

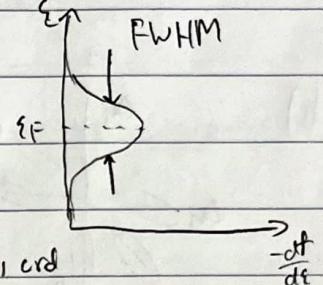
### HW 3

△ 1) a)  $\text{FWHM} = 7k_B T$

△ I'm going to call  $\frac{\epsilon - \epsilon_F}{k_B T} = x$  to simplify solving

$$f = \frac{e^{-x}}{e^{x+1}}, \frac{df}{dx} = \frac{d}{dx}(e^{x+1})^{-1} \rightarrow -((e^{x+1})^{-2} \cdot (1 - e^x)) \cdot e^x$$

$$\frac{df}{dx} = -\frac{e^x}{k_B T (e^{x+1})^2} \rightarrow -\frac{df}{dx} = \frac{e^x}{k_B T (e^{x+1})^2}$$



△ this plot from class shows  $\max(-\frac{df}{dx})$  is

△ at  $x = x_F$ . Then, we can find  $\frac{1}{2} \max(-\frac{df}{dx})$ , and

△ get the  $\epsilon$  values, and find the difference,  $\Delta \epsilon_{1/2 \max}$

$$-\frac{df}{dx}(\epsilon_F) = \frac{e^0}{k_B T (e^{x_F})^2} = \frac{1}{4k_B T} \rightarrow \frac{1}{2} \max = \frac{1}{8k_B T}$$

$$\frac{1}{8k_B T} = \frac{e^x}{k_B T (e^{x+1})^2} \rightarrow \frac{1}{8} = \frac{e^x}{(e^{x+1})^2}, (e^{x+1})^2 = 8e^x$$

$$(e^x)^2 + 2e^x - 1 = 8e^x \rightarrow (e^x)^2 - 6e^x + 1 = 0$$

Quadratic

$$6 \pm \sqrt{(6)^2 - 4(1)(1)} = 3 \pm \sqrt{32} = 3 \pm 2\sqrt{2} = e^x$$

$$\ln(e^x) = \ln(3 \pm 2\sqrt{2}) \rightarrow x = 1.7627 \text{ AND } -1.7627, \Delta x = 2(1.7627) = 3.525$$

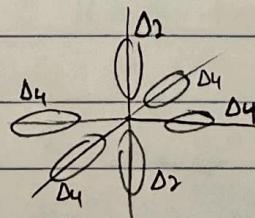
$$\text{Remember } x = \frac{\epsilon - \epsilon_F}{k_B T}, \Delta \frac{\epsilon - \epsilon_F}{k_B T} = 3.5 \rightarrow \boxed{\frac{\epsilon_F - \epsilon_V}{k_B T} = 3.5 k_B T}$$

△ b) The inequality must now focus on the valence band compared to

△ the Fermi-level. Since the Fermi-level "has" higher energy than the

△ Valence band, we now have  $\boxed{\epsilon_F - \epsilon_V > 3.5 k_B T}$

△ 2) a)

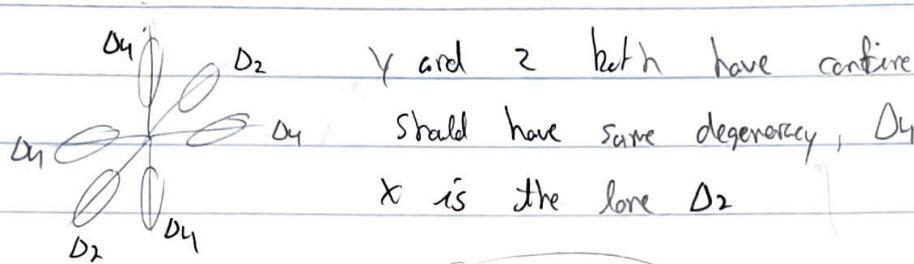


3)a) Now 1D, so confinement in y,z.

$$\left( E = E_0 + \frac{\hbar^2}{2} \frac{q_x^2}{m_x} + \frac{\hbar^2}{2m_y} \left( \frac{n_x}{L_y} \right)^2 + \frac{\hbar^2}{2m_z} \left( \frac{n_y}{L_z} \right)^2 \right)$$

confinement

b)  $\Delta_4$   $\Delta_2$  y and z both have confinement,



$$5) n = \int g_{20}(E) f_0 dE = g_{20,\text{max}}(E) \int \frac{1}{1 + e^{(E - E_0)/kT}} dE \rightarrow \ln(1 + e^{(E_F - E)/kT})$$

$$n = \frac{m_{\text{H}_2}}{\pi k^2} kT \ln(1 + e^{(E_F - E)/kT})$$

## Problem 2

b)

