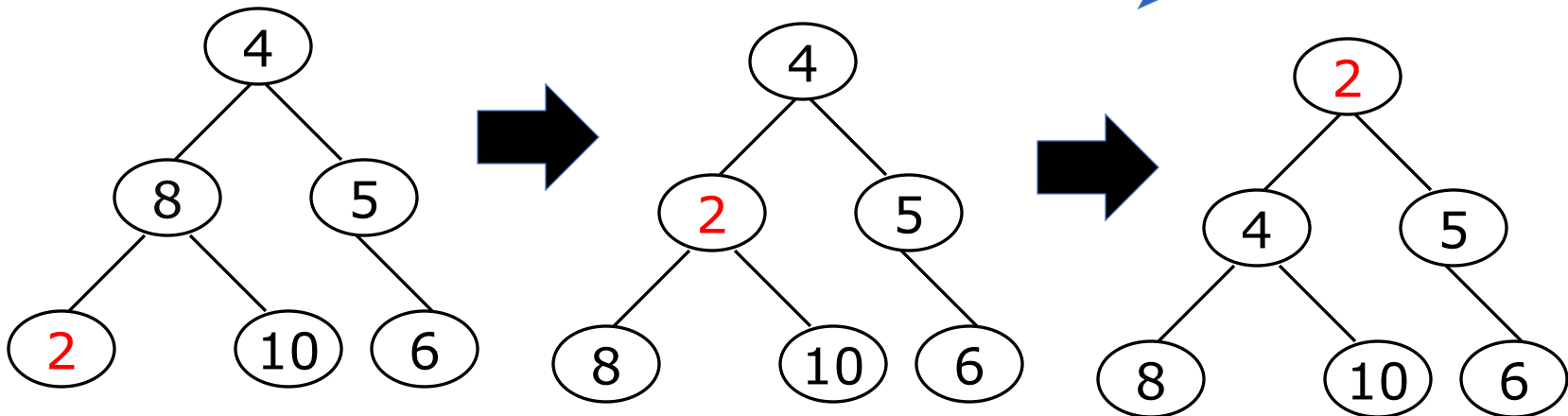


# 4- Decrease the Priority of Object 0

- Change 7 to 2
- Check parent and move node **upward iteratively**



$O(\log n)$



# Graph Asymptotic Growth Rates

# More Asymptotic Growth Rates

- A graph  $G$  has two elements
  - $V$  – vertices
  - $E$  – edges
- Complexity of graph algorithms is usually expressed in terms of a function of  $V$  and  $E$
- So it's good to have an intuition for the **relative run-time complexity** seen in graph algorithms

# Order the following (in the worst case)

- **$O(E)$ ,  $O(EV)$ ,  $O(E^2)$ ,  $O(V^2)$ ,  $O(V)$**

- **$O(V) < [O(E) \sim O(V^2)] < O(EV) < O(E^2)$**
- Observation:
  - $E = V^2 - V/2$ . Therefore  $V < E$  and  $O(V^2) < O(E^2)$
  - However,  $O(E) \sim O(V^2)$
  - $O(EV) \sim O(V^3)$ ,  $O(E^2) \sim O(V^4)$ , therefore  $O(EV) < O(E^2)$ 
    - $\sim$  is the symbol for equivalence here
  - $O(E) < O(EV)$ , of course.

- **$O(EV)$ ,  $O(V \log V)$ ,  $O(E \log E)$ ,  $O(E \log V)$ ,  $O(E+V)$**

- **$O(V \log V) < O(E+V) < [O(E \log E) \sim O(E \log V)] < O(EV)$**
- Observation:
  - $O(E+V) \sim O(V^2)$ . Therefore,  $O(V \log V) < O(E+V)$
  - $O(E+V) \sim O(E)$ . Therefore,  $O(E+V) < O(E \log E)$
  - $O(E \log E) \sim O(E \log V)$ . Since  $E = O(V^2)$ , then  $O(\log E) = O(2 \log V) = O(\log V)$

# Order the following (in the worst case)

- So what's the relationship between
- $\{O(V) < [O(E) \sim O(V^2)]\}$  and  $\{O(V \log V) < O(E+V) < [O(E \log E) \sim O(E \log V)]\}$ 
  - $O(V) < O(V \log V) < [O(E) \sim O(V^2) \sim O(E+V)] < [O(E \log E) \sim E (\log V)]$
  - Observation:
    - $E \sim V^2$ . Therefore  $O(V \log V) < O(E)$
    - $O(V) < O(V \log V)$  just like  $O(n) < O(n \log n)$
    - $O(E+V) \sim O(E)$  and  $O(E+V) \sim O(V^2)$

# Overall Ordering (in the worst case)

$$[O(\log E) \sim O(\log V)] < O(V) < O(V \log V) < \underline{[O(E) \sim O(V^2) \sim O(E+V)]} < \underline{[O(E \log E) \sim O(E \log V)]} < O(EV) < O(E^2)$$

$O(E \log E)$  and  $O(E \log V)$  are equivalent as  $O(\log E)$  and  $O(\log V)$  are equivalent  
 $O(E)$ ,  $O(V^2)$ , and  $O(E+V)$  are equivalent



