2019 Spring - System Programming Midterm 2

Virtual Memory

- Why do we use VM?
 - Use main memory efficiently (caching)
 - Simplify memory management (each process has its own virtual address space)
 - Isolate address spaces (protection: extend PTE with permission bits)

Address Translation

- (Only with Page Table)

 Processor \xrightarrow{VA} MMU \xrightarrow{PTEA} Page Table \xrightarrow{PTE} MMU. If hit, done.

 (Miss) Page Fault Exception/Handler fetches page and updates PTE.
- (TLB) MMU uses the VPN portion of the VA to access the TLB

Translation Lookaside Buffer

- Small cache of page table entries in the memory management unit
- Virtually addressed cache where each line holds a block consisting of a single PTE
- TLB hit eliminates the memory accesses required to do a page table lookup¹
- Linux Page Fault Handling
 - Is the VA legal? (Segmentation Fault)
 - Is the memory access legal? (Protection)
- Memory Mapping
 - VM areas initialized by associating them with disk objects
 - Area gets its initial values from regular file or anonymous file
 - Dirty pages are copied back and forth between memory and swap file

 $^{^1\}mathrm{If}\ k\text{-level}$ page table is used, we need k memory accesses...

Dynamic Memory Allocation

- Why do we use dynamic memory allocation?
 - Acquire VM at runtime (size of data unknown until runtime)
 - Manage VM of each process (heap)
- Requirements and Goals for Memory Allocators
 - Requirements
 - * Can't control number or size of blocks
 - * Immediate response to requests
 - * Allocation only in free memory
 - * Alignment (8/16 byte 32/64 bit machine)
 - * Can't move allocated blocks (No compaction)
 - Goals (Maximize)
 - * Throughput: Number of completed requests per unit time
 - * **Peak Memory Utilization**: After k requests, aggregate payload P_k : the sum of currently allocated payloads, heap size H_k , then

$$U_k = \frac{\max_{i \le k} P_i}{H_k}$$

- Fragmentation: Unused memory is not available to satisfy allocate requests
 - Internal Fragmentation: Payload is smaller than block size
 - * Overhead of maintaining heap data structures
 - * Paddings for alignment
 - * Policy decisions ...
 - External Fragmentation: Enough free memory but no single block is large enough
 - * Depends on future requests
- Implict Free List: Free blocks linked implicitly by the size fields in the headers
 - Header + Payload + (Optional) Padding
 - Header contains size and allocation status
 - Allocation status at the LSB of the header (Useable due to alignment)
 - Linear time allocation

- Placement Policy: Where to allocated the requested block?
 - Trading off throughput for less fragmentation
 - First Fit: Search whole list, choose first free block that fits
 - Next Fit: Start search where the previous search left off
 - Best Fit: Search whole list, choose the block with least fragmentation
 - Allocated space might be smaller than the free space \rightarrow Split (maybe)

• Coalescing: Merge adjacent free blocks

- False Fragmentation: Large enough free memory chopped up into small free blocks,
 and therefore unusable
- Immediate Coalescing: Coalesce each time a block is freed
- Deferred Coalescing: Do it some later time (ex. when some allocation fails, when scanning the list, when external fragmentation reaches some limit)

Boundary Tags

- Replicate header into footer
- Used for coalescing previous blocks: constant time coalescing possible
- Internal fragmentation: extra space for footer can use a lot of memory due to alignment.
- Fix. For allocated blocks, use the second LSB to contain allocation status of the previous block and remove footer. (Free blocks still need footer)

• Explicit Free List: Maintain list of only free blocks

- Structure: Header + Pointers to prev/next free block + (Empty Space) + Footer
- (First fit) Allocation time is linear in the number of *free* blocks.
- Insertion Policy: Where to put the newly freed block?
 - * LIFO: Insert at the beginning
 - · Simple and constant time freeing
 - · Fragmentation may be worse
 - * Address Ordered: Free list blocks are always in address order
 - · Fragmentation may be lower than LIFO
 - · But requires search...