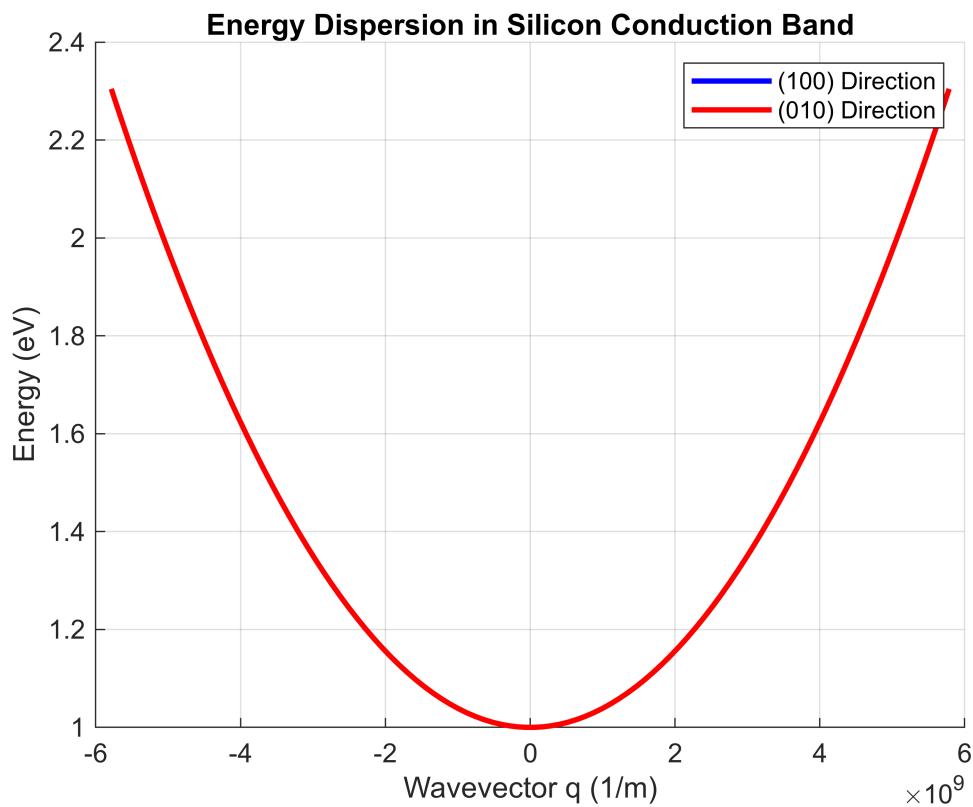
```
clc
clear
close all

a = 5.43e-10; % Angstrom
L = 1e-9; % 1 nm
e = 1.6e-19; % C
n = 1;
e_c = e; % 1 eV, for simplicity
h_bar = (6.626e-34) / (2 * pi);
m_o = 9.1e-31; % mass of electron
m_l = 0.98 * m_o;
m_t = 0.19 * m_o;
m_z = m_l; % z-direction effective mass
m_y = m_l; % y-direction effective mass
m_x = m_l; % x-direction effective mass

qx = linspace(-pi/a, pi/a, 100); % x-space
qy = linspace(-pi/a, pi/a, 100); % y-space

e_x = e_c + (h_bar^2 / 2) * (qx.^2 / m_x); % from (000) to (100)
e_y = e_c + (h_bar^2 / 2) * (qy.^2 / m_y); % from (000) to (010)

figure;
hold on;
plot(qx, e_x / e, 'b', 'LineWidth', 2); % Energy along (100)
plot(qy, e_y / e, 'r', 'LineWidth', 2); % Energy along (010)
xlabel('Wavevector q (1/m)');
ylabel('Energy (eV)');
title('Energy Dispersion in Silicon Conduction Band');
legend('(100) Direction', '(010) Direction');
grid on;
hold off;
```



