Spatialisation As A Service (SaaS)

Interim Project Report

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Abstract

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Contents

Glossary

Agile A framework or a collection of methods through which software projects can be conducted. Defined by its focus on incremental and iterative development practices. 21

AWS API Gateway An AWS service that allows developers to create and deploy their own custom APIs that allow access to data and application logic. 21, 22

AWS Elastic Container Registry An AWS function that allows the user to host and deploy container images. 22, 30, 31

AWS Eventbridge A cloud service that allows the communication between disparate AWS serverless components through the use of events. 21

AWS Lambda Part of Amazon's serverless computing services, these Lambda functions allow a software developer to host and run individual fragments of code that are triggered in response to events. The feature manages the resources required for these pieces of code to run. 21, 22

AWS Simple Queue Service A message queue service from AWS that allows the sending and receiving of messages without data loss. 22

AWS Step Function A service that allows a software developer to orchestrate and schedule serverless workflow pipelines through the use of state machines and tasks. Through this service, a developer is able to easily control the use and order of other AWS services. 21, 22

container image A standalone package of sotware that contains everything needed in order to run an application. This allows for the identical deployment and running of applications independant of the underlying infrastructure. 22

DevNet A private web resource accessible only to licensed PlayStation developer, publisher and middleware companies. On this site you are able to access PlayStation API documents and order PlayStation development kits. 6

Docker A set of products that allows developers to virtually deploy operating systems and software packages in reuseable containers. Docker is one of the most widely used prodcuts for this purpose. 22

game development kit Game development kits are use to develop software that is intended to run on a specific game console. They provide the hardware and software needed in order to do this. 6

Node.js A free and open-source server environment that allows the execution of JavaScript code outside of a web browser. In this project it was used to build the front-end web interface. 21

PlayStation Partner The name given to any video game developer or retailer who engages with the PlayStation ecosystem in order to sell or develop their products. 6, 8

React.js A free and open-source Javascript library, maintained by Meta, that is used primarily for developing front-end user interfaces by rendering components to the DOM in web browsers. 21, 22

spatial audio Is the manipulation of sound produced by stereo speakers to mimic the human localization of sound sources in three-dimensional space. 6, 21

Tempest 3D Audio Engine The proprietary audio engine used by the PlayStation 5 in order to render ambisonic audio files to headphones. 6

Acronyms

API application programming interface 6, 7, 11

AWS Amazon Web Services 7, 13–15, 17, 21, 22

CI Continuous Integration 14

CI/CD Continuous Integration/Continuous Deployment 18

DSP digital signal processing 6

GDPR General Data Protection Regulation 16

HRTF head-related transfer function 7, 10, 11

IDE Integrated Development Environment 18

MVP minimum viable product 15

PaaS Platform as a Service 9

POC proof of concept 7

PS5 PlayStation 5 6, 11

S3 Amazon simple storage solution 22

SDK Software Development Kit 21

SIE Sony Interactive Entertainment 6–8, 15, 16, 18

SLA service-level agreement 6

1 Introduction

1.1 Project Background

This project is a part of a degree apprenticeship hosted by Sony Interactive Entertainment (SIE). This project must therefore consider the business domain of the sponsoring company.

With the release of the PlayStation 5 (PS5) in November 2020, spatial audio technology has become a key product for SIE as game developers seek to leverage the Tempest 3D Audio Engine espoused by the video game console. In addition, SIE is shifting to cloud-based infrastructure[1] across the wider business in order to leverage the cost, flexibility, and reliability benefits that cloud technology affords [Qian et al., 2009].

In response to these changes within the company, this project aims to engage both of these emergent technologies in order to address one of SIE's major service-level agreements (SLAs) with a software solution.

1.2 Problem Statement

At the time of writing, the onboarding of Partners to the developer and publisher platforms managed by SIE is an area in the company that has been targeted for improvement. Getting Partners engaged with PlayStation's development and sales ecosystem faster and with less 'friction' has been outlined as a major SLA.

This report argues that, despite the fact that the Partner onboarding process has been improved, the ability for Partners to trial PlayStation's spatial audio technology is too restricted. There is currently a dependence on local hardware setup in order to experience PlayStation's spatial audio in an interactive manner.

Currently, Partners who want to experiment with Tempest 3D Audio Engine must perform the following steps:

1. Apply for and order a PS5 game development kit
2. Await delivery of the kit
3. Set up the game development kit
4. Research the application programming interfaces (APIs) documentation provided by SIE's DevNet

This process is sub-optimal for a Partner who wishes to get a quick insight into what is possible with spatial audio.

This project proposes an alternative solution where spatial audio can be accessible as a web service, effectively eliminating the need for a game development kit. The system would be accessible through a browser and will mean that the Partner will be granted easier access, fulfilling the aforementioned SLA.

1.3 Project Aims

The aim of this project is to research, design, and engineer a web service that allows a user to experience spatial audio in a way that reacts to their input. The intended complexity of this project concerns the cloud infrastructure design and implementation that forms the backbone of the *"Spatialisation-as-a-Service"* concept; the project seeks to move compute-heavy digital

[1] like many other companies relying on web technology [Qian et al., 2009]

signal processing (DSP) tasks from local hardware to a cloud environment. The project can therefore be classified primarily as an infrastructure project, and discusses the topics and challenges relating to this area.

The proposed workflow allows a user with a standard web browser[2] to upload their own music file[3] to a website and ultimately receive back a new audio file that demonstrates spatial audio as a transformation of the original file. This new audio file will be constructed from the isolated 'stems'[4] of the original file which will be separated out from each other as a part of the DSP pipeline. The user will then choose where to 'position' these stems in a virtual 3d space by setting spatial parameters for each stem. Finally, the rendering of the stems and their spatial parameters will take place using head-related transfer functions (HRTFs) to render a 'spatialised' version of the music file. All the audio processing will execute in Amazon Web Services (AWS) cloud environments to circumvent any hardware requirements and challenges.

For information security reasons, the prototype produced as a part of this project will *not* feature any proprietary SIE software and use only libraries and code that exist in the public domain. Because of this, the prototype can be considered a proof of concept (POC) where, if successful, SIE software might be transplanted into the serverless DSP pipeline.