• Explicit Free List: Comparison to Implicit Free List

- Allocation is linear in the number of **free** blocks, not **all** blocks
- More complicated to free block and insert (doubly linked list)
- Extra space needed for the prev/next pointer, increases internal fragmentation

• Segregated Free List: Different free lists for different size classes

- Different free lists for different size or range of sizes
- Allocation Process (Segregated Fits)
 - * Search for free block in the appropriate free list
 - * If block found, split and place fragment on appropriate list (optional)
 - * If not found, keep searching next larger class of free list
 - * Still not found: request additional heap memory (call sbrk), allocate into the new memory and insert remaining block into appropriate size class
 - * Freeing: Free and coalesce, insert into appropriate size class

Advantages

- * Higher throughput (\log time for 2^k size classes)
- * Better memory utilization
 - \cdot First-fit search \approx best-fit search of entire heap
 - · Extreme case: Giving each block its own size class is equivalent to best-fit

• Garbage Collection: Automatic reclamation of heap storage

- Garbage: allocated blocks not needed by the program
- Certain blocks cannot be used if there are no pointers to them
- Memory as a graph

* Node: Each block

* Edge: Each pointer

- * Root Node: Locations not in the heap the contain pointers to heap (ex. registers, locations on stack, global variables)
- * A node is **reachable** is there exists a path from any root to that node
- * Non-reachable node is garbage

Mark & Sweep Garbage Collection

- Mark: Start at roots and set mark bit on each reachable block
- Sweep: Scan all blocks and free blocks that are not marked

• Conservative² Mark & Sweep in C

- Pointers in C can point to the middle of the block
- Use a balanced binary tree to keep track of all allocated blocks, with start of block address as key
- Balanced-tree pointers can be stored in header (two additional words)

Memory Bugs

- Dereferencing illegal pointers
- Reading uninitialized memory
- Overwriting memory (Stack/Buffer overflows)
- Referencing nonexistent variables
- Double free
- Referencing freed blocks
- Not freeing blocks (memory leaks)

 $^{^2\}mathrm{C}$ language does not tag memory locations with type information...

Network Programming

- Client Server Model
 - A server process and one or more client processes
 - Server manages some **resource**, provides **service** for clients
 - Client requests activate server
 - 1. Client sends request
 - 2. Server handles request
 - 3. Server sends response
 - 4. Client handles response
- Computer Networks
 - **Network** is a hierarchical system organized by geographical proximity
 - * LAN(local area network): spans building/campus
 - * Ethernet: Most popular LAN technology
 - Ethernet Segment consists of a collection of hosts connected by wires to a hub
 - * Spans small areas: room, floor in a building
 - * One end attached on a host, other end attached to a **port** on the hub
 - * Each Ethernet adapter has 48-bit address (MAC address)
 - * Host sends frames(chunk of bits) to any other host on the segment
 - * Each frame includes some fixed number of **header** bits (identify source and destination), frame length, payload(data)
 - Bridged Ethernets: Multiple Ethernet segments connected into larger LANs
 - * Bridges: set of wires and small boxes (...)
 - * Spans entire buildings or campuses
 - * Make better use of the available wire bandwidth than hubs
 - * Clever distributed algorithm: Learn which hosts are reachable from which ports and then selectively copy frames from one port to another only when it is necessary
 - Multiple incompatible LANs can be connected by specialized computers called routers to form an internet
 - * Each router has an adapter (port) for each network that is connected to

* WAN(wide area network): Routers can also connect high-seed point-to-point phone connections

- Protocol Software running on each host and router

- * Possible to send bits across incompatible LANs and WANs
- * Smooths out the differences between the different networks
- * Implements a **protocol** that governs how host and routers cooperate to transfer data

* Provides naming scheme

- · Defines a uniform format for host addresses
- Each host/router is assigned at least one internet addresses that uniquely identifies it

* Provides delivery mechanism

- · Defines a uniform way to bundle up data bits into packets
- Packet consists of header (packet size, address of src/dest) and payload (data bits from src)

• Transferring internet Data (Encapsulation)

- 1. Client on host A copies data from the client's VA space into kernel buffer (system call)
- 2. Protocol SW on A creates *LAN1 frame* by appending an internet header and a LAN1 frame header to the data (**Encapsulation**)
- 3. LAN1 adapter copies the frame to the network
- 4. Router's LAN1 adapter reads the frame from the wire and passes it to the protocol SW
- 5. Router fetches the destination address from the *internet packet header* and uses it as an *index into a routing table* to determine where to forward the packet. Remove LAN1 frame header and append LAN2 frame header, pass the result to adapter
- 6. LAN2 adapter copies the frame to the network
- 7. Host B's adapter reads the frame from the wire and passes it to the protocol SW
- 8. Protocol SW on B strips of packet header and frame header. Eventually copies the resulting data into the server's VA space when a read system call is invoked

