

HW5

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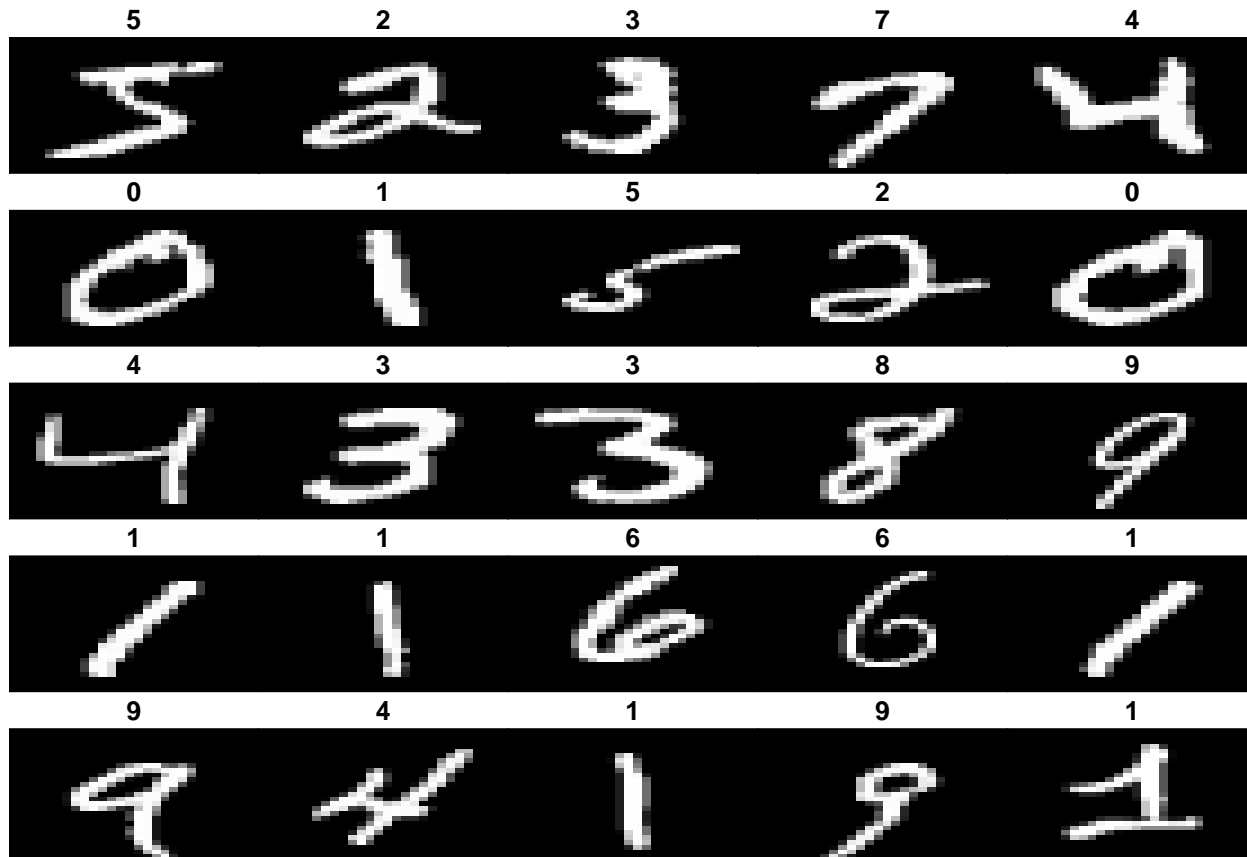
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Question 2

The dataset is from **MNIST database**. It contains handwritten digits. We will use the training dataset to train the model and get models using **SVM** and **Neural Network** methods respectively.

Get some basic description of the dataset.

```
par(mfcol=c(5,5))
par(mar=c(0, 0, 1.5, 0), xaxs='i', yaxs='i')
for (i in 1:25) {
  train <- x_train[i, , ]
  img <- t(apply(train, 2, rev))
  image(1:28, 1:28, img, col = gray((0:255)/255), xaxt = 'n', yaxt = 'n',
        main = paste(y_train[i]))
}
```



Preprocess the data.

```
x_train <- x_train / 255
x_test <- x_test / 255

x_train.1 <- matrix(x_train, dim(x_train)[1], prod(dim(x_train)[2:3]))
x_test.1 <- matrix(x_test, dim(x_test)[1], prod(dim(x_test)[2:3]))

train_labels = as.factor(y_train)
test_labels = as.factor(y_test)

train_dat = data.frame(train_labels[1:1000], x_train.1[1:1000,])
colnames(train_dat)[1] = "labels"

test_dat = data.frame(test_labels, x_test.1)
colnames(test_dat)[1] = "labels"
```