1.4 Project Objectives

In order to achieve the aims set out in 1.3 the project must produce a number of deliverables:

1. A project plan which outlines the timeline of both the research and development of the project.
2. A review of pertinent literature relating primarily to:
   - Public Cloud infrastructure and services (especially those available from AWS)
   - Audio spatialisation
   - Web audio APIs and front-end technology
3. A review of existing stereo-to-spatial services and technologies.
4. A functioning stereo-to-spatial serverless pipeline.
5. A frontend that enables a user to interact with the conversion pipeline by uploading and downloading audio files, as well as setting parameters for conversion through a web API.
6. A testing framework that supports iterative development.
7. A report on user testing.
8. A review and analysis of how the produced system has met or missed the targets.

[2] Chromium-based browsers and Firefox
[3] Of either of the .mp3 or .wav format
[4] For example, we might separate a song into vocals, bass, and drums

1.5 Research Questions

In order to guide the research and development process of the proposed system, this report will seek to answer the following research questions:

1. What are the characteristics of audio-processing pipelines that are executed within cloud infrastructure?
2. What is the impact of cloud technology in addressing physical hardware limitations?
3. How effective is cloud infrastructure in facilitating the execution of compute-heavy audio pipelines?
4. How can the leveraging of cloud technology improve the experience of the users of SIEs Partner platform?

2 Literature Review

As outlined in 1.3, this project attempts to engage with cloud infrastructure, spatial audio processing, and web development frameworks. To approach these topics thoroughly, this report performs a review of pertinent literature. In doing so, this report considers and incorporates existing ideas in these fields while providing a foundation from which to answer the research questions listed in 1.5.

2.1 Cloud Computing

Ever since internet service providers began the commercialization of cloud computing, it has become one of the major trends in the technology space [Qian et al., 2009]. According to Qian et al. [2009], 'cloud computing' is one of the most vague terms when it comes to the description of the technology on account of the breadth of its application

s2[Dillon et al., 2010]

Cloud computing technology is dominated by three major players, each with their own style of cloud services:

1. Amazon's Web Services which began as a means of server virtualization [Amazon, 2022]
2. Google's Cloud Platform, described by Qian et al. [2009] as a technique-specific sandbox that calls itself a Platform as a Service (PaaS) [Alphabet Inc, 2022]
3. Microsoft's Azure Network [Microsoft, 2022]

2.2 Audio Spatialisation

2.2.1 Origins

Blauert [1996] notes in their seminal text, *Spatial Hearing: The Psychophysics of Human Sound Localization*, that: "human beings are primarily visually-orientated", and that the other senses are less developed in comparison. This difference has been mirrored in the history of scientific research. Wade and Ono [2005] note that research in binaural hearing was developed later than binocular vision partially due to the difficulty in controlling the audio stimuli in experiments. It was only later on that the concept of distinction between the *sound event* and the *auditory event* as influenced by binaural hearing became prevalent. Blauert [1996] explains that this distinction informs the practice of audio replication analogous to its originating sound event:

> The telecommunications engineer, of course, is especially interested in just those cases in which the positions of the sound source, and the auditory event do not coincide. The telecommunications engineer seeks to reproduce the auditory events that occur at the point where a recording or transmission originates, using the smallest possible number of sound sources (e.g., loudspeakers) [Blauert, 1996].

The patent filed in 1958 by Alan Dower Blumlein[5] details an early stereophonic system, which exploits the human sound localization ability for the enhancement of entertainment

[5] [Blumlein, 1958]

experiences[6] [7]. Blumlein observed that in film theatres there was a certain level of cognitive dissonance whereby the actor's voices sounded like they were coming from a different location than where they appeared on the screen [Alexander, 1999]. This patent, in response, specifically outlines methods for introducing stereophonic audio to sound film as a means of increasing the perceived "quality" of the entertainment experience. Blumlein acknowledges that human binaural hearing is responsible for the ability to localize sound, and his patent is an example of how one might induce an auditory event that exhibits spatialisation on the horizontal plane through the control of inter-aural time differences [Blumlein, 1958].

The patent marked an improvement in the way that auditory events might be replicated by introducing this form of spatialisation, and the vestiges of Blumlein's ideas can be observed in modern spatial audio techniques [Politis et al., 2017, Beyer and Raichel, 1999]. What is perhaps the most salient aspect of the document, however, is that it recognizes the physiological factors that are involved in human sound localization. These physiological factors explored and expounded upon by Blauert [1996], and, as noted in the 1996 revision of his book, become more important as audio spatialisation and entertainment technology attempts to induce auditory events that imply three-dimensional audio spaces.

2.2.2 From two to three

> The external ears superimpose linear distortions on the incoming signals, which, in each case, are specific for the direction of incidence of the sound wave and the source distance. In this way, spatial information is encoded into the signals that are received by the eardrums [Blauert, 1996].

Roginska and Geluso [2017] note that: "the word 'binaural' refers, at the most basic level, to hearing with two ears, but it later came to include all the spatial cues from the ears, head, and body of a listener". Binaural recordings can therefore refer to the practice of capturing sounds that incorporate human physiology. This is executed with dummy mannikin heads with microphones placed inside the ears so that sound entering them are affected by the 'blocking' nature of the head; developments in this technology rapidly sped up throughout the 20th century [Paul, 2009]. While other forms of spatial representation were developed in this period [Gerzon, 1973, Noisternig et al., 2012, Berkhout et al., 1993], technology that considers the physical and physiological factors in human listening when attempting to induce auditory events that feature sound localization.

Roginska and Geluso [2017] identify that while capturing binaural audio is relatively easy, realizing the same effect through post-recording production is considerably harder and poses the challenge of modelling the human spatialization facility.

2.2.3 Getting the head in the game

The head-related transfer function (HRTF) can be described as a representation of the perceptual cues that facilitate human sound localization as a sound propagates from its source to the human ear [Suzuki et al., 2011]. This modelling of the human sound localization facility allows for this HRTF to be applied to a sound before reaching the human eardrum [Roginska

[6] This author acknowledges that this is not the first example of this kind of system; the control of inter-aural time differences was pioneered by Clément Ader as early at 4 years after Bell's invention of the telephone. This was for the purpose of rendering a spatial transmission of the Paris Opera. This further solidifies a history of the desire for spatial immersion in entertainment.

[7] There is a rich history of considering space in the composition of music in Western Classical tradition, with Italian renaissance composers writing for *cori spezzati*, or multiple choirs that are spatially separated [Morucci, 2013]. This author mourns that the topic of spatialisation in historic acoustic performance goes beyond the scope of this report.

and Geluso, 2017]. It is with this technology that more and more modern entertainment systems begin to localize sounds [Blauert, 1996, Honda et al., 2007, Roginska and Geluso, 2017, Suzuki et al., 2011, Xie et al., 2013, Cerny, 2020, Hong et al., 2017] during audio playback.