These curves overlap each other, meaning they have the same energy

c) twofold degenerate (along z-direction)

```

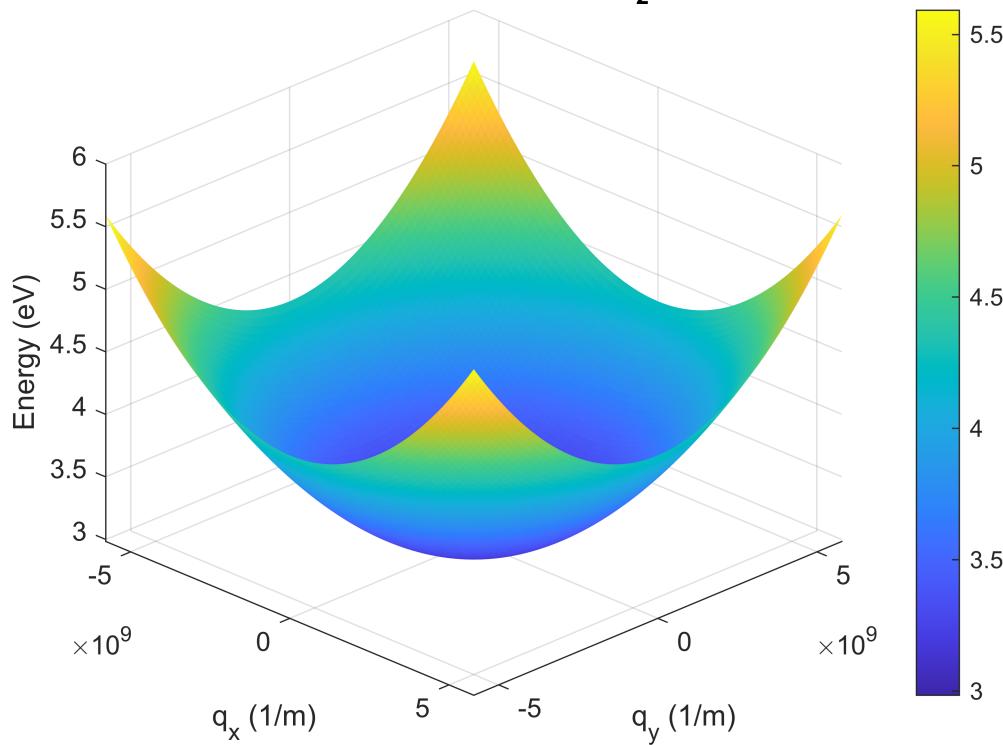
m_z = m_t; % z-direction effective mass
m_y = m_l; % y-direction effective mass
m_x = m_l; % x-direction effective mass

[QX, QY] = meshgrid(qx, qy);

e_twofold = e_c + (h_bar^2 / 2) * ((QX.^2 / m_x) + (QY.^2 / m_y)) + (h_bar^2 / (2 * m_z)) * (n * pi / L)^2;
figure;
surf(QX, QY, e_twofold / e, 'EdgeColor', 'none'); % Smooth surface plot
xlabel('q_x (1/m)');
ylabel('q_y (1/m)');
zlabel('Energy (eV)');
title('Energy Dispersion in Silicon (\Delta_2 Valley)');
colorbar;
view(45, 30); % Adjust view angle
grid on;

```

### Energy Dispersion in Silicon ( $\Delta_2$ Valley)



Since this is bounded in the z-direction, it is a 2D density of states (DOS), while the z-axis mass is  $m_l$

$$m_{DOS}^* = \sqrt{m_1 m_2} = \sqrt{m_x m_y} = \sqrt{m_t m_t} = m_t$$

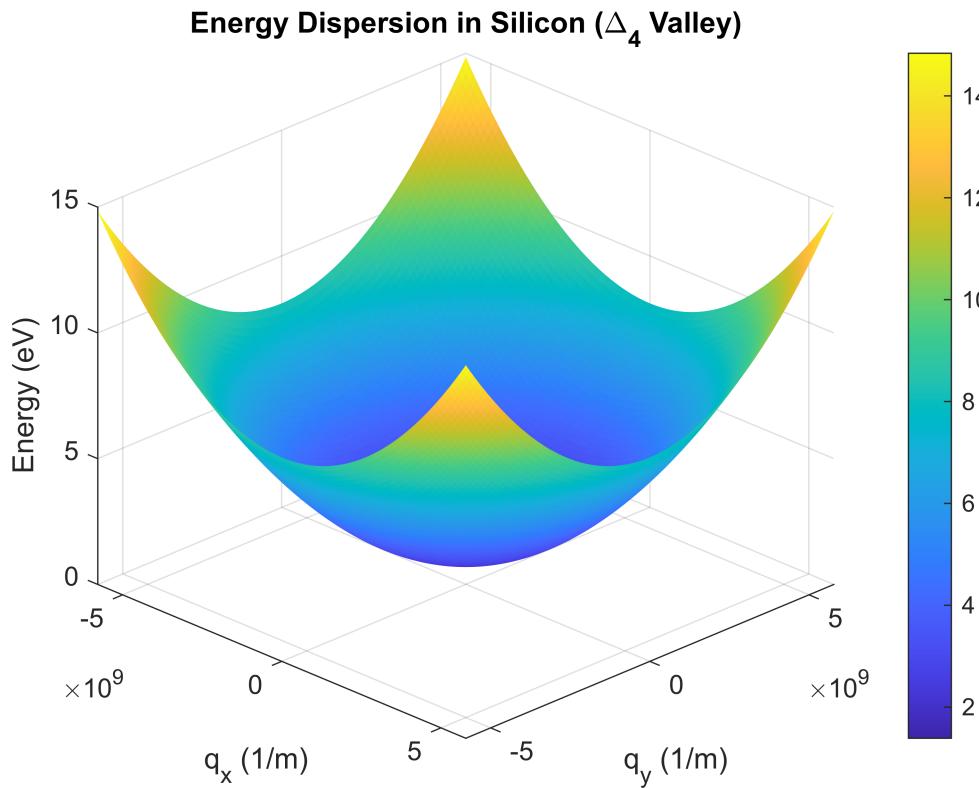
$$g_{2D} = \frac{m^*}{\pi \hbar^2} = \frac{m_t}{\pi \hbar^2}$$

```
g_2D_D2 = m_t / (pi * h_bar^2);
g_2D_D2 = g_2D_D2/ e;
```