Train the SVM model and get the best model as: $\gamma = 0.1$, $\text{cost} = 0.1$, $\text{degree} = 1$, $\text{kernel} = \text{polynomial}$.

```
library(e1071)
set.seed(123)
tc = tune.control(cross=5)
tune.out = tune(svm, labels~., data=train_dat, ranges=list(gamma = c(0.1,0.5), degree=c(1,2,3), cost=c(0.1,
#tune.out = tune(svm, labels~., data=train_dat, kernel="radial")
summary(tune.out)
```

```
##
## Parameter tuning of 'svm':
##
## - sampling method: 5-fold cross validation
##
## - best parameters:
##   gamma degree cost      kernel
##   0.1      2   0.1 polynomial
##
## - best performance: 0.086
##
## - Detailed performance results:
##   gamma degree cost      kernel error dispersion
## 1    0.1      1   0.1 polynomial 0.112 0.01151086
## 2    0.5      1   0.1 polynomial 0.106 0.01193734
## 3    0.1      2   0.1 polynomial 0.086 0.01294218
## 4    0.5      2   0.1 polynomial 0.088 0.01440486
## 5    0.1      3   0.1 polynomial 0.111 0.01635543
## 6    0.5      3   0.1 polynomial 0.111 0.01635543
## 7    0.1      1   1.0 polynomial 0.105 0.01837117
## 8    0.5      1   1.0 polynomial 0.108 0.02079663
## 9    0.1      2   1.0 polynomial 0.088 0.01440486
## 10   0.5      2   1.0 polynomial 0.088 0.01440486
## 11   0.1      3   1.0 polynomial 0.111 0.01635543
## 12   0.5      3   1.0 polynomial 0.111 0.01635543
## 13   0.1      1   0.1      radial 0.838 0.06467225
## 14   0.5      1   0.1      radial 0.901 0.01635543
```

```
## 15 0.1 2 0.1 radial 0.838 0.06467225
## 16 0.5 2 0.1 radial 0.901 0.01635543
## 17 0.1 3 0.1 radial 0.838 0.06467225
## 18 0.5 3 0.1 radial 0.901 0.01635543
## 19 0.1 1 1.0 radial 0.291 0.07948270
## 20 0.5 1 1.0 radial 0.833 0.03271085
## 21 0.1 2 1.0 radial 0.291 0.07948270
## 22 0.5 2 1.0 radial 0.833 0.03271085
## 23 0.1 3 1.0 radial 0.291 0.07948270
## 24 0.5 3 1.0 radial 0.833 0.03271085
```

```
test_pred = predict(tune.out$best.model, newdata = test_dat)
table(test_pred, test_dat$labels)
```

```
##
## test_pred  0  1  2  3  4  5  6  7  8  9
##           0 940  0 13  1  1  4  9  0 21  8
##           1  1 1104  4  8  3 12  5 21  5  6
##           2  4  2  943 23  3  4 14 18 11  4
##           3  0  1  5  824 0 18  0  9 19  6
##           4  2  1 15  0  887 7 11 12 14 35
##           5 18  2  5  77  0 802 24  1 35  8
##           6 10  4 12  3 14  9 894  0 11  0
##           7  3  2 22 15  2 10  1 937 12 28
##           8  1 19 11 46  1 17  0  1 815  3
##           9  1  0  2 13 71  9  0 29 31 911
```

```
(acc = 1-sum((test_pred != test_labels)) / dim(test_dat)[1])
```

```
## [1] 0.9057
```

So the accuracy for the best svm selected from the above information is:

2(b)

Build MLP (Multi Layer Perception)

```
load("C:/Users/wenji/Downloads/STATS 503/HW5/mnist.Rdata")
x_train <- x_train / 255
x_test <- x_test / 255
model_fashion <- keras_model_sequential()
```

```
## Warning in normalizePath(path.expand(path), winslash, mustWork): path[1]="C:
## \Users\wenji\AppData\Local\Continuum\anaconda3\envs\rstudio\python.exe": The
## system cannot find the file specified
```