There are many software systems, toolkits, and frameworks that have been developed to allow engineers to build software that can utilise HRTFs and apply them to monophonic recordings [Cuevas-Rodríguez et al., 2019, Gorzel et al., 2019]. It is through these technologies that many video game systems such as the PS5 are able to provide immersive 3D audio experiences. In commercial systems such as these, consideration must also be applied to the selection of the HRTF that are used. While each person's experience of sound is as individual as they are, capturing the HRTF of each individual who engages with the product is not yet feasible due to the highly involved and costly process of capturing them. Considerable research has been done in order to develop and produce HRTF databases that appeal to a wide variety of subjects, taking into account individual and non-individual HRTFs [Armstrong et al., 2018]. It is common practice to have entertainment systems contain multiple HRTF options to choose from when setting up that system's spatial audio capabilities [Shukla et al., 2018].

2.3 Web Audio

Audio-visual media on the internet is extremely widespread and its delivery takes a myriad of forms [Brügger and Milligan, 2018]. The means by which audio is delivered to users on the internet is most frequently through a web audio API, the most common of which is the one developed by Mozilla [W3C, 2021, Mozilla, 2019]. One of the major benefits of utilising web technology in combination with audio technology is that it allows developers and those who wish to present audio to an audience to do so with a rich toolset of graphical libraries that are easily accessed through a web browser [Pauwels, 2018]; this is most frequently seen in commercial usage through web audio players such as Spotify and SoundCloud as a natural evolution of the radio broadcasting format [Bottomley, 2020]. Web frameworks such as React.js[8], Flask[9], and Django[10] are all capable of handling and displaying audio from a web page.

Audio delivery is primarily executed through the downloading and playing of a static file or as a packet stream from a server-based audio file source; however, more recently web audio can be delivered peer-to-peer in real-time through such technologies like WebRTC [Ünver et al., 2020, García et al., 2019].

[8] [Minnick, 2022]
[9] [Zhai et al., 2022]
[10] [Pauwels, 2018]

3 Risk Assessment

A risk is defined by the Project Management Institute [2021] as "an uncertain event or condition that, if it occurs, can have a positive or negative effect on one or more objectives" [11]. The effective management of project risk across a number of risk-management frameworks involves the prior identification of said risks [Goman, 2021]. This report seeks to perform a risk assessment across three major categories as a means of improving the likelihood of the project meeting its objectives as laid out in 1.4.

This assessment will take the form of three tabular risk registers with columns evaluating each risk's impact and likelihood, as well as preventative actions being taken as a result of this identification. It is important to note that these risks will be subject to ongoing monitoring, therefore they may change as the project develops.

3.1 Project Risks

These risks would affect the project's schedule and affect the project's ability to be finished within a given timeframe.

Table 1: Project Risks

Risk	**Impact**	**Likelihood rating**	**Impact rating**	**Preventative actions**
Failure to access required information	Lack full understanding of the background material	Low	Medium	Be diligent in identifying alternative sources, as well as making use of the Queen Mary Library's resource-purchasing facility
Scope creep	During development the scope of the project may increase and what is attempted goes beyond what is realistically capable over the duration of the project	Medium	Low	Clearly define the scope at the outset of the project, have a roadmap in place and be accountable for sticking to it
Low productivity	When work on projects slow, any delays can cascade and cause the project to miss its objectives by the end of the project duration	Medium	Medium	Be diligent in creating and sticking to a project plan - additionally communicate frequently with the project supervisor in order to be accountable and to resolve any issues promptly

[11] [Project Management Institute, 2021]

Lose access to AWS	Given that the project is hosted in the cloud, losing access to administrate the service would result in a severe delay in development	Low	High	Make sure that all credentials are up to date before starting development - check company policy surrounding credential expiry
Loss of work	If the codebase is lost during development then this means having to re-write all of the code, slowing down the project dramatically	Low	Medium to High	Ensure that codebase management systems are used - this means using a version-control system such as GitHub to store all code and documentation for the project - also ensure that any AWS deployments have backups
Inefficient working	When time is spent on menial, small, or administrative tasks that do not directly contribute to the project's completion, this can cause progress on the overall project to slow	High	Medium	Ensure that tasks are prioritised effectively, deploying agile scrum effort ratings when needed
Lacking requisite skills	When a challenge in the project requires skills that this author does not possess then they must spend time learning the skills required to overcome the challenge - this can slow progress on the project	Medium	Medium	When planning the project timeline, ensure that enough leeway has been granted to tasks to allow for extra time spent on learning and development
Unexpected levels of complexity	Only when projects are begun do certain challenges arise - the project may become far more complex and disorganised than originally planned	Medium	Low	As well as planning effectively, this author can ensure that they are diligent when it comes to researching the technologies they use - this means that they are able to develop the project effectively

3.2 Product Risks

These risks pose threats to the quality, or performance of the prototype.

Table 2: Product Risks

Risk	**Impact**	**Likelihood rating**	**Impact rating**	**Preventative actions**
Insufficient prototype testing	The product does not meet functional and non-functional requirements	Medium	High	Specify a framework for testing as soon as possible in the development process, automate testing where possible using a CI pipeline
AWS instability	In the event of the cloud hosting and processing services going down, the product's service will be unavailable	Low	High	Make use of different availability zones within AWS so that in the event of failure in a single area, the project can be spun up again elsewhere
Code issues	If the project contains code that lacks quality, then bugs and unstable performance may cause the product to fail	Medium	High	Conform to best-practice coding standards, frequently test code in regression and unit tests, ensure any bugs are promptly patched
Insufficient research	When a product is made without properly researching the best methods to do so, that product can be insufficient in comparison to competition in a business environment	Low	Medium	Ensure that enough time is scheduled to experimenting with different technology and researching pertinent literature before proceeding with development
Poor design	If the product has been badly designed then it will not meet the desired level of quality and user requirements - the product may even fail to work at all	Medium	Medium	Ensure that sufficient time has been given to the planning stage - in the event that unforeseen problems come to light ensure that help is sought to resolve the design issue and rebuild if necessary.

