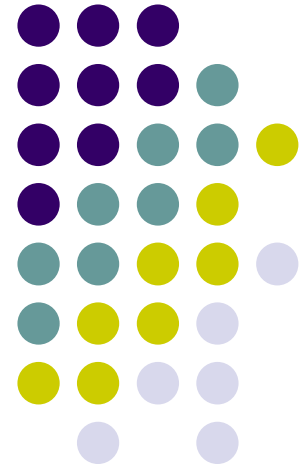


# Computer Graphics (CS 4731)

## Gimbal Lock and Quaternions

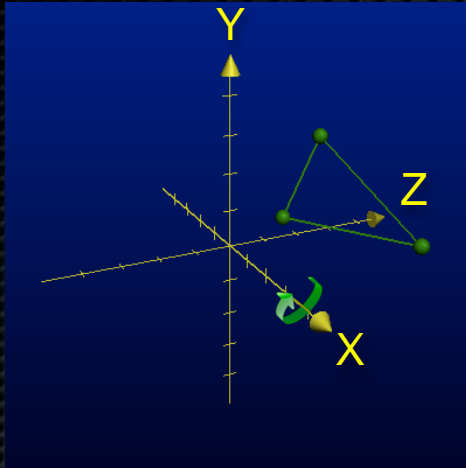
Joshua Cuneo

*Computer Science Dept.  
Worcester Polytechnic Institute (WPI)*



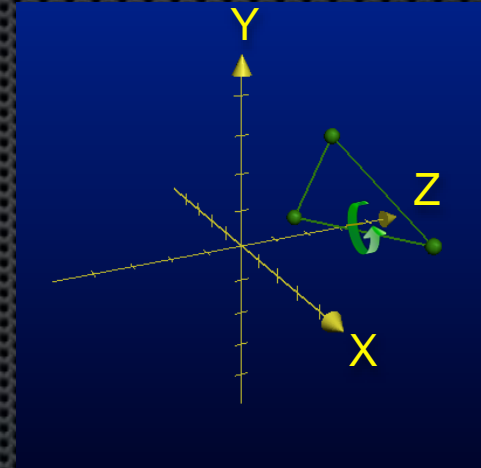
# Rotation in 3D

Rotation About X-Axis

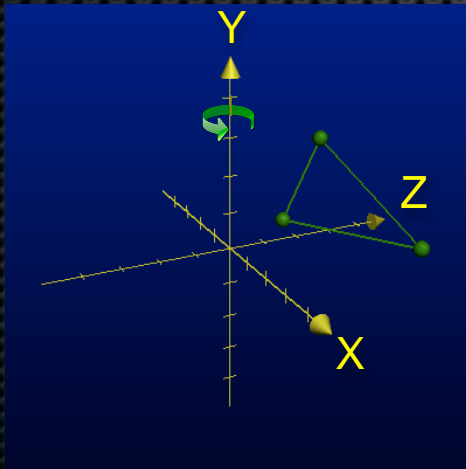


$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos\theta & -\sin\theta & 0 \\ 0 & \sin\theta & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Rotation About Z-Axis



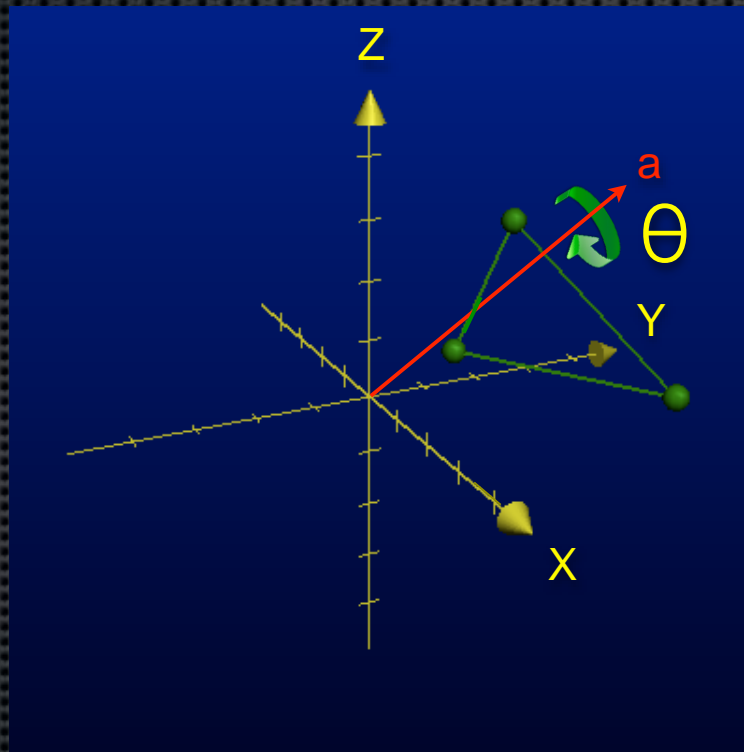
Rotation About Y-Axis



$$\begin{bmatrix} \cos\theta & 0 & \sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\theta & 0 & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

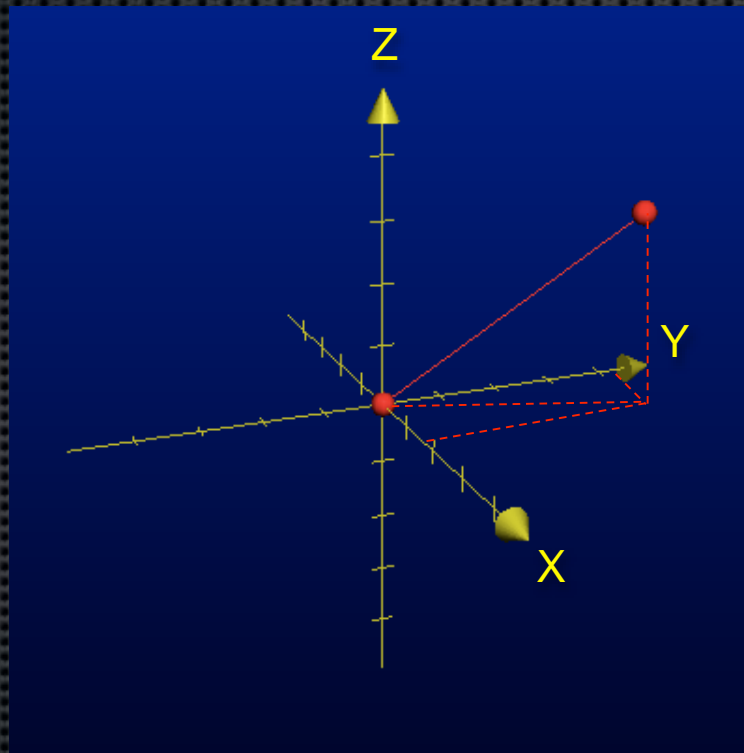
$$\begin{bmatrix} \cos\theta & -\sin\theta & 0 & 0 \\ \sin\theta & \cos\theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Arbitrary Axis Rotation in 3D