d) fourfold degenerate (along x and y-direction)

```
m_z = m_l; % z-direction effective mass
m_y = m_t; % y-direction effective mass
m_x = m_t; % x-direction effective mass
e_fourfold = e_c + (h_bar^2 / 2) * ((QX.^2 / m_x) + (QY.^2 / m_y)) + (h_bar^2 / (2 * m_z)) * (n * pi / L)^2;
figure;
surf(QX, QY, e_fourfold / e, 'EdgeColor', 'none'); % Smooth surface plot
xlabel('q_x (1/m)');
ylabel('q_y (1/m)');
zlabel('Energy (eV)');
title('Energy Dispersion in Silicon (\Delta_4 Valley)');
colorbar;
view(45, 30); % Adjust view angle
```

```
grid on;
```



$$m_{DOS}^* = \sqrt{m_1 m_2} = \sqrt{m_x m_y} = \sqrt{m_t m_l}$$

for either x or y direction, that axes will be  $m_t$ , while the other will be  $m_l$ , so they will both have the same  $m_{DOS}^*$

$$g_{2D} = \frac{m^*}{\pi \hbar^2} = \frac{\sqrt{m_t m_l}}{\pi \hbar^2}$$

```
g_2D_D4 = sqrt(m_1 * m_t) / (pi * h_bar^2);
g_2D_D4 = g_2D_D4 / e;
```

e)