Poor project management	If the project is managed poorly then the product may not be built to a satisfactory level - delays and lack of proper oversight can cause a drop in the quality of the delivered product	Low	Medium	Ensure that the project management software is correctly set up - use alerts for deadlines and make an effort to work on the project often
Unrealistic project goals	If the targeted MVP is not of appropriate scope then the product might not get finished in time for the project deadline in the event that there is too much work to do	Low	High	Work in an agile and iterative fashion - start small on tasks and gradually build up complexity
Insufficient resources	If the project does not get the compute power or cloud resources it needs then the product's performance will be lacking or it may not function at all	Low	Medium	Have a clear outline as to what resources are needed and allow enough time to get the requisite permission from the company's AWS administrators

3.3 Business Risks

Since this project is being undertaken as a part of a degree apprenticeship, there will be associated business risks:

Table 3: Business Risks

Risk	**Impact**	**Likelihood rating**	**Impact rating**	**Preventative actions**
Unauthorised use of proprietary materials	Business-critical materials are leaked and cost SIE competitive advantage	Low	Critical	Never use any material developed by SIE as a part of business activity, use only open-source libraries
Large AWS fees	The project causes cloud fees charged to SIE to spiral out of control, costing the company far more than budgeted for	Medium	Medium	Make proper use of the AWS cost centre, define a budget, and set limits and alerts for budget usage in the AWS console

Cyber-security attack	In the event that the cloud app has a security vulnerability, the rest of the SIE tech stack may be at risk of being compromised	High	High	Utilise domain allow-listing, parameterization of user credentials, and routinely check the vulnerabilities of external dependencies - additionally, develop a breach response plan
Data mis-handling	In the event that the product stores user data that it does not have permission to, then the company may be liable for severe penalties under the GDPR[12]	Low	High	Keep stored user data to a minimum - in the case that user data is required, ensure that it is handled and disposed correctly, obtaining permission to do so

[12] IT Governance Privacy Team [2019]

4 Project Plan

4.1 Plan Preparation and Communication

To develop an effective plan for the project, the key deliverables for the project[13] were analysed and roughly estimated based upon the perceived complexity of producing that deliverable. Each deliverable was given a broad estimate of the time this would take in proportion to that complexity, with more complex tasks, and tasks that were prone to delay, given more breathing room in the time allocated to them. These estimates were then mapped to the overall timescale of the project, and set dates were then applied to the completion of these deliverables in relation to the key milestones of the project, such as submission deadlines. Subsequently, the broader deliverables were then broken down into subtasks and milestones to provide a more granular view of the project plan. Text-based artefacts were given dates by which certain chapters needed to be completed, and development tasks were given dates by which certain parts of the application should be built and deployed by. These subtasks helped to keep track of the project's progress.

This project makes use of the ClickUp[14] software to perform project management functions and to organize this author's workflow. This software has been chosen over other pieces of software in the education[15] and project management[16] space on the basis of cost and ease-of-use, as well as its ability to synchronize across different platforms. In addition, the platform was also used to communicate the project's progress with the project supervisor who is able to see the project plan and its progress over time through the updating of subtask statuses.

Prioritizing communication with the supervisor was integral to the success of the project because of the accountability and oversight it provided to this author. Having a platform such as ClickUp drastically reduced the likelihood of error in communication and time management. Additionally, a meeting with the project supervisor was scheduled every two weeks in order to identify and rememdy issues with the project's execution.

4.2 Timeline

The below series of figures (1, 2, 3) detail the tasks, subtasks, and the planned timeframes for completion. Timeframes were adjusted in accordance with the perceived complexity of each task following enlightenment from the literature review and market research. Dependencies for each task were also calculated and can be seen as arrow representation in the timeline figures.

4.3 Resources

This project intended to use minimal resources in its development. As outlined in 2, one of the many advantages of cloud computing is its flexibility and ease of resource management. Given that the application would be hosted entirely in cloud environments, there would be no hardware costs associated with the project. The costs that do apply will relate to the use of the AWS platform. These costs needed careful management, as explained in 3.3.

Other resources utilized included:

[13] As outlined in 1.4
[14] [ATD Staff, 2022]
[15] [Badaru and Adu, 2022]
[16] [Phipps, 2022]

1. Queen Mary library resources
2. The project supervisor
3. Knowledge sharing from colleagues at SIE
4. JetBrains' Integrated Development Environment (IDE) Suite
5. Open-source audio-processing libraries.
6. Online articles and tutorials

4.4 Methodology

Murray [2016] notes that the "traditional" manner of software development follows a linear path from requirements, to design, to execution, to testing, and so on. This method often results in inflexibility when it comes to software project execution. This is because of its dependence on the full set of requirements being gathered before the development process begins. Any issues with the requirements (incompleteness, inaccuracy) using this method are typically only found at the end of the process, instead of along the way through a cycle of development and feedback[17]. This project is of relatively small scope, and the number of shareholders are small on account of its proof-of-concept status. As such, it serves to incorporate some, but not all, aspects of the 'Agile'[18] development methodology into the process:

> An Agile project starts with only the most high-level requirements. Sometimes these are referred to as "user stories." Such a requirement might sound like, "A user will be able to buy a subscription to our product on a new e-commerce website." There are no designs, no specifications.[19]

While this project will not go so far as to remove the need for a design or specification altogether, the foundation for the project's execution will rest on high-level user stories and identified functional requirements. In addition, the project will require frequent testing as development progresses. The intention, therefore, is to endeavour to implement a Continuous Integration/Continuous Deployment (CI/CD) pipeline to ensure any updates to the prototype can be tested and deployed in an online environment as it is being developed.

[17] [Murray, 2016]
[18] [Beck et al., 2001]
[19] [Murray, 2016]

