

# Indirect measurement of cosmic-ray proton spectrum using Earth's $\gamma$ -ray data from *Fermi* Large Area Telescope

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# Objective

- To measure CR proton spectrum between 60 GV - 2 TV using Earth's  $\gamma$ -ray data from *Fermi*-LAT through the interaction model by Kachelriess and Ostapchenko [2012]
- To test if the *Fermi*-LAT data confirm the spectral break at around 340 GV as observed by some experiments

# What are CRs

- High energy particles in space
- **Feature** : CR rigidity spectrum can be described well by power law ( $\text{Flux} \propto \text{Rigidity}^{-\text{index}}$ )
- Changes of power-law indices may involve the superposition of different acceleration or propagation mechanisms

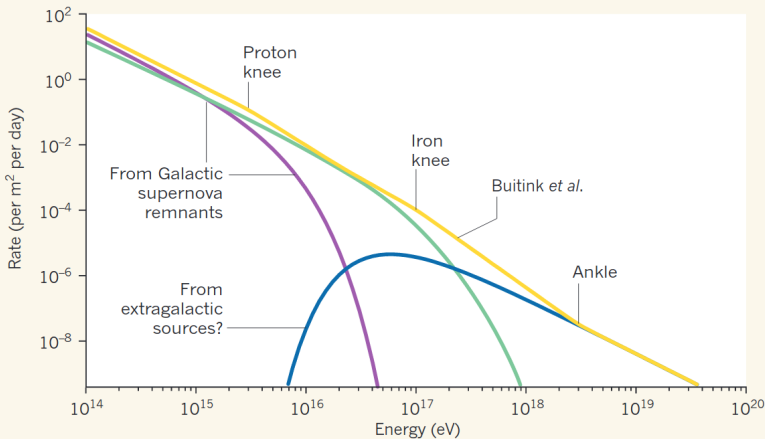


Figure: CR spectrum (figure from Taylor [2016])

## Previous study

- In 2011, PAMELA claimed to discover a break in CR proton spectrum at around 300 GV. [Adriani et al., 2011]
- In 2014, *Fermi* LAT found some hint of this break though the results were inconclusive. [Ackermann et al., 2014]
- In 2015, the AMS-02 confirmed this break.

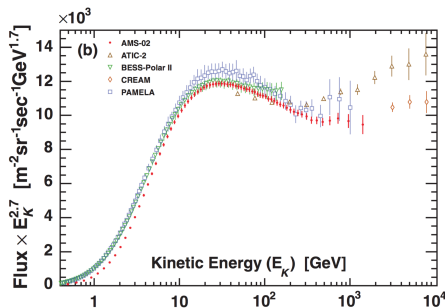
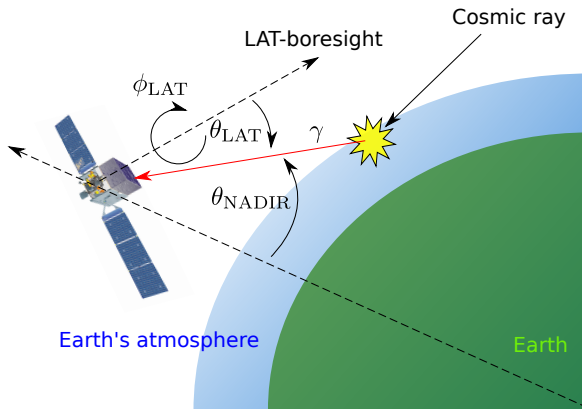


Figure: CR proton flux from Aguilar et al. [2015]

# Earth's limb $\gamma$ -ray production



# Data selection

- P8R2\_ULTRACLEANVETO\_V6 data from 07/08/2008 to 17/10/2017 ( $\sim 9$  years)
- Photon energy range from 10 GeV up to 1 TeV
- $\theta_{\text{NADIR}} \in 68.4^\circ - 70^\circ$  (Thin-target  $\gamma$ -ray emission from the Earth's limb)
- $\theta_{\text{LAT}} < 70^\circ$