For each subgroup, n, there should be two steps. One for the twofold degeneracy and one for the fourfold.

```
E_n_D2 = zeros(1, 5);
E_n_D4 = zeros(1, 5);

for n = 1:5          % calculating for the first 5 subbands
    E_n_D2(n) = (h_bar^2 / (2 * m_1)) * (n * pi / L)^2;
    E_n_D4(n) = (h_bar^2 / (2 * m_t)) * (n * pi / L)^2;
end

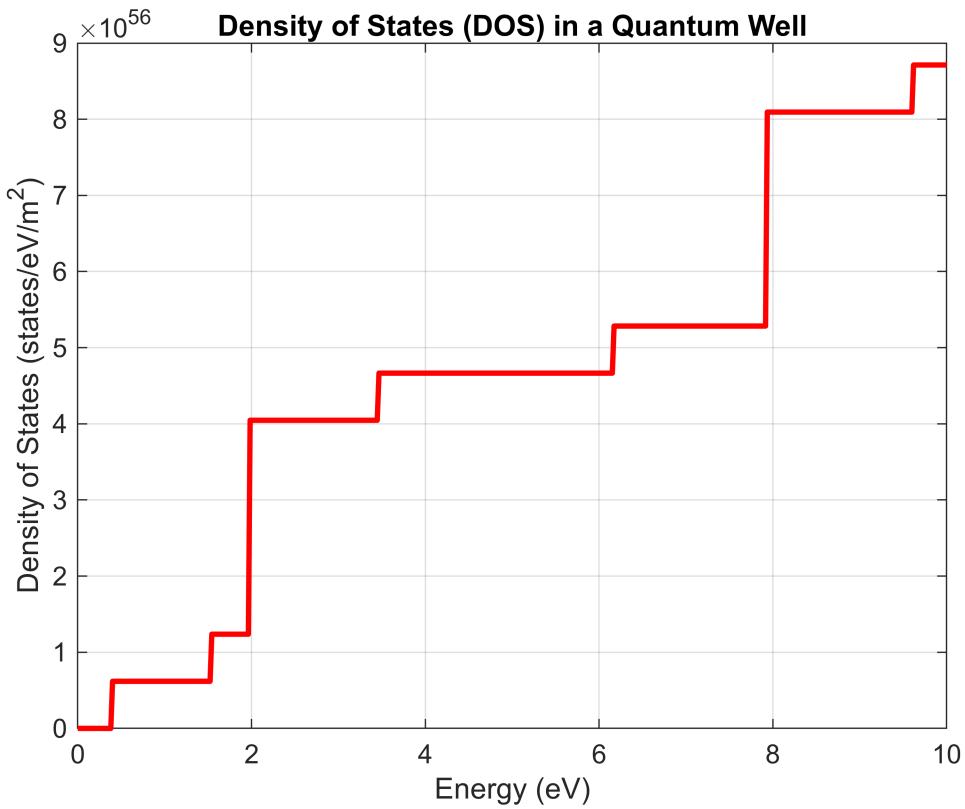
E = linspace(0, 10, 500) * e; % Energy range from 0 to 0.5 eV
g_DOS_2D = zeros(size(E));
```

```

for n = 1:5
    for i = 1:length(E)
        if E(i) >= E_n_D2(n)
            g_DOS_2D(i) = g_DOS_2D(i) + 2 * g_2D_D2; % Add DOS for 2-fold valley
        end
        if E(i) >= E_n_D4(n)
            g_DOS_2D(i) = g_DOS_2D(i) + 4 * g_2D_D4; % Add DOS for 4-fold valley
        end
    end
end

% Plot the quasi-2D density of states
figure;
plot(E / e, g_DOS_2D, 'r', 'LineWidth', 2);
xlabel('Energy (eV)');
ylabel('Density of States (states/eV/m^2)');
title('Density of States (DOS) in a Quantum Well');
grid on;

```



### Problem 3

We are confined in both the y and z-direction, therefore, we can only calculate the energy dispersion relationship over momentum in the k-direction.

c)

```

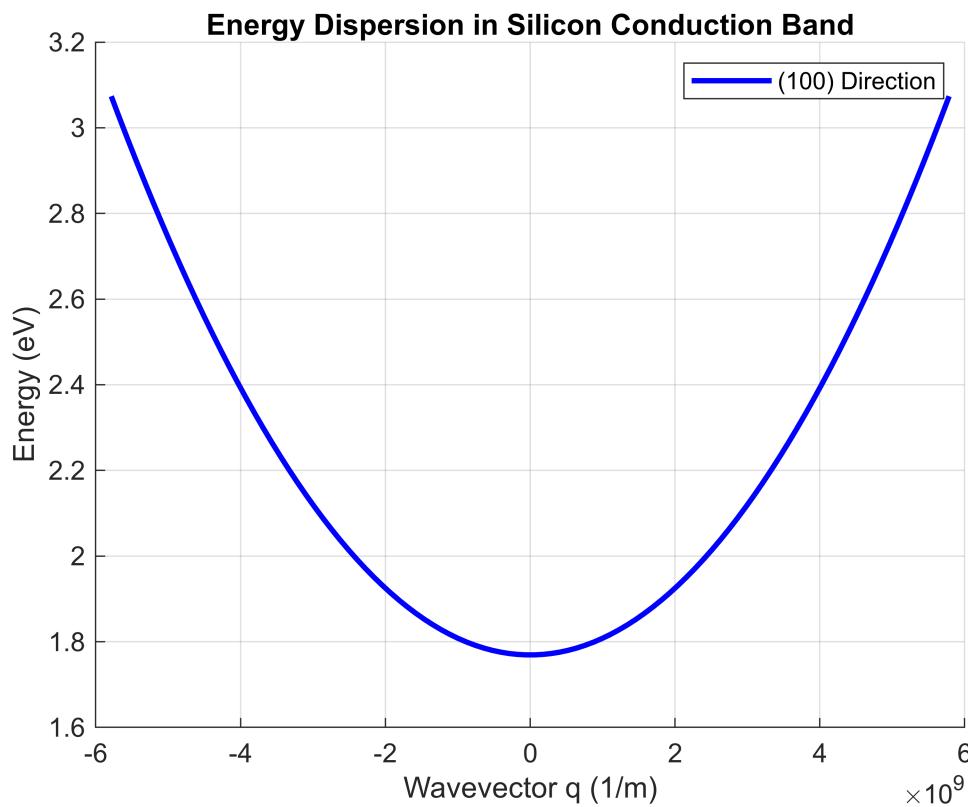
a = 5.43e-10; % Angstrom
L_z = 1e-9; % 1 nm
L_y = L_z;
e = 1.6e-19; % C
n = 1;
e_c = e; % 1 eV, for simplicity
h_bar = (6.626e-34) / (2 * pi);
m_o = 9.1e-31; % mass of electron
m_l = 0.98 * m_o;
m_t = 0.19 * m_o;
m_z = m_l; % z-direction effective mass
m_y = m_l; % y-direction effective mass
m_x = m_l; % x-direction effective mass
n_y = 1;
n_z = 1;

qx = linspace(-pi/a, pi/a, 100); % x-space

e_x = e_c + (h_bar^2 / 2) * (qx.^2 / m_x) + (h_bar^2 / (2 * m_y)) * (n_y * pi / L_y)^2 + ...
(h_bar^2 / (2 * m_z)) * (n_z * pi / L_z)^2;

figure;
hold on;
plot(qx, e_x / e, 'b', 'LineWidth', 2); % Energy along (100)
xlabel('Wavevector q (1/m)');
ylabel('Energy (eV)');
title('Energy Dispersion in Silicon Conduction Band');
legend('(100) Direction');
grid on;
hold off;

