

Improving Background Estimation for Di-Higgs Searches with ATLAS

PHYS 437B Presentations

13 January, 2020

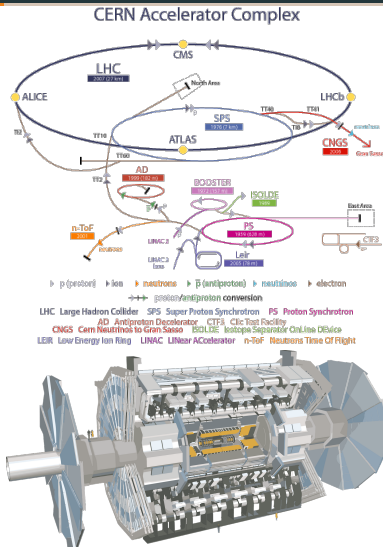
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Overview: Higgs Research with ATLAS



Standard Model of Elementary Particles

	three generations of matter (fermions)			interactions / force carriers (bosons)	
	I	II	III		
QUARKS	mass $\approx 2.2 \text{ MeV}/c^2$ charge $\frac{2}{3}$ spin $\frac{1}{2}$ u up	mass $\approx 1.28 \text{ GeV}/c^2$ charge $\frac{2}{3}$ spin $\frac{1}{2}$ c charm	mass $\approx 173.1 \text{ GeV}/c^2$ charge $\frac{2}{3}$ spin $\frac{1}{2}$ t top	mass 0 charge 0 spin 1 g gluon	mass $\approx 124.97 \text{ GeV}/c^2$ charge 0 spin 0 H higgs
	mass $\approx 4.7 \text{ MeV}/c^2$ charge $-\frac{1}{3}$ spin $\frac{1}{2}$ d down	mass $\approx 96 \text{ MeV}/c^2$ charge $-\frac{1}{3}$ spin $\frac{1}{2}$ s strange	mass $\approx 4.18 \text{ GeV}/c^2$ charge $-\frac{1}{3}$ spin $\frac{1}{2}$ b bottom	mass 0 charge 0 spin 1 γ photon	
	mass $\approx 0.511 \text{ MeV}/c^2$ charge -1 spin $\frac{1}{2}$ e electron	mass $\approx 105.66 \text{ MeV}/c^2$ charge -1 spin $\frac{1}{2}$ μ muon	mass $\approx 1.7768 \text{ GeV}/c^2$ charge -1 spin $\frac{1}{2}$ τ tau	mass $\approx 91.19 \text{ GeV}/c^2$ charge 0 spin 1 Z Z boson	
LEPTONS	mass $< 1.0 \text{ eV}/c^2$ charge 0 spin $\frac{1}{2}$ ν_e electron neutrino	mass $< 0.17 \text{ MeV}/c^2$ charge 0 spin $\frac{1}{2}$ ν_μ muon neutrino	mass $< 18.2 \text{ MeV}/c^2$ charge 0 spin $\frac{1}{2}$ ν_τ tau neutrino	mass $\approx 80.39 \text{ GeV}/c^2$ charge ± 1 spin 1 W W boson	

SCALAR BOSONS

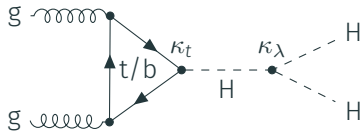
GAUGE BOSONS
VECTOR BOSONS

The Larger Project: Measuring the Higgs Self-Coupling

Relevant section of the SM Lagrangian for Higgs potential:

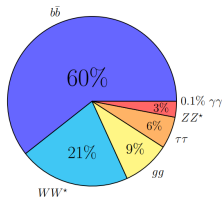
$$V(\phi) = -\mu^2\phi^2 + \lambda\phi^4 + \dots \text{ Taylor exp. at min } \rightarrow V_T(\phi) = -\frac{\mu^4}{4\lambda} + \frac{\sqrt{2}\mu^3}{\lambda}\phi - 4\mu^2\phi^2 + 2\sqrt{2\lambda}\mu\phi^3 + \dots$$

Constant and ϕ terms: can eliminate with change of coordinates, ϕ^2 : mass term,
 ϕ^3 : self-interaction or **self-coupling** term, not well constrained
(current best: $\kappa_\lambda = (2\sqrt{2\lambda}\mu)/(2\sqrt{2\lambda}\mu)_{\text{SM}}$, $\kappa_\lambda \in [-2.3, 10.3]$ at 95% confidence)



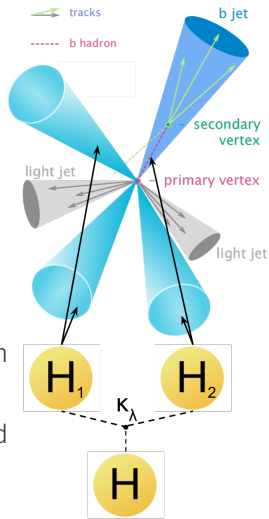
To find κ_λ we need HH events, and we can find them using jets!