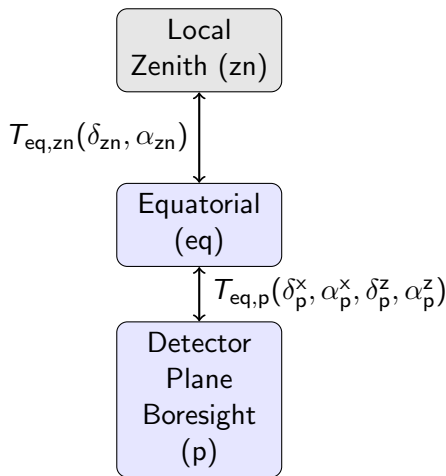
# Flux calculation method

- 1 Analyze 50 bins in energy with equal logarithmic spacing between 10 GeV - 1 TeV
- 2 Create 2D histogram count maps from photon data for each energy bin
- 3 Create 2D histogram exposure maps (effective area  $\times$  livetime) from spacecraft data for each energy bin
- 4 Calculate the Earth's  $\gamma$ -ray flux using the count and exposure maps by

$$\mathbf{Flux}(E_i) = \frac{dN}{dE}(E_i) = \left( \sum_{\text{pixel}} \frac{\text{Count}_i}{\text{Exposure}_i} \right) \frac{1}{\Delta\Omega\Delta E}$$

where  $\Delta\Omega$  is the solid angle size of the Earth's limb region,  $\Delta E$  is the energy bin width, and  $i$  is the  $i^{\text{th}}$  energy bin.

# Coordinate Transformations

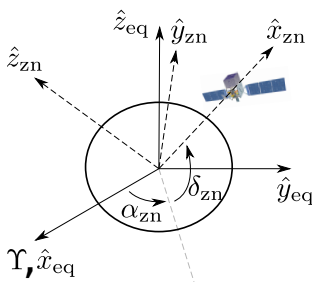


where

- **Local zenith (zn):** x-axis points to LAT's zenith, z-axis to Earth's North
- **Equatorial (eq):** z-axis points along Earth's rotation axis, x-axis towards the vernal equinox
- **Detector plane bore sight (p):** z-axis points along LAT's boresight, x-axis along one solar panel

Figure: Three reference frames

# Coordinate Transformation: zn-eq



Transformation matrix could be extracted from the relation

$$\hat{r}_{zn} \equiv T_{eq \rightarrow zn}(\delta_{zn}, \alpha_{zn}) \hat{r}_{eq}$$

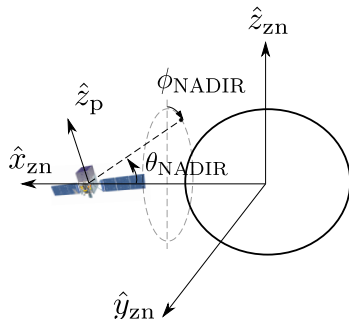
Write a unit vector of orbiting spacecraft on the basis of equatorial coordinate

$$\hat{x}_{zn} = \cos \delta_{zn} \cos \alpha_{zn} \hat{x}_{eq} + \cos \delta_{zn} \sin \alpha_{zn} \hat{y}_{eq} + \sin \delta_{zn} \hat{z}_{eq}$$

$$\hat{z}_{zn} = -\sin \delta_{zn} \cos \alpha_{zn} \hat{x}_{eq} - \sin \delta_{zn} \sin \alpha_{zn} \hat{y}_{eq} + \cos \delta_{zn} \hat{z}_{eq}$$

$$\hat{y}_{zn} = \hat{z}_{zn} \times \hat{x}_{zn}.$$

# Coordinate Transformation: p-eq



Transformation matrix is defined as

$$\hat{r}_p \equiv T_{eq \rightarrow p}(\delta_p^x, \alpha_p^x, \delta_p^z, \alpha_p^z) \hat{r}_{eq}$$

Then

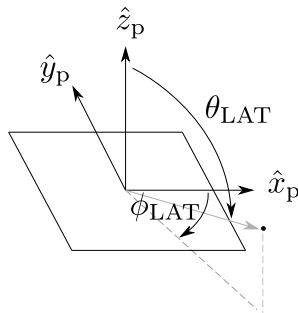
$$\begin{aligned} \hat{r}_{zn}(\theta_{NADIR}, \phi_{NADIR}) &\equiv -\cos \theta_{NADIR} \hat{x}_{zn} \\ &+ \sin \theta_{NADIR} \cos \phi_{NADIR} \hat{z}_{zn} \\ &+ \sin \theta_{NADIR} \sin \phi_{NADIR} \hat{y}_{zn} \end{aligned}$$

$$\hat{x}_p = \cos \delta_p^x \cos \alpha_p^x \hat{x}_{eq} + \cos \delta_p^x \sin \alpha_p^x \hat{y}_{eq} + \sin \delta_{zn}^x \hat{z}_{eq}$$