```



d)

```

E = linspace(0, 8, 500) * e; % Energy range from 0 to 0.5 eV
En = zeros(5, 5);

for n_y = 1:5
    for n_z = 1:5
        En(n_y, n_z) = (h_bar^2 / (2 * m_y)) * (n_y * pi / L_y)^2 + ...
                        (h_bar^2 / (2 * m_z)) * (n_z * pi / L_z)^2;
    end
end

g_DOS = zeros(size(E));

for n_y = 1:5
    for n_z = 1:5
        E_nyz = En(n_y, n_z);
        for i = 1:length(E)
            if E(i) >= E_nyz
                g_DOS(i) = g_DOS(i) + (1 / (pi * h_bar)) * sqrt(m_x / (2 * (E(i) - E_nyz)));
            end
        end
    end
end

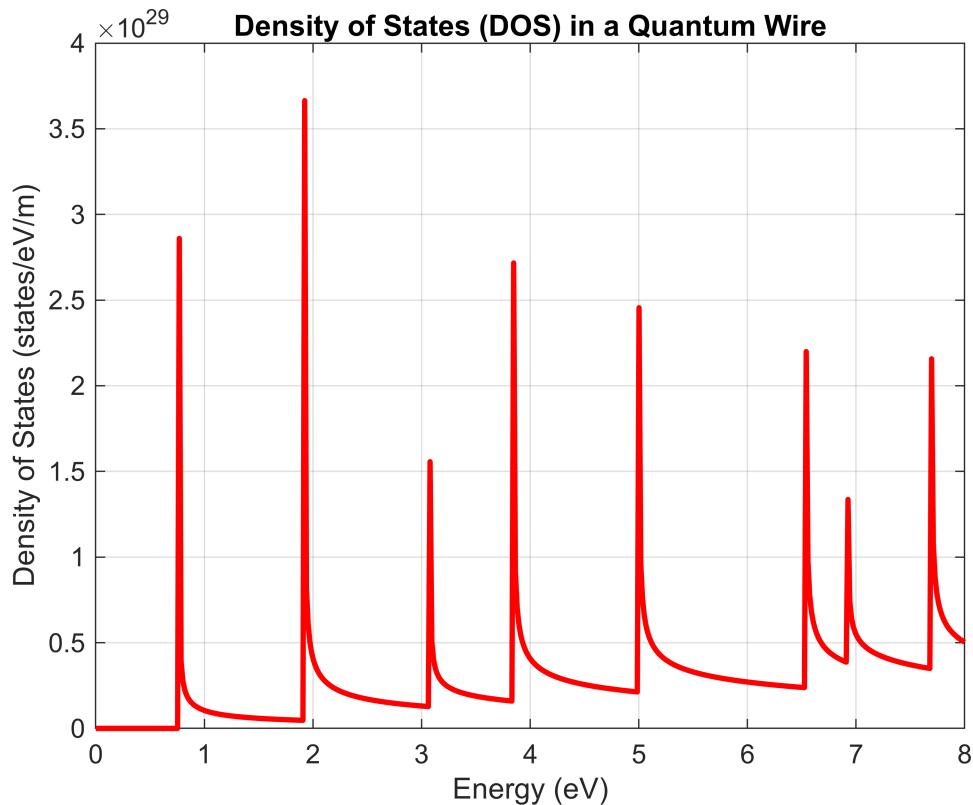
```

```

g_DOS_1D = g_DOS / e;

% Plot the 1D density of states
figure;
plot(E / e, g_DOS, 'r', 'LineWidth', 2);
xlabel('Energy (eV)');
ylabel('Density of States (states/eV/m)');
title('Density of States (DOS) in a Quantum Wire');
grid on;

