# **CS231**n

## Convolutional Neural Networks for Visual Recognition

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### 1 Introduction

#### 1.1 History of Computer Vision

- ..
- ImageNet: Image classification challenge
- Huge improvement on 2012 Convolutional Neural Networks (CNN)

#### 1.2 CS231n Overview

- Focus on image classification
- Object detection, action classification, image captioning ...
- CNN!

## 2 Image Classification

## 2.1 Image Classification

- $\bullet \ \mathsf{Map} \text{: Input Image} \to \mathsf{Predetermined \ Category}$
- Semantic Gap computers only see the pixel values of the image
- Many problems that cause the pixel values to change
  - Viewpoints
  - Illumination
  - Deformation (poses)
  - Occlusion (part of the object)
  - Background Clutter (object looks similar to the background)
  - Interclass Variation (objects come in different sizes and shapes)
- Image classification algorithms must be able to handle all these problems

## 2.2 Attempts to Classify Images

- Finding corners or edges to determine the object
  - Brittle, doesn't work very well

- Not scalable to work with other objects

#### • Data-Driven Approach

- 1. Collect a dataset of images and labels
- 2. Use ML algorithms to train a classifier
- 3. Evaluate the classifier on new images
- Data-driven approach is much more general

### 2.3 Nearest Neighbor

- 1. Memorize all data and labels
- 2. Predict the label of the **most similar** training image
- How to compare images? **Distance Metric**!
  - $L_1$  distance (Manhattan Distance)<sup>1</sup>

$$d_1(I_1, I_2) = \sum_{\text{pixel } p} |I_1^p - I_2^p|$$

- With N examples, training takes  $\mathcal{O}(1)$ , prediction takes  $\mathcal{O}(N)$ .
- Generally, we want prediction to be fast, but slow training time is OK
- Only looking at a single neighbor may cause problems (outliers, noisy data, etc.)
- Motivation for kNNs

### 2.4 k-Nearest Neighbors

- Take the **majority vote** from k closest points
- L<sub>2</sub> distance (Euclidean Distance)

$$d_2(I_1, I_2) = \sqrt{\sum_{\text{pixel } p} (I_1^p - I_2^p)^2}$$

- ullet  $L_1$  distance depends on the coordinate system  $L_2$  doesn't matter
- Generalizing the distance metric can lead to classifying other objects such as texts

<sup>&</sup>lt;sup>1</sup>Dumb way to compare, but does reasonable things sometimes ...

#### 2.5 Hyperparameters

- **Hyperparameters** are choices about the algorithm that we set, rather than by learning the parameter from data
- ullet In kNNs, the value of k and the distance metric are hyperparameters
- Problem dependent. Must go through trial and error to choose the best hyperparameter
- Setting Hyperparameters
  - Split the data into 3 sets. Training set, validation set, and test set
  - Train the algorithm with many different hyperparameters on the training set
  - Evaluate with validation set, choose the best hyperparameter from the results
  - Run it once on the test set, and this result goes on the paper
- Never used on image data ...
  - Slow on prediction
  - Distance metrics on pixels are not informative (Distance metric may not capture the difference between images)
  - Curse of dimensionality data points increase exponentially as dimension increases, but there
    may not be enough data to cover the area densely (Need to densely cover the regions of the
    n-dimensional space, for the kNNs to work well)

#### 2.6 Linear Classification

- Lego blocks different components, as building blocks of neural networks
- Parametric Approach
  - Image x, weight parameters W for each pixel in the image
  - A function  $f:(x,W)\to$  numbers giving class scores for each class
  - If input x is an  $n \times 1$  vector and there were c classes, W must be  $c \times n$  matrix
- ullet Classifier summarizes our knowledge on the data and store it inside the parameter W
- $\bullet$  At test time, we use the parameter W to predict
- How to come up with the right structure for f?
- Linear Classifier : f(x, W) = Wx (+b)
- ullet There may be a bias term b to show preference for some class label

- (Idea) Each row of W will work as a template for matching the input image, and the dot product of each row and the input image vector will give the **similarity** of the input data to the class
- Problems with linear classifier
  - Learning single template for each class
  - If the class has variations on how the class might appear, the classifier averages out all the variations and tries to recognize the object by a single template
  - Using deeper models to remove this restriction will lead to better accuracy
- $\bullet$  Linear classifiers draws hyperplanes on the n-dimensional space to classify images
- If hyperplanes cannot be drawn on the space, the linear classifier may struggle (parity problem, multi-modal data)

## 3 Loss Functions and Optimization

#### 3.1 Motivation

- ullet We saw that W can act as a template for each class
- ullet How do we choose such W ?
- ullet To choose the best W, we should be able to **quantify** the goodness of prediction across the training data