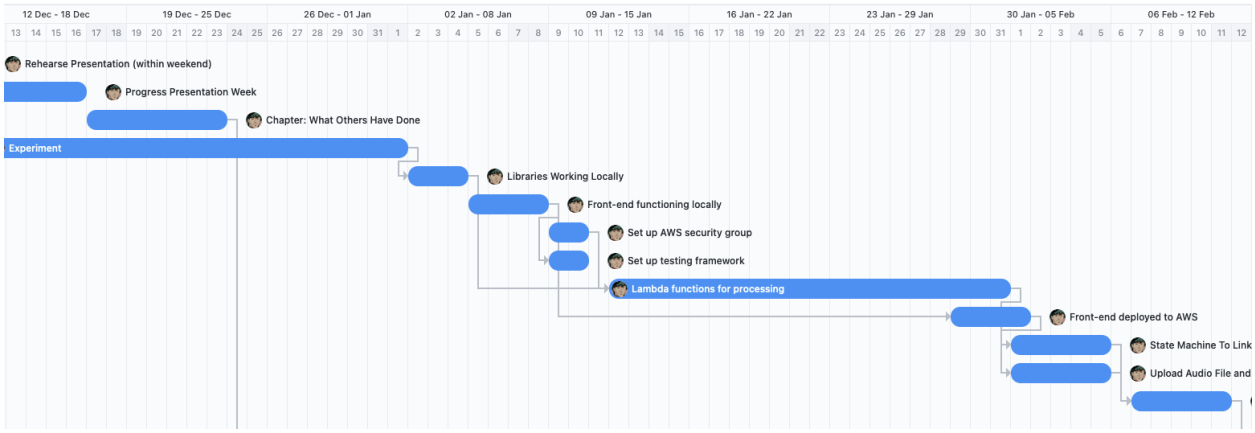

Figure 1: Timeline: *Dec 12th* → *Feb 12th*

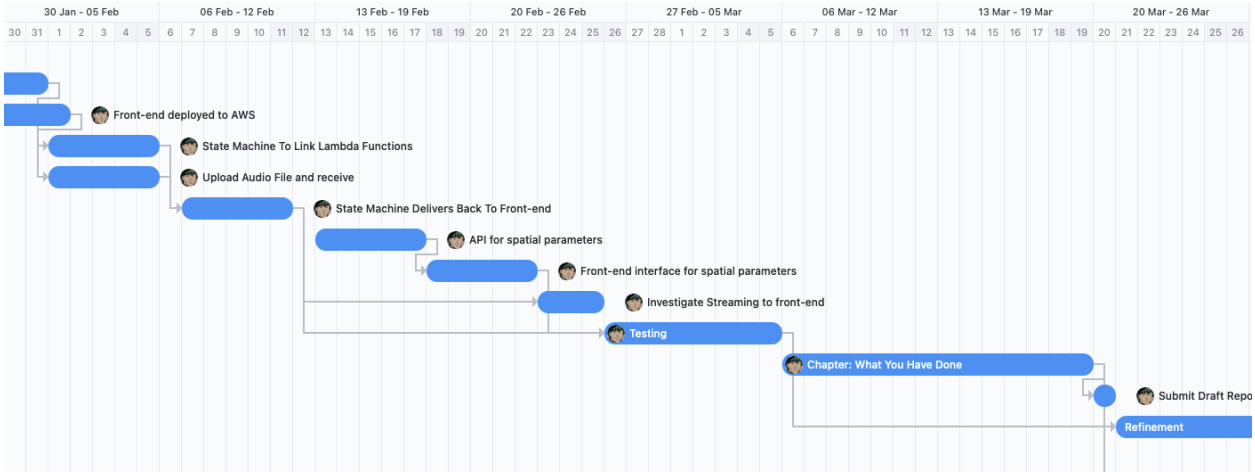

Figure 2: Timeline: *Jan 30th* → *Mar 26th*

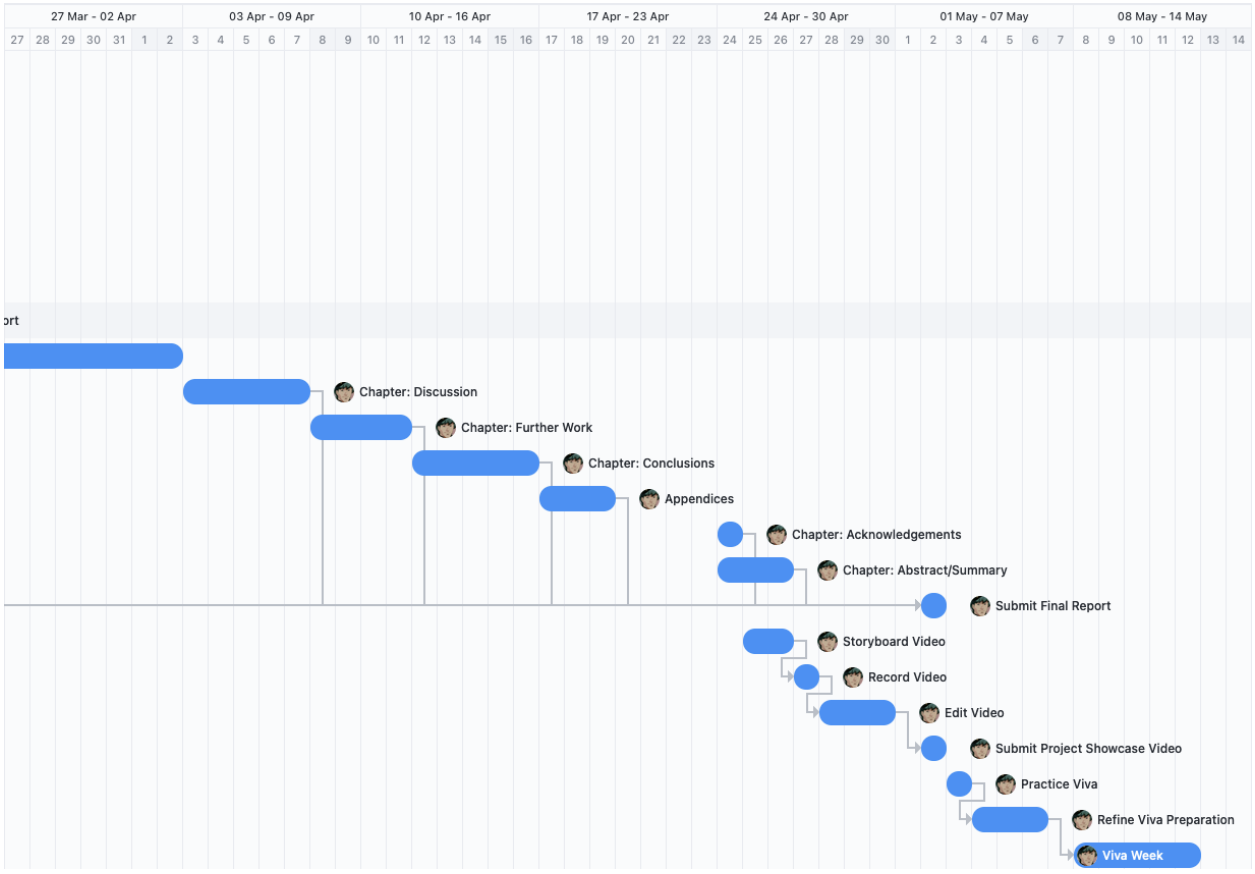

Figure 3: Timeline: *Mar 27th* → *May 14th*

5 Requirement Capture and Analysis

Primary functional requirements were well-defined.

5.1 User Personas

Persona of game developer: want to experience what spatial audio can do therefore the project must be able to take user input and render spatial audio based on that inputs as an MVP.

Persona of audio enthusiast:not necessarily a game Dev but someone who is interested in audio development who might like to try playing around with different spatial audio API without setting anything up locally.