Global IP Internet

Most famous example of an internet

- TCP/IP Protocol family

- * IP (Internet Protocol): Provides basic naming scheme and unreliable delivery capability of packets from host to host
- * UDP (Unreliable Datagram Protocol): Uses IP to provide unreliable datagram delivery from process to process
- * TCP (Transmission Control Protocol): Uses IP to provide **reliable** byte streams from process to process <u>over connections</u>
- Accessed via a mix of Unix file I/O and functions from the sockets interface

• Programmer's View of the Internet

- Hosts are mapped to a set of 32-bit IP addresses
- Each IP address is mapped to an identifier called Internet domain names
- A process on one Internet host can communicate with a process on another Internet host over a connection

IP Addresses

- 32-bit address (IPv4) stored in an IP address struct in_addr
- Stored in network byte order (big-endian byte order)
- Unix provides functions that convert between host byte order and network byte order (htonl, htons, ntohl, ntohs)
- Humans use dotted decimal notation
- Application programs convert between IP addresses and dotted decimal strings using ${\tt inet_pton/inet_ntop}^3$

Internet Domain Names

- Numbers are hard to remember defines domain names, and a mechanism that maps domain names to IP addresses
- Domain names form a hierarchy, represented as a tree, subtrees referred to as subdomains
- The mapping is maintained in a huge worldwide distributed database called **DNS** (domain name system), consisting of host entries.

³p for presentation, n for network

Internet Connections

- Clients and servers communicate by sending streams of bytes over connections
 - * Point-to-Point: Connects a pair of processes
 - * Full-Duplex: Data flows in both directions at the same time
 - * Reliable: Stream of bytes are received in the same order it was sent
- A **socket** is an endpoint of a connection
- Socket address: IPaddress:port pair
- **Port** is a 16-bit int that identifies a process
 - * Ephemeral Port: Assigned automatically by client kernel when client makes a connection request
 - * Well-known Port: Associated with some *service* provided by a server (ex. 80-http, 22-ssh ...)
- A connection is uniquely identified by the socket address of its endpoints (socket pair) (clientaddr:clientport, serveraddr:serverport)

Sockets Interface

- Set of system level functions used in conjunction with Unix I/O to build network applications
- Socket is an endpoint of communication to the kernel, a *file descriptor* to an application, that enables read/write from/to the network
- Clients and servers communicate with each other by reading and writing to socket descriptors
- Difference between regular file I/O: How the application "opens" the socket descriptors

Socket Address Struct

- * sockaddr, SA: Generic socket address for arguments to connect, bind, accept
- * sockaddr_in: Internet specific socket address (IPv4)
- socket function (= application buffer allocation)
 - * int socket(int domain, int type, int protocol);
 - * Clients and servers use socket to create socket descriptor
 - * Returns non-negative descriptor, only partially opened and cannot yet be used for reading and writing

- * This function is *protocol specific*. Use getaddrinfo
- connect function (= set a connection to a server)
 - * int connect(int clinetfd, const SA *addr, socklen_t addrlen);
 - * Client establishes a connection by calling connect
 - * Attempt to establish a connection with server at socket address addr
 - * addrlen is sizeof(sockaddr_in)
 - * If successful, clientfd is ready for read/write
 - * Resulting connection is characterized by the socket pair
 (x:y, addr.sin_addr:addr.sin_port) (x: Client's IP, y: ephemeral port
 that uniquely identifies the client process on the client host)
 - * Using getaddrinfo is the best practice
- bind function (= bind socket to service)
 - * int bind(int sockfd, SA *addr, socklen_t addrlen);
 - * Asks the kernel to associate the server's socket address with a socket descriptor
 - * Process can read bytes that arrive on the connection whose endpoint is addr by reading from descriptor sockfd
 - * Writes to sockfd are transferred along connection whose endpoint is addr
- listen **function** (= tells the kernel that this will be a server socket)
 - * int listen(int sockfd, int backlog);
 - * Tells the kernel that the descriptor will be used by a server instead of a client
 - * Converts sockfd from an active socket to a *listening socket* that can accept connection request from clients
 - * backlog is a hint about the number of outstanding connection requests that the kernel should queue up before it starts to refuse requests
- accept function (= receive connection request)
 - * int accept(int listenfd, SA *addr, int *addrlen);
 - * Server waits for connection requests from clients by calling accept
 - * Waits for connection request to arrive on the connection bound to listenfd, then fills in client's socket address in addr and size of the socket address in addrlen
 - * Returns a *connected descriptor* that can be used to communicate with the client via Unix I/O routines