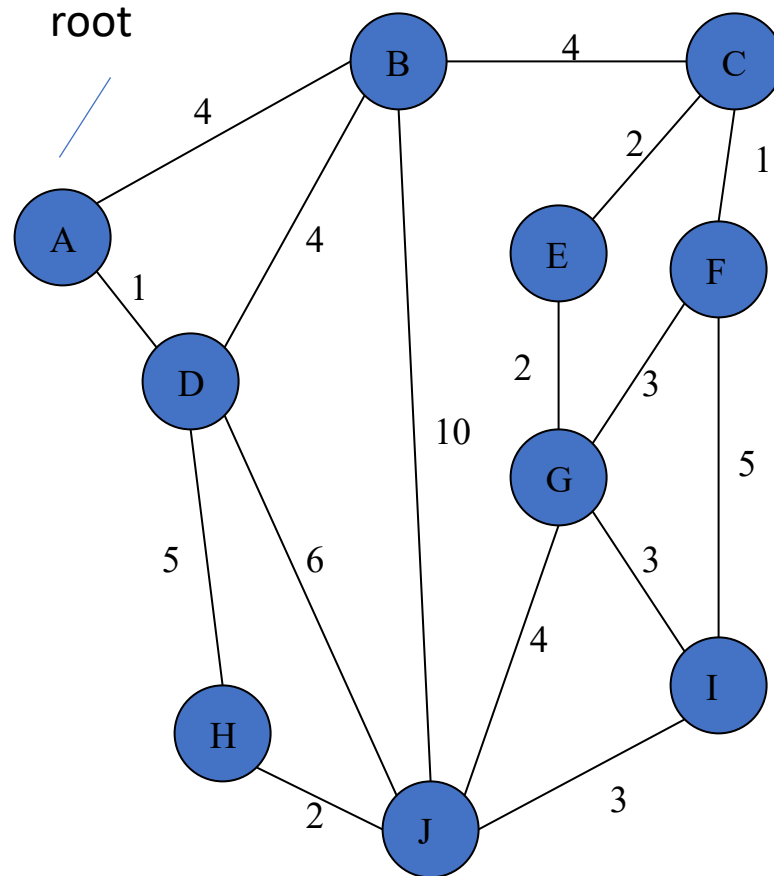
# Back to MST: Prim's Algorithm

# Prim's Algorithm

- 1) Assign a key value to all vertices in the input graph. Initialize all **key values as INFINITE**.
- 2) Assign **key value as 0 for the first vertex** so that it is picked first and call it ROOT
  - a) The **parent** of the root is NIL
- 3) Add all nodes into a MIN-HEAP Priority Queue  $\rightarrow$  Q
- 4) While Q not empty
  - ....a) Pick a vertex  $u$  that has **minimum key value (slide 18)**
  - ....b) Include  $u$  to mstSet (set that lists MST).
  - ....c) Update key value of **all adjacent vertices ( $v$ )** of  $u$  (which is not its parent).
    - For every adjacent vertex  $v$ , if weight of edge  $u-v$  is **less** than the previous key value of  $v$ , update the key value as weight of edge  $u-v$
    - *Make  $u$  the **parent** of  $v$*
    - **Update the priority Q (slide 20)** (i.e., min heap as we have new weights)
  - ... d) Remove  $u$  from Q