Persona of the new user: Never experienced spatial audio, may not even know what it is. Using the product and expecting to know more about what it means, not just what is available to developers.

Ultimately a rendering pipeline was needed in AWS. If the software can perform all audio processing in the cloud and deliver to frontend, that was MVP.

The project had other stretch goals: have a user interface that allows the user to input values; expand the functionality by specifying HRTF files and SOFA environment files; allow for real-time previewing.

These other goals were defined by asking potential users + also experts in the field of audio processing in addition to the literature review.

Often more requirements came out in the form of user feedback. Once people saw an early build, they came up with other 'nice to have' features and requirements that went beyond the MVP.

MVP reqs:

Functional: take user input. separate and render audio in the cloud using HRTF profiles. deliver output to frontend

Non-functional: a UI to enter variables. an elucidation of what spatial audio means: meet the intended stakeholder's requirements. a 'good enough' delivery time - not so long that the user thinks it has failed

Stretch goal reqs:

Functional - Preview spatial rendering of individual stems - Real-time views of spatial audio – security - files are managed and secured to protect user info - fast response time in rendering - visual representation of the spatial audio rendering in 3d space - allow user to upload and choose SOFA and BRIR files - allow multiple types of audio file upload

Non functional – fully explains spatial audio and how it works - a smooth looking ui - take it beyond basic to a potentially customer-facing product - reliable - minimal bugs in the programming that result in failure for the end user. - edge case handling

6 Infrastructure Design

Observe that 4 details the initial design for the project's cloud architecture. The diagram provides a high-level overview of the primary user journey, and the AWS products that were intended to meet the user requirements. However, this design was subject to change as development progressed, in accordance with the Agile methodology. This section aims to describe how the initial design changed to accommodate the changing requirements of the project.

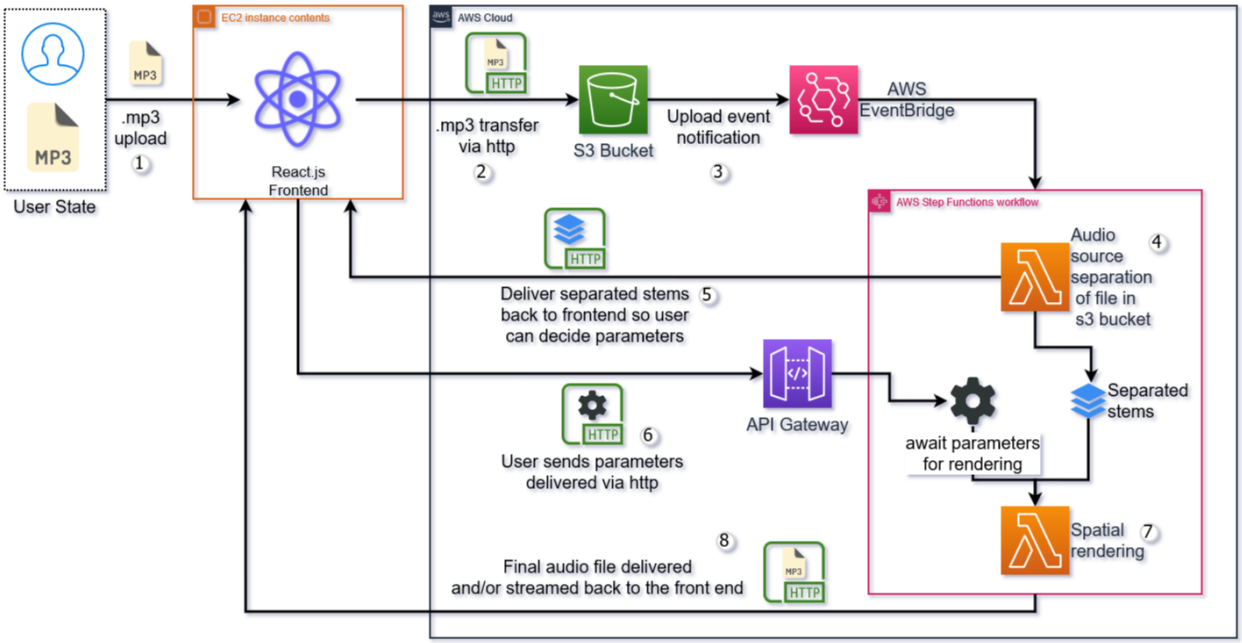

Figure 4: Preliminary Design for Cloud Architecture

6.1 Best Laid Plans

Given that the product is designed for use by users without an extensive knowledge of spatial audio, a web-hosted user interface was essential to the product. The user's primary mode of interaction with the service would be through a website built using the React.js front-end library. This library was chosen because of its ubiquity in modern web engineering; the depth of documentation available, and this author's existing familiarity with the framework.

The front-end was designed to make use of the AWS Software Development Kit (SDK) for Node.js. This would allow the interface to initiate and interact with the audio processing pipeline hosted in AWS.

It is also possible to see that figure 4 displays the two AWS Lambda functions that would comprise the backbone of the audio processing pipeline. Furthermore, these AWS Lambda functions would be coordinated and executed using the AWS Step Function service. This service was highly desired for this purpose since the processing pipeline would follow a series of discrete steps that require input from the user. AWS Step Functions would be able to accommodate this easily.

The entire service was also initially intended to be coordinated solely through the use of the internal messaging service: AWS Eventbridge. This service, in conjunction with AWS API Gateway, was intended to form the most of the communication between the back and front ends of the application.

6.2 Refinement

As development progressed; it quickly became apparent that there were a number of issues with the initial design.

The first problems arose with the development of the AWS Lambda functions that would be used to process the audio. AWS Lambda functions require a deployment package to be created and then uploaded to AWS in order for the function to have the resources it needs to run. Unbeknownst to this author, there is a limit of 50 MB on the size of the deployment packages that could be uploaded directly to AWS Lambda. Given that the 3D Tune-In Toolkit and the Spleeter source separation libraries have significant dependencies that are more than 50 MB when zipped, a re-design needed to occur.

The issue was solved by making use of the AWS Elastic Container Registry service. This service allows a developer to host and deploy container images, crucially, with a size limit of 75 GB allowed for each image uploaded to the registry. Through the use of Docker container images, the code for the Lambda functions was bundled into deployment packages that could be uploaded to a AWS Elastic Container Registry and then linked to a Lambda function while staying well under the data limit offered by the container registry. The dockerfiles for the source separation and spatialisation lambda functions can be found in Appendix A.1. Note the installation of the AWS Lambda runtime environment for both Python in Listing 1 and C++ in Listing 2.