Connected vs. Listening Descriptors

- Listening Descriptor
 - * Endpoint for client connection requests
 - * Created once and exists for lifetime of the server
- Connected Descriptor
 - * Endpoint of connection between client and server
 - * New descriptor created each time the server accepts a connection request
 - * Exists only as long as it takes to service client
- Distinction needed: Allows for concurrent servers that can communicate over many client connections simultaneously

Host and Service Conversion

- getaddrinfo function
 - * Modern way to convert string representations of hostnames, host addresses, ports and service names to SA
 - * Re-entrant, portable protocol-independent (IPv4/v6 both OK)
 - * int getaddrinfo(const char *host, char *service,
 const struct addrinfo *hints, struct addrinfo **result);
 - * Given host and service, returns result that points to a linked list of addrinfo structs, each of which points to a corresponding socket address struct, and which contains arguments for the sockets interface functions.
 - * Client walks the list trying each socket address in turn, until the calls to socket and connect succeed
 - * Server walks the list until calls to socket and bind succeed.
- addrinfo struct
 - * Contains arguments that can be passed directly to socket function
 - * Points to a socket address struct that can be passed directly to connect, bind
- getnameinfo function
 - * int getnameinfo(const SA *sa, socklen_t salen, char *host, size_t hostlen, char *serv, size_t servlen, int flags);
 - * Inverse of getaddrinfo, converts socket address to the corresponding host and service

* Also re-entrant and protocol-independent

Sockets Helper

- open_clientfd
 - * int open_clientfd(char *hostname, char *port);
 - * Establish a connection with a server
- open_listenfd
 - * int open_listenfd(char *port);
 - * Server creates listening descriptor that is ready to receive connection requests

accept Illustrated

- 1. Server blocks in accept, waiting for connection request on listenfd
- 2. Client makes connection request by calling and blocking in connect
- 3. Server returns connfd from accept, client returns from connect
- 4. Connection between clientfd and connfd established

Web Servers

- Clients and servers communicate using HTTP
- Web servers return content to clients (MIME)
- Static Content: Contents stored in files and retrieved in response to an HTTP request
- Dynamic Content: Content produced on-the-fly in response to an HTTP request
- Web content is associated with some file managed by the Web server
- URL(universal resource locator): unique name for each file
- Clients use *prefix* to infer protocol, server, port
- Servers use *suffix* to find file on the system or determine if request is for static/dynamic content

HTTP Request

- A request line, followed by zero or more request headers
- <method> <uri> <version>
- method: GET, POST ...

- uri: URL for proxies, URL suffix for servers (uniform resource identifier)
- version: HTTP version of request
- Headers: <header name> : <header data>

• HTTP Responses

- A response line followed by zero or more response headers, possibly followed by content, with blank line \r separating headers from content
- <version> <status code> <status msg>
- status code: numeric status
- status msg: corresponding English text
- Headers: <header name> : <header data>

• Serving Dynamic Content

- 1. Client sends request to server
- 2. URI contains /cgi-bin, server assumes dynamic content
- 3. Server creates child process and runs the program identified by the URI
- 4. The child runs and generates dynamic content
- 5. Server forwards the content to the client
- Request arguments appended to the URI. List starts with ?, separated by &
- Arguments are passed to the child in QUERY_STRING
- Server uses dup2 to redirect child's stdout to its connected socket

• **CGI**(Common Gateway Interface)

- Original standard for generating dynamic content (now replaced)
- Defines a simple standard for transferring information between the client, server,
 the child process

Concurrent Programming

Hard!