The greedy choice

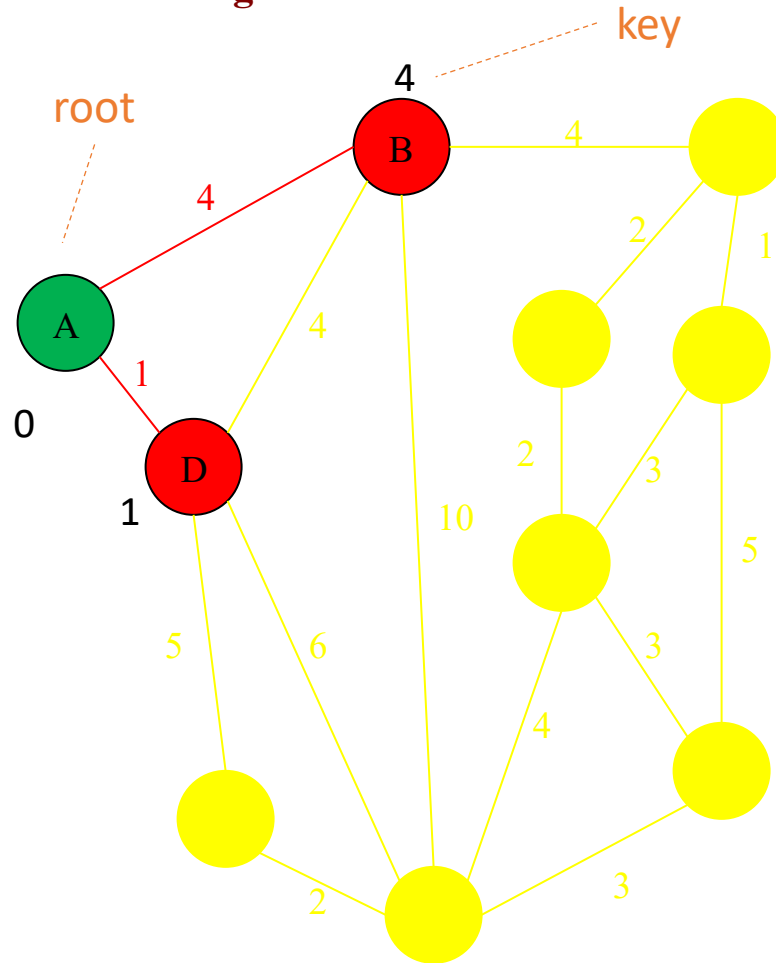
# Prim's Algorithm Example



$mstSet = \{NULL\}$

# Prim's Algorithm Example

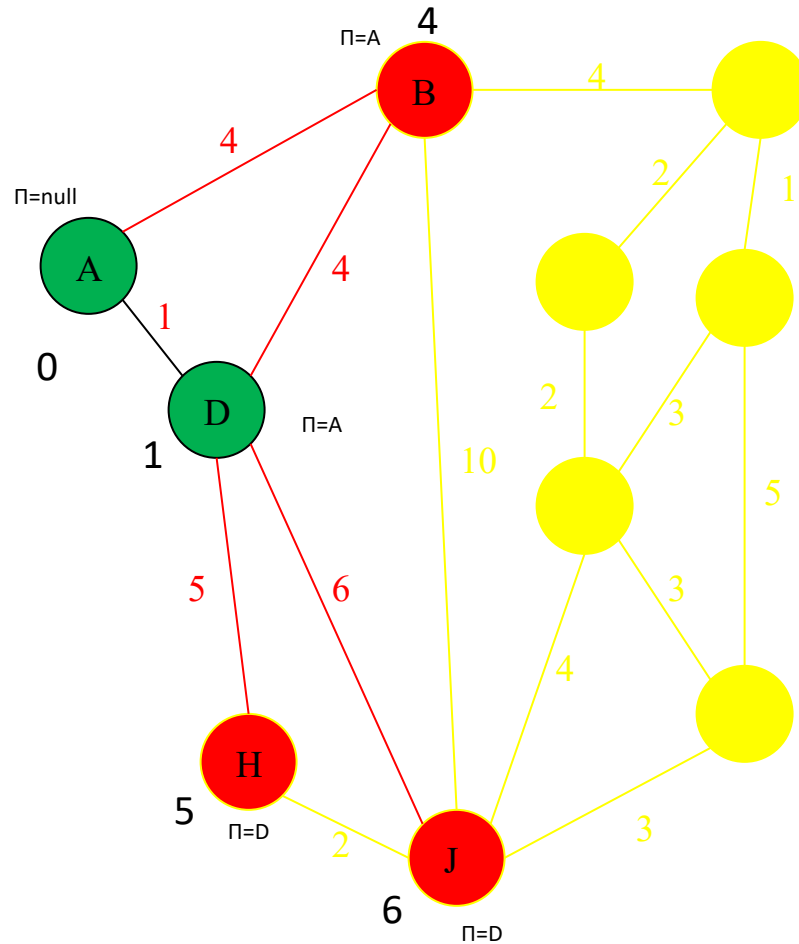
- Think of the yellow nodes as cost  $\infty$  (not valid)
- Only the red ones are being considered



$mstSet = \{A\}$

# Prim's Algorithm Example

- Think of the yellow nodes as cost  $\infty$  (not valid)
- Only the red ones are being considered

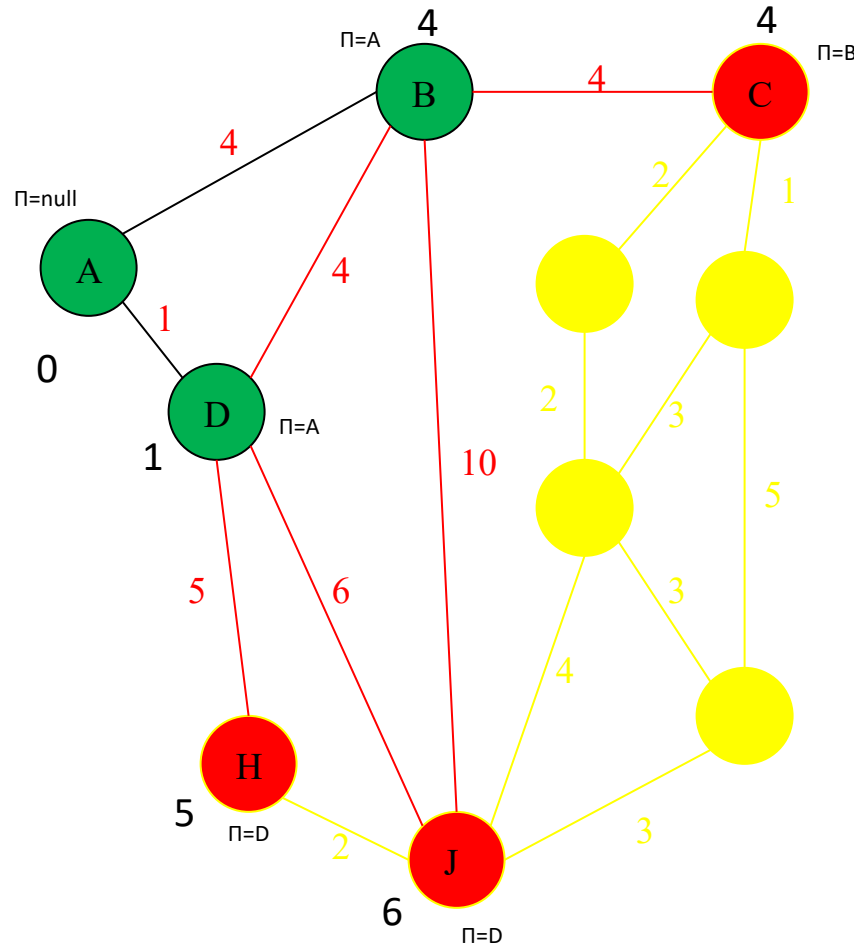


$mstSet = \{A, D\}$

The symbol  $\Pi$  is the parent of the vertex

# Prim's Algorithm Example

- Think of the yellow nodes as cost  $\infty$  (not valid)
- Only the red ones are being considered