$$\hat{z}_p = \cos \delta_p^z \cos \alpha_p^z \hat{x}_{eq} + \cos \delta_p^z \sin \alpha_p^z \hat{y}_{eq} + \sin \delta_{zn}^z \hat{z}_{eq}$$

$$\hat{y}_p = \hat{z}_p \times \hat{x}_p$$

# Coordinate Transformation: Compact formula



$$\begin{aligned} \hat{r}_p(\theta_{NADIR}, \phi_{NADIR}) &= T_{eq \rightarrow p}(\delta_p^x, \alpha_p^x, \delta_p^z, \alpha_p^z) \\ &\times [T_{eq \rightarrow zn}(\delta_{zn}, \alpha_{zn})]^{-1} \hat{r}_{zn}(\theta_{NADIR}, \phi_{NADIR}) \end{aligned}$$

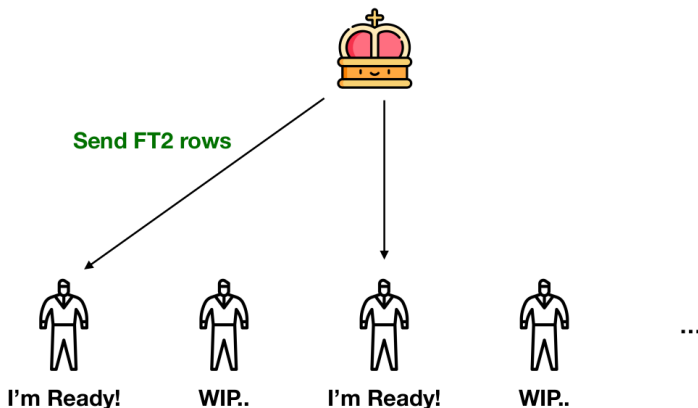
# Exposure calculation: procedures

Given a spacecraft log file (FT2) where it contains a row-like of the telescope status. The calculation steps are

- Pick a row in FT2
- Compute transformation matrices
- Mapping each nadir cell to the plane of detector
- Computes exposure time  $\times$  effective area

Then iterate this process for all records from a selected timeframe.

# Exposure calculation: parallel computing



**Figure:** Demonstrations of Master-Slave technique. WIP stands for working in progress.

# Power-law models (in rigidity)

We use 2 models of CR proton to fit the Earth's  $\gamma$ -ray data:

## Single power law (SPL)

$$\frac{dN}{dR} = R_0 R^{-\Gamma}$$

## Broken power law (BPL)

$$\frac{dN}{dR} = \begin{cases} R_0 R^{-\Gamma_1} & : E < E_{\text{Break}} \\ R_0 [R(E_{\text{Break}})]^{\Gamma_2 - \Gamma_1} R^{-\Gamma_2} & : E \geq E_{\text{Break}} \end{cases}$$

Rigidity is defined by  $R \equiv P/q$  where  $P$  is the momentum and  $q$  is the absolute value of the charge (in unit of proton charge) of a particle



# Kachelrieβ and Ostapchenko model

This model can compute the  $\gamma$ -ray spectrum from a broad and smooth power-law spectrum of CR protons

$$\frac{dN_\gamma}{dE_\gamma} \propto \sum_{E'_i} \left[ \frac{E'_i}{E_\gamma} \Delta(\ln E'_i) \right] \left[ f_{pp} \frac{dN_p}{dE'_i} \left\{ 1 + \frac{\sigma_{\text{HeN}}}{\sigma_{pN}} \left( \frac{dN_p}{dR} \right)^{-1} \frac{dN_{\text{He}}}{dR} \frac{dR_{\text{He}}}{dR_p} \right\} \right]$$

- Red color terms are for **incident proton spectrum**
- Blue color term is the He spectrum from AMS-02 (2015)
- $f_{pp} \equiv E_\gamma (d\sigma^{pp \rightarrow \gamma} / dE_\gamma)$  is the interaction cross section table in the K&O model [Kachelriess and Ostapchenko, 2012]
- The cross-section ratio  $\sigma_{\text{HeN}} / \sigma_{pN}$  at high energy ( $> 10\text{GeV}$ ) is roughly constant ( $\approx 1.6$ ) [Atwater and Freier, 1986]