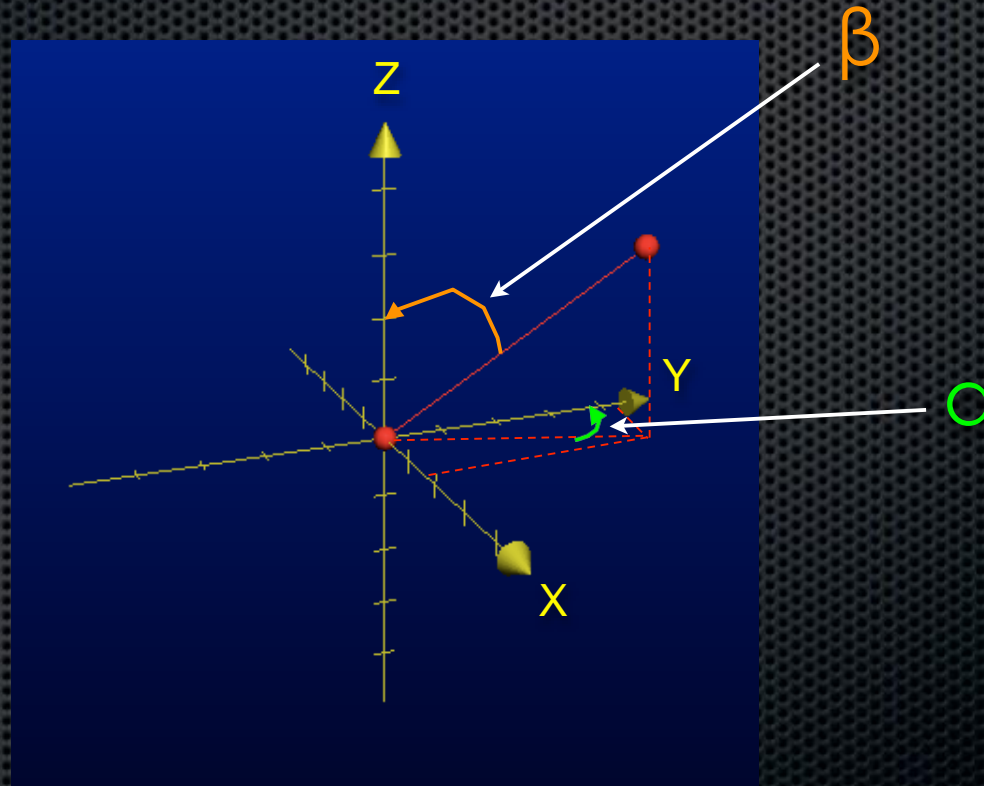




# Arbitrary Axis Rotation in 3D

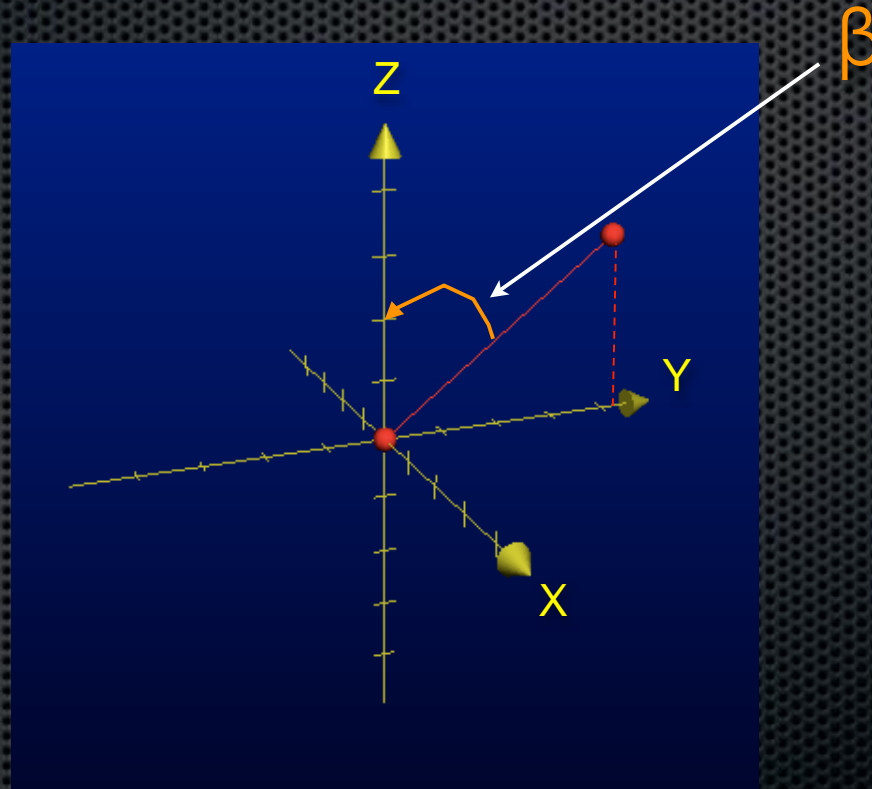


# Arbitrary Axis Rotation in 3D

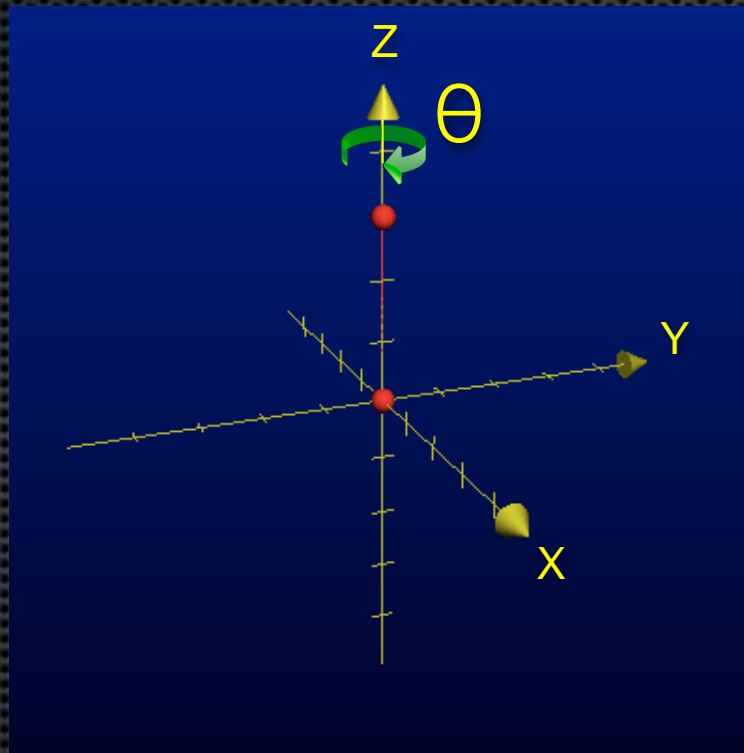




# Arbitrary Axis Rotation in 3D

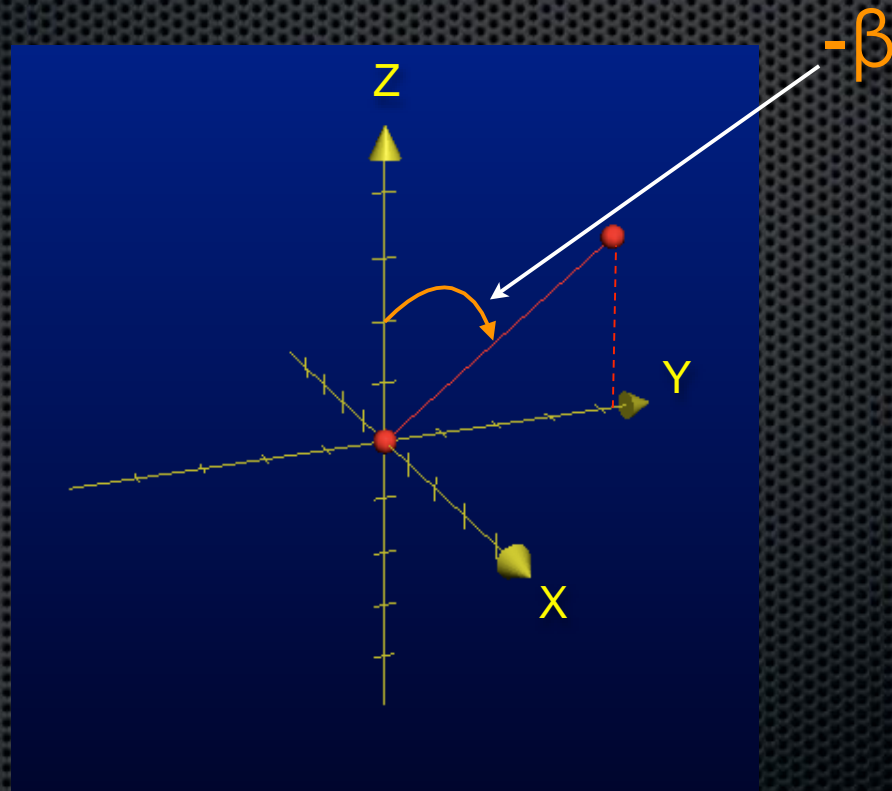


# Arbitrary Axis Rotation in 3D



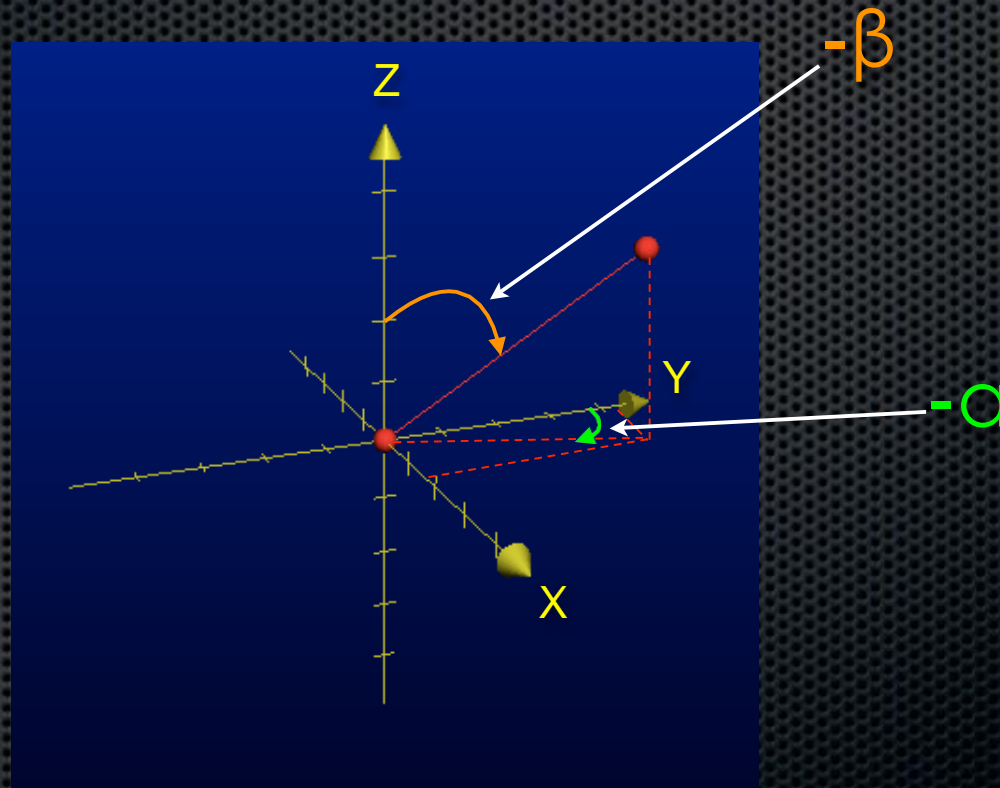


# Arbitrary Axis Rotation in 3D



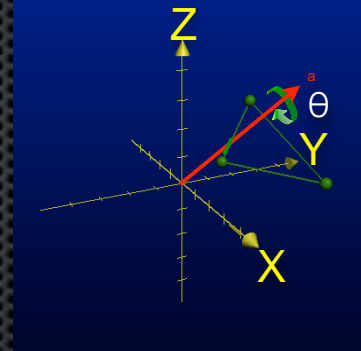


# Arbitrary Axis Rotation in 3D





# Arbitrary Axis Rotation in 3D

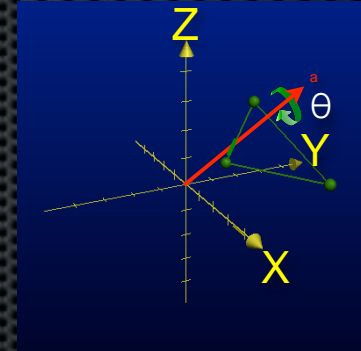


$$M = R_z(-\alpha) \times R_x(-\beta) \times R_z(\theta) \times R_x(\beta) \times R_z(\alpha)$$



# Arbitrary Axis Rotation in 3D

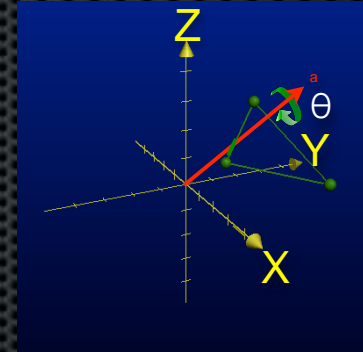
$$M = \begin{bmatrix} \cos\alpha & -\sin\alpha & 0 & 0 \\ \sin\alpha & \cos\alpha & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos\beta & -\sin\beta & 0 \\ 0 & \sin\beta & \cos\beta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



$$\begin{bmatrix} \cos\theta & -\sin\theta & 0 & 0 \\ \sin\theta & \cos\theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos\beta & -\sin\beta & 0 \\ 0 & \sin\beta & \cos\beta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} \cos\alpha & -\sin\alpha & 0 & 0 \\ \sin\alpha & \cos\alpha & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



# Arbitrary Axis Rotation in 3D



$$M = \begin{bmatrix} m & m & m & 0 \\ m & m & m & 0 \\ m & m & m & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