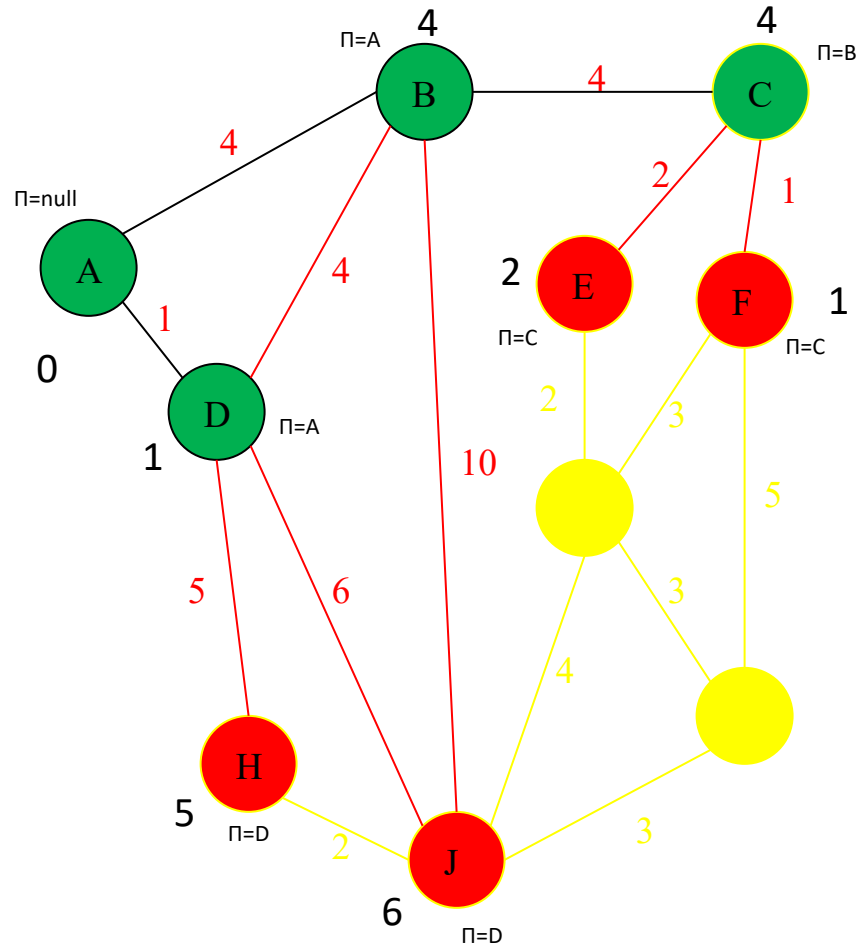


$mstSet = \{A, D, B\}$

The symbol  $\Pi$  is the parent of the vertex

# Prim's Algorithm Example

- Think of the yellow nodes as cost  $\infty$  (not valid)
- Only the red ones are being considered

