

# Unified Theory of Adaptive-Softened N-Body Dynamics

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## Abstract

I formulate self-gravitating  $N$ -body dynamics in an *extended phase space* where the *global* Plummer softening length  $\epsilon$  is itself a canonical coordinate. A harmonic spring drives  $\epsilon$  toward a smooth, pair-distance-dependent target  $\epsilon_\star(q)$ , while a quartic wall prevents excursions outside  $[\epsilon_{\min}, \epsilon_{\max}]$ . A fixed-step, symmetric Strang splitting combines three *exact* sub-flows—kinetic drift, potential kick (Plummer + wall), and harmonic spring—into a second-order *symplectic, time-reversible* integrator. Linear and angular momentum are conserved to machine precision and a backward-error bound controls the long-time drift of a modified energy by  $\mathcal{O}(h^2)$ , independent of the run length. All benchmark and ML-training datasets shipped with this paper are generated by this `ham_soft` integrator in production mode.

## 0 Notation and Phase-Space Manifold

Symbol	Definition	Dimension
$q_i \in \mathbb{R}^d$	Cartesian position of body $i$	L
$p_i = m_i \dot{q}_i$	Canonical momentum	M L T <sup>-1</sup>
$\epsilon$	Global Plummer softening	L
$\pi$	Momentum conjugate to $\epsilon$	M L T <sup>-1</sup>
$\epsilon_\star(q)$	Target softening (smooth, $\geq 0$ )	L
$m_i > 0$	Mass of body $i$	M
$G$	Gravitational constant	L <sup>3</sup> M <sup>-1</sup> T <sup>-2</sup>
$\mu_{\text{soft}}$	Oscillator “soft mass”	M
$k_{\text{soft}}$	Spring constant	M T <sup>-2</sup>
$\omega_{\text{spr}}$	$\sqrt{k_{\text{soft}}/\mu_{\text{soft}}}$	T <sup>-1</sup>
$S_{\text{bar}}(\epsilon)$	Quartic wall potential	M L <sup>2</sup> T <sup>-2</sup>
$\alpha$	Log-sum-exp smoothing scale	L
$\lambda > 1$	Log-sum-exp safety factor	—
$r_{ij} =  q_i - q_j $	Pair distance	L
$r_{\text{max}}$	$\max_{i < j} r_{ij}$ (block-max)	L
$\theta_{\text{cap}}$	Spring phase cap (default 0.1 rad)	—
$\phi_{\text{BH}}$	Barnes–Hut opening angle (default 0.5)	—

Extended phase-space  $\Gamma = (q, p, \epsilon, \pi) \in \mathbb{R}^{2dN+2}$ , symplectic form  $\omega = \sum_{i=1}^N dq_i \wedge dp_i + d\epsilon \wedge d\pi$ .

# 1 Extended Hamiltonian

$$H_{\text{ext}} = \underbrace{\frac{1}{2} \sum_i \frac{\|p_i\|^2}{m_i}}_{T(p)} + \underbrace{V_{\text{grav}}(q, \epsilon) + S_{\text{bar}}(\epsilon)}_{V(q, \epsilon)} + \underbrace{\frac{\pi^2}{2\mu_{\text{soft}}} + \frac{k_{\text{soft}}}{2} (\epsilon - \epsilon_*(q))^2}_{S(\epsilon, \pi; q)} \quad (1)$$

where  $V_{\text{grav}} = -G \sum_{i < j} \frac{m_i m_j}{\sqrt{r_{ij}^2 + \epsilon^2}}$  and

$$S_{\text{bar}}(\epsilon) = \frac{k_{\text{wall}}}{4} \left[ (\epsilon - \epsilon_{\min})^{-4} + (\epsilon - \epsilon_{\max})^{-4} \right].$$

## 1.1 Oscillator parameters (production mode)

$$\mu_{\text{soft}} = \mu_{\text{soft}}^{\text{init}}, \quad k_{\text{soft}} = k_{\text{soft}}^{\text{init}}, \quad \omega_{\text{spr}} = \sqrt{k_{\text{soft}} / \mu_{\text{soft}}}$$

Both parameters are frozen. A compile-time flag `HAM_SOFT_EXPERIMENTAL` re-enables time-varying heuristics; such runs are labelled *non-symplectic*.