```



## Problem 4

```

g_DOS_2D = g_DOS_2D / L_z;
g_DOS_1D = g_DOS_1D / L_z^2;

g_DOS_3D = zeros(size(E));
m_DOS = (m_1^3)^(1/3); % not needed but still did it to show
% how the effective mass is obtained

for i = 1:length(E)
    if E(i) > e_c
        g_DOS_3D(i) = (1 / (2 * pi^2)) * ((2 * m_DOS / h_bar^2)^(3/2)) * sqrt(E(i))
    - e_c);
        end
    end

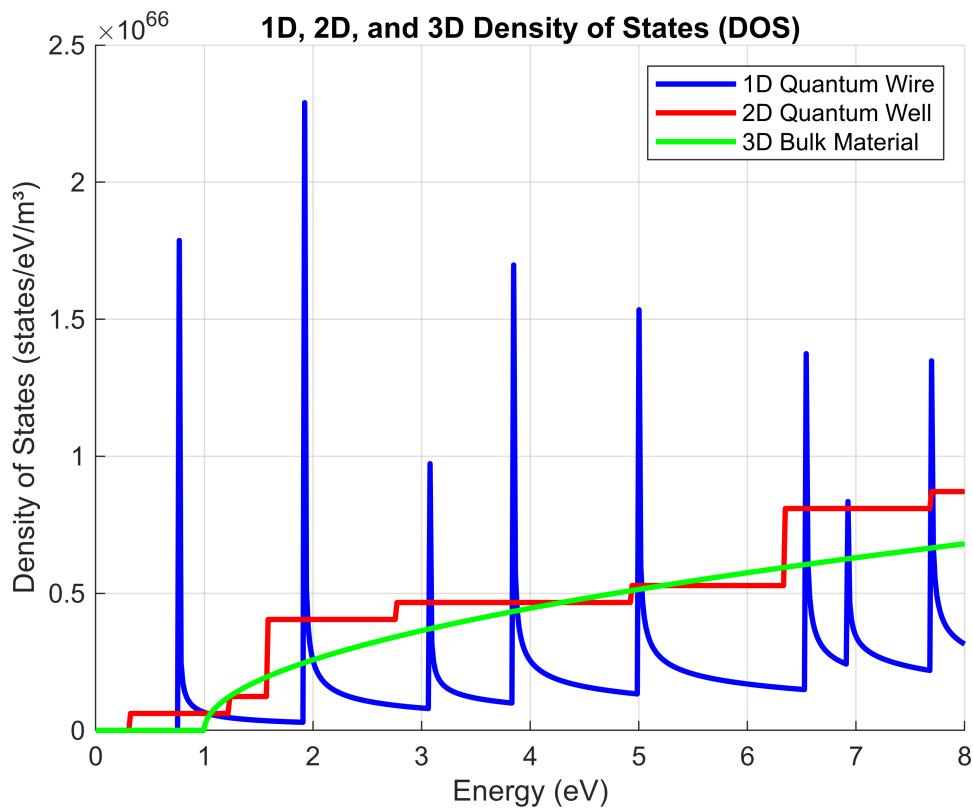
```

```

g_DOS_3D = g_DOS_3D / e;

figure;
hold on;
plot(E / e, g_DOS_1D, 'b', 'LineWidth', 2); % 1D DOS (Quantum Wire)
plot(E / e, g_DOS_2D, 'r', 'LineWidth', 2); % 2D DOS (Quantum Well)
plot(E / e, g_DOS_3D, 'g', 'LineWidth', 2); % 3D DOS (Bulk Material)
xlabel('Energy (eV)');
ylabel('Density of States (states/eV/m3)');
title('1D, 2D, and 3D Density of States (DOS)');
legend('1D Quantum Wire', '2D Quantum Well', '3D Bulk Material');
grid on;
hold off;