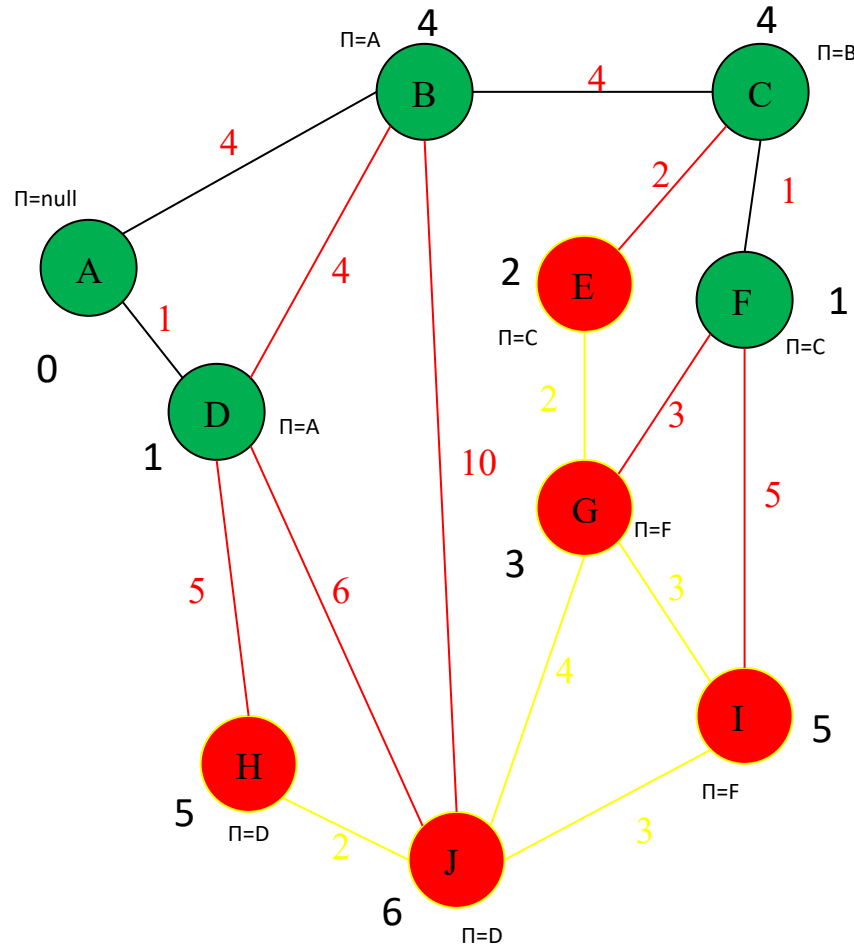


$mstSet = \{A, D, B, C\}$

The symbol  $\Pi$  is the parent of the vertex

# Prim's Algorithm Example

- Think of the yellow nodes as cost  $\infty$  (not valid)
- Only the red ones are being considered



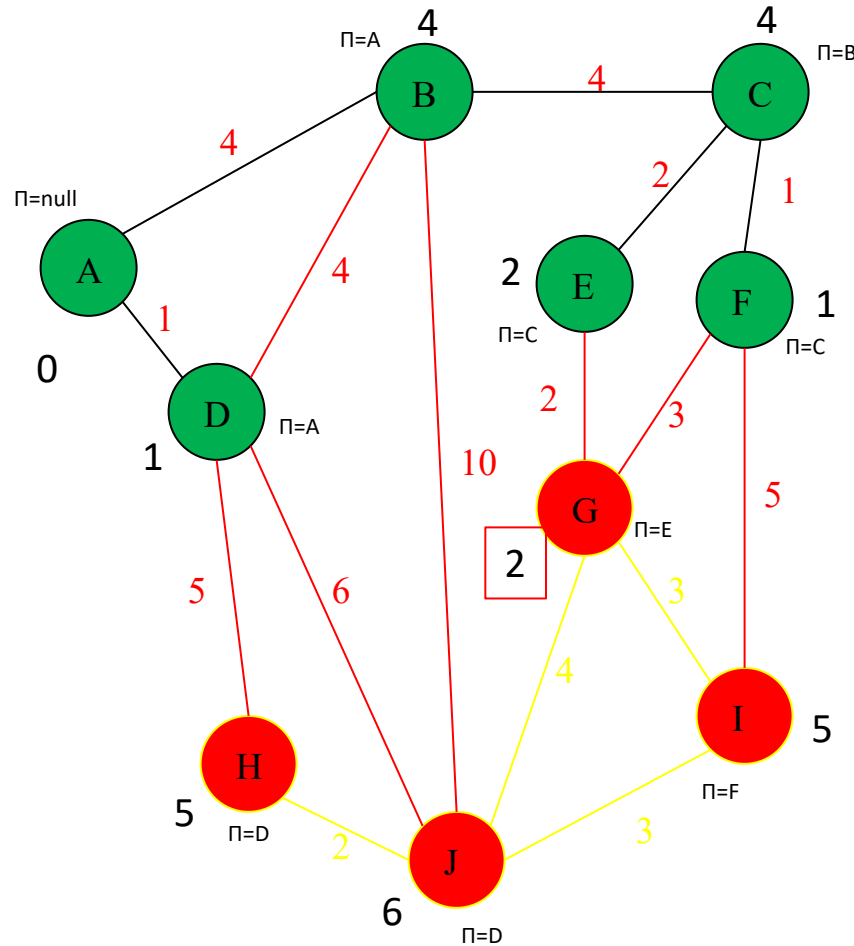
$mstSet = \{A, D, B, C, F\}$

The symbol  $\Pi$  is the parent of the vertex



# Prim's Algorithm Example

- Think of the yellow nodes as cost  $\infty$  (not valid)
- Only the red ones are being considered