## 1.2 Target softening $\epsilon_*(q)$

**Definition.**

$$\epsilon_*(q) = \frac{\alpha}{\lambda} \text{softplus}(-\lambda L(q)), \quad L(q) := \log \sum_{i < j} e^{-r_{ij}/\alpha}. \quad (2)$$

**Gradient (complete).**

$$\frac{\partial \epsilon_*}{\partial q_i} = \sigma_* \sum_{j \neq i} w_{ij} \frac{q_i - q_j}{r_{ij}}, \quad \sigma_* := (1 + e^{\lambda L})^{-1} \quad (3)$$

with symmetrised weights

$$w_{ij} = \frac{\exp[-(r_{ij} - r_{\max})/\alpha]}{\sum_{k < l} \exp[-(r_{kl} - r_{\max})/\alpha]}, \quad w_{ij} = w_{ji}.$$

*Note.* Patch G1 corrects the exponent in the logistic prefactor  $\sigma_*$ . Earlier drafts erroneously used  $1 + e^{4\lambda L/\alpha}$ ; the proper factor is  $(1 + e^{\lambda L})^{-1}$ , which restores the force amplitude and preserves torque cancellation.

## 2 Canonical Equations of Motion

$$\begin{aligned}
\dot{q}_i &= \frac{p_i}{m_i}, \\
\dot{p}_i &= -\nabla_{q_i} V + k_{\text{soft}}(\epsilon - \epsilon_\star) \nabla_{q_i} \epsilon_\star, & -\partial_\epsilon V &= -G \sum_{i < j} \frac{m_i m_j \epsilon}{(r_{ij}^2 + \epsilon^2)^{3/2}} - \partial_\epsilon S_{\text{bar}}(\epsilon). \\
\dot{\epsilon} &= \frac{\pi}{\mu_{\text{soft}}}, \\
\dot{\pi} &= -\partial_\epsilon V - k_{\text{soft}}(\epsilon - \epsilon_\star),
\end{aligned} \tag{4}$$

## 3 Exact Spring Sub-Flow ( $S_{\text{harm}}$ )

Freeze  $q$  and  $\epsilon_\star^{\text{in}} := \epsilon_\star(q)$  for the entire sub-flow. Defining  $\Delta = \epsilon - \epsilon_\star^{\text{in}}$ ,  $\eta = \pi/(\mu_{\text{soft}}\omega_{\text{spr}})$ , the flow over  $\tau$  is

$$\begin{bmatrix} \Delta(\tau) \\ \eta(\tau) \end{bmatrix} = R(\theta) \begin{bmatrix} \Delta(0) \\ \eta(0) \end{bmatrix}, \quad R(\theta) = \begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix}, \quad \theta = \omega_{\text{spr}} \tau,$$

with momentum impulse  $p_i \leftarrow p_i + k_{\text{soft}} \mathcal{I}(\tau) \nabla_{q_i} \epsilon_\star^{\text{in}}$ ,  $\mathcal{I}(\tau) = [\Delta(0) \sin \theta + \eta(0)(1 - \cos \theta)]/\omega_{\text{spr}}$ .

## 4 Second-Order Fixed-Step Symplectic Integrator

$$\boxed{\Phi^h = \varphi_S^{h/2} \circ \varphi_V^{h/2} \circ \varphi_T^h \circ \varphi_V^{h/2} \circ \varphi_S^{h/2}} \tag{5}$$

Each  $\varphi_V^{h/2}$  updates  $(p, \pi)$  while *holding*  $(q, \epsilon)$  fixed:

$$\begin{aligned}
p_i &\leftarrow p_i - \frac{h}{2} \nabla_{q_i} [V_{\text{grav}} + S_{\text{bar}}], \\
\pi &\leftarrow \pi - \frac{h}{2} \partial_\epsilon [V_{\text{grav}} + S_{\text{bar}}].
\end{aligned}$$

Because  $h$  is constant,  $\Phi^h$  is symmetric and symplectic.

## 5 Boundary Handling — Smooth Barrier

$S_{\text{bar}}(\epsilon)$  is part of the Hamiltonian and is integrated exactly by the  $\varphi_V$  half-kick. If  $\epsilon > 0.9 \epsilon_{\text{max}}$  and  $|\pi| > \pi_{\text{crit}} = 0.1 \sqrt{2\mu_{\text{soft}} H_{\text{ext}}(0)}$ , the run aborts; no non-canonical step-size adjustment is attempted.

## 6 Conserved and Monitored Invariants

### 6.1 Linear momentum

By translational symmetry of  $V_{\text{grav}}$  and the barrier's dependence on  $\epsilon$  only,  $\sum_i p_i$  is conserved up to roundoff in the fixed-step scheme.