# Poisson likelihood function

We determine the incident proton spectrum that best fits the  $\gamma$ -ray measurement using the maximum likelihood (or minimum log likelihood) method

$$\log \mathcal{L} \equiv \sum_{i=1}^N -\log P_{\text{pois}}(n_{i,\text{model}}, n_{i,\text{measurement}})$$

where  $P_{\text{pois}}$  is the Poisson probability of measuring  $n_{i,\text{measurement}}$  counts when the model predicts  $n_{i,\text{model}}$  counts for  $N$  energy bins

# Fitting algorithm: Particle Swarm Optimization

- Randomly initiate many particles in a given range of the parameter space
- Check global and local best particle from a defined profit function
- The rest of them move toward the global and local particles
- Iterate the process until most of them yield nearly the same profit

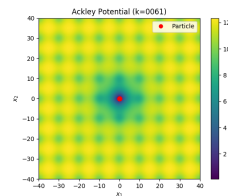
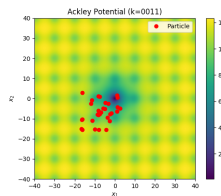
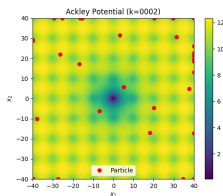


Figure: Example of particles in parameter space of Ackley potential

# Particle Swarm Optimization

For every iteration  $k$ , particle  $i$  move with velocity  $v_k^i$  where

$$v_{k+1}^i = \omega v_k^i + c^b r_k^b [b_k^i - x_k^i] + c^B r_k^B [B_k^i - x_k^i]$$

Update the new state of particle  $i$  with

$$x_{k+1}^i = x_k^i + v_{k+1}^i$$

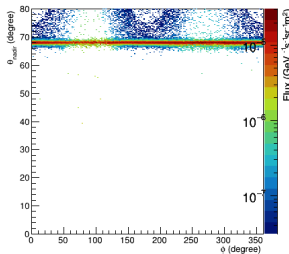
where

- $x_k^i$  represent variable that particle  $i$  hold
- $b$  and  $B$  are best local and global parameter sets along the optimization process
- Set  $\omega = 0.2$ ,  $c^b = 0.2$  and  $c^B = 0.3$

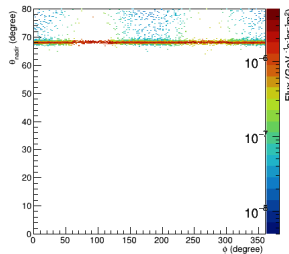
The iteration process would stop when standard deviation of fitness over any particle less than 0.1