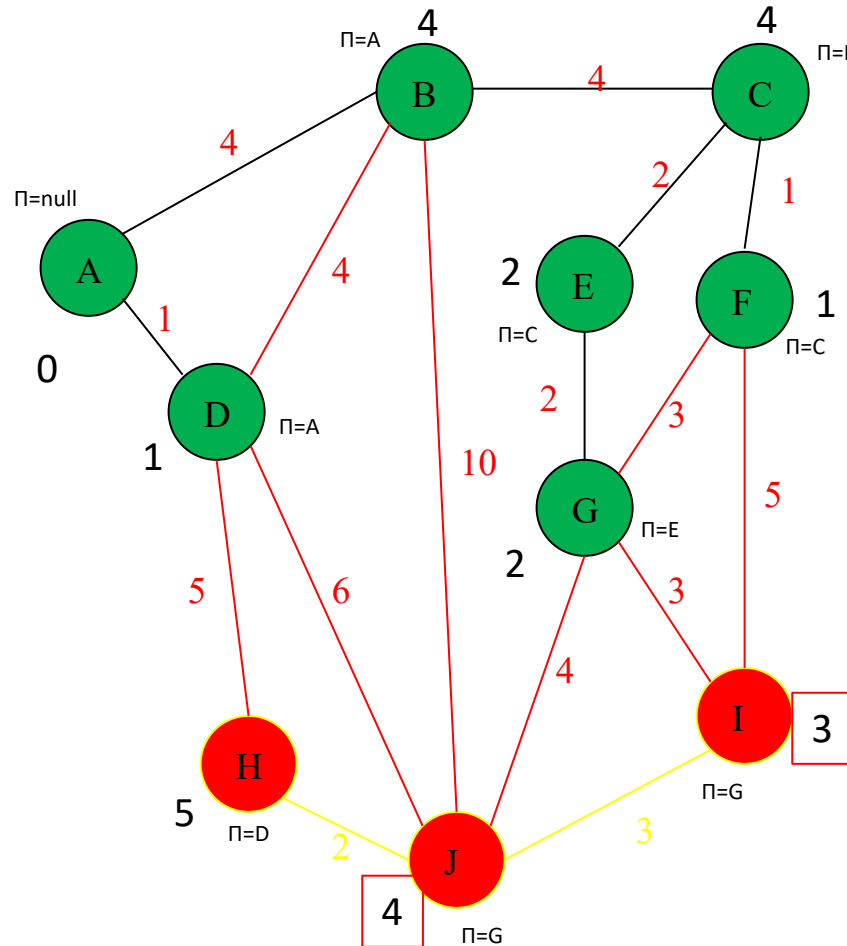


$mstSet = \{A, D, B, C, F, E\}$

The symbol  $\Pi$  is the parent of the vertex

# Prim's Algorithm Example

- Think of the yellow nodes as cost  $\infty$  (not valid)
- Only the red ones are being considered

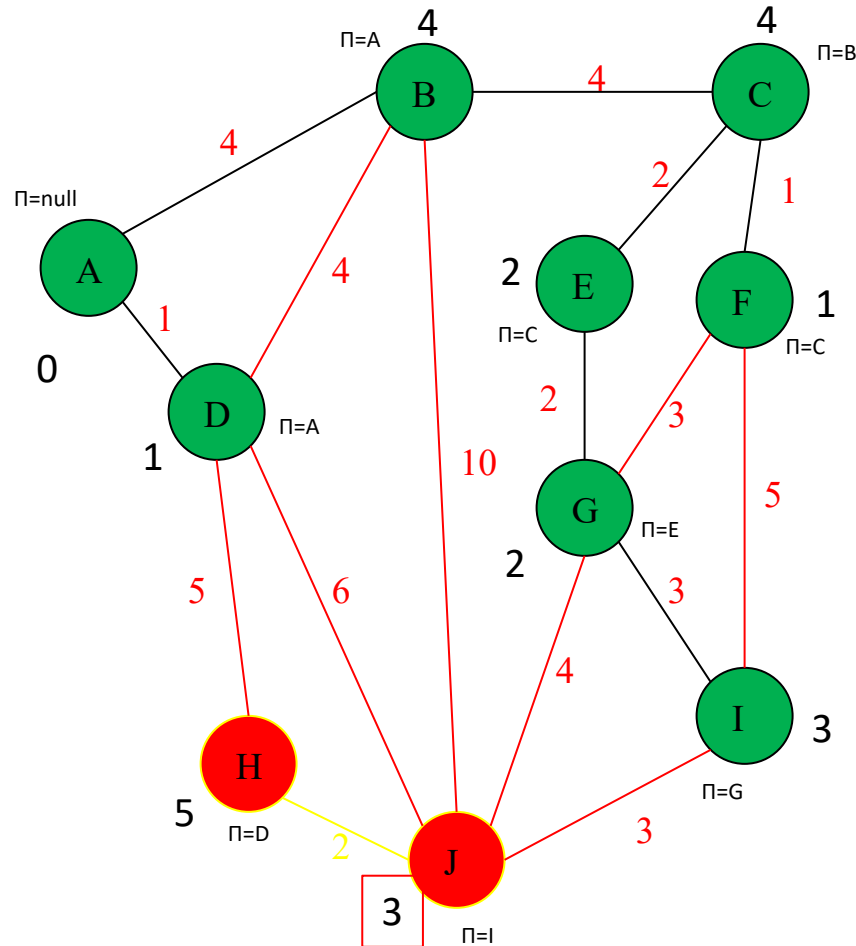


$mstSet = \{A, D, B, C, F, E, G\}$

The symbol  $\Pi$  is the parent of the vertex

# Prim's Algorithm Example

- Think of the yellow nodes as cost  $\infty$  (not valid)
- Only the red ones are being considered



