

# Permutation groups and transformation semigroups:

## 1. Permutation groups

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Theoretical and Computational Algebra  
July 2023

*I'll know my song well before I start singing*

Bob Dylan



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I am going to tell you about some aspects of this.

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## Higman's Theorem

Primitivity is possibly the most important concept in permutation group theory, and there are a number of conditions equivalent to it; for example, a transitive group is primitive if the **point stabiliser** is a maximal proper subgroup of  $G$ . Probably the most important of these is the theorem of Donald Higman:

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Note that we can construct  $G$ -invariant graphs by taking orbits of  $G$  on pairs of elements of  $\Omega$  as edges. These are the **orbital (di)graphs**.

## Multiple transitivity

Let  $t$  be a positive integer not exceeding  $n$ . We say  $G$  is  **$t$ -transitive** if its induced action on  $t$ -tuples of distinct elements of  $\Omega$  is transitive; and  $G$  is  **$t$ -homogeneous** if the induced action on  $t$ -element subsets of  $\Omega$  is transitive.

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Clearly  $t$ -transitivity implies  $t$ -homogeneity. If  $5 \leq t \leq n/2$ , a beautiful theorem of Livingstone and Wagner asserts that the converse is true. All  $t$ -homogeneous but not  $t$ -transitive groups for  $t = 2, 3, 4$  were found by Kantor (before CFSG).

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The classification of  $t$ -transitive groups for  $t \geq 2$  had to wait for CFSG (the **Classification of Finite Simple Groups** before it could be completed; but now we have a complete list of such groups.

## A general scheme

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A virtue of this definition is that, for any class  $\mathcal{C}$ , the class of  $\mathcal{C}$ -free permutation groups is closed upwards.

## Playing the game

We will see several examples. However, the way to play this game is not to think up an arbitrary class  $\mathcal{C}$  and examine the  $\mathcal{C}$ -free or  $\mathcal{C}$ -closed permutation groups. Rather, we have a property of permutation groups we want to study; understanding the  $\mathcal{C}$ -free or  $\mathcal{C}$ -closed structures for an appropriate class is likely to help the investigation. Even better are cases when we can build arbitrary permutation groups from the  $\mathcal{C}$ -free groups.

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Note that if  $G$  is not  $\mathcal{C}$ -free then it preserves a non-trivial  $\mathcal{C}$ -structure. The nicest cases are those where we can use this to get a reduction for  $G$ , and understand it in terms of smaller permutation groups. This is the case for transitivity and primitivity, for example.

## How it works

Let  $\mathcal{C}$  be the class of “subsets”: a  $\mathcal{C}$ -object is a subset of  $\Omega$ . The only subsets invariant under the symmetric group are the empty set and  $\Omega$ ; so  $G$  is  $\mathcal{C}$ -free if and only if it is transitive.

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Digraphs	2-transitive
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Another class  $\mathcal{C}$  we have just begun to study consists of **poset block structures**, where the  $\mathcal{C}$ -closed groups are the **generalised wreath products**.

# Two challenges

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For semigroup theorists:

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*Can we define interesting classes of (partial) transformation monoids in this way?*

# Reductions

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If  $G$  is transitive but imprimitive, it preserves a partition, and is embedded in the **wreath product**  $H \wr K$ , where  $H$  is the group induced on a block of the partition by its setwise stabiliser, and  $K$  the group induced on the set of parts of the partition. This is the **imprimitive action** of the wreath product.

## Hamming graphs and basic groups

Let  $m, q$  be integers greater than 1. The **Hamming graph**  $H(m, q)$  is the graph whose vertices are all words of length  $m$  over an alphabet of size  $q$  (so it has  $q^m$  vertices). A primitive group which preserves a Hamming graph is contained in the wreath product of the group (of degree  $q$ ) induced on the symbols occurring in a given position by the stabiliser of that position in  $G$  and the group of permutations on the set of coordinate positions induced by  $G$  (of degree  $m$ ).



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A primitive group is **basic** if it preserves no Hamming graph with  $m, q > 1$ . Thus, a group which is primitive but not basic is embeddable in a wreath product (in its **product action**).

## Two special types of group

Let  $V$  be a finite vector space. The **affine group**  $\text{AGL}(V)$  is the group of permutations of  $V$  generated by translations and invertible linear maps. (It is the semidirect product of the abelian translation group  $T$  and the **general linear group**  $\text{GL}(V)$ .)