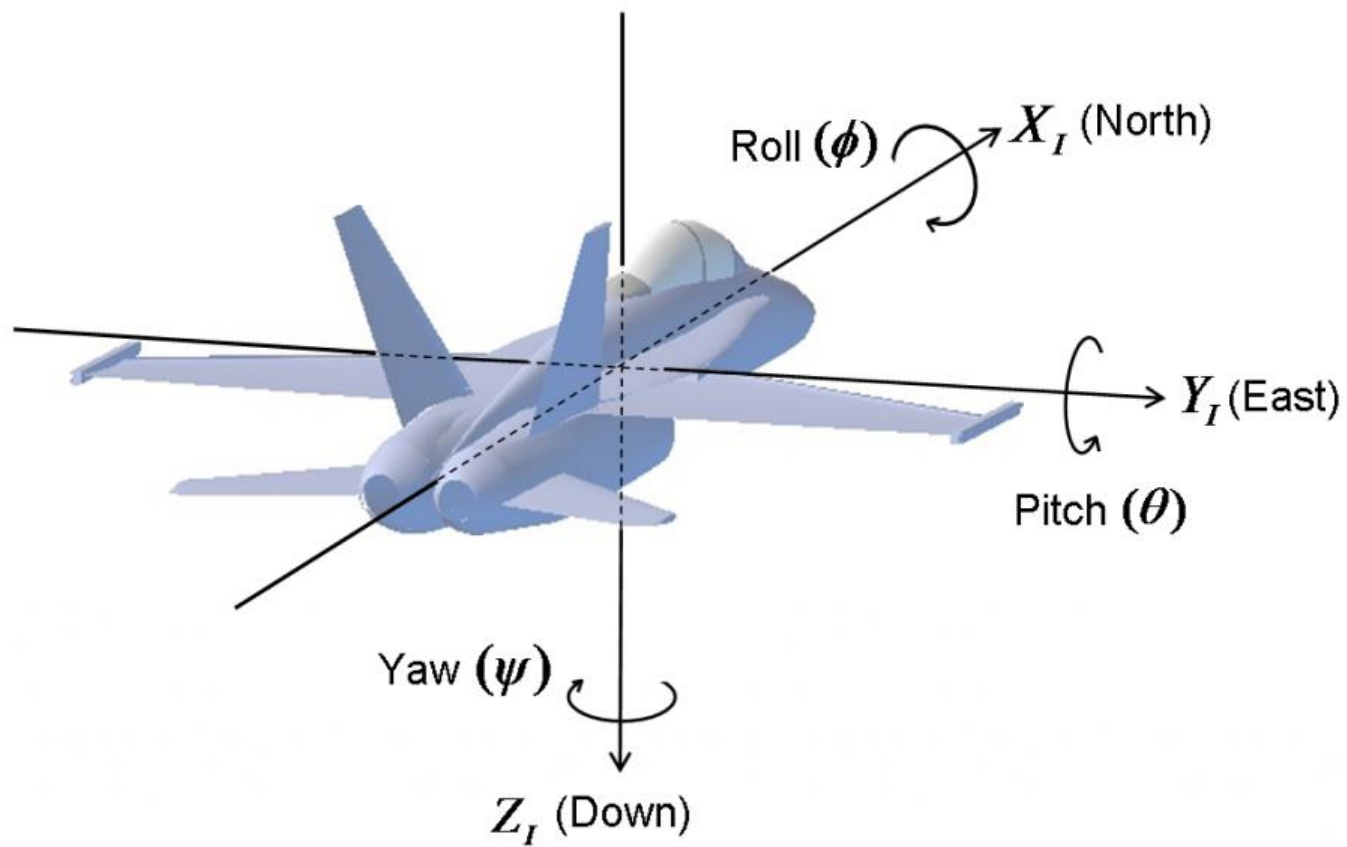
**When implementing remember to check for degeneracies when  $a_y = 0$  and  $a_z = 0$  (gimbal lock)**



# Alternative Approach: Quaternions

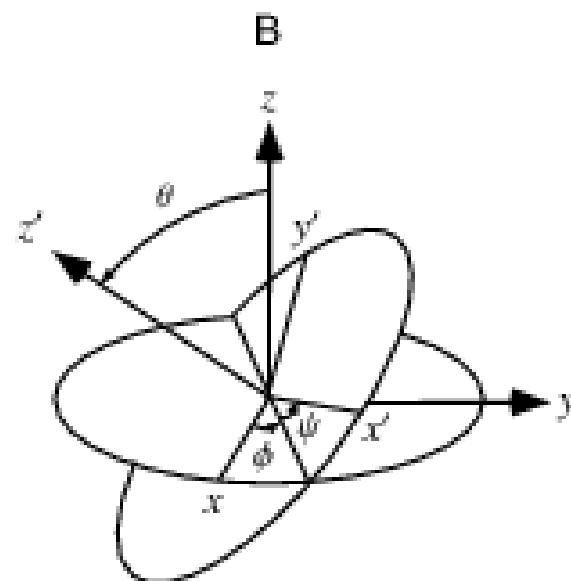
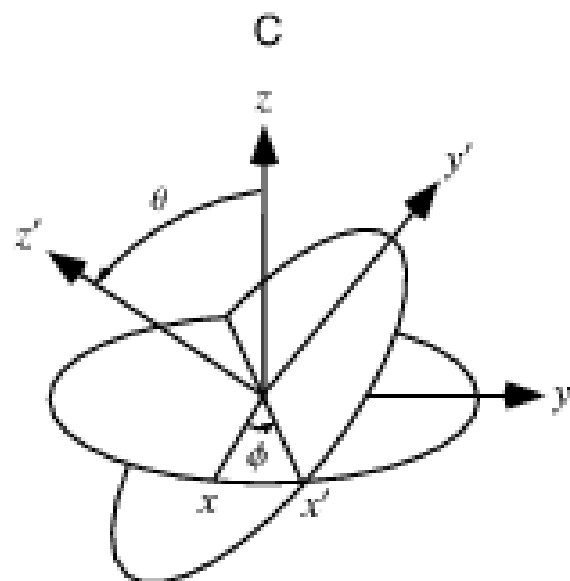
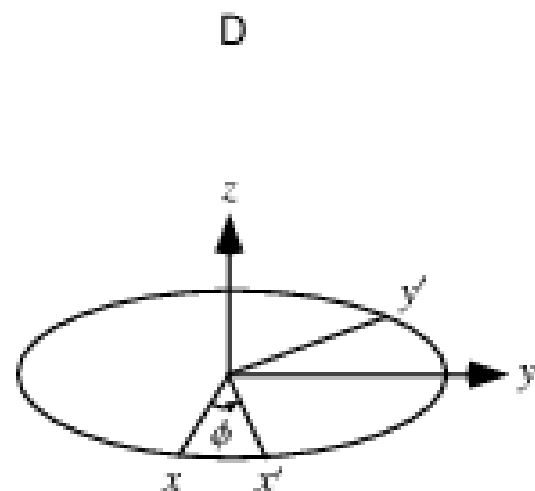