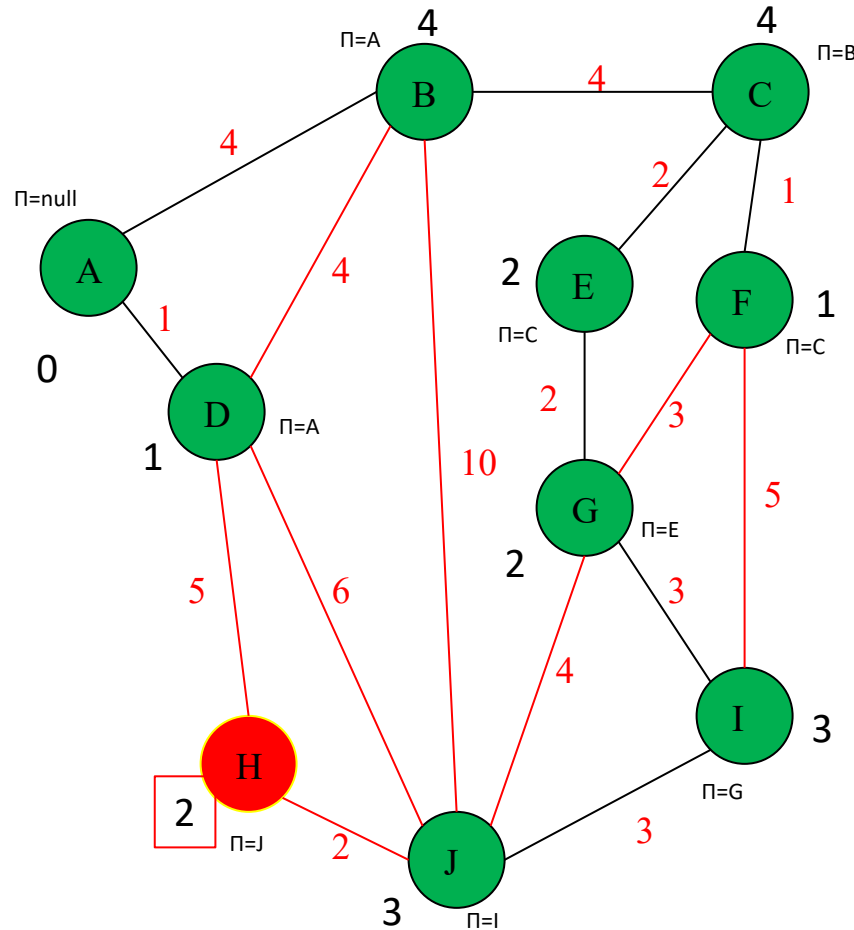
$mstSet = \{A, D, B, C, F, E, G, I\}$

The symbol  $\Pi$  is the parent of the vertex

# Prim's Algorithm Example

-Think of the yellow nodes as cost  $\infty$  (not valid)

-Only the red ones are being considered

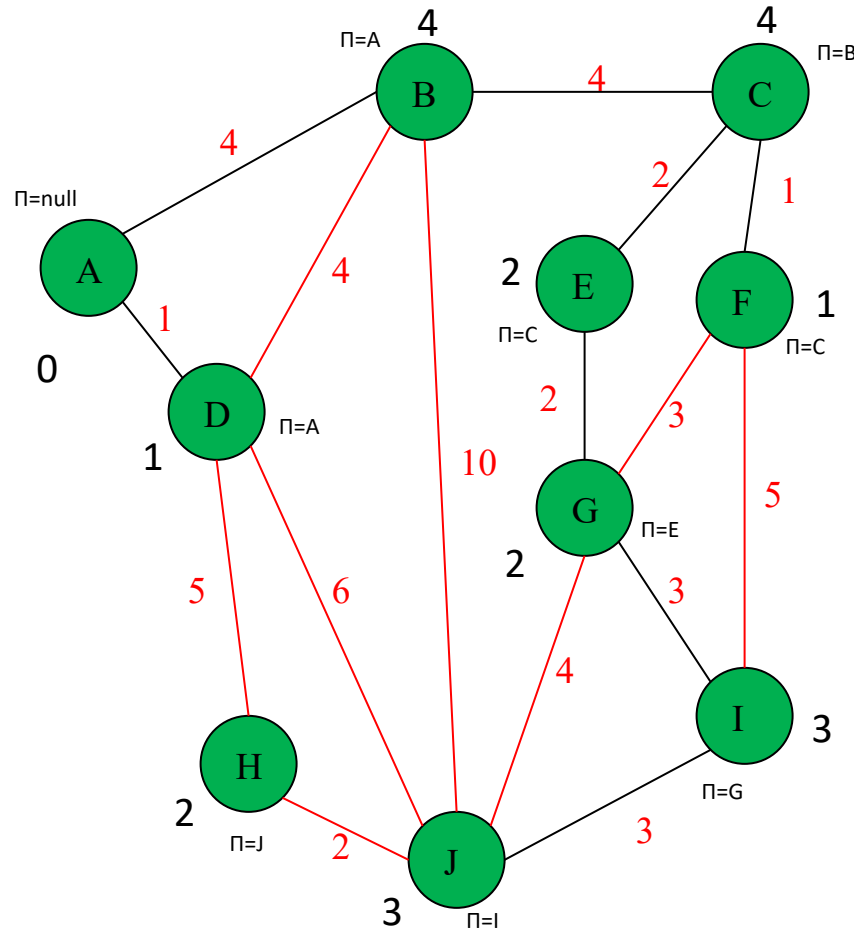


$mstSet = \{A, D, B, C, F, E, G, I, J\}$

The symbol  $\Pi$  is the parent of the vertex

# Prim's Algorithm Example

- Think of the yellow nodes as cost  $\infty$  (not valid)
- Only the red ones are being considered