Background: Jets and Pairing



$$H \rightarrow 60\% b\bar{b} \rightarrow 2 \times b \text{ hadrons} \rightarrow 2 \times b\text{-jets}$$

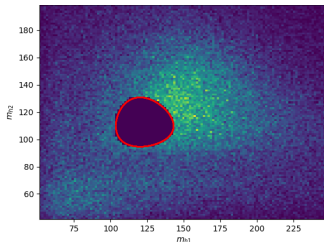
- **Jets** are collections of particles with appx. the same direction
- ATLAS can't directly detect H or b . Instead, use **b -jets**, which can be directly detected (using secondary vertices)
- b -jet detection is not a perfect process (hence 437A report), and neither is **pairing** – identifying which jets came from which H



- When looking at HH events, signal = 4 b -jets, background = other QCD processes
- In 95% of LHC analyses, backgrounds can be simulated (e.g. with GEANT)
- But QCD modelling is difficult
(computationally and physics-wise, especially for many-jet events)
- Motivates using data for background estimation
- But how?

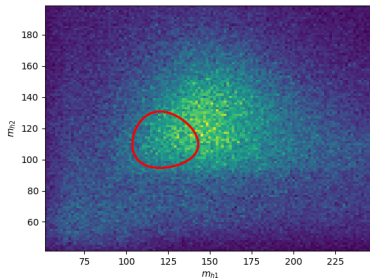
Our Project: Background Modelling

- **Mass plane:** reconstructed (m_{H_1}, m_{H_2}) values, m_{H_1} has higher transverse momentum
- We expect a peak around (125, 125) (all masses in GeV)
- **The Problem:** how to estimate background around peak?
Large source of error ($|\kappa_\lambda| < 7 \rightarrow |\kappa_\lambda| < 9$)
- Signal Region (SR, in red): blinded to reduce study bias
- We want to “fill in” the SR



Current Approach: 2bRW

- All jets are similar to a rough approximation
- **2b data**: uses 2 b -jets and 2 other jets
- Similar to 4b data outside of SR, but no peak
- 2bRW: derive a scaling (“ReWeighting”) function outside of SR, apply inside
- Provides a good first background estimate
- Assumes RW function applies in SR, may be false
- **This project**: is there a better approach?

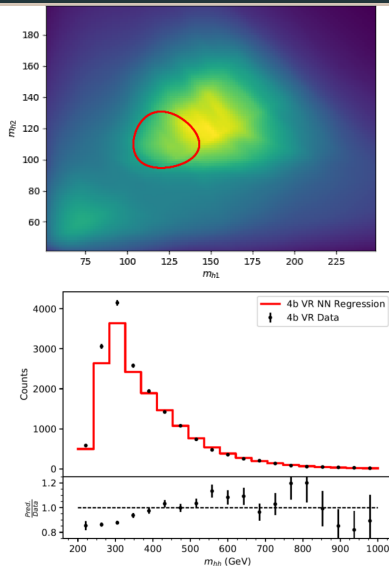


Note

Don't forget 2b data is physically different from 4b and 2bRW are not **real** SR values. 2bRW is thought to be correct within around $\pm 10\%$.

New Approach: Neural Network

- Given enough data, neural networks can learn arbitrary functions
- Goal: fill in the SR, and reproduce $2bRW \pm 10\%$ using only $4b$ data
- Inputs: $(m_{H_1}, m_{H_2}, m_{HH})$, output: $P(m_{H_1}, m_{H_2})$
- Initially: good-looking mass plane predictions, $2bRW$ agreement is not great though



Histogram image from Todd Seiss. Note: this is $4b$ VR, most plots will use $2bRW$ SR

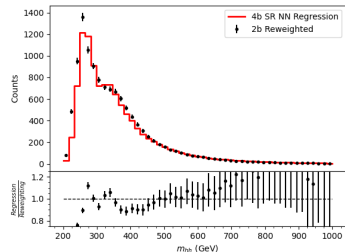
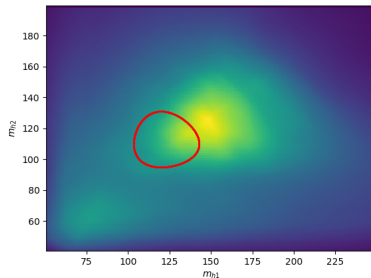
Neural Network Optimization

What if we...

- Further optimize network hyperparameters?
- Add more bins?
- Add other variables (e.g. more masses, NTag)?
- Smooth the data (fit a polynomial, KDE, ...)?