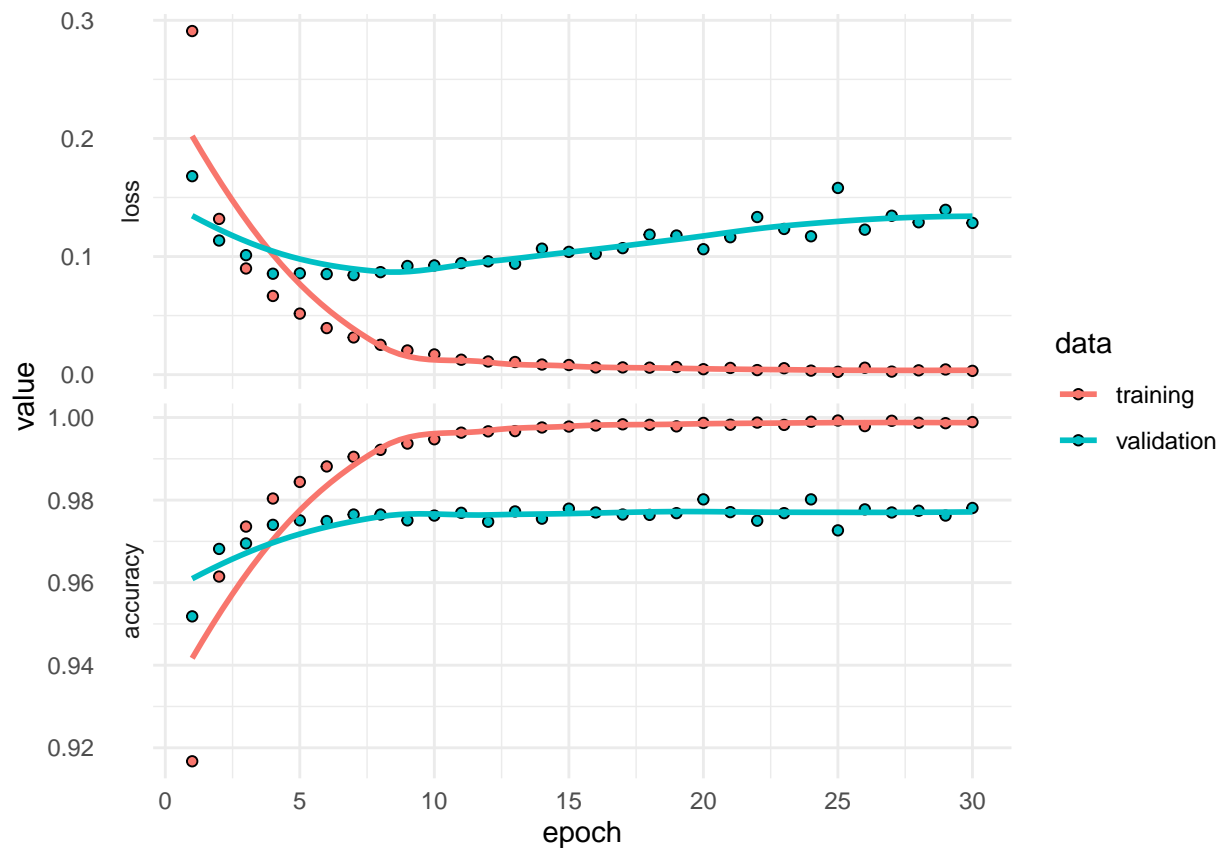
```
model_fashion %>%
  layer_flatten(input_shape = c(28, 28)) %>%
  layer_dense(units = 128, activation = 'relu') %>%
  layer_dense(units = 10, activation = 'softmax')
```

```

model_fashion %>% compile(
  optimizer = 'adam',
  loss = 'sparse_categorical_crossentropy',
  metrics = c('accuracy')
)
MLP.history = model_fashion %>%
  fit(x_train, y_train, epochs = 30,
      validation_split = 0.2, batch_size = 32)
plot(MLP.history) + theme_minimal()

```

```
## `geom_smooth()` using formula 'y ~ x'
```



And we can get the test information as below.

```

score.mlp <- model_fashion %>% evaluate(x_test, y_test)
cat('Test accuracy:', score.mlp$acc, "\n")

```

```
## Test accuracy: 0.9787
```

Build the structure of the **CNN** model:

At first, create the structure of the CNN model.

```

model_fashion.cnn <- keras_model_sequential()
#configuring the Model
model_fashion.cnn %>%
#defining a 2-D convolution layer
  layer_conv_2d(filter=32,kernel_size=c(3,3),
                padding="same",input_shape=c(28,28,1)) %>%
  layer_activation("relu") %>%
  layer_max_pooling_2d(pool_size=c(2,2)) %>%
#another 2-D convolution layer
  layer_conv_2d(filter=32 ,kernel_size=c(3,3)) %>%
  layer_activation("relu") %>%
#Defining a Pooling layer which reduces the dimentions of the
#features map and reduces the computational complexity of the model
  layer_max_pooling_2d(pool_size=c(2,2)) %>%
#dropout layer to avoid overfitting
  layer_dropout(0.25) %>%
#flatten the input
  layer_flatten() %>%
  layer_dense(64) %>%
  layer_activation("relu") %>%
  layer_dropout(0.5) %>%
#output layer-10 classes-10 units
  layer_dense(10) %>%
#applying softmax nonlinear activation function to the output layer
#to calculate cross-entropy
  layer_activation("softmax")

```

Train the model and generate a history plot.