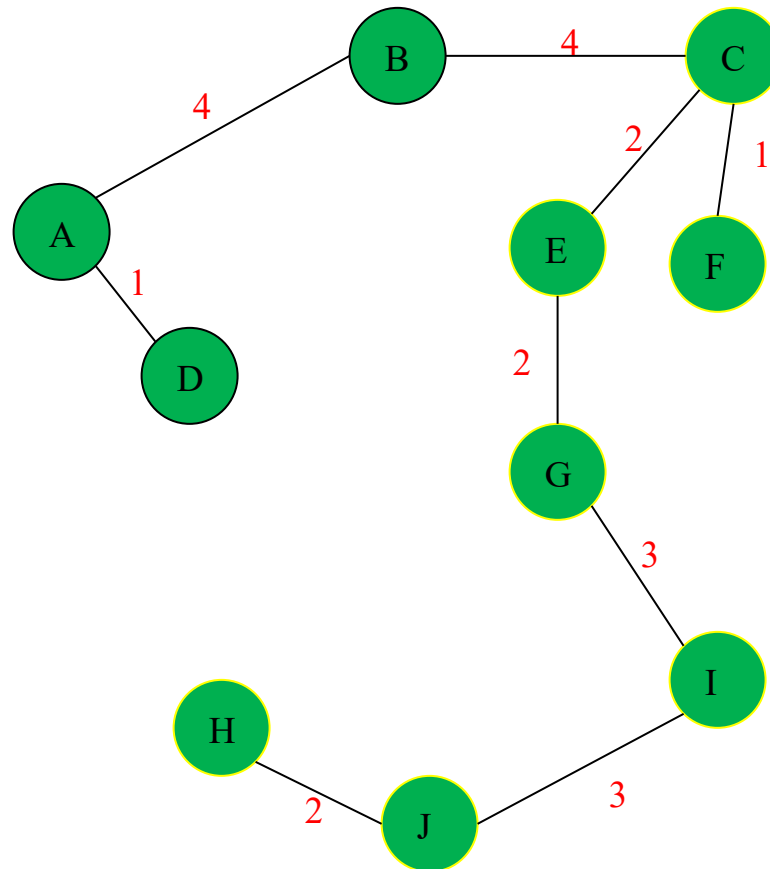


$mstSet = \{A, D, B, C, F, E, G, I, J, H\}$

The symbol  $\Pi$  is the parent of the vertex

# Prim's Algorithm Example

- Think of the yellow nodes as cost  $\infty$  (not valid)
- Only the red ones are being considered



Shows the order in which vertices added to the MST

Of course this set should have all the vertices in the graph

$mstSet = \{A, D, B, C, F, E, G, I, J, H\}$

**Total cost = 22**

Kruskal's and Prim's need not produce the same MST but cost will be the same

# Prim's Algorithm

1:  $O(V)$

- 1) Assign a key value to all vertices in the input graph. Initialize all **key values as INFINITE**.

2:  $O(1)$

- 2) Assign **key value as 0 for the first vertex** so that it is picked first and call it **ROOT**
  - a) The **parent** of the root is NIL

3:  $O(V \log V)$

- 3) Add all nodes into a MIN-HEAP Priority Queue  $\rightarrow Q$

The loop repeats  $V$  times

- 4) While  $Q$  not empty

....a) Pick a vertex  $u$  that has **minimum key value (slide 18)**

....b) Include  $u$  to  $mstSet$  (set that lists MST).

....c) Update key value of **all adjacent vertices ( $v$ )** of  $u$  (*which is not in  $mstSet$* )

- For every adjacent vertex  $v$ , if weight of edge  $u-v$  is **less** than key value of  $v$ , update the key value as weight of edge
- Make  $u$  the **parent** of  $v$
- **Update the priority  $Q$  (slide 20)** (i.e., min heap as we have new weights)

4a:  $O(\log V)$ .

4(a) is called  **$V$  times in the loop.**  
**Total cost =  $O(V \log V)$**

4b:  $O(1)$ .

... d) Remove  $u$  from  $Q$

4(c):  $O(\log V)$

4(c): Has to update for each edge in the graph.  
**Total cost =  $O(E \log V)$**

# Prim's Algorithm

1:  $O(V)$

- 1) Assign a key value to all vertices in the input graph. Initialize all **key values as INFINITE**.

2:  $O(1)$

- 2) Assign **key value as 0 for the first vertex** so that it is picked first and call it **ROOT**  
a) The **parent** of the root is NIL

3:  $O(V \log V)$

- 3) Add all nodes into a MIN-HEAP Priority Queue  $\rightarrow Q$

4) **Total:  $O(V) + O(1) + O(V \log V) + O(V \log V) + O(1) + O(E \log V) \rightarrow O(E \log V)$**

- ...c) Update key value of **all adjacent vertices (v)** of *u* (*which is not in Q*)  
  - For every adjacent vertex *v*, if weight of edge *u-v* is **less** than key value of *v*, update the key value as weight of edge
  - Make *u* the **parent** of *v*
  - Update the priority Q (slide 20)** (i.e., min heap as we have new weights)

4(a) is called **V times in the loop.**  
Total cost =  $O(V \log V)$

4b:  $O(1)$ .

- ... d) Remove *u* from *Q*

4(c):  $O(\log V)$

4(c): Has to update for each edge in the graph.  
Total cost =  $O(E \log V)$



# Algorithms for Obtaining the Minimum Spanning Tree

---

✓ **Kruskal's Algorithm**

✓ **Prim's Algorithm**

**Both of these are Greedy Algorithms**



*That's all Folks!*  
*Any Question?*