- Human mind is sequential, misleading notion of time
- Considering all possibilities of **interleaving** is impossible
- Races: Outcome depends on arbitrary scheduling decisions
- Deadlock: Improper resource allocation preventing progress, stuck waiting for an event that will never happen
- Livelock, starvation, fairness etc.

Concurrent Servers

- Process-based: Automatic interleaving by kernel, private address space for each flow
- **Event**-based: Manual interleaving, shared address space, I/O multiplexing
- Thread-based: Automatic interleaving by kernel, shared address space (Mixup)

Process Based Server

- Separate process for each client (fork)
- Must reap all zombie children
- accept process
 - 1. Server blocks in accept, waits for connection request on listenfd
 - 2. Client makes connection request by connect
 - 3. Server returns connfd from accept, Forks child to handle client
 - 4. Connection between clientfd and connfd is established
- No shared states between clients
- Both parent & child have copies of listenfd and connfd: parent should close connfd, child should close listenfd (considering refcnt)
- Pros
 - * Clean sharing model file tables (o), descriptors/global var.(x)
 - * Simple and straightforward
- Cons
 - * Additional overhead for process control

* Hard to share data between processes (IPC)

Event Based Server

- Maintains a set of active connections by an array of connfds
- Repeats:
 - * select which descriptors have pending inputs
 - \ast If listenfd has input, accept the connection
 - * Add new connfd to array
 - * Service all connfds with pending inputs
- Pros
 - * One logical control flow and shared address space
 - * Can single step with debugger
 - * No process or thread control overhead
 - * Gives programmers more control over the behavior
- Cons
 - * Too complex
 - * Hard to provide fine-grained concurrency
 - * Cannot take advantage of multi-core (single control)
- **Thread**: Logical flow that runs in the context of a process
 - Thread context: Registers, Condition Codes, Stack Pointer, Program Counter,
 Thread ID, own stack (for local var)
 - Threads share same code, data, and kernel context (VA space)
 - Threads pprox pools of concurrent flow that access the same data 4
 - Concurrent if flows overlap in time $\,$

Threads vs. Processes

- Similarities
 - * Own logical control flow
 - * Can run concurrently with others
 - * Context switching

⁴Processes form a tree hierarchy, where threads do not

Differences

- * Threads share all code and data (except local stacks)
- * Threads are less expensive than processes (they have less context)

• Posix Threads (pthreads) Interface

- Standard interface that manipulate threads from C programs
- Creating Threads

```
* int pthread_create(pthread_t *tid, NULL, func *f, void *arg);
```

- * tid: contains id of created thread
- * f: thread routine
- * arg: arguments for f
- Terminating Threads

```
* void pthread_exit(void *thread_return);
```

- * int pthread_cancel(pthread_t tid);
- * Terminates the thread with tid
- Reaping Threads
 - * int pthread_join(pthread_t tid, void **thread_return);
 - * Blocks until thread tid terminates, and reaps terminated thread
 - * Can only wait for a specific thread

Detaching Threads

- * Joinable thread: Can be reaped and killed by other threads, memory is not freed until reaped.
- * Detached thread: Cannot be reaped by other threads, memory is freed automatically on termination
- * int pthread_detach(pthread_t tid);

Thread Based Server

- Run only detached threads: reaped automatically
- Free vargp, close(connfd) necessary
- Each client handled by each peer thread
- Careful to avoid unintended sharing (malloc)
- Functions in the thread routine must be thread-safe

$-\mathsf{Pros}$

- \ast Easy to share data between threads (perhaps too easy)
- * Efficient than processes (cheaper context switch)

- Cons

- $* \ {\sf Unintended \ sharing}$
- * Difficult to debug

Synchronization

Threads Memory Model

- Variable shared ←⇒ Multiple threads reference the variable
- Multiple threads run within the context of a single process
- Threads have its own thread context (TID, SP, PC, CC, REG)
- Share the remaining process context

• Variable Instances in Memory

- Global Variables: Exactly one instance
- Local Variables: Each thread stack has one instance each
- Local Static Variables: Exactly one instance⁵