# Flux maps

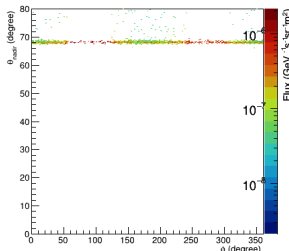
Flux map 10.45 GeV



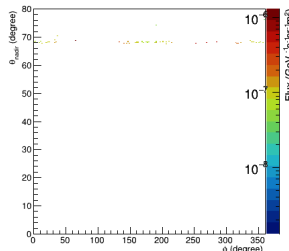
Flux map 41.61 GeV



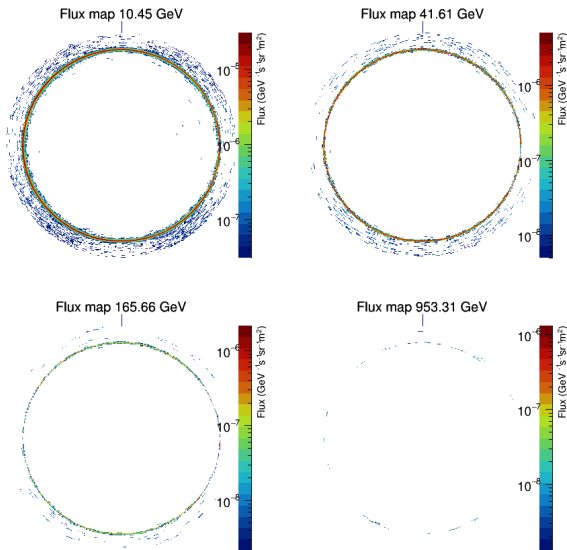
Flux map 165.66 GeV



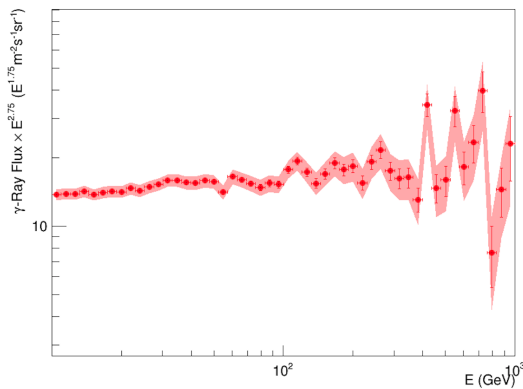
Flux map 953.31 GeV



# Flux maps



# Earth's limb $\gamma$ -ray spectrum from measurement



Error bars show statistical uncertainties and red bands show total (statistical + systematic) uncertainties. Systematic error is 5% from 10 GeV to 100 GeV and  $5\% + 10\% \times (\log_{10}(E/\text{MeV}) - 5)$  above 100 GeV.

# Results

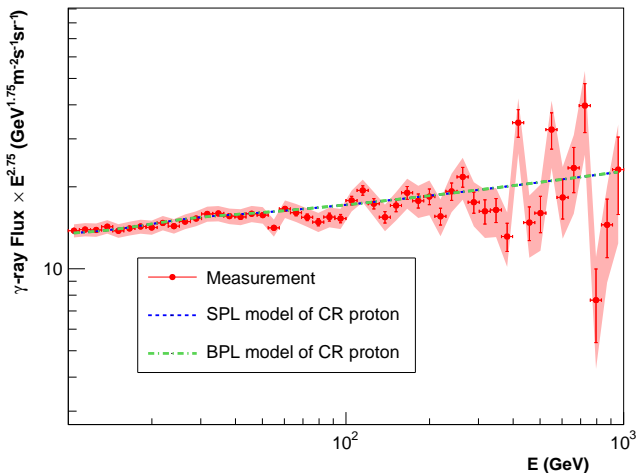
Best fits	$\Gamma_1$	$\Gamma_2$	$E_{\text{Break}}$ (GeV)
SPL	2.70	-	-
BPL	2.86	2.63	333

**Table:** Optimization results with a statistical error.

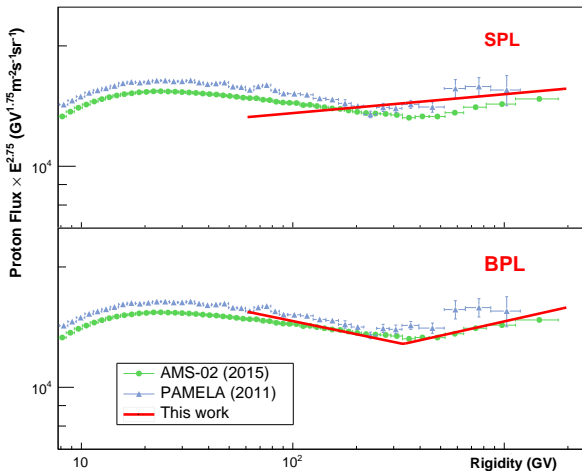
From the hypothesis testing of BPL versus SPL, it yields a confidence level at  $1.38\sigma$  (92%).



# Earth's limb $\gamma$ -ray spectra from best-fit models



# Proton spectrum



# Summary

- Our best BPL fit indicates the spectral hardening of CR proton at  $\sim 333$  GV
- This breaking point is consistent with the direct measurement by AMS-02 at  $\sim 336^{+66}_{-28}$  GV and the previous indirect measurement by Fermi LAT at  $\sim 302 \pm 62$  GV
- The BPL model fits the measured Earth's  $\gamma$ -ray spectrum better than the SPL model does at the significance level of  $1.37\sigma$  (compared to  $1.0\sigma$  in previous LAT analysis)
- Though with more than 2x increase in the amount of data, the spectral break cannot be concluded exclusively by this work
- This indirect detection method may reach its limitation due to the systematic uncertainties

# References

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# Backup slide

# Power law in energy

Converting the power law in rigidity to energy, we obtain **Single power law (SPL)**

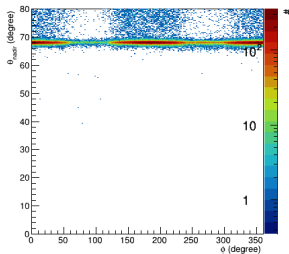
$$\frac{dN}{dE} = N_0 [E_k (E_k + 2m_p)]^{-\gamma/2} \left( \frac{E_k + m_p}{\sqrt{E_k (E_k + 2m_p)}} \right)$$