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A subgroup of  $\text{AGL}(V)$  containing  $T$  is the semidirect product of  $T$  with a subgroup  $H$  of  $\text{GL}(V)$ . It is necessarily transitive, since  $T$  is; it is primitive if and only if  $H$  is an **irreducible** linear group; and it is basic if and only if  $H$  is a **primitive** linear group, one which preserves no non-trivial direct sum decomposition of  $V$ .

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I will not give the rather involved definition of a **diagonal group** here; suffice to say that the diagonal group  $D(H, m)$  depends on a group  $H$  and a positive integer  $m$ ; it has degree  $|H|^m$  and has a normal subgroup  $H^{m+1}$  acting on the cosets of a diagonal subgroup, the quotient contained in the group generated by  $\text{Aut}(H)$  and the symmetric group  $S_{m+1}$ .

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Since affine groups preserve affine spaces, and diagonal groups preserve structures called **diagonal semilattices**, we can say that a permutation group which preserves no non-trivial subset, partition, Hamming graph, affine space, or diagonal semilattice is almost simple.



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- ▶ a **group of Lie type** (these are central quotients of specific linear groups over finite fields);
- ▶ one of the 26 **sporadic groups**.

It follows from CFSG that, if  $S$  is one of these groups, then  $\text{Aut}(S)/S$  is very small (and in any case soluble). The combined efforts of many mathematicians has led to a good understanding of simple (and almost simple) groups, such as knowledge of their maximal subgroups and linear representations.

## Applications

The classification of 2-transitive groups follows from this. A 2-transitive group is clearly primitive and basic, and it is not hard to show that diagonal groups cannot be 2-transitive. So these groups are affine or almost simple; and using knowledge of the almost simple groups and their representations, a complete list can be found. (In fact, much less than the full strength of O'Nan–Scott is needed here; the reduction is due to Burnside.)

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More generally, Wielandt introduced the class of  $\frac{3}{2}$ -transitive groups, those which are transitive and the stabiliser of a point  $\alpha$  has all remaining orbits of the same size. (This class is not upward-closed so cannot be included in our general scheme.) Wielandt showed that a  $\frac{3}{2}$ -transitive group is either primitive or a Frobenius group, a group in which all 2-point stabilisers are trivial. Any Frobenius group is  $\frac{3}{2}$ -transitive; the primitive ones have been classified, using CFSG.

## Low in the hierarchy

The properties we have examined so far are almost all at least as strong as primitivity. I want to conclude with several properties which are weaker, which I have investigated with Marina Anagnostopoulou-Merkouri and, in part, with Enoch Suleiman and Rosemary Bailey.



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Peter Neumann pointed out that in the Second Memoir, Galois sometimes confused the notions of primitivity and quasiprimitivity.

## Pre-primitivity

Suppose that  $P$  and  $Q$  are permutation group properties such that  $P$  implies  $Q$ . The philosophy of what follows is to define a property “pre- $P$ ” such that it is independent of  $Q$  but together with  $Q$  it is equivalent to  $P$ . (Note that this is not well-defined!)

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We have various results about such groups, including the fact that a wreath product of transitive groups is pre-primitive if and only if the factors are.

## Invariant partitions

The set of partitions of  $\Omega$  forms a lattice: the meet of two partitions  $P$  and  $Q$  is the partition whose parts are all non-empty intersections of parts of  $P$  and  $Q$ , and the join is the partition into connected components of the graph in which two points are adjacent if and only if they are in the same part of either  $P$  or  $Q$ .



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In addition, a partition is **uniform** if all parts have the same size, and two partitions **commute** if the corresponding equivalence relations do.

Statisticians define an **orthogonal block structure** to be a sublattice of the partition lattice consisting of commuting orthogonal partitions. Any OBS is a modular lattice; a **poset block structure** is a distributive OBS.

## OB and PB permutation groups

A transitive permutation group  $G$  has the **OB property** (resp., the **PB property**) if the lattice of  $G$ -invariant partitions is an OBS (resp. a PBS). Note that the  $G$ -invariant partitions always form a lattice, and if  $G$  is transitive then they are all uniform.

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## Generalized wreath product

PB groups are related to another concept, which I cannot describe in detail. It is well-known that a finite distributive lattice is the lattice of down-sets in a finite poset. There is a concept of **generalized wreath product** defined by a poset with a permutation group at each element.

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For example, there are two 2-element posets. Suppose that groups  $H$  and  $K$  are given at the two points. If the poset is an antichain, the GWP is the direct product; if it is a chain, with  $H$  above  $K$ , the GWP is the wreath product  $K \wr H$ .



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The following extends well-known results about direct and wreath products:

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Indeed, we expect to be able to replace the symmetric group by appropriate subgroups induced by the action of  $G$ ; but this is work in progress.

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