• Concurrent Execution & Process Graphs

- Interleaving of any order possible; May cause errors
- Process Graph depicts the discrete execution state space of concurrent threads
- Axis: sequential order of instructions in a thread
- Each point: Possible execution state
- Trajectory: is a sequence of legal state *transitions* of possible concurrent execution (one set of interleaving)
- Critical Section (w.r.t a shared var): load \sim store instruction
- Instructions in critical section should not be interleaved
- Unsafe Region: Intersection of critical sections
- Trajectory is $\mathit{safe} \iff \mathsf{does} \; \mathsf{not} \; \mathsf{pass} \; \mathsf{unsafe} \; \mathsf{region}$
- Enforce mutual exclusion to synchronize the execution of threads so that they can never have an unsafe trajectory
- Semaphores: Non-negative global integer synchronization variable
 - Mainpulated by P, V operations
 - P(s) (= Lock)
 - * If $s \neq 0$, s-- and return (happens atomically)

⁵It's similar to global variables, just that its scope is limited to the function

- * If s=0, suspend until $s\neq 0$, and the thread is restarted by a V operation
- * After restart, P decrements s and returns control to caller
- V(s) (= Unlock)
 - * s++ and return
 - * If any threads blocked in P are waiting, restart exactly one of those threads, 6 which enables P to decrement s.
- Semaphore Invariant: $s \ge 0$

• Semaphores for Synchronization

- Associate a unique semaphore **mutex** (initially 1) with each shared var
- Surround corresponding critical sections with P, V operations
- Binary Semaphores: Value is 0 or 1
- Mutex: Binary semaphores for mutual exclusion
- Counting Semaphore: Counter for set of available resources
- Synchronization makes programs run slower
- The semaphore invariant surrounds critical sections, which is the forbidden region
- Semaphore is < 0 in the forbidden region, therefore cannot be passed by any trajectory

• Semaphores to Coordinate Access to Shared Resources

- Semaphore operation to notify another thread that some condition has become true
- $-\mbox{ Use counting semaphores to keep track of resource state}$
- Mutex for protecting access to the resource

• Producer-Consumer Problem

- They share a bounded buffer with n slots
- Producer produces new items, inserts them to the buffer, notify consumer
- Consumer consumes items, removes them from the buffer, notify producer
- sbuf (shared buffer) package
- slots: counts available slots in the buffer
- items: counts available items in the buffer

⁶You don't know which will be restarted...

Reader-Writers Problem

- Reader threads only read object
- Writer threads modify the object \rightarrow Must have exclusive access
- Unlimited readers can access the object
- First readers-writers problem (Reader Favoring)
 - * No reader should be kept waiting if writer doesn't have access
 - * Reader has priority over writers
 - * Starvation for writers may happen
- Second readers-writers problem (Writer Favoring)
 - * Once a writer is ready to write, performs write ASAP
 - * Readers that arrive after a writer must wait, even if the writer is also waiting
 - * Starvation for readers may happen

Prethreaded Concurrent Server

- Creating/reaping thread is expensive! Maintain a set of worker threads!
- Server consists of main thread and a set of worker threads.
- Main thread repeatedly accepts connection from clients and places connfd in a bounded buffer
- Each worker thread removes connfd from the buffer, services client and waits for the next descriptor

• Thread Safety

- Functions called in a thread routine must be thread safe
- Thread Safe \iff Always produces correct results when called repeatedly from multiple concurrent threads
- Classes of unsafe functions
 - 1. Functions that do not protect shared variables
 - * Use P, V operations to synchronize
 - 2. Functions that keep states across multiple invocations
 - * Modify function to be re-entrant
 - 3. Functions that return a pointer to a static variable

- * Rewrite function so caller passes address of variable to store result
- * Lock and copy: Lock and copy to a another private memory location to store the result (write a wrapper function)
- 4. Functions that call other unsafe functions
 - * Just don't call them

Reentrancy

- Function is **reentrant** \iff Does not access shared variables when called by multiple threads
- Requires no synchronization process (which is expensive)

• Race Conditions

- Race when the correctness of program depends on on thread reaching point \boldsymbol{x} before another thread reaches \boldsymbol{y}
- Happens usually when programmer assumes some particular trajectory
- Avoid unintended sharing to prevent races

Deadlocks

- Deadlock ←⇒ Waiting for a condition that will never be true
- P operation is a potential problem because it blocks
- $-\ \mbox{Trajectory}$ entering deadlock region will reach deadlock state
- Often non-deterministic
- Fix: Acquire shared resources in the same order