```



```

clc
close all
clear

L = 1e-9; % 1 nm
e = 1.6e-19; % C
kT = 0.0259 * e; % J
h_bar = (6.626e-34) / (2 * pi);
m_o = 9.1e-31; % kg
m_t = 0.19 * m_o; % in-plane effective mass
m_z = 0.98 * m_o; % confinement direction

% 2D DOS per subband
g_2D = m_t / (pi * h_bar^2);

% Calculating for 5 subbands energies
En = zeros(1, 5);
for n = 1:5
    En(n) = (h_bar^2 * (n * pi / L)^2) / (2 * m_z);
end
E1 = En(1);

```

Since there are discrete subbands we must account for them when calculating the Fermi-level energy. I originally tried using fsolve() for this, but I struggled and had problems obtaining a graph that looked like this.

```

% Sweep a range of Ef values (J)
Ef_range = linspace(E1, En(end) + 10*kT, 1000);
n_vals = zeros(size(Ef_range));

% Loop over Ef and compute total density
for i = 1:length(Ef_range)
    Ef = Ef_range(i);
    n_total = 0;
    for n = 1:5
        n_total = n_total + g_2D * kT * log(1 + exp((Ef - En(n)) / kT));
    end
    n_vals(i) = n_total;
end

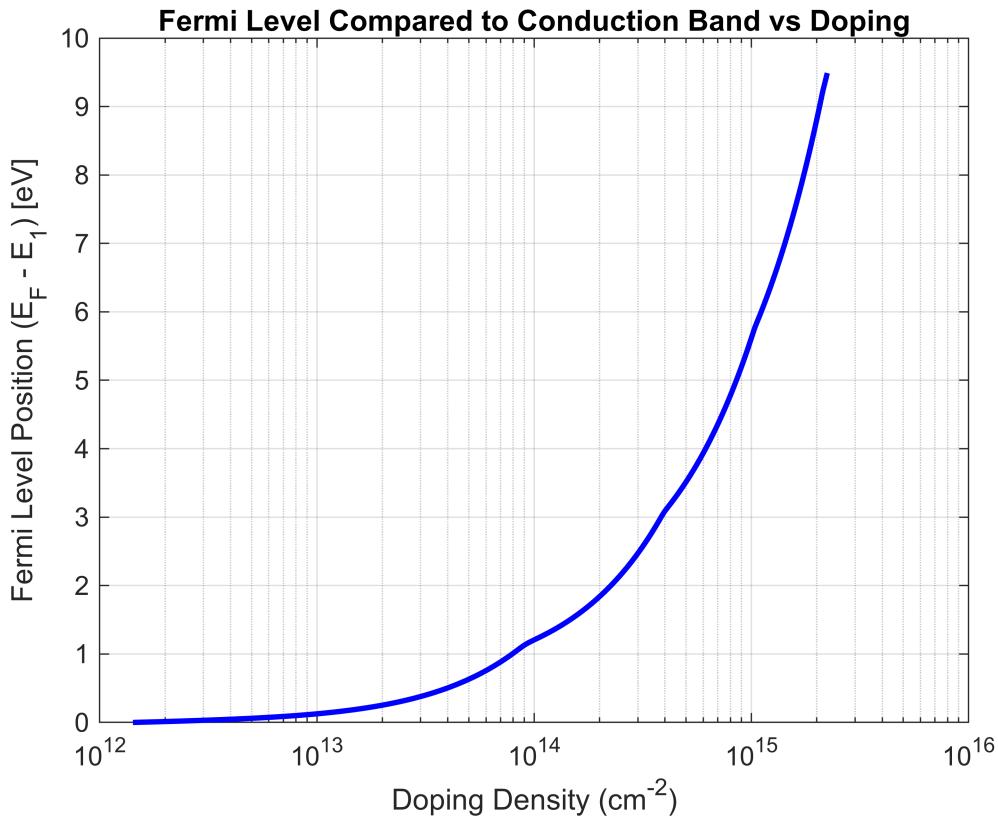
n = n_vals / 1e4;

% Convert Ef to eV relative to the bulk conduction band
Ef_rel = (Ef_range - E1) / e;

% Plot
figure;
semilogx(n, Ef_rel, 'b', 'LineWidth', 2);
xlabel('Doping Density (cm^{-2})');
ylabel('Fermi Level Position (E_F - E_1) [eV]');
title('Fermi Level Compared to Conduction Band vs Doping');

```

```
grid on;
```



Now you can see that this follows the exponential what we might expect. It will gradually take us greater and greater doping to increase the fermi-level. The subbands of the well introduce steps within the plot that make it different than a typical 3D, unbounded, plot.

I'm having difficulty plotting any values for doping as high as  $10^{16}$  and above with the logarithms. This property of the graph still stays the same, with the steps being introduced from the subbands.