- Resolves the gimbal lock problem
- Non-intuitive
- Later, time-permitting









$$D \equiv \begin{bmatrix} \cos \phi & \sin \phi & 0 \\ -\sin \phi & \cos \phi & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$C \equiv \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & \sin \theta \\ 0 & -\sin \theta & \cos \theta \end{bmatrix}$$

$$B \equiv \begin{bmatrix} \cos \psi & \sin \psi & 0 \\ -\sin \psi & \cos \psi & 0 \\ 0 & 0 & 1 \end{bmatrix}$$



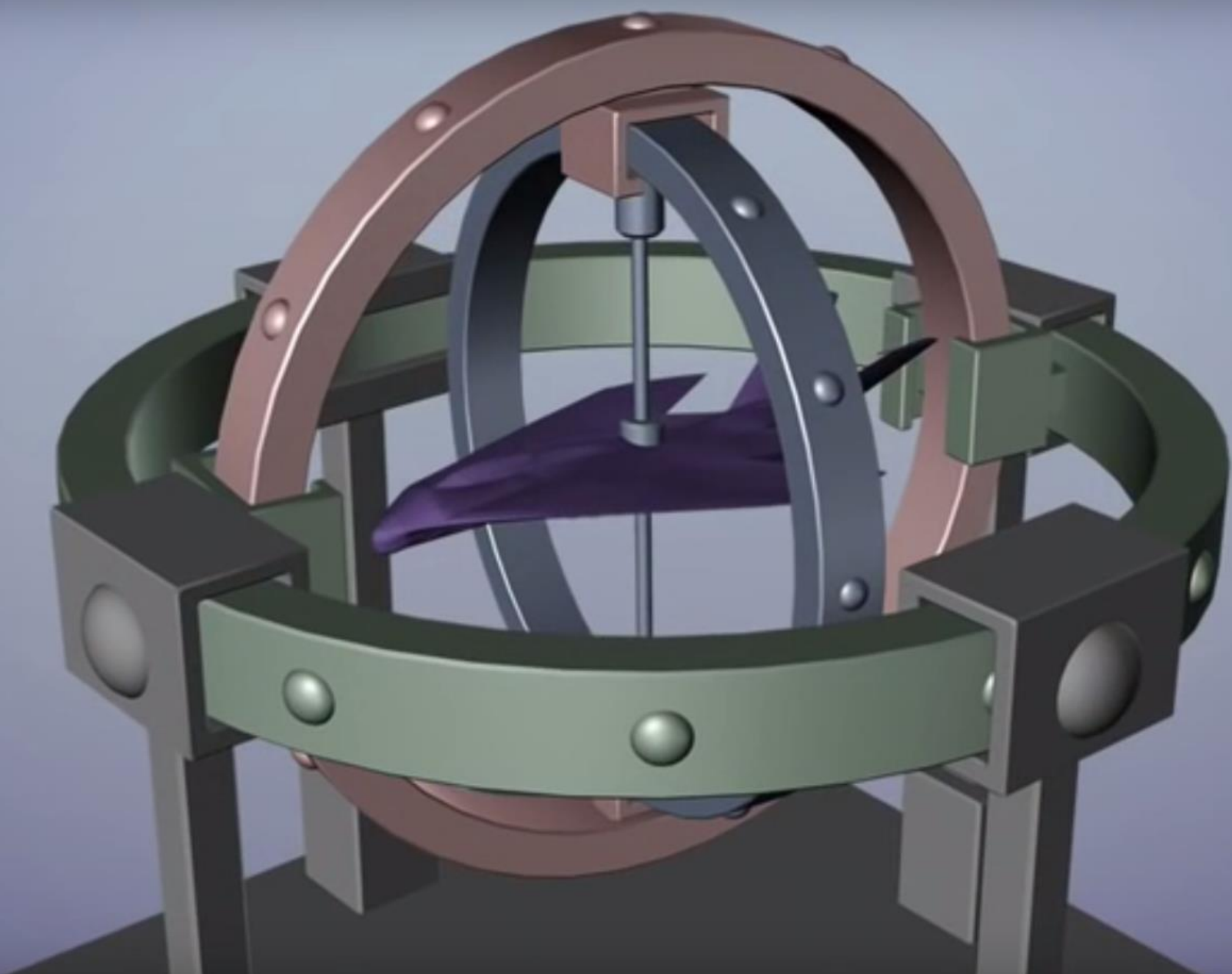
$$D \equiv \begin{bmatrix} \cos \phi & \sin \phi & 0 \\ -\sin \phi & \cos \phi & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad C \equiv \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & \sin \theta \\ 0 & -\sin \theta & \cos \theta \end{bmatrix} \quad B \equiv \begin{bmatrix} \cos \psi & \sin \psi & 0 \\ -\sin \psi & \cos \psi & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$A = BCD$$

$$A \equiv \begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix}$$

where

$$\begin{aligned} a_{11} &= -\sin \psi \sin \phi + \cos \theta \cos \phi \cos \psi \\ a_{12} &= \sin \psi \cos \phi + \cos \theta \sin \phi \cos \psi \\ a_{13} &= -\cos \psi \sin \theta \\ a_{21} &= -\cos \psi \sin \phi - \cos \theta \cos \phi \sin \psi \\ a_{22} &= \cos \psi \cos \phi - \cos \theta \sin \phi \sin \psi \\ a_{23} &= \sin \psi \sin \theta \\ a_{31} &= \sin \theta \cos \phi \\ a_{32} &= \sin \theta \sin \phi \\ a_{33} &= \cos \theta. \end{aligned}$$