## 6.2 Angular momentum

With gradient (3), pairwise antisymmetry gives  $\sum_i q_i \times \nabla_{q_i} \epsilon_\star = 0$ , so the total angular momentum is conserved up to roundoff.

## 6.3 Center of mass

The center-of-mass position and velocity follow free drift when forces are internal; monitor drift as part of CI.

## 6.4 Modified energy

A symmetric second-order method preserves a *modified Hamiltonian*

$$H_{\text{mod}} = H_{\text{ext}} + h^2 C_3 + O(h^4),$$

with the coefficient bounded by

$$\|C_3\| \leq \frac{G M_{\text{tot}}^2}{\epsilon_{\min}} + \frac{k_{\text{soft}} \epsilon_{\max}^3}{2\epsilon_{\min}} + \frac{k_{\text{soft}} \epsilon_{\max}^3}{\epsilon_{\min}} \|\nabla \epsilon_\star\|_\infty + \frac{k_{\text{wall}}}{4\epsilon_{\text{gap}}^4}$$

where  $M_{\text{tot}} = \sum_i m_i$  and

$$\epsilon_{\text{gap}} = \min(\epsilon - \epsilon_{\min}, \epsilon_{\max} - \epsilon).$$

For fixed  $h$ ,

$$|H_{\text{mod}}(t) - H_{\text{mod}}(0)| \leq C_3 h^2, \quad 0 \leq t \lesssim c e^{\kappa/h}.$$

## 7 Fixed Sub-Step Schedule (production)

Let

$$h_{\text{sub}} = \min\left(\chi \tau_{\text{grav}}, \theta_{\text{cap}}/\omega_{\text{spr}}, \chi_\epsilon \epsilon_{\text{safe}}/|\dot{\epsilon}|\right), \quad (7.1)$$

with  $\tau_{\text{grav}} = \min_{i < j} \frac{r_{ij}^{3/2}}{\sqrt{G(m_i + m_j)}}$ ,  $\epsilon_{\text{safe}} = 0.1(\epsilon_{\max} - \epsilon_{\min})$ ,  $\chi = \chi_\epsilon = 0.9$ . Set  $n_{\text{sub}} = \lceil h_{\text{user}}/h_{\text{sub}} \rceil$  at  $t = 0$  and keep  $h_{\text{sub}}$  fixed.

## 8 Implementation Diagnostics & CI Thresholds

Check	Criterion
Jacobian symplecticity (finite diff)	$\ J^\top \omega J - \omega\ _F < 10^{-11} \sqrt{N} \max(1, \ J\ _F)$
Time-reversibility (round-trip)	$\ \Phi_{0 \rightarrow T}^h \circ \Phi_{T \rightarrow 0}^h(x_0) - x_0\  < 10^{-12} (1 + \ x_0\ )$
Linear momentum conservation (FP64)	$\ \sum_i p_i(t) - \sum_i p_i(0)\  < 10^{-12} T$
Angular momentum conservation (FP64)	$\ L(t) - L(0)\  < 10^{-12} T$
Center-of-mass drift	$\ R_{\text{CM}}(t) - R_{\text{CM}}(0)\  < 10^{-10} T$
Modified-energy defect (FP64)	$< 10^{-7} h^2$
Modified-energy defect (FP32)	$< 5 \times 10^{-6} h^2$ Spring phase cap monitor
$\max  \theta  \leq \theta_{\text{cap}}$ (abort if violated)	
Barrier proximity monitor	$\epsilon_{\text{gap}} \geq 0.05(\epsilon_{\text{max}} - \epsilon_{\text{min}})$
Performance budget	$\text{ns/step} \leq \text{baseline} \times 1.10$

## 9 Heuristics

Barnes–Hut cache uses opening angle  $\phi_{\text{BH}}$  (renamed from  $\theta_{\text{BH}}$  to avoid collision with  $\theta_{\text{cap}}$ ).

## A Experimental Adaptive Step Size (non-symplectic)

$$\omega_{ij} = \sqrt{\frac{G(m_i + m_j)}{(r_{ij}^2 + \epsilon^2)^{3/2}}}, \quad h_{\text{sub}} \leq \chi \min_{i < j} \omega_{ij}^{-1}.$$

## B Patch Ledger

P-15 Logistic factor in  $\nabla \epsilon_\star$ .

P-16 Barrier potential added to Hamiltonian and EOM.

P-17 Barrier term in  $C_3$  bound.

P-18 V-kick updates  $(p, \pi)$ ; explicit algorithm line.

P-19 Distinct symbols:  $\theta_{\text{cap}}$  vs  $\phi_{\text{BH}}$ .