**Broken power law (BPL)**

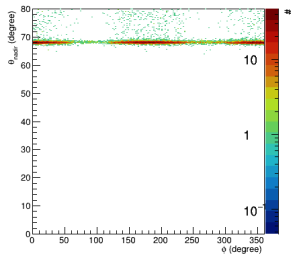
$$\frac{dN}{dE} = \begin{cases} N_0 [E_k (E_k + 2m_p)]^{-\gamma_1/2} \left( \frac{E_k + m_p}{\sqrt{E_k (E_k + 2m_p)}} \right) & : E < E_{\text{Break}} \\ N_0 [E_b (E_b + 2m_p)]^{(\gamma_2 - \gamma_1)/2} [E_k (E_k + 2m_p)]^{-\gamma_2/2} \left( \frac{E_k + m_p}{\sqrt{E_k (E_k + 2m_p)}} \right) & : E \geq E_{\text{Break}} \end{cases}$$

# Count map

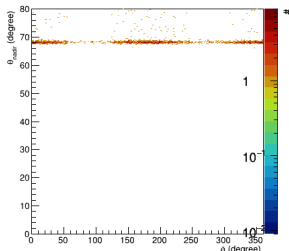
Count map 10.45 GeV



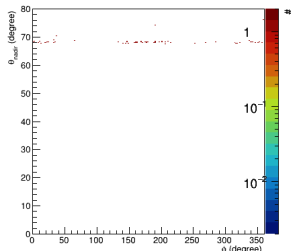
Count map 41.61 GeV



Count map 165.66 GeV

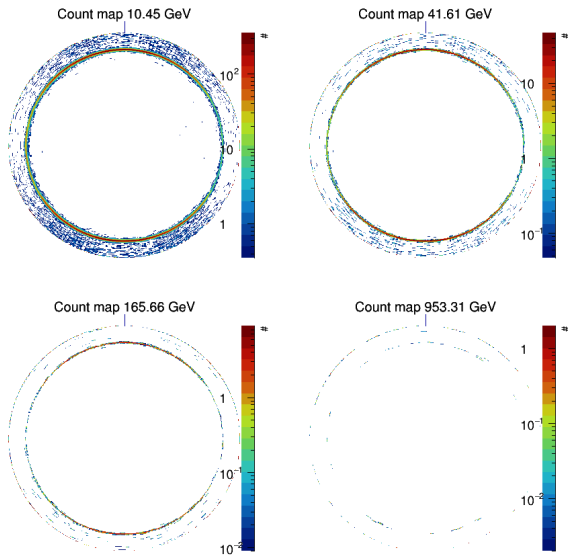


Count map 953.31 GeV

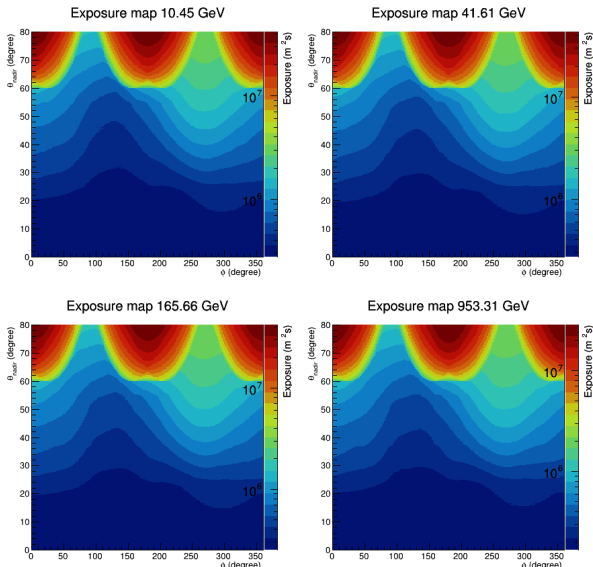




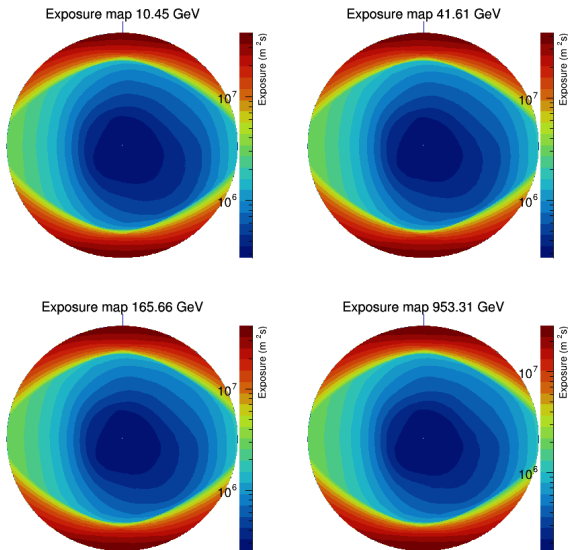
# Count maps



# Exposure maps

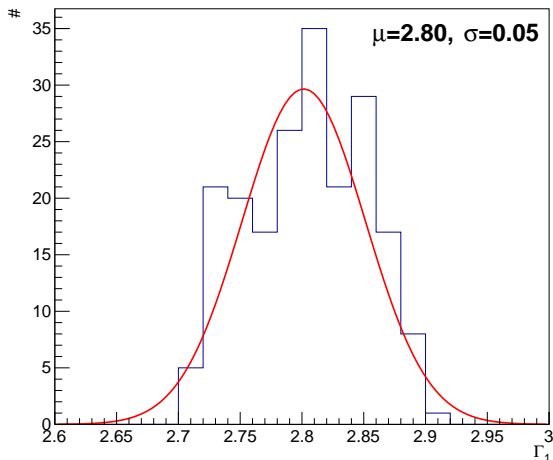