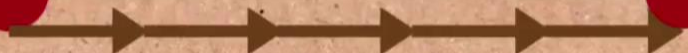






**Gimbal Lock**

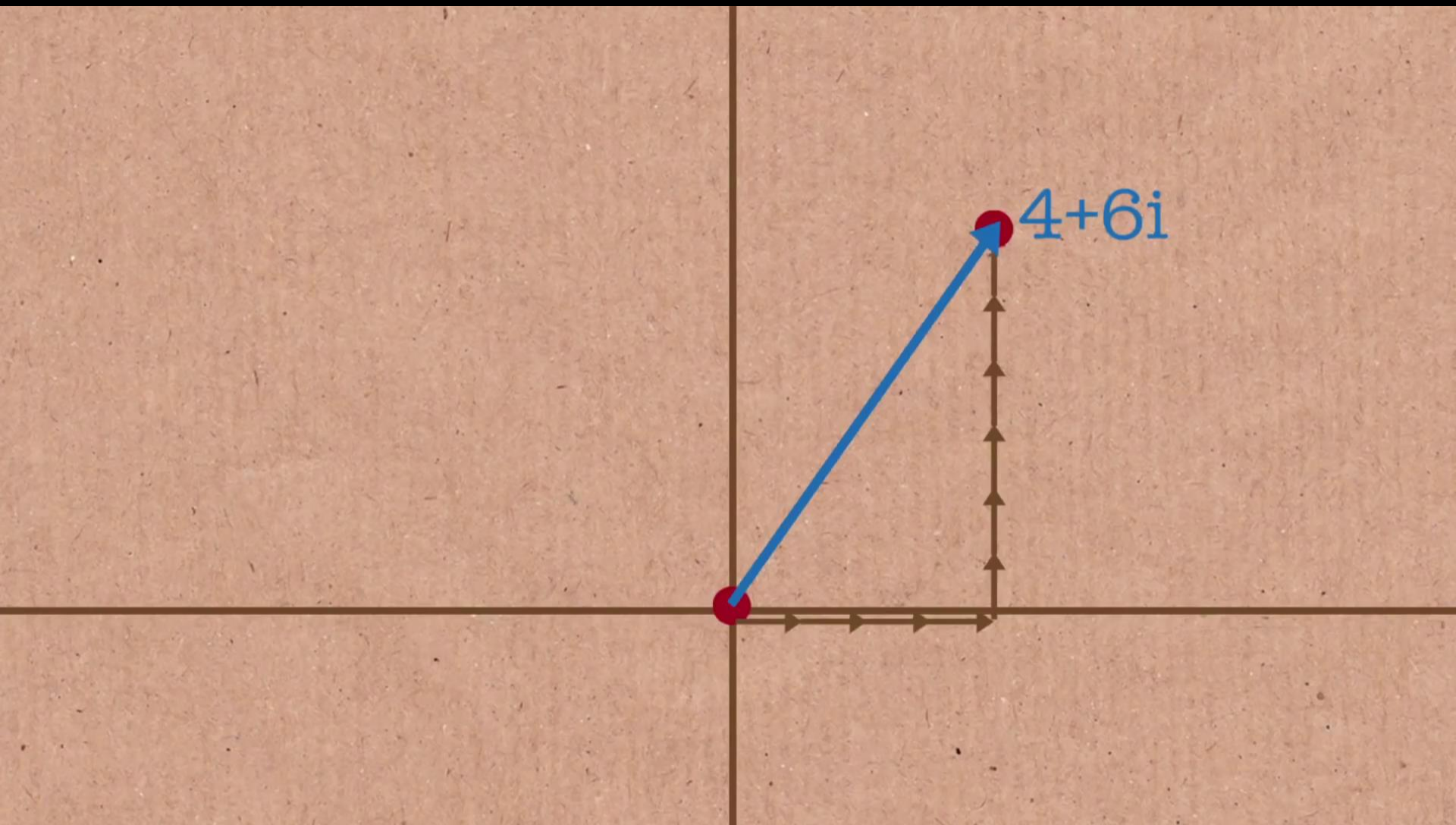
+5





-3

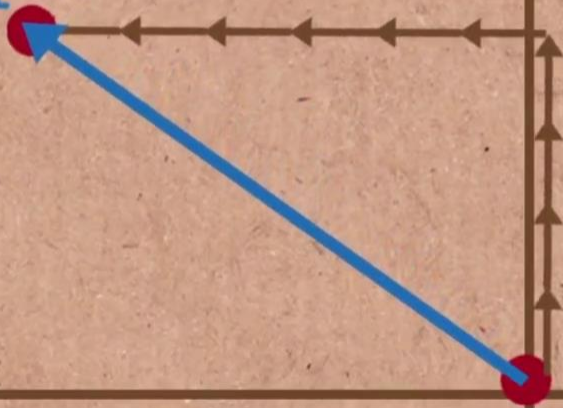


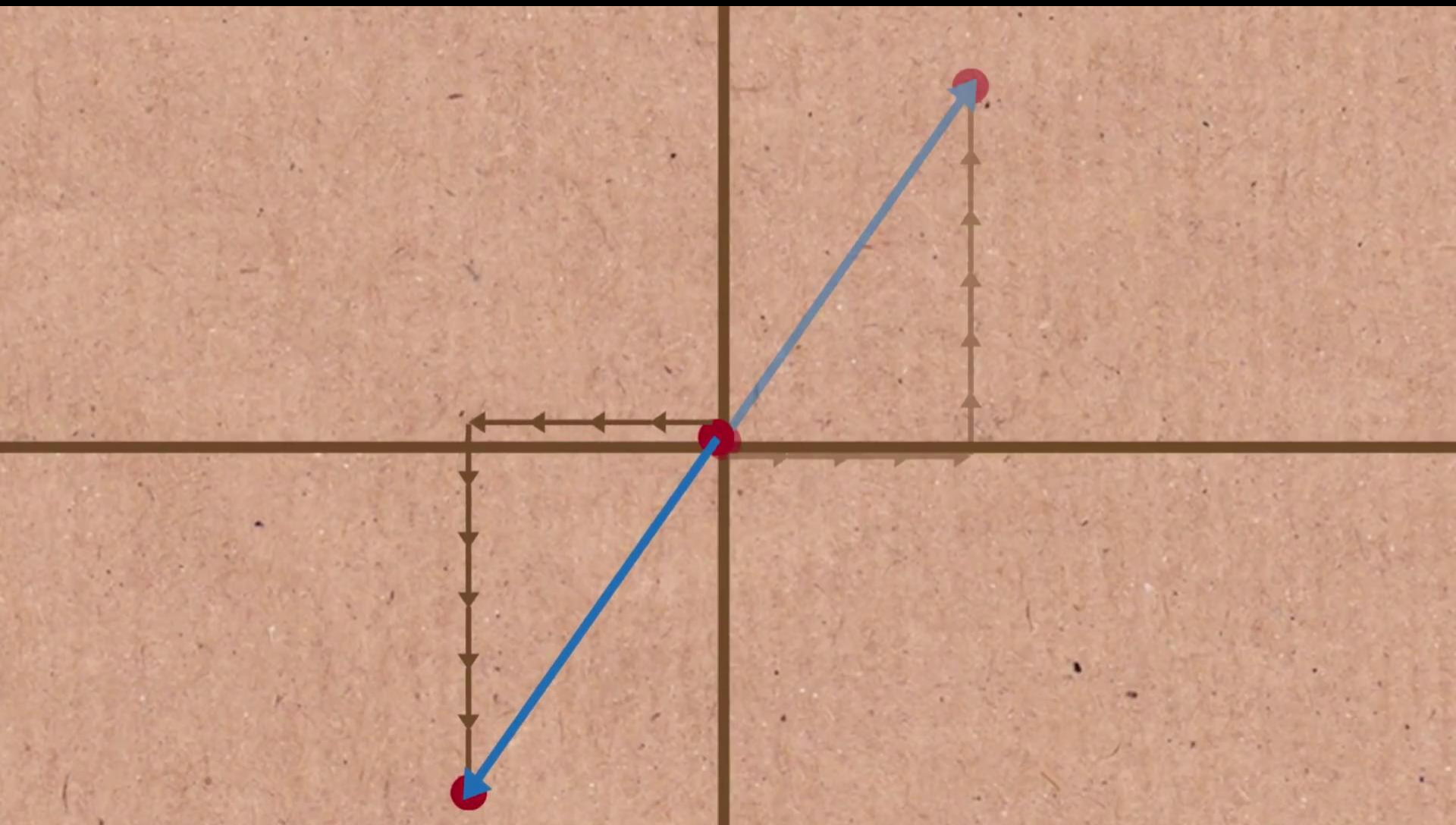




$-6+4i$

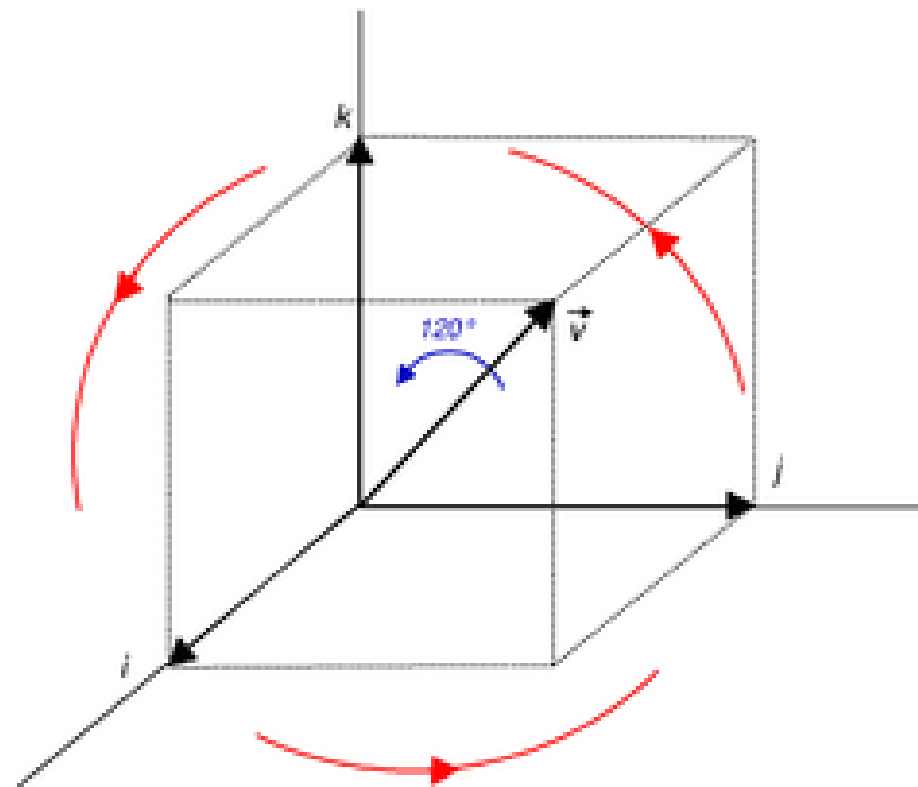