The next major revision to the architecture design came with the removal of the proposed AWS API Gateway interface. Since the AWS Step Function requires input from the user to execute, the step function callback feature would need to be used to wait for an input message to the step function. Given this, the most elegant solution was to create a AWS Simple Queue Service queue through which messages could be sent and retrieved asynchronously without the risk of losing information through API communication failure. This was implemented through the creation of a unique message queue per interaction with the product. A unique identification key is created in the React.js interface, which is then used to identify both the AWS Simple Queue Service queue, and the resources uploaded and retrieved from the relevant Amazon simple storage solution (S3) buckets.

With these changes, it became possible to define a final cloud architecture and workflow.

Figure 5 shows an implementation of a step function interacting with the front-end via a AWS Simple Queue Service queue. Another change is that

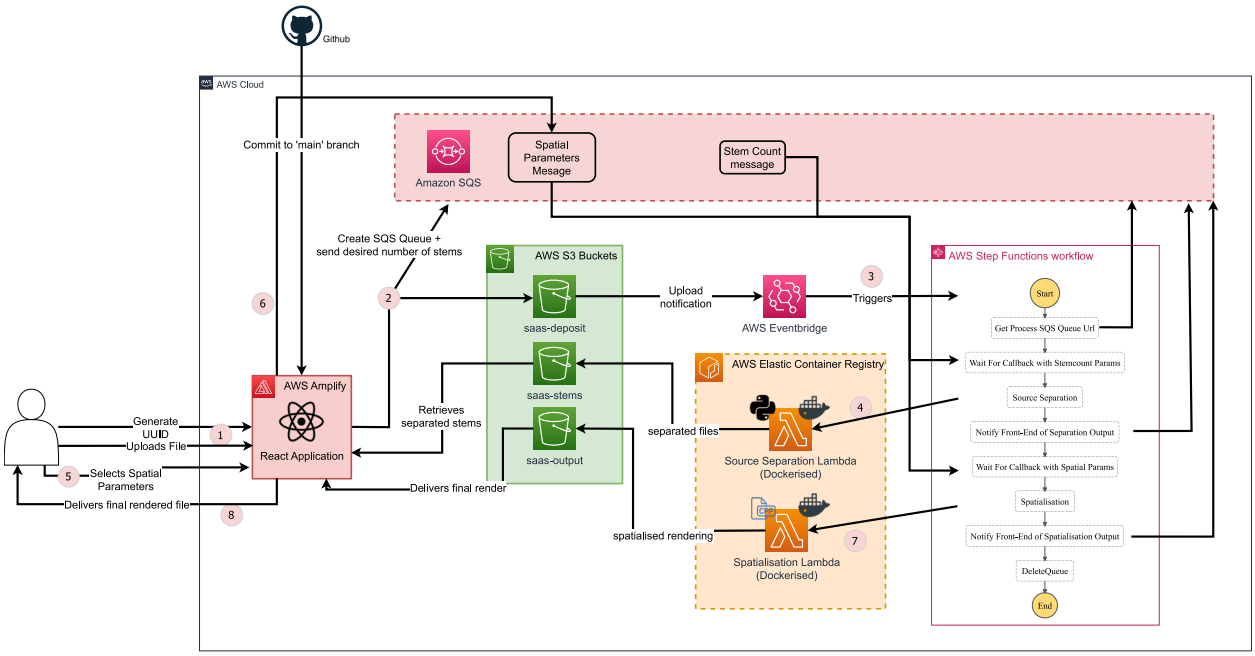

Figure 5: Final cloud architecture diagram

7 Implementation

– start small, project timeline aimed to reach MVP then refine - minimum requirements clearly defined, frontend not a priority – AWS threw up a few challenges - workflow consisted of working until a problem then consulting documentation for assistance – ci/Cd using aws amplify and GitHub integration. Combined with playwright unit tests for frontend and end-to-end tests in backend – made multiple branches and builds for testing purposes. Used a separate branch for developing and another to send out for user testing – recursive lambda function incident - called itself and resulted in a large bill which I needed to speak to customer service to get resolved – after results of user testing I needed to refine the product. Front end component was missing - much more time needed to be put into react.js, MUI and playwrights test writing to accommodate new react components at the unit test level – not so much feature creep than lack of time for proposed complexity - desired features required much more learning than previously thought, especially in docker builds and cmake structures – local builds proved difficult for Dev purposes, often had to wait for docker images to be built and uploaded and changes to react to be built and deployed using amplify, lots of dev time eaten up waiting

– code refactor and documentation towards end to maximise readability

8 Testing and Evaluation

Testing was a continuous process. Given the nature of the cloud environment things needed to be deployed in an environment so they could be tested.

This is why CI/CD was used. Commits and pushes to AWS triggered redeployments and the ability to test changes in a cloud environment quickly in addition to running regression tests each time.

Manual testing was required to ensure functionality was there but user testing was also used. A form and a link to an early build of the product were sent out and responses collected. These responses helped assess the effectiveness of the products functionality and guide future development processes.

Product was a success in not only delivering intended functionality but also extending it beyond the static spatial rendering but also preview the spatial audio in a real-time environment. Front end was far more in depth after refinement

9 Discussion

Success based on the initial criteria of the project. Met the core functional requirements and succeeded in creating a cloud-based service that is genuinely unique and allows easy access to trialling high-quality spatial audio to anyone with a browser and a music file.

Additionally, user feedback was very good - most were impressed with the core product despite having reservations about the user interface and its ability to convey information and instructions to the user.

However, the major issue with the project was in its inaccurate user requirements that needed redefining in the face of feedback. Initially was only concerned with the functionality for MVP. However didn't not fully consider the fact that it was real people using the project who may not initially understand what the software did. User experience was far more important than initially thought to user satisfaction. To the extent that some users did not understand the idea of spatial audio and confused the product with stereo music making software.

Given this, I did a good job of pivoting and, once the core functionality of cloud architecture was finished, spent a lot more time refining the user experience. This resulted in: a slideshow explaining the process, a much more refined ui, and even a live mockup of the results, with previews of the individual stems and able to change the parameters and hearths results in real time. While this feature did not have the same level of quality as the 3dti render, it vastly improved the user experience and showed how sources can be moved around in the virtual 3d space.

Overall I am very proud of the project and felt it hit all of the major requirements. I successfully managed to learn a bunch of new pieces of software and libraries, especially the services offered by AWS. I was able to avoid the normal pitfalls of waterfall development by adapting and meeting the need for altered requirements. The final software also showcases a good degree of skill in engineering, with code running efficiently and being well documented, in addition to following code style practises.