#### 3.2 Loss Functions

- Dataset of examples:  $\{(x_i, y_i)\}_{i=1}^N$ , where  $x_i$  is an input data, and  $y_i \in \mathbb{Z}$  is a correct label for the data
- Suppose we have a prediction function f, then the **loss over the dataset** is a sum of loss over examples

$$L = \frac{1}{N} \sum_{i} L_i(f(x_i, W), y_i)$$

- ullet Now we want to choose a W that minimizes the loss function
- Multiclass SVM loss
  - Scores vector  $s = f(x_i, W)$
  - SVM loss for each data (Hinge loss)

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$

- As the score for the true category  $(s_{y_i})$  increases, the loss goes down linearly, until it gets above a safety margin because now the example is correctly classified
- Simply put We are happy<sup>1</sup> if the score for the correct label is much higher than all the other scores by some margin<sup>2</sup>
- Minimum loss is 0, maximum loss is  $\infty$
- Quadratic hinge loss May be used to put more loss on scores that are totally off (Depends on how we want to weigh off different mistakes that the classifier makes)
- Suppose we found a W that makes L=0. But this W is not unique<sup>3</sup>, so how do we choose such W?
- ullet We have only written down loss **in terms of the data**. We only told the classifier to find the W that fits the data
- But in practice, we only care about the performance on the test data

### 3.3 Regularization

- ullet We add an additional **regularization** term to the loss function, which **encourages** the model to somehow pick a simpler W
- We use a regularization penalty(loss) R(W), then

$$L = \frac{1}{N} \sum_{i} L_i(f(x_i, W), y_i) + \lambda R(W)$$

and the  $\lambda$  is a hyperparameter that trades off between data loss and regularization loss

- Common regularization functions
  - $L_2$  regularization

$$R(W) = \sum_{i} \sum_{j} W_{ij}^{2}$$

-  $L_1$  regularization

$$R(W) = \sum_{i} \sum_{j} |W_{ij}|$$

- Anything that you do to the model that penalizes the complexity of the model
- $L_1$  regularization thinks less non-zero entries are less complex,  $L_2$  thinks spreading numbers all across entries of W is less complex

<sup>&</sup>lt;sup>1</sup>We are happy when the loss is small

<sup>&</sup>lt;sup>2</sup>The constant 1 in the equation can actually be generalized

 $<sup>^32</sup>W$  will also give 0 loss

#### 3.4 Softmax Loss

- Multinomial Logistic Regression
- Multiclass SVM no interpretation for each score
- Consider the scores as unnormalized log probabilities of the classes
- $s = f(x_i, W)$

$$P(Y = k \mid X = x_i) = \frac{e^{s_k}}{\sum_i e^{s_j}} \quad \text{(softmax function)}$$

- Now we have a probability distribution, and we want this to match the distribution that put all
  it's weight on the correct label
- Loss is the  $-\log$  of the probability of the true class<sup>4</sup> (Cross-Entropy)

$$L_i = -\log\left(\frac{e^{s_{y_i}}}{\sum_j e^{s_j}}\right)$$

• Minimum loss is 0, maximum loss is  $\infty$ 

### 3.5 Optimization

- Minimize the loss function!
- Strategy #1 : Random Search (...)
- Strategy #2 : **Follow the slope** Use the geometry of the space to find *which direction from here will decrease the loss function*
- **Gradients**! Directional derivatives to find out which direction we should take a step, to minimize the loss function
- Use calculus to compute analytic gradients and use them in code

#### 3.6 Gradient Descent

- The direction of the negative gradient is the fastest decrease
- Key Idea: Starting from the initial guess  $x_0$ , iteratively compute  $x_n$  by the following

$$x_{n+1} = x_n - \gamma \cdot \nabla F(x_n)$$

and hope to converge to some x

<sup>&</sup>lt;sup>4</sup>You can view this as the KL divergence between the target and computed distribution, maximum likelihood estimate

- ullet Constant  $\gamma$  is the **step size**, sometimes called the **learning rate**, which is an important hyperparameter
- This is **slow** ... Computing gradients for each iteration is too costly
- Stochastic Gradient Descent uses a minibatch (subset of training data) to estimate the true gradient

### 3.7 Image Features

- Feeding raw pixel values don't work well
- Compute various **feature representations** of the image, concatenate them and feed it to the classifier
- What is the best feature transform? <sup>5</sup>
- Example: Color Histogram, Histogram of Oriented Gradients (Local orientation of edges)

<sup>&</sup>lt;sup>5</sup>For instance, conversion from polar to Cartesian coordinates