$4+6i$





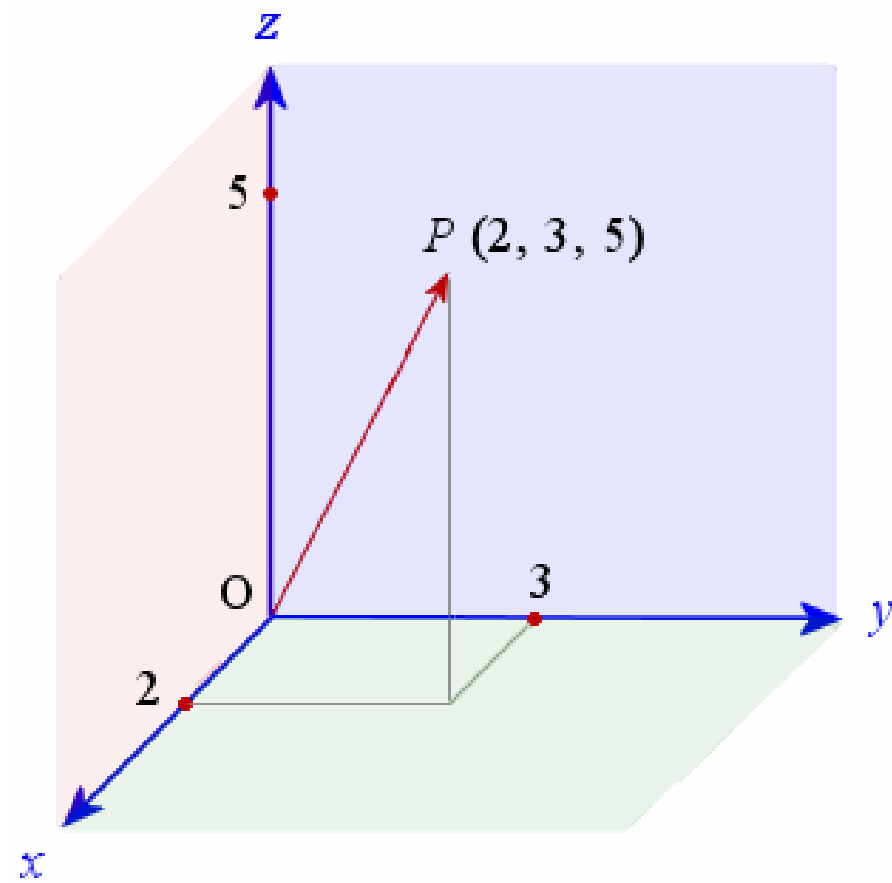


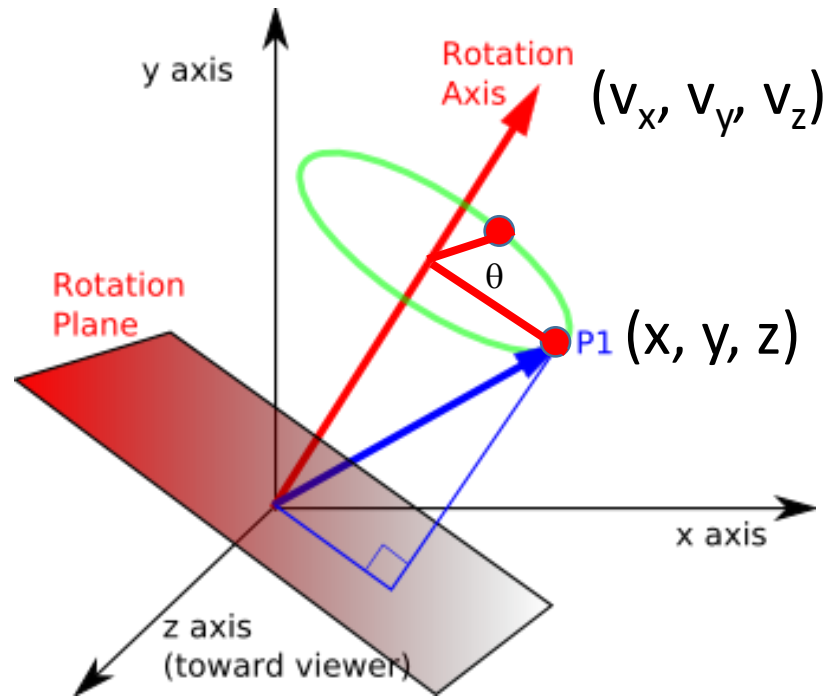
$$6 + 5i + 11j + 2k$$



$$i^2 = j^2 = k^2 = ijk = -1$$







$$q = \cos(\theta/2) + i (v_x * \sin(\theta/2)) + j (v_y * \sin(\theta/2)) + k (v_z * \sin(\theta/2))$$