Given another few weeks of development I would have done better refactoring and separating the concerns of my front end code, however, given the complexity of the proposed product it is a great achievement to get a finished product. Also able to stay on time and project wasn't derailed even when falling behind (like trips to LA lol)

10 Further Work

Thankfully this project met a lot of the requirements, even the ones found after user testing.

However improvements could be made to the cold starts of the cloud rendering Initial separation of stems takes a long time because of cold starts and a way to reduce this would be most important could also add a load bar to track the progress of the lambda function would go a long way to I creasing user experience metrics

Demonstrate the capabilities of 3d toolkit better - allow changing of HRTF and BRIR profiles to the users tastes - this could be reflected in the 3d model also - it's good for basic demo but going a bit further would be great

Finally; improve UI further - make more detailed and precise.

11 Conclusions

Possible to perform complex and compute-heavy audio processing activities in a public cloud environment, even including user input to guide the process. Main drawbacks are the fact that processing time can take a while in some activities. For example, tensorflow being used for audio stem separation. However, the project proved that such an architecture is possible and improves accessibility to those without the requisit hardware.

As a promotional product success was mixed. Significant amount of UX work was required to produce a product that was customer friendly, and in the context of SIEE, this was not given as much consideration as required. Future developments would focus on these issues as well as improving the performance of the overall processing pipeline.

A Appendix: Code Snippets

A.1 Lambda Function Dockerfiles

```
# Define global args
ARG FUNCTION_DIR="/function"
ARG BASE=python:3.9

# Pull base python image
FROM ${BASE} as build-image

# Install dependencies needed for lambda runtime API
RUN apt-get update && \
    apt-get install -y \
    g++ \
    make \
    cmake \
    unzip \
    libcurl4-openssl-dev

# Declare args for build

ARG SPLEETER_VERSION=2.3.2
ENV MODEL_PATH app/pretrained_models
ARG FUNCTION_DIR
RUN mkdir -p ${FUNCTION_DIR}

# Install pip dependencies from reqirements file
COPY requirements.txt .
RUN pip install \
    --target ${FUNCTION_DIR} \
    -r requirements.txt

# Copy app files
COPY app/ ${FUNCTION_DIR}

# Pull clean image for second stage
FROM ${BASE}

# Install dependencies needed for Spleeter
RUN apt-get update && \
    apt-get install -y \
    ffmpeg \
    libsndfile1

# Copy output from 1st build image into working directory
ARG FUNCTION_DIR
WORKDIR ${FUNCTION_DIR}
COPY --from=build-image ${FUNCTION_DIR} ${FUNCTION_DIR}
```

```
46
47 #Declare entry points for the function and the lambda handler command
48 ENTRYPOINT [ "/usr/local/bin/python", "-m", "awslambdaric" ]
49 CMD [ "app.handler" ]
```

Listing 1: Dockerfile for source separation Lambda function that is hosted in the AWS Elastic Container Registry

```
1  # Pull latest amazon linux image
2  FROM amazonlinux:latest
3  RUN yum update -y
4  RUN yum install -y yum-utils
5
6  # Install required tools
7  RUN yum -y groupinstall "Development tools"
8  RUN yum install -y gcc-c++ zlib-devel cmake3
9
10 # Specify compilers
11 ENV CC=gcc CXX=g++
12
13 # Install required tools
14 RUN yum clean -y metadata
15 RUN yum install -y libcurl-devel
16 RUN yum install -y openssl-devel
17 RUN yum install -y pulseaudio-libs-devel
18 RUN pip3 install awscli
19
20 # Fetch and install AWS Lambda Runtime for C++
21 RUN git clone https://github.com/awslabs/aws-lambda-cpp-runtime.git && \
22     cd aws-lambda-cpp-runtime && mkdir build && cd build && \
23     cmake3 .. -DCMAKE_BUILD_TYPE=Release \
24     -DBUILD_SHARED_LIBS=OFF \
25     -DCMAKE_INSTALL_PREFIX=/usr \
26     && make -j8 && make install
27
28 # Fetch and install AWS C++ SDK (S3 and transfer libraries only)
29 RUN git clone https://github.com/aws/aws-sdk-cpp.git --recursive aws-sdk-cpp && \
30     cd aws-sdk-cpp && mkdir build && cd build && \
31     cmake3 .. -DBUILD_ONLY="s3;transfer" \
32     -DBUILD_SHARED_LIBS=OFF \
33     -DENABLE_UNITY_BUILD=ON \
34     -DCMAKE_BUILD_TYPE=Release \
35     -DCMAKE_INSTALL_PREFIX=/usr/local \
36     && make -j8 && make install
37
38 # Fetch 3DTI toolkit and dependencies
39 RUN git clone https://github.com/3DTune-In/3dti_AudioToolkit.git 3dti_AudioToolkit && \
40     cd 3dti_AudioToolkit/3dti_ResourceManager/third_party_libraries/ && \
```

```
    git clone https://github.com/USCiLab/cereal.git cereal && \
    rm -rf sofacoustics && \
    git clone https://github.com/sofacoustics/API_Cpp.git sofacoustics && \
    rm sofacoustics/libsofa/lib/libsofa.a

# Build 3DTI toolkit
RUN cd
↪ 3dti_AudioToolkit/3dti_ResourceManager/third_party_libraries/sofacoustics/libsofa/build/linux
↪ && \
    make CONFIG=Release

# Fetch and install C++ logger
RUN git clone https://github.com/gabime/spdlog.git && \
    cd spdlog && mkdir build && cd build && \
    cmake3 .. && make -j && make install

# Copy program source files
RUN mkdir -p src
ADD src ./src/
ADD utils ./src/
COPY CMakeLists.txt ./src/
COPY config .aws/

# Build source files
RUN mkdir build && cd build && \
    cmake3 ../src -DCMAKE_BUILD_TYPE=Release \
    -DBUILD_SHARED_LIBS=OFF \
    -DCMAKE_INSTALL_PREFIX=/usr

#Build AWS deployment package
RUN cd build && make && make aws-lambda-package-spatialisation

# Unzip deployment package
RUN cd build && unzip spatialisation.zip -d unpacked

# Point to compiled function
ENTRYPOINT ["/build/unpacked/bin/spatialisation"]

# Uncomment line below for Docker Image Debugging
#CMD ["/usr/sbin/init"]
```

Listing 2: Dockerfile for spatialisation Lambda function that is hosted in the AWS Elastic Container Registry

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