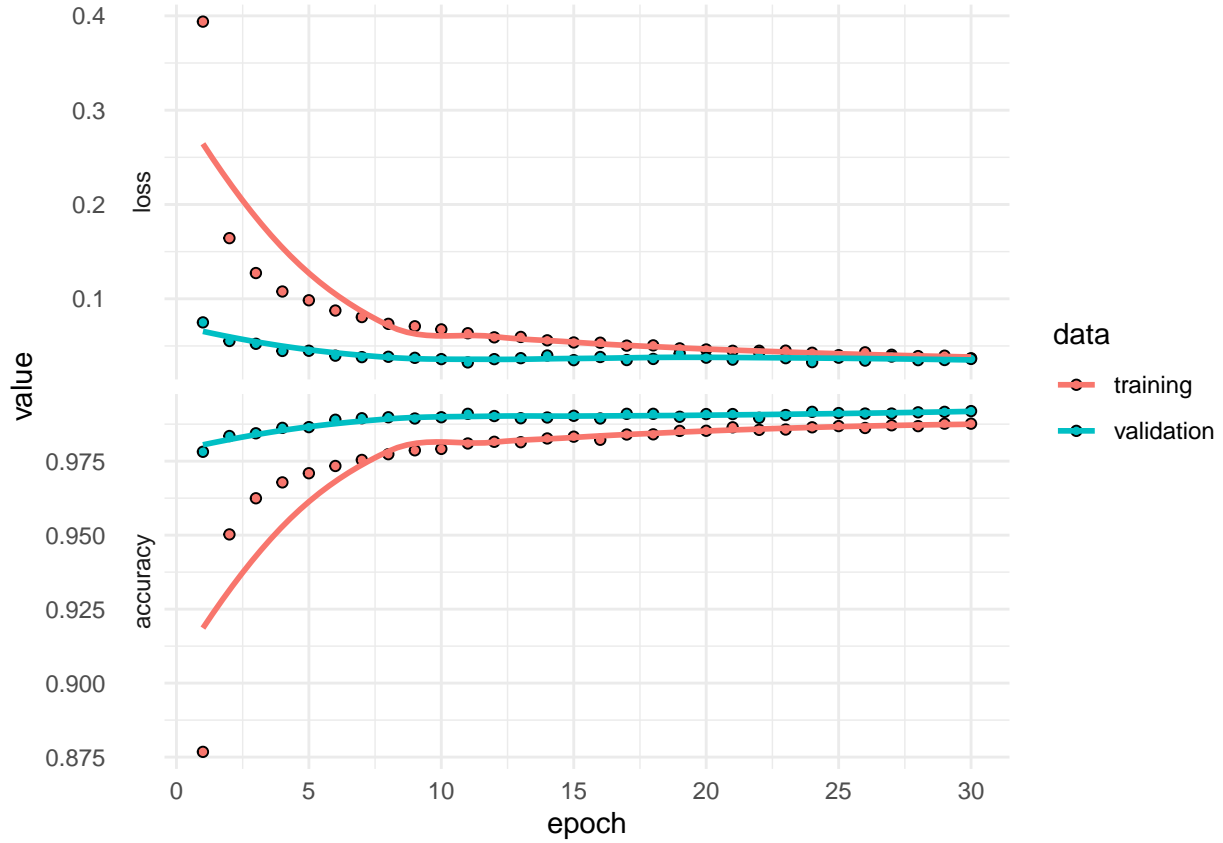
```

train_images.cnn = array(x_train, dim = c(dim(x_train)[1],
                                          dim(x_train)[2], dim(x_train)[3], 1))
test_images.cnn = array(x_test, dim = c(dim(x_test)[1],
                                         dim(x_test)[2], dim(x_test)[3], 1))

model_fashion.cnn %>% compile(
  optimizer = 'adam',
  loss = 'sparse_categorical_crossentropy',
  metrics = c('accuracy')
)
CNN.history = model_fashion.cnn %>%
  fit(train_images.cnn, y_train, epochs = 30,
      validation_split = 0.2, batch_size = 32)
plot(CNN.history) + theme_minimal()

```

```
## `geom_smooth()` using formula 'y ~ x'
```



And then, we can get test accuracy as below.

```
score.cnn <- model_fashion.cnn %>% evaluate(test_images.cnn, y_test)
cat('Test accuracy:', score.cnn$acc, "\n")
```

Test accuracy: 0.9922

To wrap up, we can get a table.

```
table_error = c(acc,
                 score.mlp$acc,
                 score.cnn$acc)
dim(table_error) = c(1,3)
colnames(table_error) = c("SVM", "NN(MLP)", "NN(CNN)")
rownames(table_error) = c("Testing Accuracy")
cap = paste("*Training Accuracy for 3 Methods*")
knitr::kable(table_error, caption = cap)
```

Table 1: *Training Accuracy for 3 Methods*

	SVM	NN(MLP)	NN(CNN)
Testing Accuracy	0.9057	0.9787	0.9922