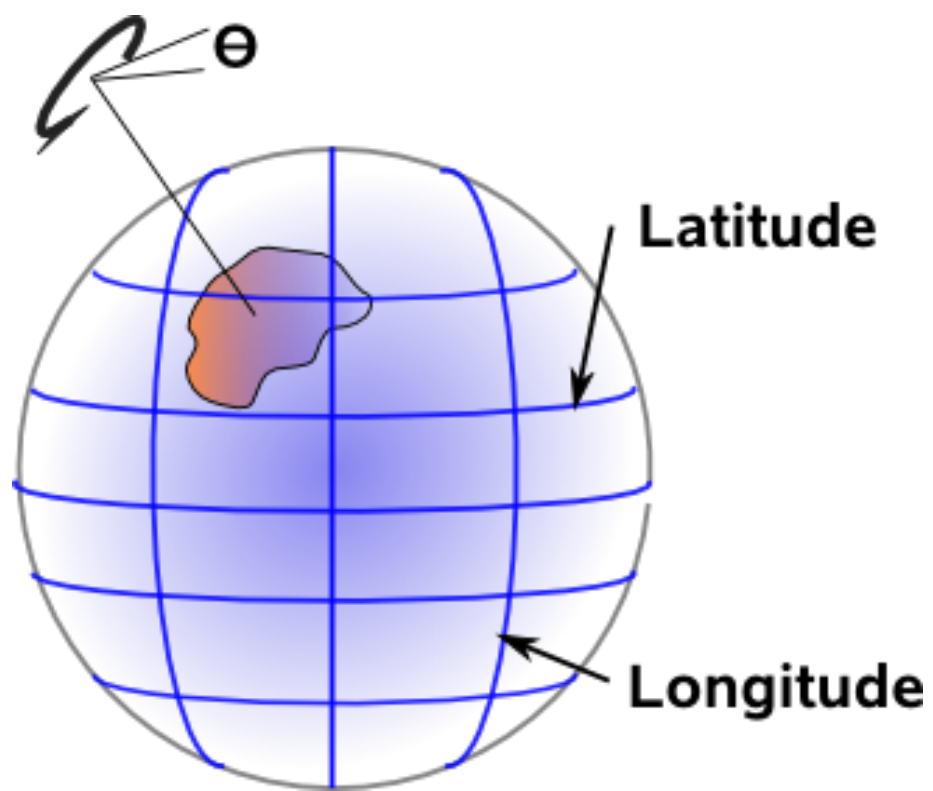
$$p1 = xi + yj + zk$$

$$q^{-1} = \cos(\theta/2) - i (v_x * \sin(\theta/2)) - j (v_y * \sin(\theta/2)) - k (v_z * \sin(\theta/2))$$

$$\text{rotation} = q * p1 * q^{-1}$$







$$\mathbf{R} = \begin{bmatrix} 1 - 2 \sin^2 \frac{\theta}{2} (v_y^2 + v_z^2) & 2 \sin^2 \frac{\theta}{2} v_x v_y - 2 \cos \frac{\theta}{2} \sin \frac{\theta}{2} v_z & & & \\ 2 \sin^2 \frac{\theta}{2} v_x v_y + 2 \cos \frac{\theta}{2} \sin \frac{\theta}{2} v_z & 1 - 2 \sin^2 \frac{\theta}{2} (v_x^2 + v_z^2) & & & \\ 2 \sin^2 \frac{\theta}{2} v_x v_z - 2 \cos \frac{\theta}{2} \sin \frac{\theta}{2} v_y & 2 \sin^2 \frac{\theta}{2} v_y v_z + 2 \cos \frac{\theta}{2} \sin \frac{\theta}{2} v_x & & & \\ & 0 & 0 & 0 & 0 \\ & 2 \sin^2 \frac{\theta}{2} v_x v_z + 2 \cos \frac{\theta}{2} \sin \frac{\theta}{2} v_y & 0 & & \\ & 2 \sin^2 \frac{\theta}{2} v_y v_z - 2 \cos \frac{\theta}{2} \sin \frac{\theta}{2} v_x & 0 & & \\ & 1 - 2 \sin^2 \frac{\theta}{2} (v_x^2 + v_y^2) & 0 & & \\ & 0 & 1 & & \end{bmatrix}$$



$$\mathbf{p} = \langle 1, 0, 0 \rangle$$

$$\mathbf{a} = \langle 0, 1, 0 \rangle$$

$$\mathbf{p}' = \mathbf{R}\mathbf{p}$$

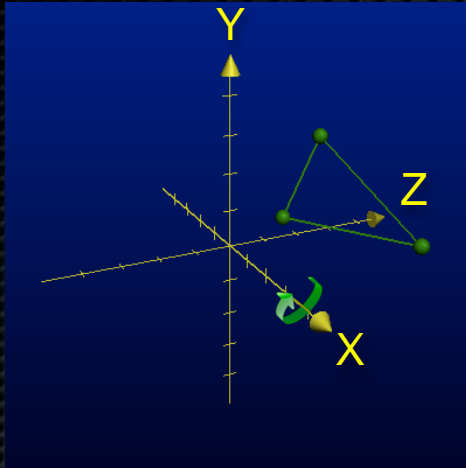
$$\mathbf{R} = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ -1 & 0 & 0 \end{bmatrix}$$

$$\mathbf{p}' = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ -1 & 0 & 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

$$= \langle 0, 0, -1 \rangle$$

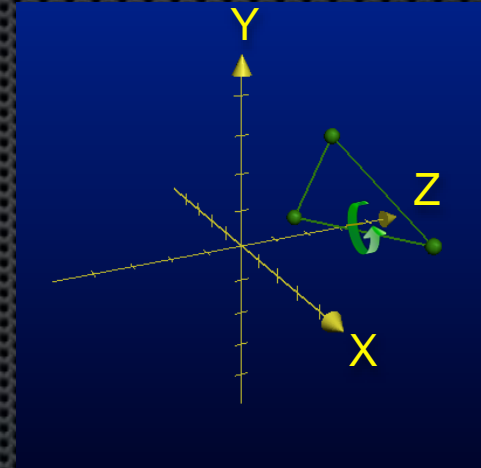
# Rotation in 3D

Rotation About X-Axis

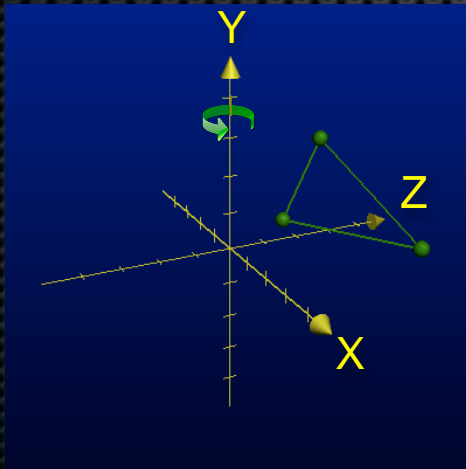


$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos\theta & -\sin\theta & 0 \\ 0 & \sin\theta & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Rotation About Z-Axis



Rotation About Y-Axis



$$\begin{bmatrix} \cos\theta & 0 & \sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\theta & 0 & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\begin{bmatrix} \cos\theta & -\sin\theta & 0 & 0 \\ \sin\theta & \cos\theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$