# Exposure maps



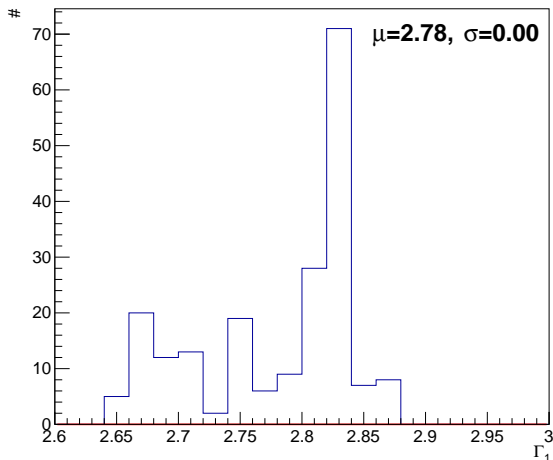
# MC Simulation (Sys. Error): SPL with 200 samplings

SPL:  $\Gamma_1$  (Systematic Error, N=200)



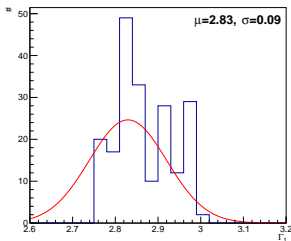
# MC Simulation (Tot. Error): SPL with 200 samplings

SPL:  $\Gamma_1$  (Total Error, N=200)

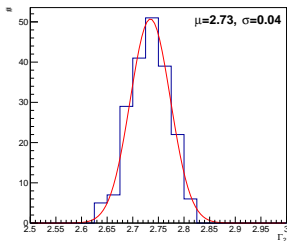


# MC Simulation (Sys. Error): BPL with 200 samplings

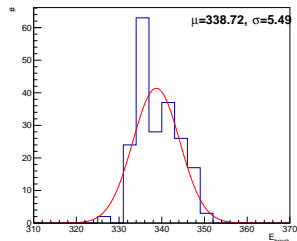
BPL:  $\Gamma_1$  (Systematic Error, N=200)



BPL:  $\Gamma_2$  (Systematic Error, N=200)

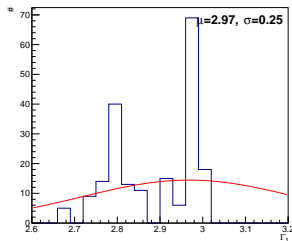


BPL:  $E_{\text{break}}$  (Systematic Error, N=200)

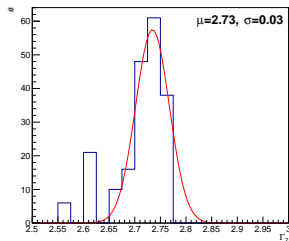


# MC Simulation (Tot. Error): BPL with 200 samplings

BPL:  $\Gamma_1$  (Total Error, N=200)



BPL:  $\Gamma_2$  (Total Error, N=200)



BPL:  $E_{\text{break}}$  (Total Error, N=200)