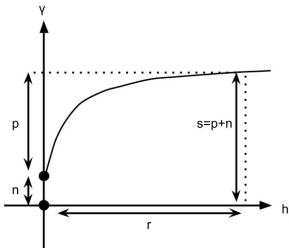
Overall impression: large improvements unlikely from non-drastic changes in approach

- (note: images are from different models, to show how many of them had similar performance to before)

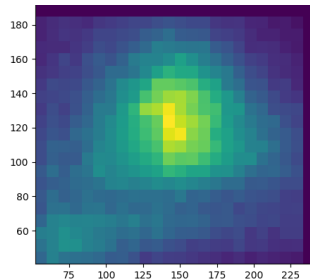
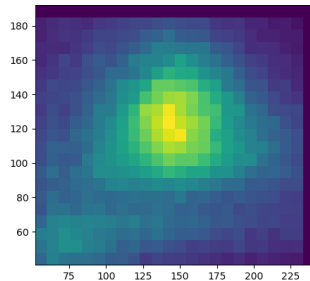


New Approach: Gaussian Process Regression

- Inputs: m_{H_1}, m_{H_2} , output: $P(m_{H_1}, m_{H_2})$
- Also gives estimator variance (\exists assumptions)
- Relies on **variogram** (ideally could calculate, given finite data must guess)



- Tried a bunch of different “best-guess” variograms, e.g. linear and exponential:

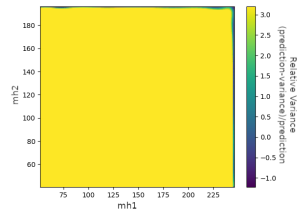
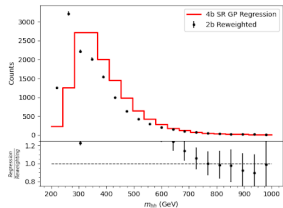
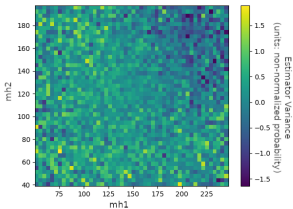
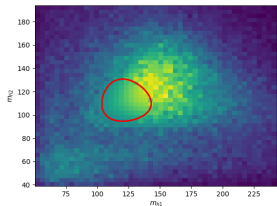


GPR Optimization

- Tested many variograms
- Selected low & flat variance
- Best ones still high relative to prediction ($\sim 1\times$)
- Tried 3D GPR, increases computation time too much

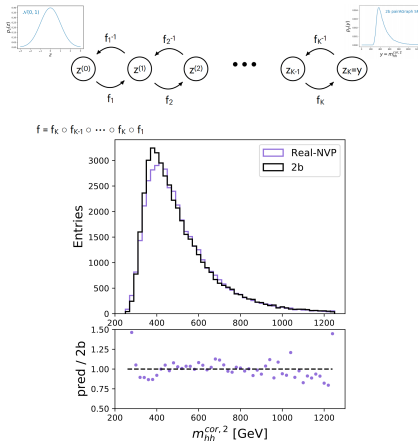
Impressions:

- Good mass plane, less-good histogram
- Interesting variances are always so large
- Figures: Gaussian variogram ($s = 800, r = 160, n = 10^{-8}$)



Flow Models

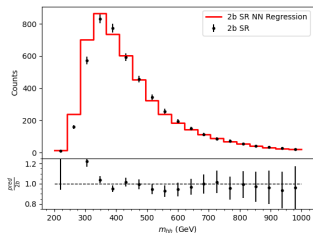
- The idea of **flows**: build arbitrary function from base function + invertible transformations
- **R-NVP** transformations: fast compute times
- Benefit: significantly smaller model space, can handle more variables
- Code was set up for **2b pairAGraph** data + figures compare 2b SR prediction vs 2b SR data
- With larger models, some nice results are possible (e.g. 5-variable model in figure)



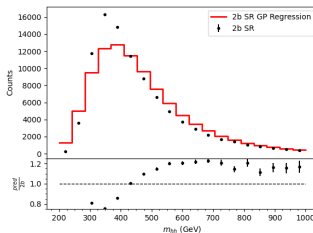
Comparison of FMs to NNs and GPR

Use 2b pairAgraph data on previous methods, compare with a FM with inputs (m_{H_1}, m_{H_2}) :

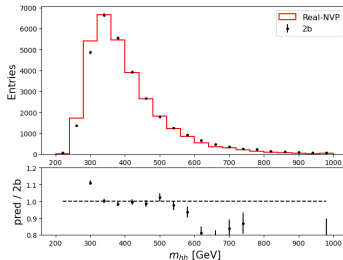
NN:



GPR:



FM:



- Direct NN regressions and GPR capture main features of the complicated background
- However, the existing $2bRW$ procedure seems to perform better for the moment
- Flow models with more variables look promising
(or potentially other similar techniques, e.g. variational auto-encoders)

Questions?

Comments?

Any other techniques we should try?

[Link to Google Slides with more details](#)